## **ORIGINAL ARTICLE**



# Primary central nervous system lymphoma in China: a single-center retrospective analysis of 167 cases

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Received: 15 July 2019 / Accepted: 8 October 2019 / Published online: 22 November 2019 © Springer-Verlag GmbH Germany, part of Springer Nature 2019

## Abstract

Primary central nervous system lymphoma (PCNSL) is a rare form of extranodal non-Hodgkin's lymphoma and a limited number of cases have been reported from China. This study aimed to investigate the clinicopathological features of newly diagnosed PCNSLs from a single center in eastern China and to identify the potential prognostic factors for overall survival (OS) and progression-free survival (PFS). All consecutive patients with histopathologically diagnosed PCNSLs at our center between January 2003 and October 2017 were recruited. Demographic and clinicopathological data were collected and reviewed retrospectively. The potential risk factors for OS and PFS were identified using the log-rank test and Cox regression analysis. A total of 167 immunocompetent cases were enrolled. The median age was 58 years (range 17–96 years), and the male:female ratio was 3:2. Headache (n = 65; 39%) and cerebral hemisphere (n = 96; 57%) were the most common presenting complaint and location, respectively. Out of 167 cases, 150 cases were diffuse large B cell lymphomas. With a median follow-up of 25 months (range 1–152), the median OS and PFS were 37 months (95% CI, 25–49) and 17 months (95% CI, 13–20), respectively. Residual tumor after operation, chemotherapy without HD-MTX and palliative treatment was revealed as independent prognostic markers. Moreover, ECOG > 3, multifocal lesions, and palliative treatment were revealed as unfavorable independent prognostic markers for PFS. In conclusion, Chinese patients with PCNSL have distinct characteristics. Further studies are warranted to confirm the prognostic value of these factors and to optimize treatments for these patients.

**Keywords** Extranodal non-Hodgkin's lymphoma  $\cdot$  Primary central nervous system lymphoma  $\cdot$  Prognostic factors  $\cdot$  Multifocal lesions  $\cdot$  Surgery resection  $\cdot$  Chinese

# Introduction

Primary central nervous system lymphoma (PCNSL) is a rare form of extranodal non-Hodgkin's lymphoma (NHL), which is defined as lymphoma restricted to the brain, leptomeninges,

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cerebrospinal fluid, eyes, or spinal cord without evidences of a systemic lymphoma at the time of diagnosis. PCNSL accounts for only 2-4% of intracranial tumors and 4-6% of extranodal lymphomas in the Western world [1, 2], whereas in China, the incidence has not been reported. Although most patients are immunocompetent, human immunodeficiency virus (HIV) infection, organ transplantation, and other immunocompromised states are the high risk factors [3–5]. Diffuse large B cell lymphoma (DLBCL) is the most common subtype of PCNSL (approximately 95%), and the remaining cases are low grade B cell lymphoma, or rarely, T cell lymphoma or Burkitt lymphoma [6, 7]. PCNSL-DLBCL can further be subdivided into germinal center B cell (GCB) and nongerminal center B cell (non-GCB) subtype, depending on the gene expression profiling [8]. Despite remarkable medical progression, the overall prognosis for PCNSL remains poor for the majority of cases and less than 30% of patients can be cured successfully [9]. Although an increasing number of

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cases have been reported from Western countries, a limited number of cases have been reported from China.

The role of various clinical predictors for the prognosis of PCNSL is controversial. Several prognostic classifications have been proposed for PCNSL to guide risk stratification and optimal treatment selection. The International Extranodal Lymphoma Study Group (IELSG) prognostic score proposes five independent adverse prognostic factors, including age > 60 years, elevated cerebrospinal fluid (CSF) protein level, performance status (ECOG > 1), high lactate dehydrogenase (LDH) level, and deep brain involvement [10]. In this prognostic system, each positive variable is assigned one point and the final score is applied to distinguish various risk groups among PCNSLs. The Nottingham/ Barcelona score includes performance status (ECOG  $\geq$  2), age  $\geq 60$  years, and extent of brain disease (multifocal and/ or meningeal disease) [11]. Using this prediction score, each adverse prognostic factor is assigned one point and a higher final score is associated with a shorter overall survival (OS). The Memorial Sloan-Kettering Cancer Center score proposes Karnofsky scores (KPS  $\geq$  70) and age  $\geq$  50 years as adverse prognostic factors [12]. In this prognostic system, the following three distinct prognostic groups significantly distinguish overall survival and failure-free survival: group 1 (age < 50years), group 2 (age  $\geq$  50 years; KPS  $\geq$  70), and group 3 (age  $\geq$ 50 years; KPS < 70). The absolute lymphocyte count–based model proposes three adverse prognostic factors, including ECOG > 1, age > 50 years, and lymphopenia ( $\leq 875/\mu$ L) [13]. In this prognostic system, one point is assigned to each factor and the final score indicates the prognosis. A number of other prognostic factors (such as immunophenotyping) have been proposed, but most of these are not uniformly reported.

In this study, we conducted a retrospective analysis on 167 immunocompetent cases with PCNSL who were consecutively diagnosed between January 2003 and October 2017 in our center. We aimed to specify the clinicopathological features and evaluate the potential prognostic factors for overall survival and progression-free survival (PFS) of these PCNSLs.

# Methods

# **Patient selection**

All consecutive cases of PCNSL that were histopathologically diagnosed between January 2003 and October 2017 were collected from the pathology database of the Second Affiliated Hospital, School of Medicine, Zhejiang University, China. The diagnosis of PCNSL was confirmed by two pathologists, and agreements were reached on each patient. To exclude systemic lymphoma, all patients received bone marrow aspirate and biopsy, whole-body CT scan or PET/CT, and a testicular ultrasound evaluation for elderly male patients. Lymphomas with evidence of systemic lymphomas or relapsed lymphomas were excluded. This study obtained ethical approval by the Human Ethics Committee of the Second Affiliated Hospital, School of Medicine, Zhejiang University, China. An informed consent was obtained from all individual participants included in the study.

#### Demographic and clinical data

Demographic data and clinical features of all patients were collected retrospectively from the medical record, including age, sex, initial neurologic symptoms, performance status (ECOG), HIV status, absolute lymphocyte count (ALC), LDH, CSF protein level, immunohistochemical profile, location of lesions, size of lesions, number of lesions, biopsy type, surgical resection and outcomes, and treatments. The location, number, and size of lesions were evaluated by magnetic resonance imaging (MRI) for all patients. Deep brain involvement (corpus callosum, basal ganglia, periventricular region, brainstem, and/or cerebellum) was determined as described by the IELSG [10].

# Histology and immunohistochemistry

The specimens were routinely preserved in 10% neutral buffered formalin and then embedded in paraffin. All immunohistochemical stains were performed using 4-µm paraffin tissue sections with the two-step method. For CD10, Bcl-6, MUM-1, and Bcl-2, *positive* staining in *more than* 25% of *lymphoma cells* was *considered positive*. PCNSL was further subdivided into GCB and non-GCB groups as proposed by Hans et al. [8]. Briefly, the Hans algorithm depends on Bcl-6, MUM-1, and CD10 immunoexpression. The phenotype of non-GCB was defined as (CD10–, Bcl-6+, MUM-1+) or (CD10–, Bcl-6–, MUM-1+). The GCB phenotype was defined as (CD10+, Bcl-6+/–, MUM-1+/–) or (CD10–, Bcl-6+, MUM-1–).

# Treatment data

Between January 2003 and October 2017, treatment choices and therapy dosing for each patient with PCNSL were physician dependent because these cases were scattered throughout the departments of neurology, oncology, radiotherapy, and hematology. Treatment options also changed as treatment development. Data of initial treatments were obtained, dividing into palliative treatment, radiotherapy alone, chemotherapy alone, or a combination of them. Cycles and regimens of chemotherapy as well as radiotherapy protocol were recorded.

## **Outcomes data**

Follow-up data was obtained from patient records or by telephone. PFS and OS were taken as the endpoint in this study. The day of the last follow-up was April 30, 2018. OS was assessed from the date of first diagnosis until the date of last follow-up or death. PFS was calculated from the date of first diagnosis to the date of progression, relapse, death, or last contact.

### Statistical analysis

Categorical parameters between groups were compared using the chi-square test. The OS and PFS were estimated by the Kaplan-Meier's method. Survival distributions according to potential prognostic factors were compared using univariate analysis by the log-rank test. All variables with *p* values less than 0.10 in the univariate analysis were involved in the multivariate analysis, using the Cox proportional hazards model to further investigate the relationship between prognostic factors. A formal cutoff point was calculated, using the receiver operating characteristic (ROC) curve analysis to determine the optimal values (age, tumor size, ECOG, ALC, LDH, CSF protein level) that were most strongly associated with OS. All statistical analyses were conducted by SPSS version 17.0 for Windows (SPSS Inc., Chicago, IL). All differences were interpreted as statistically significant when *p* < 0.05.

# Results

## Demographic and clinical data at diagnosis

A total of 183 patients with PCNSL were identified in our pathology database. All patients were HIV negative and had no history of immunosuppressive diseases. Thirteen patients were excluded due to the evidences of systemic non-Hodgkin's lymphoma with brain involvement, and three patients were excluded due to relapsed specimens without initial biopsy records in our hospital. Finally, a total of 167 patients were involved in our analyses. The median age at diagnosis was 58 years (range 17-96). The male:female sex ratio was 3:2 (100:67). The prevalence increased with age, reaching a peak in the age range of 60-69 years in both genders as shown in Fig. 1. All patients underwent a diagnostic biopsy procedure. A total of 62 (37%) patients underwent a gross total resection of their mass, 43 (26%) patients underwent an incisional biopsy, and 62 patients (37%) underwent a stereotactic biopsy. No cases of lymphoma were diagnosed based on the cerebrospinal fluid analysis. A total of 39 patients (23%) received steroids prior to the diagnosis. The mean symptom duration was 81 days (range 7-1833). Initial presentations of the 167 cases are summarized in Table 1. Headache (n = 65; 39%) and motor symptoms (n = 53; 32%) were the most common symptoms, while B symptoms (n = 4; 2%) were rare.



Fig. 1 Age and sex distribution of the 167 primary central nervous system lymphomas at diagnosis

#### **Neuroimaging studies**

The number, size, and location of the lesions were determined from the evaluations of cranial magnetic resonance studies. The locations of all masses are summarized in Table 2. The cerebral hemisphere (n = 96; 57%) was the most commonly involved site, in which the frontal lobe (n = 53; 32%) was the most common lobe, followed by basal ganglia and thalamus (n = 43; 26%). Only four lesions (2%) located in leptomeninges and three lesions (2%) located in spine. Multifocal lesions were observed in 73 patients (44%). Deep brain involvement (corpus callosum, basal ganglia, periventricular region, brainstem and/or cerebellum) was involved in 65% of the patients (n = 109). Most of these lesions were small, with 11% of lesions (n = 18) larger than 5 cm.

### Pathology examination

The pathological types of 167 cases are summarized in Table 3. In the histopathological review, 160 cases (96%) were

Table 1Initialpresentations of the 167primary central nervoussystem lymphomas atdiagnosis	Presentation	n = 167(%)	
	Headache	65 (39%)	
	Motor symptoms	53 (32%)	
	Focal neurological deficit	50 (30%)	
	Cognitive symptoms	39 (23%)	
	Nausea/vomiting	21 (13%)	
	Seizures	16 (10%)	
	Abnormal sensation	15 (9%)	
	Visual symptoms	12 (7%)	
	Decreased consciousness	8 (5%)	
	B symptoms	4 (2%)	

 Table 2
 Mass location of the 167 primary central nervous system lymphomas at diagnosis

Mass location	$n = 167 \ (\%)$
Cerebral hemisphere	96 (57%)
Frontal	53 (32%)
Parietal	33 (20%)
Temporal	28 (17%)
Occipital	21 (13%)
Basal ganglia and thalamus	43 (26%)
Ventricular region	33 (20%)
Cerebellum	28 (17%)
Corpus callosum	25 (15%)
Brainstem	19 (11%)
Leptomeningeal	4 (2%)
Spine	3 (2%)

B cell lymphoma, and seven cases (4%) were T cell lymphoma. Diffuse large B cell lymphoma (n = 150; 90%) was the most common pathological type. Eighty-six percent (112/130) of these cases were positive for MUM-1 staining, 76% (94/ 124) of these cases were positive for Bcl-6 staining, 59% (63/ 107) of these cases were positive for Bcl-2 staining, and 16% (21/133) of these cases were positive for CD10 staining. The Hans algorithm indicated that 100 cases (81%) belonged to the non-GCB subtype and only 23 cases (19%) belonged to the GCB subtype among the 123 cases examined.

## Treatments

Treatment information was available for 90% (151/167) of these patients. Among the 151 patients, 137 (91%) cases were

 Table 3
 Pathological types of the 167 primary central nervous system lymphomas

Patholog	у Туре	n = 167	(%)	
B Cell		160 (96%)		
	Diffuse large B cell lymphoma	150	90%	
	GCB subtype	23	(15%)	
	Non-GCB subtype	100	(67%)	
	Unknown	27	(18%)	
	Extranodal marginal zone lymphoma	3	2%	
	Follicular lymphoma	1	0.6%	
	Mucosa-Associated lymphoma	1	0.6%	
	Unclassified	5	3%	
T-Cell		7 (4%)		
	Peripheral T cell lymphoma	3	1.8%	
	Anaplastic large cell lymphoma, ALK <sup>-</sup>	2	1.2%	
	NK/T cell lymphoma	2	1.2%	

treated with curative intention, whereas 14 (9%) patients received palliative treatment due to advanced age, poor performance status, or rapid disease progression. Finally, 25 patients (17%) received radiotherapy alone as an initial treatment, 47 patients (31%) received chemotherapy alone as an initial therapy, and 65 patients (43%) were treated with both radiotherapy and chemotherapy as the initial therapy. No patients received autologous stem cell transplantation (ASCT). Radiotherapy was given in 1.8–2-Gy fractions for a maximum dose of 40-Gy whole brain irradiation and additional 1.8-2-Gy fractions were given for a maximum dose of 10-Gy boost to the tumor bed. Among the 112 patients who received chemotherapy, most patients (n = 75; 67 %) were given high-dose methotrexate (HD-MTX  $\geq 3.5$  g/m<sup>2</sup>), and 31 patients (34%) were treated with rituximab as part of the initial therapy. Other treatment options included CHOP-like regimens (n = 6; 5%) and temozolomide alone (n = 10; 9%), and the data for the remaining 21 patients were not available. Among the 65 patients who received combined therapy, 34 patients received chemotherapy prior to radiotherapy and 31 patients received chemotherapy after radiotherapy.

# Prognostic factors and overall survival

All patients achieved sufficient follow-up data available for analysis. With a median follow-up of 25 months (range 1-152), 85 patients died and the median OS was 37.0 months (95% CI, 25.1-48.9). The 1-year, 3-year, and 5-year OS probability rates were 72% (95% CI, 68-76%), 50% (95% CI, 46-54%), and 35% (95% CI, 30-40%), respectively. A univariate analysis was performed separately for 18 potential prognostic factors. These factors included age, gender, multifocal lesions, deep brain involvement, biopsy type, gross total resections, tumor size, ECOG, LDH, absolute lymphocyte count (ALC), CSF protein level, Bcl-2 expression, Bcl-6 expression, CD10 expression, MUM-1 expression, Hans pathology phenotype (GCB vs. non-GCB), and treatments as summarized in Table 4. The univariate analysis revealed that age > 72 years, LDH level > 215 U/L, CSF protein level > 90 mg/L, ECOG > 3, multifocal lesions, residual tumor after operation, MUM-1 positivity, chemotherapy without HD-MTX, and palliative treatment were significant predictors of worse OS as shown in Fig. 2. Patients with gross total resection had an improved median OS of 62.0 (95% CI, 15.4-108.6) months when compared with those with residual tumor after operation with a median OS of 33.0 (95%) CI, 19.4–46.6) months (p = 0.036). Deep brain involvement was not confirmed as statistically significant variable in the univariate analysis. Multivariable Cox regression analyses revealed that gross total resection, curative intention treatment, and HD-MTX-based chemotherapy were independent prognostic markers with improved OS

#### Table 4 Univariate and multivariate analyses of risk factors for overall survival

		Univariate analysis		Multivariate analysis	
Prognostic factor		No. (%) ( <i>n</i> = 167)	p value (log-rank test)	p value (cox regression)	Relative risk (95% CI)
Age	> 72 years	13 (8%)			
	$\leq$ 72 years	154 (92%)	0.04	0.675	0.992 (0.958-1.028)
Gender	Male	100 (60%)			
	Female	67 (40%)	0.169		
Multifocal lesions	No	94 (56%)			
	Yes	73 (44%)	0.04	0.620	1.228 (0.546-2.762)
Deep brain involvement	Present	109 (65%)			
	Absent	58 (35%)	0.362		
Biopsy type	Surgical	105 (63%)			
	Stereotactic	62 (37%)	0.593		
Gross total resections	No <sup>a</sup>	105 (63%)			
	Yes	62 (37%)	0.04	0.001	0.193 (0.073-0.516)
Tumor size	> 5 cm	18/163 (11%)			
	$\leq$ 5 cm	145/163 (89%)	0.747		
ECOG score	≤ 3	139 (83%)			
	> 3	28 (17%)	0.003	0.121	1.253 (0.942-1.667)
LDH	$\leq$ 215 U/L	133 (80%)			
	> 215 U/L	34 (20%)	0.01	0.113	0.993 (0.984-1.002)
ALC	$\geq 900/\mu L$	152 (91%)			
	< 900/µL	15 (9%)	0.06	0.258	1.279 (0.835–1.958)
CSF protein	> 90 mg/L	28/72 (39%)			
	$\leq$ 90 mg/L	44/72 (61%)	0.044	N/A	
Bcl-2	Negative	44/107 (41%)			
	Positive	63/107 (59%)	0.562		
Bcl-6	Negative	30/124 (24%)			
	Positive	94/124 (76%)	0.187		
CD10	Negative	112/133 (84%)			
	Positive	21/133 (16%)	0.073	0.455	0.324 (0.017-6.247)
MUM-1	Negative	18/130 (14%)			
	Positive	112/130 (86%)	0.042	0.339	3.943 (0.504-30.838)
Pathology phenotype	Non-GCB	100/123 (81%)			
	GCB	23/123 (19%)	0.056	0.626	0.485 (0.026-8.926)
AM	Supportive	14/151 (9%)			
	RTx alone	25/151 (17%)		0.000	0.078 (0.022-0.270)
	CTx alone	47/151 (31%)		0.002	0.121 (0.033-0.449)
	CTx + RTx	65/151 (43%)	0.000	0.000	0.070 (0.021-0.231)
HD-MTX-based CTx	No <sup>b</sup>	55/130 (42%)			
	Yes	75/130 (58%)	0.003	0.025	0.374 (0.158-0.886)

ALC, absolute lymphocyte count; CTx, chemotherapy; ECOG, Eastern Cooperative Oncology Group; GCB, germinal center B cell; HD-MTX, high-dose methotrexate; LDH, lactate dehydrogenase; RTx, radiotherapy

<sup>a</sup> Including those patients with incisional biopsy and stereotactic biopsy

<sup>b</sup> Including those patients with supportive treatment, radiotherapy alone, and chemotherapy without HD-MTX

(p = 0.001, HR = 0.193 (95% CI, 0.073-0.516); p = 0.000, HR = 0.070-0.121; and p = 0.025, HR = 0.374 (95% CI, 0.158-0.886), respectively). In terms of treatment, initial treatments including HD-MTX were

associated with a significantly better median OS of 45.0 (95% CI, 40.2–49.8) months when compared with those without HD-MTX with median OS of 23.0 months (95% CI, 5.3–40.7) (p = 0.003).



Fig. 2 Kaplan-Meier overall survival curve stratified by various risk factors. Factors including age > 72 years (a), ECOG > 3 (b), LDH level > 215 U/L (c), MUM-1 positivity (d), multifocal lesions (e), residual

## Prognostic factors and progression-free survival

At the last follow-up, 113 patients had experienced progression (progression, relapse, or death), and the median PFS was 17.0 months (95% CI, 13.6-20.4). The 1-year, 3-year, and 5year PFS probability rates were 58% (95% CI, 54-62%), 33% (95% CI, 29-37%), and 20% (95% CI, 16-24%), respectively. As summarized in Table 5, in the univariate analysis, LDH level > 215 U/L, ECOG > 3, multifocal lesions, residual tumor after operation, non-GCB phenotype, and palliative treatment were significant predictors of short PFS as shown in Fig. 3. Multifocal lesions were associated with a shorter median PFS of 12.0 months (95% CI, 6.2-17.8), compared with those with a single lesion with the median PFS of 20.0 months (95% CI, 9.3–30.7) (p = 0.006). ECOG > 3 was associated with a shorter median PFS of 6.0 months (95% CI, 0-12.4), compared with 18.0 months (95% CI, 13.5-22.5) for patients with ECOG  $\leq$  3 (p = 0.033). In the multivariate analysis, ECOG > 3, multifocal lesions, and palliative treatment were significant independent predictors of short PFS (p = 0.019, HR = 1.26 (95% CI, 1.04–1.53); p = 0.003, HR = 2.07 (95% CI, 1.29– 3.33); p = 0.00, HR = 1.14-7.44, respectively).

# Discussion

This study investigated the clinicopathological features of PCNSL from a single center in eastern China. The features of these patients are distinct from those reported by a previous study from other countries [5, 14, 15] (Table 6). These patients of our study are more likely to be younger, male predominance, more deep brain involvement, more multifocal lesions,



tumor after operation (f), chemotherapy without HD-MTX (g), and palliative treatment (h) are associated with worse overall survival

and more symptoms of increased intracranial pressure and focal deficit. In the current study, we demonstrated that gross total resection after operation is a significant independent favorable prognostic marker for OS. Moreover, ECOG > 3 and multifocal lesions are significant independent unfavorable prognostic markers for PFS. However, we failed to demonstrate any association of age and deep brain involvement with prognosis. In terms of treatment, our findings support the application of HD-MTX-based chemotherapy to improve OS.

The prognostic impact of many previously defined factors in PCNSL is controversial. The most consistent prognostic factors are age and performance status [10, 12]. In our retrospective analysis, we also found that ECOG was an independent prognostic factor for PFS. However, we failed to confirm the association between ECOG and OS. Moreover, we did not observe any association of LDH and deep brain involvement with either OS or PFS, which was not in accordance with the IELSG [10]. These differences may be attributed to the relatively distinct characteristics of Chinese patients with PCNSL.

The role of surgery in PCNSLs is commonly restricted to diagnostic biopsy. Aggressive surgery for PCNSL has been discouraged because of the high rates of significant postoperative neurologic deficits and other associated risks. It is believed that attempting surgical resection induces an unnecessary risk, since PCNSL is indeed highly sensitive to chemotherapy and radiotherapy. Moreover, the surgery resection is considered to be impractical because 65% of lesions involve deep brain structures and 44% of lesions are multifocal. At autopsy, lymphoma cells are infiltrative in the brain regions that no lesions were identified on radiographic imaging,

#### Table 5 Univariate and multivariate analyses of risk factors for progression-free survival

		Univariate analysis		Multivariate analysis	
Factor		No. (%) ( <i>n</i> = 167)	p value (log-rank test)	p value (cox regression)	Relative risk (95% CI
Age	> 72 years	13 (8%)			
	$\leq$ 72 years	154 (92%)	0.288		
Gender	Male	100 (60%)			
	Female	67 (40%)	0.981		
Multifocal lesions	No	94 (56%)			
	Yes	73 (44%)	0.006	0.003	2.075 (1.291-3.335)
Deep brain involvement	Present	109 (65%)			
	Absent	58 (35%)	0.257		
Biopsy type	Surgical	105 (63%)			
	Stereotactic	62 (37%)	0.194		
Gross total resections	No <sup>a</sup>	105 (63%)			
	Yes	62 (37%)	0.027	0.315	0.688 (0.322-1.427)
Tumor size	> 5 cm	18/163 (11%)			
	$\leq$ 5 cm	145/163 (89%)	0.786		
ECOG score	$\leq 3$	139 (83%)			
	> 3	28 (17%)	0.033	0.019	1.26 (1.039–1.528)
LDH	$\leq$ 215 U/L	133 (80%)			
	> 215 U/L	34 (20%)	0.003	0.244	0.996 (0.99-1.003)
ALC	$\geq 900/\mu L$	152 (91%)			
	< 900/µL	15 (9%)	0.265		
CSF protein	> 90 mg/L	28/72 (39%)			
	$\leq$ 90 mg/L	44/72 (61%)	0.214		
Bcl-2	Negative	44/107 (41%)			
	Positive	63/107 (59%)	0.658		
Bcl-6	Negative	30/124 (24%)			
	Positive	94/124 (76%)	0.222		
CD10	Negative	112/133 (84%)			
	Positive	21/133 (16%)	0.117		
MUM-1	Negative	18/130 (14%)			
	Positive	112/130 (86%)	0.181		
Pathology phenotype	Non-GCB	100/123 (81%)			
	GCB	23/123 (19%)	0.027	0.059	0.488 (0.232-1.027)
Treatment	Supportive	14/151 (9%)			
	RTx alone	25/151 (17%)		0.005	0.229 (0.083-0.634)
	CTx alone	47/151 (31%)		0.000	0.153 (0.069–0.337)
	CTx + RTx	65/151 (43%)	0.000	0.000	0.134 (0.062–0.292)
HD-MTX-based	No <sup>b</sup>	55/130 (42%)			
	Yes	75/130 (58%)	0.107		

ALC, absolute lymphocyte count; CTx, chemotherapy; ECOG, Eastern Cooperative Oncology Group; GCB, germinal center B cell; HD-MTX, high-dose methotrexate; LDH, lactate dehydrogenase; RTx, radiotherapy

<sup>a</sup> Including those patients with incisional biopsy and stereotactic biopsy

<sup>b</sup> Including those patients with supportive treatment, radiotherapy alone, and chemotherapy without HD-MTX

indicating that the total surgical resection is impossible [16]. In agreement with these, most retrospective studies have failed to confirm a survival benefit of surgical resections [5, 17]. However, this long-held belief regarding the therapeutic role

of surgery resection for PCNSL has been challenged in recent years by a secondary retrospective analysis [18]. In this secondary analysis of the German PCNSL Study Group-1 phase 3 trial, patients with gross or subtotal total resections had



**Fig. 3** Kaplan-Meier progression-free survival curve stratified by various risk factors. Factors including LDH level > 215 U/L (**a**), ECOG > 3 (**b**), non-GCB phenotype (**c**), multifocal lesions (**d**), residual tumor after

operation (e), and palliative treatment (f) were associated with short progression-free survival

significantly longer PFS and OS, compared with biopsied patients. When the results were adjusted for numbers of lesions, the PFS benefit was still statistically significant [18]. Recently, a comprehensive review including 24 articles showed that these 15 articles against surgery resection were of relatively small sample sizes and outdated chemotherapies, on the other side, the 9 articles supporting surgery resection were larger and more recent series [19]. In our series, a high percentage of 63% patients received surgical resection. This may be attributed to the low percentage of preoperative diagnosis of cerebral lymphoma by imaging findings. We found that gross total resections significantly improved OS compared with patients with residual mass, which was confirmed as an independent prognostic factor in the multivariate analysis. Moreover, technical advances in neurosurgery have contributed to the safety of surgical resections of PCNSL [20, 21]. Therefore, future studies are warranted to evaluate tumor removal in PCNSL patients. Despite increasing evidences challenging the traditional concept of surgical resections, these evidences remain insufficient to support its routine use. We propose the reconsideration of tumor removal in the treatment of single lesions amenable to resection, as long as the resection seems safe.

The prognostic value of multifocal lesions (extent of brain disease) has been debated. Multifocal lesions are demonstrated as an independent adverse prognostic factor for OS but not for PFS in the Nottingham/Barcelona score system [11]. In contrast, multifocal lesions are not confirmed as a prognostic factor associated with prognosis in the IELSG prognostic index [10] and in almost all subsequent studies [13]. In our analysis, multifocal lesions were an independent adverse prognostic factor for PFS. Patients with multifocal lesions had a dramatically shorter median PFS, compared with those with a single lesion. In theory, similar to the role of more than one extranodal site in the IPI score for systemic NHL, multifocal lesions (extent of brain disease) correspond with advanced stage, which inevitably indicated a poor prognosis. However, further investigations are required to confirm the prognostic value of multifocal lesions.

Most patients with PCNSL are DLBCL similar to systemic NHLs. However, compared with systemic DLBCLs, PCNSLs have more non-GCB subtype and bear a worse prognosis (13.7 months vs. 30.9 months) [22]. Hans et al. have proposed an algorithm including a cluster of immunohistochemical markers (MUM-1, CD10, and Bcl-6) to differentiate the GCB subgroup from the non-GCB subgroup, the latter corresponding to poor prognosis in systemic lymphoma [8, 23]. However, the prognostic impact of the Hans algorithm on PCNSL is controversial. In certain studies, GCB or Bcl-6 has been determined to be a favorable prognostic marker [24], whereas other studies did not reveal any significant association of these factors with prognosis [6, 25]. In agreement with previous research [14], the majority (81%) of our PCNSLs belonged to the non-GCB subgroup. When compared with the GCB subtype, non-GCB subtype appears to be more adverse in both OS and PFS, although no statistical difference was observed in the multivariate analysis.

Factors		This study ( <i>n</i> = 167)	Bataille B (2000) [5] ( <i>n</i> = 248)	Preusser M (2010) [14] ( <i>n</i> = 75)	Dalia S (2014) [15] ( <i>n</i> = 89)	p value
Country		China	France	Austria	America	NA
Age	$\geq$ 60 years	88	161	42	48	0.028
	< 60 years	79	87	20	41	
Gender	Male	100	121	39	59	0.015
	Female	67	127	37	30	
Multifocal lesions	Yes	94	43	20	NA	0.00
	No	73	84	42		
Deep brain involvement	Present	109	84	NA	26	0.00
	Absent	58	112		60	
Biopsy type	Surgical	105	111	17	NA	0.00
	Stereotactic	62	132	44		
Increased intracranial pressure	Yes	83	83	NA	NA	0.00
	No	84	165			
Focal deficit	Yes	50	174	NA	44	0.00
	No	117	74		45	
Seizures	Yes	16	35	NA	15	0.21
	No	151	213		74	
Vitreous involvement	Yes	12	9	NA	6	0.24
	No	155	239		83	
Pathology Type	B cell type	160	154	75	89	0.18

Notes: Non-diffuse large B cell type PCNSL were excluded

NA: no data available

So far, there are no standard therapeutic approaches for PCNSLs. The cornerstone of effective chemotherapy is methotrexate. The current treatment strategy is to optimize the frontline therapy, such as combined treatment of HD-MTX with other drugs, WBRT, or ASCT to increase the survival while limit toxicities. Ferreri et al. reported that the combination of HD-MTX with cytarabine has significant advantages over HD-MTX alone in a randomized phase 2 trial [26]. Combination arm has significantly higher complete responses compared with those with MTX alone (46% vs. 18%, p = 0.006). The IELSG32 trial (a multicenter randomized phase 2 trial) evaluated the efficacy of adding rituximab with or without thiotepa to methotrexate-cytarabine combination therapy at initial randomization, inducing three different induction chemotherapy groups: group 1 (methotrexate and cytarabine), group 2 (rituximab, methotrexate, and cytarabine), and group 3 (rituximab, thiotepa, methotrexate, and cytarabine). The IELSG32 trial indicates that more induction drugs are associated with much higher complete response rates and better survival [27, 28]. Whole-brain radiotherapy (WBRT) is an effective treatment method for PCNSL, but WBRT alone is associated with a low OS of only 12-18 months [29, 30]. Therefore, investigations have also assessed the employment of radiotherapy to HD-MTX-based chemotherapy. The G-PCNSL-SG-1 trial (randomized phase 3 trial) evaluated the efficacy of HD-MTX-based chemotherapy with or without WBRT [31]. This trial demonstrated that the WBRT group has a longer PFS than the control group. However, patients in the WBRT group do not have any OS benefit, but have a higher rate of delayed neurotoxicity, which indicates that consolidation therapy of WBRT does not improve OS. The results of the G-PCNSL-SG-1 trial were criticized because of several defects in the study design and undertaking. ASCT has been a standard consolidation therapy for aggressive systemic NHL [32]. In the IELSG32 trial, patients with responsive or stable disease after induction treatment were assigned to the WBRT or ASCT group at the second randomization, and the authors concluded that consolidation therapy of WBRT is as effective as ASCT for PCNSLs [28]. In our study, we confirmed that initial treatments including HD-MTX were associated with a significantly better median OS compared with those without HD-MTX in both univariate and multivariate analyses. However, we found that initial treatments with radio-chemotherapy did not improve OS and PFS when compared with chemotherapy or radiotherapy alone. This finding may be attributed to the fact that the majority of these patients were treated with WBRT as a salvage therapy after the failure of induction chemotherapy, rather than consolidation. Therefore, this conclusion must be cautiously interpreted in light of the retrospective nature of our study.

This study has several limitations including similar biases present in other retrospective studies. Our patients were scattered throughout the departments of neurology, oncology, radiotherapy, and hematology; thus, treatment options and therapy dosing for each patient were physician dependent. Additionally, we did not subclass the chemotherapy regimens for further survival analysis although it is known that patients with different chemotherapy regimens tend to have different prognosis. Moreover, more than half of our patients were not evaluated by lumbar puncture, so we did not include CSF protein levels in the multivariable Cox regression analyses, which may confound the final results, even though CSF protein levels have been found to negatively impact PCNSL [33, 34]. Even so, to the best of our knowledge, this study is the largest series of PCNSL patients reported from China and represents at least some of the characteristics of Chinese patients.

# Conclusions

In summary, in this 14-year retrospective study of PCNSL in a single center from China, we retrospectively analyzed the demographic and clinicopathological features of PCNSL and investigated the potential prognostic factors for OS and PFS. Gross total resection is an independent favorable prognostic factor for OS. ECOG > 3 and multifocal lesions were independent adverse prognostic factors for PFS. Initial treatments including HD-MTX are associated with a significantly better median OS. We propose the reconsideration of tumor removal in the treatment of single lesions amenable to resection, as long as the resection seems safe. More studies with much larger patient cohorts are warranted to illuminate the prognostic factors and optimum treatments for Chinese patients with PCNSL.

**Authors' contributions** XG Yuan analyzed the patient data and wrote the manuscript. Yu-Rong Huang, T Yu, Y Liang, and XH Zhang collected clinical data; Y Xu performed the statistical analysis; Xiao-Ying Zhao designed and supervised the research project. CR Sun analyzed and interpreted the patient data regarding the surgery and evaluations of cranial magnetic resonance (MRI). All authors read and approved the final manuscript.

**Funding information** This work was supported by Zhejiang Provincial Key Innovation Team (No. 2011R50015), National Natural Science Foundation of China grants (No. 81572920), National Basic Research Program of China (No. 2013CB911303), and Natural Science Foundation of Zhejiang Province of China (No. LY15H160038).

## **Compliance with ethical standards**

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethics approval** This study was approved by the Human Ethics Committee of the Second Affiliated Hospital, School of Medicine, Zhejiang University, China. All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

**Informed consent** An informed consent was obtained from all individual participants included in the study.

Abbreviations PCNSL, primary central nervous system lymphoma; NHL, non-Hodgkin's lymphoma; HIV, human immunodeficiency virus; DLBCL, diffuse large B cell lymphoma; GCB, germinal center B cell; Non-GCB, non-germinal center B cell; IELSG, international Extranodal Lymphoma Study Group; LDH, lactate dehydrogenase; CSF, cerebrospinal fluid; OS, overall survival; KPS, Karnofsky scores; PFS, progressionfree survival; ALC, absolute lymphocyte count; MRI, magnetic resonance imaging; HD-MTX, high-dose methotrexate; ASCT, autologous stem cell transplantation

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