#### **ORIGINAL ARTICLE**



# Epidemiological, clinical and genetic characterization of aplastic anemia patients in Pakistan

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#### Abstract

Aplastic anemia (AA) is the most serious non-malignant blood disorder in Pakistan, ranked second in prevalence, after thalassemia. We investigated various epidemiological, clinical, and genetic factors of AA in a Pakistani cohort of 214 patients reporting at our hospital between June 2014 and December 2015. A control group of 214 healthy subjects was included for comparison of epidemiological and clinical features. Epidemiological data revealed 2.75-fold higher frequency of AA among males. A single peak of disease onset was observed between ages 10 and 29 years followed by a steady decline. AA was strongly associated with lower socioeconomic profile, rural residence, and high rate of consanguineous marriages. Serum granulocyte colony-stimulating factor and thrombopoietin levels were significantly elevated in AA patients, compared to healthy controls (P < 0.0001), while there was no statistical significance in other nine cytokine levels screened. Allele frequencies of DRB1\*15 (56.8%) and DQB1\*06 (70.3%) were predominantly high in AA patients. Ten mutations were found in *TERT* and *TERC* genes, including two novel mutations (Val526Ala and Val777Met) in exons 3 and 7 of *TERT* gene. Despite specific features of the AA cohort, this study suggests that epidemiologic and etiologic factors as well as host genetic predisposition exclusively or cooperatively trigger AA in Pakistan.

Keywords Aplastic anemia · TERT and TERC mutations · Cytokine · HLA · Epidemiology

# Introduction

Aplastic anemia (AA) is a rare and life-threatening hematopoietic disease characterized by peripheral blood pancytopenia

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accompanied with trilineage bone marrow (BM) aplasia. AA may develop at any stage of life [46, 48]. Most of the cases are caused by immune-mediated destruction of hematopoietic stem and progenitor cells by activated cytotoxic T lymphocytes (CTLs) inducing apoptosis in these cells. A significant proportion of AA patients respond to immunosuppressive treatment (IST) quite well, providing the best evidence for underlying immune pathophysiology of the disease. However, the majority of the cases are labeled as idiopathic because of inability to find a direct cause of disease. AA can transform to myelodysplastic syndrome (MDS) or acute myeloid leukemia (AML) in some patients due to clonal evolution.

In AA, CD8+ CTLs with restricted TCR diversity (oligoclonal T cells) are expanded which secrete proinflammatory cytokines, such as IFN- $\gamma$  and TNF- $\alpha$ . This results in elevated cytokine levels in the bone marrow and peripheral blood, inducing apoptosis of CD34+ cells [43]. The signature cytokine profile of AA also includes high levels of thrombopoietin (TPO) and granulocyte colony-stimulating factor (G-CSF) and low levels of some cytokines, such as CD40 ligand (CD40L), chemokine (C-X-C motif) ligand 5 (CXCL5), and epidermal growth factor (EGF) [10].

Association of some human leukocyte antigen (HLA) alleles with AA has also been reported by multiple studies, indicating influence of HLA polymorphism on AA pathophysiology [28, 32]. The HLA system is a gene complex encoding the major histocompatibility complex proteins in human. HLA genes are located at chromosome 6p2.13 and play crucial roles for immune regulation.

A subgroup of AA patients displays abnormal telomere shortening, due to mutations of telomerase and other telomere components [6]. Telomeres (TTAGGG in all vertebrates) are terminal cap structures of eukaryotic chromosomes and essential for chromosome integrity by preventing DNA degradation, end-to-end fusions, and rearrangements. A ribonucleoprotein enzyme, telomerase, maintains telomeres by synthesizing de novo repeats. The catalytic core of telomerase consists of two major components: a catalytic subunit of telomerase reverse transcriptase (*TERT*) and an RNA template (*TERC*). Telomerase activity is rate-limiting by transcriptional regulation of *TERT* [7].

According to epidemiological studies, the estimated annual incidence of AA is two per million in Western countries. The incidence rate is two to three times higher in Asian population, but the cause for this is still not clear [19]. In Pakistan, AA is the second most common serious non-malignant blood disorder after thalassemia [12]. AA has been reported predominantly in young adult male population [39]. According to a report in 2008, half of the patients undergoing bone marrow transplant (BMT) in Pakistan had AA [37].

To gain insight into the genetics and pathophysiology of AA in the Pakistani population, we investigated samples from AA patients reporting at the Armed Forces Bone Marrow Transplant Centre, Rawalpindi, Pakistan. This is the first study where examination of socioetiological data, cytokine profiling, HLA allelic association, and mutations in *TERT* and *TERC* genes was simultaneously studied to dissect a high incidence of AA cases in Pakistani population.

# Methods

#### Study setting

Our center is the biggest center of clinical hematology and bone marrow transplantation in Pakistan, a country with population of 207 million according to 2017 census. It is a referral center for whole of the northern part and major area from central part of the country. The center has carried out more than 800 allogeneic HSCT that includes 330 cases of AA. The center is a 54-bedded facility with a team of 10 bone marrow transplant physicians.

#### Patients

This study was approved by institutional review boards of Armed Forces Bone Marrow Transplant Center (AFBMTC), Pakistan and National Heart Lungs and Blood Institute (NHLBI) at National Institutes of Health (NIH), USA. Patients' rights were protected in accordance with the Helsinki declaration. Informed written consent was taken from all patients before drawing blood sample for research. A detailed questionnaire was also filled by trained experts through direct interview detailing the patient and disease demographics. Blood samples were collected from 214 consecutive patients with AA reporting at AFBMTC between June 2014 and December 2015. The diagnosis of AA was based on the BM and blood count criteria of the International Agranulocytosis and Aplastic Anemia Study, and patients were classified as moderate, severe, and very severe AA using Camitta criteria.

Conventional karyotyping was carried out to identify chromosomal breakages. For Fanconi Anemia screening, Giemsatrypsin banding technique was used on blood samples. Cells were cultured for 72 h with PHA at 37 °C with and without Mitomycin C. Twenty metaphases were examined with clastogenic stress and 20 without any stress. Microscopy was done for chromosomal aberrations such as chromatid breaks and exchanges. Paroxysmal nocturnal hemoglobinuria (PNH) screening was carried out as per guidelines proposed by Parker et al. [30]. Briefly, we carried out staining of two glycosylphosphatidylinositol-anchored proteins (GPI-AP) named CD59 (FITC) and CD55 (PE) antibodies (Becton Dickinson, USA) on BD FACS Calibur flow cytometer. Whole blood was stained using stain and wash technique. Red blood cells (RBCs) were selected for analysis using Cell Quest software. A total of 50,000 RBCs were acquired for analysis. Among these, 50 RBCs found deficient for CD55/59 were considered as a deficient clone, making sensitivity 0.1%.

HLA test was done in 74 patients to search for HLAmatched sibling donor and was offered HSCT if case matched donor was available. Not all patients with AA referred to our center are registered for BMT for various reasons like lack of entitlement, health insurance, inadequate funding, long waiting list, and lost to follow-up. HLA typing is done only for patients registered for treatment in the center. In patients with no HLA matched donor or comorbidities and those with non-severe AA were offered antithymocyte globulin (ATG) plus cyclosporin A (CsA) or CsA alone. CsA alone was given to patients who could not afford cost of ATG. Standard conditioning using ATG with cyclophosphamide with or without ATG was used as conditioning regimen for HSCT. Post-transplant GVHD prophylaxis consisted of CsA with or without short methotrexate. CsA was gradually tapered off after 9 months and stopped at 12 months post

HSCT. Rabbit ATG (Fresenius) was given as 10–12 h infusion in a dose of 5 mg/kg/day for 5 days. CsA was added on day + 1 post ATG in a dose of 5–7 mg/kg to aim plasma trough level around 200 ng/mL with monitoring of renal functions. CsA was continued and stopped if there was no response after 6 months. In case of response, it was continued and dose was adjusted according to blood counts and side effects. In case of complete remission, CsAwas gradually reduced every 8–12 weeks and was either stopped or kept at as minimum dose as possible with regular monitoring of blood counts and side effects.

### Controls

A control group of age and sex-matched healthy blood and marrow donors (n = 214) was also included in the study for comparison of sociodemographic variables. Blood samples were collected from 42 of these healthy volunteers for comparison of serum cytokine profile with that of AA patients.

#### **Mutation analysis**

Genomic DNA (gDNA) was extracted from whole blood and peripheral blood mononuclear cells (PBMCs) of AA patients using the DNeasy Blood and Tissue kit (Qiagen, Valencia, CA, USA), according to the manufacturer's protocol. DNA was successfully extracted from 190 out of 214 patients. For mutation analysis, polymerase chain reaction (PCR) amplification of *TERT* and *TERC* genes was performed with appropriate primer sets and conditions as described previously [5, 44]. Purified PCR products were subjected to direct sequencing with the BigDye Terminator v3.1 Cycle Sequencing kit (Thermo Fisher Scientific, Waltham, MA, USA) and the 3130xl Genetic Analyzer (Thermo Fisher Scientific).

### Cytokine expression profiling

Serum specimens were separated from clotted blood of 214 AA patients and 42 controls within 1 hour of collection, aliquoted, and stored at -80 °C. Serum cytokine levels were measured by Magnetic Luminex Screening Assay using the Human Premixed Multi-Analyte kit composed of panels of capture antibody coated beads and labeled detection antibodies. The premixed kit used in this study contained 11 analytes (TNF- $\alpha$ , IL-1b, IL-17A, G-CSF, IL-6, INF- $\gamma$ , IL-2, Tpo, IL-10, IL-4, and IL-17F) (R&D Systems, Minneapolis, MN, USA). In brief, Luminex assay was performed with 50  $\mu$ l of 2-fold diluted serum/well in duplicate using the Premixed Multi-Analyte kit, according to manufacturer's instructions, followed by analysis using Bio-Plex 200 System (Bio-Rad, Hercules, CA, USA). Assay sensitivity varied from 0.1 to 23.4 pg/ml depending on the analyte.

#### HLA typing

HLA typing was carried out in 74 of our patients by PCR with sets of sequence specific primers (SSP) [2, 3] or serological technique [24]. The frequencies of HLA class I, II, DRB, and DQB antigens expressed in >15% of patients are given in Supplemental Table 3. For comparison with normal healthy population, historical controls (approximately 2300) were searched from previously published literature reporting Pakistani HLA data [18, 25, 27, 31, 33].

#### **Statistical analyses**

The descriptive statistics were performed using SPSS version 17.0. It included frequency distribution of different patients and disease related factors. Variables like patient age, hematological parameters, and number of transfusions are shown as median with range, whereas serum cytokine levels were represented as means  $\pm$  standard deviation (SD). GraphPad PRISM software version 6 was used for analysis of variance using Kruskal–Wallis test to compare serum levels of cytokines, growth factors, and hormones between different patient groups and control subjects. A *P* value < 0.05 was considered statistically significant.

# Results

# Epidemiological and clinico-hematological characteristics

Patients and disease characteristics are shown in Table 1. Out of 214 patients, 157 (73.4%) were male and 57 (26.6%) were female, showing a 2.75-fold higher frequency of AA among males. A single peak of disease onset was observed between ages 10 and 29 years in both males (67.5%) and females (50.8%) followed by a steady decline. Male and female patients exhibited similar hematological parameters (hemoglobin, platelet count, absolute neutrophil counts, and reticulocytes). Ten out of 214 patients (4.7%) were tested positive for presence of PNH clones. Cytogenetic studies were available in 195 out of 214 patients, all of them carrying normal findings. Conventional metaphase karyotyping was carried out in 184 cases. For rest of the cases, the test could not be done for various reasons. No structural abnormalities were observed on routine cytogenetics. Severity of disease varied slightly between the groups with more male patients labeled with severe AA (51%) compared to females (40.4%). In our cohort, 57 male and 9 female patients underwent HSCT with a complete response of 86% and 100%, respectively. Response to IST was rather poor with 12 out of 55 male and 7 out of 25 female patients achieving sustained CR beyond 6 months. Sixty-six of our patients (44 males and 22 females) who were

Table 1 Characteristics of patients

Characteristics	<i>n</i> = 214
Gender	
Male	157 (73.4%)
Female	57 (26.6%)
Age at diagnosis (years)	
<5	1 (0.5%)
5–9	26 (12.1%)
10–29	135 (63%)
30–49	29 (14%)
> 50	23 (11%)
Medication history	
Immunosuppressants	1
Antimalarial drugs	1
Hakeemi medicine (traditional medicine)	9
Antihypertensives	1
Steroids	6
NSAIDs	1
Antibiotics	16
Antidepressants	1
Disease history	
Worms in stool	12
Piles	3
Tuberculosis	2
Malaria	11
Typhoid	л Д
History of jaundice	ч 0
Rhoumatoid arthritis	2
Hometological parameters: modian (rango)	2
Plotalat count ( $\times 10^9$ /L)	11 (0, 252)
A headlute neutranhil count ( $\times 10^{9}/L$ )	11(0-333)
Absolute fleurophin count (* 10 /L)	0.0(0.03-3.9)
Retirelogitor (%)	0.2(0.01, 2.5)
Reliculocytes (%)	0.2 (0.01-5.5
Profile (CD 55, CD59)	204 (05.201)
Positive	204 (93.3%)
Negative	10 (5%)
Disease severity	50 (00.000)
Non-severe AA	50 (23.3%)
Severe AA	103 (48.1%)
Very severe AA	61 (28.5%)
Median time from diagnosis to initial treatment (weeks)	5.6
Transfusions before treatment: median (range)	
No. of RCC units	19 (0–200)
No. of platelets units	35 (0-500)
Treatment and response to HSCT	66 (31%)
CR	58 (27.1%)
PR	4 (2%)
NR	4 (2%)
LFU	0
IST (ATG plus CsA)	80 (37.4%)
CR	19 (9%)

<i>n</i> = 214			
28 (13%)			
32 (15%)			
1 (0.5%)			
66 (31%)			
4 (2%)			
6 (3%)			
51 (24%)			
4 (2%)			
2 (1%)			

Table 1 (continued)

*NSAIDs* non-steroidal anti-inflammatory drugs, *PNH* paroxysmal nocturnal hemoglobinuria, *AA* aplastic anemia, *RCC* red cell concentrate, *HSCT* hematopoietic stem cell transplant, *CR* complete remission, *PR* partial remission, *NR* non responder, *LFU* lost to follow-up, *IST* immunosuppressive therapy, *ATG* antithymocyte globulin, *CsA* cyclosporin

undergoing pretransplant assessment or had no HLA matched donor were put on supportive treatment, comprising of oral hematinics, growth factors, and blood component support. Four of them recovered spontaneously with sustained increase in blood counts.

Epidemiological features of patients and controls are shown in Table 2. Among different occupations, students of both genders displayed high incidence of disease (52.2% in males and 33.3% in females, P < 0.0001). Regardless of gender, AA was strongly associated with a low socioeconomic profile (56.54% in patients compared to 16.35% in controls, P < 0.0001) and rural habitat (59.3% in patients and 36.9% in controls respectively, P < 0.0001). Another factor significantly associated with the incidence of AA in the country was high degree of consanguinity especially first or second cousins (87.9% male and 78.9% female). The sociodemographic variables found significantly associated with AA in univariate analysis were entered into a multivariate logistic regression model, and odd ratios with 95% confidence interval are shown in the form of a forest plot (Supplemental Figure 2).

# Serum cytokine expression profiles in AA patients

To measure cytokine levels of our AA patients, we selected 11 cytokines described previously in AA. Cytokine measurement was performed on serum samples of AA patients divided into various treatment groups and one control group as indicated in Fig. 1. Compared to 42 healthy individuals of control group, significantly higher serum G-CSF levels were observed in three patient groups; pretreatment group (61 males and 23 females, P < 0.0001), cyclosporin A group (22 males and 7 females, P < 0.0001), and supportive therapy group (25 males and 18 females, P < 0.0001). Among post-treatment groups, G-CSF levels were significantly lower in partial (9 males and 9 females,

# Table 2 Sociodemographic features of AA patients and controls

	Patients			Controls			Chi square	P value
Characteristics	Male, <i>n</i> (%) 157 (73.4)	Female, <i>n</i> (%) 57 (26.6)	Total 214	Male, <i>n</i> (%) 157 (73.4)	Female, <i>n</i> (%) 57 (26.6)	Total 214		
Occupation								
Private work	19 (12.1)	2 (3.5)	21	21 (13.3)	2 (3.5)	23		
Government job	33 (21)	3 (5.26)	36	65 (41.4)	9 (15.8)	74		
Farmers	15 (9.5)	1 (1.7)	16	3 (1.9)	0	3		
Student	82 (52.2)	19 (33.3)	101	43 (27.3)	13 (22.8)	56		
Unemployed	7 (4.45)	29 (50.8)	36	9 (5.7)	25 (43.8)	34	49.4	< 0.0001
Underage	1 (0.63)	3 (5.26)	4	16 (10.1)	8 (14)	24		
Education								
Primary	36 (23)	7 (12.3)	43	19 (12.1)	9 (15.8)	28		
Secondary	29 (18.5)	7 (12.3)	36	34 (21.6)	5 (8.7)	39		
,	73 (46.5)	27 (47.4)	100	81 (51.5)	29 (50.8)	110		
College/univer-								
sity Illiterate	19 (12 1)	16 (28)	35	23 (14.6)	14 (24 5)	37	3 82	0.28
Socioeconomic status	1) (12.1)	10 (20)	55	25 (14.0)	11 (21.5)	51	5.02	0.20
I ow	86 (54.8)	35 (61.4)	121	29 (18.4)	6 (10.5)	35		
Middle	70 (44 6)	20(351)	90	124(78.9)	48 (84 2)	172	74 67	< 0.0001
High	1 (0.63)	20(35.1)	3	4 (2 5)	3 (5 2)	7	/4.07	< 0.0001
Marital status	1 (0.05)	2 (5.5)	5	+ (2.5)	5 (5.2)	,		
Married	46 (29 3)	24 (42 1)	70	66 (42)	39 (68 4)	105		
Unmarried	111(707)	24 (42.1)	144	91 (57 9)	18 (31 5)	109	11 17	0.0008
Consanguinity	111 (70.7)	55 (57.7)	144	<i>y</i> 1 ( <i>3</i> 7. <i>3</i> )	10 (51.5)	109	11.17	0.0000
First cousins	138 (88)	45 (79)	183	66 (42)	22 (38 5)	88		
Related only	4 (2 5)	2 (3 5)	6	45 (28.6)	11 (19 3)	56	94 94	< 0.0001
Unrelated	+ (2.5) 15 (9.5)	2(5.5)	25	46 (29.2)	24(471)	50 70	)-1.)-1	< 0.0001
Ethnicity	15 (9.5)	10 (17.5)	25	TO (2).2)	24 (47.1)	70		
Punjabi	70 (44 6)	33 (57.9)	103	120 (76.4)	42 (73.6)	162		
Pathan	55 (35)	8 (14)	63	120(70.4)	9 (15 7)	25		
Siraiki	13 (8 3)	2(35)	15	2(127)	3 (5 2)	5		
Kashmiri	6 (3.8)	2 (5.5) 4 (7)	10	2 (1.27) 9 (5 7)	3 (5 2)	12		
Hazar e wal	5 (3.18)	3 (5 26)	8	4 (2.5)	0	4	40.0	< 0.0001
Gilgiti	6 (3.82)	4 (7)	10	4 (2.5)	0	4	10.0	0.0001
Sindhi	2(1.27)	1(17)	3	1(0.6)	0	1		
Balochi	0	2(35)	2	1(0.6)	0	1		
Living areas	0	2 (5.5)	2	1 (0.0)	Ū	1		
Large city	29 (18 5)	15 (26 3)	44	48 (30 5)	25 (43.8)	73		
Small city	19(121)	10(175)	20	35 (22 3)	11 (19 2)	46		
Town	8 (5 1)	6 (10 5)	14	12(7.6)	4 (7)	16		
Village	101 (64 3)	26 (45 6)	127	62 (39.4)	$\frac{17}{(29.8)}$	79	22.36	< 0.0001
Smoking/drugs history	101 (04.5)	20 (45.0)	127	02 (39.4)	17 (29.6)	12	22.30	< 0.0001
No	130 (88 5)	56 (98.2)	105	128 (81.5)	55 (96.4)	183		
Vec	18 (11.5)	1(1.75)	10	29 (18 5)	2 (3 5)	31	2 74	0.008
Exposure	10 (11.3)	1 (1.73)	17	27 (10.3)	2 (3.3)	51	2.1 <b>T</b>	0.090
Solvents	13 (8 3)	1 (1 75)	14	39 (24.8)	6 (10.5)	45		
Pesticides	20(12.7)	2(35)	22	37(2+.0)	7 (12 2)	28 28		
Water	20 (12.7)	2 (3.3)	<i>LL</i>	51 (17.7)	/ (12.2)	50		
Boiled	8 (5 1)	3 (5 3)	11	83 (53)	33 (57.8)	116	121.1	< 0.0001
Doned	0 (3.1)	5 (5.5)	11	05 (55)	55 (57.6)	110	141.1	< 0.0001

# Table 2 (continued)

	Patients			Controls			Chi square	P value	
Not boiled	149 (94.9)	54 (94.7)	203	74 (47.1)	24 (42.1)	98			
Toilet									
Septic flush	146 (93)	54 (94.7)	200	137 (87.3)	49 (85.9)	186	4.46	0.035	
Non-septic flush	11 (7)	3 (5.3)	14	20 (12.7)	8 (14)	28			

The figure shows differences in sociodemographic features among patient and control group. There was significant difference found among the two groups in occupation, socioeconomic status, marital status, consanguinity, ethnicity, living community, source of drinking water, and sanitary facility

P < 0.0001) and complete responder (53 males and 12 females, P < 0.0001) groups than pretreatment group. Compared to G-CSF, TPO levels were extremely variable between the groups. All seven AA groups showed significantly elevated TPO levels than control group (Fig. 1). Similarly, the pretreated group had significantly higher (P < 0.0001) TPO levels than those of complete responders. Modestly higher INF- $\gamma$  levels were detected in the pretreated, CsA, ATG, and BMT groups. The levels however returned to within normal range in posttreatment groups. IL-2 was also found to be higher in certain groups but the difference was statistically nonsignificant compared to controls. No significant differences were observed for other cytokine levels among various patient groups and controls (TNF- $\alpha$ , IL-4, IL-1 $\beta$ , IL-6, IL-17A, IL-17F, and IL-10).

Next, we classified AA patients into three broad groups (pretreatment, during treatment, and post-treatment) and their serum cytokine levels were subjected to multiple group comparisons (Supplemental Figure 1). A similar trend in serum G-CSF and TPO levels was observed in these groups with highest levels among pretreatment and lowest among posttreatment group. No significant difference was observed in other nine cytokines between any of the four groups.

# **HLA typing**

Multiple studies have demonstrated that specific HLA alleles confer susceptibility to AA. In this study, we examined HLA allele frequency in 74 AA patients whose HLA typing was carried out in order to find HLA matched donor. Based on the data, we selected HLA alleles with more than 15% frequencies in AA patients and compared it with that of corresponding alleles in healthy Pakistani population by extracting data from previously published reports (Supplemental Figure 2; Supplemental Table 1). DRB1\*15 (56.8%) and sum of DQB1\*06 (70.3%), which comprised of DQB1\*06 (35.1%), DQB1\*0601 (25.7%), and DQB1\*0603 (12.2%), were dominant alleles in the patient group. These HLA frequencies were significantly higher than those of the corresponding healthy individuals (Fig. 2).

# Mutation analysis of TERT and TERC genes in AA patients

TERT and TERC mutations are well documented as risk factors for developing AA. We therefore screened mutations of these genes in Pakistani AA patients with various clinical characteristics (Table 1) using the direct sequencing method. DNA was successfully extracted from 190 out of 214 patients. Of the190 patients sequenced, nine patients carried heterozygous non-synonymous variants of TERT and one young female patient (12 years old) had a homozygous 36 C>T TERC mutation (Table 3). Among seven different TERT mutations, Val526Ala present in the N-terminus was a novel mutation while Val777Met located in the TERT-reverse transcriptase (RT) region was previously found during our routine TERT mutation screening of AA patients (data not published). One female patient (21 years old) carried two TERT mutations (Val777Met and Arg972Cys). Three patients had the same Ala279Thr while two had Ala1062Thr. Clinical and epidemiological features of the ten patients with TERT and TERC mutations are shown in Supplemental Table 2 and Supplemental Table 3, respectively. Two patients with TERT Val777Met and TERC 36C>T mutations respectively, had siblings with AA, strongly indicating a genetic predisposition in these patients.

# Discussion

AA is a condition of bone marrow hypoplasia resulting from increased destruction of hematopoietic progenitor cells. Etiology of AA is poorly understood and involves a complex interplay of environmental, clinical, genetic, and immunological risk factors. In this study, simultaneous assessment of these risk factors was carried out for the first time in a cohort of 214 Pakistani AA patients enrolled at our center during a period of 18 months. It is a tertiary care facility providing comprehensive care including bone marrow transplant to patients suffering from various hematological diseases. There are only four centers providing bone marrow transplant facility in the country, and ours being the biggest and the only public sector hospital gets maximum number of patients



Fig. 1 Serum cytokine levels among different groups



Fig. 2 Frequency of HLA alleles among AA patients and healthy controls

referred from all over the country. A large study on epidemiology of AA in 1324 patients from our center is already in its final stages. Incidence of AA is high in Pakistan with current estimates being 4–5/million (unpublished data from our center).

AA incidence is more common in Asia than in the West with a 2–3-fold higher ratio. Even in Asia, AA frequency varies among different countries, such as 7.4/million in China and 3/million in Thailand [13, 14]. Till date, no study has been reported to establish exact reasons of increased incidence of AA in Asian countries. However, it is plausible that variable AA rates might reflect variations in environmental exposures in addition to underlying genetic factors, since a wide range of disease prevalence is observed among geographically different regions in the world [22, 47]. From the fact that the frequency of AA in Asian residents in Hawaii is at par with that of Americans (unpublished data), the

environmental factors appeared to be more influential than the genetic predisposition (unpublished data).

In our study, the age and sex distribution of AA was comparable to data from other Asian regions, such as Bangkok, China, and India [15, 23, 42]. This and other studies from Pakistan have shown a single patient age peak of AA incidence [8]. This is in contrast to the bimodal patient age peak (one in younger adults and a second in the elderly) observed in Thailand [13] and Barcelona [26]. The overrepresentation of students (age between 10 and 29 years) among our patients might be due to enrollment of cases in a specialized BMT facility. This phenomenon may also be attributed to lower average life expectancy in Pakistani population (66.3 years). Further, a high rate of consanguinity was seen in our AA cohort, speculating that accumulation of some genetic factors might have increased susceptibility to AA in these subjects. A recent study in Pakistan has demonstrated significant association of AA with a lower socioeconomic profile and high consanguinity among parents [39]. Most of the other epidemiological features of our patients were also consistent with those previously reported from the country. Collectively, though, certain environmental risk factors were found in our AA patients, but no definitive association of these etiological agents could be asserted in most of the patients in our study.

Different growth factors, cytokines, and/or chemokines regulate hematopoiesis in an autocrine/paracrine manner. In this study, we measured 11 cytokines in serum samples on Pakistani AA patients as these cytokines are thought to play crucial roles in the disease pathogenesis. Among them, we found significantly elevated levels of G-CSF and particularly TPO in AA patients, compared to controls, with decreasing tendency of these cytokine levels after immunosuppressive therapies. These findings are consistent with our previous work using plasma samples of AA patients at NIH [10]. Elevated levels of G-CSF and TPO have also been reported previously in patients with AA [20, 35], thus providing strong evidence of involvement of both the cytokines in disease pathogenesis. It is also hypothesized that high levels of TPO and G-CSF might be a surrogate of blood counts as the highest median values of TPO (3787 pg/mL) and G-CSF (168 pg/mL) were observed in treatment naïve group, having lowest values for hemoglobin (Hb) [78 g/L], white blood cells (WBC)  $[2.9 \times 10^{9}/L]$ , platelets  $(7 \times 10^{9}/L)$ , and absolute neutrophil count (ANC)  $[0.76 \times 10^{9}/L]$  respectively, as compared to other groups. On the other hand, patients in complete remission (CR) had highest counts of Hb (120 g/L), WBC ( $6.2 \times 10^9$ /L), platelets  $(164 \times 10^{9}/L)$ , and ANC  $(3.1 \times 10^{9}/L)$  respectively.

Sera of patients with AA contain high level of IFN- $\gamma$  which is a direct mediator of hematopoietic suppression [51]. Schultz and Shahidi have reported increased TNF- $\alpha$  levels contributing to progressive hematopoietic suppression in AA [36]. Peripheral blood cells in AA also display abnormally high IL-2 levels that is followed by its reduction after high-dose **Table 3** Non-synonymoussubstitutions of *TERT* and *TERC*genes identified in AA patients

Patient ID	Age	Gender	Gene	Nucleotide	Protein	Domain (motif)	Exon	Reported
42	13	F	TERT	604 G>A	Ala202Thr	N (N/A)	2	AA
49	14	М	TERT	835 G>A	Ala279Thr	N (N/A)	2	Polymorphism
153	10	М	TERT	835 G>A	Ala279Thr	N (N/A)	2	Polymorphism
199	17	М	TERT	835 G>A	Ala279Thr	N (N/A)	2	Polymorphism
198	42	М	TERT	1577 T>C	Va1526Ala	N (N/A)	3	
87	22	М	TERT	2014 C>T	Arg672Cys	RT (3b)	5	IBMFS, ALL
69	21	F	TERT	2329 G>A	Va1777Met	RT (IFDb)	7	*
			TERT	2914 C>T	Arg972Cys	C (E-I)	12	Dyskeratosis congenita
170	24	М	TERT	3184 G>A	Ala1062Thr	C (N/A)	15	Polymorphism, AML
182	21	М	TERT	3185 G>A	Ala1062Thr	C (N/A)	15	Polymorphism, AML
31	12	F	TERC	36 C>T		Pseudoknot (Plb)		AA

All of the *TERT* mutations were heterozygous but the *TERC* mutation was homozygous. Novel mutations are shown in italics

AA aplastic anemia, Ala alanine, Thr threonine, Val valine, Arg arginine, Cys Cysteine, IBMFS inherited bone marrow failure syndrome, ALL acute lymphoblastic leukemia, Met methionine, AML acute myeloid leukemia, RT reverse transcriptase

\*The mutation was found in our group previously, but not reported

immunosuppression [29]. Despite these reports, we could not find significant differences of IFN- $\gamma$  and IL-2 levels between AA patients and the control group, though a slightly higher tendency was observed in some patient groups compared to the controls. As for TNF- $\alpha$  and other cytokine (TNF- $\alpha$ , IL-4, IL-1 $\beta$ , IL-6, IL-17A, IL-17F, and IL-10) levels, we did not detect any significant variation among the designated groups. This observation was different from the previously reported results for these cytokine levels and could be attributed to the qualitative and quantitative differences between patient groups. Besides, previously reported results of some cytokines in AA patients are still inconsistent [9, 10].

A variety of diseases including autoimmune disorders, cancer, and infections have been reported to be associated with particular HLA alleles. Multiple studies have demonstrated association of a significantly increased incidence of AA with particular HLA alleles. AA patients display overrepresentation of HLA-DR2 (HLA-DR15) especially DRB1\*1501 and 1502 alleles and class I HLA-A\*02:01, A\*02:06, A\*31:01, and B\*40:02 [17, 21]. HLA typing was carried out in 74 of our patients. There was a significantly high frequency of HLA-DRB1\*15 (56.8%) and sum of DQB1\*06 (70.3%) in these patients. We compared our HLA allelic frequency data with historical controls (approximately 2300 subjects) pooled from previously published work in Pakistan which showed allelic frequencies ranging between 8.5-39% for HLA-DR15 and 13.5-48.4% for DQB1\*06 respectively. According to a previous study in Pakistani AA patients,

DRB1\*15 and DRB1\*03 were reported as susceptible and protective alleles respectively [31]. Another study in Pakistani AA patients has described HLA B5 [40, 49] as the highest allele (27%) in AA. To our best knowledge, there were no reports on association of the DQB1\*06 allele with AA. DQB1\*0601 and DQB1\*0603 are known to be protective alleles; DQB1\*0601 against narcolepsy, juvenile diabetes, and multiple sclerosis and DQB1\*0603 against many autoimmune diseases. DQB1\*0602 increases risks of cervical cancer and MS but protects against juvenile diabetes. Since there were no complete subtype allele data for DQB1\*06 in our cases, we were unable to conclude which subtype allele of DQB1\*06 was associated to AA. Nonetheless, it was curious to observe markedly high DQB1\*06 allele frequency among our cases. This emphasizes on need of further studies in large cohorts with detailed DQB1\*06 subtype allele analysis to establish a possible association. Since some HLA alleles are common in the general population, and only a fraction of individuals with these alleles actually develop AA, therefore it might be speculated that some HLA alleles trigger AA development by interacting with other genetic and environmental factors. Currently, it is difficult to draw definitive conclusions about HLA alleles predisposing to the development of AA as HLA alleles reported for association with AA are divergent among studies using different populations and ethnicities.

High penetrance of *TERT* and *TERC* mutations is observed in various diseases in addition to bone marrow failure (BMF) syndromes [6], modifying the host susceptibility to environmental risks. In our study, we were able to isolate good quality genomic DNA from 190 out of 214 enrolled patients. Among these, 10 patients (4.6%) carried TERT and TERC mutations. Heterozygous non-synonymous variants of TERT and homozygous variant of TERC were present in nine and one patient, respectively. Among them, a Val526Ala mutation present in the TERT-N-terminus was novel, while Val777Met was previously found during our routine mutation screening (data not published). Other mutations identified have been reported previously as pathogenic: Arg972Cys in a DKC patient [41] and two TERT mutations (Ala202Thr and Arg672Cys) in AA [16, 34] and in inherited BMF syndromes [45]. There is controversy regarding significance of Ala279Thr and Arg1062Thr TERT variants found in our current cohort work. However, in a recent study, Ala279Thr was reported to be pathogenic as it decreases telomere length and inhibits non-canonical telomerase activity in esophageal carcinomas [50].

As for the Arg1062Thr TERT variant, we have previously demonstrated that the allele frequency was 3-fold higher in patients than in controls in our three cohorts [4]. In addition, it has been reported that Arg1062Thr mutation is an independent negative prognostic factor in AML patients [1]. Therefore, it seems likely that Arg1062Thr TERT allele may cause telomere shortening over many years or that the Arg1062Thr mutation may perturb other functions, such as the recruitment of telomerase to telomeres, which were not revealed by simple enzyme assays. In this work, we found the homozygous 36 C>T TERC mutation although its heterozygous mutation has been shown in the congenital AA patients [38]. The 36 C>T TERC mutation site is in the P1b region of the Psuedoknot domain where many mutations related to various diseases, such as AA, myelodysplasia, dyskeratosis congenital (DKC), and pulmonary fibrosis, have been reported. Furthermore, our two patients carrying TERT (Arg672Cys) and TERC (36 C>T) mutation had one and two affected siblings, respectively, strongly indicating that these patients carried germline mutations. The patients were rigorously screened for DKC through absence of clinical findings, physical features, and family history. Similar findings were documented by other groups [11, 44]. However, this does not rule out DKC as (1) newer telomeropathy genes (others than TERT and TERC) have been identified and (2) a patient can heritage from short telomeres of one mutated parent without transmission of the mutation (pheno-copy phenomenon).

Collectively, we found *TERT* and *TERC* mutations in only 5% of our AA patients, suggesting addition of other candidate genes in the mutational screening panels, particularly those involved in DNA methylation and tumor suppression. Further, larger cohort studies should be carried out combining epidemiological, clinical, and laboratory findings to dissect the interplay of genetic predisposition, environmental triggers,

and clinic-pathological features that might contribute to etiology of AA.

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#### **Compliance with ethical standards**

This study was approved by institutional review boards of Armed Forces Bone Marrow Transplant Center (AFBMTC), Pakistan and National Heart Lungs and Blood Institute (NHLBI) at National Institutes of Health (NIH), USA. Patients' rights were protected in accordance with the Helsinki declaration. Informed written consent was taken from all patients before drawing blood sample for research.

**Conflict of interest** The authors declare that they have no conflict of interest.

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