

# Adding ascorbic acid to arsenic trioxide produces limited benefit in patients with acute myeloid leukemia excluding acute promyelocytic leukemia

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**Abstract** Arsenic trioxide (ATO) is highly effective in acute promyelocytic leukemia (APL), but despite its multiple mechanism of action, it has no activity in acute myeloid leukemia (AML) that excludes APL (non-APL AML). Ascorbic acid (AA) and ATO induces apoptosis in AML cell lines by depleting intracellular glutathione and generation of reactive oxygen species. In this study, we evaluated the effect of ATO plus AA in patients with non-APL AML. The study enrolled patient aged 18 or older with relapsed or refractory AML (non-APL) after conventional chemotherapy or previously untreated patients 55 years or older who were unfit for standard induction chemotherapy for AML. Intravenous ATO (0.25 mg/kg/day over 1–4 h) was given with intravenous AA (1 g/day over 30 min after ATO) for 5 days a week for 5 weeks (25 doses). Eleven AML patients were enrolled, including six previously untreated elderly patients aged 66–84 years in whom five had antecedent hematological disorder (ADH). Among 10 evaluable patients, one achieved a CR one a CRi and 4 patients had disappearance of blasts from peripheral blood and bone marrow. Five of the six responders were seen in previously untreated elderly patients. ATO related toxicity was mild. The combination of ATO and AA has limited clinical meaningful antileukemia activity in patients with non-APL AML.

**Keywords** AML · Arsenic trioxide · Ascorbic acid

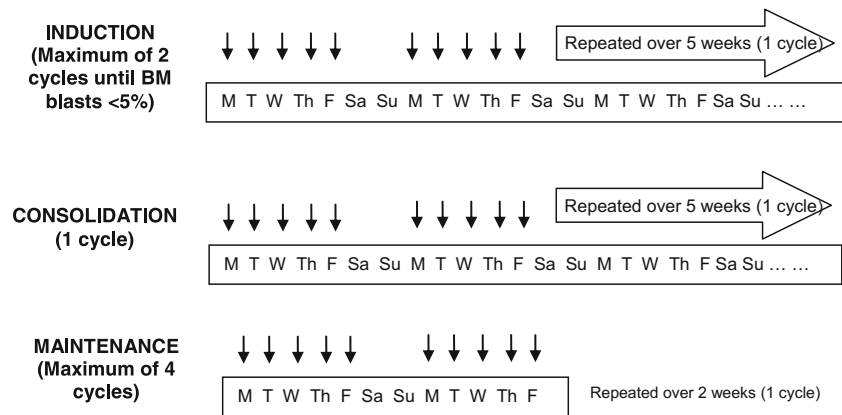
## Introduction

Single agent arsenic trioxide (ATO) is a highly effective drug in patients with acute promyelocytic leukemia (APL) which induces complete remissions (CR) in 90 % of relapsed [1, 2], and in almost all newly diagnosed patients [3]; in contrast to ATRA, ATO alone is capable of curing APL [4]. ATO acts by degrading the PML-RAR $\alpha$  fusion protein, the product of the APL specific t(15:17) translocation, but it was also shown to induce apoptosis by mechanism independent of PML-RAR $\alpha$  or PML expression [5]. In APL and non-APL cell lines, ATO, in clinically achievable serum concentrations, induced apoptosis by various mechanisms such as the intracellular bcl2 or bcl-XL, mitochondrial trans-membrane potential disruption, cytosolic cytochrome c accumulation, caspases activation, and intracellular reduced glutathione (GSH) modulation [6–12]. However, despite its multiple mechanisms of action and very high clinical activity in APL, single agent ATO has been shown to have no activity in non-APL AML patients [13–15].

One mechanism of modulating ATO activity involves reactive oxygen species (ROS) such as hydrogen peroxide and organic peroxides leading to an apoptotic effect by decreasing mitochondrial membrane potential, release of cytochrome c, and activating caspases [11]. ROS levels are affected by intracellular reduced glutathione (GSH) that is a substrate of glutathione peroxidases in a reaction that produces oxidized glutathione (GSSG) and neutralizes ROS. As a result, high levels of GSH decrease ROS lowering the cellular apoptotic activity of ATO. GSH can also decrease arsenic activity by formation of transient As(GS)<sub>3</sub> molecules through the activity of glutathione transferase (GST $\pi$ ) [16–18]. In vitro, non-APL

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**Fig. 1** Treatment schedule

cell lines that are unresponsive to ATO have high intracellular GSH levels, but they became sensitive to apoptotic effect of ATO, after reducing the intracellular GSH and higher levels of ROS [11, 16–19]. A clinically practical and safe drug that reduced intracellular GSH levels is vitamin C, [ascorbic acid (AA)]. Although often considered as an ant-antioxidant, AA can also act as an oxidizing agent, particularly in the presence of compounds that increase the production of ROS [19–21]. Dai et al. have shown in the HL60 cell non-APL AML cell line, that AA plus ATO significantly increased apoptosis compared to ATO or AA alone in association with concomitant intracellular GSH level reduction. Interestingly, AA did not enhance ATO induced apoptosis in colonies cultured of normal human hematopoietic progenitor cells [11]. Similarly, Grad et al. reported in multiple myeloma cell lines that clinically relevant doses of AA decrease GSH levels, increase ROS production and that the combination of AA plus ATO significantly increased apoptosis compared to ATO alone. This preclinical information led us to perform a small clinical trial in patients with non-APL AML to evaluate if ATO would gain antileukemia activity when combined with AA [19].

### Patients and methods

This is a single center, open-label, phase II study evaluated the activity and safety of ATO and AA in non-APL AML (ClinicalTrials.gov Identifier NCT00184054). The study was approved by the Institutional Review Board of University of Southern California, and a written informed consent was obtained from all patients before study entry.

#### Patients

Adults with non-APL AML were enrolled at the University of Southern California (USC) Hospitals (Los Angeles County-USC Medical Center and USC/Norris Comprehensive Cancer Center) between December 2002 and June 2008. Inclusion

criteria included relapsed or refractory patients after conventional chemotherapy, aged 18 or above, or previously untreated patients 55 years or older who were unfit for standard induction chemotherapy. Patients with antecedent hematological disorders (ADH) were included. Other criteria were Karnofsky performance status  $\geq 50$ , creatinine  $< 1.5 \times$  upper limit of normal (ULN), transaminases  $< 2.5 \times$  ULN, serum total bilirubin  $< 3$  mg/dL, and absolute QT interval  $< 460$  msec. Serum  $K^+$  and  $Mg^{++}$  concentrations had to be  $\geq 4.0$  mEq/L and  $\geq 1.8$  mg/dL, respectively. Patients previously treated with arsenics or chronic myelogenous leukemia in blastic crisis were excluded.

#### Study protocol

Intravenous ATO was given at 0.25 mg/kg/d over 1–4 h followed by 1,000 mg intravenous AA, over 30 min, for 5 days a week (5 days on/2 days off) for 5 weeks (one cycle=25 doses). These doses were based on a phase I/II trial of ATO plus AA in patients with multiple myeloma [22]. Responding patients (CR or CRi) received an additional consolidation

**Table 1** Patient characteristics

Patients (n)	11
Median age (range), years	66 (36–84)
Previously untreated (>60 years)	6
With AHD	5
Previously treated	5
Relapse	4
Refractory	1
Patients with prior regimens	
0	6
1	1
2	3
3	1

AHD antecedent hematological disorder

**Table 2** Individual patient responses to ATO+AA

Patient ID #	Age (gender)	Previous condition	AHD	Karyotype	Response	Blast cell counts (%)		Number of cycles to response	Total number of cycles	Duration of response (months)
						Before treatment	After treatment			
1	67 (M)	Untreated	PV	Der(14)t(1;14)	↓ PB blasts	69	4	1	1	0.5
7	74 (F)	Untreated	CMML	N/A	↓ PB blasts	40	<2	1	2	2
11	73 (M)	Untreated	–	Diploid	↓ PB blasts	70	0	1	2	4
						BM blasts				
6	66 (M)	Untreated	CLL	Del 5 complex	BM response	20	<5	1	2	4
5	84 (M)	Untreated	MDS	t(9;15)	CCR	50	<5	2	4	6
8	66 (M)	Early relapse	–	Complex	CCRi	15	<5	1	2	6

AHD antecedent hematological disorders, PV polycythemia vera, CMML chronic myelomonocytic leukemia, CLL chronic lymphocytic leukemia, MDS myelodysplastic syndrome, PB peripheral blood, BM bone marrow, CCR complete cytogenetic remission, CCRi complete cytogenetic remission without normal platelet count, N/A not applicable

cycle of 25 doses followed by maintenance including ATO+AA 2 weeks of every month for four cycles. Refer to Fig. 1.

## Results and discussion

### Patient characteristics (Table 1)

Among the total of 11 AML patients with median age of 66 years, six were previously untreated older adults (ages 66–84 years) and considered clinically unfit for intensive chemotherapy. Five of them had an antecedent hematological disorder. Five other patients had relapsed/refractory disease after chemotherapy with a median of 2 lines of previous therapy. The study was closed prematurely after enrolling 11 patients due to slow accrual over a period of 6 years.

### Response

One patient could not be evaluated as treatment was discontinued early for prolonged absolute QT interval. Characteristics of each responder are shown in Table 2. Among 10 evaluable patients, one patient achieved CR and one a CRi, and in 4 patients, the blast in the peripheral blood (PB) or bone marrow dropped below 5 %. Five of the responders were previously untreated while four of five relapsed/refractory patients progressed on ATO+AA. The duration of response in the two patients with CR or CRi was 4–6 months while duration of response was shorter in those who only had a reduction in blasts, between 0.5 and 4 months. The median overall survival for all evaluable patients was 11 months.

### Toxicity

In general, the treatment was well tolerated with very few grade 3 or 4 adverse effects and no deaths on study (refer to Table 3). In one patient, treatment was discontinued early for prolonged absolute QT interval. The most common grade 3 toxicity was infection though possibly related to the leukemia. The treatment was given in an outpatient setting and patients were hospitalized only for complications such as infections.

Similar to our study, all studies in non-APL patients dosed ATO at 0.25 mg/kg, which is higher than the APL dose. Palmar et al. reported no response in all 11 elderly non-APL AML patients including 7 who were previously untreated

**Table 3** Treatment-related adverse events

Adverse event	Grade			
	1	2	3	4
Infection	–	2	6	–
Nausea/vomiting	4	1	–	–
Headache	4	1	–	–
Edema	1	1	–	–
Fatigue	1	2	–	–
Rash	–	2	–	–
Anorexia	2	–	1	–
Neuropathy	–	–	1	–
Liver	–	–	1	–
“Differentiation syndrome”	–	–	1 <sup>a</sup>	–
QT >460 msec <sup>b</sup>	1	–	1	–

<sup>a</sup> Shortness of breath with hypoxia responding to steroids during hyperleukocytosis

<sup>b</sup> Absolute QT interval on EKG

[13]. In MDS, two large multicenter trials from USA and Europe conducted using single agent ATO 0.25 mg/kg at different dosing schedules and reported minimal response [14, 15].

In our study, ATO was clinically active in a few non-APL AML patients, when combined with intravenous AA, but the responses were mostly not complete and of short duration. It would have been interesting to know if the two complete responders were biologically different. Prior to 2008 when the study was closed, molecular testing for NPM1, FLT3, DNMT3A, IDH1, or IDH2 was not routinely done, and unfortunately, this information is not available. Although the toxicity of ATO plus AA was mild without myelosuppression, ATO treatment, even in APL, requires many weeks and months of almost daily IV treatment. The minimal meaningful clinical benefit would not justify such long time commitment and patient discomfort. We also studied in vitro non-APL AML cells freshly obtained from patients (instead of cell lines) and found that in contrast to cells lines, AA plus ATO-induced apoptosis markedly varied between patients (Douer D unpublished data).

A different approach to try to increase the activity of ATO in AML was suggested by Roboz et al. who combined ATO with low-dose cytarabine [23]. As opposed to AA, low-dose cytarabine has minimal single agent activity in AML with a reported CR rate of approximately 20 % [24]. Among 64 previously untreated elderly non-APL AML patients considered unfit for chemotherapy, induced by ATO plus low-dose cytarabine, 34 % achieved a CR including patients with post-MDS AML or unfavorable cytogenetics. The combination of ATO with low cytarabine was myelosuppressive with frequent occurrence of neutropenic fever that was not seen with ATO plus AA [23]. Unfortunately, a subsequent randomized trial in elderly AML patients reported no improvement in outcome for the addition of ATO to low-dose Ara-C [25].

Arsenicals have been used for centuries in the treatment of leukemia, and the lack of activity, outside of APL, in modern studies is disappointing. The striking contrast between an almost universal response of APL patients to ATO and lack of response in other subtypes of AML highly suggests that the fusion PML-RAR $\alpha$  gene expression is a critical factor in the sensitivity of APL cells to ATO, although other mechanisms of action may make this drug more active than all trans retinoic acid alone (ATRA) [5]. Similarly, our small study of adding AA to ATO in non-APL AML is also not encouraging since the promising preclinical activity could not be translated into a clinical meaningful treatment approach. Overall, both non-APL AML and MDS patients are unlikely to benefit from ATO alone or in combination with AA or ARA-C. It is baffling that ATO is so active in APL and has almost no activity in other AML subtypes, but unfortunately, more clinical trials in this direction would be dispensable, unless new and compelling rationale is presented.

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**Statement to the effect of human studies** The study was approved by the institutional review board of University of Southern California, and a written informed consent was obtained from all patients before study entry.

**Conflict of interest** The authors declare no conflict of interest.

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