ORIGINAL ARTICLE

Efficacy and safety of micafungin as an empirical antifungal therapy for suspected fungal infection in neutropenic patients with hematological disorders

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Abstract This prospective multicenter study was performed to clarify the efficacy and safety of micafungin (MCFG) as an empirical antifungal therapy for suspected fungal infection in patients with hematological disorders and neutropenia. Three hundred and eighty-eight patients were enrolled; 151 patients with possible fungal infection diagnosed by radiological

imaging or serological testing and 237 patients with refractory fever were included in this study. The mean dose and duration of treatment with MCFG were 154.6 mg/day and 14.0 days, respectively. The clinical response rate for patients with possible fungal infection and refractory fever was 60.1% and 65.3%, respectively. Even in persistent neutropenic patients

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with a neutrophil count of $<500/\mu L$ throughout the MCFG treatment, the clinical response rate was 46.9%. Ninety-one drug-related adverse events (DAEs) were observed in 56 patients (14.4%) and 9 serious DAEs were observed in 6 patients (1.5%). Neither daily dose nor duration of MCFG treatment affected the incidence of DAEs. It was confirmed that MCFG has adequate clinical efficacy and is safe for the treatment of suspected fungal infections in patients with hematological disorders and neutropenia.

Keywords Micafungin · Echinocandin · Fungal infection · Febrile neutropenia · Empirical therapy · Hematological disorders

Introduction

Invasive fungal infections (IFIs) are a serious concern in the management of immunocompromised patients with hematological disorders. Empirical antifungal therapy is recommended for neutropenic patients with persistent fever despite the administration of broad-spectrum antibacterial agents, because early diagnosis of IFIs is difficult and the delayed treatment of fungal infection often produces poor outcomes [1, 2]. With empirical antifungal therapy, the spectrum of activity and toxicity profile of antifungals should be adequately taken into account.

Micafungin (MCFG), a member of the echinocandin antifungal family, which specifically inhibits the synthesis of 1,3-β-D-glucan, a primary component of fungal cell walls [3-5], showed potent antifungal activity against both Candida spp. and Aspergillus spp. in in vitro as well as in vivo studies [6-11]. The efficacy and safety of MCFG against both Candida and Aspergillus infections has also been demonstrated in several clinical trials [11–16]. MCFG was first launched for candidiasis and aspergillosis in Japan in 2002, and has now been approved for these same indications in China and the Middle East. Although MCFG is only approved for candidiasis in the USA and Europe, it is recommended as salvage therapy for aspergillosis in the Clinical Practice Guideline of the Infectious Diseases Society of America [17]. MCFG is now the most prescribed empirical antifungal therapy for febrile neutropenia in Japan [18]. Several reports of studies regarding the safety and efficacy of MCFG for suspected fungal infections in patients with hematological disorders are available [19-25]. However, fewer than 200 subjects were included in these studies.

We hereby report the results of a clinical study of the efficacy and safety of MCFG in 388 Japanese patients with hematological disorders and neutropenia who received empirical antifungal therapy for suspected fungal infections.

Patients and methods

Study design

The prospective, multicenter, open, observational study was conducted between April 2005 and September 2006 under the Japanese regulation, Good Post-marketing Study Practice, at 166 institutions in Japan. Investigators registered patients who met the following inclusion criteria at a central office within 6 days after the initiation of MCFG treatment.

The protocol of this study was reviewed and approved by the Ministry of Health, Labour and Welfare. The Institutional Review Board also approved the protocol according to the rules of each institution before this study started. Since this study was designed as an observational study, informed consent was not necessarily obtained from all patients.

Inclusion criteria

Patients with neutropenia (<1,000/µl) and clinical symptoms/findings such as fever, cough, sputum, dyspnea, chest pain, and pharyngeal pain were enrolled if they met any of the following criteria: (1) those who had possible fungal infection diagnosed either by radiological imaging (chest X-ray or CT) or serological testing (1,3-β-D-glucan or galactomannan), (2) those who had refractory fever defined as an unexplained persistent fever (axillary temperature>37.5°C) after broad-spectrum antibacterial treatment for 2 days or longer.

The definition of possible fungal infection proposed by EORTC/MSG [26] was modified and utilized in this study. Radiological imaging had to show lower respiratory tract abnormality, either a halo sign, infiltration, or a cavity. Serologic tests consisted of the Platelia *Aspergillus* test (Fujirebio, Tokyo, Japan) to detect *Aspergillus* galactomannan antigen by enzyme-linked immunosorbent assay (cutoff value 0.5), and the 1,3-\(\beta\)-D-glucan test (cutoff value 11.0 pg/mL for the Wako glucan test, Wako Pure Chemical Industries, Osaka, Japan; and cutoff value 20 pg/mL for the Fungitec G Test, Seikagaku Corporation, Tokyo, Japan).

Treatment schedule and dose

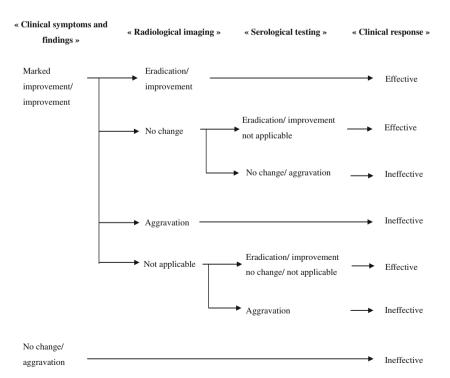
Physicians treated the patients according to the dosage and administration stated in the package insert of MCFG. The patients were treated with MCFG for 5 days to 4 weeks at a dose of 50–150 mg by intravenous administration over 30 min of a dose of 75 mg or less, and over 1 h of a dose exceeding 75 mg, once daily. In severe cases, the dose could be increased to 300 mg/day, depending on the symptoms. The concomitant use of other antifungals was permitted if necessary.



Efficacy assessment

The efficacy evaluation algorithm defined in our previous report [22] was slightly modified. Efficacy at the end of treatment with MCFG was assessed based on improvement in positive clinical symptoms/findings, radiological imaging (chest X-ray or CT scan), and fungal serological testing (1,3-\beta-D-glucan or galactomannnan). The criteria for a positive clinical response are as follows: "Marked improvement" (defervescence, an axillary temperature of <37.0°C, and disappearance of clinical symptoms/findings), "improvement" (defervescence, an axillary temperature of <37.5°C or improvement of at least 1.0°C from the baseline temperature of ≥39.0°C, and reduction of clinical symptoms/findings), "no change" or "aggravation" (increase in body temperature or aggravation of clinical symptoms/findings). The criteria for the radiological imaging response are as follows: "Eradication" (disappearance of infiltrates), "improvement" (decrease in infiltrates), "no change," "aggravation" (increase in existing infiltrates or appearance of new infiltrates), or "not applicable." The criteria for the serological response are as follows: "Improvement" (decrease in values), "no change," "aggravation" (increase in values), or "not applicable." The clinical response (effective or ineffective) was determined using an algorithm based on the assessment of all three indexes (Fig. 1).

Fig. 1 Algorithm for efficacy evaluation



Safety assessment

All adverse events including abnormal laboratory findings observed after the start of MCFG treatment were recorded. The seriousness and causal relationship of the adverse events were determined by the investigator. Seriousness was classified into the three levels of "mild," "moderate (neither mild nor serious)," and "serious" in accordance with the ICH Harmonised Tripartite Guideline [27]. All adverse events were followed up until they had resolved. The causal relationship between the adverse event and MCFG was defined as probable, possible, not related, or unassessable. Adverse events determined as probable and possible were defined as drug-related adverse events (DAEs).

Before the start of this study, an advisory board composed of the authors of this article was appointed to review the validity of the diagnosis and the evaluation of efficacy and safety by each investigator.

Results

Patient characteristics and MCFG treatment

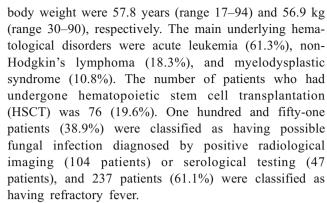
The baseline profile of the 388 patients enrolled in this study is shown in Table 1. The patients consisted of 234 males (60.3%) and 154 females (39.7%). The mean age and



Table 1 Patients' baseline profile

	Total <i>N</i> =388 (%)
Sex (no.)	
Male	234 (60.3)
Female	154 (39.7)
Age (years)	
Mean±S.D.; range	57.8±16.0; 17–94
Age group (no.)	
≤39 years	64 (16.5)
40–59 years	112 (28.9)
60-79 years	197 (50.8)
≥80 years	15 (3.9)
Weight (kg)	
Mean±S.D.; range	56.9±10.9; 30–90
Underlying hematological disorders	
Leukemia	247 (63.7)
Acute leukemia	238 (61.3)
Chronic leukemia	9 (2.3)
Lymphoma	77 (19.8)
Non-Hodgkin's lymphoma	71 (18.3)
Hodgkin's lymphoma	1 (0.3)
Adult T-cell leukemia	5 (1.3)
Others	64 (16.5)
Aplastic anemia	7 (1.8)
Myelodysplastic syndrome	42 (10.8)
Multiple myeloma	13 (3.4)
Idiopathic myelofibrosis	2 (0.5)
HSCT and others	
HSCT ^a	76 (19.6)
Others	312 (79.4)
Diagnosis	
Possible fungal infection ^b	151 (38.9)
Radiological imaging (+) ^c	104 (26.8)
Serological testing (+)	47 (12.1)
Refractory fever	237 (61.1)
Neutrophil count before treatment	
≤500	343 (88.4)
501-1,000	32 (8.2)
Unknown ^d	13 (3.6)
Oral antifungals before treatment	
With	240 (61.9)
Without	148 (38.1)

^a Patients who had undergone hematopoietic stem cell transplantation



The positive rates of the radiological imaging, plasma (1,3)- β -D-glucan test and plasma galactomannan test were 29.9% (104/348), 13.1% (47/360), and 2.4% (2/82), respectively. Among 104 patients with positive radiological imaging, 59 were diagnosed by X-ray, 16 were diagnosed by CT, and the remaining 29 were diagnosed by both methods. Patients with a neutrophil count of $<500/\mu$ L before treatment with MCFG occupied 88.4%. Two hundred and forty patients (61.9%) had received other oral antifungals before the treatment with MCFG.

Dose and duration of MCFG treatment

The mean daily dose of MCFG was 154.6 mg, and 68.8% of the patients were treated with 150 mg MCFG. The mean duration of MCFG treatment was 14.0 days, and 62.6% of the patients completed the treatment within 14 days (Table 2).

Efficacy

The overall clinical response rate, excluding four non-evaluable patients, was 63.3% (243/384) (Table 3). No difference in the response rate was observed between the main underlying hematological disorders such as

Table 2 Daily dose and duration of MCFG treatment

	Total N=388 (%)
Maximum daily dose (mg)	
Mean±S.D. (range)	154.6±55.3 (50-300)
≤100 mg	74 (19.1)
150 mg	267 (68.8)
>200 mg	47 (12.1)
Duration of micafungin treatment (days)	
Mean±S.D. (range)	14.0±6.9 (5-28)
≤14 days	243 (62.6)
15–21 days	72 (18.6)
≥22 days	73 (18.8)



^b Patients who had undergone both radiological imaging (+) and serological testing (+) were not included in this study

^c The diagnosis of possible fungal infection was made based on X-ray positive in 59 patients, computed tomography positive in 16 patients, and both positive in the remaining 29 patients

^d Patients who did not have baseline data for neutrophil count were classified into this category

Table 3 Efficacy by the clinical characteristics and study treatment

	Efficacy (%)
Total	243/384 ^a (63.3)
Underlying disease	
Leukemia	155/247 (62.8)
Acute leukemia	152/238 (63.9)
Chronic leukemia	3/9 (33.3)
Lymphoma	47/75 (62.7)
Non-Hodgkin's lymphoma	45/69 (65.2)
Hodgkin's lymphoma	1/1 (100.0)
Adult T-cell leukemia	1/5 (20.0)
Others	41/62 (66.1)
Aplastic anemia	4/7 (57.1)
Myelodysplastic syndrome	25/41 (61.0)
Multiple myeloma	11/12 (91.7)
Idiopathic myelofibrosis	1/2 (50.0)
HSCT and others	
HSCT ^b	48/76 (63.2)
Others	195/308 (63.3)
Monotherapy or combination therapy	
Monotherapy	238/373 (63.8)
Combination therapy with other antifungals ^c	5/11 (45.5)
Diagnosis	
Possible fungal infection	89/148 (60.1)
radiological imaging (+)	60/102 (58.8)
serological testing (+)	29/46 (63.0)
Refractory fever	154/236 (65.3)

^a Excluding four non-evaluable patients (three with possible fungal infection and one with refractory fever)

acute leukemia (63.9%), non-Hodgkin's lymphoma (65.2%), and myelodysplastic syndrome (61.0%). The response rate in patients who had undergone HSCT was 63.2% (48/76), and that in patients with MCFG monotherapy was 63.8% (238/373).

The clinical response rate by each diagnosis was 60.1% (89/148) in patients with possible fungal infection and 65.3% (154/236) in patients with refractory fever.

The clinical response rate was evaluated in detail by neutrophil count as shown in Table 4. Even in persistent neutropenic patients whose neutrophil count was $<500/\mu$ L throughout the treatment with MCFG, the response rate was 46.9% (61/130). The response rate by the duration of neutropenia in 130 patients whose neutrophil count was $<500/\mu$ L throughout the treatment was 39.3% (11/28) for up to 7 days, 53.6% (30/56) for between 8 and 14 days, and 43.5% (20/46) for between 15 and 28 days. The clinical response rate by the duration of refractory fever under

Table 4 Efficacy by change in neutrophil count

Neutrophil count after treatment	Efficacy (%)
≤500 ^a	61/130 (46.9)
501-1,000	28/40 (70.0)
>1,000	121/157 (77.1)
≤500	5/8 (62.5)
501-1,000	2/2 (100.0)
>1,000	10/19 (52.6)
	after treatment $ \leq 500^{a} $ $ 501-1,000 $ $ >1,000 $ $ \leq 500 $ $ 501-1,000 $

^a The efficacy rate by the duration of neutropenia in 130 patients was 39.3% (11/28) for under 7 days, 53.6% (30/56) for between 8 and 14 days, 43.5% (20/46) for between 15 and 28 days

antibacterial treatment is shown in Table 5. The response rate in patients with refractory fever for 48, 72, and 96 h or longer before MCFG treatment was 94.1% (16/17), 66.7% (32/48), and 62.0% (106/171), respectively.

Excluding 19 patients who lacked follow-up radiological imaging after MCFG treatment, the improvement rate in the chest X-ray, or computed tomography was 51.8% (44/85).

Excluding 14 patients whose serological test data (1,3-\(\beta\)-D-glucan) were not available after MCFG treatment, 1,3-\(\beta\)-D-glucan levels fell below the cutoff value in 24 of 33 patients (72.7%). None of the 24 patients whose plasma 1,3-\(\beta\)-D-gulucan fell below the cutoff value showed aggravation of clinical symptoms/findings. The clinical response was "effective" in 14 patients, and "ineffective" in the remaining 10 patients.

Among the 141 patients who experienced treatment failure with MCFG, blood culture was positive in 25 patients (17.7%) during the treatment period; namely Grampositive bacteria in 19, Gram-negative bacteria in 3, and fungi in 3 (Scedosporium sp., Cryptococcus terreus, and Candida parapsilosis).

Safety

Among the 388 patients, 91 DAEs were observed in 56 patients (14.4%) (Table 6). The most common DAEs were hepatic function abnormalities including elevation of alanine aminotransferase, aspartate aminotransferase, and

Table 5 Efficacy by duration of refractory fever under antibacterial treatment before MCFG treatment

Duration of refractory fever	Efficacy (%)
≥48 to<72 h	16/17 (94.1)
≥72 to<96 h	32/48 (66.7)
≥96 h	106/171 (62.0)



^b Patients who underwent hematopoietic stem cell transplantation

^c No. of patients receiving each antifungal: fluconazole, 7; voriconazole, 4; amphotericin B, 2

 Table 6
 Drug-related adverse events

Adverse events	No. of episodes	No. of serious episodes
Total	91	9
Hepatobiliary disorders	53	3
Hepatic function abnormal	20	1
Alanine aminotransferase ↑	10	
Aspartate aminotransferase ↑	7	1
Serum bilirubin ↑	6	
Alkaline phosphatase ↑	6	
Lactate dehydrogenase↑	2	
Hepatic failure	1	1
Gamma-glutamyltransferase ↑	1	
Renal and urinary disorders	5	2
Renal failure	2	
Renal impairment	2	2
Blood urea nitrogen ↑	1	
Skin and subcutaneous tissue disorders	12	
Rash	11	
Erythema	1	
Blood and lymphatic system disorders	3	3
Platelet count decreased	1	1
White blood cell count decreased	1	1
Disseminated intravascular coagulation	1	1
Gastrointestinal disorders	4	
Abdominal pain	2	
Gastrointestinal hypomotility	1	
Abdominal distension	1	
Others	14	1
Hyperglycemia	2	
Pyrexia	2	
Cerebral hemorrhage	1	1
Hypertension	1	
Cardiac failure	1	
Blood albumin decreased	1	
Blood chloride decreased	1	
Hypokalemia	1	
Protein total decreased	1	
Chest X-ray abnormal	1	
Breast microcalcifications	1	
Back pain	1	

Determined by the investigator to be probably or possibly related to the drug

serum bilirubin. These DAEs were observed in 38 patients (9.8%). Since five DAEs occurred after the discontinuation of MCFG in four patients, their recovery was not evaluated. Of the remaining 86 DAEs, 8 led to discontinuation of MCFG. Thirteen DAEs, including these 8 events, recovered after discontinuation of MCFG, and 53 events recovered without discontinuation of MCFG. Thirty-five events recovered within 14 days, 22 events between 15 and 28 days, and 9 events more than 28 days after the onset of the adverse event.

Nine serious events were observed in six patients at a dose of 150 mg or less, while there was a possibility that underlying diseases or concomitant drugs might have contributed. Excluding two patients in whom the DAEs were observed after the end of MCFG treatment, MCFG treatment was not discontinued due to the DAEs in the other four patients. The outcomes of eight serious events could not be followed up because the patients died due to the underlying disease, and the remaining one event recovered while the patient was on MCFG treatment.



The incidence of DAEs by maximum daily dose was 10.8% (8/74) for 100 mg or less, 16.5% (44/267) for 150 mg, and 8.5% (4/47) for 200 mg and higher (Table 7). The incidence of DAEs by duration of MCFG treatment was 11.5% (28/243) for up to 14 days, 11.1% (8/72) for 15–21 days, and 27.4% (20/73) for 22 days and longer. The incidence of DAEs was higher in patients who were treated with MCFG for 22 days and longer, and 38 DAEs including 20 hepatobiliary disorders were observed in these 20 patients. However, the number of DAEs observed 22 days after the start of MCFG treatment or later was only ten events in seven patients. Among 20 hepatobiliary disorders reported in patients treated with MCFG for 22 days and longer, 80.0% (16/20) were observed within 21 days.

The incidence of DAEs in patients on MCFG monotherapy and those on combination therapy with another antifungal was 14.3% (54/373) and 18.2% (2/11), respectively. There was no difference in the incidence of DAEs between the patients with or without other oral antifungals before MCFG treatment (14.6% and 14.2%, respectively).

Discussion

Our study was designed to focus on patients who received empirical therapy for persistent febrile neutropenia and the efficacy rate was 63.3% (243/384). Of the 384 patients, 148 were diagnosed as possible fungal infection by positive radiological imaging or serological testing and 236 were diagnosed as refractory fever. The clinical response rate in patients with possible fungal infection and refractory fever were 60.1% (89/148) and 65.3% (154/236), respectively. According to the definition of "preemptive therapy" in recent reports [28, 29], it may be appropriate to apply this

term to the treatment of the 148 patients with possible fungal infection in this study; however, this definition is still controversial. No inclusion criteria concerning treatment with other antifungals were set, but 97.2% (377/388) of patients included in this study were treated with MCFG monotherapy. The efficacy rate in this study, 63.3% (243/ 384), was comparable to that in our previous study in patients with hematological disorders, 68.0% (134/197) [22], though there are differences in the patients' background, especially, the neutrophil count at enrollment. Besides our previous study [22], several reports on the safety and efficacy of MCFG for suspected fungal infections in patients with hematological disorders are available [19-21, 23-25]. Most of these studies have been conducted in small-sized populations (fewer than 55 patients), except for the study by Kubiak et al., which, however, was retrospective and lacked radiological and serological data.

Empirical treatment with other antifungals, caspofungin, itraconazole, voriconazole, and liposomal amphotericin B, has been studied in randomized controlled trials in patients with neutropenia and persistent fever [30-33]. In these comparative studies, a clinical response rate of 26-50% was reported, and was assessed by the five-component endpoint: (1) Absence of any breakthrough fungal infection during therapy or within 7 days after the completion of therapy, (2) survival for 7 days after the completion of therapy, (3) successful treatment of any baseline fungal infection, (4) no premature discontinuation of study drug because of drugrelated toxicity or lack of efficacy, and (5) resolution of fever during neutropenia. In our study, the first two endpoints were not used as components of the efficacy endpoint. In fact, breakthrough fungal infection was seen in three patients in this study, and all of them were assessed to

Table 7 The incidence of drug-related adverse events by study treatment

	Total <i>N</i> =388	Number of patients with AE (%)
Maximum daily dose (mg)		
≤100 mg	74	8 (10.8)
150 mg	267	44 (16.5)
≥200 mg	47	4 (8.5)
Duration of micafungin treatment (days)		
≤14 days	243	28 (11.5)
15–21 days	72	8 (11.1)
≥22 days	73	20 (27.4) ^a
Monotherapy or combination therapy		
Monotherapy	377	54 (14.3)
Combination therapy with other antifungals	11	2 (18.2)
Oral antifungals before treatment		
With	240	35 (14.6)
Without	148	21 (14.2)
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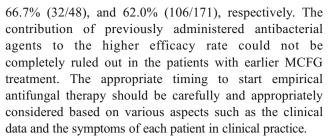
^aThirty-eight DAEs were reported in 20 patients (the time of onset were ≤14 days; 23 in 12 patients, 15–21 days; 5 in 4 patients, and ≥22 days; 10 in 7 patients)



be ineffective by the algorithm based on the assessment of clinical symptoms/findings and radiological imaging and serological testing. As a result, absence of an efficacy endpoint regarding breakthrough fungal infection did not affect efficacy in this study. The clinical response rate, assessed by the latter three endpoints, was 46.9% (61/130) in patients with a neutrophil count remaining <500/µL throughout treatment. This result suggests that MCFG may be an alternative to caspofungin, itraconazole, voriconazole, and liposomal amphotericin B, although comparative studies of MCFG and other antifungal agents have not been conducted.

The usefulness of the 1,3-\(\beta\)-p-glucan assay was also confirmed in this study. The test kit was developed in Japan [34], and is now widely utilized to diagnose fungal infections [18]. In the revised EORTC/MSG guidelines, measurement of 1,3-\(\beta\)-D-glucan is newly added to the microbiological criteria, and it is recommended to be used for an early diagnosis of probable invasive fungal disease by Aspergillus or Candida [35]. The 1,3-β-D-glucan was measured in most of the patients included in this study. Forty-seven of 360 patients (13.1%) were positive for 1,3β-D-glucan, and were diagnosed with possible fungal infection. The possibility of false-positive results seemed unlikely in these patients, because patients who were treated with cellulose-based dialysates, surgical gauze containing glucan, blood preparations (gamma globulin, albumin), antibiotics containing glucan (amoxicillin-clavulanic acid), or other glucan-containing drugs (Schizophyllan, Lentinan), which are reported to cause false-positive results of 1,3-\(\beta\)-p-glucan testing [36], were not applicable. The widespread use of antifungal prophylaxis with fluconazole or itraconazole was reported in Japan [18], and 61.9% of patients (240/388) had received these drugs before the treatment with MCFG. This may explain the low positive rate of 1,3-β-D-glucan in this study. None of the 24 patients whose plasma 1,3-\(\beta\)-p-glucan fell below the cutoff value showed aggravation of clinical symptoms/ findings, and the clinical response in 14 of these 24 patients was "effective." These results support the use of 1,3-\(\beta\text{-D-}\) glucan as a practical marker to monitor the course of patients' condition. Recently, the usefulness of preemptive/ presumptive therapy using high-resolution CT and galactomannan has been reported [28, 29]. However, it seems that the attention to the diagnosis of invasive candidiasis is lacking in these study. By adding 1,3-\beta-D-glucan testing to CT and galactomannan testing, a more refined strategy and better results are anticipated.

The clinical response rate in patients with a persistent fever was 65.3% (154/236). Concerning efficacy as analyzed by the duration of refractory fever in this study, the response rate in patients with refractory fever for at least 48, 72, or 96 h before MCFG treatment was 94.1% (16/17),



Regarding the safety of MCFG, DAEs were observed in 14.4% (56/388), and mild or moderate hepatic function abnormalities were reported as frequent adverse events. This result is consistent with that of the previous report [22, 23]. Several serious DAEs were reported in this study. However, the physicians suggested the possibility that underlying diseases or concomitant drugs other than MCFG might have contributed to all of these serious DAEs. No tendency between daily dose and incidence of DAEs was found in this study. Although the incidence of DAEs seemed higher in patients treated for long duration, most of DAEs including hepatobiliary disorders were observed relatively early after the start of MCFG treatment.

In conclusion, the high clinical efficacy and safety profile of MCFG for suspected fungal infections in patients with hematological disorders and neutropenia was confirmed in this study. These results suggest that micafungin is a useful drug for empirical antifungal therapy.

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