

Autologous hematopoietic stem cell transplantation—what determines the outcome: an experience from North India

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Abstract Limited information is available from developing countries about complications, pattern of infections, and long-term outcome of patients following high-dose chemotherapy (HDCT) and autologous blood stem cell transplantation (ASCT). Between April, 1990 and December 2009, 228 patients underwent ASCT. Patients' median age was 48 years, ranging from 11 to 68 years. There were 158 males and 70 females. Indications for transplant included multiple myeloma, $n=143$; lymphoma, $n=44$ (Hodgkin's, $n=25$ and non-Hodgkin's, $n=19$); leukemia, $n=22$; and solid tumors, $n=18$. Patients received HDCT as per standard protocols. Following ASCT, 175 (76.7%) patients responded; complete, 98 (43%); very good partial response, 37 (16.2%); and partial response, 40 (17.5%). Response rate was higher for patients with good Eastern Cooperative Oncology Group (ECOG) performance status (0–2 vs. 3–4, $p<0.001$), pretransplant chemo-sensitive disease ($p<0.001$) and those with diagnosis of hematological malignancies ($p<0.003$). Mucositis, gastrointestinal, renal, and liver dysfunctions were major nonhematologic toxicities, 3.1% of patients died of regimen-related toxicities. Infections accounted for 5.3% of deaths seen before day 30. At a median follow-up of 66 months (range, 9–234 months), median overall (OS) and event-free survival (EFS) were 72 months (95% CI 52.4–91.6) and 24 months (95% CI 17.15–30.9), respectively. For myeloma, OS and EFS were 79 months (95% CI 52.3–105.7) and 30 months (95% CI 22.6–37.4), respectively.

Pretransplant good performance status and achievement of significant response following transplant were major predictors of survival. Our analysis demonstrates that such procedure can be successfully performed in a developing country with results comparable to developed countries.

Keywords Autologous stem cell transplantation · Multiple myeloma · Lymphoma · Toxicity · Infections · Survival · Response rate · Developing countries

Introduction

High-dose chemotherapy followed by autologous hematopoietic stem cell transplantation (ASCT) is a standard treatment approach for eligible, young patients of multiple myeloma (MM), chemo-sensitive relapsed Hodgkin's (HL) and non-Hodgkin's lymphoma (NHL) and children with high-risk neuroblastoma [1–8]. ASCT is also conducted in other malignant disorders including acute myeloid leukemia (AML) [9] testicular germ cell tumors, etc [10]. Infections and nonhematological toxicities are common complications seen in early posttransplant period (0–30 days) and are primarily related to high-dose chemotherapy (HDCT). Relapse and secondary malignancy are late complications [2–4]. While results of ASCT have been reported from many centers in the West, such data is not readily available from countries with limited resources [11–14]. In India, about 500 patients are transplanted per year in 11 centers; transplant rate is two transplants per million, compared to 30–42 transplants per million in developed countries [1]. In fact, developing hemopoietic stem cell transplant program in countries with limited resources is a challenge where food, sanitation, immunization, control of communicable diseases, and population control take priorities. We have

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recently analyzed the data on patients who underwent ASCT at our center. This report describes the results.

Patients and methods

Between April 1990 and December 2009, 228 consecutive patients underwent ASCT. Patients' characteristics are shown in Table 1. Briefly, patients' median age was 48 years, ranging from 11 to 68 years. Three patients were below 15 years and 7% were above 60 years of age; 158 were males and 70 females (M/F, 2.2:1). Indications for transplant included multiple myeloma ($n=143$), HL ($n=25$), NHL ($n=19$), AML ($n=15$), acute lymphoblastic leukemia (ALL; $n=3$), chronic myeloid leukemia (CML, $n=5$), and solid tumors ($n=18$; five breast cancer, six epithelial ovarian cancer, five germ cell tumors of testis, one small cell lung cancer, and one peripheral neuro-ectodermal tumor). Data on

initial myeloma patients has been reported earlier [11]. Patients were defined to have chemo-sensitive disease if they had complete (CR) or partial response at the time of ASCT. This included patients of HL; NHL; acute leukemia (AML, ALL) in first, second, or third CR; and solid tumors who had achieved CR or partial response (PR) following salvage chemotherapy. Myeloma patients with CR, PR, or very good partial response (VGPR) to pretransplant therapy were also included in chemo-sensitive disease category. Patients with minimal response (25–50% response) or for MM patients with 25–50% reduction in paraprotein or those with progressive or refractory disease were defined to have chemo-resistant disease.

Institute Our institute is a tertiary care, referral, government hospital (total bed strength: 2,400 beds) with 150 beds dedicated to cancer patients. We initially started with two bed transplant unit in 1990 and now have a separate floor

Table 1 Patients' characteristics

Characteristics	No. of patients	
Total number of patients	228	
Median age (range)	48 (11–68)years	
Male/female	158:70	69.3:30.7
Diagnosis	%	
Myeloma	143	62.7
AML(MDS-1)	15 (1)	6.6
ALL	03	1.3
Hodgkin's lymphoma	25	11.0
Non Hodgkin's lymphoma	19	8.3
CML	05	2.2
Solid tumors (breast, five; ovary, six; CT, five; PNET, one; SCLC, one)	18	7.9
Preparative regimen		
High-dose melphalan	153	67.1
Bu-Cy2	19	8.3
CVB	39	17.1
BEAM	03	1.3
Mel+Ara-C+VP-16	02	0.9
Cyclophos+carbo+VP-16	06	2.6
Carbo+Cyclophos	04	1.8
Taxol+Carbo+Cyclophos	02	0.9
Disease status at Tx		
Chemo-sensitive disease	166	72.8%
CR1	21	9.2
PR1	81	35.5
CR2	33	14.5
PR2	31	13.6
Chemo-resistant/refractory disease (stable-12)	62	27.2
Performance status (WHO)		
0–2	193	84.6
3–4	35	15.4

Tx transplant, *CR* complete response, *PR* partial response, *SD* stable disease, *Prog* progressive disease, *GCT* germ cell tumor, *SCLC* small cell lung cancer, *PNET* peripheral neuroectodermal tumor, *Bu* busulfan, *Cy* cyclophosphamide, *VP-16* etoposide, *BEAM-BCNU* etoposide, cytosine arabinoside, melphalan, *CBV-BCNU* etoposide, cyclophosphamide, carbo-carboplatin, *WHO* World Health Organization
Age ≤ 15 years: three (1.3%); age > 50 years, 38.6%, > 60 years, 16 (7%)

with nine bed transplant unit. Many patients are treated in periphery hospitals and are referred for subsequent management. All patients are initially reviewed in the organ-based clinics, treated as per institute-based protocols. Candidates for ASCT are registered in the weekly “Bone marrow/Stem cell transplant clinic” in which patients and family members are explained about the procedure, potential risks, and benefits. Transplant cost is met by the individuals, government support, medical insurance, and charitable organizations. During follow-up, patients are seen in the “Bone Marrow/Stem cell Transplant Clinic” initially monthly, then bi- to tri-monthly for 3 years, then every 6 months thereafter. Some of our patients come from very far away or from neighboring countries. These are followed locally by referring oncologist/hematologists as per guidelines provided and are seen once in 3 months at our institute. Follow-up information is available on all patients.

Transplant protocol Pretransplant evaluation included history and physical examination; details of prior treatment were recorded. Investigations including hemogram, renal and liver function tests, bone marrow biopsy, echocardiography or MUGA scan, pulmonary function tests, and viral markers were done to assess overall fitness prior to ASCT. Central line (Hickman’s catheter) was inserted. All patients were admitted in a single room and reverse barrier nursing was practiced. Written informed consent was obtained prior to transplant.

Stem cell graft Bone marrow was source of stem cells for first 10 patients which were harvested under general anesthesia. For the next 218 patients, mobilized peripheral blood stem cells (PBSCs) were harvested. For mobilization, patients received injected granulocyte colony-stimulating factor (G-CSF) 5 mcg/kg twice daily subcutaneously for 6 days. Stem cells were harvested on day 5 and 7 using Hemonetics cell separator-MCS 3p (Haemonetics, Braintree, MA, USA). PBSC harvest was done from median cubital vein in 199 (91.3%) patients, from central line (subclavian or internal jugular vein) in 16 (7.3%) and from femoral or internal jugular vein in three (1.4%) patients using dialysis catheter. The mean numbers of collections were two per patient (range, 1–6). Mononuclear cells were counted manually by doing differential count on stem cell preparation. For CD 34 counts, cells were labeled with fluorescein-conjugated anti-CD34 and analyzed using a FACS scan flow cytometer to yield absolute CD34+ counts [15]. Stem cells were kept at 4°C (for multiple myeloma patients) or cryopreserved at –80°C using cryoprotectant mixture consisting of 7.5% dimethyl sulfoxide (DMSO), albumin, and saline [16]. Viability of stem cells was done with trypan blue dye test.

High-dose chemotherapy For multiple myeloma, patients received high-dose melphalan ($n=143$) [11]. For HL and

NHL, BCNU, cyclophosphamide, VP–16 (etoposide) (CBV; $n=39$), BCNU, etoposide, cytosine arabinoside and melphalan protocol (BEAM, $n=3$) was administered [3]. busulphan and cyclophosphamide (Bu-Cy2) was used for acute leukemia (AML, ALL) and CML ($n=5$). For solid tumors, carboplatin-cyclophosphamide and VP-16 ($n=6$) or carboplatin+cyclophosphamide ($n=4$) or paclitaxel, cyclophosphamide, and carboplatin ($n=2$) or high-dose melphalan was used. HDCT was administered as per the standard guidelines. Autologous stem cells were reinfused intravenously on day 0 through a central venous catheter preceded by IV injection pheneramine maleate 50 mg. Posttransplant patients received injected G-CSF 5 mcg/Kg daily SC until engraftment. All the blood products transfused during posttransplant period were irradiated with 25 Gys.

Antimicrobial prophylaxis

Patients were admitted in a single room without laminar airflow or high efficiency particulate air (HEPA) filter, reverse barrier nursing was practiced. All patients received prophylaxis against fungi initially with fluconazole till 1998 and later with itraconazole. Ciprofloxacin was used for antibacterial prophylaxis. Routine acyclovir prophylaxis was given to patients with myeloma, lymphoma, and acute leukemia. Patients were advised to avoid raw, uncooked food over next 4 weeks. Once febrile, evaluation and treatment was done as per standard guidelines [17].

Hematological recovery Engraftment was defined as achievement of absolute neutrophil count of $\geq 500/\text{cm}^3$ for three consecutive days. Platelet engraftment was defined as platelet counts of $\geq 20,000/\text{cm}^3$ for three consecutive days with transfusion independence.

Toxicity

All cases of nonhematological dysfunction were considered “regimen related” unless these could be clearly explained by another cause. A grading scale described by Bearman et al. [18] was used for toxic complications of transplant. Briefly, grade 0 represented no toxicity; grade I toxicity was fully reversible without specific intervention; grade II toxicity was not life threatening, but required specific measures to be reverted; grade 3 was life threatening but reversible; and grade 4 toxicity was fatal. The diagnosis of veno-occlusive disease (sinusoidal obstruction syndrome, SOS) was based on clinical criteria originally proposed by McDonald et al. Two of the following criteria had to be present within 20 days after transplantation, and not explained by other reasons: hyper-

bilirubinemia (bilirubin ≥ 2.0 mg/dL), painful hepatomegaly, and unexplained weight gain ($\geq 2\%$ from baseline) [19].

Response evaluation

Patients were evaluated for response as per WHO criteria [20] 4 weeks after transplant on outpatient basis and subsequently were kept on the follow-up. For myeloma patients, response was assessed 6 weeks after transplant as per European Group for Blood and Marrow Transplantation (EBMT) criteria described by Blade et al. [21].

Statistical analysis

All patients are evaluable for response and survival analysis. Posttransplant period was stratified in the standard manner as early (<30 days), intermediate (30–100 days), and late (>100 days). The prognostic factors for response to transplant were analyzed by Pearson chi-square test. All survival times were calculated from date of transplant. Overall survival was defined as the time from date of transplant until death or date of censoring. Event-free survival was calculated from date of transplant to disease progression or death (regardless of cause of death). Curves for overall and event-free survival were plotted according to method of Kaplan and Meier and were compared by the log-rank test. The prognostic factors for survival were analyzed by Cox regression analysis. The median follow-up for the whole group is 66 months (range, 9–234 months). The data has been censored on September 30, 2010. Statistical analysis was performed with SPSS software (version 16).

Results

Multiple myeloma was most common indication (62.7%) followed by HL (11%), NHL (8.3%), AML (6.6%), and solid tumors (8%). Prior to transplant, 166 (72.8%) patients had chemo-sensitive disease; 54 were in either first or second CR and 112 were in either first or second PR. The remaining 62 (27.2%) patients had chemo-resistant disease (minimal response, $n=12$ and relapse/progressive disease, $n=50$; Table 1).

Engraftment The median number of mononuclear and CD 34+ cells transfused was $4.78 \times 10^8/\text{kg}$ (range, 0.39 – $11.8 \times 10^8/\text{kg}$) and $2.80 \times 10^6/\text{kg}$ (range, 0.70 – $19.11 \times 10^6/\text{kg}$), respectively. Stem cells viability (after thawing) ranged from 88% to 98%, cell loss due to cryopreservation ranged from 2% to 12%.

Hematological Recovery The median time to engraftment was 11 days (range, 9–24 days) and median time for platelet

transfusion independence was 12 days (range, 8–36 days). Median duration of fever and antibiotics therapy was 10 and 11 days, respectively. Median duration of hospitalization posttransplant was 19 days. Fifteen patients (6.6%) failed to engraft. Following transplant, patients received a median of two units of red cells and three units of single donor platelet transfusion. Posttransplant patients received G-CSF for a median of 12 days (range, 9–30 days).

In the myeloma group ($n=143$), 70 patients had received stem cells cryopreserved at -80°C using a mixture of DMSO, albumin and saline. Sixty-three patients received stem cells kept at 4°C . There was no difference in the number of CD 34+ cells infused and hemopoietic recovery in two groups.

Response to transplant One hundred seventy-five of 228 patients (76.7%) responded to transplant; complete, 98 (43%) and PR, 77 (33.7%) including VGPR in 37 (16.2%) patients (of myeloma). Eighteen patients (7.9%) had stable disease and 12 patients (5.3%) had either no response or progressed (Table 2). Among 166 patients with pretransplant chemo-sensitive disease, 150 (90.3%) responded; CR in 93 (56%), VGPR in 34 (20.5%), and PR in 23 (13.8%) patients. Among 62 patients with chemo-resistant disease, 25 (40.3%) patients responded; CR in five (8.1%), VGPR in three (4.8%), PR in 17 (27.4%). CR rate was significantly higher in patients with pretransplant chemo-sensitive disease, 93/166 (56%) vs. 5/62 (8.1%), $p < 0.001$.

Multiple myeloma ($n=143$) The overall response rate was 83.3%; CR in 58 (40.6%), VGPR in 37 (25.9%), and PR in 24 (16.8%) patients. Eight (5.6%) patients had stable disease and four (2.8%) patients had progressed.

Hodgkin's lymphoma The overall response rate was 88%; CR in 17 (68%), PR in five (20%). CR rate was higher among patients who had chemo-sensitive disease compared to chemo-resistant disease; 88% vs. 12%, $p < 0.007$.

Non hodgkin's lymphoma This group was heterogeneous in view of varied histology subtypes (diffuse large cell in nine, mantle cell in five, indolent in two, NK cell in one, peripheral T cell NOS in one, lymphoblastic in one). Overall response rate was 52.6%; CR in 42.1% (8/19), PR in 10.5% (2/19). Seven of eight CRs were among patients with chemo-sensitive disease (7/12), $p < 0.05$.

Acute myeloid leukemia ($n=15$) Among 15 patients with AML, two were in first CR, eight in CR2, three had border line remission, and two had refractory disease. Cytogenetically, 12 had intermediate risk and two had high risk. Seven of

Table 2 Pretransplant status versus response to transplant

Pretransplant status	No of patients	CR (%)	VGPR (%)	PR (%)	Stable disease (%)	Progressive disease (%)	Died (%)
CR1	21	21	0	0	0	0	0
CR2	33	25	0	4	1	0	3
PR1	81	34	30	10	0	1	6
PR2	31	13	4	9	3	0	2
Stable	12	0	1	4	0	2	5
Relapse/progressive disease	50	5	2	13	14	9	7
Total	228	98 (43)	37 (16.2)	40 (17.5)	18 (7.9)	12 (5.3)	23 (10.1)
Chemosensitive disease ^a	166	93 (56)	34 (20.5)	23 (13.8)	4 (2.4)	1 (0.6)	11 (6.6)
Chemo-refractory	62	5 (8.1)	3 (4.8)	17 (27.0)	14 (22.6)	11 (17.7)	12 (19.3)
Total	228	98	37	40	18	12	23

CR complete response, PR partial response, SD stable disease, Prog progressive disease, VGPR very good partial response

^a Chemosensitive vs. chemorefractory disease, *P* value <0.001

15 patients achieved CR (53.3%), one PR, and four patients died of transplant-related complications.

Solid tumors (*n*=18) Eleven of 18 patients responded; CR in five (27.7%), PR in six (33.3%). Four patients had progressive disease (27.7%; Table 2).

Factors affecting response to transplant Response rate was significantly higher for patients with good ECOG performance status (0–2 vs. 3–4, *p*<0.001), pretransplant chemo-sensitive disease (*p*<0.001), younger patients (age, ≤48; *p*<0.03), and for those with diagnosis of hematologic malignancies (*p*=0.003). Response rate was higher for patients transplanted between 2006 and 2009 compared to those treated in earlier periods, *p*<0.004 (Table 3).

Toxicity to conditioning chemotherapy

GI toxicity Grade III–IV mucositis, grade II–III nausea/vomiting, and grade II diarrhea were common nonhemato-

logical toxicities (Table 4). Risk of grade III–IV nausea/vomiting (*p*<0.02), diarrhea (*p*<0.007), and mucositis (*p*<0.001) was higher among patients who received HD melphalan for conditioning compared to those receiving CBV and Bu-Cy2 regimen. In the myeloma group, 44 of 143 patients received inj. amifostine (a cytoprotector) 740 mg/m² over 20 min just prior to high-dose melphalan; grade III and IV mucositis was not significantly different between two groups; 72.1% vs. 72%, *p*=0.56.

Renal toxicity Renal dysfunction was noted in 95 (41.7%) patients; grade I in 70 (30.7%), grade II in 17 (7.5%), and grade III in eight (3.5%) patients. Causes included medication(s) related in 51/95, high-dose chemotherapy in one, and tumor lysis in 15/95, sepsis±medication in 10/95 and of indeterminate cause in 18 patients. Common medications attributed for renal dysfunction were antibiotics, amikacin, vancomycin, and amphotericin-B. Two patients died of acute renal failure; one was secondary to HD carboplatin. Another patient with refractory Hodgkin's

Table 3 Response to transplant: prognostic factors

Factor		No of patients	No of responders	<i>P</i> value
Age (years)	≤48	120	86/120	<0.03
	>48	108	89/108	
Sex	M	158	125/158	0.205
	F	70	50/70	
Pretransplant disease status	Chemosensitive	166	138/166	<0.001
	Chemorefractory disease	62	25/62	
Diagnosis	Hematologic	210	164/210	<0.003
	Nonhematologic malignancies	18	11/18	
ECOG performance status	0–2	193	162/193	<0.001
	3–4	35	13/35	

Table 4 Regimen-related toxicities

Toxicity	Grade 0 (%)	Grade I–II (%)	Grade III–IV (%)
Mucositis (<i>n</i> =228)	3.5	39.5	57.0
Nausea/vomiting (<i>n</i> =225)	3.2	76.3	19.3
Diarrhea (<i>n</i> =227)	9.3	64.7	26.0
Hepatic (<i>n</i> =228)	74.6	24.1	1.3
Renal (<i>n</i> =228)	58.3	38.2	3.5
Pulmonary (<i>n</i> =228)	94.7	2.7	2.6
Cardiac (<i>n</i> =227)	98.2	1.3	0.4
CNS (<i>n</i> =209)	91.9	7.6	0.5
Haemorrhagic cystitis (<i>n</i> =227)	98.0	1.6	0.4
Engraftment syndrome (<i>n</i> =222)	85.1	14.9	–

lymphoma (more than five regimens prior to transplant) died of acute renal failure on day+3 following HD chemotherapy with BEAM protocol. There was no evidence of sepsis on autopsy. Among eight patients with grade III renal toxicity, two had grade III VOD and four patients were cases of myeloma with end-stage renal disease.

Liver dysfunction and SOS

Fifty-eight (25.4%) patients had liver dysfunction, grade I in 49 (21.5%), grade II in six (2.6%), and grade III in three patients (1.3%). Liver dysfunction was attributed to medication in 14/58 (26%), sepsis in nine (15.5%), high-dose chemotherapy (cyclophosphamide, carboplatin) in 5/58, hepatitis in 3/58 (hepatitis B1, hepatitis C1, CMV-1), veno-occlusive disease (SOS) in 21/58, recurrent disease in 1/58, and indeterminate cause in five patients. The common medications attributed for liver dysfunction included fluconazole, itraconazole, amoxycylav, and amphotericin-B.

SOS was seen in 21 patients; grade I in 17, grade II in two, and grade III in two patients each. One patient with grade III SOS died; he was a case of refractory NHL and had received BEAM protocol for conditioning.

Cardiac toxicity Four (1.7%) patients had evidence of cardiac toxicity, being mild in one, moderate in two, and one patient died of severe cardiac toxicity. The latter was considered secondary to high-dose cyclophosphamide induced acute myocarditis. This was a case of low-grade NHL with single functioning kidney.

Lung toxicity Acute grade III pulmonary dysfunction was seen in six patients, in five due to pulmonary alveolar hemorrhage (PAH). Three patients died of severe PAH; these included two patients of AML in second CR and third patient with refractory myeloma. Three patients recovered following methyl prednisolone and supportive treatment. Grade III pulmonary toxicity was higher with Bu-Cy2 protocol.

Haemorrhagic cystitis Four patients (1.7%) patients had evidence of drug induced cystitis possibly secondary to cyclophosphamide. This was self-limiting and resolved with conservative management.

CNS toxicity Seventeen (8.2%) patients had evidence of central nervous system (CNS) toxicity characterized by somnolence, delirium, and tremors. This was grade I in 16 (7.7%) and grade III in one (0.5%) patient. There was no evidence of metabolic abnormalities at the time of CNS toxicity and were considered unrelated to medication.

Engraftment syndrome Thirty three (14.9%) patients had evidence of engraftment syndrome (ES). Findings included weight gain (32/33), fever (21/33), dyspnoea (23/33), pleural effusion (12/33), skin rash (10/33), impaired liver functions (16/33), and renal functions (6/33). The median time for onset of engraftment syndrome was 11 days (range, 9–22 days). This required investigations to rule out other potential cause, e.g., infection. In most patients, findings gradually improved after stopping growth factors and with diuretics and after steroid use in two patients.

Infections

A total of 293 febrile episodes (mean 1.3) were recorded; neutropenic, 97.3%. Infection could be documented clinically and radiologically in 33.5%; clinical, radiological, and microbiologically in 12.8%; and clinical+microbiologically in 9.3% and microbiologically alone in 5.7% of febrile episodes. The remaining 34.4% of episodes were defined as isolated febrile episodes (Table 5).

The chest was the most common site of infection (24.2%) followed by GIT (11.9%; neutropenic enterocolitis and perianal, two patients had enterocolitis due to clostridium difficile), upper respiratory tract (3.5%), and skin infections (2.2%). Microbiologically, organisms could be isolated in 68 patients (31.6%). Isolates were Gram-negative

Table 5 Pattern of infections

Total no of febrile episodes	293
Mean	293/228=1.28
Neutropenic	97.3%
Nonneutropenic	2.7%
Evidence of infection	Febrile episodes (%)
Clinical+radiological	31.7
Clinical+microbiological	9.3
Clinical+radiological+microbiological	12.8
Microbiological alone	5.7
Radiology alone	1.8
Central line	4.4
Isolated febrile episodes	34.4
Clinical sites of infection	
Chest	24.2
GIT (neutropenic enterocolitis, perianal)	11.9
Upper respiratory tract	3.5
Skin, subcutaneous tissue	2.2
Urinary tract infection	0.9
Oral cavity	0.8
More than one site	10.1
No evidence	45.4
Microbiological (blood) isolates	
Gram-negative	17.2
Gram-Positive	9.3
Polymicrobial	5.7
Fungal	1.8 (aspergillus, 6;candida, 3; mucor, 1; penicillium, 1)
Viral	4.7 (H Zoster, 2.3%; H Simplex, 1.9%; CMV, 0.5%)
Sterile	64.8
Central line isolates	
Gram-positive	9.3
Gram-negative	7.1
Polymicrobial	1.5
Fungal	0.9
Sterile	81.4
Amphoterin-B: indications	
Empirical	36.6
Possible	5.6
Definite	1.9
Not used	56.4
Outcome (day 30)	
Recovered	90.0
Died of sepsis	5.3
Died of regimen-related toxicity	3.1
Died of progressive disease	1.3
Died of unrelated cause	0.44

in 17.2%, Gram-positive 10.6%, and polymicrobial in 3.5% of patients. Organisms could be isolated in 18.6% of patients from central line, these being Gram-positive in 9.3%, Gram-negative in 7.1%, polymicrobial in 1.5%, and fungal in 0.9% of isolates.

Ninety-four patients received amphotericin-B therapy in view of persistent fever. Fungal infection was suspected in 19 of them on basis of chest X-ray and high-resolution CT scan of chest [20, 21] but could be confirmed in 11 patients either on broncho-alveolar lavage, biopsy/cytology, or

culture. Isolated organisms were aspergillus in six, candida in three, mucor in one, and penicillium in one patient. Viral infections were seen in 5% of recipients; herpes zoster in 2.2%, herpes simplex in 1.8%, and cytomegalovirus infection in two (0.9%) recipients.

Five patients (2.4%) received empirical anti-tubercular (ATT) treatment based on CT scan finding (mediastinal lymph nodes enlargement in two, pleural effusion in one, pleural effusion+pulmonary nodule in one, and bone marrow PCR+ve for mycobacterium tuberculosis in one patient). In all the five patients, fever and radiological findings resolved following ATT.

Day 30 and 100 mortality

Twenty three (10.1%) patients died before day 30, including 15 patients with graft failure. Causes of day 30 mortality included infection-related deaths in 12 (5.3%) patients, regimen related ($n=7$; 3.1%), progressive disease in three (1.3%), and pulmonary embolism in one patient. Infection-related deaths included fungal infection in four (pulmonary aspergillosis in two, mucormycosis with hemophagocytosis in one, and candidemia in one) and *Pneumocystis jiroveci* (PCP) in one patient. The remaining seven patients had sepsis with or without multiorgan failure (Table 5). Regimen-related mortality was due to pulmonary alveolar hemorrhage in three patients, acute cardiac toxicity secondary to high-dose cyclophosphamide in one, and acute renal failure in two patients including one secondary to high-dose carboplatin and grade III SOS in one patient. Another six patients died between day 30 and 100; causes included relapse/refractory disease in four patients (2/4 also had fungal pneumonia) and sepsis with multi-organ failure in two patients, both were on prolonged ventilation. Risk of day 30 mortality was higher for patients with ECOG performance status 3–4 (13/35 vs. 10/193; $p<0.001$), chemo-refractory disease (12/62 vs. 11/166; $p<0.007$), female patients (12/58 vs. 11/158; $p<0.02$), and those who failed to engraft by day+18 ($p<0.001$). There was no difference in the day 30 mortality according to the diagnosis subtype.

Current status and survival

One hundred ninety-nine patients (87.3%) were alive on day+100 onwards; of these, 108 (54.3%) patients are currently alive at a median follow-up of 66 months, 74 disease-free and 34 patients are alive with disease. Ninety one (45.7%) patients have died; relapse being the main cause (84/199=42.2%). Other causes ($n=7$) were hepatitis B and C in one case each, *P. jiroveci* (PCP) in one, acute myocardial infarction in one, suicide in one, and secondary malignancy (myelodysplastic syndrome, $n=1$), and graft

versus host disease (GVHD) in one patient. The latter patient, a 26-year-old male with myeloma underwent allogeneic peripheral blood stem cell transplant following relapse 2 years after ASCT; he died of acute GVHD on day+116, his myeloma was in remission at the time of death.

At a median follow-up of 66 months, median OS and EFS for all patients is 65 months (95% CI 44.78–85.22) and 22 months (95% CI, 15.69–28.31), respectively. For multiple myeloma, median OS and EFS are 79 months (95% CI 52.3–105.7) and 30 months (95% CI 22.6–32.7), respectively. Estimated 5-year OS and EFS is $72\% \pm 0.03$ (SE) and $32.7\% \pm 0.04$ (SE), respectively. The corresponding figures for 10-year OS and EFS are $48\% \pm 0.05$ (SE) and $17.2\% \pm 0.05$ (SE), respectively (Figs. 1, 2). For Hodgkin's lymphoma, median OS is 81 months (95% CI 69.7–92.3). Median EFS has not reached yet. Corresponding figures for NHL are 12 months (95% CI 0–24.8) and 5 months (95% CI 2.5–7.5), respectively (Figs. 3 and 4). For acute myeloid leukemia patients, median OS and EFS are 8 months (95% CI, 1.4–14.6) and 6 months (95% CI 1.5–10.5), respectively.

Overall survival was superior for patients who were in CR1 or PR1 at the time of transplant compared to those in CR2 and PR2 which was higher than those with stable or progressive disease prior to transplant; 88 vs. 84 (95% CI 55.2–112.8) vs. 14 months (95% CI 3.39–24.61), $p<0.001$. Corresponding figures for EFS are 52 months (95% CI 19.86–84.13) vs. 31 months (95% CI 21.50–40.5) vs. 5 months (95% CI 2.43–7.57), $p<0.001$.

Prognostic factors Patients with pretransplant chemosensitive disease ($p<0.001$, Fig. 5), good ECOG performance status (0–2 vs. 3–4, $p<0.001$) at the time of transplant,

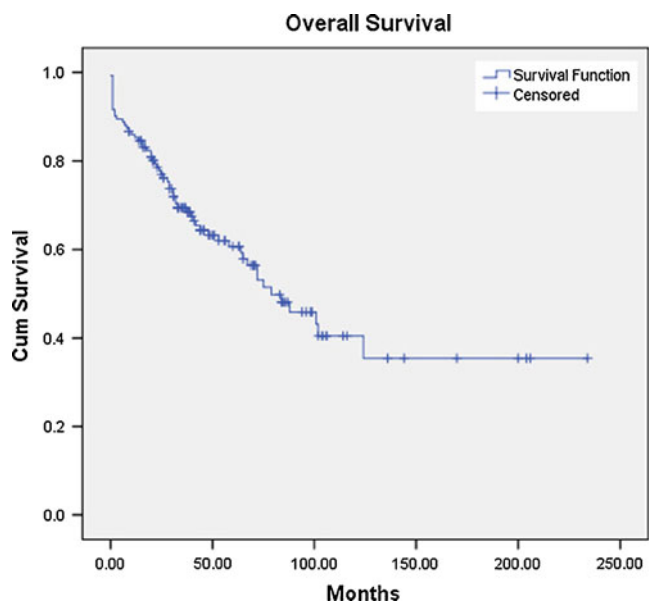


Fig. 1 Multiple myeloma

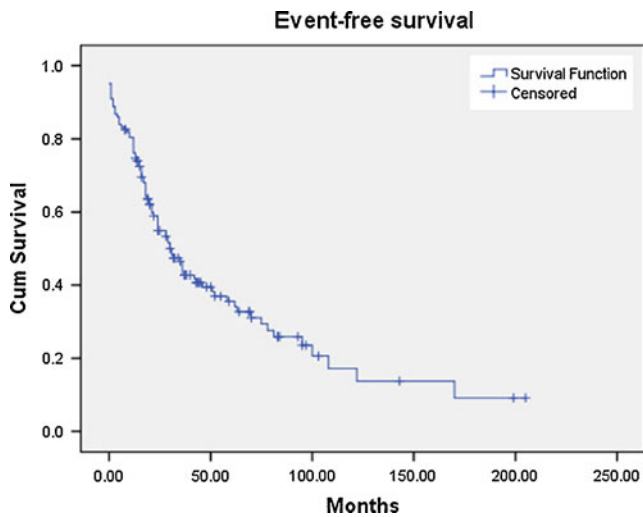


Fig. 2 Event-free survival: multiple myeloma

and those with diagnosis of hematologic malignancies (vs. solid tumors, $p < 0.004$) had a significantly longer OS and EFS. Both OS ($p < 0.001$) and EFS ($p < 0.002$) were higher for patients treated between 2006 and 2009 compared to those treated between 1990–2000 and 2001–2005. Patients who responded to transplant had a significantly longer overall and event-free survival. On Cox regression multivariate analysis, good ECOG performance status at the time of transplant, treatment period (2006 and 2009) and response to transplant emerged as the most significant predictors of both OS and EFS (Table 6).

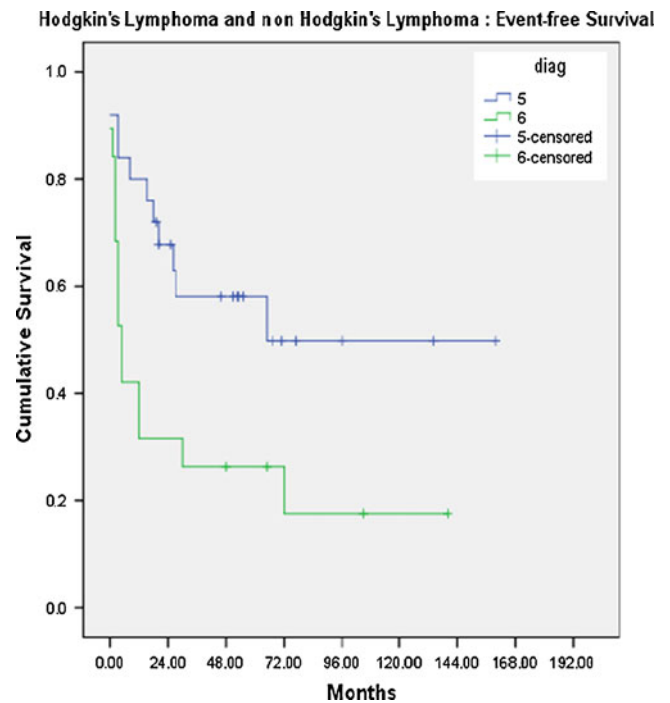


Fig. 4 Hodgkin's lymphoma and non-Hodgkin's lymphoma: event-free survival

Discussion

A periodic audit of stem cell transplant data is important to get insight into the transplant-related toxicities, infections, and overall outcome. In line with the ASCT experience as reported from Center for International Blood and Marrow Transplant Research (CIBMTR) and EBMT, we too

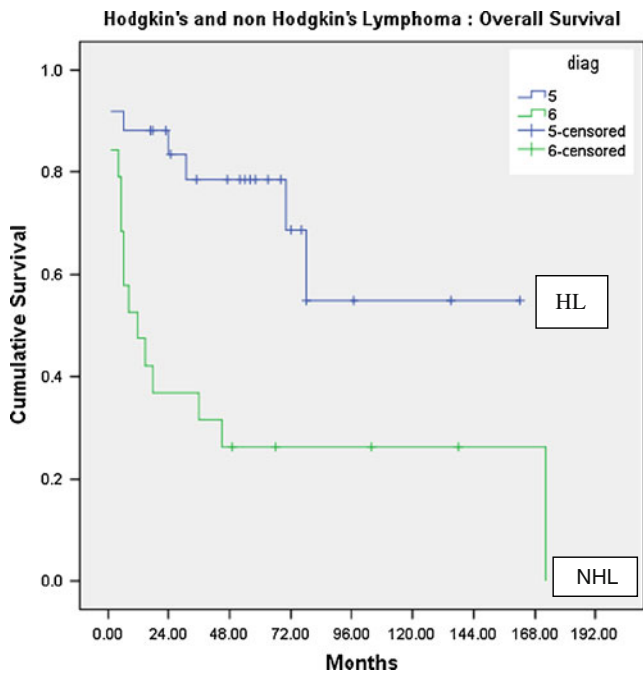


Fig. 3 Hodgkin's and non-Hodgkin's lymphoma: overall survival

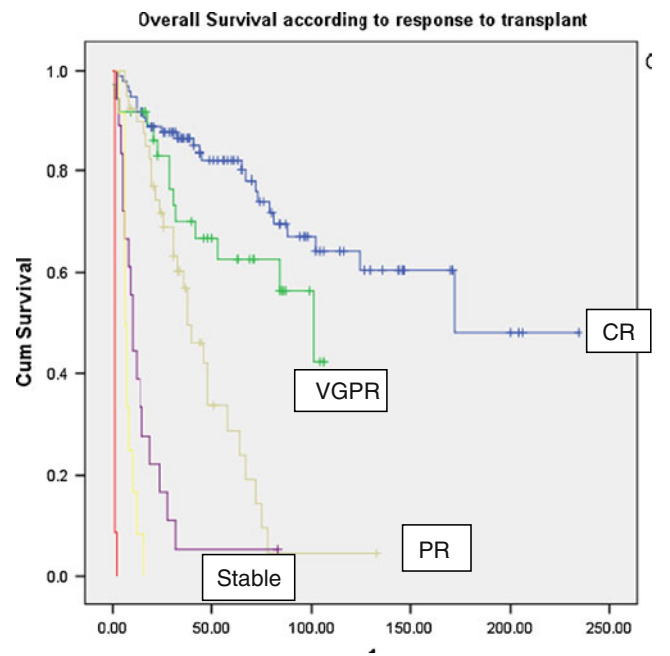


Fig. 5 Overall survival according to transplant response

Table 6 Multivariate analysis

	Overall survival			Event-free survival		
	Hazard ratio	95% CI	<i>P</i> value	Hazard ratio	95% CI	<i>P</i> value
ECOG performance status	2.001	1.239–3.234	<0.005	1.841	1.183–2.865	<0.01
Period of treatment	0.568	0.428–0.753	<0.001	0.703	0.558–0.885	<0.003
Response to transplant	11.523	7.242–18.335	<0.001	14.168	8.919–22.508	<0.001

observed that (1) multiple myeloma and lymphoma were two main indications for ASCT, (2) infections and regimen-related toxicities (mucositis, GI toxicity, renal and liver dysfunctions) were important cause of morbidity and mortality; these being higher in patients with pretransplant chemo-resistant disease, and (3) event-free and overall survival was higher for patients with good ECOG performance status and those who responded to transplant.

In the current study, patients' median age was 48 years, 38.6% and 7 % of patients were older than 50 and 60 years, respectively. With improved supportive care, more and more eligible patients in the higher age group are being transplanted. This is also reflected in data from CIBMTR; between the year 2003 and 2007, 65% and 32% of patients were above age of 50 and 60 years, respectively, compared to lower percentage in earlier years [2]. Among 228 recipients, myeloma and lymphoma together accounted for 82% of all cases. In fact, both these conditions are currently the most common indications for ASCT internationally too [1–4].

Grade III–IV nausea/vomiting, diarrhea, and oral mucositis were major GI toxicities and were significantly higher with high-dose melphalan compared to CBV and Bu-Cy2 regimen. We did not observe reduction in grade 3–4 mucositis with inj. amifostine administered prior to high-dose melphalan in 61 of 143 myeloma patients as suggested by Spencer et al. [22]. Frequency and severity of renal dysfunction [23], liver dysfunction [24, 25], SOS (VOD) [26], pulmonary toxicity [27, 28], hemorrhagic cystitis [29], cardiac [30], and CNS toxicity [31] is similar to previous studies and was not significantly different among patients receiving HD melphalan, CBV or Bu-Cy2 regimen.

In the present study, 3.1% of patients died of regimen-related toxicities. This compares favorably to 8% in the CIBMTR data [2]. Of the patients, 14.9% had evidence of ES at a median of 11 days posttransplant. High index of suspicion for ES around day of engraftment (weight gain \geq 5% was present in almost all patients with ES), stopping growth factors, liberal use of diuretics and steroids in two patients might be possible reasons for lack of mortality due to ES. Similar to present study, higher frequency of ES was also observed in a recent study among patients receiving HD melphalan [32].

Patients with pretransplant chemo-sensitive disease ($p<0.001$), good ECOG performance status ($p<0.001$), age \leq 48 years ($p<0.03$), and those with hematological malignancies ($p<0.003$) had a significantly higher response to transplant (Table 3). These observations are similar to those reported in international [1–4] and singlecenter [33] studies.

Risk of transplant-related morbidity and mortality is directly proportional to the recipient's disease status (chemo-sensitive vs. chemo-resistant/refractory) and performance status (ECOG, 0–2 vs. 3–4) at the time of transplant [1–4, 13, 33]. Early (day 30) transplant-related mortality was significantly higher among patients with pretransplant chemo-resistant disease 19.3% (12/62) vs. 6.62% (11/166), $p<0.007$. Most of these deaths were in the initial starting years of transplant program and once learning curve is over, mortality rate has come down; day 30 mortality was 2.7% (3/72) during the period 2006–2009, compared to 13% (10/77) and 12.6% (10/79) in previous years 1990–2000 and 2001–2005, respectively.

Infections (secondary to severe myelosuppression) remain the major cause of morbidity and mortality in early posttransplant (day 0–30) period. Important observations in present study are (1) higher frequency of Gram-negative bacterial isolates, (2) use of amphotericin-B in 43.6% of ASCT recipients, and (3) antitubercular treatment in five (2.4%) patients. Higher frequency of Gram-negative organisms has also been observed among patients of AML at our center [17]. It is important to reiterate here that all these transplants were carried out in single rooms without any HEPA filter or laminar air flow facilities [34, 35]. Due to increased frequency of possible fungal infections, we have now adopted a policy in our unit to start amphotericin-B early by day 4 or 5, if fever does not resolve or if there are radiological signs suggestive of fungal infection [36]. Mycobacterium tubercular infection has been reported occasionally in both autologous and allogeneic transplant recipients, possibly due to reactivation following severe myelosuppression and immune suppression [37].

Higher response rates and reduced morbidity and mortality among patients with pretransplant chemo-sensitive disease will argue in favor of adequate pretransplant therapy and developing criteria for proper case selection for ASCT for optimum outcome. After learning from this experience, we

now transplant patients (e.g., myeloma) in CR or very good PR. Patients with stable or progressive disease are offered salvage therapy and ASCT is considered in responders. Improved overall and event-free survival in two major subgroups—myeloma and Hodgkin's lymphoma (possibly a result of better case selection and improved management of infections associated with reduced early mortality over a period of time) has been encouraging and suggest that it is possible to develop transplant programs in developing countries [1, 11–13] and achieve results similar to international data.

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