### **ORIGINAL PAPER**



# Water productivity of maize in the US high plains

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**Abstract** Maize water production functions measured in a 4-year field trial in the US central high plains were curvilinear with 2.0 kg m<sup>-3</sup> water productivity at full irrigation that resulted from 12.5 Mg ha<sup>-1</sup> grain yields with 630 mm of crop evapotranspiration, ETc. The curvilinear functions show decreasing yield but relatively constant water productivity up to 25% ETc reduction. Water productivity declined rapidly with ETc reductions greater than 25% and was zero at about 40% of full ETc because about 270 mm of ETc was required to produce the first unit of grain yield. These results corroborate those of previous studies that show reduction in irrigated area rather than deficit irrigation will usually provide higher net returns if water consumption (ETc) is limited. Water balance techniques adequately estimated ETc when precision irrigation was carefully scheduled and seasonal precipitation was low. Water productivity relationships based on ETc are more transferable than those based on irrigation water applied.

### Introduction

Irrigation water supplies in the Great Plains and much of the western US are declining. Supplies originally developed for irrigated agriculture are being diverted to growing urban areas and for ecosystem restoration. Groundwater use in many areas has exceeded sustainable amounts and

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must decrease to prevent aquifer depletion. Temperature increases due to climate change are likely to reduce mountain snowpack accumulation that is critical to irrigation water supplies and may increase watershed evapotranspiration and crop water requirements. Irrigated agriculture will likely have less water available in the future than it had in the past. Sustaining irrigated agriculture and meeting future food and fiber needs of the growing global population will require increasing crop productivity per unit of water.

Past studies have shown that the reduction in yield may be less than the reduction in irrigation water applied—for example, a 30% reduction in irrigation may result in only a 10% reduction in yield (Zang 2003). This is because the marginal productivity of irrigation water applied tends to be low when water application is near full irrigation. With deficit irrigation, higher irrigation crop water productivity (ICWP—yield per unit of irrigation water applied) may result from higher efficiency of water applications—less deep percolation, runoff, and evaporation losses from irrigation—and more effective use of precipitation. Higher ICWP with deficit irrigation implies that deficit irrigation may be a way to maximize economic returns per unit irrigation water.

Past studies have also shown that yield relationships based on water consumption or crop evapotranspiration, ETc, are often linear (de Wit 1958; Stewart et al. 1977; Tanner and Sinclair 1983; Sinclair et al. 1984; Hanks 1983; Doorenbos and Kassam 1986; Steduto et al. 2007). This implies that the marginal productivity of the water is constant and deficit irrigation may be no more productive per unit water consumed than irrigation to meet full crop water requirements. In fact, because an amount of water is required to produce the first increment of yield, deficit irrigation will generally have lower crop water productivity (CWP) in terms of consumed water, or ETc, than full



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**Table 1** Summary results of recent maize water productivity field trials in the Great Plains

References	Location	ETc <sub>M</sub> (mm)	Yield <sub>M</sub> (Mg ha <sup>-1</sup> )	ETc <sub>I</sub> (mm)	CWP <sub>M</sub> (Kg m <sup>-3</sup> )	НІ
Howell et al. (1989)	Bushland, TX	840	12	400	1.4	0.43-0.55
Schneider and Howell (1998)	Bushland, TX	800	14	340	1.7	
Klocke et al. (2011)	Garden City, KS	830	12	200	1.5	0.51-0.62
Schlegel et al. (2016)	Tribune, KS	690	14	110	2.0	
Lamm et al. (2009)	Colby, KS	660	15		2.3	
Payero et al. (2008)	North Platte, NE	660	12	260	1.8	0.53-0.63
Schneekloth et al. (1991)	North Platte, NE	670	15	250	2.3	
Djaman et al. (2013)	Clay Center, NE	630	15		2.4	0.49-0.57
Irmak (2015)	Clay Center, NE	640	15	280	2.3	
Spurgeon and Yonts (2013)	Michell, NE	530	12		2.3	
This study	Greeley, CO	630	13	270	2.0	0.47-0.61

 $ETc_M$  maximum measured seasonal crop water use,  $Yield_M$  grain yield (15.5% moisture) at  $ETc_M$ ,  $ETc_I$  projected evapotranspiration required to initiate grain production,  $CWP_M$  water productivity at  $ETc_M$ , HI harvest index range for all treatments

irrigation. If this is the case, where runoff and deep percolation losses can be effectively reused, full irrigation on a reduced irrigated area may provide higher economic returns for the watershed than deficit irrigation. In many Western US watersheds, deep percolation and runoff water is effectively reused, either through downstream rediversion of runoff or recharge of groundwater that is later pumped for beneficial use. For example, in Colorado, reuse of irrigation water return flows is the legal water right of downstream users, so water rights are based on the amount of water consumed rather than the amount of water diverted or applied. Where irrigation water is pumped from groundwater that is replenished with deep percolation, return flows are likewise effectively used, although at somewhat higher cost due to pumping costs and possibly lower water quality.

It is critical to understand the water balance and water law in a watershed to establish the value of water for crop production and the means to maximize water productivity. Improved irrigation efficiency may not produce much "new" water because it results primarily in a reduction of return flows rather than a reduction in ET. Thus, in basins where return flows are effectively reused, deficit irrigation may not be economically beneficial for the basin.

Although many crop water productivity studies have been carried out in the Great Plains (Table 1) and around the world (Stewart et al. 1977; Hanks 1983; Zwart and Bastiannsen 2004), there continues to be a need for better understanding of crop responses to deficit irrigation with the goal to maximize water productivity. In 2008, USDA-ARS Water Management Research Unit in Fort Collins began a field study of the water productivity of four common Great Plains field crops under a range of irrigation

levels from fully irrigated to about 40% of full irrigation. The objective of this study was to measure the water productivity of a current maize hybrid in the central high plains of the US and to describe the implications of the results on irrigation water management in a water-limited environment. This paper presents results from 2008 to 2011 field trials. The complete dataset from these trials is available from the US Department of Agriculture National Agricultural Library Ag Data Commons: http://dx.doi.org/10.15482/USDA.ADC/1254006 (Trout and Bausch 2017).

# Materials and methods

### **Experimental site**

The field experiments were carried out at the USDA-Agricultural Research Service limited irrigation research farm (LIRF) located northeast of Greeley, CO (40°26′50″N, 104°38′10″W, and 1425 masl). The 16-ha facility was developed to conduct research on irrigated crop water requirements and crop response. The average annual precipitation at the semi-arid site at the western edge of the central High Plains is 350 mm with 215 mm between May 1 and Sept 30 (PRISM 2015). Average annual and seasonal precipitation during the 4 years of the study was near normal (340 and 220 mm, respectively). Irrigated maize is the dominant annual crop in the region and county maize grain yields (@15.5% grain moisture) averaged 11 Mg ha<sup>-1</sup> during the 4 years of the experiment (USDA-NASS 2015).



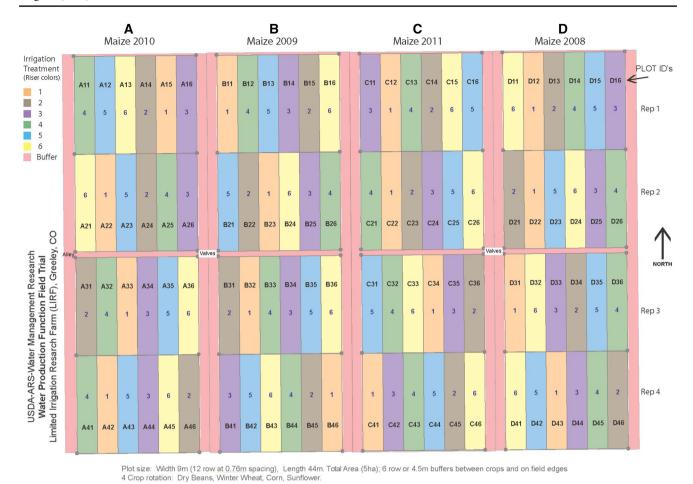


Fig. 1 LIRF 2008–2011 field experimental plot layout showing four field sections with the year maize was grown, four replicated blocks, and six randomly assigned treatments

Fig. 2 Aerial view of the water productivity plots at LIRF on August 1, 2008. Crops from left to right are dry beans, winter wheat, sunflower, and maize. Note visible treatment effects in the maize



A 4.5-ha experimental field was divided into 4 equal crop sections (Figs. 1, 2). Maize (Zea mays L.) was grown in rotation with sunflower (Helianthus annuus),

dry bean (*Phaseolus vulgaris*), and winter wheat (*Triticum aestivum*). Maize was grown for 1 year in each section, as shown in Fig. 1, following winter wheat. Each



field section was divided into four replicate blocks, and each block was divided into six  $9 \times 43$  m plots containing 12 N-S oriented crop rows (0.76 m row spacing) on which six irrigation treatments were randomly assigned (randomized block design). The east and west edges of each crop section contained a six-row buffer.

The largest portion of the field experimental area contains Olney fine sandy loam soil (fine-loamy, mixed, superactive, mesic Ustic Haplargids). Other soils in the field are Nunn clay loam (fine, smectitic, mesic Aridic Argiustolls) [blocks 3 and 4 of section D (2008 Maize)], and Otero sandy loam (coarse-loamy, mixed, superactive, calcareous, mesic Aridic Ustorthents) [most of section A (2010 Maize)] (USDA-NRCS 2015). The soils classified predominately as sandy loams with some areas and layers of sandy clay loams and loamy sands. The field capacity water content of soil horizons averaged 0.24 m<sup>3</sup> m<sup>-3</sup> from 0 to 45 cm depth,  $0.21 \text{ m}^3 \text{ m}^{-3}$  from 45 to 75 cm depth, and 0.19 m<sup>3</sup> m<sup>-3</sup> from 75 to 105 cm depth. Total plant available water, TAW, was estimated from pressure plate water release data to be 50% of field capacity, or about 114 mm in the 105 cm root zone depth. Readily available water, RAW, for maize was assumed to be 50% of TAW, or 25% of field capacity.

### **Irrigation treatments**

Six irrigation treatments were randomly assigned to each block. The six treatments were designed to meet portions of full crop water requirements.

T1: 100% of crop water requirements (no stress)

T2: 85% of T1

T3: 75% of T1

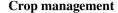
T4: 70% of T1

T5: 55% of T1

T6: 40% of T1

The full irrigation treatment, T1, was irrigated such that water availability (irrigation plus precipitation plus stored soil water) was adequate to meet crop water requirements. Adequacy was monitored by insuring the soil water content remained in the RAW range. The remaining treatments were irrigated to achieve total water applications (irrigation plus precipitation) that approximated the target treatment amounts.

All treatments were fully irrigated until growth stage V7 (Abendroth et al. 2011) to ensure good crop stands and proper formation of reproductive organs. Water stress treatments were applied from V7 until crop maturity, but stress was temporarily reduced for all treatments during the reproductive growth stages (VT–R2) to ensure adequate pollination and seed initiation. Irrigation was terminated earlier in low water treatments than in the high water treatments.



DeKalb brand 52–59 (VT3) maize seed was planted with a John Deere Maxiplex<sup>1</sup> planter in early May at 80,000 to 82,000 seeds ha<sup>-1</sup>. Final plant populations averaged 80,000 plants ha<sup>-1</sup> and did not vary by treatment. This 102-day maturity class variety first released in 2006 was a popular variety and maturity class in the region at the time of the study. The variety allowed good herbicide-based weed control (glyphosate resistant) and minimized corn borer and root worm insect damage.

The crop was managed to achieve high yields under fully irrigated conditions. All treatments were planted at the same population and received the same nitrogen applications. Although economically based recommendations may be to reduce plant population and nitrogen application for reduced yield conditions associated with water stress, evidence indicated that, under the experimental conditions, plant population and fertility targeted at high yields would not negatively impact yields under deficit irrigation, so water availability was the only variable among treatments. Minimum tillage (reduced tillage in 2008, no tillage in 2009, and strip tillage in 2010 and 2011) was used to maintain surface residue from the previous wheat crop and minimize surface evaporation.

Nitrogen fertilizer (Urea ammonium nitrate, UAN, 32%) was sidedress applied near the seed at planting at 34 kg ha<sup>-1</sup> N. Additional nitrogen was applied through the irrigation water (fertigation) to meet fertility requirements based on expected yields at full irrigation, pre-plant soil tests for soil nitrogen availability, and nitrogen concentration in the groundwater used for water supply. Note that, due to the high nitrate concentration in the groundwater (25 mg L<sup>-1</sup> N which is equivalent to 0.25 kg N ha<sup>-1</sup> mm<sup>-1</sup> of water applied), low water treatments received as much as 50 kg ha<sup>-1</sup> less N than the high water treatments.

In 2008, 2009, and 2011, a small irrigation was applied following planting to ensure adequate soil water for seed germination and to incorporate herbicide. In 2010, rainfall was adequate for germination and herbicide incorporation. In 2009, a hail event at R1 growth stage damaged leaves and reduced the horizontal canopy structure, and may have reduced yields and ETc.



<sup>&</sup>lt;sup>1</sup> The use of trade, firm, or corporation names in this article is for the information and convenience of the reader. Such use does not constitute an official endorsement or approval by the USDA or the Agricultural Research service of any product or service to the exclusion of others that may be suitable.

# Irrigation management and water balance measurements

Weather data from a CoAgMet (Colorado Agricultural Meteorological Network; <a href="http://www.coagmet.com">http://www.coagmet.com</a>) automated weather station (GLY04) located on a 0.4-ha grass lawn adjacent to the research plots were used to calculate ASCE Standardized Penman–Monteith alfalfa reference evapotranspiration (ETr) (ASCE-EWRI 2005). Irrigations were scheduled using FAO-56 dual crop coefficient methodology (Allen et al. 1998) with basal crop coefficients adapted from Table E-2 in Jensen and Allen (2016) and adjusted for measured crop canopy growth and senescence (Allen and Pereira 2009). Precipitation was measured with two recording precipitation gauges located in the experimental field plus a gauge at the weather station.

Irrigations were applied every 4–7 days, depending on the predicted soil water deficits. Irrigation amount for the T1 treatment was based on predicted crop water use and adjusted as needed for measured soil water deficits to maintain the soil water content, SWC, within the RAW range in the active root zone. Irrigations were reduced based on received and anticipated precipitation. Irrigation amounts for the remaining treatments were reduced relative to the T1 treatment to achieve the targeted ETc reductions. If required irrigation amount was less than 12 mm, the irrigation was skipped and the amount was added to the following irrigation.

Irrigation water from a groundwater well was delivered to the corner of each plot through underground PVC pipe and applied through a surface drip irrigation system with thick-walled drip tubing (16 mm outside diameter, 2 mm wall thickness, 30 cm in-line emitter spacing, 1.1 L h<sup>-1</sup> emitter flow rate) placed along each row. The tubing was installed each year after planting and removed before harvest. Irrigation applications to each treatment were measured with turbine flow meters (Badger Recordall Turbo 160 with RTR transmitters). Meters were cross calibrated to ensure accuracy and consistency. Irrigation applications were controlled by and recorded with a Campbell Scientific CR1000 data logger. A constant pressure water supply controlled with a variable speed drive booster pump, low pressure loss in the delivery system, and relatively flat topography resulted in predicted water distribution uniformity among and within plots exceeding 95%.

Soil water content, SWC, was measured 2 or 3 times each week on the days before and/or after irrigation in the crop row near the center of each plot. Soil water content was measured in 30-cm depth increments between 30 and 150 cm depth, and at 200 cm depth with a neutron soil moisture meter, NMM, (CPN-503 Hydroprobe, InstroTek, San Francisco, CA, USA). The SWC in the surface 15 cm was measured in the row near the NMM access tube with

a portable time domain reflectometer (Minitrase, Soilmoisture Equipment Corp, Santa Barbara, CA, USA) with 15-cm long rods. Additional details of the soil water content measurements are given by Trout and Bausch (2017).

Crop evapotranspiration was calculated based on the water balance:

$$\Delta S = I + P + UF - DP - RO - ETc, \tag{1}$$

where  $\Delta S$ =change (increase) in soil water content in the root zone (average of four replications), I=irrigation application, P=precipitation, UF=upflux of water from groundwater (assumed 0 because the groundwater table was >5 m below the surface), DP=deep percolation loss of soil water below the root zone, RO=surface runoff of precipitation or irrigation, and ETc=crop evapotranspiration, the loss of water to the atmosphere. For the experimental field, RO was assumed zero due to relatively small field slopes, adequate soil infiltration, surface residue, and drip irrigation. Thus, for this study, ETc was estimated as:

$$ETc = I + P - \Delta S - DP.$$
 (2)

Deep percolation was assumed to occur when precipitation exceeded the soil water deficit (SWD=field capacity minus SWC) in the full root zone at the time of precipitation, and was calculated as the precipitation amount minus soil water deficit measured before the precipitation and minus estimated ETc between the SWC measurement and the precipitation (note that irrigation never exceeded SWD and thus caused no DP). An increase in SWC below the root zone following precipitation provided confirmation of DP. Due to the semi-arid climate and careful irrigation scheduling, deep percolation losses occurred only in 2008 following a large precipitation event. Root zone depth was taken to be 105 mm because there was no evidence of water uptake from deeper depths. Thus soil water storage was calculated from the SWC measurements at the 0-15, 30, 60, and 90 cm depths and converted to equivalent water depths.

Surface evaporation was estimated for the field conditions by assuming that the total evaporable water, TEW (Allen et al. 1998), was 12 mm and that evaporation occurred only from the wetted sunlit soil surface between each wetting event. For consistency, seasonal crop ETc was calculated for 172 days beginning at planting in early May and ending in late October after the maize was fully senesced and ready for harvest (grain moisture content <17%).

### Plant measurements

Above ground biomass was measured before harvest. Ten or fifteen complete corn plants from each plot were cut 2 cm above the soil surface, ears were removed, and the remaining stover dried in an oven at 60 °C for 48 h and



Table 2 Maize crop log for the T1 treatments including planting day of year (DOY) and elapsed days (DAP) and growing degree days (GDD, 30°C maximum, 10°C base) between planting and critical growth stages

Year	2008		2009		2010	2010		2011	
Planting (DOY)					131		123		
Growth stage, event	DAP	GDD	DAP	GDD	DAP	GDD	DAP	GDD	
Emergence	20	155	11	91	13	68	22ª	100	
V7	51	440	45	337	48	396	56	399	
VT/R1	86	847	84	745	83	792	90	819	
R4	120	1128	117	1067	116 <sup>a</sup>	1145	120	1171	
R6	155	1346	143 <sup>a</sup>	1247	141 <sup>a</sup>	1368	142 <sup>a</sup>	1341	
Senescence	155 <sup>b</sup>	1346	145 <sup>b</sup>	1254	145 <sup>a</sup>	1398	154	1454	
Harvest	178	1446	185	1353	161	1490	175	1556	

<sup>&</sup>lt;sup>a</sup>Estimated DAP and GDD

weighed. Ears were likewise dried, grain was removed from the cobs, and both components redried and then weighed. Above ground biomass included stover, cobs, and grain. Plot above ground biomass was calculated as the total mass per plant (kg per plant) multiplied by the plot plant population (plants m<sup>-2</sup>). Harvest Index was calculated as the ratio of dry grain mass to total above ground dry biomass. Average kernel mass was measured on 1000 dry grains.

Grain yield was measured by hand harvesting the ears from the center 15 m of the center four rows of each plot (46 m²). In 2011, yield sample area was increased to 23 m length (70 m²). Grain was threshed with a stationary thresher (Wintersteiger Classic ST, Wintersteiger AG, Ried, Austria), weighed and subsampled for moisture content determination. Grain moisture content at harvest was measured with a Dickey-john GAC500-XT Moisture Tester (Dickey-john Corp, Aubern, Ill). Yield (kg ha⁻¹) was normalized to 15.5% moisture content (commercial yield standard).

Additional detail of methodology used in this trial is given by Trout and Bausch (2017).

### Results

Table 2 gives the planting dates and elapsed days after planting (DAP) and growing degree days since planting (GDD) for critical growth stages for the T1 treatment. Stage V7 designates 7 leaves, VT designates tassel emergence and the beginning of reproductive growth stage which was always within 3 days of silk emergence (R1), R4 designates the end of milk stage and beginning of grain maturation, and R6 designates grain black layer and physiological maturity (Abendroth et al. 2011). Growth stages up to R4 for other treatments typically varied from these values by no more than 3 days. The senescence date (no remaining green leaves) varied among treatments except when the

crop was killed by frost and, in general, was accelerated by stress. The 102-day maturity class variety required an average of 800 GDD to reach tassel formation (VT) and 1325 GDD from planting to physiological maturity (R6).

Table 3 presents the water balance components for each treatment for each year. Seasonal precipitation (planting to 172 days after planting) varied from 201 to 251 mm, which is close to the long-term average. Alfalfa reference evapotranspiration during this period was 983, 880, 976, and 1034 mm for 2008–2011, respectively, and averaged 970 mm.

Average irrigation amounts varied from 427 mm for the T1 treatment to 126 mm for the T6 treatment. Irrigation amount was decreased by 70% for the T6 treatment in order to achieve the average ETc reduction of 40%. This was because all treatments received the same amount of precipitation, deficit irrigation treatments used more stored soil water, and, in 2008, the well-watered treatments lost more water to deep percolation.

The only year with estimated deep percolation was 2008 as a result of a large mid-season precipitation event (95 mm in 3 days). In 2008, estimated deep percolation varied from 80 mm in T1 to 0 mm in T6. Soil water storage always declined between planting and maturity with T6, and generally declined with all stress treatments. In 2011, late season precipitation resulted in increased seasonal soil water storage except in treatment T6. Only with the T6 treatment did storage contribute 10% or more of ETc. Thus, with careful irrigation scheduling in this study, irrigation plus precipitation accounted for more than 90% of water balance calculated ETc. Because precipitation and irrigation were measured accurately, potential error in seasonal ETc calculations was small.

Seasonal crop evapotranspiration of the T1 treatment ranged from 616 to 648 mm, and averaged 633 mm which was 65% of seasonal ETr. About one-third of T1 ETc was provided by in-season precipitation. Soil water



<sup>&</sup>lt;sup>b</sup>Killing frost

**Table 3** Seasonal water balance components for the LIRF 2008–2011 water productivity study

Year	Precip (mm)	Tmnt	Irrigation (mm)	ΔS (mm)	Deep Perc (mm)	ETc (mm)	Evap (mm)	Trans (mm)
2008	251	T1	438	-25	80	635	58	560
		T2	338	<b>-</b> 7	38	559	58	486
		T3	282	-20	16	538	57	466
		T4	271	-23	30	516	58	444
		T5	180	-35	22	445	62	373
		T6	137	-43	0	431	63	356
2009	231	T1	418	16	0	634	82	537
		T2	346	0	0	578	82	483
		T3	299	-11	0	542	83	445
		T4	244	-17	0	493	82	399
		T5	168	-30	0	429	92	327
		T6	110	-38	0	379	94	278
2010	212	T1	366	-38	0	616	66	535
		T2	303	-44	0	559	64	482
		T3	252	<b>-4</b> 1	0	506	63	428
		T4	219	-29	0	461	61	386
		T5	153	-28	0	393	67	311
		T6	100	-42	0	355	68	272
2011	201	T1	485	38	0	648	79	531
		T2	388	22	0	567	78	461
		T3	328	6	0	524	79	421
		T4	306	1	0	507	80	407
		T5	222	0	0	422	88	313
		T6	157	-10	0	368	94	254

*Precip* seasonal precipitation, ΔS change in soil water storage from planting to end of season, *Deep Perc* deep percolation loss of water below the root zone, *ETc* crop evapotranspiration, *Evap* estimated evaporation from wet soil, *Trans* estimated crop transpiration (ETc–Evap) between emergence and maturity (R6). All components except transpiration were cumulative between planting to 172 days after planting

measurements indicated no soil water stress in the T1 treatment (the soil water deficit never exceeded plant readily available water, RAW) except in 2010 when irrigation was inadvertently terminated early and large deficits occurred late in the season (after R3 growth stage) and for two 3-day periods in mid-season. This is the reason for the relatively low T1 ETc in 2010.

Due to the decision to fully irrigate all treatments until growth stage V7 and to temporarily relieve stress at flowering, uptake from soil water storage in the deficit-irrigated treatments, and unanticipated precipitation; actual average seasonal ETc of the deficit-irrigated treatments exceeded the treatment targets. The average ETc of the treatments relative to T1 were: 89, 83, 78, 67, and 60% for treatments T2–T6, respectively.

Although soil evaporation is difficult to measure in a growing crop, estimates are useful to allow estimation of plant transpiration, which is physiologically related to yield. The wet soil evaporation component of ETc was estimated to vary between 58 and 94 mm and averaged 73 mm.

This amounts to about 12% of T1 ETc and 20% of T6 ETc. Estimated soil evaporation did not increase with increased irrigation amount, even though numbers of irrigations and irrigation amounts were higher, because of the in-row drip irrigation and treatments that received more irrigation water also had earlier and greater ground shading from a larger canopy. Evaporation estimated by this methodology tended to decrease slightly with increased irrigation.

Figure 3 shows the soil water deficits (SWD=field capacity—SWC) through the season for the six treatments in 2011. The lines represent predicted SWD from the FAO-56 two-step dual crop coefficient model and the data points represent measured soil water deficits in the active root zone. The basal crop coefficients used in the modeled SWD were adjusted so that the model would closely match the measurements. The graphs show how deficits in the stress treatments increased after V7 (DOY 150), stayed relatively constant near VT (DOY 213) when extra irrigation water was applied to all deficit treatments, and then increased to the end of the year. The dashed line represents the RAW



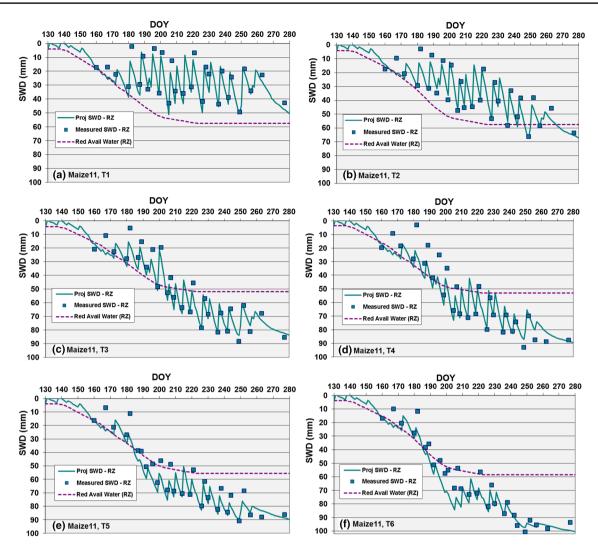


Fig. 3 Maize 2011 soil water deficit (SWD, mm) for treatments T1–T6. Squares are the measured SWD in the active root zone, solid line is the modeled SWD, and the dashed line represents the readily available water (RAW) for the active root zone

(25% of the field capacity) which increases as the root zone expands. Note that the estimated RAW varied slightly among treatments due to the plot variations in soil field capacity. Treatment T1 deficits generally remain less than RAW, T2 exceeds RAW only at the end of the season, and the remaining treatments exceed RAW for much of the season. Final deficits reached 100 mm in T5 and T6, which is close to assumed average TAW of 50% of field capacity or about 115 mm.

A soil water deficit-based stress coefficient, Ks, was calculated as (Allen et al. 1998):

$$Ks = (TAW - SWD)/(TAW - RAW).$$
 (3)

By this model, Ks is 1.0 for SWD  $\leq$  RAW, and decreases linearly to 0.0 when SWD=TAW. Table 4 shows average seasonal stress for each year and treatment over approximately 130 days between plant emergence and

physiological maturity. High values indicate low seasonal stress. This parameter shows the increasing stress with decreasing irrigation applications and annual variations in stress levels; and that T1 and T2 experienced no days when SWD>RAW in 2008, 2009, and 2011.

Growth stages in which most days had moderate (0.75 > Ks > 0.50) or severe (Ks < 0.5) stress are also shown in Table 4. Note that stages V1–V17 are vegetative stages, VT represents initiation of flowering (tassel emergence), R1–R3 are the grain pollination and formation stages, and R4–R6 represent grain fill and maturation stages. Past studies have shown that maize grain yield is most sensitive to water stress before V7 and from VT–R3 (Cakir 2004; Salter and Goode 1967). Table 4 shows that in all years and treatments, stress did not reach the moderate level before V8, and only in T5 and T6 did stress reach the moderate level during VT–R2 or the severe



**Table 4** Water stress amounts and periods for the LIRF 2008–2011 water productivity study

Year	Treatment	Seasonal Stress	Moderate stress growth stages	Severe stress growth stages
2008	T1	1.00		
	T2	1.00		
	T3	0.98		
	T4	0.95		
	T5	0.84	V10–V16, R4	R5-R6
	T6	0.84	V10–V16, R4	R5-R5
2009	T1	1.00		
	T2	1.00		
	T3	0.99		
	T4	0.94	V11-V12	
	T5	0.77	V10-VT, R1-R2	R3-R6
	T6	0.70	V10-VT, R1-R2	V11-V12, R3-R6
2010	T1	0.79		R5-R6
	T2	0.77	V17–VT, R4	R5-R6
	T3	0.71	V17–VT, R4	R5-R6
	T4	0.70	V11–VT	R4-R6
	T5	0.57	V8-V10	V11-VT, R3-R6
	Т6	0.54	V8-V14	V14-VT, R3-R6
2011	T1	1.00		
	T2	1.00		
	T3	0.85	R3-R6	
	T4	0.83	V15-VT, R3-R6	
	T5	0.82	V10–V16, R1–R4	R5-R6
	Т6	0.77	V12-VT, R2-R3	R4-R6

Ks is a stress coefficient based on soil water deficit as defined by Eq. 3. Seasonal stress is the average of daily Ks over approximately 130 days between maize emergence and maturity (1.0=no stress). Moderate stress growth stages are the growth stages during which 0.75 > Ks > 0.5 for most days. Severe stress growth stages are the growth stages in which Ks < 0.5 for most days



**Fig. 4** Comparison of maize growth and condition on Aug 4, 2008 just before tasseling (VT). *Rows* at the *left* and background are fully irrigated (T1); *rows* at *right* are the lowest irrigation level (T6)

level in R3. In 2008, a large precipitation event at R1 refilled the soil water holding capacity and relieved stress in all treatments until late in the season. In 2010, early termination of irrigation in all treatments resulted in severe stress in all treatments during late growth stages.

Figures 4 and 5 illustrate the response of the maize crops to the water stress. The side-by-side photos of T1 and T6 crops show that the water stress greatly reduced both the height of the crop and the canopy ground cover. Table 5 gives crop canopy cover and height data for the treatments. The average maximum crop height was 243, 232, 231, 200, 159, and 147 cm for the T1 through T6 treatments, respectively. The maximum canopy cover exceeded 90% for T1–T3 each year, averaged 89% for T4, but never reached effective full cover for T5 (78%) and T6 (72%). Canopy ground cover of crops under stress fluctuated diurnally due to leaf curl in the afternoons when evaporative demand was high so ground cover measurements were made prior to peak evaporative demand to reduce leaf curl impacts (Trout and Bausch 2017).



**Fig. 5** Overhead photos showing maize canopy on Aug 1, 2008. *Left photo* is well-irrigated (T1), *right* is stressed (T6)

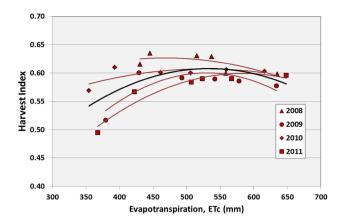


**Table 5** Crop and yield results for the LIRF 2008–2011 water productivity study

Year	Tmnt	Max cover (%)	Full cover (DOY)	Max crop Ht. (cm)	Final bio- mass (Mg ha <sup>-1</sup> )	HI	Kernel mass (g seed <sup>-1</sup> )	Grain yield (Mg ha <sup>-1</sup> )
2008	T1	90	200	220a	21.47a	0.57b	0.293ab	13.23a
	T2	90	203	210ab	20.95a	0.57b	0.296a	12.94a
	Т3	90	207	191b	19.44ab	0.58ab	0.296a	12.60a
	T4	90	211	164c	17.44b	0.61a	0.289abc	11.35b
	T5	83	225	125d	13.74c	0.61ab	0.274c	9.01c
	T6	83	240	116d	13.89c	0.60ab	0.278bc	8.82c
2009	T1	92	194	246a	20.60a	0.56ab	0.252a	12.10a
	T2	92	194	231ab	21.27a	0.57ab	0.253a	11.59a
	Т3	92	194	230ab	19.12ab	0.58a	0.247a	11.02a
	T4	90	200	218b	17.88b	0.58a	0.247a	10.32a
	T5	79	n/a	170c	14.17c	0.59a	0.224b	8.04b
	T6	73	n/a	164c	11.05d	0.54b	0.205c	5.94c
2010	T1	90	191	228a	18.31a	0.59a	n/a	11.17ab
	T2	90	191	223a	18.16a	0.59a	n/a	11.44a
	Т3	90	191	210a	15.78ab	0.59ab	n/a	10.46ab
	T4	88	191	182b	14.71b	0.59ab	n/a	9.32b
	T5	75	n/a	157c	11.17c	0.60a	n/a	7.15c
	T6	66	n/a	142c	9.03c	0.56b	n/a	5.50c
2011	T1	90	196	276a	21.92a	0.56a	0.262a	13.64a
	T2	90	198	263ab	19.77a	0.56a	0.253ab	12.50a
	T3	90	202	248ab	17.34b	0.56a	0.234ab	10.37b
	T4	87	203	235b	17.13b	0.55a	0.232bc	10.29b
	T5	75	n/a	185c	11.95c	0.54a	0.204c	7.23c
	T6	65	n/a	166c	9.99c	0.47b	0.169d	3.97d

Max cover maximum canopy ground cover, Full cover day of year when crop reached 80% canopy ground cover (n/a crop did not reach 80% cover), Max Crop Ht. maximum canopy height (without tassel), Final Biomass total above ground biomass (oven dry), HI harvest index (dry grain mass divided by total above ground dry biomass), Kernel Mass final average dry mass per kernel, Grain Yield grain yield at 15.5% moisture content. Treatment means followed the same letter in a column in a year are not significantly different (p < 0.05)





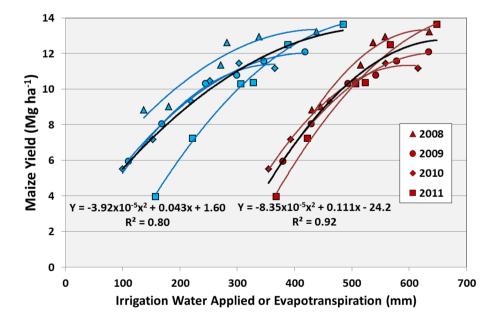
**Fig. 6** LIRF 2008–2011 Maize harvest index vs crop evapotranspiration, ETc. *Narrow* (*colored*) *lines* are 2nd degree polynomial curve fit to each year's data; the *thick line* (*black*) is a 2nd degree polynomial fit to the combined data

Table 5 lists the mean crop growth and yield responses each year for each treatment. Reduced irrigation and ETc decreased maximum crop height, average final kernel mass, final above ground biomass, and grain yield. In most years, the mean biomass and grain yields decrease with each decrement of ETc although T1 and T2 yields are not significantly different. In all years, T5 and T6 had significantly lower biomass and grain yield than the other treatments. T1 and T2 yields tended to be lower in 2009 due to hail just before tasseling that damaged leaves and likely reduced light interception and photosynthesis; and in 2010 due to lack of late season irrigation and resulting stress. The mean grain yield of T1 for the 4 years was 12.5 Mg ha<sup>-1</sup> which exceeded the average county irrigated maize yield by about 15% (USDA-NASS 2015).

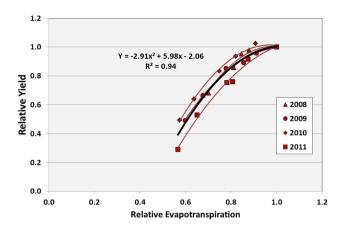
Table 5 also lists the harvest index (portion of above total ground biomass that is grain) for each treatment. Harvest index, HI, varied between 0.47 and 0.61. The general lack of a large variation in HI may result from the irrigation management in which there was no stress during germination and early growth when critical reproductive organs are formed, and stress was partially relieved during the sensitive pollination and grain formation stages. Although the impact of water stress on harvest index in this study was small, the highest HI values generally occurred at intermediate treatment levels and the HI vs ETc relationships tend to be concave downward as shown in Fig. 6. It appears that a moderate amount of stress during vegetative stages reduces the size of the above ground vegetation more than it reduces the plant's capacity to product grain. Reduced HI with stress is expected if the reproductive stage stress reduces pollination and grain formation, or maturation stage stress reduces grain fill. At some high stress level, the plant would not be able to produce grain and HI would be zero.

Figure 7 plots mean grain yield for each treatment vs irrigation water applied and ETc. The curves shown represent a 2nd degree polynomial fit (least squares regression) to each set of annual data. The right set of curves represent water production functions, WPF, for the relationship between yield and ETc. In all years, the data indicated a concave downward curvilinear relationship. The thick black lines and equations represent the best fit to the four years of combined data. A mixed model statistical analysis of all years of yield data from each plot indicated that the squared term, and thus the curvilinearity, is highly significant (p < 0.0001). The slopes of these curves show that less production is lost per amount of ETc decrease with small water

Fig. 7 LIRF 2008–2011 Maize grain yield (@15.5% moisture content) vs. irrigation water applied (left curves, blue symbols) and crop evapotranspiration (right curves, red symbols). Narrow (colored) lines are 2nd degree polynomial regression fits to the mean yield data for each year. Thick (black) lines and equations are 2nd degree polynomial regression fits to the combined 4 years of data







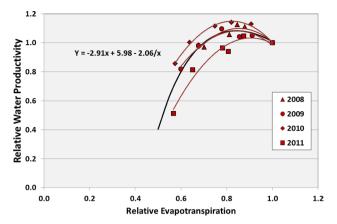
**Fig. 8** LIRF 2008–2011 Maize normalized crop water production functions. *Narrow* (*colored*) *lines* represent the 2nd degree polynomial best fit for each year's data. *Thick* (*black*) *line* and equation is the best fit 2nd degree polynomial for the combined data

deficits than with large deficits. Extrapolating the combined data curve to the *x*-axis indicates that about 275 mm of ETc is required to produce the first unit of yield.

The left set of curves (Fig. 7) represents the irrigation water production function, IWPF. These curves are shifted to the left relative to the WPFs due to the contribution of precipitation to evapotranspiration. Annual IWPF curves are less consistent than WPF curves due primarily to interannual variation in precipitation. The IWPF curves have lower slopes than the WPF curves because, at high irrigation levels, a portion of the applied water is lost to deep percolation (2008) or is left in the soil at the end of the season; and because, under deficit irrigation, a portion of water used for ETc is taken from soil water storage. The curves extrapolate to an intercept near zero, which implies that rain-fed (non-irrigated) maize production in the region would provide little to no yields. This is corroborated by the absence of rain-fed maize production in the area. Note that these IWPF curves were developed under precisely scheduled drip irrigation with high irrigation efficiency. Less efficient irrigation methods would require larger irrigation applications to meet full crop water requirements and result in IWPFs with lower slopes near full irrigation.

Several authors have suggested that WPFs can be normalized relative to the maximum yield and maximum ETc (Doorenbos and Kasam 1986). This normalization could compensate among years and locations with varying ETr and yield potential. Figure 8 shows the normalized yield vs ETc data and the WPF equation for the combined normalized datasets. Normalization for this dataset improves the relationship slightly, but would have larger effect on more disparate data.

Crop water productivity, CWP, (sometimes referred to as crop water use efficiency, WUE), represents the ability



**Fig. 9** LIRF 2008–2011 Maize normalized crop water productivity vs ETc. *Narrow* (*colored*) *lines* are 2nd degree polynomial best fit lines to each year's data. The *thick* (*black*) *line* is the algebraic conversion of the equation in Fig. 8

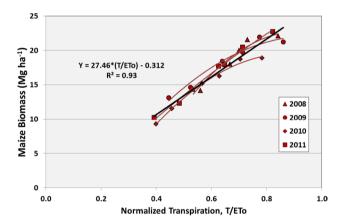


Fig. 10 LIRF 2008–2011 Maize biomass vs normalized cumulative transpiration between emergence and maturity (R6). *Narrow* (colored) lines are 2nd degree polynomial curves fit to each year's data. *Thick* (black) line and the equation are for a linear best fit line for the combined data

of a plant to convert ETc to yield. Crop water productivity, the production per unit of water consumed, in this trial was 2.0 kg m<sup>-3</sup> of ETc at maximum ETc. The water productivity of the irrigation water applied was about 3 kg m<sup>-3</sup> due mainly to the precipitation contribution to ETc. Figure 9 shows the normalized yield and ETc data converted to CWP and plotted vs relative ETc. These data indicate that, for this location, maize variety, and these management practices, CWP between 70% and 100% of ETc slightly exceeded the CWP at maximum ETc. At relative ETc below 70%, CWP decreased rapidly. The maximum predicted relative CWP is about 1.08 at 85% relative ETc. This maximum CWP is likely biased upward by the 2010 data in which late stress resulted in T2 yield exceeding T1 yield,



but all years show that CWP is fairly constant for relative ETc values above 0.7.

Many water productivity researchers have proposed that biomass production is proportional to transpiration (Briggs and Shantz 1913; de Wit 1958; Stewart et al. 1977; Tanner and Sinclair 1983; Sinclair et al. 1984; Hanks 1983; Steduto et al. 2007). De Wit originally proposed that biomass yield, Y, is proportional to transpiration, T, normalized for evaporative demand,  $E_0$ :

$$Y = mT/E_{o}, (4)$$

where m is a crop-dependent coefficient of proportionality. This hypothesis is based on assumed fixed relationships between plant transpiration, CO<sub>2</sub> photosynthetically assimilated by the crop, and biomass production. Figure 10 shows the LIRF above ground biomass vs the cumulative transpiration (water balance ETc minus estimated soil evaporation) divided by cumulative grass reference ETo for approximately 130 days between crop emergence and physiological maturity. Although the annual relationships are slightly curvilinear, a squared term does not significantly improve the regression and the shown linear relationship fits the combined data well. The small intercept is not significantly different from zero. These data support the concept that biomass production is proportional to transpiration with 4.3 kg of biomass produced for each cubic meter of transpiration. The slight curvilinear trend shown by these data indicates that the marginal rate of biomass production might decrease slightly with increasing transpiration.

The main differences between the curves in Fig. 10 and those in Fig. 8 are that the ETc values include the complete season from planting to senescence and include evaporation losses, both of which increase ETc and shift the curves to the right; and the dependent variable in Fig. 8 is relative grain yield, which is related to above ground biomass in Fig. 10 by the harvest index. Although the range of HI values was small, the relationship tended to be curvilinear downward (Fig. 6) resulting in greater curvilinearity for the grain yield vs ETc curves than for the biomass vs T curves.

# **Discussion**

Table 1 summarizes results of ten recent studies of maize water productivity in the US Great Plains. All were field studies designed to apply treatments of adequate irrigation water to meet full ETc requirements and reduced amounts of water to induce crop stress. Irrigation water was applied by sprinklers except for the Payero et al. (2008) and Spurgeon and Yonts (2013) studies which used subsurface drip irrigation. All studies estimated ETc by water balance and all except Irmak (2015) assumed

that deep percolation and runoff did not occur (ETc = irrigation + precipitation - change of soil water storage), and thus would have overestimated ETc if these losses occurred.

The studies are ordered in Table 1 by location from south to north. The ETc values of the highest irrigation treatment varied from 840 mm at Bushland, TX to 530 mm at Michell, NE. The variation is related to the declining trend in climatic evaporative demand (reference ET) and season length from south to north. Maximum grain yields vary between 12 and 15 Mg ha<sup>-1</sup> and always occurred at or near maximum ETc. Yield trends with season length (longer season=higher potential yield) and year (later studies = more productive varieties) are not evident among these studies. All authors fit a linear relationship to the yield vs ETc data. Studies with sufficient range of yields and ETc projected positive ETc intercepts of 110-400 mm, which is equivalent to the ETc required to produce the first unit of grain yield. The remaining studies had small yield ranges across the irrigation treatments. The minimum ETc to produce grain tended to vary with the maximum ETc, as both would be related to the evaporative demand. The maximum CWP values varied from 1.4 to 2.4 kg m<sup>-3</sup> and always occurred at or near maximum ETc. Low values resulted from relatively low yield or high ETc. For studies that measured harvest index for the various water stress treatments, HI generally decreased with decreasing ETc (increasing stress).

Results of the current study are listed at the bottom of the table for comparison. The Greeley location has a shorter growing season and less precipitation than all except the Mitchell site. The seasonal reference ET at Greeley is less than the Texas and Kansas locations, and similar to the Nebraska locations.

Many past studies, like those in Table 1, have derived linear WPFs with a positive ETc intercept (Stewart et al. 1977; Hanks 1983; Doorenbos and Kassam 1986; Zwart and Bastinaanssen 2004). A commonly used WPF relationship is that of Doorenbos and Kassam (1986) who proposed that WPFs are linear and can be normalized relative to maximum ETc, ETc $_{\rm M}$ , and maximum yield,  $Y_{\rm M}$ :

$$(1 - Ya/YM) = kv(1 - ETa/ETcM), (5)$$

where  $Y_a$  is harvested yield at a ETc value, ETa, and  $k_y$  is a yield response factor dependent on crop, climate, and management. Our WPF data are plotted in this form in Fig. 8 in which  $Y_M$  and ETc<sub>M</sub> are the grain yield and ETc values for treatment T1, respectively. The yield response factor (derivative) of the curve shown in Fig. 8 varies from 0.16 at ETa/ETc<sub>M</sub>=1.0 to 2.5 at ETa/ETc<sub>M</sub>=0.6. If a straight line is fit to the data, the slope is 1.36, which is similar to the  $k_y$  value of 1.25 suggested by Doorenbos and Kassam (1986).



Why do these data project a curvilinear WPF while most previous studies project a linear relationship? In many previous studies, the WPF data are sufficiently scattered that it is not possible to discern a relationship more complex than a straight line. These data exhibit a high coefficient of determination ( $R^2$ ), consistency among years, and significant curvilinearity. A curvilinear WPF would be expected if:

- Evaporation loss decreases with water deficits that limit transpiration. If reduced transpiration is created by fewer irrigations and less time with a wet surface soil, evaporation would be expected to decrease as water deficits limit transpiration. It is difficult to measure evaporation separately from transpiration to verify this relationship.
- HI decreases either for high deficits or at maximum
  ETc. This and several previous studies show a decline in
  HI with high deficits. Maximum ETc conditions could
  result in excessive vegetative growth and reduced HI.
  The HI vs ETc relationship depends on the timing of the
  water stress. Stress at critical periods would be expected
  to decrease yield and HI; reduced ETc at non-critical
  periods might increase HI by reducing vegetative biomass without equivalently reducing yield.
- Other non-water factors limit productivity under high water stress or near maximum production. It is likely that under some conditions, water stress makes plants more vulnerable to other stresses that may exacerbate yield losses, such as insect damage or competition from weeds, although we attempted to avoid these conditions in this study.
- The marginal rate of biomass production decreases near full transpiration. In this study, the biomass vs transpiration relationship appeared to have a small amount of curvilinearity.

The primary source for the curvilinearity of these WPFs is because yield declined less than ETc between treatments T1 and T2. Mean yields declined 5% and were not significantly different between the two treatments in any year; while the ETc declined between 56 and 81 mm each year and an average of 11%. These yield and ETc declines were not predicted by Ks in 2008, 2009, or 2011 when SWD did not exceed RAW at any time for either treatment. In 2010 when SWD exceeded RAW in the late season for both treatments, the difference between Ks values was small. These results indicate that moderate SWDs impact both ETc and yield, even when SWD < RAW (i.e., Eq. 3 does not adequately predict impacts of moderate deficits), and that moderate deficits reduce ETc more than yield.

Because the slope of the WPF represents the marginal productivity of an additional amount of ETc at any ETc

deficit level, the shape of the WPF is important for irrigation management decisions. If the WPF is curvilinear (concave downward) as derived in this study, the marginal productivity decreases with each additional unit of water supplied so that once a sufficient level of ETc is reached that yield is produced, and additional water should be spread evenly across a cropped area to generate the maximum yield. However, if the WPF is linear and water supply is limited, marginal productivity is constant and there is no disadvantage to concentrating additional supplies on a portion of the cropped area until the maximum ETc is reached.

Water production functions provide the information needed to maximize the benefits to irrigation under water-limited conditions. However, their correct use depends on how water and benefits are counted. For an irrigation farmer whose only concern is the current cost or the availability of irrigation water, productivity relative to irrigation water applied is the important criteria. For a watershed or groundwater management region in which only ETc removes water from the watershed and return flows are effectively reused, productivity relative to ETc is important.

For the presented data, based on 220 mm of seasonal precipitation and highly efficient drip irrigation, a grower would lose only about 11% of yield by reducing irrigation by 25% (Fig. 7). The grower could achieve a 25% irrigation volume savings either through deficit irrigation by 25% or by reducing the area irrigated by 25%. Although an economic analysis of production costs and yield value would be required to determine the best strategy to maximize net income, a decision based on irrigation volume might be to deficit irrigate his entire crop. The increase in CWP with deficit irrigation is greater with higher precipitation and stored soil water (illustrated by the IWPF curves in Fig. 7 shifting to the left) and with less efficient irrigation. With inefficient irrigation, the CWP based on irrigation amount is low near full irrigation but increases with deficit irrigation since irrigation efficiency and beneficial use of precipitation increases with deficit irrigation.

However, for situations in which the limitation is on consumed water or ETc, these data indicate that a 25% reduction in ETc would result in 25% reduction in yield. Under this condition, although the grower could reduce ETc either by deficit irrigation or by reducing planted area, deficit irrigation will seldom be the best economic choice since the yield loss would be the same for either option, but the cost of production would be reduced by cropping less land. As deficits exceed 25% and yields decline more rapidly than saved water (i.e., CWP declines), the economic disadvantage of deficit irrigation increases further. Linear WPF relationships represented by Eq. 5 with positive ETc intercepts result in a continuously decreasing CWP with reduced ETc such that full irrigation of reduced area will always be the most economical choice.



In these trials, deficit irrigation was carefully scheduled (except for the late stress in 2010) to minimize the impact on yield, and drip irrigation reduced soil evaporation losses compared to sprinkler or furrow irrigation. Thus, they should represent an upper envelope of water productivity for our conditions and the variety used. Lower yields may result from high stress during critical growth stages or uneven stress. Ongoing research at LIRF is studying the impact of stress timing on yield and the ability of maize to acclimatize to stress.

The presented results may overestimate long-term yield expectations with deficit irrigation. With deficit irrigation, soil variability may have a larger impact on water availability and yield, unless management is adapted to localized conditions as with site-specific variable rate water application. Stressed maize is more susceptible to crop damage by mites and root insects. Stressed maize also has a tendency to have weaker stalks that are more susceptible to "blowdown" and lodging, making it more difficult to harvest. Although these problems can be reduced by management and genetic improvements, water-stressed maize will likely have increased risk of unanticipated yield losses.

Water production functions will likely change with time. Genetic improvements in maize varieties have resulted in an average 1 to 2% annual increase in maize yields with little or no increase in water use (Irmak and Sharma 2015). Thus, yields with newer varieties would be expected to have higher water productivity. The variety used in this trial was released in 2006. Current varieties used at the site yield 10 to 15% higher than those reported here, with no increase in ETc.

It is important when measuring water productivity to accurately estimate ETc. Because WPFs based on irrigation application depend on local conditions (precipitation amount and irrigation efficiency), it is difficult to use WPFs based on irrigation application for conditions other than those under which the measurements were made. However, WPFs based on ETc can be adapted to other conditions through adjustment for precipitation amount, irrigation efficiency, and evaporative demand. The seasonal ETc can be estimated by water balance when irrigation and precipitation are precisely measured and deep percolation and runoff losses are minimized.

### Conclusion

Maize water production functions developed in a 4-year field trial in the US Central High Plains were curvilinear with 2.0 kg m<sup>-3</sup> water productivity at maximum ETc. The functions show decreasing yield with decreasing ETc, but relatively constant crop water productivity up to 25% ETc reduction. Beyond 25% ETc reduction, yields declined

rapidly and no grain yield was produced at approximately 40% of full ETc. Although deficit irrigation may result in increased irrigation water productivity, reduction in irrigated area will nearly always provide higher net economic returns if water consumption is limited. However, after crops are planted and most production costs are expended, a curvilinear WPF indicates that remaining water supply should be spread evenly among the cropped area.

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