



# The Impact of Quality Improvement Interventions in Improving Surgical Infections and Mortality in Low and Middle-Income Countries: A Systematic Review and Meta-Analysis

James Jin<sup>1</sup> · Salesi 'Akau'ola<sup>2</sup> · Cheng-Har Yip<sup>3</sup> · Peter Nthumba<sup>4</sup> · Emmanuel A. Ameh<sup>5</sup> · Stijn de Jonge<sup>6</sup> · Mira Mehes<sup>7</sup> · Hon. Iferemi Waiqanabete<sup>8</sup> · Jaymie Henry<sup>9</sup> · Andrew Hill<sup>10</sup> on behalf of The International Society of Surgery (ISS) and the G4 Alliance International Standards and Guidelines for Quality Safe Surgery and Anesthesia (ISG-QSSA) Group

Accepted: 6 June 2021 / Published online: 3 July 2021  
© Société Internationale de Chirurgie 2021, corrected publication 2021

## Abstract

**Background** Morbidity and mortality in surgical systems in low- and middle-income countries (LMICs) remain high compared to high-income countries. Quality improvement processes, interventions, and structure are essential in the effort to improve peri-operative outcomes.

**Methods** A systematic review and meta-analysis of interventional studies assessing quality improvement processes, interventions, and structure in developing country surgical systems was conducted according to the Preferred Reporting Items of Systematic Reviews and Meta-Analyses (PRISMA) guidelines. Studies were included if they were conducted in an LMIC, occurred in a surgical setting, and measured the effect of an implementation and its impact. The primary outcome was mortality, and secondary outcomes were rates of rates of hospital-acquired infection (HAI) and surgical site infections (SSI). Prospero Registration: CRD42020171542.

**Result** Of 38,273 search results, 31 studies were included in a qualitative synthesis, and 28 articles were included in a meta-analysis. Implementation of multimodal bundled interventions reduced the incidence of HAI by a relative risk (RR) of 0.39 (95%CI 0.26 to 0.59), the effect of hand hygiene interventions on HAIs showed a non-significant effect of RR of 0.69 (0.46–1.05). The WHO Safe Surgery Checklist reduced mortality by RR 0.68 (0.49 to 0.95) and SSI by RR 0.50 (0.33 to 0.63) and antimicrobial stewardship interventions reduced SSI by RR 0.67 (0.48–0.93).

**Conclusion** There is evidence that a number of quality improvement processes, interventions and structural changes can improve mortality, HAI and SSI outcomes in the peri-operative setting in LMICs.

✉ Jaymie Henry  
james.jin@auckland.ac.nz

<sup>1</sup> Department of Surgery, The University of Auckland, Auckland 1010, New Zealand

<sup>2</sup> Surgery, Fiji National University, samabula fiji Lakeba Street Samabula, Suva, Fiji

<sup>3</sup> Surgery, University of Malaya, Subang Jaya Medical Centre, 50603 Kuala Lumpur, Malaysia

<sup>4</sup> AIC Kijabe Hospital Surgery, Kijabe Road Kijabe Lari Kiambu KE, Kijabe, Kenya

<sup>5</sup> Division of Paediatric Surgery, Northcentral University, 8667 E Hartford Dr Ste 100, Scottsdale, AZ 85255, USA

<sup>6</sup> Department of Surgery, Amsterdam UMC Locatie AMC, Meibergdreef 9, 1105 AZ Amsterdam, Netherlands

<sup>7</sup> G4 Alliance, Chicago, IL, USA

<sup>8</sup> Fiji National University College of Medicine Nursing and Health Sciences, Suva, Fiji

<sup>9</sup> Department of Surgery, Florida Atlantic University, Boca Raton, USA

<sup>10</sup> Department of Surgery, University of Auckland, Auckland 1010, New Zealand

## Introduction

In 2015 the Lancet Commission on Global Surgery (LCoGS) highlighted disparities in the provision of surgical care in low- and middle-income countries (LMICs) compared to high-income countries (HICs) [1]. There is significant global variation in surgical outcomes, with adults up to three times, and children seven times, more likely to die after emergency abdominal surgery in LMICs compared with HICs [2]. It is estimated that 23 million disability-adjusted life-years are lost each year due to in-hospital adverse events alone and that two-thirds of these occur in LMICs [3].

Quality Improvement is central to improving morbidity and mortality in surgical systems [3, 4]. Most quality improvement research is conducted in HICs and, given the distinct clinical needs and financial constraints in LMICs, research findings from HICs cannot always be extrapolated directly between settings [5]. Surgical site infections (SSI) are the leading cause of post-operative morbidity, and the leading cause of hospital-acquired infections in LMICs. The prevalence of surgical site infections is estimated to be at least twice as high in LMICs compared to HICs [6]. Along with peri-operative mortality, SSI are important targets for surgical quality improvement, as they can result in enormous morbidity, health-care costs, and loss of productivity [6]. The evidence behind the effectiveness of quality improvement interventions targeting mortality and SSI in LMICs has thus far not been well elucidated in the literature [7].

In this systematic review, we aim to assess and quantify the effect of quality improvement processes and structure on mortality, rates of Hospital Acquired Infections (HAI) and SSIs in the peri-operative setting. These findings could be used to help inform the development of evidence-based guidelines that seek to increase the quality, access, and safety of surgical and peri-operative systems in LMICs. The study forms a part of a series of systematic reviews and meta-analyses conducted by the G4 Alliance and International Society of Surgery International Standards and Guidelines for Quality Safe Surgery and Anesthesia (ISG-QSSA) Working Group.

## Methods

The G4 Alliance is a 60+member organization representing over 300 international federations, societies, academia, and non-governmental organizations in 160 countries worldwide. In partnership with the International Society of Surgery (ISS), the Alliance formed the ISG-QSSA Working Group, which is comprised of 13 members from

surgical, anesthesia, government, and public health specialties with the goal of summarizing the existing evidence base regarding optimal surgical, obstetric, trauma, and anesthesia systems quality improvement interventions in order to arrive at global policy recommendations for LMICs. The working group was charged with identifying relevant research questions and population, intervention, comparator, and outcome (PICO) considerations that formed the basis of this systematic review.

## Database search

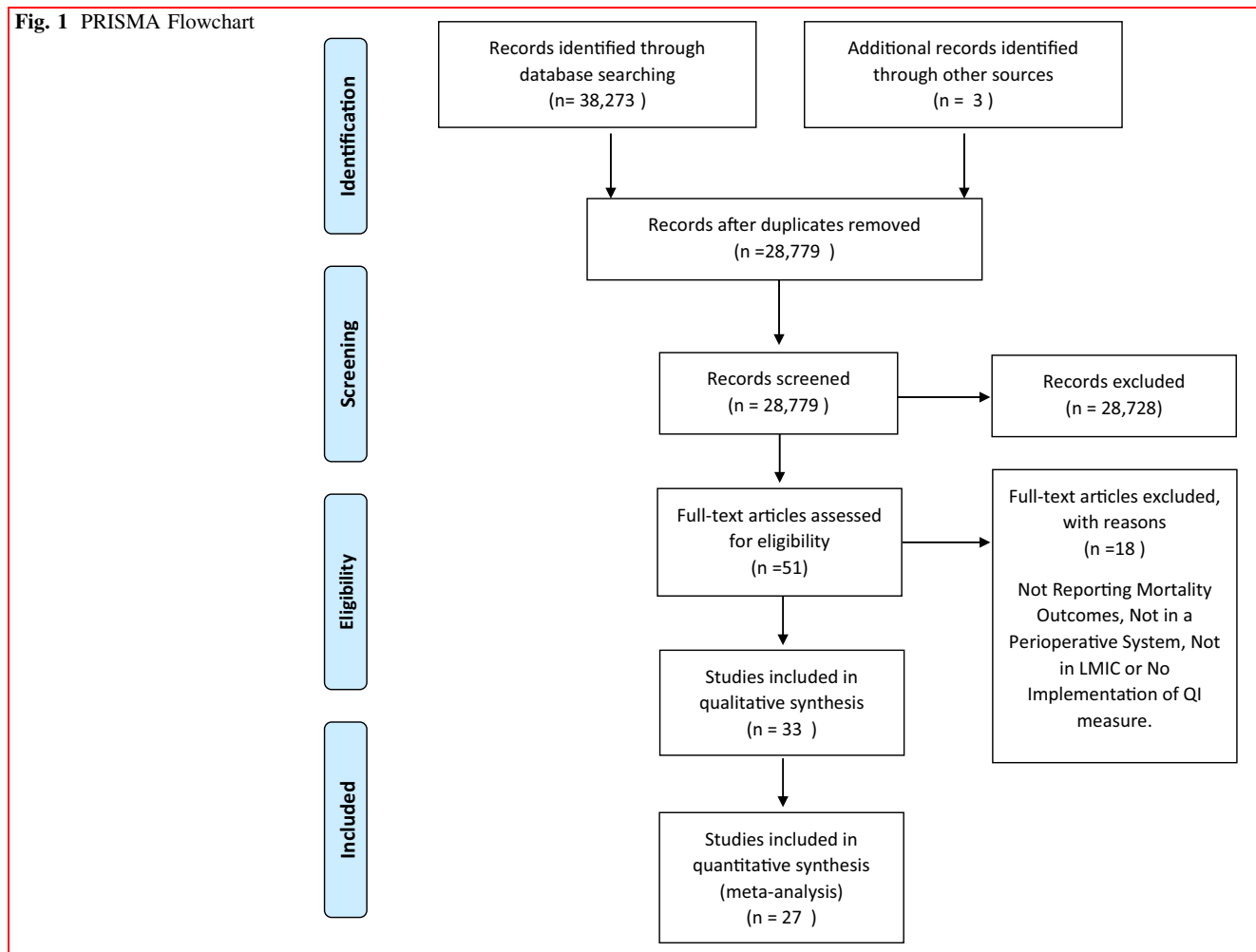
A systematic review of the literature was performed following Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines. The search included five databases: Medline, CINAHL, SCOPUS, CENTRAL, and EMBASE and incorporated four domains: 1. LMICs, 2. Surgical 3. Interventions 4. Mortality (search terms in Fig. 1). A Grey Literature search was performed using the Open Grey Database, Google Scholar, and WHO regional databases for Africa and Asia. Reference lists of included full-text reports and systematic reviews were cross-checked for relevant records. A date restriction was applied from January 1<sup>st</sup>, 1989. The search started in February 2020; the date of the last search was 18<sup>th</sup> August 2020. Results were restricted to English-language full-text articles. Prospero Registration: CRD42020171542.

## Inclusion Criteria

Interventional studies published in English, conducted in countries meeting the World Bank Income Classification, that assessed quality improvement processes with regard to impact on mortality, HAI or SSI were included.

## Exclusion criteria

Studies were excluded if they did not involve a surgical or peri-operative system or were not conducted in an LMICs setting. Studies were likewise excluded if they did not include implementation of a particular process, intervention, or structure, or if they did not report outcomes as mortality or morbidity. Studies examining a specific disease process were also excluded. If any of the inclusion or exclusion criteria were unclear, a third reviewer was involved in reaching a consensus. Conference abstracts were not included. Review articles were not included, but all relevant reviews were examined for citations of reports that were not already found in the search.

**Fig. 1** PRISMA Flowchart

The retrieved records from the database were imported in Endnote® (Clarivate, Philadelphia PA, USA), and duplicates were removed [8]. According to the inclusion and exclusion criteria, the results were screened by two independent authors (JJ and SA). Data were extracted to a standardised form, which included information on study setting, study population, sample size, method of quality improvement intervention, and the comparison group. The primary endpoint was mortality. The secondary outcomes were the rate of HAI and SSI. The cost-effectiveness of an intervention was also summarised where applicable.

### Meta-Analysis

A meta-analysis was conducted when the interventions and outcomes were determined to be combinable. Meta-analysis was performed in R (R Foundation for Statistical Computing, Vienna, Austria) using the “meta” package [9]. The relative risk (RR, 95% confidence interval [CI]) of the primary outcome “mortality” was calculated using

original data from the studies by dividing the probability of death given the presence of a quality improvement intervention by the probability of death given the absence of an intervention. The Mantel-Hanzel method was used as the weighing method across studies as it allows better estimates when there are few events. The effect size chosen was the risk ratio. The  $I^2$  statistic for each analysis was calculated to estimate the fraction of variation in the effect estimate (i.e., RR of mortality) caused by heterogeneity. Significant heterogeneity was established when the  $I^2$  test statistic was greater than the degrees of freedom, the  $p$  value was  $< 0.20$ , and the  $I^2$  was greater than 50%. Random effects were chosen as the analysis moderator if significant heterogeneity among studies was found. Publication bias was assessed using a funnel plot of the effect sizes. No publication bias was noted when the effect sizes were noted to have an even dispersion around the pooled effect estimate. Due to the paucity of randomized controlled studies (RCTs) in certain interventions or in instances where it was not deemed possible to conduct such studies due to the lack of equipoise, non-randomized

interventional studies (i.e., before-after studies) were utilized. Although considered critical in the assessment of healthcare evaluations, the risk of bias significantly impacts its findings’ applicability. Therefore, a secondary tool, the Risk Of Bias In Non-randomised Studies-of Interventions (ROBINS-I) tool was utilized. ROBINS-I is a new tool that views each study as an attempt to emulate a hypothetical pragmatic RCT and covers seven distinct domains through which bias may be introduced [10].

### Consensus

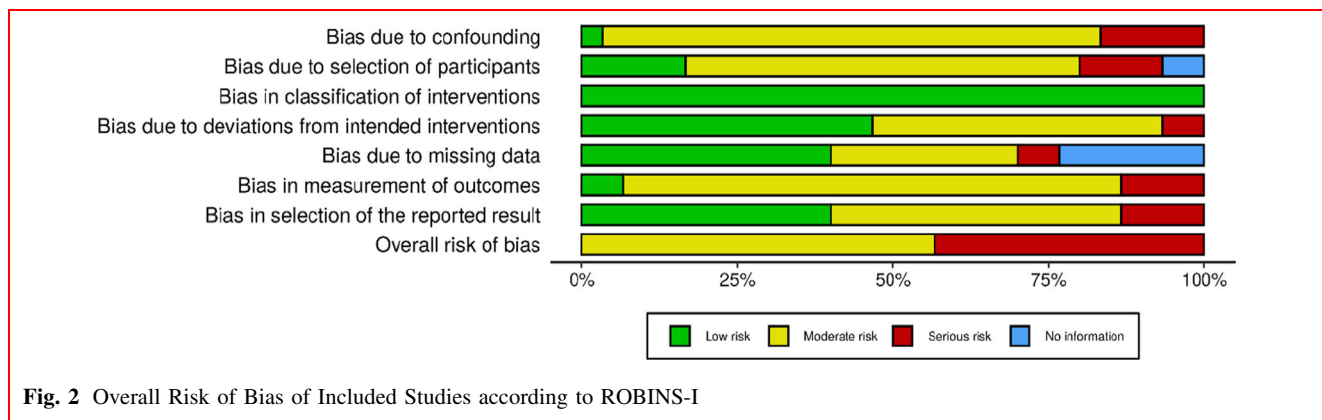
In March 2020, an international group of experts representing the G4 Alliance, ISS, and local Fijian surgeons were hosted by the Fiji Ministry of Health and reviewed the preliminary results. The meeting participants concluded that the results were heterogeneous, and the risk of bias was inherently high due to a large number of uncontrolled before and after observational studies. The panel agreed that the ROBINS-I tool was to be utilized in the final assessment of studies for the GRADE methodology [11].

### Results

Initial search results returned 38,273 articles. After duplicate removal, 28,779 were screened, and 28,709 articles were excluded after title and abstract screening. Seventy full-text articles were screened for eligibility. A total of 32 studies were included in the qualitative synthesis. Twenty-nine studies were included in a quantitative synthesis for meta-analysis. Studies were from 20 different countries. A heterogeneous group of studies were found, including randomized studies, controlled and uncontrolled before and after studies, and retrospective studies. The PRISMA flowchart is displayed in Fig. 2. The Summary of Interventions and their effects are shown in Table 1. The overall risk of bias summary chart is shown in Fig. 3. The risk of bias for individual studies and domains is displayed in Fig. 1.

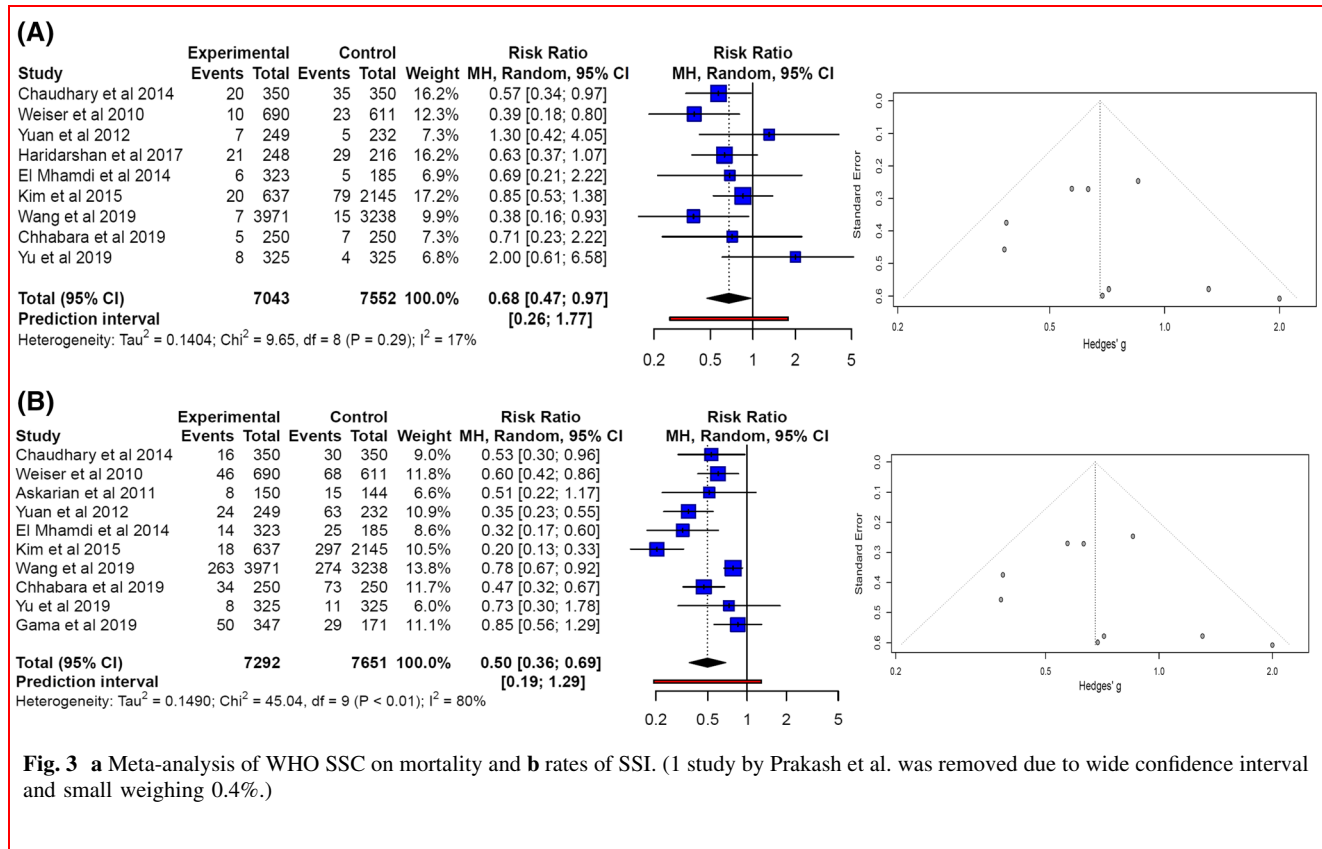
### Effect of the WHO Safe Surgery Checklist on Mortality

In 2008, the World Health Organization introduced the Surgical Safety Checklist (WHO SSC) designed to improve consistency of care [12]. Ten studies have evaluated the



**Table 1** Overall Summary of Findings

Perioperative Care and Infection Control						
Quality Improvement Implementation	Outcome Measured	Number of Studies	Percentage Non-Randomised Studies	Effect Size (Risk Ratio)	Heterogeneity % (I <sup>2</sup> )	Publication Bias
Infection Control Bundled Intervention	HAI	8	100	0.39 (0.26 to 0.59)	78.5	Present
WHO Surgical Safety Checklist	Mortality	9	89	0.68 (0.49 to 0.95)	17	None
WHO Surgical Safety Checklist	SSI	9	89	0.50 (0.33 to 0.63)	80	Present
Hand Hygiene Interventions	SSI	3	100	0.69 (0.46 to 1.05)	58	None
Antimicrobial Stewardship Interventions	SSI	5	100	0.67 (0.48 to 0.93)	0	None



effect of the WHO SSC on mortality [13–22]. Of the ten studies, nine were categorised as uncontrolled before-and-after studies. Only one study by Chaudhary was a randomised controlled trial with 350 patients per arm [13]. A pilot study by Weiser et al. evaluated the effect of the WHO SCC in 8 tertiary centres worldwide [19]. We extracted data pertaining to LMICs to be included in the analysis. The summary of study characteristics is shown in Table 2.

The weighted pooled RR for mortality was 0.68 (0.47 to 0.97) across the analysed studies, representing a 32% reduction in mortality. The confidence interval for the effect size was 0.47 to 0.97, representing a statistically significant reduction. The I<sup>2</sup> was 17%, indicating low heterogeneity. No publication bias was present in the funnel plot (Fig. 3a).

### Effect of bundled interventions to reduce HAI

Eight studies measured the effectiveness of infection control bundles. These studies all utilised combinations of interventions such as the introduction of hand hygiene measures, educational programs that used prophylactic antibiotics, and modular training [23–30]. The outcome measured was any hospital-acquired infection (HAI), including surgical site infection (SSI), central line-

associated bacteremia (CLABSI), or ventilator acquired pneumonia (VAP). The summary of study characteristics and interventions are shown in Table 2.

Three studies were cross-sectional studies that measured the incidence of HAI using prevalence surveys before and after an intervention, and five studies used a prospective cohort study approach where an intervention was introduced after baseline values were calculated. All studies used a before-after model to quantify the outcome.

The analysed combined effect size had a RR of 0.39 (0.26 to 0.59) and is shown in Fig. 4a. The studies had considerable heterogeneity with an I<sup>2</sup> = 79%. The confidence interval of 0.26 to 0.59 represents a statistically significant decrease in HAI. A funnel plot showed publication bias to be present. Overall, despite the limitations of the study quality and design, there is evidence that bundled intervention programs effectively reduce rates of HAI by 61% in an LMIC environment.

### Effect of Hand Hygiene Interventions on rates of HAI

Five studies evaluated the effect of infection control hand hygiene interventions, including implementing an alcohol-based hand rub [31–35]. Three studies were before-after

**Table 2** Summary of Interventions and Study Characteristics

Study Author	Intervention	Study Location	Study Design	Duration	Effect Size, RR 95%CI
<b>Infection Control Bundled Interventions on HAIs</b>					
Atukorala [26] (12)	Increasing the number of infection control nurses, educational programs and guidelines	Sri Lanka, single centre, tertiary	Prevalence Surveys	3 years	0.67 (0.57–0.78)
French et al. [28] (14)	Hospital Guidelines on infection control, infection control team, policies on urinary catheter care	China (Hong Kong), single centre, tertiary	Prevalence Surveys	3 years	0.54 (0.41–0.71)
Ogwang et al. [29] (15)	Implementation of basic hospital hygiene procedures including establishment of infection control committee and improving staff hand hygiene	Uganda, s single centre, tertiary	Prevalence Surveys	1 year	0.50 (0.37–0.69)
Agarwal et al. [23] (9)	Patient education, separate closing tray, dressing removal < = 48 h, dismissal with 4% chlorhexidine and follow up phone call	India, single centre, tertiary	Prospective Before and After Cohort Study	2 years	0.22 (0.11–0.44)
De Cristofano et al. [27] (13)	Evidence-based ventilator-associated pneumonia prevention bundle. With four main components	Argentina, single centre, tertiary	Interrupted Time Series	2 years	0.13 (0.03–0.55)
Singh et al. [30] (16)	Modular training and implementation of infection control practices	India, single centre, tertiary	Prospective Before and After Study	1 year	0.26 (0.17–0.41)
Allegranzi et al. [24] (10)	Technical and adaptive SSI preventative measures such as appropriate antiseptic techniques. Adaptive techniques include formation of surgical unit safety programs	Kenya, single centre, tertiary	Prospective Before and After Study	3 years	0.48 (0.36–0.63)
Alvarez-Moreno et al. [25] (11)	Bundled intervention including guidelines, education programs, surveillance and feedback	Columbia, single centre, tertiary	Prospective Before and after Cohort Study	1 year	0.27 (0.15–0.51)
<b>WHO Safe Surgery Checklist on Mortality and SSIs</b>					
Chaudhary et al. [13] (18)	WHO Surgical Safety Checklist Implementation	India, Single Centre	Prospective RCT	14 months	0.57 (0.34–0.97)
Weiser et al. [19] (24)	WHO Surgical Safety Checklist Implementation	Global, 4 sites (Phillipines, Tanzania, India, Jordan)	Prospective before and after study	6 months	0.39 (0.18–0.50)
Yuan et al. [21] (26)	WHO Surgical Safety Checklist Implementation	Liberia, 2 sites	Prospective before and after study	12 months	1.30 (0.42–4.05)
Haridarshan et al. [16] (21)	As above	India, 2 sites	Prospective before and after study	11 months	0.63 (0.37–1.07)
El Mhamdi et al. [15] (20)	As above	Tunisia, single site	Prospective before and after study	5 months	0.69 (0.21–2.22)
Kim et al. [17] (22)	As above	Moldova, single site	Prospective before and after study	24 months	0.85 (0.53–1.38)
Wang et al. [18] (23)	As above	China, single site	Prospective before and after study	71 months	0.38 (0.16–0.93)
Chhabra et al. [14] (19)	As above	India, single centre	Case–control Study	Not known	0.71 (0.23–2.22)
Yu et al. [20] (25)	As above	China, single centre	Retrospective Study	20 months	2.0 (0.61–6.58)

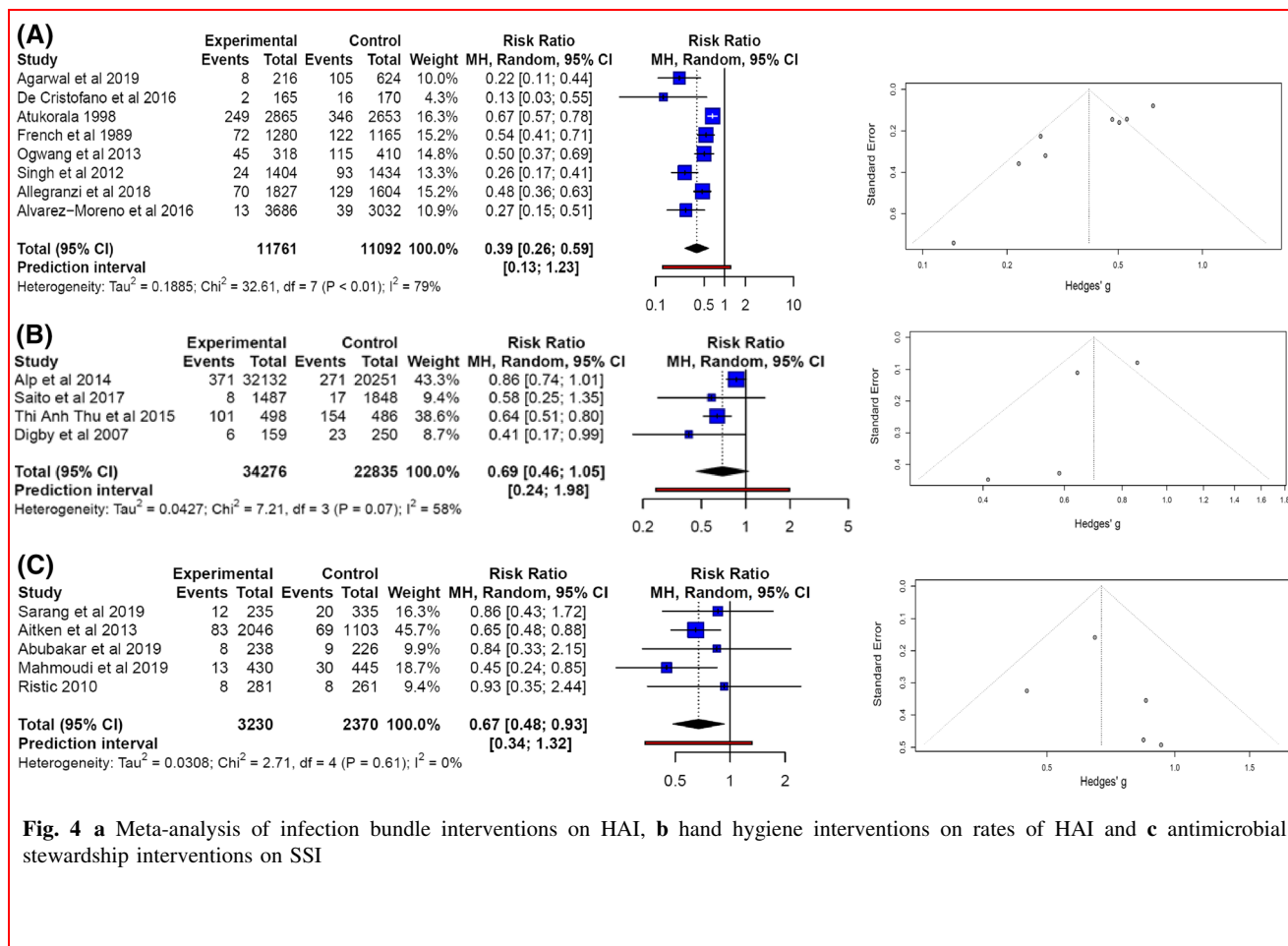
**Table 2** continued

Study Author	Intervention	Study Location	Study Design	Duration	Effect Size, RR 95%CI
Prakash et al. [22] (27)	As above	India, Single Centre	Prospective cohort study	6 months	0.30 (0.01–7.45)
Askarian et al. [36] (28)	As above	Iran, single centre	Prospective before and after study	12 months	SSI 0.51 (0.22–1.17)
Gama et al. [37] (29)	As above	Brazil, Single Centre	Retrospective study	12 months	SSI 0.85 (0.56–1.29)
<b>Hand Hygiene Interventions on SSIs</b>					
Alp et al. [31] (30)	Infection Surveillance and Prevention Program, Handwashing Facilities and ABHR	Turkey, single centre, tertiary	Interrupted Time Series	6 years	0.86 (0.74–1.01)
Saito et al. [34] (33)	ABHR implementation	Uganda, single centre, tertiary	Interrupted Time Series	6 months	0.58 (0.25–1.35)
Thi Anh Thu et al. [35] (34)	Hand wash facilities, ABHR, training	Vietnam, Single centre, tertiary	Prospective before and after study	2 years	0.64 (0.51–0.80)
Phan et al. [33] (32)	Hand hygiene training and monitoring	Vietnam, Single centre, tertiary	Interrupted Time Series	8 years	0.85 (0.79–0.90)
Dibley et al. [32] (31)	ABHR implementation at bedside	Vietnam, Single centre, Tertiary	Prospective before and after study	1 year	0.41 (0.17–0.99)
<b>Antimicrobial Stewardship Interventions on SSIs</b>					
Abubakar et al. [38] (35)	Bundled antibiotic stewardship intervention, pharmacist led	Nigeria, Single Centre, Tertiary	Prospective before and after study	6 months	0.84 (0.33–2.15)
Aiken et al. [39] (36)	Antibiotic prophylaxis policy and guidelines. 41% decrease in cost per 100 patients	Kenya, Single Centre, Tertiary	Prospective before and after study	16 months	0.65 (0.48–0.88)
Mahmoudi et al. [40] (37)	Antimicrobial Prophylaxis guideline implementation, pharmacist lead. 26% decrease in cost per 100 patients	Iran, Single Centre, Tertiary	Prospective before and after study	9 months	0.45 (0.24–0.85)
Ristic et al. [41] (38)	Antimicrobial Prophylaxis guideline implementation. 89% decrease in cost per 100 patients	Serbia, Single Centre, Tertiary	Prospective before and after study	12 months	0.93 (0.35–2.44)
Sarang et al. [42] (39)	Antimicrobial Stewardship program with prophylaxis. 47% decrease in cost per 100 patients	India Multi-centre (two), India, Tertiary	Prospective before and after study	12 months	0.86 (0.43–1.72)

studies, and two studies used an interrupted time-series design reporting the results as a trend. Four studies were included in a meta-analysis. One interrupted time series was not included in the meta-analysis as raw data were not reported. In addition to rates of HAI, all four studies measured outcomes of hand hygiene compliance (Table 2).

There was a non-statistically significant reduction in rates of HAI in populations with hand hygiene interventions (RR = 0.69 (0.46–1.05)) (Fig. 4b). There was significant heterogeneity with an  $I^2$  value of 58%, indicating that the effect of the intervention varied depending on

location. Although the pooled estimate showed an imprecise effect, two large, well designed, interrupted time series studies showed a significant effect (RR 0.85; (95%CI, 0.79–0.90) and RR of 0.8 P = 0.001) [31, 33]. These studies were characterised by measurement of the outcome over several years and utilisation of rigorous monitoring of compliance and surveillance of HAI carried out by trained infection control nurses. One study reported that a hand hygiene intervention was cost-effective, with \$1074 per HAI prevented and a mean attributable cost of HAI of \$1,131 per case [35].



**Fig. 4 a** Meta-analysis of infection bundle interventions on HAI, **b** hand hygiene interventions on rates of HAI and **c** antimicrobial stewardship interventions on SSI

### WHO Safe surgical checklist on rates of SSI

Of the ten studies reporting the impact of the WHO SSC on mortality, eight of these also reported the WHO SCC’s impact on SSI. These were therefore included in the analysis twice. Three studies reported SSI only, bringing the total to eleven studies that reported the effect of the implementation of the WHO SCC on rates of SSI [13–15, 17–22, 36, 37]. One study was a randomised controlled trial while ten studies were observational studies (Table 2).

On average, the implementation of the WHO SCC checklist reduced the rate of SSI by 50%, (RR of 0.50 (0.36 to 0.69)). The effect was statistically significant.  $I^2$  was 80%, indicating a substantial dispersion of effects about the mean and no publication bias was present (Fig. 3b).

### Effect of Antimicrobial Stewardship Interventions on the rate of SSI

Five studies described the effect of the implementation of an antimicrobial stewardship program [38–42]. These studies aimed to optimize surgical antimicrobial prophylaxis through guidelines aimed at the rational use of antibiotics. The interventions included policies that standardise the use of antibiotics peri-operatively aligned with best practice recommendations.

The overall pooled relative risk was 0.67 (0.48–0.93) (Fig. 4c). Three of five studies showed that while the intervention did not reduce SSI rates, the intervention resulted in significant cost savings. Four studies reported cost-effectiveness, reported as dollar cost of antibiotics per 100 patients. Overall cost reduction ranged from \$52 per 100 patients to \$253 per 100 patients, with an average cost reduction of 49%.



## Other Interventions Not Classified

Five studies reported the effect of the International Quality Improvement Collaboration in congenital heart surgery [43–47]. These studies were not included because they related only to a specific group of surgical patients. These interventions were of a multifaceted collaborative approach, which involved several areas such as empowering nurses, focusing on hand hygiene, utilizing checklists, and resulted in significantly decreased rates of in-hospital mortality, bacterial sepsis, and length of stay. Further studies of note included Enhanced Recovery After Surgery (ERAS) protocols and their effect on mortality and length of stay [48].

## Discussion

Mortality and surgical infections remain key issues reflecting quality of surgical care, and advancing surgical quality in LMICs is a major global health concern [49]. An estimated 8.6 million deaths occur each year related to delivery of healthcare. Sixty-percent of these deaths are thought to occur due to poor-quality healthcare rather than an inadequate access or utilisation of care [50]. A key metric for quality in surgical systems, the peri-operative mortality rate, has remained high in LMICs with the number of deaths following surgery estimated to be at least twice that observed in HIC [51, 52]. Postsurgical infections also contribute to high morbidity and mortality, with SSI affecting up to one third of patients who have undergone a surgical procedure [53]. The GlobalSurg Collaborative found that, following risk factor adjustment, patients in low-HDI countries are at greatest risk of SSI [54]. SSI are the most common HAI in these environments, often with substantial morbidity, mortality and economic impacts and therefore are a priority target for quality improvement and patient safety initiatives. With these disparities in mind, there is great urgency to implement strategies to improve mortality and infection rates in surgical systems LMICs.

This study has shown that the single quality improvement intervention in the peri-operative surgical setting with a quantifiable effect on mortality in LMICs is the WHO SCC. The WHO SCC reduces not only mortality, but also SSI. Antimicrobial stewardship programs reduce SSI, bundled interventions and some hand hygiene interventions, especially those characterised by strong emphases over prolonged periods of time on compliance, reduce HAIs. Our review demonstrates studies aimed at improving mortality and infection outcomes in LMICs are uncommon and this review required examination of 30 years' worth of work to generate the results. This suggests that there are

significant research, policy and investment gaps in LMICs surgical and anaesthesia care.

Our review highlighted evidence that the WHO SCC effectively reduced both mortality and rates of SSI in LMICs. This meta-analysis suggests that the WHO SCC is at least equally effective in LMICs compared to HICs [55]. The majority of the evidence gathered included before-and-after observational studies, and these carry significant risk of confounding due to their non-randomised nature and bias from non-blinding of participants and outcome assessors. Disappointingly, the SSC is reported as being used in only about one-third of patients from LMICs, compared with almost 90 percent in HIC [2]. It may be that the WHO SCC is known and available in LMICs but is not being used nor implemented consistently. One reason for this may be due to a lack of published evidence and experience from LMICs [56]. There may also be barriers to implementation including resource constraints [57]. Sustainable methods can help overcome some of the barriers to SSC uptake, including partnerships that emphasise training, knowledge-sharing, and local capacity building [17, 58, 59].

In this meta-analysis, multimodal infection control interventions significantly reduced HAI rates, and there was an effect of hand hygiene interventions, that emphasized compliance, on HAI rates. Multimodal infection control bundles and hand hygiene interventions are the easiest and most effective approach to prevent cross-transmission of multidrug-resistant microorganisms and HAI. LMICs often face unique challenges related to hand hygiene, such as lack of running water, procurement of alcohol-based hand rub, and a lack of awareness of hand hygiene, leading to insufficient prioritisation either at an individual or institutional level [60–62]. The challenges of implementing hand hygiene interventions can be addressed through multimodal prevention strategies, which have been demonstrated to be more effective than single interventions to change health care worker behaviour [63]. These multimodal strategies are feasible and sustainable across a range of settings in different countries and lead to a significant increase in compliance in healthcare workers [64–66]. As cost remains a barrier in implementation, future studies should investigate the cost-effectiveness of hand hygiene interventions on HAI and SSI [35].

Antimicrobial stewardship interventions that specified prophylactic antibiotics for surgery given as a single dose within 1 h pre-incision reduced rates of SSI [6]. Antimicrobial stewardship was associated with improved antimicrobial utilisation in the peri-operative setting, with corresponding improvements in antimicrobial resistance and adverse events without compromise in short-term outcomes [40–42]. Previous reviews have demonstrated that pre-incisional antimicrobial prophylaxis reduces the

risk of surgical site infection by 23% compared to post-incisional [67]. Although deemed effective, barriers to uptake for these interventions include a perceived cost barrier, shortage of qualified pharmacists, poor interdisciplinary communication, lack of expertise and infrastructure [68, 69]. The cost-effectiveness of the intervention is significant as cost is an important determinant factor of implementation of these programs. It is important to note that the cost-effectiveness was a secondary measure in these studies. There are other papers that primarily focus on cost-effectiveness, but did not measure rates of SSI and these were not included.

This systematic review is limited by its focus on studies which report outcome of mortality and SSI. Also, studies that describe the implementation of quality improvement interventions which report change in process measures were also not included, and studies that reported primarily the cost-effectiveness of interventions were not included. Due to the significant number of observational studies included, there is significant variation in the quality of included studies and the risk of bias. The meta-analysis was limited by the high heterogeneity of the included studies. The studies were conducted in vastly different environments and the effect of the interventions varied depending on the setting. The included studies are also prone to publication bias.

In order to maximise the number of studies analysed we included studies spanning 30 years. It is likely that the health systems of LMICs have changed considerably over this time, which may have impacted the applicability of the findings. Future studies assessing the effectiveness of quality improvement interventions should consider cluster-randomised controlled trials with a stepped-wedge design to improve the quality of evidence. Further systematic reviews on this topic could solely report the impact of interventions on process outcomes to capture a wide range of interventions. As cost remains a barrier in implementing such interventions, cost-effectiveness should be studied in future systematic reviews.

In conclusion, mortality and SSI in surgical systems in LMICs can be improved by the use of the WHO SCC. Multimodal infection control bundles reduce HAI and hand hygiene interventions that have a strong emphasis on compliance have the potential to improve HAI. Antimicrobial stewardship interventions can improve SSI. Future studies could use this evidence specific to LMICs as a basis for the development of evidence-based guidelines.

## Appendix

(see Figure 5)

**Fig. 5** Risk of bias for included studies according to ROBINS-I for observational studies

Study	Risk of bias domains							Overall
	D1	D2	D3	D4	D5	D6	D7	
Abubakar et al 2019	-	-	+	+	-	-	-	-
Aiken et al 2013	-	+	+	+	?	-	-	-
Mahmoudi et al 2019	-	-	+	+	?	-	-	-
Ristic et al 2010	-	-	+	+	?	-	X	X
Sarang et al 2019	-	X	+	+	?	X	X	X
Alp et al 2014	-	-	+	+	?	-	X	X
Dibley et al 2007	-	-	+	+	+	-	-	-
Phan et al 2020	-	-	+	+	+	-	-	-
Saito et al 2017	-	-	+	+	+	-	-	-
Thi Anh Thu et al 2015	-	-	+	-	+	-	-	-
Agarwal et al 2019	-	-	+	-	?	-	-	-
Allegranzi et al 2018	-	+	+	-	+	-	-	-
Alvarez-Moreno et al 2016	-	-	+	-	+	-	X	X
Atukorala 1998	X	?	+	X	-	X	-	X
De Cristfano et al 2016	-	?	+	-	-	X	-	X
French et al 1989	X	-	+	-	-	X	-	X
Ogwang et al 2013	X	-	+	-	-	-	-	X
Singh et al 2012	-	-	+	X	X	-	-	X
Gama et al 2019	-	-	+	+	+	+	+	-
Anwer et al 2016	X	-	+	-	-	-	+	X
Askarian et al 2011	-	-	+	+	+	-	+	-
Chhabra et al 2019	+	-	+	+	+	-	+	-
El Mhamdi et al 2014	-	-	+	-	?	-	+	-
Hao et al 2015	-	+	+	-	+	-	+	-
Haridarshan et al 2018	-	-	+	-	+	-	+	-
Kim et al 2015	-	+	+	-	+	-	+	-
Wang et al 2019	X	X	+	+	-	-	+	X
Weiser et al 2010	-	+	+	+	-	+	+	-
Yu et al 2019	-	X	+	-	-	-	+	X
Yuan et al 2012	-	X	+	-	X	-	+	X

Domains:  
 D1: Bias due to confounding.  
 D2: Bias due to selection of participants.  
 D3: Bias in classification of interventions.  
 D4: Bias due to deviations from intended interventions.  
 D5: Bias due to missing data.  
 D6: Bias in measurement of outcomes.  
 D7: Bias in selection of the reported result.

Judgement  
 Serious  
 Moderate  
 Low  
 No information

## References

- Meara JG, Greenberg SL (2015) The lancet commission on global surgery global surgery 2030: evidence and solutions for achieving health, welfare and economic development. *Surgery* 157(5):834–835
- Delisle M, Pradarelli J, Panda N, Koritsanszky L, Sonnay Y, Lipsitz S et al (2020) Variation in global uptake of the Surgical Safety Checklist. *Br J Surg* 107(2):e151–e160
- National Academies of Sciences E, Medicine. 2018. Crossing the global quality chasm: Improving health care worldwide: National Academies Press
- Ayanian JZ, Markel H (2016) Donabedian's lasting framework for health care quality. *N Engl J Med* 375(3):205–207
- Haider A, Scott JW, Gause CD, Meheš M, Hsiung G, Prelvukaj A et al (2017) Development of a unifying target and consensus indicators for global surgical systems strengthening: proposed by the global alliance for surgery, obstetric, trauma, and anaesthesia care (the G4 alliance). *World J Surg* 41(10):2426–2434
- Organization Global guidelines for the prevention of surgical site infection WH (2016) Geneva. World Health Organization, Switzerland, p 2018
- Santhirapala V, Peden C, Meara J, Biccard B, Gelb A, Johnson W et al (2020) Towards high-quality peri-operative care: a global perspective. *Anaesthesia* 75:e18–e27
- The Endnote Team. 2013. Endnote. Philadelphia, PA: Clarivate
- Team RC. 2013. R: A language and environment for statistical computing.
- Sterne JA, Hernán MA, Reeves BC, Savović J, Berkman ND, Viswanathan M et al (2016) ROBINS-I: a tool for assessing risk of bias in non-randomised studies of interventions. *Bmj* 355:i4919
- Sterne JA, Hernán MA, Reeves BC, Savović J, Berkman ND, Viswanathan M, et al. 2016. ROBINS-I: a tool for assessing risk of bias in non-randomised studies of interventions. *Bmj*. 355
- Safety WP, Organization WH. WHO guidelines for safe surgery: 2009: safe surgery saves lives: World Health Organization. 2009
- Chaudhary N, Varma V, Kapoor S, Mehta N, Kumaran V, Nundy S (2015) Implementation of a surgical safety checklist and postoperative outcomes: a prospective randomized controlled study. *J Gastrointest Surg* 19(5):935–942
- Chhabra A, Singh A, Kuka PS, Kaur H, Kuka AS, Chahal H (2019) Role of perioperative surgical safety checklist in reducing morbidity and mortality among patients: An observational study. *Nig j surg: offic public Niger Surgic Res Soc* 25(2):192
- Mhamdi EI S, Letaief M, Cherif Y, Bouanene I, Kallel W, Hamdi A (2014) Implementation of the safe surgery checklist of the world health organization at the University hospital of Monastir. *Tunisie Medicale* 92(6):385–90
- Haridarshan S, Girish C, Rajagopalan S (2018) Effects of implementation of WHO Surgical Safety Check List: our institutional analysis. *Ind J Surg* 80(5):465–469
- Kim RY, Kwakye G, Kwok AC, Baltaga R, Ciobanu G, Merry AF et al (2015) Sustainability and long-term effectiveness of the WHO surgical safety checklist combined with pulse oximetry in a resource-limited setting: Two-year update from Moldova. *JAMA Surg* 150(5):473–479
- Wang H, Zheng T, Chen D, Niu Z, Zhou X, Li S et al (2019) Impacts of the surgical safety checklist on postoperative clinical outcomes in gastrointestinal tumor patients: a single-center cohort study. *Medicine (Baltimore)* 98(28):e16418
- Weiser TG, Haynes AB, Dziekan G, Berry WR, Lipsitz SR, Gawande AA (2010) Effect of a 19-item surgical safety checklist during urgent operations in a global patient population. *Ann Surg* 251(5):976–980
- Yu D, Zhao Q (2019) Effect of surgical safety checklists on gastric cancer outcomes: a single-center retrospective study. *Cancer Manag Res* 11:8845–8853
- Yuan CT, Walsh D, Tomarken JL, Alpern R, Shakpeh J, Bradley EH. 2012. Incorporating the World Health Organization surgical safety checklist into practice at two hospitals in Liberia. *The Joint Commission Journal on Quality and Patient Safety*. 38 (6) 254
- Prakash P, Baduni N, Sanwal MK, Sinha SR, Shekhar C. 2014. Effect of World Health Organization Surgical Safety Checklist on Patient Outcomes in a Tertiary Care Hospital of Delhi. *International Medical Journal*. 21 (4)
- Agarwal R, N YS, Appukuttan A, Ashok A, Rajanbabu A. 2019. A prospective study evaluating the impact of implementing 'bundled interventions' in reducing surgical site infections among patients undergoing surgery for gynaecological Malignancies. *Eur J Obstet Gynecol Reprod Biol*. 243 21–5
- Allegranzi B, Aiken AM, Zeynep Kubilay N, Nthumba P, Barasa J, Okumu G et al (2018) A multimodal infection control and patient safety intervention to reduce surgical site infections in Africa: a multicentre, before-after, cohort study. *Lanc Infect Diseases* 18(5):507–515
- Alvarez-Moreno CA, Valderrama-Beltran SL, Rosenthal VD, Mojica-Carreno BE, Valderrama-Marquez IA, Matta-Cortes L et al (2016) Multicenter study in Colombia: Impact of a multi-dimensional international nosocomial infection control consortium (INICC) approach on central line-associated bloodstream infection rates. *Am J Infect Control* 44(11):e235–e241
- Atukorala SD (1998) Monitoring effectiveness of controlling hospital acquired infections by prevalence surveys. *Ceylon Med J* 43(3):134–137
- De Cristofano A, Peuchot V, Canepari A, Franco V, Perez A, Eulmesekian P (2016) Implementation of a ventilator-associated pneumonia prevention bundle in a single PICU. *Pediatr Crit Care Med* 17(5):451–456
- French G, Wong S, Cheng A, Donnan S (1989) Repeated prevalence surveys for monitoring effectiveness of hospital infection control. *The Lancet* 334(8670):1021–1023
- Ogwang M, Paramatti D, Molteni T, Ochola E, Okello TR, Ortiz Salgado JC et al (2013) Prevalence of hospital-associated infections can be decreased effectively in developing countries. *J Hosp Infect* 84(2):138–142
- Singh S, Kumar RK, Sundaram KR, Kanjilal B, Nair P (2012) Improving outcomes and reducing costs by modular training in infection control in a resource-limited setting. *Int J Qual Health Care* 24(6):641–648
- Alp E, Altun D, Cevahir F, Ersoy S, Cakir O, McLaws ML (2014) Evaluation of the effectiveness of an infection control program in adult intensive care units: A report from a middle-income country. *Am J Infect Control* 42(10):1056–1061
- Dibley MJ, Van Nho V, Archibald L, Jarvis WR, Sohn AH (2007) Reduction in surgical site infections in neurosurgical patients associated with a bedside hand hygiene program in Vietnam. *Infect Control Hosp Epidemiol* 28(5):583–588
- Phan HT, Zingg W, Tran HTT, Dinh APP, Pittet D (2020) Sustained effects of a multimodal campaign aiming at hand hygiene improvement on compliance and healthcare-associated infections in a large gynaecology/obstetrics tertiary-care centre in Vietnam. *Antimicrob Resist Infect Control* 9:1–9
- Saito H, Inoue K, Ditai J, Wanume B, Abeso J, Balyejussa J, et al. 2017. Alcohol-based hand rub and incidence of healthcare associated infections in a rural regional referral and teaching hospital in Uganda ('WardGel' study). *Antimicrobial Resistance and Infection Control*. 6 (1)
- Thi Anh Thu L, Thi Hong Thoa V, Thi Van Trang D, Phuc Tien N, Thuy Van D, Thi Kim Anh L, et al. 2015. Cost-effectiveness of a hand hygiene program on health care-associated infections in

- intensive care patients at a tertiary care hospital in Vietnam. *American Journal of Infection Control*. 43 (12) e93-e9
36. Askarian M, Kouchak F, Palenik CJ (2011) Effect of surgical safety checklists on postoperative morbidity and mortality rates, Shiraz, Faghihy Hospital, a 1-year study. *Qual Manag Health Care* 20(4):293–297
  37. Gama CS, Backman C, Oliveira ACD (2019) Effect of surgical safety checklist on colorectal surgical site infection rates in 2 countries: Brazil and Canada. *Am J Infect Control* 47(9):1112–1117
  38. Abubakar U, Syed Sulaiman SA, Adesiyun AG. 2019. Impact of pharmacist-led antibiotic stewardship interventions on compliance with surgical antibiotic prophylaxis in obstetric and gynecologic surgeries in Nigeria. *PLoS ONE*. 14 (3) e0213395
  39. Aiken AM, Wanyoro AK, Mwangi J, Juma F, Mugoya IK, Scott JA. 2013. Changing use of surgical antibiotic prophylaxis in Thika Hospital, Kenya: a quality improvement intervention with an interrupted time series design. *PLoS ONE*. 8 (11) e78942
  40. Mahmoudi L, Ghouchani M, Mahi-Birjand M, Bananzadeh A, Akbari A (2019) Optimizing compliance with surgical antimicrobial prophylaxis guidelines in patients undergoing gastrointestinal surgery at a referral teaching hospital in southern Iran: clinical and economic impact. *Infect* 12:2437–2444
  41. Ristic S, Miljkovic B, Vezmar S, Stanojevic D (2010) Are local clinical guidelines useful in promoting rational use of antibiotic prophylaxis in caesarean delivery? *Pharm World Sci* 32(2):139–145
  42. Sarang B, Tiwary A, Gadgil A, Roy N (2019) Implementing antimicrobial stewardship to reduce surgical site infections: Experience and challenges from two tertiary-care hospitals in Mumbai. *India J Glob Antimicrob Resist* 20:105–109
  43. Jenkins KJ, Castaneda AR, Cherian KM, Couser CA, Dale EK, Gauvreau K et al (2014) Reducing mortality and infections after congenital heart surgery in the developing world. *Pediatrics* 134(5):e1422–e1430
  44. Juaneda EM, Juaneda I, Azar I, Rodriguez R, Ferrero JP, Bustamante P et al (2018) Quantification of congenital heart disease surgical outcomes 2012–2015: A four-year experience with the international quality improvement collaborative program. *Revista Argentina de Cardiologia* 86(4):244–249
  45. Larrazabal LA, Jenkins KJ, Gauvreau K, Vida VL, Benavidez OJ, Gaitan GA et al (2007) Improvement in congenital heart surgery in a developing country: the guatemalan experience. *Circulation* 116(17):1882–1887
  46. Balachandran R, Kappanayil M, Sen AC, Sudhakar A, Nair SG, Sunil GS et al (2015) Impact of the International Quality Improvement Collaborative on outcomes after congenital heart surgery: a single center experience in a developing economy. *Ann* 18(1):52–57
  47. Khan A, Abdullah A, Ahmad H, Rizvi A, Batool S, Jenkins KJ et al (2017) Impact of International Quality Improvement Collaborative on Congenital Heart Surgery in Pakistan. *Heart* 103(21):1680–1686
  48. de Aguiar-Nascimento JE, Bicudo-Salomao A, Caporossi C, Silva RM, Cardoso EA, Santos TP. 2008. Enhancing surgical recovery in Central-West Brazil: The ACERTO protocol results. *e-SPEN*. 3 (2) e78-e83
  49. Ng-Kamstra JS, Arya S, Greenberg SLM, Kotagal M, Arsenault C, Ljungman D, et al. 2018. Perioperative mortality rates in low-income and middle-income countries: a systematic review and meta-analysis. *BMJ Glob Health*. 3 (3) e000810
  50. Kruk ME, Gage AD, Arsenault C, Jordan K, Leslie HH, Roder-DeWan S et al (2018) High-quality health systems in the Sustainable Development goals era: time for a revolution. *Lancet Glob Health* 6(11):e1196–e1252
  51. Biccard BM, Madiba TE, Kluyts H-L, Munlemvo DM, Madzimbamuto FD, Basenero A et al (2018) Perioperative patient outcomes in the African surgical outcomes study: a 7-day prospective observational cohort study. *The Lancet* 391(10130):1589–1598
  52. Mortality of emergency abdominal surgery in high- (2016) middle- and low-income countries. *Br J Surg* 103(8):971–988
  53. Rickard J, Beilman G, Forrester J, Sawyer R, Stephen A, Weiser TG et al (2020) Surgical Infections in Low- and Middle-Income Countries: A Global Assessment of the Burden and Management Needs. *Surg Infect (Larchmt)* 21(6):478–494
  54. Bhangu A, Ademuyiwa AO, Aguilera ML, Alexander P, Al-Saqqa SW, Borda-Luque G et al (2018) Surgical site infection after gastrointestinal surgery in high-income, middle-income, and low-income countries: a prospective, international, multicentre cohort study. *Lancet Infect Dis* 18(5):516–525
  55. Bergs J, Hellings J, Cleemput I, Zurel Ö, De Troyer V, Van Hiel M et al (2014) Systematic review and meta-analysis of the effect of the world health organization surgical safety checklist on postoperative complications. *Br J Surg* 101(3):150–158
  56. Epiu I, Tindimwebwa JVB, Mijumbi C, Ndarugirire F, Twagirumugabe T, Lugazia ER et al (2015) Working towards safer surgery in Africa; a survey of utilization of the WHO safe surgical checklist at the main referral hospitals in East Africa. *BMC Anesthes* 16(1):1–7
  57. Close KL, Baxter LS, Ravelojaona VA, Rakotoarison HN, Bruno E, Herbert A, et al. 2017. Overcoming challenges in implementing the WHO Surgical Safety Checklist: lessons learnt from using a checklist training course to facilitate rapid scale up in Madagascar. *BMJ glob. 2 (Suppl 4)* e000430
  58. Forrester JA, Koritsanszky LA, Amenu D, Haynes AB, Berry WR, Alemu S, et al. 2018. Developing process maps as a tool for a surgical infection prevention quality improvement initiative in resource-constrained settings. *Journal of the American College of Surgeons*. 226 (6) 1103–16. e3
  59. White MC, Baxter LS, Close KL, Ravelojaona VA, Rakotoarison HN, Bruno E, et al. 2018. Evaluation of a countrywide implementation of the world health organisation surgical safety checklist in Madagascar. *Plos one*. 13 (2) e0191849
  60. Loftus MJ, Guitart C, Tartari E, Stewardson AJ, Amer F, Bellissimo-Rodrigues F et al (2019) Hand hygiene in low-and middle-income countries. *Int J Infect Dis* 86:25–30
  61. Kallam B, Pettitt-Schieber C, Owen M, Asante RA, Darko E, Ramaswamy R (2018) Implementation science in low-resource settings: using the interactive systems framework to improve hand hygiene in a tertiary hospital in Ghana. *Int J Qual Health Care* 30(9):724
  62. Diwan V, Gustafsson C, Rosales Klintz S, Joshi SC, Joshi R, Sharma M, et al. 2016. Understanding healthcare workers self-reported practices, knowledge and attitude about hand hygiene in a medical setting in rural India. *PLoS One*. 11 (10) e0163347
  63. Zingg W, Holmes A, Dettenkofer M, Goetting T, Secci F, Clack L et al (2015) Hospital organisation, management, and structure for prevention of health-care-associated infection: a systematic review and expert consensus. *Lancet Infect Dis* 15(2):212–224
  64. Pfäfflin F, Tufa TB, Getachew M, Nigusie T, Schönfeld A, Häussinger D et al (2017) Implementation of the WHO multimodal hand hygiene improvement strategy in a university hospital in central ethiopia. *Antimicrob Resist Infect Control* 6(1):3
  65. Schmitz K, Kempker RR, Tenna A, Stenehjem E, Abebe E, Tadesse L et al (2014) Effectiveness of a multimodal hand hygiene campaign and obstacles to success in addis ababa, ethiopia. *Antimicrob Resist Infect Control* 3(1):8
  66. Allegranzi B, Gayet-Ageron A, Damani N, Bengaly L, McLaws M-L, Moro M-L et al (2013) Global implementation of WHO's

- multimodal strategy for improvement of hand hygiene: a quasi-experimental study. *Lancet Infect Dis* 13(10):843–851
67. Cooper L, Sneddon J, Afriyie DK, Sefah IA, Kurdi A, Godman B, et al. 2020. Supporting global antimicrobial stewardship: antibiotic prophylaxis for the prevention of surgical site infection in low-and middle-income countries (LMICs): a scoping review and meta-analysis. *JAC-Antimicrobial Resistance*. 2 (3) dlaa070
68. Waseem H, Ali J, Sarwar F, Khan A, Rehman HSU, Choudri M et al (2019) Assessment of knowledge and attitude trends towards antimicrobial resistance (AMR) among the community members, pharmacists/pharmacy owners and physicians in district Sialkot. *Pakistan Antimicrobial Resistance & Infection Control* 8(1):1–7
69. Gebretekle GB, Haile Mariam D, Abebe W, Amogne W, Tenna A, Fenta TG, et al. Opportunities and barriers to implementing antibiotic stewardship in low and middle-income countries: Lessons from a mixed-methods study in a tertiary care hospital in Ethiopia. *PLoS one*. 2018;13(12):e0208447.

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.