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Preoperative Sarcopenia Negatively Impacts Postoperative Outcomes Following Major Hepatectomy with Extrahepatic Bile Duct Resection

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Abstract

Background Major hepatectomy with extrahepatic bile duct resection for perihilar cholangiocarcinoma remains a highly morbid procedure. The association between preoperative sarcopenia and postoperative morbidity/mortality has been reported for various types of surgeries. The objective was to analyze the relationship between preoperative sarcopenia and postoperative morbidity/mortality in patients who underwent major hepatectomy with extrahepatic bile duct resection.

Methods This study included 256 patients who underwent major hepatectomy with extrahepatic bile duct resection from 2008 to 2014. Preoperative sarcopenia was assessed by a measurement of the total psoas muscle area (TPA). The measured TPA was normalized by height. Preoperative sarcopenia was defined as the presence of a normalized TPA in the lowest sex-specific tertile.

Results A total of 54 males and 31 females were determined to have preoperative sarcopenia. The length of the postoperative hospital stay for patients with sarcopenia was significantly longer than for those without sarcopenia (39 vs 30 days, p < 0.001). Patients with sarcopenia experienced a significantly higher rate of liver failure (ISGLS grade \geq B) (33 vs 16 %), major complications with Clavien grade \geq 3 (54 vs 37 %), and intra-abdominal abscess (29 vs 18 %) than those without sarcopenia (all p < 0.05). After a multivariate analysis, low normalized TPA (male <567 mm²/m²; female <395 mm²/m²) was identified as an independent risk factor for the development of liver failure (odds ratio 2.46).

Conclusions This study demonstrated that preoperative sarcopenia increased the morbidity rate including the rate of liver failure, in patients who underwent major hepatectomy with extrahepatic bile duct resection.

Introduction

As a result of advancements in the perioperative management of patients and in surgical techniques, liver surgery has become a relatively safe procedure. However, major

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Division of Surgical Oncology, Department of Surgery, Nagoya University Graduate School of Medicine, 65 Tsurumai-cho, Showa-ku, Nagoya 466-8550, Japan e-mail: nagino@med.nagoya-u.ac.jp hepatectomy with extrahepatic bile duct resection for perihilar cholangiocarcinoma remains a challenging procedure that is associated with a high rate of postoperative liver failure and infectious complications [1, 2].

In recent years, the association between preoperative sarcopenia and postoperative morbidity/mortality has been reported in different types of surgeries such as liver transplantation [3, 4], resection of pancreatic cancer [5], cystectomy [6], and hepatectomy [7, 8]. With respect to liver surgery, the impact of sarcopenia on the long-term outcome after hepatectomy for hepatocellular carcinoma [8] and colorectal liver metastasis [9] or after liver transplantation [4] has been reported. However, few reports have investigated the impact of sarcopenia on the shortterm outcome after major hepatectomy [10]. Moreover, no published reports have investigated the effects of sarcopenia in patients who underwent a major hepatectomy with extrahepatic bile duct resection, which differs from a simple hepatectomy in terms of surgical insult.

In this study, after a measurement of the total psoas muscle area (TPA) on preoperative computed tomography scans, we retrospectively analyzed the relationship between preoperative sarcopenia and postoperative morbidity/mortality including liver failure, in patients who underwent major hepatectomy with extrahepatic bile duct resection at a single institution.

Patients and methods

Patients

This study included 256 consecutive patients who underwent major hepatectomy with extrahepatic bile duct resection from December 2008 to February 2014 in the Department of Surgery, Nagoya University Hospital in Nagoya, Japan. Patients who underwent hepato-pancreaticoduodenectomy were excluded. All patients underwent a preoperative abdominal/pelvic computed tomography scan within 30 days prior to surgery. When the patients had jaundice, an appropriate biliary drainage, either by endoscopic nasobiliary drainage (ENBD), endoscopic biliary stent (EBS), or percutaneous transhepatic biliary drainage (PTBD), was performed.

Recording of clinical data and postoperative complications

In this study, major hepatectomy included a resection of three or more Couinaud segments. In addition to the major hepatectomy, all patients underwent a caudate lobectomy en bloc. Preoperative and postoperative clinical data including information on demographics, type of treated diseases, type of biliary drainage, indocyanine green plasma disappearance rate (ICG-K) and retention value at 15 min (ICGR₁₅), preoperative portal vein embolization (PVE), presence of preoperative cholangitis, extent of liver resection, type of surgical procedure, and data from laboratory tests were collected. The prognostic nutritional index (PNI), as an indicator of nutritional status, was assessed using the following equation as described previously [11]: $PNI = 10 \times serum$ albumin $[mg/dl] + 0.005 \times total$ lymphocyte count. Postoperative complications were scored using the Clavien–Dindo classification [12]. A major complication was defined as a complication with a Clavien grade \geq 3. Liver failure and bile leakage were defined according to the definition of the International Study Group of Liver Surgery [13, 14]. Postoperative pancreatic fistula was defined according to the definition of the International Study Group of Pancreatic Fistula [15]. Postoperative infectious complications including pneumonia, surgical site infections (SSIs), and bacteremia as detected by the culture method, were also recorded. SSIs included superficial/deep incisional infections and organ/ space infections.

Image analysis and definition of sarcopenia

Preoperative sarcopenia was assessed by the measurement of the cross-sectional areas of the right and left psoas muscles (total psoas muscle area = TPA) using preoperative abdominal/pelvic computed tomography images. TPA was measured at the level of the third lumbar vertebra on the first image where both vertebral spines are visible. The border of the psoas muscle was manually outlined, and the TPA was automatically calculated. The measured TPA was normalized by height using the following equation as previously described; normalized TPA $[mm^2/m^2] =$ measured TPA $[mm^2]$ /height $[m]^2$ [16, 17]. Patients were stratified separately by tertiles according to the normalized TPA for males and females [3, 5, 18]. Preoperative sarcopenia was defined as the presence of a normalized TPA in the lowest sex-specific tertile.

Statistical analysis

The data were analyzed using Dr. SPSS II version 11.01 J (SPSS Inc., Chicago, IL, USA). Data were expressed as the mean \pm standard deviation (SD) for continuous variables. Continuous data were compared between two groups using Student's t test. When data were not normally distributed, a univariate analysis with a nonparametric test was used. Categorical data were compared using the χ^2 test. The impact of preoperative sarcopenia on postoperative morbidity and mortality was examined with univariate analyses. Preoperative and intraoperative risk factors for liver failure were explored with multivariate logistic regression analysis. The variables included age, gender, BMI, extent of liver resection, ICG-R15, preoperative cholangitis, normalized TPA, operation time, and blood loss. The optimal cut-off points of preoperative and intraoperative continuous data in the prediction of liver failure were determined using receiver operating characteristic (ROC) curve analysis, and the values were then used in the analysis of risk factors for liver failure. Additionally, preoperative and intraoperative risk factors for liver failure were also explored with multivariate

Table 1 Preoperative characteristics

	Non-sarcopenia ($n = 171$)	Sarcopenia ($n = 85$)	p value
Normalized TPA (mm ² /m ²)			
Male	661 ± 107	461 ± 58	
Female	470 ± 80	322 ± 36	
Age (years)	67 ± 10	69 ± 9	0.133
Sex, male/female	108/63	54/31	0.954
Body weight (kg)	56.9 ± 10.0	53.7 ± 9.4	0.014
BMI (kg/m ²)	22.0 ± 2.7	20.5 ± 2.3	< 0.001
Treated diseases, n (%)			
Bile duct cancer	141 (83)	77 (91)	
Gallbladder carcinoma	16 (9)	1 (1)	
Other malignant diseases	5 (3)	1 (1)	
Benign diseases	9 (5)	6 (7)	
Biliary drainage, n (%)	151 (88)	80 (94)	0.181
ICG-K (%)	0.161 ± 0.032	0.160 ± 0.032	0.916
ICG-R15 (%)	10.0 ± 4.9	10.1 ± 4.7	0.779
Proportion of the future liver remnant (%)	52.7 ± 14.3	51.2 ± 15.5	0.438
PT-INR	1.01 ± 0.11	1.03 ± 0.08	0.307
Serum total bilirubin [mg/dl]	0.82 ± 0.4	0.89 ± 0.4	0.220
Serum albumin [g/dl]	3.8 ± 0.5	3.6 ± 0.6	0.054
Total lymphocyte count [mm ³]	$1,563 \pm 620$	$1,441 \pm 544$	0.124
PNI	45.8 ± 5.7	43.8 ± 6.5	0.010
Preoperative PVE, n (%)	105 (61)	58 (68)	0.284
Preoperative cholangitis, n (%)	52 (30)	25 (29)	0.870

TPA total psoas area, BMI body mass index, ICG-K plasma disappearance rate of indocyanine green, ICG-R15 indocyanine green retention rate at 15 min, PT-INR prothrombin time international normalized ratio, PNI prognostic nutritional index, PVE portal vein embolization

logistic regression analysis. A p value <0.05 was considered statistically significant.

Results

Patient characteristics

The mean age of the study patients was 67 years (range 34–85 years), and 162 patients (63 %) were male. The mean body mass index (BMI) was 21.5 (range 15.1–30.8). The most frequently treated disease was bile duct cancers (n = 218, 85 %) including perihilar cholangiocarcinoma (n = 194, 76 %) and intrahepatic cholangiocarcinoma (n = 24, 9 %). Other diseases included gallbladder carcinoma (n = 17, 7 %), other malignant diseases (n = 6, 2 %), and benign diseases (n = 15, 6 %). Other malignant diseases included hepatocellular carcinoma (n = 1). Benign diseases included intrahepatic stone (n = 6), IgG4-related sclerosing cholangitis (n = 3), and bile duct stenosis after cholecystectomy (n = 3). 231

(90 %) patients underwent preoperative biliary drainage including ENBD (n = 185, 72 %), EBS (n = 3, 1 %), and PTBD (n = 45, 18 %). The mean normalized TPA in male patients was 594 mm²/m², whereas the mean normalized TPA in female patients was 422 mm²/m² (p < 0.001). Male patients with a normalized TPA less than 536 mm²/m² (n = 54) and female patients with a normalized TPA less than 378 mm²/m² (n = 31) were included in the lowest tertile and were determined to have preoperative sarcopenia.

Sarcopenia and preoperative characteristics

The average normalized TPA of the patients without sarcopenia was 590 mm²/m², whereas that of the patients with sarcopenia was 410 mm²/m². Patients with sarcopenia had a significantly lower body weight, BMI, and PNI than those without sarcopenia. There were no differences between the two groups with respect to age, the type of treated diseases, preoperative liver function, the extent of liver resection, the proportion of patients who underwent preoperative PVE, or the presence of preoperative cholangitis (Table 1).

Table 2 Intraoperative characteristics

	Non-sarcopenia ($n = 171$)	Sarcopenia ($n = 85$)	p value
Type of hepatectomy, n (%)			0.650
Right hepatectomy +S1	56 (33)	21 (25)	
Left hepatectomy +S1	52 (30)	25 (29)	
Right trisectionectomy +S1	17 (10)	10 (11)	
Left trisectionectomy +S1	44 (26)	28 (33)	
Others	2 (1)	1 (1)	
Combined vascular resection			
Portal vein alone, n (%)	49 (29)	28 (33)	0.481
Hepatic artery alone, n (%)	26 (15)	16 (19)	0.462
Portal vein and hepatic artery, n (%)	18 (11)	11 (13)	0.566
Total vascular occlusion time (min)	78 ± 30	78 ± 33	0.976
Operation time (min)	561 ± 110	592 ± 113	0.038
Blood loss (ml)	$1,386 \pm 1,059$	$1,563 \pm 1,403$	0.263

S1, caudate lobectomy

Sarcopenia and intraoperative characteristics

With regard to the intraoperative characteristics, the average operation time was significantly longer in patients with sarcopenia than in those without sarcopenia (Table 2). Other intraoperative variables including the surgical procedure, combined vascular resection, the total vascular occlusion time, and intraoperative blood loss were not different between the two groups.

Sarcopenia and postoperative outcomes

The postoperative hospital stay length among patients with sarcopenia was significantly longer than among patients without sarcopenia (39 vs 30 days, p < 0.001) (Table 3). Patients with sarcopenia revealed a significantly higher rate of liver failure (ISGLS grade \geq B) (33 vs 16 %, p = 0.003), major complications with Clavien grade \geq 3 (54 vs 37 %, p = 0.011), and intra-abdominal abscess (29 vs 18 %, p = 0.040) than those without sarcopenia.

Risk factors for liver failure

Post-hepatectomy liver failure is a major complication after major hepatectomy with extrahepatic bile duct resection. Therefore, in the next step, preoperative and intraoperative risk factors that may be associated with the development of liver failure were evaluated (Table 4). The optimal cut-off points of continuous data in the prediction of liver failure were determined using ROC curve analysis. Univariate analysis indicated that the extent of liver resection (>50 %), ICG-R15 (>9 %), preoperative PVE, preoperative cholangitis, normalized TPA (male < 567 mm²/m²; female < 395 mm²/m²), operation time (>600 min), and intraoperative blood loss (>1,200 ml) were associated with the development of liver failure. After a multivariate analysis, with logistic regression analysis, the extent of liver resection (p = 0.003), normalized TPA (p = 0.012), operation time (p = 0.005), and intraoperative blood loss (p < 0.001) were identified as independent risk factors of liver failure. The odds ratio of low normalized TPA (male < 567 mm²/m²; female < 395 mm²/m²) was 2.44 with 95 % confidence intervals (1.20–4.99).

Discussion

The different types of hepatectomies include various extents of invasion that range from a minimum hepatic parenchymal resection to a systematic major hepatectomy. In the treatment for most of perihilar biliary malignancies, major hepatectomies with extrahepatic bile duct resection are essential procedures. In addition, either a combined portal vein resection or a hepatic artery resection is required in highly advanced cases. Therefore, a major hepatectomy with extrahepatic bile duct resection for biliary malignancies is obviously a more invasive procedure than a simple hepatectomy, which requires only a parenchymal dissection. In spite of advancements in the perioperative management of patients and in surgical techniques, the morbidity and mortality rates after major hepatectomies with extrahepatic bile duct resection remain high (morbidity rate, 44 %; mortality rate, 3 %) (1). The rate of postoperative liver failure (grade > B) has also been reported to be high (38 %) [1]. In this procedure, preoperative patient management may be improved to

	Non-sarcopenia ($n = 171$)	Sarcopenia $(n = 85)$	p value
Postoperative hospital stay (days)	30 ± 18	39 ± 28	< 0.001
Maximum AST (IU/L)	710 ± 563	792 ± 669	0.301
Maximum ALT (IU/L)	561 ± 457	618 ± 531	0.307
Maximum PT-INR	1.80 ± 0.45	1.85 ± 0.58	0.477
Maximum serum total bilirubin (mg/dl)	4.18 ± 5.59	5.12 ± 6.28	0.223
Liver failure (ISGLS grade \geq B), <i>n</i> (%)	28 (16)	28 (33)	0.003
Major complications (Clavien grade \geq 3), <i>n</i> (%)	64 (37)	46 (54)	0.011
Bile leakage (ISGLS grade \geq B), <i>n</i> (%)	42 (25)	25 (29)	0.406
Pancreatic fistula (ISGPF grade \geq B), <i>n</i> (%)	15 (9)	12 (14)	0.190
Infectious complications, n (%)	62 (36)	36 (42)	0.345
Intra-abdominal abscess, n (%)	31 (18)	25 (29)	0.040
Incisional SSI, n (%)	17 (10)	9 (11)	0.872
Organ/space SSI, n (%)	40 (23)	29 (34)	0.069
Sepsis	9 (5)	9 (11)	0.117
Mortality, n (%)	5 (3)	3 (4)	0.793

Table 3 Postoperative outcomes

AST aspartate aminotransferase, ALT alanine aminotransferase, PT-INR prothrombin time international normalized ratio, ISGLS International Study Group of Liver Surgery, ISGPF International Study Group of Pancreatic Fistula, SSI surgical site infection

minimize the postoperative complications. Therefore, in this study, we focused on the preoperative sarcopenia that may potentially be improved by a collaboration of patients and medical staff such as nutritionists and physical therapists, among others. According to the findings of this study, normalization of the sarcopenia status is recommended to minimize postoperative complications, including liver failure, following major hepatectomies with extrahepatic bile duct resection.

In the present study, preoperative sarcopenia was defined as the presence of normalized TPA in the lowest sex-specific tertile. The definition of preoperative sarcopenia differs depending on study [3, 5, 6, 8–10, 19, 20]. According to The European Working Group on Sarcopenia in Older People (EWGSOP), the evaluation of the presence of both low muscle mass and muscle function is recommended for a diagnosis of sarcopenia [21]. The definition of sarcopenia used in this study also included the conceptual staging of pre-sarcopenia, as suggested by EWGSOP. Although EWGSOP also suggests the use of handgrip strength to evaluate muscle strength, most of the present study was investigated retrospectively; therefore, the evaluation of muscle function was difficult.

In the present study, patients with sarcopenia had a lower PNI than those without sarcopenia. This result may reflect the malnutrition status in patients with preoperative sarcopenia, which may have negative impact on postoperative recovery including tissue repair [22] and immunological responses [23]. This hypothesis may partly explain the mechanisms of poor postoperative outcome including the incidence rate of liver failure and intra-abdominal abscess in patients with sarcopenia. EWGSOP and several studies have proposed that supplementation with amino acids, vitamin D, testosterone, and growth hormone has the potential to improve muscle mass and function. Among the amino acids, branched chain amino acids (BCAA) have been reported to be associated with muscle mass [24]. The plasma BCAA to tyrosine ratio has been reported to be significantly correlated with preoperative skeletal muscle mass [4]. Kaido et al. reported that perioperative nutritional therapy with BCAA and synbiotics significantly improved overall survival after liver transplantation in patients with sarcopenia [4]. In this regard, the perioperative administration of BCAA may also improve the short-term outcome after highly invasive surgery such as hepatectomy with extrahepatic bile duct resection.

Despite similar surgical invasiveness between the two groups, e.g., combined vascular resection and total vascular occlusion time, the operation time was likely longer in patients with sarcopenia. Moreover, the average intraoperative blood loss tended to be higher in patients with sarcopenia than in patients without sarcopenia, although this difference was not significant. It was hypothesized that patients with sarcopenia may have an impaired coagulability that may be due to a deteriorated ability to synthesize coagulation factors. To confirm this hypothesis, further prospective data collection with an evaluation of preoperative coagulability tests is necessary.

After a multivariate analysis, the extent of liver resection, low normalized TPA, long operation time, and

Table 4 Preoperative and intraoperative risk factors associated with liver failure (ISGLS grade $\geq B$)

Variables	No. of patients	Univariate		Multivariate	
		Odds ratio (95 % CI)	p value	Odds ratio (95 % CI)	p value
Age (years)					
<u>≤</u> 67	111	1.00	0.317		
>67	145	1.36 (0.74–2.50)			
Gender					
Female	94	1.00	0.152		
Male	162	1.60 (0.84-3.05)			
BMI (kg/m ²)					
≤21	121	1.00	0.455		
>21	135	1.29 (0.76-2.19)			
Extent of liver resection (%)					
<u>≤</u> 50	119	1.00	0.003	1.00	0.003
>50	137	2.59 (1.36-4.93)		3.09 (1.48-6.44)	
ICG-R15 (%)					
<u>≤</u> 9	124	1.00	0.029		
>9	128	2.00 (1.07-3.74)			
Preoperative cholangitis					
No	179	1.00	0.007		
Yes	77	2.30 (1.24-4.24)			
Normalized TPA (mm ² /m ²)					
≥567 (Male), 395 (Female)	148	1.00	0.002	1.00	0.012
<567 (Male), 395 (Female)	108	2.63 (1.43-4.83)		2.46 (1.21-4.97)	
Operation time (min)					
≤600	162	1.00	< 0.001	1.00	0.005
>600	94	4.89 (2.90-9.20)		2.77 (1.35-5.68)	
Blood loss (ml)					
≤1,200	132	1.00	< 0.001	1.00	< 0.001
>1,200	124	9.79 (4.39-21.8)		7.34 (3.11–17.28)	

BMI body mass index, PVE portal vein embolization, TPA total psoas muscle area

excessive blood loss were identified as independent risk factors for the development of liver failure. The extent of the liver resection, the operation time, and intraoperative blood loss are variables that are dependent on surgical invasiveness; in other words, they are determined by tumor aggressiveness. Therefore, among the four independent risk factors of liver failure which are identified in this study, only preoperative sarcopenia can be improved by a comprehensive preoperative patient management. Preoperative nutrition therapy [4] as well as preoperative rehabilitation (i.e., prehabilitation) [25, 26] should be incorporated into future preoperative patient management plans. These results indicated that the low normalized TPA, likewise the extent of hepatectomy, is an important risk factor in predicting postoperative liver failure. In addition to the incidence of liver failure, low normalized TPA (cut-off values determined by ROC curve analysis; male < 580 mm²/m², female < 396 mm²/m²) was also identified as an independent risk factor for postoperative morbidity with Clavien grade \geq 3 by multivariate analysis (the odds ratio 1.78; 95 % confidence intervals 1.07–2.98; p = 0.028) (table not shown).

In conclusion, this study demonstrated that preoperative sarcopenia increased morbidity rate, including that of liver failure, after major hepatectomy with extrahepatic bile duct resection. Among the risk factors of liver failure that were identified in this study, only sarcopenia can be improved by preoperative patient management. It seems that preoperative nutritional therapy and rehabilitation are essential to improve the short-term outcome following highly invasive surgery such as major hepatectomy with extrahepatic bile duct resection.

Conflict of interest None.

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