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# Effect of the percutaneous pedicle screw fixation at the fractured vertebra on the treatment of thoracolumbar fractures

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#### Abstract

*Purpose* The purpose of this study was to evaluate the efficacy and safety of percutaneous pedicle screw fixation at the fractured vertebra in the treatment of thoracolumbar fractures. *Methods* Thirty-two consecutive patients were enrolled in the study. All patients received percutaneous pedicle screw fixation, and they were randomly divided into two groups to undergo either the placement of pedicle screws into the fractured vertebra (fractured group) or not (control group). The operation time and intra-operative blood loss were recorded. Oswestry disability index (ODI) questionnaire and visual analogue scale (VAS) as clinical assessments were quantified. Radiographic follow-up was defined by the vertebral body index (VBI), anterior vertebral body height (AVBH), and Cobb angle (CA).

*Results* No significant difference was observed in the operation time and intra-operative blood loss between the two groups. Clinical results (VAS and ODI scores) showed no significant difference during all the follow-up periods. In the fractured group, there were better correction and less loss of AVBH and VBI compared with the control group. However, post-operative correction of the CA immediately after surgery and the correction loss at the final follow-up showed no significant difference between the two groups.

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<sup>2</sup> Department of Ultrasonography, Liaocheng People's Hospital, Liaocheng, SP 252000, China *Conclusion* Percutaneous screw fixation combined with intermediate screws at the fractured vertebra could more effectively restore and maintain fractured vertebral height, and is an acceptable, minimally invasive surgical choice for patients with type A thoracolumbar fractures.

**Keywords** Fracture level · Minimally invasive spinal surgery · Percutaneous pedicle screw fixation · Thoracolumbar fracture

## Introduction

The thoracolumbar (TL) region of the spine ranges by definition from T11 to L2 inclusively, and nearly 60–70 % of all traumatic spinal fractures occur in this region [1, 2]. The management of thoracolumbar fractures remains controversial, especially in patients without neurological deficit. Although nonoperative treatment has shown promising results, clinical research has demonstrated that surgical treatment provides better fracture reduction and long-term clinical outcomes [3]. Posterior short-segment pedicle instrumentation is most widely used for thoracolumbar fractures around the world because of its three-column fixation [4, 5]. However, traditional open approach can lead to persisting sequelae, such as muscular denervation, atrophy, and pain, mainly owing to extensive paraspinal muscles stripping [6, 7].

Recently, minimally invasive percutaneous pedicle screw fixation has been introduced as an alternative approach for the treatment of thoracolumbar fractures, aiming to minimize soft tissue injury and peri-operative morbidity. Some authors [8–10] have proved that percutaneous pedicle screw fixation has some advantages, such as preservation of posterior musculature, less blood loss, shorter operative

time, lower infection risk, less post-operative pain, shorter rehabilitation time as well as shorter hospital stay.

In most thoracolumbar fractures, the posterior column of the fractured vertebra remains intact and provides additional points of fixation. Since Dick performed biomechanical studies of pedicle screw fixation in fractured vertebrae in 1994, the technique has evolved, and it has been shown that pedicle screw fixation can achieve stronger fixation and less loss of reduction compared to conventional 4-screw fixation [11–13]. A series of recent biomechanical studies [14–16] confirmed that the addition of intermediate screws could significantly improve the stability of short-segment pedicle screw fixation and reduce the stress on each pedicle screw. To our knowledge, there were few randomized controlled clinical trials to compare the advantage of intermediate screw at the fractured vertebrae in percutaneous screw fixation.

The purpose of this prospective clinical trial was to evaluate the efficacy and safety of percutaneous pedicle screw fixation at the fractured vertebra in treatment of thoracolumbar fractures.

## Material and methods

#### **Patient population**

This prospective randomized controlled study was conducted between June 2012 and June 2014 in the Department of Spinal Surgery, Liaocheng People's Hospital, Shandong province, China. In this study, we enrolled 32 patients, who met the following inclusion criteria: age 18–60 years, single-level traumatic fracture of thoracolumbar region (T11-L2), AO type A and absence of neurological deficit, no fracture dislocations, and time to operation after trauma being less than one week. The exclusion criteria was as follow: pathologic or osteoporotic fracture, AO type A4, pregnancy, bilateral pedicle fracture, major fractures of other sites, comorbid injuries involving other major organ systems, or a history of previous spinal surgery.

The study was approved by the Ethics Committee of Liaocheng People's Hospital and all patients provided written informed consent. Patients were randomly divided into two groups on the basis of the admission date to undergo either the placement of pedicle screws into the fractured vertebra (fractured group) or not (control group).

### Surgical procedures

The surgery was performed as described by Wang [17].

All procedures were carried out under controlled general anesthesia with endotracheal intubation. Following induction of general anesthesia, each patient was positioned prone on a radiolucent operating table for spine surgery with chest, abdomen, and pelvis supported by gel pads to allow the spine in a hyperextension position and perform postural reduction. Fluoroscopic images were obtained in the anteposterior (AP) and lateral planes to ensure that the pedicles could be adequately visualized. Landmarks for pedicle access were drawn on the skin. Entry points were chosen for the pedicles that were to be fitted with instrumentation. An approximately 10-20 mm incision was made at the skin entry point and extended into the underlying subcutaneous tissue. A guide wire was used to perforate the fascia, and a series of sequential dilators were used to dilate the fascia and separate the underlying paraspinal muscles down to the spine. The dilators were removed, and a pedicle pilot hole was made under C-arm fluoroscopic guidance using a tracked awl and a pedicle probe. The chosen pedicles were tapped, and then the appropriate screws were inserted. The axial angulation of the instrument was adjusted until the PAK needle was visualized within the boundaries of the pedicle on the AP view, but lateral to the medial pedicle wall. Bilateral pedicle screws were inserted into the pedicles above and below the fracture. Unilateral or bilateral pedicle screws were placed according to the integrity of the pedicle in the fractured vertebra. After the pedicle screws attached with extenders were inserted, a rod was sited using the special placement system. All steps of pedicle fixation were performed under C-arm fluoroscopic image guidance. A post-operative plain film was obtained to determine satisfactory fixation. The same group of doctors performed all operations. We utilized only one instrumentation technique without grafting fusion (Fig. 1).

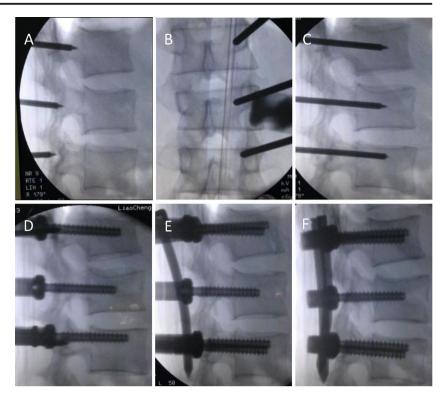
## **Post-operative managements**

Patients were routinely administered prophylactic antibiotics for 24 hours and encouraged to start out-of-bed activities with braces within one week post-operatively. However, excessive and heavy activities were forbidden for six months. All patients received mechanical thomboprophylaxis. The implant was routinely removed one to two years post-operatively to avoid the complication such as loose and breakage of pedicle screws. Following discharge from the hospital, all patients were clinically and radiologically assessed in the orthopaedic outpatient clinic every three months.

#### **Observation index**

The operation time and intra-operative blood loss were recorded. Functional outcome was assessed by Oswestry disability index (ODI) questionnaire. Back pain was quantified using a visual analogue scale (VAS). Radiographic evaluation consisted of anterior vertebral body height (AVBH), sagittal Cobb's angle (CA), and vertebral body index (VBI). The AVBH of the injured vertebra and the

Fig. 1 Intra-operative fluoroscopic image of the surgical procedure. (a) Lateral C-arm fluoroscopic image showing the needle reaching the boundaries of the pedicle. (b) Anterior-posterior C-arm fluoroscopic image showing the needle reaching the medial pedicle wall. (c) Insertion of the needle into the vertebra. (d) Insertion of the pedicle screws along the needle. (e) Image of inserting the rod. (f) Intra-operative fluoroscopic image confirming the correct placement of the percutaneous pedicle screw and rod



normal vertebrae above and below the injured vertebra was measured. The percentage of AVBH was calculated as described [18]. The CA was measured between the superior endplate of the upper and the inferior endplate of the lower vertebrae. The VBI was assessed by the relation between the anterior and posterior wall height of the fractured vertebra. All the data were reviewed by an independent observer with no involvement in their treatment.

#### Statistical analyses

Statistical analyses were performed using the SPSS statistical package, Windows V17.0 (SPSS, Chicago, IL, U.S.A.). The two-sample *t* test, Chi square test, and ANOVA analysis were used for data analyses. Data were presented as the mean  $\pm$  standard deviation (SD). For all analyses, a *P* value <0.05 was considered statistically significant.

#### Results

The study consisted of 32 consecutive patients, 26 male and six female, with a mean age of 37.7 years (range, 23–55 years). After randomization, the fractured group and control group included 16 patients respectively. Baseline demographic, fracture site and type, and cause of injury of patients in both groups are shown in Table 1. No significant differences were observed between the two groups. The injured vertebrae segments were T12 in three patients, L1 in 22 patients, and L2 in

seven patients. Injuries were due to falling from a high height in 16 patients, traffic accidents in 15 patients, and struck by a heavy object in one patient.

## Operation time and intra-operative blood loss

The operation time in the control and fractured groups was  $54.3 \pm 10.7$  and  $62.4 \pm 11.8$  min, and intra-operative blood

Table 1 Baseline demographics of the patient cohort

Parameter	Control group	Fractured group	
Number of patients	16	16	
Age, years	36.6±8.6 (23–55)	38.0±8.2 (24–51)	
Gender, males/females	13/3	13/3	
Mechanism of fracture			
Traffic accident	7	8	
Fall from height	8	8	
other	1	0	
Fracture level			
T12	2	1	
L1	10	12	
L2	4	3	
Fracture type			
Type A1	5	4	
Type A2	2	3	
Type A3	9	9	

 Table 2
 The differences of operation time and intra-operative blood loss

Group Operation time (min)		Intra-operative blood loss (ml)		
Control	$54.3 \pm 10.7$	46.1±9.0		
Fractured	$62.4 \pm 11.8$	$50.7 \pm 12.0$		
P value	>0.05	>0.05		

losses were  $46.1 \pm 9.0$  and  $50.7 \pm 12.0$  ml, respectively. There was no significant difference of the operation time and intraoperative blood losses between the two groups (P > 0.05) (Table 2).

### **Clinical outcomes**

Pre-operatively, VAS scores were  $6.4 \pm 1.8$  and  $6.9 \pm 1.9$  points in the control and fractured groups respectively, and these were significantly decreased in both groups during the post-operative period (P < 0.05). However, there was no significant difference of VAS score at the follow-up periods between the two groups (P > 0.05) (Table 3).

At one week, six months, and one year after surgery, ODI scores in both groups were more significantly improved than those before surgery (P < 0.05). However, no significant difference was observed between the control and fractured groups, which showed a similar change with VAS scores (Table 4).

## **Radiologic outcomes**

Significant improvement from baseline in the sagittal Cobb's angle (CA), vertebrae body index (VBI), and anterior vertebrae body height (AVBH) of the fractured vertebrae was observed in the control and fractured groups (P < 0.05). In the fractured group, the AVBH and VBI were significantly more improved than those of the control group at one week, six months, and one year after surgery (P < 0.05), whereas there was no significant difference of CA between both groups post-operatively (P > 0.05). During the follow-ups of the six months and one year after surgery, the correction losses of the AVBH of the fractured vertebra in the control and fractured groups were

Table 3 The change of VAS in the pre- and post-operative period

Group	Preop	1 w postop	6 m postop	1 y postop
Control Fractured	$6.4 \pm 1.8$ $6.9 \pm 1.9$	$2.4 \pm 0.8$ $2.7 \pm 0.9$	$1.1 \pm 0.7$ $1.2 \pm 0.8$	$0.9 \pm 0.6$ $1.0 \pm 0.5$
P value	>0.05	>0.05	>0.05	>0.05

**Table 4** The change of ODI in the pre- and post-operative period

Group	Preop	1 w postop	6 m postop	1 y postop
Control	$77.8\pm8.4$	$25.1 \pm 6.2$	$11.3 \pm 5.3$	$9.4\!\pm\!4.6$
Fractured	$81.1\pm10.6$	$26.3\pm7.1$	$12.1\pm6.0$	$10.0\pm4.7$
P value	>0.05	>0.05	>0.05	>0.05

7.1±1.5 and 8.5±1.5; 5.5±1.5 and 6.2±1.5, and those of VBI were 6.1±1.8 and 6.9±1.9, and 2.5±1.3 and 3.2±1.4, respectively, which showed significant difference of the AVBH and VBI between both groups (P < 0.05). However, the correction losses of the CA were 2.7±1.2 and 2.9±1.2 in the control group; 2.3±1.5 and 2.6±1.5 in the fractured group respectively, and there were no significant differences of correction loss (P > 0.05) (Tables 5 and 6).

#### Surgery-related complication

Only one screw breakage was observed at the one year follow up in the control group. The patient was treated conservatively until the implant was removed. However, he showed a favourable outcome at the last follow-up. There was no nonunion and post-operative infection developed in both groups (Figs. 2 and 3).

## Discussion

Minimally percutaneous methods of spinal fixation have been developed to avoid the soft tissue damage that comes with

Table 5the pre- and post-operative CA, VBI, and AVBH

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Variable	Control	Fractured	P value
CA (°)			
Preop	$16.1\pm5.1$	$15.6 \pm 4.0$	>0.05
1 w postop	$3.6\pm1.4$	$3.0\pm1.2$	>0.05
6 m postop	$6.3\pm2.0$	$5.2 \pm 2.1$	>0.05
1 y postop	$6.4\pm1.9$	$5.5 \pm 2.1$	>0.05
VBI (%)			
Preop	$60.3\pm10.6$	$61.8 \pm 9.4$	>0.05
1 w postop	$85.7\pm8.3$	$91.4 \pm 8.9$	< 0.05
6 m postop	$79.6 \pm 8.3$	$89.0\pm8.2$	< 0.05
1 y postop	$79.1\pm8.3$	$87.9 \pm 8.2$	< 0.05
AVBH (%)			
Preop	$55.9 \pm 11.4$	$55.1 \pm 12.2$	>0.05
1 w postop	$83.5\pm9.0$	$89.9\pm7.7$	< 0.05
6 m postop	$77.6\pm8.5$	$85.0\pm8.1$	< 0.05
1 y postop	$76.4\pm8.3$	$84.4\pm8.0$	< 0.05

**Table 6**The correction loss ofAVBH, VBI, and CA

Group	6 m postop			1 y postop		
	AVBH (%)	VBI (%)	CA (°)	AVBH (%)	VBI (%)	CA (°)
Control	$7.1 \pm 1.5$	$6.1\pm1.8$	$2.7 \pm 1.2$	$8.5\pm1.5$	$6.9 \pm 1.9$	$2.9 \pm 1.2$
Fractured	$5.5 \pm 1.5$	$2.5\pm1.3$	$2.3\pm1.5$	$6.2 \pm 1.5$	$3.2 \pm 1.4$	$2.6\pm1.5$
P value	< 0.05	< 0.05	>0.05	< 0.05	< 0.05	>0.05

traditional open techniques, including muscular denervation, atrophy, and pain [19, 20]. Since Assaker [21] first reported on the application of percutaneous pedicle screw fixation in thoracolumbar trauma, many studies [17, 22-26] were conducted to compare the percutaneous pedicle screw fixation technique with the conventional open approach, which showed that the clinical, functional, and radiological outcomes of the minimally invasive technique were the same as those achieved using the open technique, with a significant reduction in blood loss, post-operative pain, and surgical time. A systematic review and meta-analysis of comparative studies [27] on the percutaneous versus open pedicle screw fixation for treatment of thoracolumbar fractures showed that there was no difference in efficacy between percutaneous versus open pedicle screw fixation techniques, but the percutaneous approach was associated with shorter surgical time and hospital stay, less intraoperative blood loss and infection rate.

In our study, the intra-operative variables such as operation time (averaged 58.4 min) and blood loss (averaged 48.4 ml) were more favorable than those of open surgeries with short-segmental pedicle screw fixation reviewed in the previous reports [5, 28]. The average correction of Cobb angle and restoration of vertebral body height were comparable with those earlier reported open operations. In addition, the clinical and functional outcomes (VAS for back pain and ODI) were satisfactory, which were also comparable with those of open operations [8]. These facts indicated that percutaneous short-segment posterior stabilization could provide superior radiological and clinical outcome in patients with thoracolumbar fractures.

Traditional short-segment fixation involves pedicle screw placement only at the levels immediately adjacent to the fractured vertebra (one level above and one level below the fracture level). Some authors reported that short-segment pedicle screw instrumentation was not adequate to achieve and maintain the reduction of thoracolumbar fractures and associated with a high rate of failure [29, 30]. This prospective study evaluated the outcomes of 32 patients with

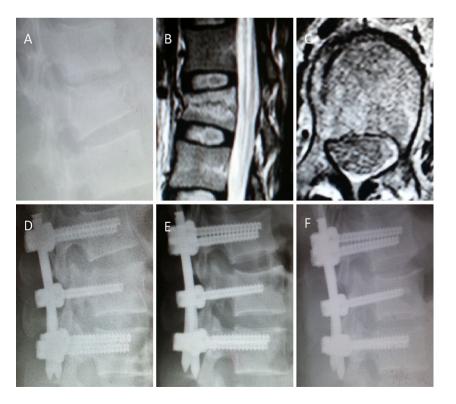
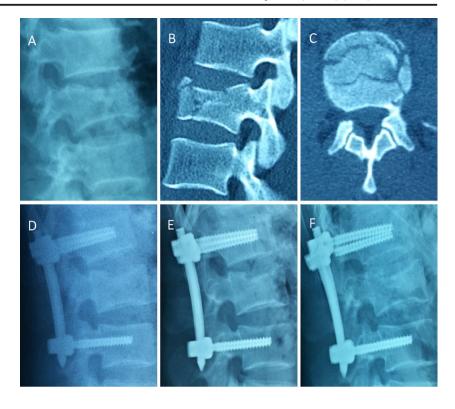


Fig. 2 Pre-operative and post-operative films of a 33-yearold woman with L1 compressive fracture caused by falling from a height.(a), (b), (c) pre-operative films showing a kyphotic deformity and loss of the anterior vertebral body height. (d) Lateral film in the one week after surgery showing the significant improvement of anterior vertebral body height and kyphotic deformity. (e), (f) Lateral films in the six months and one year after surgery indicating no significant correction loss of CA and AVBH

**Fig. 3** A 28-year-old man with L2 fracture caused by falling from a height. (**a**), (**b**), (**c**) pre-operative films showing a kyphotic deformity and loss of the anterior vertebral body height. (**d**), (**e**), (**f**) Lateral films in the one week, six months, and one year after surgery. A screw breakage was shown in the level above the fractured vertebra in the figure (**f**)



monosegmental thoracolumbar fractures who were treated with either percutaneous short-segmental fixation combined with intermediate screws or conventional 4-screw fixation. In the current study, through use of a short-segment technique including fractured level, our radiologic results showed that the initial correction of AVBH from  $55.1 \pm 12.2$  to  $89.9 \pm 7.7$ , VBI from  $61.8\pm9.4$  to  $91.4\pm8.9$  respectively, which were significantly better than those of the control group (AVBH from  $55.9 \pm 11.4$  to  $83.5 \pm 9.0$ , VBI from  $60.3 \pm 10.6$  to  $85.7\pm8.3$ ). The correction losses of the AVBH and VBI at the last follow-up were  $6.2\pm1.5$  and  $3.2\pm1.4$  in the fractured group,  $8.5 \pm 1.5$  and  $6.9 \pm 1.9$  in the control group respectively, and statistical differences were observed between the two groups. However, the initial correction of CA in the fractured group was from  $15.6 \pm 4.0^{\circ}$  to  $3.0 \pm 1.2^{\circ}$  and the correction loss at the last follow-up was  $2.6 \pm 1.5^{\circ}$ , and there was no statistical difference observed compared with the control group (correction from  $16.1\pm5.1^{\circ}$  to  $3.6\pm1.4^{\circ}$ , the correction loss  $2.9 \pm 1.2^{\circ}$ ). These results indicated that pedicle screw fixation at the fractured vertebra did not achieve greater correction of kyphotic angle compared to conventional 4screw fixation; however, pedicle screw fixation more effectively restored the height of the fractured vertebra, which was similar to the previous study [31].

In this series, the operation time was  $54.3 \pm 10.7$  minutes (control group) and  $62.4 \pm 11.8$  minutes (fractured group) respectively, and no significant difference was observed between both groups. The intra-operative blood loss was  $(46.1 \pm 9.0 \text{ vs } 50.7 \pm 12.0 \text{ ml})$ . The results suggested

that the use of intermediate screw on the fractured vertebra would not increase the operation time and the blood loss.

Instrumentation failure was observed in one case of the control group. The failure was one screw breakage above the fractured level in one 28-years old patient, which was found at the last follow up. In our opinion, the main reason of the breakage was the increase of stress on the pedicle screw. In our study, all percutaneous pedicle screws were inserted with the assistance of conventional fluoroscopy, and fortunately, there was no malposition of pedicle screws in the two groups. Despite that, we also recommended the use of navigation systems, which could increase the accuracy of percutaneous pedicle screw instrumentation and reduce the fluoroscopic time [32, 33].

There are some limitations to this study. First, this study focused on short-term results (1-12 months), and findings may not be indicative of long-term results, especially after the removal of implants. In addition, this study included a small patient population. Future studies, enrolling more patients and a long-time follow-up period, will be necessary to properly evaluate the usefulness of the technique.

In conclusion, data showed that percutaneous shortsegmental fixation combined with intermediate screws could more effectively restore and maintain fractured vertebral height and also was associated with a decrease in the segmental kyphotic angle compared to conventional 4-screw fixation. Percutaneous pedicle screw fixation combined with fracture level screw is an acceptable, minimally invasive surgical choice for patients with type A thoracolumbar fractures.

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