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Clinical outcome and return to work following single-stage combined autologous chondrocyte implantation and high tibial osteotomy

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Abstract

Purpose Concomitant unloading procedures, such as high tibial osteotomy (HTO), are increasingly recognized as an important part of cartilage repair. This study presents survival rate, functional outcome, complication rate, and return to work following combined single-stage autologous chondrocyte implantation (ACI) and HTO.

Methods Forty patients with a mean follow-up of 60 months with isolated full thickness cartilage defects of the medial femoral condyle (MFC) and concomitant varus deformity were included in this retrospective case series. All patients were treated with a single-stage combined ACI and HTO between January 2004 and December 2010. Functional outcome was evaluated prior to surgery and at follow-up using standard scores (Lysholm, VAS, KOOS). Treatment failure was defined as the need for re-operation. Return to work was evaluated using the REFA score.

Results With all patients (mean age $36.8 \, \mathrm{SD} \pm 8.1 \, \mathrm{years}$; varus deformity $4.9 \pm 1.8 \, ^\circ$; mean defect size $4.6 \pm 2.1 \, \mathrm{cm}^2$) a clinical investigation was performed a mean of $60.5 \, \mathrm{months} \, (\mathrm{SD} \pm 2.5)$ postoperatively. Four patients required reintervention (failure rate $10 \, \%$). VAS decreased significantly from $6.7 \pm 1.9 \, \mathrm{points}$ preoperatively to $2.2 \pm 1.3 \, \mathrm{points}$ postoperatively. The mean Lysholm score at follow-up was $76.2 \pm 19.8 \, \mathrm{points}$. The mean KOOS subscales were $81.4 \pm 18.0 \, \mathrm{for}$ pain, $81.3 \pm 14.0 \, \mathrm{for}$ symptoms, $87.6 \pm 16.2 \, \mathrm{for}$ activity in daily living, $66.7 \pm 22.8 \, \mathrm{for}$ function in sport and recreation, and $55.5 \pm 22.0 \, \mathrm{for}$ kneerelated quality of living. Mean duration of incapacity from work was $94.5 \pm 77 \, \mathrm{days}$. Absenteeism from work depended

on work load (return to work REFA 0: 68.9 ± 61.4 days vs. REFA 4: 155.0 ± 111.0 days).

Conclusion Single-stage autologous chondrocyte implantation and concomitant high tibial osteotomy is a reliable and safe treatment with satisfying clinical outcome and improved functional outcome. However, we found a remarkable stay at work rate, which depended on the work load.

Keywords High tibial osteotomy · Varus deformity · Cartilage defects · Autologous chondrocyte implantation

Introduction

Isolated cartilage defects of the medial compartment of the knee joint are common orthopaedic problems in middle-aged patients that are associated with pain, loss of function [38], and impaired quality of life comparable to severe osteoarthritis [17]. Furthermore, isolated cartilage defects tend to progress to osteoarthritis over time and can therefore be considered a precondition for joint degeneration [8, 32]. Treatment of focal cartilage defects should therefore focus on both reduction of clinical symptoms and avoidance of further joint degeneration.

Autologous chondrocyte implantation (ACI) is a standard therapy for full-thickness cartilage defects of the knee [4, 9, 31]. Functional outcome depends on several factors such as defect size and localization, number of defects, patient's age, alignment, cell quality, work load and sports activity [27, 28]. Although ACI has been initially introduced for traumatic cartilage defects, results in degenerative cartilage lesion are also promising [25, 21]. Since degenerative cartilage lesions are usually associated with concomitant pathologies such as malalignment or instability, surgical treatment of these pathologies is of importance to increase the success rate of ACI.

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Although underlying pathologies are variegated, cartilage defects of the medial femoral condyle are frequently associated with varus malalignment [24]. Uncorrected malalignment may result in premature failure of the additive knee reconstructive procedure because of high stress concentration in and around the cartilage defect. Concomitant alignment therapies, such as high tibial osteotomy (HTO), may therefore be valuable in order to optimize the biomechanical conditions for healing and survival of the transplanted chondrocytes and may improve the functional outcome [13, 15, 22, 40].

Scientific evidence regarding combination of realignment procedures and cartilage repair is weak and the amount of studies published in this context is low. A recent meta analysis observed higher survival rates for combined HTO and biological reconstruction in contrast to isolated HTO [15]. Few studies have evaluated the results following HTO and different cartilage therapies, including ACI. Nevertheless the timing of ACI and concomitant HTO is still under discussion as these surgeries can be performed in a one- or two-stage fashion [39]. Concomitant single-stage HTO and ACI avoid a third surgery for the patient, decrease recovery time and may facilitate return to work and daily living. On the other hand, a combined procedure may increase the complication rate because of a more demanding operation and a prolonged operation time [2, 39]. While only few publications present clinical and functional outcome after combined ACI and HTO data regarding incapacity of work have not been published so far.

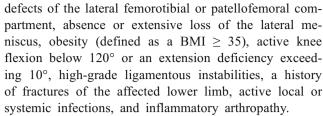
The present study analyses survival rate, clinical outcome, and return to work data after combined single-stage ACI and HTO. The hypothesis of the authors was that a single-stage fashion leads to good functional outcome and incapacity to work comparable to isolated HTO or ACI.

Material and methods

Patients

This retrospective case series was designed to verify the safety and effectiveness of combined single-stage ACI and HTO in patients with cartilage defects of the medial femoral condyle and accompanying varus malalignment (Figs. 1, 2, 3, and 4).

Inclusion criteria were circumscribed full-thickness cartilage defects of the MFC grade III or IV according to the International Cartilage Repair Society (ICRS) [12] which fulfilled the criteria for ACI [9], and a minimum varus deformity of 2°. Exclusion criteria were corresponding full-thickness cartilage defects of the medial compartment ("kissing lesions"), full-thickness cartilage



Between January 2004 and December 2010, 40 patients were treated with combined single-stage ACI and HTO. The detailed patient characteristics are presented in Table 1. Before surgery, radiographs of the knee joint (a.p. view and true lateral view at 30° of knee flexion) and a.p. long-leg weight-bearing radiographs were obtained. Limb alignment was assessed by the technique of Pauwels [14].

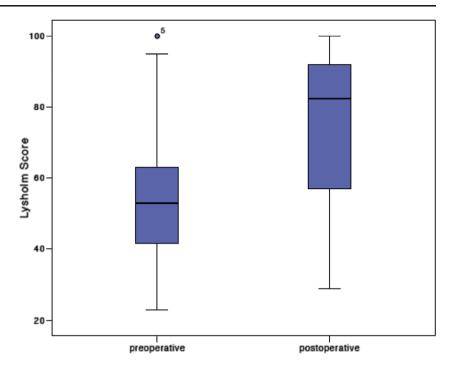
All operations were performed under general anaesthesia. Intravenous antibiotics and standard thromboembolic prophylaxis were used. In all 40 patients, a routine arthroscopy of the affected knee joint was performed. Indication for ACI was performed by the recommendations given by the German Society of Orthopaedic Surgeons [15]. Chondrocytes were harvested with a standardized cartilage biopsy tool (Storz, Tuttlingen, Germany) from the intercondylar notch [16]. After expansion of autologous chondrocytes, ACI (product CartigroTM, Stryker, Duisburg, Germany) was performed as described in detail elsewhere [2]. Between one and two billion chondrocytes were applied per cm² cartilage defect. HTO was performed according to the technique recommended by the AO International knee expert group [17]. The TomoFixTM system (TomofixTM, Solothurn, Synthes, Switzerland) was used to stabilize the osteotomy, which was done in a biplanar fashion. All osteotomies aimed to result in a mild overcorrection between 50 and 70 % of the tibial plateau (mean postoperative femorotibial angle 7.5°±2.6) [18]. Patients were mobilized on the first postoperative day. Continuous passive motion (CPM) was recommended from day 1 postoperatively for six weeks for up to four hours per day. Limited weight bearing was recommended for six weeks. Individual limits of flexion were recommended depending on the exact defect location to avoid early exposure to axial compression and shear forces. After full weight bearing was achieved, full-leg radiographs were taken to analyse postoperative weight bearing axis.

Assessment of clinical outcome and work load

Revision surgery during the follow-up period was defined as failure. Revision surgery was indicated in patients with post-operative complications or persistent pain related to the implant site in combination with signs of graft failure or graft complication on MRI. Diagnostic arthroscopy was performed on a regular basis during hardware removal and was therefore not defined as revision surgery.



Fig. 1 Lysholm score demonstrating significantly improved functional outcome after combined single stage ACT and HTO (p=0.00)



Lysholm, visual analog scale (VAS) and knee osteoarthritis outcome scores (KOOS) were used for clinical assessment [19]. VAS was assigned pre-operatively and at follow-up while Lysholm and KOOS were determined at follow-up only. The duration of incapacity to work was achieved by interrogation. Workload was evaluated according the REFA score. We evaluated data at a median follow-up of 60 months (± 2.5) by calling patients.

Statistical analysis

SPSS for Windows (Version 21.0; SPSS, Chicago, IL) was used for statistical analyses designed to examine the data ascertained in this study. Quantitative variables at baseline were expressed as mean \pm SD. For statistical evaluation of clinical data a nonparametric Mann–Whitney test was used. P < 0.05 was considered significant.

Fig. 2 VAS pre- and postoperatively demonstrating significant pain release (p=0.00)

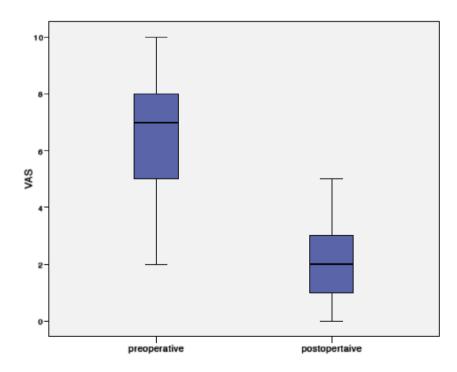
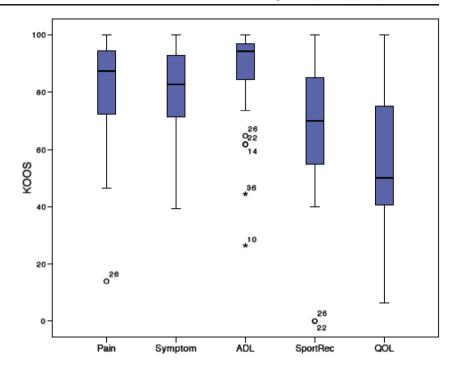




Fig. 3 KOOS subscales following combined ACI and HTO after a mean follow up of 60.46±2.46 months



Results

Survival

After a mean follow-up of 60.5 ± 2.5 months, 40 patients were available for examination (follow-up rate 100 %). The mean defect size was 4.6 ± 2.7 cm² with a mean varus deformity of $4.70\pm2.0^{\circ}$ preoperatively. After HTO, the mean valgus angle was $2.6^{\circ}\pm1.5$.

Fig. 4 Absenteeism of work according the REFA score, demonstrating significantly longer stays of work for patients performing hard physical work (REFA 4), (p=0.023)

Revision surgery was necessary in four cases (failure rate 10 %). Reasons for revision surgery were: persisting pain and failure of the ACI on MRI in two cases, overcorretion with postoperative valgization >70 % of the tibial plateau in one case, and nonunion of the osteotomy gap in one case. Both patients with failed ACI received additional microfracturing in combination with implant removal. In the case of overcorrection, re-varization was performed on day 12 after the initial surgery. The patient with nonunion of the osteotomy

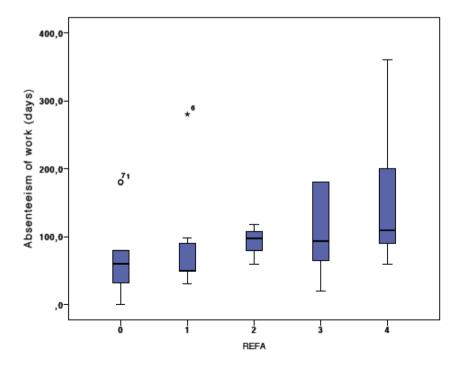




Table 1 Characteristics of patients enrolled in the present study

Characteristic	Value (mean \pm SD)
Number of patients	40
Age (years) (mean \pm SD)	37.60 ± 7.5
Varus deformity (°) (mean ± SD)	4.9°±1.8
Defect size (cm ²) (mean \pm SD)	4.4 ± 1.8
BMI (kg/m ²) (mean \pm SD)	25.44±3.4
ICRS grade III	8
ICRS grade IV	32
Follow up (months) (mean \pm SD)	60.5 ± 2.5

gap was treated with autologous cancellous bone transplantation from the iliac crest. In the further course the same patient suffered a wound infection and was treated with repeated surgical revision and intravenous antibiotics. Thirty-four patients received implant removal (82.9 %) at 17.4 ± 6.7 months postoperatively. No further complications due to implant removal were observed. No patient required partial or total knee replacement during the follow-up period. Thus, survival rate in terms of prosthetic knee-replacement was 100 % at five years postoperatively.

Functional outcome

VAS for pain significantly improved from 6.7 ± 1.9 preoperatively to 2.2 ± 1.4 points postoperatively (p=0.00). The Lysholm score showed a mean increase of 22 points (p=0.00) from pre-operatively 54.4 ± 18.9 to 76.2 ± 19.8 points postoperatively. The mean KOOS subscales at follow-up were: 81.4 ± 18.0 for pain, 81.3 ± 14.0 for symptoms, 87.6 ± 16.2 for activity in daily living, 66.7 ± 22.8 for function in sport and recreation, and 55.5 ± 22.0 for knee-related quality of living. As it is not recommended to calculate a total KOOS score we also calculated a KOOS₄ Score (KOOS4=(KOOS_{Pain}+KOOS_{Sympt}+KOOS_{Sports rec}+KOOS_{QOL}) which received a mean of 71.2 ± 16.1 points.

Return to work

The mean duration of incapacity to work was 94.5 ± 77.0 days. In addition, the prior performed arthroscopic cartilage biopsy caused 14.1 ± 16.1 days of incapacity of work and implant removal resulted in another 26.7 ± 48.3 days of work incapacity.

According to the REFA score, 11 patients were involved in work without special physical strain (28.2 %), nine patients were involved in work with small physical strain (23.1 %), five patients in work with moderate physical strain (12.8 %), and 14 patients in work with hard or mostly heavy physical strain (35.8 %). Absenteeism from work strongly correlated with the physical workload. Patients REFA grade I returned to

work after a mean of 68.1 ± 61.4 days while patients grade IV performing work with most heavily physical strain stayed off work for a mean of 155.0 ± 111 days (p=0.023).

Discussion

The main findings of the present study were that combined single-stage ACI and HTO results in a relatively low complication rate, good to excellent functional outcome and absence from work comparable to HTO or ACT performed as a single procedure.

The technique of HTO has much improved in recent years and consideration of the biomechanical axis, angular stable implants and intraoperative navigation lead to reliable midterm results [3, 6, 12, 37]. Recently, HTO is of growing interest as a concomitant surgery in patients suffering from full-thickness cartilage defects of the medial femoral condyle and varus deformity [5, 15, 22]. There is consensus that concomitant malalignment needs to be addressed in cartilage therapies [14, 5, 22] in order to optimize the biomechanical environment at the healing site. Even without significant medialization of the weight-bearing axis, approximately 75 % of the weight is transferred to the medial compartment increasing to 80-90 % even in mild varus deformities of only 3-5° [26]. In a recent biomechanical study, Mina et al. measured pressure distribution around focal cartilage defects in varying tibio-femoral alignments. They pointed out that contact pressure in cartilage lesions concentrates around the rim, which is also a vulnerable area in ACT. They found complete unloading of the medial compartment between 6 and 10° valgus and an equal distribution between 0 to 4° of valgus. As a certain amount of loading is substantial for chondrocyte biology [7] they concluded that correction to 0-4° valgus favours cartilage repair [24].

Even though the biomechanical background for unloading procedures during cartilage repair is proven, the timing of both surgeries is still under debate as they can be performed in a one- or two-stage fashion [39]. Combined ACI and HTO eliminates a third surgery (cartilage biopsy included) and anaesthesia decreases overall recovery time and result in shorter rehabilitation periods [2].

Rehabilitation following ACI in the early phase (weeks one to six) follows a complex protocol as chondrocytes require passive motion and do not tolerate shear forces for the first six weeks.

Thus continuous passive motion combined with partial weight bearing with 20–25 % of body weight on crutches is essential [10, 18]. Medial open wedge HTO with angular stable implants does not require partial weight bearing at all as there is no loss of correction from the biomechanical point of view [1]. Thus combination of both techniques does not result in longer rehabilitation. On the other hand a single-stage



fashion results in longer operative times and larger exploration at once, which might be associated with higher complication rates, which is why several authors recommend a two-stage fashion [20, 29].

In 2010, Willey et al. published data of 35 patients following one-stage HTO or distal femur osteotomy (DFO) in combination with significant additional knee reconstruction like cartilage repair, meniscal transplantation or ligament reconstruction. They observed 20 % of major complications and concluded that the rate of complications in a single-stage fashion is similar to that seen in a two-stage fashion. However, their study presented data of a heterogenous cohort including several different techniques and a follow-up period limited to 12 months; furthermore, only ten patients received cartilage repair (two cases of cartilage resurfacing, eight cases of microfracturing).

Concerning safety of the procedure, the overall complication rate in the present study was 10 %, which is significant but still comparably low. Miller et al. reported complication rates of 36.9 % for medial open wedge-osteotomy [23]. Spahn et al. monitored overall complication rates of 43.6 % when performing HTO with the Puddu plate, while he found a significantly lower complication rate of 16.7 % when using C-plates. These results underline the importance of implant choice in OW-HTO [34]. Very low implant associated complication rates were observed when an internal plate fixator was used for OW-HTO [11, 30]. Regarding ACI, a recent systematic review by Harris et al. reported re-operation rates of 33 % following ACI in general, whereas the re-operation was 18 % for patients treated with second generation ACI, as used in the present study [16]. In summary, complication rates following combined single-stage ACI and HTO in the present study are comparable to those of the singular surgeries while no data after a two-stage procedure is available.

With regard to the functional outcome, we found that patients experienced a significant pain relief as measured with the VAS and a significant increase of knee function as measured with the Lysholm score even after a mean follow up of more than five years. In addition, good to excellent results for the KOOS subscales pain, symptoms and activities of daily living were evident. However, inferior results were seen in the subscales function in sport and recreation and knee-related quality of living. Thus, further studies are needed to investigate return to sports and quality of life following combined single-stage ACT and HTO. Direct comparison of our data with other studies reporting functional outcome following simultaneous ACT and HTO is difficult as only few studies are available [15]. Among those, various different techniques for cartilage repair, implants and scoring systems were used. In a recently published review comparing clinical outcomes of 11 studies (366 subjects), Trinh et. al observed significantly improved functional outcomes in patients undergoing combined ACI and patellofemoral realignment as compared to patients undergoing isolated ACI for patellofemoral cartilage

defects without differences of complication rates [36]. Concerning the medial femorotibial compartment, Sterett et. al reported improved Lysholm scores with 67 points after nine years and 97 % survival rates after five years following microfracture and HTO in 106 patients with a mean age of 52 years suffering from cartilage degenerations of the MFC [35]. Nonetheless, patients in the present study suffered from severe full thickness cartilage defects, which is why ACI was indicated. Minzlaff et. al evaluated long-term results and survival rates of 74 patients (mean age 38 years, follow-up 7.5 years) after combined osteochondral autologous transfer (OAT) and closed or open-wedge HTO. In their case series, significantly improved Lysholm scores with mean increases of 33 points were seen. Mean survival rate in terms of delay of TKA was 90.1 % at 8.5 years.

There are only two studies presenting data of combined ACI and medial open-wedge HTO. First of all, higher survival rates for patients undergoing combined ACI and HTO compared to ACI alone even in mild varus deformities have been reported [5]. Additionally, in 2008 Franceschi et al. performed arthroscopic ACI and medial open-wedge HTO in eight patients with chondral defects of the MFC (mean age 50 years). After a follow up of 28 months they found significantly improved functional scores concluding that combined HTO and ACI is a viable treatment option for this highly selected patient group [13]. Results are comparable to the results of our study while both cohorts included rather small patient cohorts.

Apart from clinical outcome, patient compliance and motivation for the rehabilitation program are crucial. In contrast to isolated HTO, a six-week rehabilitation protocol with only 20 kg of partial weight bearing is necessary after ACI. Thus, absence from work is an important topic for each patient facing combined ACI and HTO. Unfortunately, only two studies report return to work following HTO while no data concerning ACI or combined surgeries are available. Hoell et al. measured a mean incapacity to work of 13.9 weeks after closed wedge osteotomy [19]. Schroeter et al. found a mean duration of incapacity to work of 87 days (range 14–450) [33]. These results are comparable to the mean return to work after 94.5±77.0 days in the present study. In addition, the basic arthroscopy and cartilage biopsy caused 14.1±16.1 days of work and finally implant removal resulted again in 26.7±48.3 of work incapacity. Nonetheless absence from work strongly depends on the physical work strain. Patients performing work with hard or mostly heavy work strain need to be informed about probable longer periods off work than desk workers. While data describing return to work after ACI are not available, comparable inactive periods due to ACI can be estimated. Thus combined alignment and cartilage therapy may reduce incapacity of work in comparison to the isolated surgeries. This important question needs to be addressed in further clinical trials presenting return to work data after ACI and two-stage combinations of HTO and ACI.



Concerning limitations of the present study, a control group undergoing two-stage HTO and ACI is missing as all patients underwent single-stage surgery. Therefore it is difficult to directly compare results. Furthermore, a 60-month follow-up only represents short- to mid-term results and patients in the present cohort were rather young. Nevertheless, patients with focal cartilage defects of the MFC and concomitant varus deformity represent a selected subgroup within cartilage repair patients. Therefore patient selection and study design seem appropriate and reasonable.

Conclusion

In conclusion, combined single-stage HTO and ACI results in risk for complication and absence from work comparable to the singular surgeries while clinical and functional outcome improves significantly.

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