# ORIGINAL PAPER

# Influence of intra-operative parameters on postoperative early recovery of active knee flexion in posterior-stabilized total knee arthroplasty

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## Abstract

Purpose Active knee flexion is more important for daily activities than passive knee flexion. The hypothesis is that the intra-operative parameters such as osteotomized bone thickness and soft tissue balance affect the postoperative active flexion angle in total knee arthroplasty (TKA). Therefore, we evaluate the influence of intra-operative parameters on postoperative early recovery of active flexion after posteriorstabilized (PS) TKA.

Methods The subjects were 45 osteoarthritic knees undergoing primary PS TKA with anterior-reference technique. Intraoperative soft tissue balance was measured using an offset type tensor, and each osteotomized bone thickness was also measured. Pre- and postoperative active knee flexion angles were measured using lateral radiographs. Liner regression analysis was used to determine the influence of these intraoperative parameters on postoperative active flexion angles or recovery of active flexion angles.

Results Pre-operative flexion angle was positively correlated with postoperative flexion angle  $(R=0.52, P=0.0002)$ . Postoperative flexion angle was negatively correlated with the osteotomized bone thickness of femoral medial posterior condyle ( $R=-0.37$ ,  $P=0.012$ ), and femoral lateral posterior condyle ( $R$ =−0.36,  $P$ =0.015). Recovery of flexion angle was slightly negatively correlated with gap difference calculated by subtracting joint gap at extension from that at flexion between osteotomized surfaces  $(R=-0.30, P=0.046)$ .

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Conclusions The osteotomized bone thickness of the femoral posterior condyle is a significant independent factor of postoperative flexion angles. This indicates that the restoration of the posterior condyle offset may lead to larger postoperative active flexion angles in PS TKA.

#### Introduction

One of the most important goals of total knee arthroplasty (TKA) is to improve the functional range of flexion to the minimum 90° flexion that is required for normal daily activities [\[1](#page-4-0)]. Factors influencing range of flexion after TKA can mainly be classified into intra-capsular and extra-capsular factors. Among extra-capsular factors, the importance of preoperative motion for postoperative results has been previously recognized [[2](#page-4-0)–[7\]](#page-4-0). Intra-capsular factors including implant design, ligament balance, flexion-extension gap balance, height of joint line, and patella resurfacing have also been discussed by many authors [\[8](#page-4-0)–[12](#page-4-0)]. In this context, much enthusiasm has been recently directed towards posterior condylar offset (PCO), which was first described as a determinant for flexion by Bellemans et al. [[13\]](#page-4-0). In addition, although soft tissue balancing has also been recognized as an essential surgical intervention for improving the outcome of TKA [[14,](#page-4-0) [15](#page-4-0)], little has been clarified about the direct relationship between intra-operative soft tissue balance and postoperative outcomes.

We previously reported studies using an offset type tensor, in which we discussed the importance of maintaining a reduced and anatomically-oriented PF joint with the femoral trial component in place, in order to obtain accurate and more physiologically-relevant soft tissue balancing [[16,](#page-4-0) [17\]](#page-4-0). We further reported the relationship between intra-operative soft tissue balance and the postoperative passive flexion angle

using an offset type tensor in posterior-stabilized (PS) and cruciate-retaining (CR) TKA [\[18](#page-4-0), [19\]](#page-4-0). However, there have been few reports that have considered the relationship between intra-operative parameters and the postoperative active flexion angle, which is more important for daily activities than the passive flexion angle.

The hypothesis of this study is that intra-operative parameters such as osteotomized bone thickness and soft tissue balance influence the postoperative active flexion angle. Therefore, the purpose of this study was to evaluate the influence of intra-operative parameters including soft tissue balance and osteotomized bone thickness on early recovery of active knee flexion after PS TKA.

## Materials and methods

The subjects were 45 consecutive patients (45 osteoarthritic knees) who underwent primary PS TKA between March 2009 and January 2011. Those with valgus deformity and severe bony defects were excluded. The patient population comprised 39 women and six men with a mean age of  $73.9\pm6.1$  years. The average pre-operative coronal plane alignment was 11.4±4.4° in varus. Each surgery was performed by the same senior author (H.M.) using PS TKA (NexGen LPS Flex, Zimmer, Inc, Warsaw, IN) with a standardized surgical technique.

#### Surgical procedure

A medial parapatellar arthrotomy was performed using a tourniquet and the measured resection technique with a conventional resection block. The anterior cruciate ligament (ACL) and posterior cruciate ligament (PCL) were both resected. A distal femoral osteotomy was performed perpendicular to the mechanical axis of the femur according to pre-operative longleg radiographs. The surgical epicondylar axis was preoperatively measured using computed tomography (CT). As Berger et al. [\[20\]](#page-4-0) reported, the surgical epicondylar axis is a line connecting the sulcus of the medical epicondyle and the lateral epicondylar prominence, and the angle between the surgical epicondylar axis and posterior condylar line is defined as posterior condylar angle. Femoral external rotation was determined by pre-operative CT, intra-operative Whiteside line and transepicondylar axis. Femoral external rotation relative to the posterior condylar axis was 3° in 32 cases and 5° in 13 cases. A femoral posterior condylar osteotomy was performed using the anterior reference technique. A proximal tibial osteotomy was then performed with each cut made perpendicular to the mechanical axis in the coronal plane and with 7° of posterior inclination along the sagittal plane. No bony defects were observed along the eroded medial tibial plateau. After each osteotomy, we removed the osteophytes, released the posterior capsule along the femur and corrected any ligament imbalances

in the coronal plane by appropriately releasing the medial soft tissues. The osteotomy and soft tissue release were carried out with a spacer block.

Intra-operative measurement with an offset type tensor

The offset type tensor consists of three parts: an upper seesaw plate, a lower platform plate with a spike and an extra-articular main body, as previously described [\[16](#page-4-0)–[19,](#page-4-0) [21,](#page-4-0) [22](#page-4-0)].

This device is ultimately designed to permit surgeons to measure the ligament balance and joint centre gap both before and after femoral trial prosthesis placement, while applying a constant joint distraction force. Joint distraction forces ranging from 30 lb (13.6 kg) to 80 lb (36.3 kg) can be exerted between the seesaw and platform plates using a specially made torque driver which can change the maximum torque value. We evaluate two scales that correspond to the tensor: the angle (°, positive value in varus imbalance) between the seesaw and platform plates, and distance (mm, joint centre gap) between the centre midpoints of the upper surface of the seesaw plate and the proximal tibial cut. By measuring these angular deviations and distances under a constant joint distraction force, we are able to measure the ligament balance and joint centre gap. respectively.

## Intra-operative measurement

The measurements were performed at extension and flexion of the knee with opposite bone surfaces in parallel orientation as usually done with conventional gap measurement. All measurements were performed with the patella-femoral (PF) joint reduced. After soft tissue balance evaluation between osteotomized surfaces, the femoral trial component was placed with tensor on the surface of the tibial bone cut, and PF joint was temporarily reduced by applying stitches both proximally and distally to the connection arm of the tensor. We also loaded 40 lbs of distraction force in all knees at 0° and 90° of flexion. The joint distraction force was set at 40 lbs, because it recreated the joint gap at full extension with femoral trial in place, which corresponds to the insert thickness indicated by our preliminary clinical studies. We loaded this distraction force several times until the joint component gap remained constant; this was done to reduce the error which can result from creep elongation of the surrounding soft tissues. During each measurement, the thigh was held and knee was aligned in the sagittal plane so as to eliminate the external load on the knee at 90° of knee flexion. Following the measurement, a NexGen prosthesis was implanted with cement.

## Examined parameters

We measured the joint gap (mm) and varus ligament balance (°) with the knee at extension and flexion either before or after

the femoral trial prosthesis was in place. Gap difference (mm) was calculated by subtracting joint gap at extension from joint gap at flexion between osteotomized surfaces. The thickness of each osteotomized bone of the distal femur, femoral posterior condyle, and proximal tibia was measured (mm).

Before and four weeks after surgery, each knee active flexion angle (°) was measured using the lateral radiograph of the knee with maximum active flexion in a supine position. Recovery of flexion angle (°) was calculated by subtracting pre-operative active flexion angle from postoperative flexion angle. Each postoperative passive flexion angle was also measured using a goniometer attached to the skin surface.

#### Statistical analysis

All values were expressed as mean  $\pm$  standard error of the mean (SEM). The results were analysed statistically using a statistical software package (Statview 5.0, Abacus Concepts Inc., Berkeley, CA, USA). We performed liner regression to analyse the correlations between each factor and postoperative flexion angle or recovery of flexion angle. Furthermore, we performed multiple regressions with selected factors.  $P<0.05$ was considered statistically significant.

#### Results

Pre- and postoperative active knee flexion angles averaged  $109.5 \pm 1.9^{\circ}$  and  $105.9 \pm 1.8^{\circ}$ , respectively. Recovery of flexion angle averaged −3.6±1.8°. Postoperative passive knee flexion angle averaged  $121.0 \pm 1.6^\circ$  at four weeks after surgery. Osteotomized bone thickness is shown in Table 1. Gap difference was −0.36±0.50 mm.

Pre-operative active flexion angle was positively correlated with postoperative active flexion angle  $(R=0.52, P=0.0002)$ (Fig. 1). Postoperative active flexion angle was negatively correlated with the osteotomized bone thickness of femoral medial posterior condyle  $(R=-0.37, P=0.012)$ , and femoral lateral posterior condyle (R=−0.36, P=0.015) (Fig. 2). Recovery of flexion angle was slightly negatively correlated with gap difference ( $R =$ −0.[3](#page-3-0)0,  $P = 0.046$ ) (Fig. 3). Furthermore, multiple regression analysis of the postoperative active flexion angle

Table 1 Osteotomized bone thickness

Osteotomized bone	Medial (mm)	Lateral (mm)
Distal femur	$9.6 \pm 0.2$	$11.3 \pm 0.2$
Femoral posterior condyle	$9.7 \pm 0.3$	$6.9 \pm 0.3$
Proximal tibia	$2.9 \pm 0.4$	$12.6 \pm 0.3$

Values are shown as mean  $\pm$  SEM (mm). Each osteotomized bone thickness was measured



Fig. 1 Correlation between pre-operative flexion angle and postoperative flexion angle. Pre-operative flexion angle was positively correlated with postoperative flexion angle  $(R=0.52, P=0.0002)$ 

was demonstrated with the pre-operative active flexion angle and the osteotomized bone thickness of the femoral lateral posterior condyle, which were not correlated with each other. Postoperative active flexion angle was strongly correlated with pre-operative active flexion angle and the osteotomized bone



Fig. 2 Correlation between postoperative flexion angle and osteotomized bone thickness of femoral posterior condyle. a Correlation between postoperative flexion angle and medial posterior condyle. b Correlation between postoperative flexion angle and lateral posterior condyle. Postoperative flexion angle was negatively correlated with femoral medial posterior condyle  $(R=-0.37, P=0.012)$  and femoral lateral posterior condyle  $(R=-0.36, P=0.015)$ 

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Fig. 3 Correlation between recovery of flexion angle and gap difference. Flexion difference was slightly negatively correlated with gap difference  $(R=-0.30, P=0.046)$ 

thickness of femoral lateral posterior condyle  $(R=0.65,$ P<0.0001) (Fig. 4).

# Discussion

The main findings in the present study were that the postoperative active flexion angle was negatively correlated with the osteotomized bone thickness of the femoral posterior condyle, and recovery of flexion angle was slightly negatively correlated with gap difference. This means a smaller osteotomized bone thickness of the femoral posterior condyle and smaller flexion gaps than extension gaps led to larger postoperative active flexion angles.

Knee range of motion (ROM) is one of the most important outcome variables used to assess the results of TKA. In daily activities, active knee flexion is more important than passive knee flexion, but passive ROM is usually reported and measured



Fig. 4 Correlation between postoperative flexion angle and pre-operative flexion angle and osteotomized bone thickness of femoral lateral posterior condyle. Postoperative flexion angle was strongly correlated with pre-operative flexion angle and femoral lateral posterior condyle  $(R=0.65, P<0.0001)$ 

using a hand-held goniometer or as a visual estimation of knee flexion. Edwards et al. [\[23](#page-4-0)] reported 22 % of skin surface goniometric measurements and 45 % of visual measurements differed by 5° or greater from radiographic measurements. Laidlaw et al. [[24](#page-4-0)] showed postoperative active knee flexion is less than postoperative passive knee flexion using the active flexion lateral radiograph, and suggested active knee flexion may be an important outcome variable for assessing functional results after TKA. Therefore, we focused on active knee flexion angle using active flexion lateral radiographs.

In the present study, the pre-operative active flexion angle was positively correlated with the postoperative active flexion angle  $(R=0.52, P=0.0002)$ , as previous reports described  $[2-7, 18]$  $[2-7, 18]$  $[2-7, 18]$  $[2-7, 18]$  $[2-7, 18]$  $[2-7, 18]$ . In addition, the postoperative active flexion angle was negatively correlated with the osteotomized bone thickness of the femoral posterior condyle (medial R=−0.37,  $P=0.012$ ; lateral  $R=-0.36$ ,  $P=0.015$ ), and recovery of flexion angle was slightly negatively correlated with gap difference  $(R=-0.30, P=0.046)$ . Bellemans et al. [\[13\]](#page-4-0) first defined the concept of PCO. They demonstrated the maximum knee flexion with squatting motion was limited by direct impingement of the posterior aspect of the tibial component against the posterior aspect of the femur in CR TKA. They concluded restoration of the PCO was important, since it allows a greater degree of flexion before impingement occurrences. However, the effect of PCO is uncertain with some researchers reporting increasing postoperative knee flexion with increasing PCO [\[13](#page-4-0), [25](#page-4-0)], and others who report no significant correlation [\[26](#page-4-0), [27](#page-4-0)]. The reasons for these differences may be attributed to the difference of the method of knee flexion as active or passive, and differences in prosthesis design—CR or PS TKA. In this study, we assessed the osteotomized bone thickness of femoral posterior condyle instead of PCO because we hypothesized that osteotomized bone thickness might be more accurate than PCO measured by lateral radiographs. As Clarke [\[28](#page-4-0)] reported, mean cartilage thicknesses of the posterior condyles were 1.7 mm (range, 0–4 mm) medially and 2.0 mm (range, 0–5 mm) laterally. They concluded that as the cartilage thickness is variable, future studies of PCO must adjust the preoperative radiographic measurements by the cartilage thickness measured intraoperatively. Therefore, we assessed the osteotomized bone thickness of femoral posterior condyle in the present study. With the anterior reference technique, the osteotomized bone thickness of the femoral posterior condyle depends on the femoral component size, i.e. if a larger component size is selected, the osteotomized bone thickness is smaller, which leads to a decreased flexion gap between the osteotomized surfaces, and restoration of the PCO can be obtained. Furthermore, there was no correlation between the osteotomized bone thickness of the femoral posterior condyle and the pre-operative active flexion angle, which means the osteotomized bone thickness of the femoral posterior condyles is an independent factor affecting the

<span id="page-4-0"></span>postoperative active knee flexion angle. One possible explanation of this mechanism is that when a larger femoral component is used, the distance between the rotational centre of the knee flexion movement and the tibial attachment site of hamstrings is longer, leading to an increase in the moment arm of the hamstrings, which is dynamically favourable in active knee flexion.

Despite the important findings in this study, there are several limitations. First, the patient number of the study is not large enough to be conclusive. Second, the postoperative flexion angle is multi-factorial. The tibial posterior slope and different prosthetic designs may afford varying results in the postoperative flexion angle. Third, the flexion angle was measured by active flexion in a supine position. Results would vary with passive knee flexion or squat motion. Further investigation is needed.

In conclusion, intra-operative parameters including soft tissue balance and osteotomized bone thickness significantly affected the postoperative active knee flexion in the early term after PS TKA. It is suggested that a larger femoral component would lead to restoration of the PCO and a better active flexion angle in PS TKA.

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Conflict of interest The authors declare that they have no conflict of interest.

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