# ORIGINAL PAPER

# Sagittal placement of the femoral component in total knee arthroplasty predicts knee flexion contracture at one-year follow-up

Sebastien Lustig · Corey J. Scholes · Tim J. Stegeman · Sam Oussedik · Myles R. J. Coolican · David A. Parker

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#### Abstract

*Purpose* Flexion contracture has been shown to impair function and reduce satisfaction following total knee arthroplasty (TKA). The aim of this study was to identify modifiable intraoperative variables that predict post-TKA knee extension.

Methods Data was collected prospectively on 95 patients undergoing total knee arthroplasty, including pre-operative assessment, intra-operative computer assisted surgery (CAS) measurements and functional outcome including range of motion at one year. Patients were divided into two groups: those with mild flexion contracture (> 5°) at the one-year follow-up and those achieving full extension. *Results* The sagittal orientation of the distal femoral cut differed significantly between groups at the one-year follow-up (p=0.014). Sagittal alignment of greater than 3.5° from the mechanical axis was shown to increase the relative risk of a mild flexion contracture at one-year followup by 2.9 times, independent of other variables.

*Conclusion* Increasing the sagittal alignment of the distal femoral cut more than  $3.5^{\circ}$  from the mechanical axis is an independent risk factor for clinically detectable flexion contracture one year from index procedure.

## Introduction

Loss of knee extension during functional activities is commonly found with advancing age [1]. However, degenerative conditions such as osteoarthritis are associated with much

Sydney Orthopaedic Research Institute,

Suite 12, Level 1, 445 Victoria Avenue,

Chatswood, NSW, Australia 2067

e-mail: sebastien.lustig@gmail.com

greater loss of knee extension. Total knee arthroplasty (TKA) is an established and highly successful surgical procedure for the treatment of knee osteoarthritis [2] and an important outcome of TKA is the restoration of full extension to the osteoarthritic knee [3]. Indeed, patients who have a flexion contracture report worse postoperative outcomes, and the degree of flexion contracture directly correlates with worse postoperative outcomes [4]. Flexion contracture in the operated limb can also lead to mechanical overload and worsening disease progression in the contralateral limb [5].

Despite the apparent importance of knee extension to function and quality of life, the majority of studies on post-TKA knee range of motion have focused on maximum flexion [6-11]. Nevertheless, a number of risk factors have been identified that potentially predict postoperative flexion contracture. These include pre-existing flexion contracture [12], pre-operative hyperextension of greater than 9° and male gender [4]. The identification of modifiable intra-operative risk factors would allow the operating surgeon to address these with a possible corresponding improvement in postoperative extension. To date, only the depth of the distal femoral cut has been shown to significantly alter flexion contracture [13, 14]. However, distal femoral cut sagittal alignment may contribute to the sagittal alignment of the prosthetic joint by determining the relationship between the femoral component and the long axis of the femur, and could be related to the degree of postoperative flexion contracture. While research has examined this relationship in the context of unicompartmental knee arthroplasty (UKA) [15-17], there is a paucity of information for TKA.

Historically, flexion contracture has been assessed intraoperatively by visual inspection of the knee at full extension; however, Gallie et al. reported inaccuracies of 5.57° on average for this method [18]. Advances in technology have allowed for increased accuracy and improved implant

S. Lustig  $(\boxtimes) \cdot C$ . J. Scholes  $\cdot$  T. J. Stegeman  $\cdot$  S. Oussedik  $\cdot$  M. R. J. Coolican  $\cdot$  D. A. Parker

alignment through the use of computer-assisted surgery for TKA (CAS-TKA) [19]. To date however, little research has been performed using the intra-operative data provided by the CAS systems to try to reduce flexion contracture.

To address the gap in the current knowledge, the aim of this study was to identify intra-operative predictors of knee flexion contracture following primary TKA. It was hypothesized that knee flexion contracture at one-year follow-up would be associated with pre-operative extension, implant size, distal femoral resection depth and distal sagittal alignment of the femoral component.

# Materials and methods

A retrospective analysis of prospectively collected data was performed on patients operated on by the senior author between July 2007 and May 2009. Inclusion criteria were patients electing to undergo primary TKA with CAS (Stryker Precision® Navigation, Stryker, Kalamazoo, MI, USA), including simultaneous or sequential bilateral arthroplasties, with a minimum one-year follow-up. Exclusion criteria included inflammatory arthritis, previous arthroplasty, and inability to comply with the postoperative follow-up requirements. Of the 103 consecutive patients that underwent primary TKA with CAS, 95 were eligible for inclusion in the analysis. A fellowship-trained knee surgeon performed all arthroplasties in a fully-cemented, posteriorstabilized fashion (Genesis II, Smith Nephew ®). The data collection protocol was approved by the local ethics committee. All participation was purely voluntary and patients provided written informed consent prior to data collection.

#### Pre-operatively

Patients were assessed between one and three months prior to surgery by a trained research associate. Pre-operative data included sex, date of birth, height and weight. The Oxford Knee Score questionnaire was administered and a hand-held goniometer was used to measure knee range of motion. All measures were prospectively entered into a data management system (SOCRATES, Ortholink, Balmain, NSW, AUS). In total, 95 knees were examined, with mean age 69.4 years (range, 53–84 years). The sample consisted of 44 males and 51 females with an average BMI of 29.6 (range, 18–55).

#### Intra-operatively

Navigated total knee arthroplasty was undertaken by the senior author according to his standard technique. Navigation active trackers were affixed to the tibia and femur of the operated limb in accordance with the manufacturer's instructions. The hip's centre of rotation was calculated by

Table 1 Comparison of patient demographics (mean  $\pm$  SD) between groups with Mann–Whitney U tests

Demographic	Group 1 (<5°)	Group 2 (≥5°)	P-value
Age (years)	68.8 <u>+</u> 8.1	70 <u>+</u> 7	0.468
Height (cm)	$168.7 \pm 8.6$	$168.1 \pm 9.1$	0.777
Weight (kg)	$82.7 \pm 17.4$	86.7 <u>+</u> 21.2	0.371
BMI (kg/m <sup>2</sup> )	29.0+5.6	30.9+9.7	0.205

SD standard deviation, BMI body mass index

the navigation package prior to medial arthrotomy and registration of femoral and tibial anatomical landmarks using a hand-held digitiser [20]. Coronal, sagittal and axial alignments of the knee were measured with the surgeon cupping the heel and allowing gravity to bring the knee to maximal extension. Cemented posterior stabilised TKA was then undertaken in a standard fashion. Maximal knee extension was measured again following prosthetic implantation, after capsule and skin closure. Other intra-operative measures recorded were implant size and femoral resection depth and orientation. The sagittal orientation of the distal femoral cut was made at this time by the surgeon, based on the patient's anatomy and the avoidance of anterior femoral notching to accommodate optimal femoral component size. The published accuracy of passive CAS is less than 1° in all axes of knee rotation and 1 mm [20, 21]; therefore, individual measurements were recorded to the nearest whole number and the mean values reported to the nearest decimal.

# Clinical follow-up

During routine follow-up one year from the date of the TKA ( $\pm 1$  months), patients completed an Oxford Knee score questionnaire and had their knee range of motion assessed by a trained research assistant using a manual goniometer.

# Statistical analysis

The pre-operative and postoperative clinical data were extracted from the data management system, while the intraoperative measurements were recorded and stored by the

Table 2 Comparison of CAS measured coronal alignment (hip-knee angle; mean  $\pm$  SD) and incidence of varus/valgus between groups

Measurement	Group 1 (<5°)	Group 2 (≥5°)	P-value
Valgus	21	10	0.99
Varus	42	22	
Pre-operative (°)	177.2 ±4.3	$177.8 \pm 4.8$	0.64
Postoperative (°)	179.4 ±1.1	$179.1 \pm 0.9$	0.65

CAS computer assisted surgery, SD standard deviation

Table 3         Assessment of associations with postoperative flexion	Parameters	Spearman rank	P value
founding factors using the	Distal resection vs postoperative navigation FFD	-0.1805	0.0944
Spearman rank correlation	Distal resection vs pre-operative navigation FFD	0.0621	0.5499
	Distal resection vs one-year follow-up FFD	0.1041	0.3152
	Postoperative navigation FFD vs 1 year follow-up FFD	-0.0095	0.9307
	Pre-operative navigation FFD vs 1 year follow-up FFD	-0.022	0.8323
FFD fixed flexion deformity	Pre-operative goniometer FFD vs pre-operative navigation FFD	0.188	0.0699

navigation system. Normality of the data was assessed using the Kolmogrov-Smirnov model and a p value of 0.05 was considered significant for all tests. A threshold of  $5^{\circ}$  was used to designate clinically relevant flexion contracture where group 1 consisted of those with flexion contracture of less than  $5^{\circ}$  and group 2 had flexion contracture of  $5^{\circ}$  and above.

Baseline differences in the demographics of groups 1 and 2 were examined using Mann–Whitney U tests where appropriate. Differences in gender and operative side were examined using chi-squared cross tabulation. Possible confounders were examined using the Spearman rank correlation coefficient to assess any association with postoperative flexion contracture. Confounders examined included femoral distal resection [12, 14] and pre-operative flexion contracture [3, 11] measured by both goniometer and CAS. In addition, Oxford knee scores were compared between the two groups, specifically the Oxford pain score, Oxford climb stairs and overall knee score. Mann–Whitney U tests were also used to test for differences between groups for intraoperative CAS measurements and postoperative function scores.

## Results

The group without flexion contracture (group 1) contained 63 knees while the group experiencing mild flexion contracture (Group 2) contained 32 (Table 1 and 2). There were no significant differences between groups with regards to

**Table 4** Results of Mann–Whitney U tests comparing intra-operativedata recorded by CAS system for groups 1 and 2

Group 1 vs group 2	P- value	Average difference	95 % CI (group 1–group 2)
Pre-operative goniometer measures	0.190	0.00	-2.00, 0.00
Pre-operative CAS	0.412	0.50	-1.00, 2.50
Postoperative CAS	0.655	0.00	-0.50, 0.50
Insert size	0.832	0.00	-1.00, 1.00
Femoral cut depth	0.532	0.00	-1.00, 0.00
Femoral cut angle	0.014	-0.50	-1.00, -0.0003

CAS computer assisted surgery

gender (group 1 [31:32, male: female] and group 2 [13:19];  $\chi^2$ =0.634, df=4, *P*=0.959) or operated side (group 1 [26:37, left: right] and group 2 [18:14];  $\chi^2$ =1.915, df=4, *P*=0.751).

No statistical co-dependence was found between distal resection depth or pre-operative flexion contracture (measured both with the goniometer and with CAS) and postoperative navigation flexion contracture (Table 3).

The sagittal orientation of the distal femoral cut measured intraoperatively by the CAS system was significantly different between the two groups (P=0.014) (Table 4). However, the difference between the group means was small, with the average femoral cut angle for group 1 being  $3.15^{\circ}$  and  $3.77^{\circ}$ for group 2. Further analysis revealed that a distal femoral cut angle of greater than  $3.5^{\circ}$  increased the relative risk of a clinically significant flexion contracture by 2.9-fold at oneyear follow-up, independently of other variables (Table 5). Analysis of the postoperative Oxford knee scores failed to reveal any statistically significant differences in self-reported pain (p=0.85), ability to climb stairs (p=0.73) or overall score (p=0.61) between the two groups (Table 6).

#### Discussion

The aim of the present study was to identify intra-operative predictors of knee flexion contracture following primary TKA, based on measures recorded by CAS. The results indicated that a relationship exists between the sagittal orientation of the distal femoral cut measured intra-operatively and flexion contracture measured with hand-held goniometry at the one-year postoperative follow-up.

This was the only variable examined in the present study to show a statistically significant relationship with flexion

Table 5 Odds ratio for flexion contracture between groups 1 a	and	2
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co	ontracture)	contracture)
Femoral cut angle $\leq 3.5$ 40	0	12
Femoral cut angle $> 3.5$ 22	3	20

Odds ratio=2.9 (95 % CI=1.202 - 6.99)

Group 1 vs group 2	P-value	Average	95 % CI (Group 1 – Group 2)
Oxford pain score	0.850	0.00	0.00, 0.00
Oxford climb stairs	0.734	0.00	-1.00, 1.00
Oxford overall	0.612	1.00	-1.99, 2.99

 Table 6
 Results of Mann–Whitney U tests comparing selected Oxford knee scores between groups

CI confidence interval

contracture at one-year follow-up. Performing a distal femoral cut with greater than 3.5° of flexion induces a 2.9-fold increase in the risk of having a significant flexion contracture at one-year follow-up. Oxford pain and function scores however, showed no significant difference between the two groups analysed, perhaps due to the limitation of the relatively small sample size for such a highly functional cohort.

There is little consensus in the literature regarding the amount of flexion contracture considered clinically relevant. Many studies have reported on knees with large flexion contracture [12, 22, 23] compared to a "normal" population. The original Knee Society score, prior to the Insall modification of 1993, considered flexion contracture of  $0-4^{\circ}$  as normal [24]. While this number was subsequently changed, no rationale was given and this classification was also used by a later study [13]. Groups were classified as having either no flexion contracture (4° and below) in group 1 or mild flexion contracture (5-15°) in group 2. Others have demonstrated a significant difference between maximal extension during gait between voung subjects and elderly subjects with the young subjects displaying flexion of 1-4° on average and the elderly 5-8° [25]. While no patients experienced flexion contracture in excess of 15° at one-year follow-up in this study, there are problems associated with even a mild knee flexion contracture. Flexion contracture is known to increase muscular demand and associated physiological responses such as heart rate and muscle energy consumption during locomotion [26].

Previous work has shown that pre-existing flexion contracture, as well as age and gender, can lead to an increased risk of flexion contracture 12 months postoperatively [4, 12]. The present study fails to demonstrate an association between preoperative goniometer measures or pre-operative navigation data and flexion contracture at the 12-month follow-up. Age and gender also showed no association with 12-month flexion contracture. The different results between this study and previous work [4, 12] could be attributed to differences in operative procedure or the use of CAS for the assessment of the preoperative flexion contracture. Indeed the relative accuracy of goniometer measurements has already been reported [18] and it was compared to intra-operative navigation data in our study and showed no statistical correlation (p=0.07).

Studies have shown that using a larger femoral component could increase the patellofemoral contact force in TKA and possibly restrict knee range of motion including introducing a flexion contracture [27, 28]. However, the results of this study do not show any correlation between implant size and flexion contracture 12 months postoperatively. A possible explanation might be that the flexion contracture is more correlated to a mismatch between the distal femoral anatomy and the implant size [29, 30] than to the implant size itself.

Varying distal resection depth has previously been used to correct flexion contracture by reducing muscular tension with mixed results [31, 32]. Soft tissue tension has been demonstrated to effect both extension and stability [33]. Distal resection depth was therefore examined as a possible confounder in the present study and was not shown to be associated with loss of extension at 12 months (p=0.31). This could be due to the use of the navigation system to balance the extension and flexion gaps.

Limitations of this study included the use of a single type of prosthesis and the length of postoperative follow-up. However, these results should be compared with other designs of prosthesis. In addition, the 12-month investigation may not have been adequate to observe resolution of flexion contracture in a majority of patients [22]. Finally, the difference between the groups for distal femoral cut angle, even if statistically significant, has to be modulated by the accuracy of the navigation system, which is less than  $1^{\circ}$  [20].

## Conclusion

This is the first study to identify modifiable intra-operative variables that potentially predict post-TKA knee flexion contracture, based on intra-operative measures recorded by CAS. Of all variables examined, femoral cut angle was associated with flexion contracture at 12 months postoperative follow-up. For angles greater than  $3.5^{\circ}$ , a risk of 2.9 times for a clinically mild flexion contracture at 12 months follow-up was observed. The femoral component of the posterior stabilised Genesis II total knee arthroplasty should be implanted with no more than  $3.5^{\circ}$  of flexion to reduce the risk of flexion contracture at one year.

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