

Can volumetric ADC measurement help predict response to Y90 radioembolization in HCC?

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Abstract

Aim: To compare changes in RECIST, anatomical volume, mRECIST, and volumetric diffusion-weighted Imaging parameters (3D apparent diffusion coefficient (ADC) measurements), with pathological analysis of hepatocellular carcinoma (HCC) treated by 90 Yttrium radioembolization (Y90). Methods: 21 patients were treated by Y90 as a sole treatment modality for solitary, >2 cm HCC that underwent liver transplantation. MRI at baseline, 1 and 3 months post-Y90, and tumor pathological findings on explants were reviewed in all patients. Results: Compared to baseline (RECIST/volume: $3.6 \text{ cm}/17.7 \text{ cm}^3$), RECIST and volume were not modified after Y90 (1 month, $p = 0.28/0.09$ RECIST/ tumor volume; 3 months, $p = 0.28/0.54$). In contrast, mRECIST (3.3–1.4 cm, $p < 0.001$), mean ADC (0.185– 1.093 mm²/s × 10⁻³, $p = 0.04$), and ADC standard deviation (STD) $(0.041 - 0.201 \text{ mm}^2/\text{s} \times 10^{-3}, p =$ 0.0496) changed as earlier as 1 month post-Y90. ADC STD % change was higher in ADC responding lesions than non-responding lesions at 1 month ($p = 0.002$) and 3 months ($p = 0.008$). All lesions exhibited necrosis on pathological analysis (11 partially viable, 10 complete pathological necrosis (CPN)) but no imaging criterion was able to predict CPN. mRECIST $(\pm ADC)$ at 1 $(\kappa \pm ADC = 0.08/0.06)$ or 3 months $(\kappa = -0.06/$ -0.06) were poor predictors of pathological response. Conclusion: As soon as 1 month post-treatment, mRE-CIST and volumetric ADC performed better than

traditional size RECIST or volumetric parameters in detecting imaging response to Y90; however, CPN cannot be predicted by any criteria. Improvements in methodologies to assess response and identification of better surrogates are awaited.

Key words: Hepatocellular carcinoma— Radioembolization—Yttrium-90—Response assessment—ADC

Despite continuous breakthroughs in technical and clinical knowledge on liver-directed therapies, response assessment still needs improvement. Initially, tumor Correspondence to: Frank H. Miller; email: fmiller@northwestern.edu response of solid tumors by cross-sectional imaging to

antitumoral therapies was assessed by size criteria: bi- (World Health Organization criteria) then unidimensional (Response Criteria in Solid Tumors criteria (RE-CIST)) measurements of the entire tumor [\[1](#page-8-0)]. Modified Response Criteria in Solid Tumors (mRECIST), looking at changes in tumor enhancement and necrosis by unidimensional measurement, have more recently been considered for response assessment of HCC after liverdirected therapies [[2\]](#page-8-0). However, despite simple methodology and consistency, mRECIST face limitations as those criteria do not take into consideration physiologic patterns of response and are supported by a limited number of studies with pathological assessment $[3-5]$. Moving forward in the era of functional imaging, new response criteria have to incorporate microscopic changes affecting both tumor and surrounding parenchyma, and need to take into consideration the tumor type and treatment modalities. As a consequence, simple anatomical criteria such as RECIST or mRECIST, based on size or enhancement, do not always reflect true tumoricidal effect of these therapies. Diffusion-weighted imaging (DWI), providing information on cell membrane integrity and cellular density, has been hypothesized to improve and predict response assessment $[6, 7]$ $[6, 7]$ $[6, 7]$ $[6, 7]$ $[6, 7]$. In addition, volumetric delineation may replace uni/bidimensional measurements as imaging software and spatial resolution of imaging modalities evolve [[2,](#page-8-0) [8](#page-8-0), [9](#page-8-0)]. Beyond conventional mean apparent diffusion coefficient (ADC), volumetric ADC measurement provides more realistic tumor delineation compared to uni- or bi-dimensional approaches, and permits analyzing tumor response heterogeneity by generating histograms from voxel-by-voxel ADC values calculation $[10-12]$. The aim of the present study was to correlate changes in different anatomical (RECIST, anatomical volume), enhancement (mRE-CIST), and DWI parameters with post-transplant pathological analysis, and to discuss the added value of mRECIST and volumetric DWI combination for the response assessment of HCC after ⁹⁰Yttrium radioembolization (Y90).

Materials and methods

Patient sample

This retrospective radiological-pathological study was in compliance with the Health Insurance Portability and Accountability Act. Institution Review Board approval was obtained. No financial support was obtained for this study. Imaging and pathological results of all patients treated by Y90 and transplanted between September 2004 and April 2013 at our institution were reviewed. The post-Y90 imaging follow-up policy at our institution includes an abdominal MRI scan at 1 and 3 months post-treatment. Inclusion criteria limited the study to patients (1) with solitary $HCC > 2$ cm and proven by biopsy or imaging (AASLD guidelines) [\[13](#page-8-0)], (2) treated by selective

or lobar Y90 only, (3) not previously treated by any liverdirected therapies (4) who ultimately underwent orthotopic liver transplantation. Out of 57 patients who fulfilled those criteria, 36 were excluded from the final analysis because (1) transplantation occurred before the 3 month scan (11 patients excluded), (2) transplantation occurred before the 1 month scan (2 excluded), (3) the 3 month scan had not been performed (2 excluded), (4) the baseline scan was a CT scan (6 excluded), (5) no DWI sequences or ADC map had been performed at baseline or at follow-up (6 excluded), (6) magnetic resonance imaging (MRI) sequences could not be analyzed on the dedicated software because of compatibility issues (3 excluded), (7) unacceptable imaging artifacts rendered measurement inaccurate (6 excluded). In summary, 21 patients were available for complete analysis, who were scanned between December 2005 and August 2012.

Y90 radioembolization and dosimetry

Patients were treated on an outpatient basis using a selective segmental or lobar treatment with glass microspheres loaded with ⁹⁰Yttrium (TheraSphere, Nordion, Ottawa, Canada) by experienced interventional radiologists (second and third authors, >15 years as Interventional Radiologists, >10 years of experience in Y90 radioembolization), according to previously published recommendations and guidelines [[14,](#page-8-0) [15](#page-8-0)]. Treatments were preceded by a planning angiogram during which parasitizing arteries were embolized, catheter tip positioning was optimized, and ⁹⁹Technetium-macroaggregated albumin $(^{99}$ Tc-MAA) was infused in order to estimate pulmonary and gastro-intestinal shunting.

MRI acquisition

All studied patients had undergone abdominal MRI scans prior to Y90 (baseline scan), and then at 1 and 3 months post-treatment (follow-up scans). Scans were performed on 1.5-T MRI units (Magnetom Avanto or Espree; Siemens AG, Munich, Germany) using a liver protocol comprising transverse and coronal single-shot turbo spin echo T2-weighted half Fourier acquisitions (HASTE), multiple dynamic three-dimensional gradient echo (GRE) T1-weighted sequences (VIBE) with spectral fat suppression performed before and after intravenous administration by a power injector (Spectris; Medrad Warrendale, PA) of 0.1 mmol/kg Gadopentate dimeglumine and Gadobutrol (Magnevist and Gadovist; Bayer HealthCare Pharmaceuticals, Berlin, Germany) followed by 20 mL saline flush $(2 mL/s)$. Post-contrast acquisitions were obtained at arterial (based on fluoro-preparation sequence), portal venous (70 s) and multiple delayed phases (2–10 min). Diffusion-weighted sequences were also performed, using breath-hold multishot fast spin echo echo-planar technique, with 6-mm slice thickness, 90° flip

Table 1. Differences in diffusion scan parameters according to year

| | $2005 - 2006$ | 2007 | $2008 - 2010$ | $2011 - 2012$ |
|----------------------------------|---------------|---------|---------------|---------------|
| b value Number of averages | 0.500 | 50, 500 | 50, 500, 1000 | 50, 500, 800 |

angle, TR/TE of approximately 5000/80, bandwidth of 1446 Hz/Px, and parallel imaging factor of 2. The chosen b values and other parameters were gradually changed during the 6 years included in the study (Table 1).

Post-processing and imaging parameters

ADC values per voxel were generated using the multiple b values and presented on an ADC map. All series of each baseline and follow-up MRI scans were transferred in Digital Imaging and Communications in Medicine (DICOM) format to a PACS workstation (Centricity PACS; General Electric, Milwaukee, WI) and to a dedicated software (Versavue; iCAD, Nashua, NH) permitting volumetric tumor delineation and data analysis. Longest tumor diameter in the transverse plane (cm), maximal diameter of tumor enhancing portions in the transverse plane (cm), and tumor volume (cm^3) were acquired by manual delineation on the T1-weighted postcontrast at the arterial phase (considering non-enhancing tissue on arterial phase as non-tumoral tissue). For the measurement of enhancing tumor portions, a thin and continuous peripheral rim of enhancement was not considered to be of tumoral nature as this observation is typically a post-Y90 observance. A three-dimensional manual delineation of tumor margins (based on diffusion restriction) was performed on the ADC map in order to generate volumetric voxelwise ADC measurements. As spatial resolution of ADC maps is inferior to other acquired sequences, the decision was made to guide the volumetric tumor delineation by co-registrating other series (T1 pre-/post-contrast, DWI low/high b value images) and delineating areas suspicious of tumor (arterial enhancement, diffusion restriction). The tumor volume on ADC maps, as well as the mean and standard deviation (STD) of ADC values measured in this volume, were reported.

Imaging and pathological response assessment

By imaging, partial response to therapy was defined from baseline as a \geq 30% reduction for the maximal diameter in the transverse plane according to the RECIST criteria; as a \geq 30% reduction for the maximal diameter of tumor enhancing portions in the transverse plane according to mRECIST criteria and as a reduction $\geq 65\%$ of the tumor volume (volumetric transformation of RECIST criteria) [[1,](#page-8-0) [2](#page-8-0), [16](#page-8-0)]. Complete response was defined as the absence of visible tumor (for RECIST and Volume criteria) or the absence of enhancing tumor portions (for mRECIST). A \ge 15% increase in mean ADC value was considered as cut-off to assess response using ADC [[10,](#page-8-0) [17](#page-8-0)]. Pathological analysis of explants consisted in a gross examination, followed by a thin slicing $(0.5-1.0 \text{ cm})$ of representative samples and hematoxylin and eosin staining. The presence of necrosis and of viable tissue inside the tumor was systematically reported by experienced pathologists. Reports of pathological analyses of explants were carefully reviewed by the primary author and tumors were classified as completely necrotic (complete pathological necrosis (CPN)), partially viable (incomplete necrosis with persistence of tumor tissue) or completely viable (persistence of tumor tissue without necrosis).

Statistics

Patients' and lesions' characteristics were presented using descriptive statistics (mean \pm SD) for normal distribution, median, and range for non-normal distribution. The Wilcoxon test was used to compare values of imaging parameters pre- and post-treatment. Tumors were classified as responder (complete or partial response) or non-responder by ADC or pathological criteria as previously specified. Imaging parameters (% change between responding and non-responding lesions) were compared at 1 and 3 months using the Mann– Whitney test. Radiological classification was compared between CPN and non-CPN lesions using the Fisher's exact test for categorical variable. Weighted kappa agreement coefficient was also calculated between imaging response at 1 month, 3 months, and pathological classification. All statistics were conducted on MedCalc (Mariakerke, Belgium). A p value was considered significant if < 0.05 and as a trend if ≥ 0.05 but < 0.10 .

Results

Patients and lesions characteristics

Selected patients included 14 males and 7 females. Their median (IQR) age was 58.5 years old (57.5–63.0). The diagnosis of HCC was confirmed by imaging for 14 patients and by biopsy for 7 patients. Y90 glass microspheres were infused in a segmental artery for 14 patients and in a lobar artery for 7 patients. None of the patients had been previously treated by systemic or liver-directed therapy. No other type of therapy was administered before liver transplantation. Median (IQR) cumulative doses administered at the treatment site were 111.55 Gy (80.97–146.62). Delays (IQR) were 27.0 days (12.0–41.0) between baseline scan and treatment date, 32.0 days (28.8–35.0) between treatment date and 1 month scan, 94.0 days (86.8–116.5) between treatment date and 3 months scan and 177.0 days (51.5–218.3) between 3 months scan and transplant date.

| | Baseline | 1 Month | 3 Months | <i>p</i> value (Wilcoxon test) | | |
|--|------------------------|------------------------|------------------------|--------------------------------|-------------------------|--------------|
| | | | | Baseline to 1 month. | Baseline to 3 months | $1-3$ months |
| RECIST (cm) | $3.6(2.7-4.6)$ | $3.7(2.8-5.4)$ | $3.5(2.1-4.9)$ | 0.28 | 0.28 | 0.05 |
| mRECIST (cm) | $3.3(2.9-5.1)$ | $1.4(0.8-3.2)$ | $1.2(0.5-2.2)$ | < 0.001 | < 0.001 | 0.10 |
| Anatomical Volume (cm ³) | $17.7(8.4-40.6)$ | $24.3(6.5-60.4)$ | $16.1(4.0-59.4)$ | 0.09 | 0.54 | 0.11 |
| ADC Volume $(cm3)$ | $18.0(8.2-47.6)$ | $22.6(10.0-63.4)$ | $18.3(3.8-43.2)$ | 0.20 | 0.20 | 0.03 |
| Mean ADC (mm ² /s \times 10 ⁻³) | $0.185(0.118-1.092)$ | $1.93(0.159-1.316)$ | $0.926(0.157-1.309)$ | 0.04 | 0.03 | 0.69 |
| STD ADC (mm ² /s \times 10 ⁻³) | $0.041(0.023 - 0.220)$ | $0.201(0.039 - 0.272)$ | $0.164(0.034 - 0.280)$ | 0.0496 | 0.09 | 0.85 |

Table 2. Imaging measurements at baseline, 1 month and 3 months post-Y90

 $N = 21$

Values presented as median and interquartile range (IQR)

ADC, Apparent diffusion coefficient; STD, standard deviation

Table 3. ADC STD % change in ADC R/NR

| | ADC R $(n = 13)$ | ADC NR $(n = 8)$ | Total | <i>p</i> value | |
|---|--|--|--|----------------|--|
| ADC STD % change l Month 3 Months | $660.6(44.6-896.8)$ $83.3(24.8 - 1018.2)$ | -9.0 ($-88.8-12.5$) $-9.1(-55.4-6.8)$ | $51.3(0.6 - 756.2)$ $30.5(-8.5-705.05)$ | 0.002 0.008 | |

ADC, Apparent diffusion coefficient; R, responder; NR, non-responder; STD, standard deviation

Imaging changes (Tables 2 and 3)

Baseline scans and follow-up scans at 1 and 3 months were available and were reviewed for all patients included in the study ($N = 21$). Appropriate measurements were properly obtained for every studied imaging parameter. Compared to baseline (median RE- $CIST = 3.6$ cm; tumor volume = 17.7 cm³), median RECIST and tumor volume measurements did not change significantly after 1 month (3.7 cm, $p = 0.28$; 24.3 cm³, $p = 0.09$ or 3 months (3.5 cm, $p = 0.28$; 16.1 cm³, $p = 0.54$) despite a trend of reduction using RECIST criteria from the 1 to 3 months follow-up $(p = 0.05)$. mRECIST reduced from baseline (3.3– 1.4 cm, $p < 0.001$) while mean ADC increased significantly at 1 month (0.185–1.093 mm²/s \times 10⁻³, p = 0.04), those changes being maintained at 3 months $(1.2 \text{ cm}, p < 0.001 \text{ and } 0.926 \text{ mm}^2/\text{s} \times 10^{-3}, p = 0.03).$ STD (standard deviation) of ADC increased significantly at 1 month $(0.041-0.201 \text{ mm}^2/\text{s} \times 10^{-3}, p = 0.0496)$, suggesting an increased dispersion of voxelwise ADC values. ADC STD % change was higher in responding lesions by ADC than non-responding lesions at 1 month $(660.6\% \text{ vs. } -9.0\%, p = 0.002)$ and 3 months (83.3 vs. -9.1 , $p = 0.008$) post-treatment. However, this observation was not related to a difference in ADC responding versus non-responding lesions (median [IQR]: 112.8 [77.8–117.4] vs. 109.1 Gy [89.1–191.0], $p = 0.5$).

Prediction of pathological response by imaging parameters (Table [4\)](#page-4-0)

All lesions exhibited necrosis on pathological analysis and were classified as partially viable $(n = 11)$ or CPN $(n = 10)$. No difference in imaging parameters at baseline or in their

percentage change at 1 or 3 months was observed between CPN and partially viable tumors. For a baseline ADC mean cut-off of 0.2 mm²/s $\times 10^{-3}$, there was no difference in CPN rate in lower ADC mean (5/13) compared to higher ADC mean (5/8) (bilateral Chi-Square test, $p = 0.1827$).

Radiological-pathological classification (Table [5](#page-5-0)) and agreement between imaging response at 1 month, 3 months, and pathological response (Table [6\)](#page-5-0)

No criterion was able to detect complete pathological necrosis (CPN). mRECIST and ADC were the imaging parameters classifying the most lesions as responding at 1 and 3 months. Despite slight improvement of agreement between 1 and 3 months imaging assessment by adding 3D ADC analysis to mRECIST non-responders (κ = 0.38–0.49), mRECIST ($\pm ADC$) at 1 ($\kappa \pm ADC = 0.08/$ 0.06) or 3 months ($\kappa = -0.06/-0.06$) were poor predictors of pathological response.

Discussion

The aims of the study were to evaluate the usefulness and to discuss advantages and limitations of 3D ADC parameters (mean and STD) in comparison (RECIST/anatomical volume/mRECIST) or combination (mRECIST) to conventional radiological response criteria in predicting pathological response of solitary HCC after Y90.

Size and enhancement criteria

In the present study, size criteria (RECIST and anatomical volume) showed clear limitations in detecting early (1 month) response, some of them being inherent

| | $CPN (N = 10)$ | Partially viable $(N = 11)$ | p value |
|--|---------------------------------|---------------------------------|-----------|
| RECIST | | | |
| Baseline (cm) | 3.3 (2.5 to 4.4) | 4.0 $(3.0 \text{ to } 5.1)$ | 0.28 |
| % change 1 month | $6.9(0.0 \text{ to } 10.9)$ | 0.0 (-13.8 to 14.2) | 0.50 |
| % change 3 months | -15.9 (-35.0 to 30.8) | -12.5 (-31.5 to -4.6) | 0.72 |
| mRECIST | | | |
| Baseline (cm) | 3.2 (2.5 to 4.2) | 3.7 (3.2 to 7.4) | 0.16 |
| % change 1 month | -28.6 (-76.7 to 3.0) | -67.6 (-86.5 to -11.8) | 0.44 |
| $%$ change 3 months | -63.9 (-80.8 to -52.0) | -61.3 (-95.9 to -40.1) | 0.62 |
| Anatomical volume | | | |
| Baseline $(cm3)$ | 12.3 $(6.7 \text{ to } 38.8)$ | 20.3 (11.0 to 54.4) | 0.23 |
| % change 1 month | 20.4 (-34.3 to 54.5) | 0.0 (-21.7 to 18.9) | 0.53 |
| % change 3 months | -20.5 (-69.3 to 98.6) | -38.3 (-58.8 to -8.8) | 0.62 |
| Mean ADC | | | |
| Baseline (mm ² /s \times 10 ⁻³) | 0.553 (0.121 to 1.091) | 0.178 (0.118 to 0.948) | 0.94 |
| % change 1 month | 38.2 (9.1 to 633.6) | 18.2 (-15.0 to 840.8) | 0.94 |
| % change 3 months | 30.1 (-11.6 to 93.3) | 22.8 $(-1.5 \text{ to } 771.8)$ | 0.94 |
| ADC STD (in mean ADC R/NR) | | | |
| Baseline (mm ² /s \times 10 ⁻³) | 0.097 (0.037 to 0.216) | 0.027 (0.016 to 0.189) | 0.16 |
| % change 1 month | 13.7 $(0.7 \text{ to } 534.6)$ | 63.8 $(-9.5 \text{ to } 788.8)$ | 0.67 |
| % change 3 months | 19.0 $(-21.7 \text{ to } 67.3)$ | 83.3 $(-4.8 \text{ to } 916.8)$ | 0.44 |

Table 4. % Change of imaging measurements in CPN and partially viable tumor based on pathology

ADC, Apparent diffusion coefficient; CPN, complete pathological necrosis; R, responder; NR, non-responder

to the treatment's nature. Ablative techniques such as radiofrequency ablation immediately destroy tissues, leaving in place a coagulated cavity larger than the baseline tumor while a major component of conventional transarterial chemoembolization (cTACE) mechanism is the brutal hypoxia caused by the entrapment of embolic particles in the arterial feeding vessels [\[18](#page-8-0), [19](#page-8-0)]. One of the earliest imaging surrogates of this mechanism is a precocious and persistent decrease in enhancement within minutes after treatment, followed by necrosis and delayed decrease in size [[20\]](#page-9-0). The size of Y90 microspheres is smaller than embolic agents used in bland embolization or cTACE (up to $20-30$ µm in diameter for glass microspheres), with the microsphere being trapped in the arteriolar capillaries and causing less hypoxia in targeted tissues [\[18\]](#page-8-0). The main effect of Y90 is now known to be the selective exposure of the tumor and the surrounding parenchyma to very high-dose radiation therapy. As a consequence, while it also causes necrosis and size changes, these macroscopic features may be delayed compared to bland embolization or cTACE despite effective therapy. [[21–24](#page-9-0)] Unlike other transarterial therapies, a radiation-induced inflammatory response is generally observed in the treated area, leading to difficulties to distinguish residual or recurrent tumor from inflammation in cases of persistent enhancement [[3,](#page-8-0) [24\]](#page-9-0). Intratumoral septa can also show patterns of enhancement after treatment and may contribute to a delay in imaging manifestations of response [\[21\]](#page-9-0). Hence, despite a clear response according to mRECIST observed as early as 1 month post-Y90, we recognize that mRECIST, postulating that all enhancing tissue in treated tumors corresponds to viable tumoral tissue, is still suboptimal [\[2](#page-8-0)].

3D ADC

Kamel et al. published extensively on methodological refinement and potential applications of ADC in hepatic tumors treated by locoregional therapies and were the first to develop the use of 3D ADC in this setting [\[6](#page-8-0), [10](#page-8-0)– [12,](#page-8-0) [16](#page-8-0), [17](#page-8-0), [25](#page-9-0)]. Results from their studies suggest that ADC is an earlier surrogate of response compared to size criteria [\[6](#page-8-0)]. A survival benefit was demonstrated in cholangiocarcinoma treated by TACE and in neuroendocrine liver metastases treated by intraarterial therapy exhibiting response by ADC [\[10](#page-8-0), [11](#page-8-0)]. One of their study focused on the identification of volumetric functional response criteria of unresectable HCC treated by intraarterial therapy and confirmed that 3D ADC parameters permit to stratify survival [[16\]](#page-8-0). However, to our knowledge, no study explored the use of 3D ADC on a pure population of HCC treated by Y90 only.

In the present study, volumetric ADC (cell density/ membrane permeability) was also found to be dramatically modified early after Y90 at 1 and 3 months, suggesting a potential to detect tumor response earlier than RECIST criteria and anatomical volume mea-surements (Table [2](#page-3-0)) $[26]$ $[26]$. However, on the contrary to results obtained by Manelli et al. in a population of HCC treated by chemoembolization suggesting that baseline ADC is a prognostic factor of pathological outcome, our study failed to demonstrate the utility of baseline ADC mean as a predictor of CPN [[27\]](#page-9-0). There remain methodological issues to address concerning the use of 3D ADC. For instance, would a manual or an automated segmentation tool be more adapted? A better inter-observer agreement was recently observed using semi-automated volumetric ADC measurements compared with the manual drawing of volumes of

| | 1 Month | | | | 3 Months | | | |
|-------------------|------------------|----------|----|-----------|------------------|----------------|----------------|-----------|
| | CR | PR | NR | p value | CR | PR | NR | p value |
| RECIST | | | | | | | | |
| CPN | $\mathbf{0}$ | $\bf{0}$ | 10 | 1.0 | $\boldsymbol{0}$ | | 8 | 1.0 |
| Partially viable | Ω | θ | 11 | | $\mathbf{0}$ | $\frac{2}{2}$ | 9 | |
| mRECIST | | | | | | | | |
| CPN | | 3 | 4 | 0.8 | 2 | 6 | $\overline{2}$ | 1.0 |
| Partially viable | | 6 | 5 | | $\overline{3}$ | $\overline{7}$ | | |
| Anatomical volume | | | | | | | | |
| CPN | θ | | 9 | 1.0 | $\mathbf{0}$ | 3 | | 0.6 |
| Partially viable | θ | | 10 | | $\boldsymbol{0}$ | 2 | 9 | |
| | | 1 Month | | | | 3 Months | | |
| | \boldsymbol{R} | | NR | p value | \boldsymbol{R} | | NR | p value |
| ADC | | | | | | | | |
| CPN | | | | 0.7 | | | | 0.7 |
| Partially viable | 6 | | | | ₍ | | | |

Table 5. Radiological–pathological classification

ADC, Apparent diffusion coefficient; CPN, complete pathological necrosis; CR, complete response (by imaging); NR, non-responder (by imaging); PR, partial response (by imaging)

Table 6. One to three months radiological and radiological-pathological agreements

| | CPN Pathology | Partially viable | No necrosis | κ (strength) | CR. | PR | NR | κ (strength) |
|--------------------------|-------------------------|------------------|-------------|---------------------|-----|------------------|----------------|---------------------|
| 1 Month mRECIST | | | | | | 3 months mRECIST | | |
| $CR (n = 3)$ | | | | 0.06 (poor) | | | 0 | 0.38 (fair) |
| PR $(n = 9)$ | | | | | | h | | |
| $NR (n = 9)$ | | | | | | h | | |
| Total $(n = 21)$ | 10 | 11 | | | | 13 | | |
| 1 Month mRECIST $+$ ADC | | | | | | 3 months mRECIST | $+ ADC$ | |
| $CR (n = 3)$ | | | 0 | 0.08 (poor) | | | $^{(1)}$ | 0.49 (moderate) |
| PR $(n = 14)$ | 6 | | | | | 11 | | |
| $NR (n = 4)$ | | | | | | | | |
| Total $(n = 21)$ | 10 | | | | 5 | 14 | $\overline{2}$ | |
| 3 Months mRECIST | | | | | | | | |
| $CR (n = 5)$ | 2 | | | -0.06 (poor) | | | | |
| PR $(n = 13)$ | 6 | | | | | | | |
| $NR (n = 3)$ | | | | | | | | |
| Total $(n = 21)$ | 10 | | | | | | | |
| 3 Months mRECIST $+$ ADC | | | | | | | | |
| $CR (n = 5)$ | | | | -0.06 (poor) | | | | |
| PR $(n = 13)$ | | | | | | | | |
| $NR (n = 3)$ | | | | | | | | |
| Total $(n = 21)$ | 10 | | | | | | | |

ADC, Apparent diffusion coefficient; CPN, complete pathological necrosis, CR, complete response (by imaging); NR, non-responder (by imaging); PR, partial response (by imaging)

interest for the treatment response of HCC after cTACE and drug-eluting beads TACE [[25\]](#page-9-0). However, the use of (semi-)automated software might be less adapted to Y90. Indeed, as already mentioned, tumor margins are often poorly demarcated after Y90, as surrounding parenchyma is also exposed to radiationinduced alterations, limiting automated segmentation performances. As demonstrated by Mannelli et al. and Kim et al. in HCC treated by cTACE, contrast-enhanced MRI with subtraction technique, particularly the arterial phase, is valuable for estimating intratumoral necrosis and considered to be more consistent

than ADC for the detection of pathological necrosis [[28,](#page-9-0) [29](#page-9-0)]. However, this is dependent on lack of respiratory misregistration, which can be difficult in such a patient population. Continuous improvement in dedicated software will increase volumetric delineation accuracy. Refinements in automated segmentation, motion correction, deformable coregistration, and subtraction reconstruction (for instance, subtraction between arterial and pre-contrast sequences) would be particularly beneficial [\[30](#page-9-0)]. Improvements in spatial resolution of DWI sequences could allow isovoxel ADC maps that would improve ADC measurements.

Fig. 1. A small hepatocellular carcinoma showing typical patterns of arterial enhancement on axial T1 fat suppressed contrast-enhanced image (A) and restricted diffusion on ADC map (B) with histogram presentation (C) was treated by Y90. Post-treatment MRI scans shows liver heterogeneity with increased size, enhancing borders, and necrosis, decrease in

enhancing tumor burden, and increased mean and STD of ADC values at 1 month (D, E, and F) (1.362 and 0.201 mm²/s \times 10^{-3}) and 3 months (G, H, and I) (bottom row, 1.417 and 0.270 mm²/s \times 10⁻³) compared to baseline (1.108 and 0.161 mm²/s \times 10⁻³). On pathological examination, this lesion was found to be completely necrotic without persistent neoplasm.

Intratumoral ADC heterogeneity

Another approach to detect post-treatment imaging changes is to focus on post-Y90 intratumoral heterogeneity. A potential cause of this phenomenon is a nonuniform distribution of radioactive microspheres [[31\]](#page-9-0).

Response heterogeneity is still poorly understood but may play an important role in treatment success estimation. In order to improve the radiological response assessment by ADC, the use of volumetric delineation tools appears particularly adapted after transarterial therapies. In the

Fig. 1. continued

present study, histogram presentation of data permitted to analyze the heterogeneity inside volumes of interest, and a clear increase of ADC STD was observed after Y90, particularly when mean ADC was increased (Table [3](#page-3-0)). This suggests greater heterogeneity of water diffusion restriction after treatment, possibly mixed patterns of necrosis, membrane disruption, and reduction of cellularity (facilitated water molecules diffusion), contrasting with areas of persistent tumor and inflammation (restricted diffusion) (Fig. [1\)](#page-6-0).

mRECIST and ADC combination

Combining mRECIST and ADC improved concordance between 1 and 3 months imaging follow-up from "fair" $(\kappa = 0.38)$ to "average" $(\kappa = 0.49)$ but did not correspond to more accurate interpretation of pathological results (Table [6\)](#page-5-0). This is in accordance with Bonekamp et al. who demonstrated survival benefit if combining volumetric enhancement and ADC criteria after conventional and drug-eluting-beads TACE for unresectable HCC [\[16](#page-8-0)]. However, we limited our analysis to pathological correlation and not survival benefits as our patients had transplants.

Limitations of pathological analysis

A reduction of the tumor burden was confirmed by pathological analysis: all lesions $(n = 21)$ exhibited various degrees of necrosis, 10/21 being completely necrotic. The presence of necrosis can be confidently attributed to therapy, spontaneous necrosis of HCC only being reported in case reports describing large and rapidly growing HCC or in association with arterial feeder thrombosis [\[32](#page-9-0), [33\]](#page-9-0). As a consequence, mRECIST and mean ADC are suspected to be earlier markers of pathological response. However, despite being considered a gold standard in tumor response assessment, pathological analysis has its own limitations. For instance, the detection of the complete absence of viable tumoral tissue (CPN) was recently found to be unpredictable by imaging at 1 or 3 months following Y90 [[4\]](#page-8-0). Concordantly, despite equivalent baseline characteristics, no difference in imaging parameters or their percentage change could be observed between CPN and partially viable tumors in the present study (Table [4](#page-4-0)). This issue has recently been considered in a consensus panel on transcatheter therapies for hepatic malignancies [\[34](#page-9-0)]. Radiation cellular damage is a heterogeneous and dynamic process inducing early (necrosis) or

delayed (apoptosis) death, impaired cell metabolism without death (sideration) or blocked cell replication. Hence, cytological examination on the explant itself is probably not entirely representative of the total treatment effect. Comparable radiological–pathological discrepancies leading to treatment effect underestimation have been described after radiofrequency ablation for HCC [[35\]](#page-9-0). Consequently, the best approach for radiological–pathological interpretation would imply acquiring a complementary scan on the date of transplant but this is generally not feasible.

Limitations and strengths

As objective limitations of this study, we recognize the retrospective design of the study, the small patient sample, variable b values for DWI, and the lack of standardized methodology for obtaining 3D ADC measurement. The present study is however one of the few radiological–pathological studies considering a pure population of HCC treated by Y90 with explants and the first to apply volumetric ADC measurements in such a population. This is of particular interest as ADC interpretation remains limited and lacks validation endpoints, particularly related to post-Y90 therapy. In our study, we selected HCC lesions that were single, small and easily delineated before treatment, providing an interesting model for a response assessment study.

Conclusion

mRECIST and volumetric ADC were more effective than traditional size criteria (RECIST) or volumetric size in detecting an imaging response to Y90 and deserve consideration. mRECIST and ADC changes occurred as soon as 1 month post-Y90 when no response was seen with size criteria even at the 3 month scans. However, both mRECIST and volumetric ADC face severe methodological limitations in the response assessment of HCC treated by Y90. Improvements in methodologies and identification of better surrogates are still awaited.

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