MINI-REVIEW



Sugar alcohols derived from lactose: lactitol, galactitol, and sorbitol

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Received: 26 July 2020 / Revised: 20 September 2020 / Accepted: 22 September 2020 / Published online: 28 September 2020 © Springer-Verlag GmbH Germany, part of Springer Nature 2020

Abstract

Lactose is a common natural disaccharide composed of galactose and glucose molecules. It is mainly found in the whey, the byproduct of cheese and casein industries. As the supply of lactose far exceeds demand, a lot of lactose was discarded as the waste every year, which not only leads to resource waste, but also causes environmental pollution. Therefore, the deep processing of lactose as the feedstock has become a hot research topic. The lactose-derived sugar alcohols, including lactitol, sorbitol, and galactitol, have shown great potential applications not only in food manufacture, but also in pharmaceutical, cosmetic, and material fields. In this paper, we focus on the property, physiological effect, production, and application of the lactose-derived sugar alcohols.

Key points

- The deep processing of lactose as the feedstock has become a hot research topic.
- The lactose-derived sugar alcohols show great application values.
- Recent advances in the lactose-derived sugar alcohols are reviewed.

Keywords Lactose-derived sugar alcohols · Lactitol · Sorbitol · Galactitol

Introduction

Lactose, β -D-galactopyranosyl-(1 \rightarrow 4)-D-glucose, composed of glucose and galactose, is an abundant disaccharide in nature. It is widely present in the whey, which is the byproduct of cheese and casein manufacture. In fact, the annual output of global lactose is more than 1 million tons, and this value is still increasing. However, due to lactose intolerance and other reasons, the commercial value of lactose is not high. Every year, huge amounts of lactose are thrown away as the waste together with whey. But the discarded lactose without recovery will cause serious environmental pollution (Marwaha and Kennedy 1988). Thus, the further utilization of lactose has become one of the current hot topics (Xiao et al. 2019b).

Wanmeng Mu wmmu@jiangnan.edu.cn Because of its chemical structure and large-scale production, lactose has been widely used as the feedstock to produce high-value lactose-derived products. Usually, the utilizations of lactose are performed through hydrolysis (glucose and galactose), hydrogenation (mainly lactitol), oxidation (lactobionic acid), isomerization (lactulose and epilactose), and transglycosylation reactions (galacto-oligosaccharides and lactosucrose) (Cheng and Martinez-Monteagudo 2019). The lactose-derived sugars, including lactulose, epilactose, galacto-oligosaccharides, lactosucrose, and D-tagatose, were already described in our previous review (Xiao et al. 2019a).

In recent years, with the improvement of human lives, healthy diets become the focus of the public. Therefore, the low-calorie sugar alcohols with special physiological functions have been widely used as the sweeteners, flavor enhancers, humectants, and cooling agents in food industries, such as xylitol, sorbitol, mannitol, erythritol, and maltitol (Chen et al. 2020). Besides, some sugar alcohols also display the great potential as building blocks in high-value-added derivatives.

The lactose-derived sugar alcohols, including lactitol, sorbitol, galactitol, and lactulitol, are traditionally synthesized from lactose through hydrolysis and hydrogenation (Fig. 1). Lactitol is the primary product hydrogenation of lactose, while

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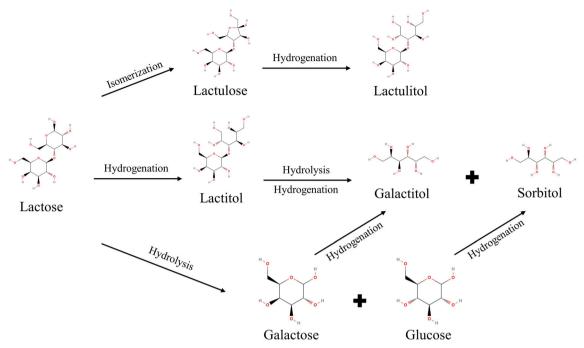


Fig. 1 Overview on the production of lactose-derived sugar alcohols

very small amount of lactulitol is also found at the same time. In addition, lactitol might be further hydrolyzed and hydrogenated to sorbitol and galactitol (Martinez-Monteagudo et al. 2019). Lactitol and sorbitol have already been applied in food industries for many years, as well as in cosmetic, toiletries, and pharmaceutical fields. Galactitol could also be used for the production of rare sugar or pharmaceutical intermediates. In this manuscript, the recent advances in the property, physiological effect, production, and application of the lactosederived sugar alcohols were reviewed. It should be noted that, since there are almost no research reports on lactulitol, the related contents are not discussed in this review.

Lactitol

Properties of lactitol

Lactitol is a 12-carbon monoclinic polyol with no reducing activity. It is not present in nature, and usually obtained from lactose through chemical-catalyzed hydrogenation. In fact, solid-state lactitol exists in different crystalline forms, including one amorphous form, two anhydrate, and three hydrate forms. In most cases, it exists as lactitol monohydrate form, produced from the lactitol slurry through the slow crystallization method (Martinez-Monteagudo et al. 2019). The melting points of lactitol vary depending on the crystalline forms. For lactitol monohydrate, the melting point ranges from 93 to 100 °C, which is influenced by the grinding and drying extent (Halttunen et al. 2001). Lactitol displays about 40% relative sweetness as sucrose and a mild clean sweet taste without

aftertaste. It only has 2.4 kcal/g calorie value, which is about 60% as calorie as sucrose (Table 1). Lactitol is well soluble in water and dimethyl sulfoxide (DMSO), slightly soluble in ethanol, but almost insoluble in chloroform, ether, and ethyl acetate. At room temperature, its solubility is similar to sucrose in water. Because of the absence of a carbonyl group in its chemical structure, lactitol displays a relatively high stability in high temperature and wide pH ranges (pH 3–9). Due to its unique physical and chemical properties, lactitol is widely used in low-sweetness foods (Kummel and Brokx 2001).

Physiological effects of lactitol

In recent years, there have been many studies on the physiological function of lactitol. Only 2% lactitol was absorbed and metabolized in the small intestine by passive diffusion, while the vast majority of lactitol reached the colon without digestion (Natah et al. 1997). The lactitol was slowly fermented in the large intestine acting as the energy source for colonic microflora. Then, it was transformed into short-chain fatty acids (SCFAs), lactic acid, butyric acid, CO₂, and a little H₂. As this result, lactitol was proven as an important prebiotic to stimulate Lactobacilli and Bifidobacteria growth (Finney et al. 2007). What is more, the combination of the prebiotics lactitol and probiotics Lactobacillus acidophilus NCFM displayed symbiotic beneficial effects on the microbiotal composition and mucosal functions (Ramos-Ramos et al. 2020). Unlike other sugar alcohol, lactitol is well tolerated. No undesirable laxative symptoms were observed when the intake was less than 20 g per day (Miller et al. 2014). As fermented in the mouth, the addition of lactitol could avoid the damage to tooth

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	Lactitol	Sorbitol
IUPAC name CAS No. Molecular formula Molecular weight (g/mol) Melting temperature (°C) Water solubility GRAS approved Relative sweetness ^a Calorie value (kcal/g)	4-0- <i>α</i> -D-Gal 585-86-4 C ₁₂ H ₂₄ O ₁₁ 344.3 93-100 Well soluble Yes 40% 2.4	
	Galactitol	Lactulitol
IUPAC name CAS No. Molecular formula Molecular weight (g/mol) Melting temperature (°C) Water solubility GRAS approved Relative sweetness ^a Calorie value (kcal/g)	(2R,3S,4R,5S)-hexane-1,2,3,4,5,6-hexol 608-66-2 C ₆ H ₁₄ O ₆ 182.2 188-189 Soluble NR NR	(2R,3R,4R,5R)-4-[(2S,3R,4S,5R,6R)-3,4,5-trihydroxy-6-(hydroxymethyl)oxan-2-yl]oxyhexane-1,2,3,5,6-pentol NR C ₁₂ H ₂₄ O ₁₁ 344.3 NR NR NR NR NR
NR not reported ^a Sucrose was set as 100%		

 Table 1
 Comparison of properties of lactose-derived sugar alcohols

enamel and reduce the incidence of caries (Loveren and C. 2004). Besides, since lactitol was hardly absorbed in the small intestine, lactitol ingestion exhibited nearly no impact on blood glucose or insulin levels, suggesting that lactitol was a desirable sugar-free sweetener for diabetics (Drakoularakou et al. 2007). In addition, lactitol was one of the most common laxative agents for chronic constipation, because it could enhance the intestinal osmolality, lead to higher fecal volume and moisture content, and stimulate the peristalsis in large intestine (Li et al. 2020). According to many clinical evidence, lactitol was regarded as an efficient drug for the treatment of hepatic encephalopathy, because it could reduce oxidative injury and decrease gut-derived endotoxemia in patients with chronic viral hepatitis (Chen et al. 2013).

Production of lactitol

Lactitol is the primary lactose-derived sugar alcohol; however, it could not be found in the nature. The main method for lactitol production is catalytic hydrogenation from lactose, in which hydrogen reduction occurred at the carbonyl group of the glucose part. About 100 years ago, Senderens obtained lactitol from lactose hydrogenation catalyzed by active nickel, which was the first report about lactitol (Senderens 1920). After that, with the innovation of catalyst materials and optimization of catalytic conditions, chemical synthesis of lactitol developed rapidly (Coughlin and Nickerson 1975).

In chemically catalyzed reactions, the transition metals, reaction temperature, and pressure play the key role. These experimental factors could significantly influence the yield, selectivity, and by-product formation in lactose hydrogenation (Cheng and Martinez-Monteagudo 2019). Generally, the elevated temperatures and high hydrogen pressure could favor the lactitol formation. The hydrogenation process was usually carried out by changing the hydrogen pressure between 20 and 70 bar, at the temperature range from 110 to 150 °C. Because of the good selectivity for lactitol (>90%), sponge nickel catalyst was previously used in industrial process of lactose hydrogenation. However, nickel-based catalysts were limited by the deactivation problem caused by fast nickel leaching and weak catalyst sintering. To solve this problem, the modified ruthenium-based, instead of nickel-based catalysts, are widely used in lactitol production. The ruthenium-based catalysts were usually supported by magnesium oxide (MgO), titanium dioxide (Ru/TiO₂), alumina (Ru/Al₂O₃), silica gel (Ru/gel), cross-linked polystyrene (Ru/CP), hypercross-linked polystyrene (Ru/HPS), and activated carbon (Ru/C) (Kuusisto et al. 2008). Among these ruthenium-based catalysts, Ru/C (> 98%), Ru/HPS (>97%), and Ru/CP (>95%) displayed obviously higher selectivity for lactitol than the others. Overall, ruthenium-based catalysts were more active and stable than nickel-based catalysts. Recently, the ruthenium-nickel bimetallic nanohybrids on TiO₂ (Ru-NiO/TiO₂) were prepared, which could efficiently catalyze the lactose hydrogenation to lactitol. It displayed the highest selectivity (99.4%) for lactitol at almost complete conversion of lactose. What is more, the bimetallic Ru–NiO/TiO₂ catalyst could be revered and reused for four times with no activity loss (Mishra et al. 2018).

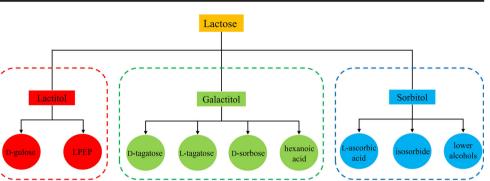
For lactose hydrogenation, the primary catalytic products were lactitol at a conversion yield of about 90%, with small amount of lactulitol as the byproduct. Lactitol might be further hydrolyzed and hydrogenated to sorbitol and galactitol at a yield of 4.6–4.8%. After the whole hydrogenation catalysis, the filtration separation and ion-exchange resin purification were carried out, followed by lactitol concentration and crystallization. Now, the industrial production of lactitol in the world is mainly located in the USA, China, and Europe (Germany, United Kingdom, Switzerland, and Latvia) (Martinez-Monteagudo et al. 2019).

Application of lactitol

Lactitol has been widely used in food industries, due to its good properties and good food safety. For the regulation status, in 1983, lactitol was designated approved as a safe product by the Joint FAO/WHO Expert Committee of Food Additives (JECFA). In 1993, lactitol was classified as generally regarded as safe by the US Food and Drug Administration (FDA). In 2008, it was also allowed as a food additive (sweetening agent E966) in the EU countries. Besides, its use was also approved in many other countries, such as China, Japan, Canada, Australia, Brazil, and Argentina.

Owing to its low calories and moderate sweetness, lactitol is usually used as a bulk sweetener and ideal sucrose substitute in beverage, bakery, candy, and dessert manufacture. While in high-sweetness foods, lactitol is normally used via the combination with high-intensity sweeteners, such aspartame, sodium cyclamate, acesulfame-K, or saccharin sodium, nowadays, sugar-free chocolate becomes very popular, and anhydrous lactitol has been successfully applied in chocolate manufacture. Anhydrous lactitol shows good stability and low cooling effect, providing a top quality and flavor. Lactitol has mild clean taste and caries prevention effect; hence, it is supplied in sugar-free chewing gum together with other sugar alcohols. Besides, the high glass transition temperature and low hygroscopicity make it suitable for the hard candy and sugar-free tablets. In bakery products, as the result of low hygroscopicity, the addition of lactitol could maintain the hardness and brittleness and extend the shelf life (Psimouli and Oreopoulou 2012). In addition, the supplement of lactitol dihydrate displayed excellent cryoprotective effects on fish proteins during frozen storage of surimi (Sych et al. 1991).

Not only in food industries, lactitol also shows broad applications in many other fields, such as biotechnology pharmaceutical, animal feed, material science, and cultural relics preservation (Fig. 2). Because of its excellent physiological Fig. 2 The potential application of lactose-derived sugar alcohols in chemical and biochemical catalytic reactions. LPEP, lactitolbased polyether polyol; lower alcohols contain ethylene glycol, propylene glycol, and glycerol



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functions, lactitol has been already used for the treatment of diabetes (Olli et al. 2016), viral hepatitis (Chen et al. 2013), and chronic constipation (Vanderdonckt and Ravelli 1993). In addition, lactitol could be used as the raw material for Dgulose production through microbial and chemical methods. When fermentation using lactitol as sole carbon source, lactitol was firstly oxidized to the intermediate 3-ketolactitol by Agrobacterium tumefaciens M31, while 3-ketolactitol could be further converted to D-gulose and D-sorbitol by chemical catalysis (Morimoto et al. 2013). It was also reported that the synergistic supplement of lactitol and lactic acid bacteria could enhance the short-chain fatty acid and gas production from intestinal fermentation, which might improve the feed utilization of animal feeding (Piva et al. 2005). In material science fields, a series of lactitol-based polyether polyol (LPEP) were prepared and used in drug delivery system to control the release rates of bioactive compounds (Han et al. 2000). Additionally, lactitol is regarded as the potential surfactants and emulsifier, although there is no commercial application yet (Drummond and Wells 1998). Recently, it was also reported that lactitol showed great potential in preservation of cultural relics. Using pre-impregnation of lactitol and trehalose before freeze-drying, it could effectively reduce the shrinkage and deformation of the waterlogged archeological wood and improve the overall stability of cultural relics (Babinski 2015; Majka et al. 2017).

Sorbitol

Properties of sorbitol

Sorbitol, also known as glucitol, is a six-carbon sugar alcohol and existed naturally in any appreciable quantity, such as vegetables, fruits, tobacco, and seaweed (Fang et al. 2020). Sorbitol is the epimer of mannitol at C-2 position. While similar, these two sugar alcohols have different properties and applications. Sorbitol is a white, crystalline compound, and the solid-state sorbitol has four crystalline forms (α , β , γ , and δ) and one glass transition form (E). These different forms of sorbitol display very obviously different properties, for instance hygroscopicity, hardness, compressibility, and stability (Nezzal et al. 2009). Due to its high melting temperature, low hygroscopicity, and good stability, the γ -form sorbitol is preferred by the food and medicine industries. Crystalline sorbitol, except δ -form, exhibits well compressibility and is widely used in making tablets. It is well soluble in water, slightly soluble in methanol, ethanol, and acetic acid, but almost insoluble in chloroform and ether. With changes in the relative humidity of the surrounding environment, sorbitol gains or loses moisture slowly, which makes it excellent humectants in baked goods. In common with other sugar alcohols, sorbitol is relative stable and does not participate in the in Maillard browning reactions. Sorbitol is about 60% as sweet as sucrose and shows a smooth mouthfeel with a cool, sweet, and pleasant flavor. Sorbitol is usually regarded as a nutritive sweetener and provides dietary energy of 2.6 kcal/g, which is one-third fewer calories compared with sucrose (Table 1).

Physiological effects of sorbitol

Sorbitol could be slowly absorbed in the small intestine passively, and the absorption speed was much lower than that of glucose and fructose. After absorption, sorbitol was firstly transformed into fructose by sorbitol dehydrogenase (SDH), and then converted into fructose 6-phosphate, finally metabolized by the glycolytic pathway (Adcock and Gray 1957). The sorbitol metabolism was not regulated by insulin and did not cause an increase in blood glucose level. According to glycemic response test, the glycemic index (GI) of sorbitol is ultralow at about 10, which belongs to low GI component (less than 50). As this result, it was used as a sugar alternative for diabetics. In addition, the unabsorbed sorbitol could stimulate bowel peristalsis through osmotic pressure, which displays diuretic and laxative effects (Godswill 2017). Usually, the ingested sugar could be fermented on the teeth surface by the oral bacteria, which produced acid and eventually led to the dental caries or tooth decay. Sorbitol was not fermented by the oral bacteria, so it was regarded as non-cariogenic (Rafeek et al. 2019). It is allowed to consume on 40 g of sorbitol daily, but less than 10 g per single dose (Yao et al. 2014).

Production of sorbitol

Sorbitol is one of the most common sugar alcohols and is widespread in plants and fruits, such as in pears, apples, peaches, and grapes. Although found widely in nature, the commercial production of sorbitol is currently the catalytic hydrogenation of glucose, starch, or cellulose (Zhang et al. 2013). In essence, starch or cellulose is first converted into glucose, and then subsequently hydrogenated to sorbitol. The transition metals, such as Ru, Ni, Rh, Pt, or Pd, were used as the catalysts in the hydrogenation process, while the Ru catalysts showed higher hydrogenation activity than others. The hydrogen pressure ranged from 40 and 120 bar, while reaction temperature ranged from 130 to 150 °C. There are so many researches, so the chemical hydrogenation of glucose is not discussed in detail here. Due to its chemical structure, sorbitol could be produced from lactose via glucose or lactitol as the intermediate. It was reported that, using Cu/SiO₂ as the catalyst, lactose could be effectively hydrolyzed and hydrogenated to a mixture of sorbitol and galactitol with a yield of 75-86% (Zaccheria et al. 2017).

Recently, the bioproduction of sorbitol has attracted many attention (Rice et al. 2020). In previous studies, sorbitol could be converted from fructose by the facultative anaerobic Zymomonas mobilis fermentation via glucose-fructose oxidoreductase (GFOR). By treatment with 10% (ν/ν) toluene, the free Z. mobilis cells produced 290 g/L sorbitol and 283 g/L gluconic acid from a 60% sugar solution (300 g/L fructose and 300 g/L glucose), with yields of 96.7% and 94.3%, respectively (Chun and Rogers 1988). Furthermore, using the recombinant Z. mobilis strain harboring the pHW20a-gfo for GFOR over-expression, nearly 2-fold increase of the fermentation activity was obtained in a batch fermentation, when compared with the wild-type strains (Liu et al. 2010). What is more, the bioengineering approaches on lactate dehydrogenase (LDH)-deficient mutants of lactic acid bacteria, such as Lactobacillus plantarum and Lactobacillus casei, were developed for sorbitol production from glucose, which enhanced sorbitol production (overexpress sorbitol-6-phosphate dehydrogenase, S6PDH), blocked the sorbitol consumption (deactivate the sorbitol phosphotransferase system genes, PTS sorbitol), and reduced other by-products (disrupt mannitol-1phosphate dehydrogenase, M1PDH) (Ladero et al. 2007). In addition, using the engineered L. casei mutants, it could produce sorbitol from lactose directly, with a conversion rate of 9.4% (De Boeck et al. 2010).

Application of sorbitol

As one of the most commercial and common sugar alcohols available, sorbitol was used in a wide range of food, pharmaceutical, cosmetic manufacture. Sorbitol was approved as safe by the JECFA, with an acceptable daily consumption of 'not specified', which was the safest category. In addition, it was affirmed as GRAS by FDA, and also allowed to be used as a food additive by EU and many countries, for example China, Japan, Canada, and Australia.

Because of its low calories and cool sweet taste, sorbitol was widely used in sugar-free candy, chocolate, and beverage. Besides, sorbitol displayed the anti-caries properties, which brought the main application in gum, toothpaste, and mouth-wash. It was also an excellent humectants and anti-crystallizing agent available in bakery products. Additionally, sorbitol could lower the freezing point, which made it suitable in ice cream production (Sheet et al. 2014). In surimi and meat processing, sorbitol was usually as the water retention agent, anti-freezing agent, and cryoprotect agent (Dey and Dora 2011). In particular, sorbitol exhibited low glycemic response; as this result, it was an important sucrose alternative in the diets of diabetics.

What is more, sorbitol also showed many non-food applications (Fig. 2). For example, it was an important intermediate for the manufacture of L-ascorbic acid (vitamin C), which occupied nearly 15% of world sorbitol production every year (Pappenberger and Hohmann 2013). It was reported that sorbitol was an efficient laxative, because it could drew water in the large intestine and stimulate bowel movements (Izzy et al. 2016). In modern cosmetics, it was usually used as the humectant and thickener. Most importantly, sorbitol could be degraded into isosorbide and lower alcohols (ethylene glycol, propylene glycol, and glycerol). These lower alcohols were the important chemical raw materials, while isosorbide was a key bio-based chemical compound in biomedicine, cosmetic, and polymer material industries (Zhang et al. 2013).

Galactitol

Physiological effects of galactitol

Galactitol, also known as dulcitol (basic physicochemical properties shown in Table 1), is a metabolic breakdown product of galactose and is involved in metabolism of galactose. In the principal pathway for galactose metabolism, also called Leloir pathway, galactose was firstly phosphorylated to galactose-1-phosphate (Gal1P) by galactokinase; subsequently, Gal1P was converted to UDP-galactose and glucose-1phosphate through replacement reaction with UDP-glucose catalyzed by Gal1P uridyl transferase; after that, the UDPgalactose was transformed to UDP-glucose by UDPgalactose 4-epimerase; finally, UDP-glucose was recycled and converted to glucose-1-phosphate and entered the glucose metabolism pathway. However, a small amount of galactose was converted to galactitol by aldose reductase, and then transformed to galactonic acid by galactose dehydrogenase (Liu et al. 2000). Deficiencies of these galactose metabolism-related enzymes, especially Gal1P uridyl transferase, would lead to the galactosemia disease. This disease causes the accumulation of Gal1P and galactose in the body. The accumulated galactose might be reduced to galactitol, while the galactitol in the lens would cause cataract disease (Leslie 2003). In a worse case, it might lead to liver damage, renal failure, and growth retardation for infants (Berry et al. 2001).

Production of galactitol

Galactitol is another important lactose-derived alcohol. Although it can be produced from galactose by chemical hydrogenation, the bioproduction of galactitol also attracted many researchers' attention. It was firstly reported that several yeast could produce galactitol when growing on galactose. Muniruzzaman et al. constructed a new method for galactitol production from lactose. In this method, lactose was first transformed into galactose by commercial β-D-galactosidase, subsequently converted to galactitol by Mycobacterium smegmatis SMDU strains (Muniruzzaman et al. 1994). Using the engineered Saccharomyces cerevisiae, 3.5 g/L galactitol was obtained from 40 g/L of lactose directly by microbial fermentation (Liu et al. 2019). Recently, it was reported that Rhodosporidium toruloides could produce 8.4 g/L galactitol from 40 g/L galactose when growing in nitrogenrich medium. Interestingly, when growing in nitrogen-poor medium, R. toruloides produced not only galactitol but also lipids (Jagtap et al. 2019).

Application of galactitol

Generally, galactitol was widely applied in the production of rare sugar or pharmaceutical intermediates (Fig. 2). Galactitol is mainly used to produce D-tagatose by galactitol dehydrogenase (GDH) via C-2 oxidation (Lu et al. 2019). After optimization of the induction condition, 3.16 g/L D-tagatose was obtained from 20 g/L galactitol through Gluconobacter oxydans fermentation (Manzoni et al. 2001). It was also found that the addition of glycerol could enhance the GDH activity and increase D-tagatose production, and 4.4 g/L D-tagatose was produced from 22.5 g/L galactitol (Rollini and Manzoni 2005). Among many recombinant GDH, Rhizobium leguminosarum GDH displayed the highest activity towards galactitol, with a specific activity of 13.5 U/mg and conversion rate of 72% (Jagtap et al. 2014). In addition, galactitol could be converted to D-sorbose by Pseudomonas sp. fermentation with 70% conversion ratio. In this process, galactitol was firstly dehydrogenated to D-tagatose, and then epimerized to D-sorbose (Khan et al. 1992). Besides, L-tagatose could be produced from galactitol by GDH oxidation with conversion rate of 78% (Huwig et al. 1997). What is more, 6.96 g/L hexanoic acid was obtained from 15 g/L galactitol through

Clostridium sp. fermentation (Jeon et al. 2013). Recently, the galactitol-based polymers exhibited great potential applications in bone regeneration and drug delivery (Natarajan et al. 2017).

Authors' contributions WZ wrote the manuscript. JC collected references and drew the figures. HW and QC contributed to manuscript editing. WM designed, supervised, and revised the manuscript. All authors read and approved the manuscript.

Funding This study was funded by the National Natural Science Foundation of China (No. 31801583 and 31922073), the Natural Science Foundation of Jiangsu Province (No. BK20180607), and the National First-Class Discipline Program of Food Science and Technology of China (JUFSTR20180203).

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

Ethical approval This article does not contain any studies with human participants or animals performed by any of the authors.

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