



Syngas-aided anaerobic fermentation for medium-chain carboxylate and alcohol production: the case for microbial communities

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Abstract

Syngas fermentation has been successfully implemented in commercial-scale plants and can enable the biochemical conversion of the driest fractions of biomass through synthesis gas (H₂, CO₂, and CO). The process relies on optimized acetogenic strains able to reach and maintain high productivity of ethanol and acetate. In parallel, microbial communities have shown to be the best choice for the production of valuable medium-chain carboxylates through anaerobic fermentation of biomass, demanding low technical complexity and being able to realize simultaneous hydrolysis of the substrate. Each of the two technologies benefits from different strong points and has different challenges to overcome. This review discusses the rationales for merging these two seemingly disparate technologies by analyzing previous studies and drawing opinions based on the lessons learned from such studies. For keeping the technical demands of the resulting process low, a case is built for using microbial communities instead of pure strains. For that to occur, a shift from conventional syngas-based to “syngas-aided” anaerobic fermentation is suggested. Strategies for tackling the intricacies of working simultaneously with communities and syngas, such as competing pathways, and thermodynamic aspects are discussed as well as the stoichiometry and economic feasibility of the concept. Overall, syngas-aided anaerobic fermentation seems to be a promising concept for the biorefinery of the future. However, the effects of process parameters on microbial interactions have to be understood in greater detail, in order to achieve and sustain feasible medium-chain carboxylate and alcohol productivity.

Keywords Chain elongation · Biorefinery · Syngas fermentation · Reverse beta-oxidation · Open culture · Acetogenesis

Introduction

Biorefineries based on waste biomass are appealing technologies that can support efforts of moving to a new bio-based, circular economy through the production of platform chemicals and fuels (de Jong et al. 2012). Among the many candidate technologies, chain elongation (CE) and syngas fermentation (SF) are two bioprocesses performed by anaerobic bacteria that might have a place in the integrated biorefineries of the future (Bengelsdorf and Dürre 2017; Chen et al. 2017; De Groof et al.

2019; Latif et al. 2014). Nevertheless, both CE and SF face their own challenges to achieve feasibility as industrial-scale processes. For instance, although CE is able to produce high-value medium-chain carboxylates (MCC) from waste biomass, in most lab-scale experiments to date, refined ethanol or lactate had to be co-fed to achieve extractable product concentrations (Chen et al. 2017). While the first demonstration plants of SF have started operating with CO-rich waste gas from steel mills, their main products are mostly limited to commodities that can also be produced by already existing biorefinery technologies, in particular ethanol and acetate (Takors et al. 2018). Thus, since 2008, when Steinbusch et al. (2008) purposely co-fed H₂ to a microbial community to steer production to carboxylates and alcohols, many studies have been trying to merge SF and CE as a way of complementing the limitations of one technology with the other's advantages.

Recent reviews have covered anaerobic fermentation for CE with microbial communities (Angenent et al. 2016; De Groof et al. 2019) and production of chemicals through SF (Bengelsdorf et al. 2018; Fernández-Naveira et al. 2016; Liew

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et al. 2016b; Molitor et al. 2017). This review is focusing on the use of syngas with such communities (sometimes also referred to as consortia, reactor microbiota, mixed cultures, or open cultures) to aid or to completely sustain production of medium-chain carboxylates and alcohols. Some studies with *Clostridium carboxidivorans* and acidogenic-carboxydrotrophic co-cultures are also covered to establish a comparison ground with syngas-aided anaerobic fermentation by microbial communities.

General overview of chain elongation

Through a pathway known as reverse β -oxidation (RBO), short-chain monocarboxylates (SCC, e.g., acetate, propionate, *n*-butyrate) are elongated by two carbons to MCC (e.g., *n*-valerate, *n*-caproate) with the help of an electron donor (Fig. 1a). Once a broth is rich in MCC and electron donors are available, it is possible to trigger bacterial solventogenesis metabolism by changing reactor operating conditions to produce medium-chain alcohols (MCA, e.g., *n*-pentanol, *n*-hexanol) from their MCC counterpart, thereby expanding the product spectrum of CE (Ganigué et al. 2016).

Limitation by electron donors

Despite its potential to convert waste biomass into higher-value MCC, CE is mainly limited by the electron donor. Ethanol and—more recently—lactate have been mostly used as electron donors (Angenent et al. 2016; Cavalcante et al. 2017; Zhu et al. 2015). As most industrial waste streams have lower ethanol or lactate concentrations than ideally needed to promote high yield CE, considerable amounts of these chemicals have to be procured to the fermenter feed.

Ethanol and lactate supplementation to improve MCC has consequences on the economics and sustainability of the process:

1. Large-scale streams of diluted ethanol and lactate, e.g., corn beer from bioethanol plants or acid whey from dairy production lines can be concentrated to the commodity-grade chemical by inexpensive, mature technologies (e.g., pressure swing adsorption for ethanol and evaporation/crystallization for lactate) (Chahal and Starr 2006; Kosaric et al. 2000). This means that the feasibility of using ethanol or lactate for MCC production would be restricted to niche waste streams—streams that for certain reasons cannot be used for commodity ethanol or lactate production. Some of these streams have been already studied, such as wine lees with diluted ethanol (Kucek et al. 2016c) or lactate from ensiled crop by-products (Lambrecht et al. 2019; Scarborough et al. 2018b; Sträuber et al. 2018).
2. Considering the environmental impact, ethanol use was identified as the biggest accountable factor for the environmental impact in terms of global warming potential,

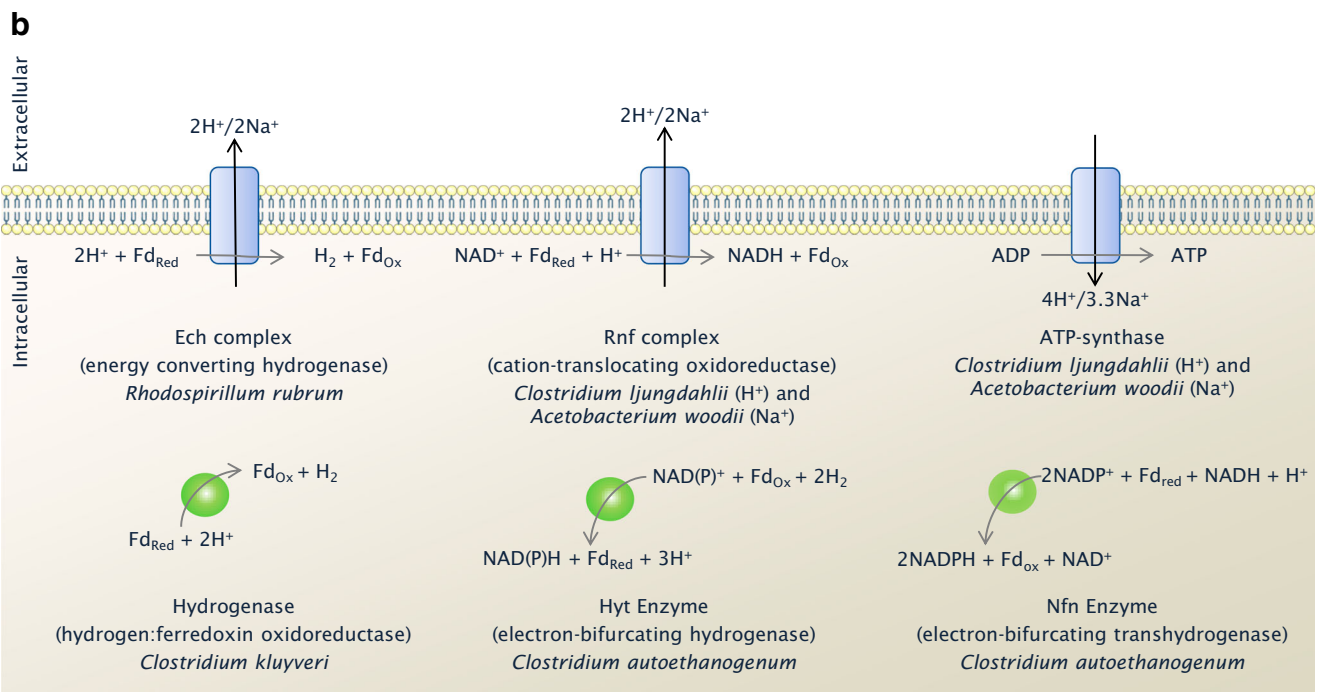
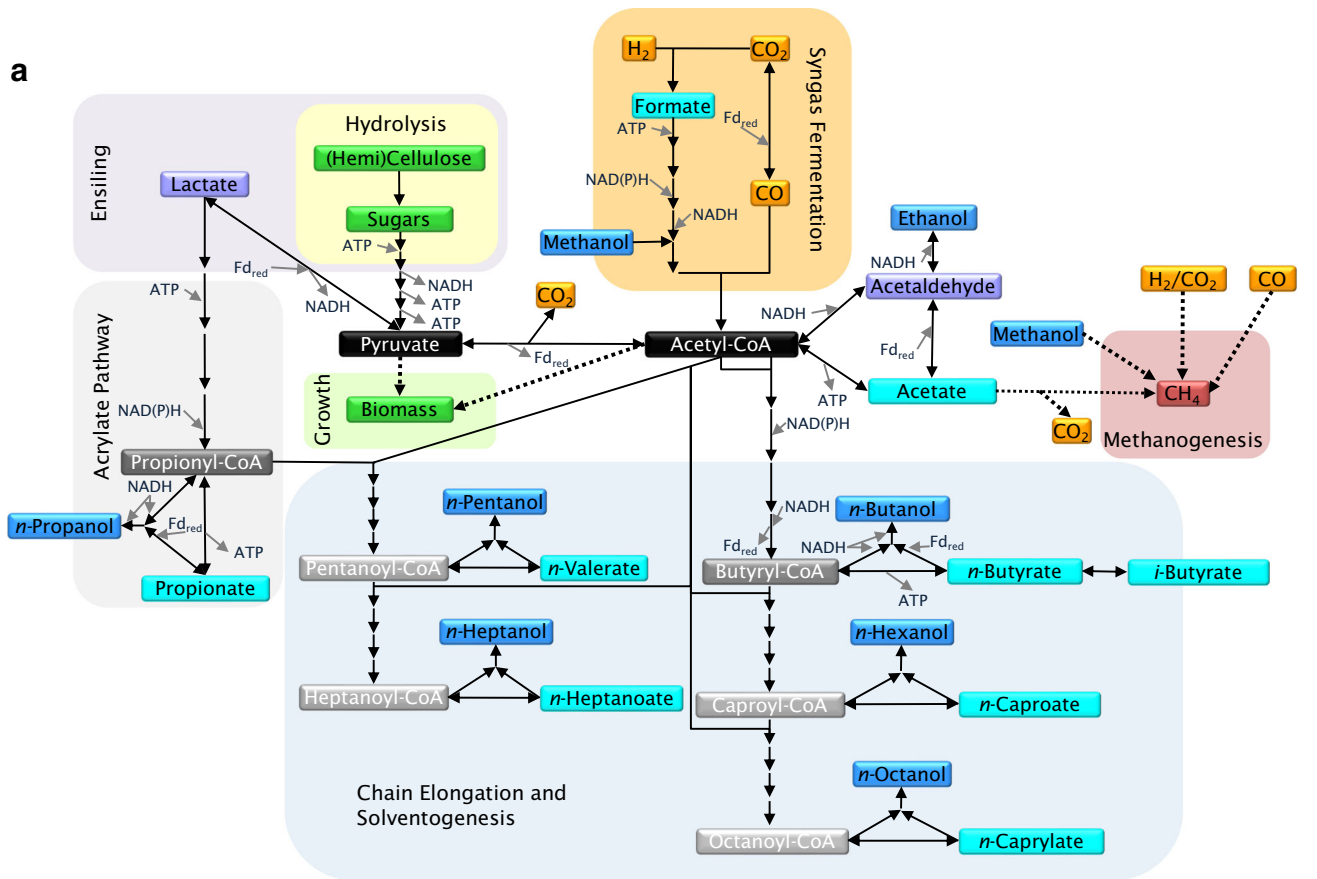
Fig. 1 Most relevant metabolic arsenal of an anaerobic microbial community for syngas-aided chain elongation for MCC and MCA production. **a** Different metabolic routes can be realized by a single cell or by two or more species with extracellular transfer of intermediates. *i*-Butyrate production is likely from bio-isomerization of *n*-butyrate and is a coproduct receiving increasing attention; *i*-caproate is another possible product (not shown) (de Leeuw et al. 2019; de Smit et al. 2019). Each arrow represents one reactional step realized by an enzyme, phosphorylation steps are omitted for conciseness. The direction of the arrow suggests the most favorable direction of the reaction during MCC and MCA production. Unidirectional arrows do not mean, necessarily, irreversible reactions. Dashed lines illustrate simplified pathways. Lines connected without an arrow indicate a summing reaction. For instance, one acetyl-CoA and one butyryl-CoA are used to form caproyl-CoA. Use of electron carriers and ATP coupling for *n*-valerate, *n*-caproate, *n*-heptanoate, and *n*-caprylate occur analogously to *n*-butyrate formation. Different species may use different electron carriers than those proposed here. **b** Both the WLP and RBO pathways are intimately connected to energy conservation, electron cycling, and the ionic homeostasis of the cell. Some of the most relevant soluble and membrane-bound enzymes for CE and SF are shown with exemplary stoichiometry values. The arrow directions indicate the normal reaction direction during autotrophic growth or carboxylate production. These reactions are generally reversible under the physiological conditions of the cell. Schemes elaborated based on Angenent et al. (2016); Buckel and Thauer (2013); Liew et al. (2016a); Schuchmann and Müller (2014); Bengelsdorf et al. (2018); Costa and Leigh (2014); Kremp et al. (2018); Spirito et al. (2014); Weghoff et al. (2015); Weimer and Moen (2013)

acidification potential, and eutrophication potential during *n*-caproate production from waste biomass (Chen et al. 2017). The impact of supplemented lactate in the MCC production life cycle remains to be assessed. However, it can be anticipated that the environmental impact of procured lactate is not too different from that of ethanol since commercial lactate is also produced by sugar fermentation or by chemical synthesis from fossil derivatives (Chahal and Starr 2006; Endres and Siebert-Raths 2009).

In order to improve process feasibility, it is highly desirable to supplement the waste streams feed with more affordable electron donors than ethanol and lactate. Novel strategies are studied to diversify ethanol and lactate usage in CE. Among them, the usage of strains that produce MCC from sugars or methanol that could come from waste biomass (Chen et al. 2016; Jankowska et al. 2018; Jeon et al. 2016), bioelectrochemical systems (Jourdin et al. 2018; Vassilev et al. 2019), phototrophic organisms (Doud et al. 2017), or, ultimately, approaches using the reductive power of H₂ and CO (Liew et al. 2016b; Steinbusch et al. 2011) have been investigated.

Medium-chain carboxylates and alcohols of special interest

MCC and MCA are potential platform chemicals and biofuels that could meet many market needs that nowadays are met by fossil resources. Among MCC, *n*-caproate (C6) and *n*-caprylate (C8) have received special attention in CE research.



This is attributed, among other reasons, to higher C/O ratios, higher energy density, and easiness to extract them from water in comparison to *n*-butyrate.

Regarding alcohols, similar reasoning justifies research focus on *n*-butanol and *n*-hexanol production among MCA (Fernández-Naveira et al. 2017). The highly

reduced and almost water-insoluble *n*-octanol would be also a desired product from acidogenic-solventogenic fermenters, but its production in anaerobic fermentations has been restricted to trace amounts up to now (Richter et al. 2016a).

Production of even-numbered MCC depends on the presence of an electron donor that generates acetyl-CoA (i.e., ethanol or lactate) and even-numbered SCC (e.g., acetate, *n*-butyrate), which are more commonly present in acidogenic reactors than the odd-numbered counterparts, i.e., *n*-propanol or propionate, which are needed to form *n*-valerate and *n*-heptanoate (Fig. 1a) (Bengelsdorf et al. 2018). Since *Clostridium kluyveri* produces *n*-valerate when fed by propanol and acetate (Kenealy and Waselefsky 1985), one way to extend product selectivity to odd-numbered MCC is to use *n*-propanol as an electron donor. The reason for it is shown in the metabolic network in Fig. 1a where propionyl-CoA, produced from *n*-propanol, condenses with acetyl-CoA during CE forming the odd-numbered *n*-valerate through pentanoyl-CoA (Kenealy and Waselefsky 1985; Marounek et al. 1989). Since the RBO pathway can really be intermediated by acetyl-CoA together with propionate (propionyl-CoA), it is also possible to produce odd-numbered MCC from lactate and acetate by a bacterium such as *Megasphaera elsdenii* that possesses both the RBO and the acrylate pathways, the latter being a lactate-consuming pathway intermediated by propionyl-CoA, as shown in Fig. 1a (Weimer and Moen 2013). Methanol is another strategic electron donor for being a C1 compound able to be incorporated into the MCC and MCA pool. Mostly even-numbered MCC are produced from methanol and acetate fed to a mixed culture (Chen et al. 2016). Methanol could in principle be oxidized to formaldehyde that enters the Wood-Ljungdahl pathway (WLP) or have its methyl group transferred to THF to yield methyl-THF. Downstream, methyl-THF is converted with carbon monoxide into acetyl-CoA by a microorganism able to grow autotrophically, like *Eubacterium limosum* (de Smit et al. 2019; Kremp et al. 2018; Pacaud et al. 1985).

Syngas as an alternative source of electron donors

With the capacity to convert the lignin fraction, biomass gasification technologies may also have a place in the integrated biorefinery of the future. Gasification products are considered third-generation substrates; among them, syngas (i.e., H₂, CO, and CO₂) and water-shifted gas (i.e., H₂ and CO₂) can be an extra source of electrons and carbon for MCC production (Cueto-Rojas et al. 2015; Liew et al. 2016b). Besides, H₂ gas from electrochemical processes could also be fed to the anaerobic fermenter as long as a carbon source is provided (Rabaey and Rozendal 2010; Vassilev et al. 2018). For this review, water-shifted gas and H₂ gas are all referred as syngas for simplicity.

The gateway for incorporating syngas into the carboxylate pool is the WLP (Fig. 1a). In the WLP of conventionally known homoacetogens, H₂, CO₂, and CO are fixed into acetyl-CoA with consumption of ATP. Downstream, acetyl-CoA can either be converted to acetate, returning the ATP investment, or to ethanol, oxidizing NAD(P). As shown in Fig. 1b, the pathway depends on the interconversion of these electron carriers through hydrogenases in the cytosol and cation export complexes such as the Rnf complex (e.g., in *Clostridium ljungdahlii* and *Acetobacterium woodii*) or the Ech complex (e.g., in *Moorella thermoacetica* and *Rhodospirillum rubrum*) (Schuchmann and Müller 2014). Net ATP gain in autotrophically grown homoacetogens is still possible thanks to the ion-motive force maintained by these ion export complexes (i.e., Rnf or Ech) and ion import made by transmembrane ATP synthases (Fig. 1b). There is in-depth literature covering current knowledge of SF by anaerobic bacteria (Bengelsdorf et al. 2018; Diender et al. 2015; Liew et al. 2016b; Schuchmann and Müller 2014).

Other, less common products of syngas-fermenting bacteria are formate, 2,3-butanediol, *n*-butyrate, *i*-butyrate, *n*-caproate, *n*-butanol, and *n*-hexanol (Bengelsdorf et al. 2018). Up to date, *C. carboxidivorans* and *E. limosum* are the only two strains reported to be able to form *n*-caproate from syngas, and *C. carboxidivorans* is the only known strain able to form *n*-hexanol from syngas (Lindley et al. 1987; Phillips et al. 2015).

When *C. carboxidivorans* was not found in syngas-fed microbiota, it was assumed that *n*-caproate/*n*-caprylate production occurred via a multi-species synergy with conventional CE intermediated by acetate (or *n*-butyrate) and ethanol from the WLP (Ding et al. 2010; Ganigué et al. 2015). Conversely, typical ethanol-based chain-elongating species, such as *C. kluyveri*, have not always been found in studies where *n*-caproate and *n*-caprylate were produced (Grimalt-Alemany et al. 2018; Kucek et al. 2016b; Nzeteu et al. 2018).

Microbial communities and pure cultures

Syngas can be swiftly consumed by pure strains producing a limited range of short-chain chemicals such as acetate and ethanol (Molitor et al. 2017). *C. carboxidivorans* P7 (Phillips et al. 2015; Ramachandriya et al. 2013) is a remarkable exception because, to date, no other pure culture has been confirmed to produce the C6 carboxylate and alcohol when fed only with syngas. Yet, even after media optimization for strain P7, concentrations of C6 produced from syngas remained one order of magnitude lower (i.e., ~ 1 g/L) than those produced in conventional CE reactors (i.e., ~ 10 g/L of *n*-caproate or about the solubility limit of *n*-caproate at the working pH) (Fernández-Naveira et al. 2019; Grootscholten et al. 2014; Ramió-Pujol et al. 2015; Reddy et al. 2018). Even though there might be other strains producing MCC from

syngas (e.g., the syngas-fermenting species *E. limosum* that can also produce C6 from methanol), their product titers will hardly increase by an order of magnitude (Bengelsdorf et al. 2018; Lindley et al. 1987; Wade 2015). Thus, without considering the use of genetic engineering, some studies have adopted two-culture approaches with homoacetogenic and acidogenic strains (Diender et al. 2016; Gildemyn et al. 2017; Richter et al. 2016a).

Microbial communities share the low C6 and C8 titers of *C. carboxidivorans* when fed only by syngas (Molitor et al. 2017). Still, mixed cultures can have productivities and concentrations of MCC comparable with pure cultures of chain-elongating species in lactate- or ethanol-based acidogenic reactors and in a broader range of pH (De Groof et al. 2019). Benchmarking open mixed cultures with *C. carboxidivorans* and co-cultures (e.g., *C. kluyveri*/*C. ljungdahlii*) for syngas-aided CE is limited since microbial communities are the only option to directly convert complex biomass (e.g., lignocellulose) to the MCC pool. Therefore, a co-feeding strategy of syngas and degradable types of biomass (in particular with feedstock proven successful for CE) can make mixed cultures feasible for syngas-fermenting reactors. In other words, open cultures may excel in syngas-aided (and not in syngas-based) CE.

The use of open mixed cultures can further add simplifications to the bioprocess of MCC and MCA production. It is known from anaerobic digestion and fermentation research that microbial communities can operate steadily in unsterile reactors (Werner et al. 2011; Agler et al. 2014; Sträuber et al. 2018), which can help lower process capital and operating costs in comparison with monoseptic conditions. Besides, it is expected that communities can better handle the inhibitors and contaminants typically found in syngas. Aromatics, tars, HCN, sulfur oxides, and many other compounds - besides H₂, CO, and CO₂—can be found in real syngas and some are known to negatively affect performance of the best syngas fermenters (Oswald et al. 2018; Sikarwar et al. 2016). Open mixed cultures have shown robustness and resilience in hydrolysis and fermentative reactor operation and are used to degrade biomass of varying quality despite the presence of natural inhibitors in the substrate, such as alkaloids (Popp et al. 2016) and phenolic compounds (Chapleur et al. 2016). This characteristic resilience to substrate quality fluctuations has been decisive for the success of technologies in anaerobic digestion and wastewater treatment (Werner et al. 2011). However, to the best of the authors' knowledge, no study has tested yet this assumed robustness of microbial communities with real syngas.

The biggest hurdles for the application of open mixed cultures may be instability of the community and lack of knowledge of the microbial interactions in these systems (Arslan et al. 2016; Werner et al. 2011). It is not trivial to

establish a common ground for comparing kinetics between pure and mixed cultures. However, it can be observed that when operating with mixed cultures fed with syngas, longer fermentation times (in the order of dozens and hundreds of days) are generally needed to achieve steady production rates in comparison with pure cultures, the latter having more reproducible and definable kinetics. The study done by Ganigué et al. (2015) can be taken as an example, where batch trials with syngas-fed *C. carboxidivorans* and with an enriched carboxydrotrophic mixed culture were realized for 4 and 20 days with the pure culture and the microbial consortium, respectively. Dynamics and fermentation time to achieve stable conversion rates can also be in the order of dozens of days for microbial communities degrading solid substrates as shown by Sträuber et al. (2016), where a 200-day pre-cultivated community was used to degrade corn silage in semi-continuous reactors. Fortunately, studies on acidogenic bacterial communities do not have to start from scratch because much of the knowledge developed with methanogenic communities in anaerobic digesters is useful for MCC- and MCA-producing communities (Agler et al. 2014, 2012; Spirito et al. 2014). In the next years, the remaining knowledge gaps on acidogenic bacterial communities may be tackled with the advancement of high-throughput omics approaches and increasing accessibility of cell-level analytical techniques. Notwithstanding, deeper understanding in microbial ecology will offer applied insights on what happens and what does not within such fermentative communities.

Process rationales for syngas-aided and syngas-based strategies

The concept of merging SF and CE in order to take profit from its synergies has been a topic in several previous studies. Main rationales together with example studies are stated in Table 1. Figure 2 summarizes the process flow strategies adopted in the studies that attempted to join CE and SF. Figure 2 a and d are syngas-based strategies while Fig. 2 b, c, and e are syngas-aided strategies.

The scheme depicted in Fig. 2a shows SF in the first process step and a CE reactor receives the effluent from the first reactor, preferably with a high ethanol:acetate ratio. The first reactor depends on solventogenic carboxydrotrophic bacteria and the CE reactor needs, therefore, bacteria able to realize ethanol-based CE. As shown in Table 1, studies that adopted this strategy opted out for a pure strain for the SF step and for *C. kluyveri* or a mixed culture for the CE step.

Figure 2 b shows CE as the first step and SF in series for reducing MCC to MCA. Richter et al. (2013) built

Table 1 Rationales for merging syngas fermentation (SF) and chain elongation (CE) processes and study examples

Rationale	Reference	Strategy	Comments
Syngas as a supplemental electron donor	Steinbusch et al. (2011)	Fig. 2e With the use of a non-enriched, granular sludge inoculum to produce MCC from ethanol, H ₂ , and acetate in mineral medium.	One of the first studies clearly showing increased MCC production with co-fed H ₂ . In batch bottles at pH 7, H ₂ was fundamental to trigger C8 formation and caused 50% increase in C6 production. In fed-batch reactors with low-flow H ₂ bubbling and intermittent ethanol feeding, up to ~ 8.2 g/L <i>n</i> -caproate and ~ 3.4 g/L <i>n</i> -caprylate were achieved after about 110 days of operation at neutral pH.
	Vasudevan et al. (2014)	Fig. 2a Using a mixed culture for the second process step.	As a proof of concept, the authors fed a chain elongating community with a SF broth from <i>C. ljungdahlii</i> containing ethanol, carbonate, and acetate. Finally, concentrations of 1 g/L <i>n</i> -caproate and 20 g/L <i>n</i> -butyrate were achieved.
	Kucek et al. (2016b)	Fig. 2a Similar concept as in Vasudevan et al. (2014). However, adopting strategies to steer production to C8.	An acidogenic reactor was operated with inline product separation for 186 days fed by a mimicked SF effluent mixture with diluted ethanol and acetate. The authors highlighted the high <i>n</i> -caprylate productivity of 0.33 g/(L h) and proposed strategies to increase selectivity to <i>n</i> -caprylate.
	Nzeteu et al. (2018)	Fig. 2e With a preceding hydrolysis step. Leachate with residual lactate, ethanol, and carboxylates from food waste was fed together with H ₂ gas.	Batch tests with the leachate of a food waste bioreactor comparing co-feeding with ethanol, H ₂ , and H ₂ /ethanol. Bottles with added H ₂ and H ₂ /ethanol increased <i>n</i> -caproate formation from 4.1 g/L to 10.4 g/L after 8 days in comparison with controls and ethanol-only fed bottles. Information on H ₂ consumption could not be found.
Syngas as the only electron donor	Zhang et al. (2013)	Fig. 2d Use of a non-enriched methanogenic community in a hollow fiber membrane biofilm reactor to overcome H ₂ mass-transfer limitations.	The authors achieved concentrations of 0.98 g/L <i>n</i> -caproate and 0.42 g/L of <i>n</i> -caprylate after 80 days by feeding the biofilm with H ₂ and CO ₂ at pH 6.0.
	Phillips et al. (2015)	Fig. 2d Single-step process using <i>C. carboxidivorans</i> P7.	First study showing the strain's ability to produce <i>n</i> -hexanol in a defined medium from mixtures of H ₂ , CO, and CO ₂ in the bottle headspace. By optimizing minimal medium composition and other cultivation techniques to avoid substrate inhibition by CO, roughly 1 g/L of both <i>n</i> -hexanol and <i>n</i> -butanol were produced after 15 days under the most favorable conditions.
	Ganigué et al. (2016)	Fig. 2d Operation with a natural pH drop towards the end of each batch to trigger solventogenesis.	Using intermittently bubbled syngas (H ₂ , CO, and CO ₂ , 32:32:8) in a fed-batch reactor, the authors achieved not only an <i>n</i> -caproate concentration of 0.6 g/L after around 40 days, but also subsequent solventogenesis, in which 1.1 g/L and 0.6 g/L of <i>n</i> -butanol and <i>n</i> -hexanol, respectively, were produced after about 110 days.
	Richter et al. (2016a)	Fig. 2d Use of a co-culture of <i>C. ljungdahlii</i> and <i>C. kluveri</i> . The continuous reactor was coupled with a condenser for inline extraction of alcohols.	Using a syngas mixture with 60% CO and 35% H ₂ productivities peaked at 0.725 g/(L d) and 0.539 g/(L d) of <i>n</i> -butanol and <i>n</i> -hexanol, respectively, besides traces of <i>n</i> -octanol. Gas and cell recirculation allowed for continuous high bubbling of syngas and likely higher gas transfer rates. However, the MCA recovery in the inline condenser was suboptimal. Authors proposed the study of a three-step process (SF,

Table 1 (continued)

Rationale	Reference	Strategy	Comments
	Diender et al. (2016)	Fig. 2d Use of a stable co-culture of <i>C. autoethanogenum</i> and <i>C. kluyveri</i> in culture bottles to convert syngas and CO ultimately to MCC and MCA.	CE, and solventogenesis) in comparison with the single-step approach tested. Using different mixtures of CO and H ₂ and testing different parameters like shaking, pH, and acetate co-feeding. <i>n</i> -caproate and <i>n</i> -hexanol concentrations reached ~ 1.2 g/L and ~ 0.41 mg/L, respectively, after 8 days with excess CO, shaking, pH 6.0, and acetate co-feeding. Apparently, the co-culture productivity was limited by ethanol formation by <i>C. autoethanogenum</i> .
	He et al. (2018)	Fig. 2d Use of a non-enriched methanogenic community in an upflow column reactor filled with sponge pads.	144 mg/L <i>n</i> -caprylate was produced after around 110 days by feeding with increasing pressure of CO. However, high organic solids charge from inoculum and yeast extract might have blurred the real production of <i>n</i> -caprylate from CO.
Inhibition of competitive methanogenesis	Esquivel-Elizondo et al. (2018)	Fig. 2e. Single-step, fed-batch operation with serum bottles.	Higher CO partial pressures from 0 to 0.3 atm steered the electron pool to MCC, increased the fraction of <i>Clostridia</i> in the community and partially inhibited methanogenesis. A maximum concentration of 0.317 g/L <i>n</i> -caproate was produced at 0.3 atm CO and around 0.5 g/L ethanol after 36 days.
Reduction of medium costs	Richter et al. (2013)	Fig. 2b <i>C. ljungdahlii</i> fed with a model mixture or real broth of a CE reactor.	Under optimal conditions, 830 mg/L of <i>n</i> -caproate originally present in a CE reactor broth was consumed by <i>C. ljungdahlii</i> during continuous bubbling of syngas (H ₂ :CO:CO ₂ 30:65:5) to produce around 450 mg/L of <i>n</i> -hexanol. Using prices of laboratory-grade ingredients, the authors argued that without the need for yeast extract, process costs of SF fed by a CE broth could be lowered significantly.
	Gildemyn et al. (2017)	Fig. 2a With a pure strain for the chain elongation step.	<i>C. kluyveri</i> was used to produce MCC from a filtered, ethanol-rich <i>C. carboxidivorans</i> P7 broth, removing the necessity of supplementing the CE culture with yeast extract.
Syngas for solventogenesis	Steinbusch et al. (2008)	Fig. 2c Use of a mixed culture fed with 100% H ₂ (1.5 bar) together with acetate, propionate, or <i>n</i> -butyrate in serum bottles initially at pH 5.0	Production of 0.37 g/L and 0.22 g/L of <i>n</i> -propanol and <i>n</i> -butanol, respectively, after 25 days. The MCC production showed a relatively high conversion efficiency of around 50%.
	Liu et al. (2014)	Similar to the strategy Fig. 2c, although the first CE step is not present. Propionate and <i>n</i> -butyrate were co-fed to a mixed culture dominated by <i>Alkalibaculum bacchi</i> CP15 and <i>Clostridium propionicum</i> .	Production of up to 0.98 g/L <i>n</i> -propanol and traces of <i>n</i> -butanol was achieved by feeding the culture with artificial syngas (H ₂ , CO, and CO ₂ 30:40:30). A high concentration of undefined corn steep liquor nutrient solution was used and it might have blurred the real production of alcohols from syngas. It is supposed that both species worked synergistically to ultimately produce <i>n</i> -propanol and <i>n</i> -butanol.

the case for lowering medium costs by assuming prices for laboratory-grade, non-bulk nutrients. The authors acknowledged that laboratory-grade nutrient prices are

higher than industrial-grade ones but sustained the point that the estimate is still valid to prove the need for lower nutrient costs. This nutrient cost overestimation is

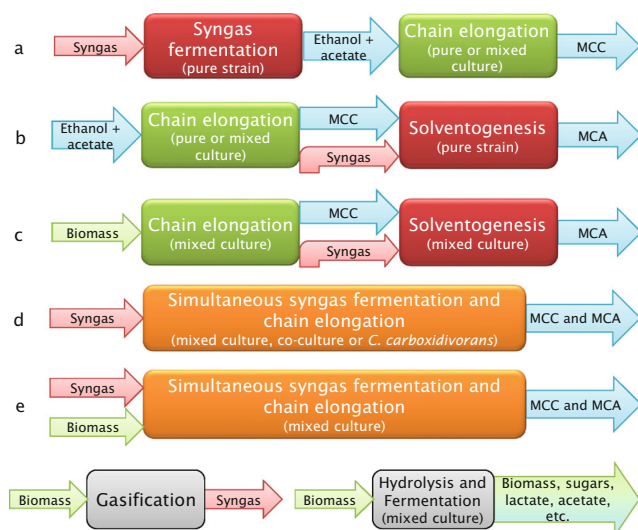


Fig. 2 Process rationales for merging syngas fermentation (SF) and chain elongation (CE). Ethanol can be substituted by lactate as an electron donor for CE. Virtually all organic fractions of feedstock biomass can be incorporated into SF by gasification, although not all biomass types are feasible. Fractions of biomass can be incorporated to CE by microbial hydrolysis and fermentation. MCC: medium-chain carboxylates; MCA: medium-chain alcohols

sometimes orders of magnitude higher than from industrial-grade ingredients, thus raising a flag about the claim that medium costs are indeed such a big hindrance for viability of SF and CE as bioprocesses.

The strategy depicted in Fig. 2c is the least frequently studied among the five strategies. Such strategy allows the incorporation of feedstock biomass to the substrate pool and can work with two differently acclimatized microbial communities. It is a possible answer to the incompatibilities of process conditions of CE, SF, and solventogenesis reported previously (Ganigué et al. 2016; Richter et al. 2016a). The first stage operates as a hydrolysis and CE reactor and the second stage converts carboxylates to alcohols through syngas-based solventogenesis. However, one caveat against this strategy is that, in general, microbial communities have not excelled in solvent production.

Figure 2 d represents the scheme for simultaneous SF and CE to MCC and MCA. A strain like *C. carboxidivorans* P7 can produce MCC and MCA from syngas in a single process step. Despite *C. carboxidivorans* has been reported to be able to grow on cellulose, cellobiose, and pectin (Liou et al. 2005), the authors did not find any reports about its performance in mixotrophic growth with significant MCC and MCA production. Therefore, it is assumed here that *C. carboxidivorans* is not a viable culture for simultaneous degradation of real lignocellulosic waste and SF. Without biomass hydrolysis, the only way to use waste biomass in this process configuration is by a preceding conversion to syngas (Fig. 2). When using communities, MCC and MCA titers from using such configuration have remained low to date. Reactors that allow high

mass transfer such as hollow-fiber membrane biofilm reactors have been used to successfully increase selectivity to *n*-caproate and *n*-caprylate. However, the maximum concentration of *n*-caproate or *n*-hexanol of around 1 g/L seems to be a hard cap for the optimization of such strategy (Ganigué et al. 2016; Shen et al. 2018; Zhang et al. 2013).

Anaerobic fermentation of syngas with a community for MCC/MCA production works analogously to Fig. 2d, but with the possibility of biomass co-feeding (Fig. 2e). Such “syngas-aided anaerobic fermentation” uses biomass that is preferably rich in an electron donor such as lactate or ethanol. Similarly to conventional ensiling, lactic acid bacteria can convert fractions of the lignocellulose to lactate in situ as electron donors that are subsequently consumed during CE. In parallel, the reductive power of H₂ and CO could lower lactate or ethanol consumption, lowering process costs or increasing total conversion to MCC/MCA. Several studies that follow this strategy are listed in Table 1. Interestingly, product concentrations are not limited as in setups that follow Fig. 2d and studies with the adequate controls indicate that the added syngas can increase concentration and selectivity to the more reduced chemicals, i.e., the longer-chain carboxylates and alcohols. As one remarkable example for the scheme in Fig. 2e for syngas-aided CE, batch test results presented by Nzeteu et al. (2018) suggested that a lactate-based CE community had synergy with hydrogenotrophic activity to produce about 130% more *n*-caproate (totaling 10.4 g/L) in comparison with the H₂-free fermentation. A similar synergy was recently reported by Wu et al. (2019), where 44% more *n*-caproate (totaling 5.5 g/L) was obtained from a lactate-based CE reactor with H₂ co-feeding. Interestingly, such synergy was also found by Steinbusch et al. (2011)—though to a lesser extent of about 10% increase of *n*-caproate, to 8.2 g/L—when bubbling H₂ to a mixed culture that was performing ethanol-based CE.

Syngas-aided anaerobic fermentation with microbial communities

Competing pathways in microbial communities

Together with the advantages brought by highly diversified bacterial communities, it is likely that more competing pathways to those important for MCC and MCA production will coexist in the reactor. Many of these pathways have been studied and strategies to steer them towards the desired process have been proposed. Table 2 sums up these generally undesired pathways when producing MCC and MCA by mixed cultures with the help of syngas. The effect of each pathway is discussed and known strategies that are able to inhibit partially or completely these pathways are also presented.

Table 2 Competing pathways in syngas-aided MCC and MCA production with microbial communities

Pathway	Description	Effect	Can be countered by
Acetoclastic methanogenesis (AM)	$CH_3COO^- + H^+ \rightarrow CH_4 + CO_2$ Methanogenic archaea can produce methane from acetate.	Consumption of acetate into CH_4 and CO_2 . Secondary effect: pH increase of the reactor broth.	pH values lower than 7 (Arslan et al. 2016); High concentration of undissociated carboxylates (Zhang et al. 2018);
Hydrogenotrophic methanogenesis (HM)	$4H_2 + CO_2 \rightarrow CH_4 + 2H_2O$ With a higher affinity to H_2 , this pathway can consume H_2 from syngas at faster rates than those of the Wood-Ljungdahl pathway if a hydrogenotrophic archaeal community is well established in the mixed culture (Heimann et al. 2009). Possibly one of the most challenging pathways to inhibit in large scale operation of SF with open mixed cultures.	Shunt of electrons (in the form of H_2) into methane, instead of MCC and MCA. Secondary effect: broth dilution due to H_2O production.	High CO partial pressure (Esquivel-Elizondo et al. 2018); Low hydraulic retention time (Grootscholten et al. 2013); Heat-shock or pH treatment of the inoculum (Grimalt-Alemany et al. 2018); Fluoromethane and fluoroacetate (only AM) (Liu et al. 2011); Chemical inhibitor 2-bromoethanesulfonate (Zinder et al. 1984); High ammonium concentrations (Koster and Kooen 1988); High salinity (De Vrieze et al. 2016); High organic loading rates (Björnsson et al. 2000); Psychrophilic conditions (20°C) (Liu et al. 2018).
Excessive ethanol oxidation	$CH_3CH_2OH + H_2O \rightarrow CH_3COO^- + H^+ + 2H_2$ In conventional ethanol-based CE it is common that about 1/6 of the ethanol is oxidized to acetate for ATP production through substrate level phosphorylation. In open cultures, an excess of ethanol tends to be oxidized to acetate in syntrophy with HM.	Consumption of the electron donor uncoupled with MCC and MCA production. Secondary effect: pH decrease of the reactor broth.	High H_2 partial pressure (Ding et al. 2010); High hydraulic retention time (Roghair et al. 2018b); High acetate concentration (Grimalt-Alemany et al. 2018); High CO partial pressure (tested with ethanol) (Esquivel-Elizondo et al. 2018); Low CO_2 loading rate (tested with ethanol) (Roghair et al. 2018a);
Excessive lactate oxidation	$CH_3CHOHCOO^- + H^+ + H_2O \rightarrow CH_3COO^- + H^+ + CO_2 + 2H_2$ Analogously to excessive ethanol oxidation, lactate can be converted to acetate for net ATP generation.	Consumption of the electron donor uncoupled with MCC and MCA production.	Low reducing potential of medium (Weghoff et al. 2015).
Acrylate pathway (AP)	$CH_3CHOHCOO^- + H_2 \rightarrow CH_3CH_2COO^- + H_2O$ Lactate can be reduced to propionate without net ATP production through substrate level phosphorylation.	Consumption of the electron donor uncoupled with MCC and MCA production.	Lactate-limited operation (Prabhu et al. 2012); Low pH (Kucek et al. 2016a); High glucose concentration (Weimer and Moen 2013).
Anaerobic carboxylate oxidation	$CH_3COO^- + H^+ + 2H_2O \rightarrow 4H_2 + 2CO_2$ Acetate and MCC can be oxidized to CO_2 in syntrophy with HM.	Consumption of acetate and MCC. Secondary effect: pH increase of the reactor broth.	High H_2 partial pressure (Steinbusch et al. 2011); Low pH (Arslan et al. 2012).
Dissimilatory sulfate reduction	$4H_2 + SO_4^{2-} + 2H^+ \rightarrow HS^- + H^+ + 4H_2O$ H_2 , acetate, ethanol and lactate can be used as electron donors for sulfate reduction in highly exergonic reactions realized by sulfate reducing bacteria.	Shunt of carbon and electrons outside the carboxylate platform. Secondary effects: pH increase, broth dilution and buildup of potentially toxic sulfide concentrations.	Use of reduced sulfur sources such as bisulfide (HS^-) and cysteine instead of sulfate (Hu et al. 2015).

Partial pressures of H₂ usually present in syngas are more than enough to inhibit excessive oxidation of alcohols and carboxylates. This feature has, indeed, motivated studies about syngas-based and syngas-aided CE and some of these studies are listed in Table 1. As a trade-off, the continuous presence of H₂ means that the sulfate-reducing and methanogenic members of the community can also grow abundantly, taking advantage of higher energy-yielding reactions than, for instance, homoacetogenesis and CE.

Regarding the competing pathways that are not inhibited by H₂, the acrylate pathway (AP) has not been found to be of concern for lactate consumption in most studies on lactate-based CE (Cavalcante et al. 2017; Lambrecht et al. 2019), and even when it occurred, it was overcome by adequately managing pH values and lactate loading rates. Besides, AP is not always considered a competing pathway. Due to propionate formation, AP could be a desired pathway in the acidogenic community, since it is a way to expand the product spectrum to odd-numbered MCC and MCA (such as *n*-valerate, *n*-heptanoate, and *n*-pentanol) in CE systems based on lactate and acetate (Wu et al. 2018). The same cannot be said about hydrogenotrophic methanogenesis (HM). Resilient HM is arguably the most challenging pathway to be tackled in syngas-aided MCA and MCC production with an open mixed community (Zhang et al. 2018). Differently from acetoclastic methanogenesis (AM), HM has been found to be persistent at pH values as low as 5.2 (Savant et al. 2002) and the most common way to selectively inhibit it in laboratory studies—using relatively high concentrations of 2-bromoethanosulfonate (50 mM)—would be too expensive for a refinery-scale process. Additionally, no single proposed action in Table 2 is able to completely counter HM alone without also compromising MCC and MCA yields. Thus, new cost-effective and selective ways to hinder HM still need to be studied if syngas-based fermentation with microbial communities is to become a biorefinery process. Despite the fact that sulfate-reducing bacteria (SRB) are able to outcompete even methanogens for consumption of H₂ and acetate (Plugge et al. 2011), competition from SRB can be avoided in a relatively simple fashion by keeping sulfate concentrations sufficiently low and using reduced sulfur supplements as sulfur source (Hu et al. 2015).

Thermodynamic aspects

A myriad of metabolic pathways are possible to be realized by anaerobic communities in the ranges of pH 4.5 to 7.5 and temperatures of 28 to 37 °C, ranges in which CE and SF are also found to perform best (González-Cabaleiro et al. 2015). When the community is not limited by the lack of a gene, its expression or by kinetic phenomena, it is still ultimately limited by thermodynamics. It has been shown that such thermodynamic limitation holds true for many metabolic routes in

anaerobes (Heimann et al. 2009; Kleerebezem and Stams 2000; Richter et al. 2016b). In the case of syngas-aided CE, relevant catabolic reactions that are subject to thermodynamic limitation are shown in Table 3. The Gibbs free energy of the reactions was calculated for biochemical standard conditions ($T = 298$ K; 100 kPa; pH 7.0; 1 M of each reactant and product) as well as for conditions of temperature, pH, and chemical concentrations closer to those of a bioreactor operating for syngas-aided MCC and MCA production (pH = 5.5; $T = 310$ K; 100 mM acetate; $P_{H_2} = P_{CO_2} = P_{CO} = 30$ kPa; 10 mM or 1 kPa for other reactants and products).

As shown in Table 3, some strategies are conceivable to selectively favor MCC and MCA formation in a syngas-fermenting community. As seen in reactions 8 and 13 (Table 3), high acetate concentrations in the reactor favor reactions that accumulate longer-chain carboxylates. In practice, this was verified in various experiments with acidogenic reactors, as reported by Arslan et al. (2016), and can give a selective advantage to acidogenic bacteria over methanogenic archaea at a defined pH (Zhang et al. 2018). As a trade-off, SCC concentrations higher than 50 mM generally increase the lag-phase of anaerobic cultures (Jaros et al. 2012).

It is generally assumed that MCC production from H₂ and CO₂ by pure or mixed cultures does not occur by a specific pathway with H₂ as a direct electron donor. Instead, it has been proposed that the *n*-butyrate, *n*-caproate, and *n*-caprylate titers seen in syngas-based fermentations were intermediated by ethanol and acetate (Ding et al. 2010; González-Cabaleiro et al. 2015). According to González-Cabaleiro et al. (2013), the unfeasibility of acetate reduction to *n*-butyrate with H₂ (reaction 13 Table 3) may be due to a kinetic bottleneck during the condensation of two acetyl-CoA into acetoacetyl-CoA, which becomes more unfavorable the higher the H₂ partial pressure is, despite the exergonic character of the overall reaction. This limitation imposes that ethanol (or lactate) needs to be present for the formation of *n*-butyrate and longer chain carboxylates from acetate to take place.

Ethanol can be formed by acetate reduction and can be assisted with H₂ consumption in the near-equilibrium reaction 5 or with CO consumption in the more exergonic reaction 6 (Table 3) being both reactions highly exothermic (with standard enthalpy of reactions of -83 and -88 kJ/reaction, respectively). Biologically, however, autotrophic ethanol production from H₂/CO₂ or CO seems to be less frequently observed than autotrophic acetogenesis in microbial communities since not every acetogen is able to couple such ethanol formation with net ATP gain (Molitor et al. 2017). As an example, when *A. woodii* is fed by H₂:CO₂, ethanol formation would lead to net ATP loss. In acetogens, such as *C. ljundgdahlii*, the ATP yield of autotrophic ethanol formation depends on which of the two possible routes for ethanol production from acetyl-CoA is active (Fig. 1a). Thanks to the acetaldehyde:ferredoxin oxidoreductase (also known as

Table 3 Reactions in syngas-aided CE performed by an open mixed culture and their thermodynamic feasibility. For conciseness, the most essential reactions are shown and reactions that occur in practice can be derived from them. For instance, in certain conditions of substrate concentration conventional CE with *C. kluyveri* occurs through five times

reaction No. 8 or No. 9 coupled with the reverse reaction of No. 5 (Angenent et al. 2016). Homoacetogenic sugar fermentation is reactions No. 14 and No. 1 in series. MCC reduction to its respective MCA with H₂ and CO occurs according to reactions No. 5 and No. 6, respectively

	No.	Reaction (reverse reaction)	ΔG_r^0 kJ/ reaction	ΔG_r^{310K} kJ/ reaction	$\Delta G_r^{Reactor}$ kJ/ reaction
Syngas fermentation	1	Hydrogenotrophic acetogenesis (acetate oxidation) $4H_2 + 2CO_2 \rightarrow CH_3COO^- + H^+ + 2H_2O$	-95.1	-88.0	-66.4
	2	Carboxydrotrophic acetogenesis $4CO + 2H_2O \rightarrow CH_3COO^- + H^+ + 2CO_2$	-175	-172	-162
	3	Hydrogenotrophic solventogenesis $6H_2 + 2CO_2 \rightarrow CH_3CH_2OH + 3H_2O$	-105	-94.5	-81.5
	4	Carboxydrotrophic solventogenesis $6CO + 3H_2O \rightarrow CH_3CH_2OH + 4CO_2$	-224	-220	-225
	5	Acetate reduction to ethanol with H ₂ (excessive ethanol oxidation) $CH_3COO^- + H^+ + 2H_2 \rightarrow CH_3CH_2OH + H_2O$	-9.64	-6.48	-15.1
	6	Acetate reduction to ethanol with CO $CH_3COO^- + H^+ + 2CO + H_2O \rightarrow CH_3CH_2OH + 2CO_2$	-49.6	-48.0	-62.8
	7	Carboxydrotrophic hydrogenogenesis $CO + H_2O \rightarrow H_2 + CO_2$	-20.0	-20.9	-24.1
Chain elongation	8	Ethanol-acetate elongation to <i>n</i> -butyrate $C_2H_5OH + CH_3COO^- \rightarrow CH_3(CH_2)_2COO^- + H_2O$	-38.6	-38.3	-32.3
	9	Ethanol-butyrate elongation to <i>n</i> -caproate $C_2H_5OH + CH_3(CH_2)_2COO^- \rightarrow CH_3(CH_2)_4COO^- + H_2O$	-38.8	-38.5	-26.6
	10	Ethanol-propionate elongation to <i>n</i> -valerate $C_2H_5OH + CH_3CH_2COO^- \rightarrow CH_3(CH_2)_3COO^- + H_2O$	-38.6	-38.3	-26.4
	11	Lactate-acetate elongation to <i>n</i> -butyrate $CH_3CHOHCOO^- + CH_3COO^- + H^+ \rightarrow CH_3(CH_2)_2COO^- + H_2O + CO_2$	-57.7	-58.4	-64.4
	12	Lactate-butyrate elongation to <i>n</i> -caproate $CH_3CHOHCOO^- + CH_3(CH_2)_2COO^- + H^+ \rightarrow CH_3(CH_2)_4COO^- + H_2O + CO_2$	-57.9	-58.6	-49.8
	13	Hydrogenotrophic acetate elongation to <i>n</i> -butyrate* $2H_2 + 2CH_3COO^- + H^+ \rightarrow CH_3(CH_2)_2COO^- + 2H_2O$	-48.2	-46.6	-61.8
Biomass conversion	14	Anaerobic hexose oxidation to acetate $C_6H_{12}O_6 + 2H_2O \rightarrow 2CH_3COO^- + 2H^+ + 4H_2 + 2CO_2$	-213	-225	-226
	15	Anaerobic hexose oxidation to <i>n</i> -butyrate $C_6H_{12}O_6 \rightarrow CH_3(CH_2)_3COO^- + H^+ + 2H_2 + 2CO_2$	-261	-270	-273
	16	Hexose to propionate $C_6H_{12}O_6 + 2H_2 \rightarrow 2CH_3CH_2COO^- + 2H^+ + 2H_2O$	-357	-358	-345
	17	Lactate fermentation from hexose $C_6H_{12}O_6 \rightarrow 2CH_3CHOHCOO^- + 2H^+$	-194	-198	-192
	18	Lactate conversion to ethanol* $CH_3CHOHCOO^- + H^+ \rightarrow CH_3CH_2OH + CO_2$	-19.1	-20.1	-32.1
	19	Cellulose hydrolysis $C_6H_{10}O_5 + H_2O \rightarrow C_6H_{12}O_6$	-6.27	-6.60	-6.60
	Competing pathways	20	Acrylate pathway $CH_3CHOHCOO^- + H_2 \rightarrow CH_3CH_2COO^- + H_2O$	-81.2	-80.1
21		Hydrogenotrophic methanogenesis $4H_2 + CO_2 \rightarrow CH_4 + 2H_2O$	-131	-126	-122
22		Acetotrophic methanogenesis $CH_3COO^- + H^+ \rightarrow CH_4 + CO_2$	-35.7	-37.9	-55.8
23		Lactate oxidation to acetate $CH_3CHOHCOO^- + H_2O \rightarrow CH_3COO^- + 2H_2 + CO_2$	-9.46	-13.6	-17.0

ΔG_r^0 is the Gibbs free energy of reaction for biochemical standard conditions, i.e., $T = 298.15$ K, activities equal to 1 and pH = 7

ΔG_r^{310K} is the Gibbs free energy of reaction for standard conditions, except $T = 310.15$ K

$\Delta G_r^{Reactor}$ is the Gibbs free energy for conditions assumed for a simultaneous SF/CE reactor: pH = 5.5; $T = 310.15$ K; 100 mM acetate; $P_{H_2} = P_{CO_2} = P_{CO} = 30$ kPa; 10 mM (or 1 kPa) for other reactants and products

Calculation of Gibbs free energy, correction for temperature, and chemical activity were done according to Kleerebezem and Van Loosdrecht (2010)

At pH 7.0 reactions can be more accurately described with bicarbonate instead of CO₂ (g). In that case, consider the reaction:



*Hypothetical reaction

AOR), acetaldehyde can be formed from acetate reduction. In this case, and if reduced ferredoxin is not limiting, ethanol

formation has more favorable energetics since the ATP balance is zeroed in the WLP before solventogenesis starts. AOR

is absent in organisms like *A. woodii* but present in solventogenic strains like *Clostridium autoethanogenum* and *C. ljungdahlii*. *C. autoethanogenum* is able to sustainably produce ethanol from $H_2:CO_2$ regardless the way how acetaldehyde is formed (Köpke et al. 2010; Mock et al. 2015; Steinbusch et al. 2008). The AOR enzyme uses reduced ferredoxin for the reduction of acetate to acetaldehyde and CO oxidation generates just that (Fig. 1).

Put simply, CO removes kinetic hindrances of the WLP and solventogenesis by preserving the ferredoxin pool reduced. Thermodynamically, acetogenesis and solventogenesis from CO are more favorable than the hydrogenotrophic reactions (reactions 2, 4, and 6 Table 3). Nevertheless, the use of CO from syngas in a community can be seen as a double-edged sword. CO is a substrate that can increase autotrophic activity, promote cell biomass formation, and sustain solventogenesis, but it has nonselective inhibitory and toxic effects on microorganisms. To add to the complexity, resistance to CO varies among different microbial species and though it is possible to acclimatize communities to it, high CO partial pressures limit the microbial diversity (Esquivel-Elizondo et al. 2017; Guiot et al. 2011). The toxicity mechanisms of CO in bacteria, though still not completely clear, are assumed to be through irreversible inhibition of metalloenzymes such as the ferredoxin-dependent hydrogenases (Ragsdale 2004; Yasin et al. 2015). Since hydrogenases and other enzymes involved in the electron transport are metalloenzymes in general, this mechanism can explain the observed hindrance of H_2 and CO_2 consumption by autotrophs in the presence of CO in some studies (Diender et al. 2015). Whatsoever the predicted consequences of CO on communities performing biomass degradation, SF, or CE are, studies that try to understand these trade-offs could add much to this topic (Bengelsdorf et al. 2018; Chakraborty et al. 2019; Diender et al. 2015; Esquivel-Elizondo et al. 2018; Molitor et al. 2016; Sipma et al. 2004).

H_2 is a product of conventional ethanol-based CE (4 times reaction 8 coupled with a reversed reaction 5, Table 3), formed during *n*-butyrate production from sugars and in conventional anaerobic fermentation of sugar to acetate (reactions 14 and 15, Table 3) (Arslan et al. 2012; Schoberth and Gottschalk 1969). Such clearly exergonic reactions are limited by kinetics rather than by thermodynamic equilibrium and even high partial pressures of H_2 are not enough to cause a noticeable inhibition effect on them (González-Cabaleiro et al. 2015). A study done by Arslan et al. (2012) found that higher H_2 partial pressure actually favored conversion of carbohydrate-rich waste and increased carboxylate yield by a microbial community. The higher yields of acetate, *n*-butyrate, and *n*-caproate in the reactors with added H_2 could be explained by a combination of 1) homoacetogenic sugar fermentation, as described by Schuchmann and Müller (2016), where 1 mol of glucose is converted to 3 mol of acetate by acetogenic bacteria able to grow mixotrophically (reactions 14 and 1 in series, Table 3);

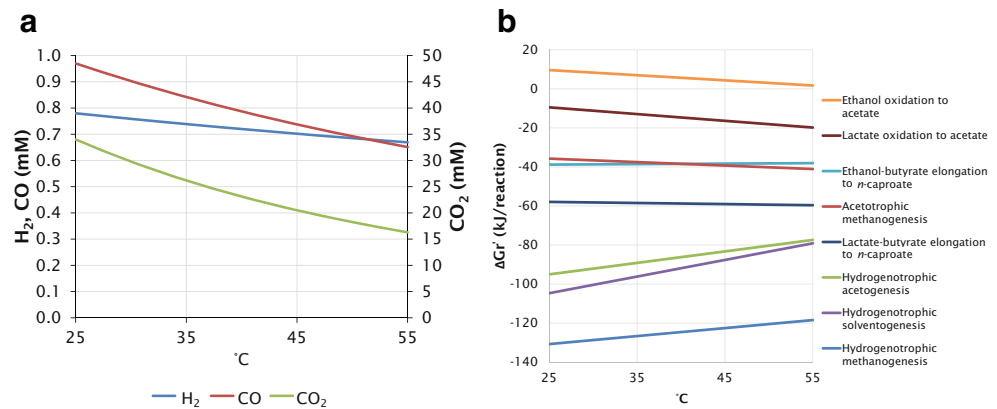
and 2) $H_2:CO_2$ -aided CE, as described before, possibly intermediated by ethanol (reaction 5 in series with reactions 8 and 9, Table 3). Results of some studies suggest that similar synergies with mixotrophs and parallel $H_2:CO_2$ -aided CE might exist although their exact mechanisms were not systematically tested yet (Arslan et al. 2012; Ding et al. 2010; Nzeteu et al. 2018; Steinbusch et al. 2011).

The intermediation of lactate in mixed cultures producing MCC from H_2 and SCC is yet a poorly studied possibility (Scarborough et al. 2018a). Small concentrations of lactate can be found in anaerobic fermentation systems even in the absence of organic substrates as a result of the natural pyruvate concentrations in the active cells (Garvie 1980). As seen in reactions 11 and 12 Table 3, CE of SCC with low lactate concentrations is highly exergonic as long as high SCC concentrations are kept. Analogously to CE realized by *C. kluyveri*, the recently isolated lactate-based MCC-producing strain *Ruminococcaceae* bacterium CPB6 also needs to oxidize part of the lactate into SCC for ATP generation and cell growth producing H_2 as consequence (reaction 23 Table 3) (Wang et al. 2018; Zhu et al. 2017). The coupling of reactions 11, 12, and 23 (Table 3) also happens in lactate-based *n*-caproate production by mixed cultures (Zhu et al. 2015), producing 2 moles of H_2 and 3 moles of CO_2 per produced mol of *n*-caproate from 3 moles of lactate. In principle, if active hydrogenotrophs are present in the community, the produced H_2/CO_2 can be reincorporated to the carboxylate pool through the WLP (reaction 1 Table 3).

It is worth pointing that in MCC/MCA-producing systems, the predictive power of such thermodynamic analysis is limited to the operation states where inhibitory effects of products and substrates on the community are not significant. The longer the chain of the MCC (and MCA) the higher is the inhibiting effect on a mass basis. MCC inhibition is especially strong in acidic media, where more MCC is found undissociated. Such forms of MCC can jeopardize the pH homeostasis of cells by passively crossing the cellular membrane or even by dissolving it. Accordingly, MCA inhibition occurs due to the solvent, hydrophobic behavior.

The thermodynamic effects of temperature also need to be taken into consideration in syngas-aided CE. Besides the clear effect of temperature on microbial kinetics, temperature changes the solubility of gases in water and the Gibbs free energy of possible reactions realized by the microbial community. Figure 3 depicts these thermodynamic effects of temperature in a range from 25 to 55 °C. Figure 3 shows particular strong effects of temperature on the solubility of CO_2 and CO, while solubility of H_2 barely changes. Even under conditions when CO_2 and CO are not the limiting substrates, lowering the temperature slightly to increase their solubility can be worth considering, since experience has shown that increasing the availability of these substrates significantly impacts the process performance (Roghair et al. 2018a). It is worth noticing,

Fig. 3 Lower temperatures increase the solubility of gaseous substrates in water (a), thermodynamically favor gas-consuming reactions, and have little effect on CE reactions (b). Gas solubility values calculated for unbuffered water using Henry's law with temperature correction according to the Van't Hoff equation. ΔG_r^0 is the Gibbs free energy of reaction with activity of chemical species equal to 1, at 25 °C and pH = 7.0



however, that diffusion coefficients increase with temperature; thus, such conclusions—i.e., lowering temperature for higher gas solubility—should only be applicable to systems that are not limited by gas-liquid mass-transfer (Diender et al. 2015).

In general, lower temperatures increase favorability of gas-consuming reactions with a particularly strong effect on solventogenesis and hydrogenotrophic methanogenesis (Fig. 3b). This might help explain better yields of *n*-caproate and *n*-hexanol obtained by Ramió-Pujol et al. (2015) when growing *C. carboxidivorans* on syngas at 25 °C in comparison with cultivations at 37 °C. On the other hand, due to particularly stronger effects on kinetics of some methanogens, lower temperatures do not necessarily give a selective advantage to hydrogenotrophic methanogenesis in practice. In fact, temperatures lower than 25 °C have been even proposed as a tool to avoid methanogenic activity in acidogenic reactors (Liu et al. 2018). As a caveat, the fact that some industrial-scale anaerobic digesters can operate successfully at temperatures around 25 °C (Liebetrau et al. 2019) suggests that this strategy alone (i.e., operating closer to psychrophilic temperatures) might not be sufficient to outcompete methanogens.

Reactions able to generate ATP through substrate-level phosphorylation, i.e., ethanol and lactate oxidation, are thermodynamically disfavored at lower temperature. However, this might not have a prohibiting effect of the overall CE reaction since most of the ATP is generated through the electron bifurcation-ATP synthase system (Angenent et al. 2016). In any case, it must be carried in mind that temperature has also strong effects on kinetic phenomena.

Adapting syngas fermentation reactors to communities

Be it in a bubbled column, gas-lift, or in stirred reactors, SF depends on high bubbling flow rates to overcome gas-liquid mass transfer limitations. Intensive bubbling in stirred reactors also offers the secondary advantage of lowering the power input of stirring (Takors et al. 2018). At first glance, such high gas flow rate seems to be incompatible with the typically low

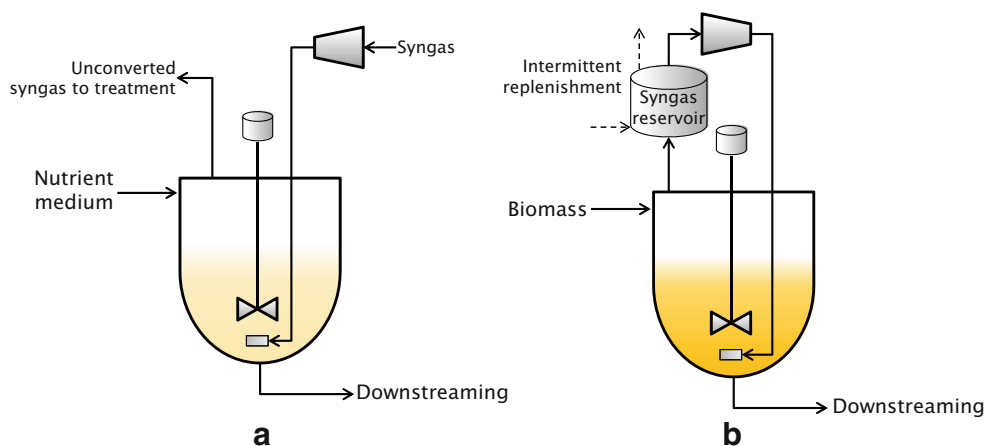
consumption rates of syngas by mixed cultures when high H₂ and CO conversion is desired (Molitor et al. 2017). Syngas might be consumed at even lower rates when used as a co-substrate with lactate or ethanol. Nevertheless, excess H₂ and CO in the broth is supposed to affect oxidative-reductive potentials and ratios of reduced electron carriers in the cell, ultimately affecting the metabolic pathways and steering product pools (de Kok et al. 2013; Esquivel-Elizondo et al. 2018). In that sense, recirculating gas—in contraposition to the one-pass strategy—can fit well with mixed culture reactors (Fig. 4) despite still few examples (such as in the setups used by Richter et al. (2016a) and Guiot et al. (2011)) among recent studies. This is partly due to the fact that SF reactor systems have been usually developed for pure cultures, as seen in the reactor schemes described by Asimakopoulos et al. (2018).

With a gas recirculation strategy as illustrated in Fig. 4b, the syngas flow rate can, in principle, be kept high regardless of the community's gas consumption rate. By this way, nearly complete H₂ and CO conversion can be achieved without lowering the gas-liquid transfer coefficient, k_{La} , described in Eq. 2.

Operation with gas recirculation means that gas composition fluctuates naturally along time. Thus, possible accumulation of inhibitors, inert gases, and methane, and the intermittent feeding and purging cycles would need to be studied. Also as a consequence, partial pressures of H₂ and CO are expected to decrease along each gas restocking cycle.

The first reason to avoid operating at too low H₂ partial pressures during gas recirculation is that it can selectively favor HM considering that hydrogenotrophic methanogens are known to have higher affinity to H₂ (Heimann et al. 2009). The other reason is that the amount of gaseous substrate in the aqueous phase in the equilibrium, C_g^* , depends directly on the partial pressure of gas, p_g , according to Henry's law as seen in Eq. 1. In the kinetic regime, the de facto available gaseous substrate to microorganisms, C_g , also depends on C_g^* according to the rate Eq. 2, described for a 1st-order one-dimensional diffusion. Consequently, a low p_g of the substrate gas also lowers mass transfer rates. Such transient characteristic of gas

Fig. 4 When adopting current knowledge of SF (mostly obtained with pure cultures) to fermentation with microbial communities, process strategies have to be adapted: conversely to the conventional single-pass gas strategy in (a), gas recirculation and substrate biomass co-feeding (b) can be more compatible to the typically lower gas consumption rates of open communities



recirculation and its effect on the community metabolism would also have to be taken into account (Yasin et al. 2015).

$$C_g^* = H p_g \quad (1)$$

$$\frac{dC_g}{dt} = k_{l,a} (C_g^* - C_g) \quad (2)$$

For Eqs. 1 and 2: C_g^* is the equilibrium concentration of gas in the liquid, in M; H is the Henry coefficient for the gas, in M/atm; p_g is the partial pressure of the gas, in atm; $k_{l,a}$ is the coefficient of gas-liquid transfer per area times the interfacial gas-liquid area per volume, in 1/h; and C_g is the concentration of gas in the liquid, in M. $k_{l,a}$ depends mainly on the gas flow rate, on the strategy for liquid-gas contact in the reactor and on the gas diffusivities.

CO_2 is not commonly the limiting gaseous substrate; nevertheless, the concentration of this gas can become limiting in some cases in acidogenic systems (Vasudevan et al. 2014). The solubility of CO_2 is controlled mainly by chemical equilibria of the carbonate system. As a consequence, CO_2 solubility is highly dependent on the pH for values between 5.0 and 8.0 as seen in Fig. 5. Most acidogenic bioreactors work within this pH range of greatest CO_2 solubility changes. At pH values lower than 5.0, CO_2 solubility is lowest, though water still harbors a significant fraction of CO_2 (roughly 45%) in the form of aqueous CO_2 .

Product downstream processing

Traditionally, anaerobic fermentation research has taken profit from lessons learned in anaerobic digestion research (Aglar et al. 2014). Nevertheless, one specific challenge of anaerobic fermentations is product downstream processing (DSP). Unlike methane, the desired product in anaerobic digestion, linear MCC and MCA are liquids under ambient conditions and form aqueous solutions. Thus, the DSP technology classically adopted in the ethanol and acetate industry, distillation,

could be considered as an alternative for purification of their longer-chain counterparts. However, high operational costs could incur on distillation of MCC and MCA because their concentrations in the broth are generally lower than 2% w/v (i.e., 20 g/L) (Arslan et al. 2016), in comparison with the typical concentrations in ethanol fermentation of at least 6% w/v (de S Dias et al. 2015).

the solubility of MCC and MCA in water decreases the longer the hydrophobic part of the carbon chain is. As a consequence, in an industrial scale, *n*-caproate and *n*-caprylate could have lower DSP costs due to their lower solubility at a defined pH, in comparison with *n*-butyrate, which is miscible in water along the entire pH range. This feature was explored in previous studies where the bioreactor was coupled to a pertraction (liquid-liquid extraction) technology (Aglar et al. 2014; Ge et al. 2015). Differently to organic acids, solubility of alcohols cannot be altered by changing pH and their DSP would be restricted, essentially, to the techniques of traditional solvent-water extraction, such as ‘salting out’. This factor might be decisive to favor MCC production, while further processing for production of MCA could adopt non-biological conversion routes. The bigger ease of MCC purification can be a central factor for choosing them as a chemical platform for MCA and alkanes production (Pham et al. 2010). Further processing of MCC is a promising topic and the case for alkane production through Kolbe hydrolysis of MCC produced by CE, as shown by Urban et al. (2017), can be taken as a good example.

Stoichiometry and economic feasibility of the process

The most fundamental limitation of feedstock biomass conversion is imposed by the overall stoichiometry of the process. The stoichiometry of the process depends on the substrate, on the final product, and on the pathways used to reach it. Neglecting the inorganic fraction of dry lignocellulosic biomass and assuming the chemical formula of cellulose ($\text{C}_6\text{H}_{10}\text{O}_5$), Fig. 6 compares the maximum

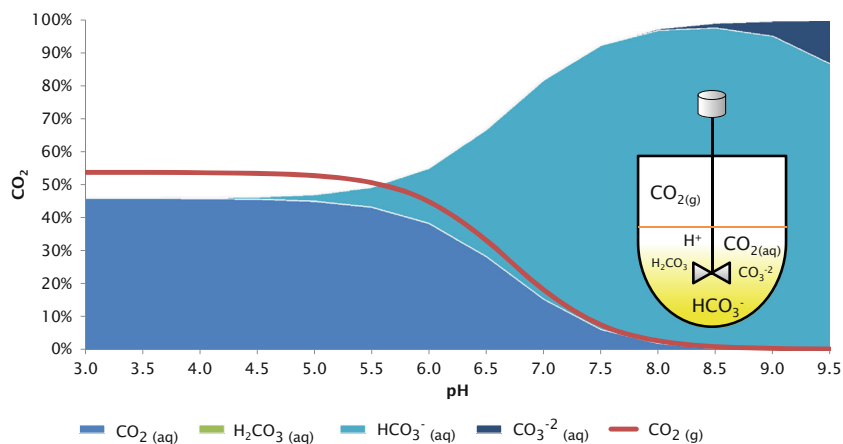


Fig. 5 Percentages of CO₂ species in the gas and in the aqueous phase as function of pH at 25 °C for a closed system with 50% headspace and a diluted aqueous phase. For not too high CO₂ partial pressures (< 1000 kPa), this pattern is independent of the total amount of CO₂ in the system (Diamond and Akinfev 2003). The carbonic acid species is present in

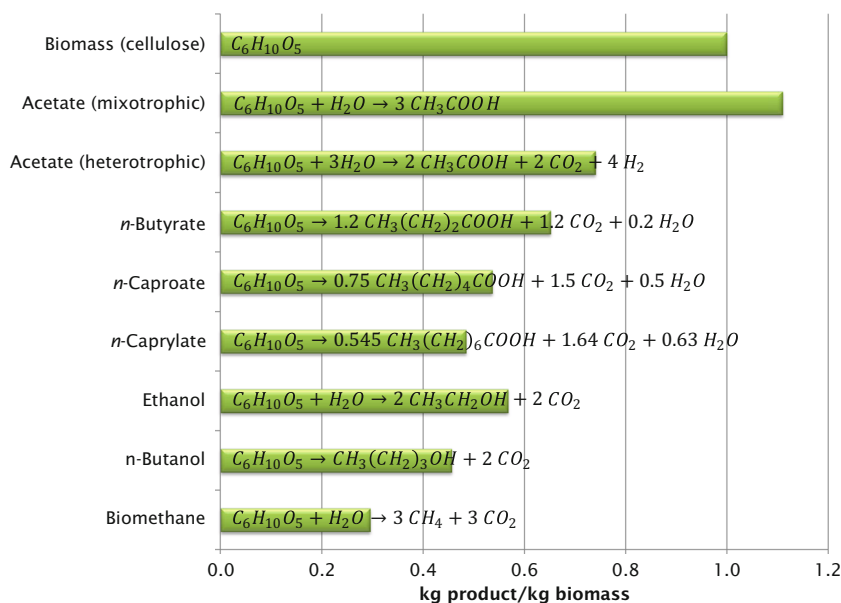
very small amounts and, therefore, it is not visible in the graph. Calculations done similarly to Seinfeld and Pandis (2016). Henry’s law and dissociation constants obtained from Sander (2015) and Greenwood and Eamshaw (2012), respectively

stoichiometric yields for some carboxylates, alcohols, and methane. Without considering the intricacies of the conversion of recalcitrant fractions, both major processes for making biomass bioavailable (i.e., hydrolysis and biomass gasification) present equivalent theoretical stoichiometric yields. Big differences in the maximum stoichiometric conversion appear depending on the ability of the biocatalyst to realize autotrophy or not, as seen for acetate in Fig. 6. Cultures that grow only heterotrophically do not reincorporate H₂ and CO₂ produced in the fermentation of biomass-derived sugar and have, therefore, lower maximum conversion to carboxylates (Schuchmann and Müller 2016). For methane production, stoichiometric conversion from biomass (cellulose) is inherently low regardless if it is produced through hydrogenotrophic, acetotrophic, or methylotrophic methanogenesis.

It is also evident from Fig. 6 that the potential for carbon fixation and the maximum stoichiometric conversion lowers with increasing chain length of the carboxylate or alcohol. Still, production of chemicals beyond acetate and ethanol from syngas is a promising concept as a bioprocess and its success as an industrial process depends basically on ensuring a sufficient conversion of syngas to chemicals in the bioreactor by using inherently low-cost processes. Considering dry biomass as the raw material (with the cellulose chemical formula) and the same assumptions adopted for calculating the maximum stoichiometric conversions, Fig. 7 presents the cost gaps for each product of different bioprocess concepts, in comparison with other more mature processes.

Each kilogram of dry biomass, when converted to syngas, has the highest margin for conversion loss and process costs if

Fig. 6 Stoichiometric ‘hard caps’ of biomass derivatives. The chemical formula of cellulose is assumed for the feedstock biomass. 1 mol of cellulose consumes 1 mol of water through hydrolysis or through gasification producing water-shifted syngas (H₂/CO₂). The reactions for *n*-butyrate, *n*-caproate, and *n*-caprylate are for bioprocesses, in which the produced H₂ and CO₂ during substrate biomass conversion can be reincorporated into the carboxylate pool, such as syngas-aided CE



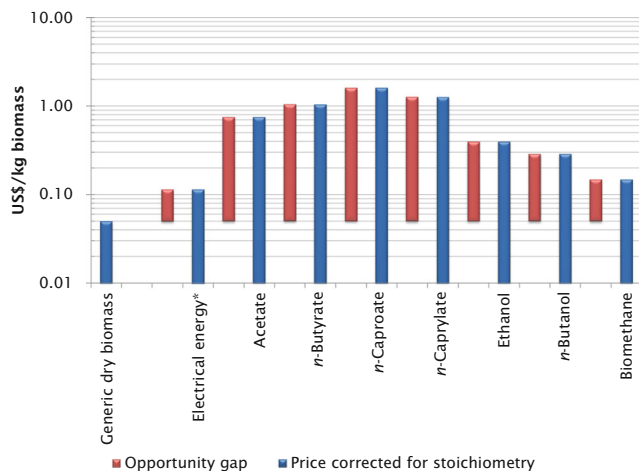


Fig. 7 Different alternative uses of dry, feedstock biomass in US\$ (2016) on a kg of biomass basis. The chart considers maximum stoichiometric conversions together with market prices on a single basis of kg of biomass (cellulose). A generic cost of 0.05 \$/kg of dry biomass is assumed. *Considering 1 kWh per 1 kg of dry biomass and 0.12 \$/kWh. Elaborated based on information from Biddu et al. (2016); de Medeiros et al. (2017); DOE (2016); Granda (2015); Kleerebezem and van Loosdrecht (2007)

used to produce *n*-caproate, 1.61 \$/kg_{dry biomass}, in comparison with 0.40 \$/kg_{dry biomass} when used to produce ethanol. This is due to the actual high market price of *n*-caproate of around 3.00 \$/kg (Granda 2015). However, it is worth pointing out that prices per kilogram for commodity-grade chemicals like ethanol are generally much lower than specialty chemicals like *n*-caproate (de Medeiros et al. 2017). Despite many possible applications, its market is still small in comparison with acetate, ethanol, and butanol. If a MCC, such as *n*-caproate, eventually becomes a chemical platform, its price would also have to lower to attend a broader range of applications.

Open questions and future perspectives

The mechanisms of syngas-based *n*-caproate and *n*-caprylate production by single species like *C. carboxidivorans* or *E. limosum* are still not completely clear. Although the complete WLP was found in these species, their CE pathways and how electrons can be shifted from H₂ and CO to C6 and C8 compounds are still poorly understood (Bengelsdorf et al. 2018; Ganigué et al. 2016; Zhang et al. 2016). Such knowledge could be useful, for instance, to manage these strains in mixotrophic growth, steering carbon and electrons from multiple low-cost sources into the desired product.

The knowledge gaps regarding syngas-aided CE in microbial communities are plenty. Undiscovered syngas-fermenting, MCC-producing strains could explain some cases where C6 and C8 compounds were produced in significant amounts from syngas. Besides, interspecies ethanol transfer remains the most popular assumption for the observed

phenomenon even though it was not yet systematically tested. More detailed studies on the mechanisms underlying the reported *n*-caproate and *n*-caprylate formation can reveal overlooked metabolic shifts facilitated by co-fed H₂ and CO. Specifically, the ethanol-intermediated assumption is not enough to explain the effect of syngas on mixed cultures specialized in lactate-based CE. Further studies about the effect of syngas addition in lactate-based CE communities are needed and the transcriptome analysis done by Scarborough et al. (2018a) is a good example of that.

Formation of odd-numbered MCC also remains a topic that deserves more study. More understanding on the necessary operational parameters to incorporate propionate and propionyl-CoA to the RBO pathway could extend the product spectrum of MCC and MCA to their odd-numbered counterparts.

Overall, there are still few studies about syngas-aided CE with microbial communities and even fewer studies considering the use of real waste substrates or focusing on the process optimization. This may be partially due to the inherent difficulty to conciliate two types of substrate with very different consumption kinetics in anaerobic communities (e.g., H₂ opposed to ethanol or lactate). Since syngas consumption rates by communities are generally low, we propose here a simple reactor operation strategy, with gas recycling, for coupling the two types of substrate (liquid and syngas substrate) to simultaneously keep syngas conversion high while avoiding limitation by gas-liquid mass transfer. Future technical studies should also analyze how competing pathways (such as hydrogenotrophic methanogenesis) could be managed in an up-scalable manner when feeding the reactor with real waste biomass. Moreover, testing process scalability—with and without in-line product extraction—could contribute greatly to understand further challenges and synergies of combining the syngas and carboxylate platforms with undefined mixed cultures.

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Compliance with ethical standards

This article does not contain any studies with human participants or animals performed by any of the authors.

Conflict of interest The authors declare that they have no conflict of interest.

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