

The potential of lactic acid bacteria to colonize biotic and abiotic surfaces and the investigation of their interactions and mechanisms

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Abstract Lactic acid bacteria (LAB) are a heterogeneous group of Gram-positive bacteria that comprise several species which have evolved in close association with humans (food and lifestyle). While their use to ferment food dates back to very ancient times, in the last decades, LAB have attracted much attention for their documented beneficial properties and for potential biomedical applications. Some LAB are commensal that colonize, stably or transiently, host mucosal surfaces, including the gut, where they may contribute to host health. In this review, we present and discuss the main factors enabling LAB adaptation to such lifestyle, including the gene reprogramming accompanying gut colonization, the specific bacterial components involved in adhesion and interaction with host, and how the gut niche has shaped the genome of intestine-adapted species. Moreover, the capacity of LAB to colonize abiotic surfaces by forming structured communities, i.e., biofilms, is briefly discussed, taking into account the main bacterial and environmental factors involved, particularly in relation to food-related environments. The vast spread of LAB surface-associated communities and the ability to control their occurrence hold great potentials for human health and food safety biotechnologies.

Keywords Lactic acid bacteria · Biotic and abiotic surfaces · Probiotics · Biofilm

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Introduction

Within the phylum of *Firmicutes*, lactic acid bacteria (LAB) constitute a broad and heterogeneous group of Gram-positive microorganisms with low G+C content. *Lactobacillus*, *Lactococcus*, *Leuocostoc*, *Pediococcus*, *Oenococcus*, *Enterococcus*, and *Streptococcus* represent the main LAB genera (Douillard and de Vos 2014). LAB are asporigen, acid tolerant, rod or cocci shaped, catalase negative, microaerophilic, and share the metabolic feature to produce lactic acid as the major end-product of carbohydrate fermentation (Carr et al. 2002). Highly adaptable and versatile, LAB inhabit a variety of ecological niches, and many of them are in close interaction with humans. They populate diverse food-related habitats, including plants, dairy, milk, wine, and meat and are natural inhabitants of mammalian mucosal surfaces, such as those of the gastrointestinal (GI) tract, oral cavity, and vagina.

Such bacterial group boasts a remarkable association with human food, lifestyle, and health. LAB have a key role in food industry, as they have been traditionally used to drive fermentation processes, thereby contributing to quality and preservation of fermented food. Hence, the widespread consumption of fermented products has determined a regular ingestion of LAB, allowing them to colonize the human body. Besides their technological importance, in the last decades, LAB have attracted a growing interest also for their health-promoting potential and related biomedical applications. Among LAB, lactobacilli comprise several strains which are currently claimed as probiotics (Ouweland et al. 2002), i.e., “live microorganisms that, when administered in adequate amounts, confer a health benefit on the host” (Hill et al. 2014). Moreover, thanks to their generally recognized as safe (GRAS) or qualified presumption of safety (QPS) status, LAB can provide safe vectors for the delivery of therapeutic

compounds to mucosal surfaces (Bermúdez-Humarán et al. 2013).

Here, we present an update overview of the main aspects concerning with the ability of LAB to colonize and interact with biotic and abiotic surfaces, with particular emphasis on GI mucosa and food-related niches, and focusing on the most investigated species, i.e., probiotics and strains of high technological and biomedical appeal.

Experimental approaches to study LAB colonization on host mucosae and on abiotic surfaces

Allochthonous LAB populate the gut mucosae possibly by forming stable, complex, multispecies biofilms (Schwab et al. 2014). Prompted by exciting medical prospects, a large body of research, mainly based on metagenomic analyses and culture-independent methods, has shed light on the composition and dynamics of the LAB communities associated to GI mucosae, and the details of the microbial structures involved in adhesion and interplay with host tissues are being disclosed more and more. However, the difficulties of sampling have impaired direct investigations on LAB biofilms associated to human GI mucosae *in vivo*.

Different *in vitro* and *in vivo* models have been adopted to study the colonization potential of LAB on host biotic surfaces. *In vitro* systems that mimic GI conditions represent a convenient approach to assess survival ability of potential probiotic strains (Fernández de Palencia et al. 2009; Bove et al. 2012; Van Bokhorst-van de Veen et al. 2012). Studies addressing the microbial adhesion abilities often use *in vitro* models, such as intestinal epithelial cell lines (e.g., Caco-2 and HT-29), immobilized mucus, or extracellular matrix molecules, including collagen and fibronectin (Le et al. 2013; von Ossowski et al. 2011). Dendritic cells (DC), peripheral blood mononuclear cells (PBMC), and macrophages are widely adopted to study *in vitro* the immunomodulatory potential of colonizing LAB, while *ex vivo* approaches take advantage of mucosal explants from different animals (Walter et al. 2007; Breshears et al. 2015; Dertli et al. 2015). Of course, the gold standard to investigate the different aspects of host-bacteria interaction relies on *in vivo* experiments on animals such as mice and other mammals, including human trials (Marco et al. 2010; Van Bokhorst-van de Veen et al. 2012). Mice provide convenient models of the human GI tract, although murine and human guts differ in anatomy, biochemical features, and microbial composition (Nguyen et al. 2015). In recent years, lower vertebrates such as zebrafish (*Danio rerio*), and even animals representative of other phyla, such as the nematode *Cenorhabditis elegans*, have emerged as amenable tools for investigating specific aspects of the host-bacteria interplay (Rieu et al. 2014; Russo et al. 2015; Park et al. 2014).

Biofilm formation on abiotic surfaces can be quantitatively analyzed by spectrophotometric methods and molecular approaches. Crystal violet is routinely used to stain LAB biofilms grown on plastic, glass, and other surface types (Arena et al. 2014). Quantitative PCR coupled to propidium monoazide (PMA) allows to quantify microbial cells involved in biofilm formation, discriminating between live and dead cells within the biofilm matrix (Álvarez et al. 2013; Arena et al. 2015). Scanning electron microscopy, confocal microscopy, the use of fluorescent probes, and image analysis softwares permit to visualize the architecture of biofilms (Kniggendorf et al. 2016).

Colonization of the host gut and transcriptional reprogramming associated to the adaptation to the gut niche

The structure and composition of the gut microbiota varies considerably along the different regions. The size of the resident bacterial community is modest in the stomach and proximal small intestine (bacterial density $<10^4$ CFU/ml), where extreme conditions hamper the microbial colonization, while it expands in the distal small intestine, to reach its maximum in the colon (10^{11} to 10^{12} CFU/ml) (O'Hara and Shanahan 2006). LAB comprise species that exhibit different degree and mode of persistence in the gut. Some of them are genuinely autochthonous, i.e., they establish a long-lasting association and form stable populations that characterize a specific gut region, within a particular host. Yet, metagenomics and experimental evidences indicate that most LAB are allochthonous, i.e., transient colonizers that originate from food or the oral cavity and are thus introduced into the gut accidentally, or deliberately. LAB reach the maximal density within the large and small intestines, where they generally account for less than 1% of the total resident bacteria (Douillard and de Vos 2014). In the gut regions with relatively small endogenous microbial communities, the temporal abundance of dietary, passenger LAB, including probiotics, is thought to have a major impact on the host physiology (Kleerebezem and Vaughan 2009; Douillard and De Vos 2014).

A prerequisite to the intestinal colonization is the capacity to survive the harsh conditions of the GI tract, including the lytic action of digestive enzymes, the detergent-like activity of bile salts, the extremely low pH in the stomach, the oxidative and hyperosmotic stress in the colon, and the antimicrobial activity of the host immune effectors (Fig. 1). Gastric acidity usually represents the main hurdle to lactobacilli survival, whereas intestinal conditions are better tolerated (Kleerebezem and Vaughan 2009). Survival to GI transit and persistence in the host gut have been extensively demonstrated for several probiotic lactobacilli, both *in vivo*, including human feeding experiments (Marco et al. 2010; Van

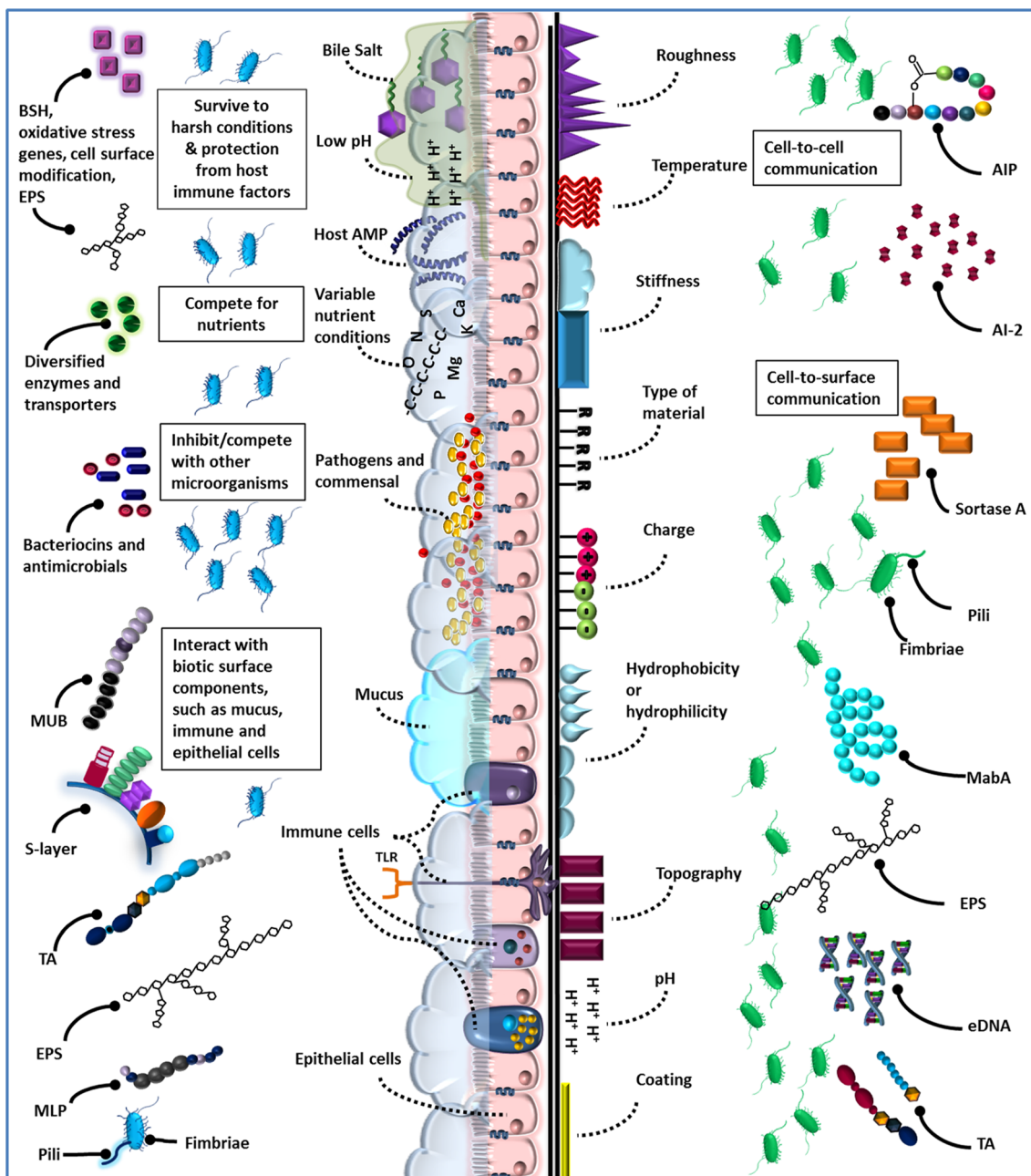


Fig. 1 Representation of biotic and abiotic surface features and bacterial molecules promoting adhesion and biofilm production. Biotic surfaces (*on the left*), such as gut mucosa, can be a hostile environment for bacteria, which are challenged by harsh conditions including the detergent-like activity of bile salts, low pH, host immune factors, variable nutrient conditions, the presence of other bacteria, and a mucus layer. LAB possess the abilities to survive unfavorable conditions, protect themselves from host immune response, compete for nutrients, inhibit other microorganisms, and, in general, interact with biotic surface components. The formation of biofilm on abiotic surfaces (*on the right*) is also determined by several aspects, such as roughness, temperature,

stiffness, type of material, charge, hydrophobicity or hydrophilicity, topography, pH, and coating. Moreover, the composition of the bacterial cell surface and the molecules produced by LAB have a fundamental role in determining the attachment, the development, the maturation and, possibly, the disruption of the biofilm. *BSH* bile salt hydrolase, *EPS* exopolysaccharides, *MUB* mucus-binding proteins, *MLP* moonlighting proteins, *AMP* antimicrobial peptides, *TLR* Toll-like receptor, *AIP* auto-inducing peptides, *AI-2* LuxS-derived autoinducer-2, *MabA* modulator of adhesion and biofilm A, *eDNA* extracellular DNA, *TA* teichoic acids

Bokhorst-van de Veen et al. 2012; David et al. 2014; Klijn et al. 1995; Sepp et al. 1993; Goldin et al. 1992; Kankainen et al. 2009; Pridmore et al. 2004), and *in vitro*, by using simplified systems that simulate GI conditions (Fernández de

Palencia et al. 2008; Bove et al. 2012; Van Bokhorst-van de Veen et al. 2012).

Passage along the GI tract requires LAB to reprogram their gene expression, thereby allowing adaptation to such peculiar

environment (Marco et al. 2010). Interestingly, GI features and stress, such as bile and acid, act as environmental stimuli that induce those metabolic and structural changes allowing LAB to colonize the gut (Bron et al. 2004a; Koskenniemi et al. 2011; Bove et al. 2013). In probiotic lactobacilli, in vitro gastric stress simulation determined not only an increased expression of several stress-related genes but also an increment of factors potentially involved in mucosal adhesion and in competitive behavior towards other microbes, which might be necessary in the overcrowded intestinal niche (Bove et al. 2013; Weiss and Jespersen 2012). In oral and gut commensal LAB, mucins were found to promote microbial proteolytic activity by enhancing the expression of cell surface-associated proteases (Kindblom et al. 2012; Wickström et al. 2013). As mucins are degraded by such proteases, it is suggested that they could be exploited as a nutrient source by the colonizing bacteria. Therefore, their effect would prepare LAB to survival on mucosal surfaces. In probiotic lactobacilli, the genetic response to in vitro bile stress comprises the upregulation of chaperones and membrane-associated functions, including proteins potentially involved in bile detoxification, as well as the induction of redox enzymes, thus suggesting a link between bile exposure and oxidative stress (Bron et al. 2004b; Pfeiler et al. 2007; Whitehead et al. 2008). Moreover, a clone-based DNA microarray transcriptome analysis showed that bile exposure modulates several *Lactobacillus plantarum* genes encoding cell envelope-related functions, thus indicating major consequences on the integrity and functionality of the cell envelope (Bron et al. 2006). Following transcriptomic and proteomic analyses have confirmed that a reorganization of the cell envelope characterizes the bile stress response also in other lactobacilli (Koskenniemi et al. 2011; Alcántara and Zúñiga 2012). In *L. plantarum*, passage through mouse GI tract was found to activate the following: (i) stress genes, which reflect the hostile conditions of the gut habitat, (ii) genes encoding surface-anchored proteins, which could mediate interaction with host cells or with soluble components of the gut lumen, and (iii) genes involved in sugar metabolism and in acquisition and biosynthesis of non-sugar compounds (i.e., amino acids, nucleotides, cofactors, and vitamins), which may be poorly available in the gut (Bron et al. 2004a). Denou et al. (2007) showed that *Lactobacillus johnsonii* transcribes specific sets of genes in the different compartments of mice GI tract, including sugar transport systems (in the stomach, jejunum, and cecum) and multidrug transport systems (in the stomach). A combination of genotyping and expression microarrays was adopted to find *L. johnsonii* genes associated to a longer gut persistence: three genetic loci were identified, including (i) a gene involved in sugar transport (i.e., a phosphotransferase system (PTS) transporter), which confirms the importance of sugar catabolism for gut commensalism, (ii) an operon involved in exopolysaccharides (EPS) synthesis, and (iii) a gene annotated as putative IgA protease (Denou et al. 2008). A

comparative study of the transcriptome of a commensal probiotic LAB (i.e., *L. plantarum* 299v), during passage along murine and human gut, revealed a substantial convergence in the adaptive response to these two niches (Marco et al. 2010), which involved the modulation of carbohydrate metabolism and cell surface properties. In the murine forestomach, the transcriptome of lactobacilli biofilms was characterized by abundant transcripts related to glucose and maltose utilization, peptide degradation, amino acid transport, and enzymes that would enable the utilization of mucus and cellulose as possible substrates (Schwab et al. 2014). Moreover, genes encoding pathways that enhance tolerance to oxidative and acid stress and extracellular proteins involved in adhesion and/or biofilm formation were significantly induced. More recently, using a rhesus macaque in vivo model, Golomb et al. (2016) observed that *L. plantarum* adapts to the small intestine by expressing genes required for tolerating oxidative stress, modifying cell surface composition, and consumption of host glycans.

Genetic traits that promote the ecological fitness to the gut

Comparative genomics has revealed that the adaptation of LAB to diverse ecological niches has proceeded by the gain of new genes and the degeneracy or loss of no longer necessary functions (Cai et al. 2009; Douillard et al. 2013; Ceapa et al. 2016). For instance, LAB adapted to food matrices such as dairy habitats have lost metabolic activities that are non-essential in such nutrient-rich, relatively constant, and poorly competitive environments. By contrast, LAB colonizing the intestine, a niche characterized by variable nutrient availability, peculiar biochemical-physical stress, and complex microbial communities, exhibit broader metabolic flexibility and specific lifestyle functions essential for survival in the gut.

As indicated by functional genomic studies, the main genes that confer LAB adequate fitness to the GI tract are those that promote survival, metabolic activities, and interactions with host and endogenous microbiota. More in detail, they include genes involved in bile resistance, sugar transport and utilization, mucus-binding capacity, EPS/biofilm production, and defense systems (Klaenhammer et al. 2008; Denou et al. 2008; Pridmore et al. 2004; Altermann et al. 2005; Douillard et al. 2013; Azcarate-Peril et al. 2008; Cai et al. 2009; Douillard and de Vos 2014).

Bile tolerance Bile salt hydrolases (BSH) activity is prevalent in commensal bacteria of the GI tract, including probiotic LAB. Multiple BSH homologs characterize the genome of some intestine-adapted strains, such as *L. plantarum* WCFS1 and *Lactobacillus acidophilus* NCMF (Begley et al. 2006). BSHs were reported to enhance bacterial resistance to

bile acid and in vivo survival in the GI tract, furthermore, metagenomic analyses confirmed that such enzymes represent a conserved microbial adaptation to the human gut environment (Jones et al. 2008). BSHs would benefit commensal LAB by contrasting the effects of bile acids and by inducing cell surface modifications that protect against host-defense systems, including lytic enzymes and antimicrobial peptides (Begley et al. 2006). Putative bile transporters genes were identified in *Lactobacillus gasseri* (Azcarate-Peril et al. 2008) and *L. johnsonii* (Elkins et al. 2001; Pridmore et al. 2004); moreover, they were transcriptionally upregulated by artificial GI environment in *L. plantarum* (Bron et al. 2004a). Multidrug resistance (MDR) transporters were demonstrated also to contribute to bile detoxification in *L. acidophilus* NCMF (Pfeiler and Klaenhammer 2009) and in *Lactobacillus reuteri* (Whitehead et al. 2008).

Metabolic features The intestinal environment is dynamic and nutritionally variable: it may be even a nutrient and carbon source-limited habitat, depending on the gut section and on the physiological state of the host. Moreover, the high bacterial density results in a strong competition for nutrients. On the other hand, thanks to the complex and rich microbial community, LAB can even rely on metabolic cooperations for their nutritional and biosynthetic needs. The utilization of carbohydrate resources typically found in the GI niche characterizes not only the major members of the intestinal microbiota, such as *Bacteroides* or *Bifidobacterium*, but also intestine-adapted lactobacilli. Intestinal LAB share the ability to use diverse fermentable carbohydrates, which can derive either from dietary components, such as complex sugars that escape host digestion, or from host extracellular components. Accordingly, their genome exhibit broad gene repertoires related to carbohydrate utilization, including diversified sugar hydrolases and phosphotransferase system (PTS) sugar transporters (Pridmore et al. 2004; Altermann et al. 2005; Denou et al. 2008; Azcarate-Peril et al. 2008; Claesson et al. 2006). Clearly, the ability to ferment host-derived glycans constitutes a competitive advantage for persistence in the gut. An example of such capacity is provided by L-fucose, which occurs in human secretions and is a constituent of the intestinal mucin glycans, therefore it is abundant in the GI niche and represents a potential carbon source for members of the commensal microbiota. Recently, Becerra et al. (2015) demonstrated that *Lactobacillus rhamnosus* LGG possesses a functional L-fuc operon that enables the fermentation of such sugar, thus improving its fitness to the host intestine.

In silico analyses of lactobacilli commonly found in the GI tract also predict a considerable degree of auxotrophy for amino acids, vitamins, and cofactors (Pridmore et al. 2004; Altermann et al. 2005; Claesson et al. 2006; Azcarate-Peril et al. 2008; Frese et al. 2011). Such limited biosynthetic abilities are often compensated by the presence of a large number of genes encoding

peptidases and related transport systems, which allow the uptake and utilization of exogenous metabolites and nutrients, when available in the gut (Schwab et al. 2014).

The relevance of secretome and exoproteome The extracellular features of LAB, including the non-proteinaceous components, are pivotal for their interaction with host factors during colonization of the GI tract. Zhou et al. (2010) compared the secretome of several LAB, identifying clusters of secreted proteins specific for intestine-adapted species. In this regards, the role of sortases (i.e., transpeptidases that anchor surface proteins to the peptidoglycan layer) and LPxTG-anchored proteins has been emphasized (Call and Klaenhammer 2013). However, contrasting findings describe the influence of such proteins on mucosal adhesion and gut persistence of LAB. For instance, in *Lactobacillus salivarius*, the absence of sortases significantly reduced adherence to Caco-2 cells (van Pijkeren et al. 2006), whereas Remus et al. (2013) showed that sortase deficiency did not affect the persistence or survival of *L. plantarum* in murine GI tract, though sortase-dependent proteins could be ascribed an active role in host immunomodulation. Accordingly, the removal of sortase was shown to affect immune modulation of DC by both *L. acidophilus* and *L. gasseri*, while sortase-deficient *L. acidophilus* had an impaired ability to persist in the GI tract of germ-free mice (Call et al. 2015). In order to decipher the adaptation process allowing probiotic persistence in the GI tract, van Bokhorst-van de Veen et al. (2013) repeatedly exposed *L. plantarum* WCFS1 to mouse GI tract by repeated rounds of feeding and re-feeding. Such approach resulted in the isolation of intestine-adapted derivative strains that exhibited enhanced GI tract robustness and were characterized by genomic modifications (i.e., SNPs and single nucleotide insertions) concentrated in regions encoding functions related to cell envelope and energy metabolism. Apart from demonstrating that it is possible to enhance and extend the probiotic persistence in the GI tract, such finding once more corroborates the importance of cell-envelope remodeling to promote LAB ecological fitness to the gut.

Adhesive capacity The relevance of mucin-binding capacity in LAB adaptation to the intestinal niche is confirmed by the remarkable number of adhesin-encoding genes in the genomes of gut isolates of *L. johnsonii*, *L. acidophilus*, *L. gasseri*, and *L. reuteri* (Pridmore et al. 2004; Altermann et al. 2005; Azcarate-Peril et al. 2008; Frese et al. 2011). The long intestinal persistence of *L. rhamnosus* GG, a well-known probiotic LAB, reflects its excellent mucus-adhesive capacity, which seems mainly ascribable to strain-specific fimbriae-like structures, i.e., pili (Kankainen et al. 2009; Lebeer et al. 2012). Interestingly, comparative genomics and phenotypical analysis of several *L. rhamnosus* strains from different ecological niches revealed that the functional

SpaCBA pili gene cluster is significantly more prevalent in human isolates than in dairy isolates (Douillard et al. 2013). Moreover, among human isolates, mucus-binding pili are produced by intestinal strains, but not by strains from mouth and vagina, which highlights a key role for pili in the GI habitat and reflects a mechanism of niche specialization.

Competition and defense systems Production of bacteriocins may give a relevant competitive advantage to LAB inhabiting densely populated niches, such as the gut. Comparative analysis highlighted the presence of megaplasmid-encoded bacteriocin genes in several *L. salivarius* human intestinal isolates (Raftis et al. 2011). Accordingly, most *L. rhamnosus* strains isolated from the human body, including gut and vagina, were found to produce antimicrobial compounds against common human pathogens, while dairy isolates appeared to have lost such trait (Douillard et al. 2013). A consistent number of putative genes implicated in the production and processing of bacteriocins was predicted also in *L. acidophilus* NCMF (Altermann et al. 2005) and bacteriocin genes characterized a *L. johnsonii* strain with high gut persistence (Denou et al. 2008). Moreover, the induction of the plantaricin immunity protein PlnI, during murine GI transit of *L. plantarum* (Marco et al. 2007, 2009), reinforces the idea that bacteriocins enhance the in vivo performance of LAB. Intriguingly, some studies even suggested that LAB bacteriocins, like host antimicrobial peptides, might modulate immune response of host cells, including DC and PBMC (Meijerink et al. 2010; van Hemert et al. 2010).

Protection from the host environment The prevalence of EPS biosynthesis operons in many intestine-adapted LAB strains (Azcarate-Peril et al. 2008; Denou et al. 2008; Lebeer et al. 2009; Raftis et al. 2011; Claesson et al. 2006) strongly supports a role for such surface components in interaction with host and protection from gut environment, as was plainly demonstrated for *L. rhamnosus* GG (Lebeer et al. 2011). Intriguingly, in *L. salivarius*, which includes strains indigenous to the gut and oral cavity, two gene clusters for EPS biosynthesis corresponded to regions of significant, intraspecies, genomic diversity, hence emphasizing their contribution to niche adaptation (Raftis et al. 2011).

Mechanisms and molecules promoting adhesion to mucosal surfaces and host-microbe interplay

A mucus layer protects the epithelium of hollow organs (including airways, mouth, gut, and vagina) and serves as a niche for commensal microbes. The mucus consists mainly of large, glycosylated proteins, i.e., mucins, and contains also other

proteins, lipids, and glycolipids (Juge 2012). Bacterial adhesion to the mucosal surface is the first step for the successful colonization of the host surfaces, and LAB have evolved multiple factors to realize it (Fig. 1). With few exceptions (Mukai et al. 2002; Nishiyama et al. 2013, 2016; Tytgat et al. 2016a), the biochemical details of such interactions (e.g., the nature of the host glycan receptor) are still elusive and difficult to determine, because of the heterogeneity of mucus components, the multitude of factors involved, and the overall complexity of the adhesion process (Juge 2012). Yet, it is clear that the architecture of the microbial cell envelope is crucially involved in the mechanisms underlying colonization, persistence, and interaction with host.

Structure and composition of the cell wall determines surface properties, which, in turn, influence adhesiveness. The cell membrane of LAB is wrapped by a multilayered peptidoglycan (PG) shell, which is decorated with teichoic acids (TA; including lipoteichoic acid (LTA) and wall teichoic acid (WTA)), pili, proteins, and EPS. In some species, the PG shell may be further surrounded by a paracrystalline envelope of S-layer proteins (Chapot-Chartier and Kulakauskas 2014). All of these components have been shown to be involved, to different extent, in the adhesive properties of LAB.

TAs are anionic, amphiphilic polymers, which contribute to the hydrophobic character and the electrostatic charge of the microbial cell surface, thereby influencing its adhesiveness. D-Alanylation (D-Ala) of TA is widespread among Gram-positive bacteria, suggesting its biological relevance in a variety of habitats. D-Ala seems also important for the host-commensal relationship in the GI tract. LTAs were important to mediate the attachment of *L. johnsonii* La1 to human intestinal epithelial cells (Granato et al. 1999). More recently, the importance of TA in the colonization of murine gut was confirmed in vivo for *L. reuteri*, besides suggesting that their D-Ala modification might be specifically protective against the unfavorable conditions of murine forestomach, including high acidity and host, cationic antimicrobial peptides (Walter et al. 2007). Likewise, the incorporation of D-Ala residues into LTAs was shown to enhance virulence, resistance to antimicrobial peptides, and adherence capacity of several pathogenic strains (Abachin et al. 2002; Kristian et al. 2005; Poyart et al. 2003; Collins et al. 2002), which emphasizes how commensal lactobacilli and bacterial pathogens may adopt similar strategies to colonize the mammalian host. Interestingly, LTA and D-Ala-substituted LTA are recognized as microbe-associated molecular patterns (MAMP) by host cells and, given their structural diversity, can induce species- and strain-specific immune responses, as was extensively demonstrated, both in vitro and in vivo, for diverse probiotic lactobacilli (Matsuguchi et al. 2003; Grangette et al. 2005; Claes et al. 2010, 2012; Perea Velez et al. 2007; Mohamadzadeh et al. 2011; Smelt et al. 2013).

EPS In LAB, EPS participate to several functions, including stress tolerance, biofilm formation, communication with other microbes, and interaction with host cells (Caggianiello et al. 2016). Being exposed on the bacterial surface, EPS contribute its physicochemical characteristics and influence the adhesion properties. Several studies have addressed the effects of EPS on the ability of LAB, especially lactobacilli, to colonize the host surfaces. For LAB indigenous to the oral cavity, EPS form a matrix that promotes cell aggregation and biofilm formation on the dental surfaces (Banas and Vickerman 2003; Parisotto et al. 2010). The EPS layer can mask adhesion factors on the microbial cell surface and/or compete for adhesion on host-binding sites. Accordingly, EPS were usually found to hinder the recognition mechanisms required for a stable adherence of LAB on host mucosae (Ruas-Madiedo et al. 2006; Lebeer et al. 2009; Denou et al. 2008; Dertli et al. 2015; Lee et al. 2016). However, the contribution of EPS to the overall colonization process is somehow controversial. In *L. plantarum*, EPS removal affected adhesion on Caco-2 cells in a strain-dependent fashion (Lee et al. 2016). In *L. rhamnosus* GG, knockout of the gene responsible for EPS biosynthesis deprived the derivative mutant strain of long, galactose-rich EPS molecules, resulting in enhanced adherence to human intestinal epithelial cells and increased biofilm formation (Lebeer et al. 2009). However, the mutant exhibited lower survival in vivo, within the murine GI tract, probably because EPS protect bacterial cells from host innate immune factors, such as complement-mediated lysis and cationic antimicrobial peptides (Lebeer et al. 2011). Likewise, in *L. johnsonii*, reduction of EPS promoted autoaggregation, biofilm formation, and adhesion to chicken gut explants, yet it diminished its resistance to some stress, which could mine its ability to reach and survive in a highly competitive and stressful niche, as is the gut (Dertli et al. 2015). In *L. reuteri* TMW1.106, a sourdough isolate, the removal of EPS by gene deletion, impaired cell aggregation, biofilm formation, and in vivo colonization of mouse GI tract (Walter et al. 2008). In another *L. reuteri* strain, common inhabitant of the murine proximal gut, the loss of EPS by genetic knockout (KO) did not affect biofilm formation and allowed mouse gut colonization, even though this was significantly impaired under competition with the wild-type, parental strain (Sims et al. 2011). In this regard, the authors hypothesize that, after adopting a commensal relationship with vertebrate hosts, EPS might have assumed novel additional roles, relative to their original function. More in detail, *L. reuteri* EPS could enhance its colonization potential, for instance by exerting immune modulatory effects that would generate immunological tolerance towards the commensal (Sims et al. 2011). In *Pediococcus* strains isolated from wine and cider, EPS positively influenced probiotic properties and adhesion on Caco-2 cells (Fernández de Palencia et al. 2009; Garai-Ibabe et al. 2010; García-Ruiz et al. 2014). Moreover, exogenous addition of

Pediococcus EPS potentiated in vitro adhesion of *L. plantarum* WCFS1 to human intestinal epithelial cells (Russo et al. 2012) and a recombinant strain of *L. paracasei* NFBC 338, expressing the glucosyltransferase gene of *P. parvulus* 2.6, produced β -glucan EPS and exhibited increased tolerance to GI stress (Stack et al. 2010).

EPS are known to participate to host-microbe interaction also by their immunoregulatory properties, including anti-inflammatory effects, as recently demonstrated for some LAB (Notararigo et al. 2014; Tang et al. 2015; Murofushi et al. 2015). Intriguingly, the consequences of EPS removal/production on characteristics related to the interaction with the host, such as adhesion, gut survival, and immune modulation, may not be easily predictable as they seem species and strain dependent (Walter et al. 2008; Sims et al. 2011; Lee et al. 2016). To sum up, given their documented protective role and their prevalence in lactobacilli isolated from gut ecosystems, EPS may afford LAB a greater ecological performance during gut colonization.

PILI Adhesion of LAB to host surfaces is mainly protein mediated. Among the proteinaceous components of the cell envelope, pili have been shown to be pivotal for adhesion to host tissue and are thus considered to favor colonization and persistence of Gram-positive bacteria (Danne and Dramsi 2012). Pili were demonstrated to promote adhesion to host cells by commensal opportunistic LAB, including *Streptococcus agalactiae*, a commensal bacterium colonizing the gastrointestinal and urogenital tract of women (Dramsi et al. 2006) and *Streptococcus pneumoniae*, a common colonizer of the human upper respiratory tract (Barocchi et al. 2006). Pili are also essential virulence factors as they mediate host tissue colonization by potentially pathogenic enterococcal species (Nallapareddy et al. 2011a, b).

The SpaCBA pilus of *L. rhamnosus* GG was immunodetected on the bacterial cell surface (Kankainen et al. 2009) and shown to be critical for efficient adherence to human intestinal epithelial cells and for biofilm formation (von Ossowski et al. 2010; Lebeer et al. 2012). Oral assumption of piliated and non-piliated mutant strains also suggested a key role for pili in promoting residence in the human colon of healthy volunteers (Kankainen et al. 2009). Recently, another type of GG pilus was phenotypically characterized by heterologous expression of the *spaFED* operon in *Lactococcus lactis*, demonstrating cell wall surface localization and mucus-binding capacity (Rintahaka et al. 2014). Surface proteome analysis of a natural vegetal isolate of *L. lactis* allowed the detection of pilins, which are involved in adhesion to Caco-2 cells (Meyrand et al. 2013; Le et al. 2013). Notably, the gene cluster involved in *L. lactis* pili biogenesis is located on a plasmid, thus indicating a recent gain by horizontal gene transfer and suggesting a mechanism underlying the spread of this function among plant lactococci.

Apart from their well-documented relevance in adhesion, some recent studies shed new light on the role of pili in immune interaction with the host. *L. rhamnosus* GG SpaCBA pili were shown to mediate, in vitro, the adhesion to macrophages and suggested to promote anti-inflammatory effects (Vargas García et al. 2015). Tytgat et al. (2016a) showed that SpaCBA pili are post-translationally glycosylated and their glycans are recognized by human DC through the DC-SIGN lectin receptor, thereby modulating cytokines production. A recent paper demonstrates that SpaCBA pili prevent mucus adhesion by potential pathogens, i.e., *Enterococcus faecium*, thus providing a molecular basis for the successful clinical use of GG in the prevention and treatment of vancomycin-resistant enterococci infections (Tytgat et al. 2016b).

MUB proteins Mucus-binding proteins (MUB) constitute a family of peptidoglycan-anchored proteins that play a relevant role in LAB adhesion to the mucus layer (Juge 2012). MUBs own multiple Mub repeats, i.e., the domains involved in binding to mucus, and a C-terminal LPxTG anchoring motif, for their covalent attachment to the bacterial cell wall. The first identified and functionally characterized MUB was that from *L. reuteri* (Roos and Jonsson 2002; MacKenzie et al. 2009, 2010). A MUB-related protein with mannose-specific adhesion (Msa) capacity was then identified in different *L. plantarum* strains (Pretzer et al. 2005; Gross et al. 2010). In *L. plantarum* 299v, Msa was ascribed a role in bacterial adherence and in the induction of host responses in the pig intestine (Gross et al. 2008). Furthermore, variations in mannose-adhering capacity of different *L. plantarum* strains were related to the genetic variability in the *msa* gene locus, e.g., number of MUB domain repeats (Gross et al. 2010). A high genetic heterogeneity of MUB and MUB-like proteins was also observed among *L. reuteri* isolates, and the proteins could be immunodetected on the cell surface only in a few strains (Mackenzie et al. 2010). Mucus-binding adhesins have been identified even in other probiotic lactobacilli, and their contribution to the interaction with intestinal cells and/or mucus was demonstrated (Buck et al. 2005a, b; Von Ossowski et al. 2011; Jensen et al. 2014). Notably, a genome and protein database search for MUB domain-containing proteins revealed that potential mucus adhesins occur only in LAB and are particularly abundant in lactobacilli of the GI tract, thus pointing to the Mub repeat as a conserved and relevant functional unit for host-microbe interactions, which could be the outcome of a long-term co-evolution (Boekhorst et al. 2006).

Moonlighting proteins The so-called moonlighting proteins constitute a remarkable family of multifunctional proteins that fulfill multiple, biologically unrelated roles,

often localized to separate cellular compartments. Some moonlighting proteins have been demonstrated to be cell surface associated, thereby acting as adhesins, in addition to their primary, usually intracellular, function. In diverse lactobacilli, the elongation factor Tu (EF-Tu) (Granato et al. 2004; Dhanani and Bagchi 2013; Nishiyama et al. 2013), heat shock proteins (Bergonzelli et al. 2006; Katakura et al. 2010), and glycolytic enzymes (Kinoshita et al. 2008; Ramiah et al. 2008; Patel et al. 2016; Glenting et al. 2013; Spurbeck and Arvidson, 2010; Castaldo et al. 2009; Kainulainen et al. 2012; Katakura et al. 2010) have been recognized as multitasking proteins with the special ability to bind proteinaceous components of the extracellular matrix. Interestingly, such proteins are anchorless, i.e., not covalently bound to the cell wall; moreover, they lack signal sequences or hydrophobic membrane-spanning domains that could target them to secretory pathways. Indeed, the mechanisms allowing their translocation and envelope anchoring are likely to be species specific and are, as yet, poorly understood. Intriguingly, it was suggested that environmental challenges and biochemical features typical of the host gut, including starvation, bile acids, pH stress, and antimicrobial peptides, could modulate availability, adhesiveness, cell surface association, and extracellular release of such multifunctional proteins (Antikainen et al. 2007; Saad et al. 2009; Candela et al. 2010; Kainulainen et al. 2012; Bove et al. 2013). This phenomenon highlights how the host signals, including its innate immune effectors, can impact the cell surface architecture of commensal lactobacilli, thereby promoting their adhesiveness. Multitasking proteins also comprise putative subunits of ATP-binding cassette (ABC) transport systems that were characterized as potential adhesins in *L. reuteri* NCIB11951 (Roos et al. 1996), *L. fermentum* (Macías-Rodríguez et al. 2009), and *Lactobacillus mucosae* ME340 (Watanabe et al. 2010).

S-layer The bacterial cell surface commonly features paracrystalline protein arrays referred to as S-layers (Sára and Sleytr 2000). In LAB, the functions of S-layer proteins (Slp) are still elusive, although accumulating evidence underpins a role in host-microbe interactions. In *Lactobacillus crispatus*, *Lactobacillus brevis*, and *L. acidophilus*, Slp were shown to mediate adhesion to proteins of the host extracellular matrix (Sillanpää et al. 2000; Hynönen et al. 2002; Buck et al. 2005a, b). Accordingly, Avall-Jääskeläinen et al. (2003) elegantly demonstrated that the ability to adhere to gut epithelial cells could be transferred to a poorly adhesive LAB, i.e., *L. lactis*, by heterologous expression of the N-terminal region of the Slp from *L. brevis*. Moreover, in *L. acidophilus* NCFM, the S-layer protein A (SlpA) was found to regulate dendritic and T cell functions by specific binding on their surface lectin receptor DC-SIGN (Konstantinov et al. 2008).

Colonization of abiotic surfaces by LAB

Several LAB are able to colonize surfaces by forming biofilms, i.e., sessile bacterial communities, strongly associated to a surface and embedded in a self-produced, extracellular, polymeric matrix (Piard and Briandet 2015). LAB biofilms occur on both biotic and abiotic surfaces, in different environments, including plant material, food and food-related niches, animal mucosae, medical instrumentations, and domestic settings. Biofilm bacteria exhibit distinctive phenotypic traits. Moreover, the biofilm structure provides protection against environmental stresses, such as unfavorable pH and oxygen values, biocides, antibiotics, and other hostile factors (Watnick and Kolter 2000; Kubota et al. 2008). For instance, the resistance to disinfectants is significantly higher in biofilms than in planktonic cells (Bridier et al. 2011). Likewise, the organization of LAB in communities anchored to a surface gives greater resilience compared with the planktonic counterparts (Somers et al. 2001; Kubota et al. 2009). In probiotic lactobacilli, the biofilm mode of life enhances resistance to OGI conditions, moreover, it modulates probiotic functions, such as anti-inflammatory effects and antagonism against pathogens (Rieu et al. 2014; Aoudia et al. 2016).

The development and endurance of biofilms are influenced by several aspects, including the type of biofilm-producing strains, the symbiotic relationship between different species and/or strains taking part in the biofilm construction, the nature of the surface and other unpredictable factors, such as moisture and nutrient availability (Van Acker et al. 2014). The food industry, especially during the manufacturing process, offers a number of dynamics conducive to the biofilm formation (Gunduz and Tuncel 2006). LAB, both autochthonous to the raw material and as inoculated starters, are widely used in food preparation, hence, biofilms formed by LAB have been reported in different products (Piard and Briandet 2015). In several cases, LAB biofilms may alter the quality of food, as they produce molecules which modify the original aroma profile and texture (Suzuki et al. 2008; Fernández Ramírez et al. 2015). The contamination of manufacturing plants of very common food products can be caused by biofilm-producing LAB such as *Lactobacillus fructivorans* (responsible for mayonnaise and miso spoilage), *Lactobacillus acetotolerans* and *L. brevis* (vinegar spoilage), *L. plantarum* subsp. *plantarum* (pickled cabbage spoilage), and *Lactobacillus curvatus* and *Lactobacillus fermentum* (found on stainless steel utensils and ripening vats of Cheddar cheese). The biofilms produced by several other LAB species have been reported to affect the quality of meat, cheese, sake, beer, and salad (Somers et al. 2001; Kubota et al. 2009) and well known is the undesirable alteration provoked by

biofilms of *Pediococcus* and *Lactobacillus* species in wine (Lonvaud-Funel 2016).

Although the biofilms formed on food and food processing plants usually spoil the products and damage both equipments and working surfaces (Flemming and Wingender 2010), yet, in some manufacture, biofilms are advantageous for the food technology. For example, in the production of the traditional cheeses Italian Ragusano and French Salers, both made from raw milk, the wooden vats, used for fermentation and ripening, host a microbial biofilm (formed mainly by *Streptococcus thermophilus*, *Lactobacillus*, *Lactococcus*, *Enterococcus*, and *Leuconostoc* species) which is desired and, more precisely, essential for achieving the uniqueness of the product (Licitra et al. 2007; Didienne et al. 2012). Additionally, the biofilm on vat surfaces not only governs the fermentation processes without the use of any starter, it also inhibits spoilage and potentially pathogenic microorganisms (Mariani et al. 2007). Strains of *L. plantarum* isolated from biofilms on the floor of poultry processing plants were found to contrast the development of *Listeria monocytogenes* (Zhao et al. 2013). Thus, the biofilm may contribute to food safety. Moreover, LAB biofilms can improve the properties of the final product and extend its shelf life, as is the case of fermented olives, whose surface and fermentation equipment host *Lactobacillus pentosus* communities, and sausages, which are produced in vessels colonized by *Lactobacillus sakei* biofilms (Arroyo-Lopez et al. 2012; Landeta et al. 2013). Similarly, *Leuconostoc* biofilms can reduce the sugar crystallization during sucrose refining, due to the presence of dextran in the biofilm matrix (Leathers and Bischoff 2011).

Microbial biofilms occur also on numerous indwelling medical devices, including contact lenses, catheters, connectors, tubes, and valves, thus representing a threat for public health. The colonization of the abiotic surfaces of medical instruments is facilitated by the presence of body fluids and liquid medications (Donlan 2001). Examples of LAB, which can contaminate medical instrumentations, are *Enterococcus faecalis* and *Streptococcus viridans*, which typically derive from the skin of patients or healthcare workers.

Mechanisms associated to biofilm formation on abiotic surfaces

Bacterial factors When bacterial cells, commonly from diverse species, organize themselves in a biofilm, the communication between the different members of the community plays a crucial role. The fundamental mechanism of coordination allowing the structural organization of the biofilm is known as “quorum sensing” (Di Cagno et al. 2011). Bacterial cells perceive changes from the environment and exchange information with other bacteria, by producing small, diffusible molecules that modulate the adaptation and

development of the biofilm consortium. In the formation of LAB biofilm, a major role in the cell-to-cell communication system has been ascribed to two types of signal molecules called auto-inducing peptides (AIPs) and LuxS-derived autoinducer-2 (AI-2) (Choudhary and Schmidt-Dannert 2010; Lebeer et al. 2007a) (Fig. 1). Such compounds are secreted through specific export systems. When the concentration of AIPs and AI-2 remains below a threshold value, their specific receptors, located either on the cell membrane or intracellularly, respectively, are degraded; when the signal molecules accumulate in the extracellular microenvironment, their receptors are activated and trigger a transcriptional cascade that leads to the modulation of several genes involved in the biofilm production (Stock et al. 2000; Bassler and Losick 2006). Growth phase-dependent AIP systems have been identified in *L. plantarum* WCFS1 (Sturme et al. 2005) and *E. faecalis* (Hancock and Perego 2004), while an AI-2 complex has been identified in *L. rhamnosus* GG (Lebeer et al. 2007b). Both systems have been associated to the modulation of adherence to abiotic surfaces, and the regulation of gene involved in production of polysaccharides and cell membrane protein.

Quorum sensing is not the only mechanism through which the biofilm formation is initiated and preserved. Different cell surface molecules and structures are implicated in adhesion and aggregation of planktonic bacteria (Fig. 1). Notably, the same microbial factors often drive the colonization of both abiotic and biotic supports, thus emphasizing similarities in the mechanisms leading to biofilm formation in the different niches where LAB may live (Piard and Briandet, 2015). Pili and fimbriae contribute to the initial stage of biofilm formation by mediating autoaggregation and adhesion of LAB (Mandlik et al. 2008; Oxaran et al. 2012; Adlerberth et al. 1996). Moreover, dedicated enzymes can be committed to the first phase of biofilm formation, such as sortase A, which was found to promote cell-to-cell and cell-to-surface interactions in *E. faecalis* and *L. plantarum* (Guiron et al. 2009; Malik et al. 2013). MabA was characterized as a cell wall protein that modulates adhesion and biofilm formation in *L. rhamnosus* GG (Vélez et al. 2010), and its higher expression level was associated to enhanced biofilm formation capacity (Savijoki et al. 2011).

Although it is not yet clear how and in which step, EPS are crucially involved in the biofilm construction. EPS physically support the biofilm structure and contribute to make up a sticky matrix that encloses a protective microenvironment and promotes bacteria-bacteria and bacteria-surface contacts (Caggianiello et al. 2016). In LAB, the ability to produce EPS, their chemical features and extracellular localization are strain-specific traits (Monsan et al. 2001; Lee et al. 2016). An assortment of glucosyltransferase makes some LAB strains prone to the production of biofilm by forming polysaccharide matrices constituted by different monosaccharides and specific glycosidic bonds (Theilacker et al. 2011).

Extracellular DNA (eDNA) is essential for determining the 3D structure of biofilm. Indeed, LAB strains lacking autolysin, the enzyme involved in the extracellular release of DNA, lose the ability to form structured biofilms (Mercier et al. 2002; Guiron et al. 2009). Additionally, teichoic and lipoteichoic acids play a crucial role in the adhesion to surfaces by changing the net negative charge of bacterial membrane and, consequently, its cohesive capability (Fabretti et al. 2006).

Environmental factors The induction of the biofilm is also influenced by specific environmental parameters (Donian, 2002). Generally, microorganisms take advantage by the biofilm growth mode when some environmental conditions become unfavorable to their survival, e.g., starvation or nutrient-rich conditions, low pH, and temperatures outside the optimal range (Myszka and Czaczyk 2009; Kubota et al. 2009). While, on the one hand, the composition of the bacterial cell membrane and the microbial molecules have a fundamental role in determining the attachment, development, maturation and, eventually, the disruption of the biofilm, on the other hand, an equally important role is played by the intrinsic properties of the abiotic surface, especially in the initial step of the adhesion process (Shi and Zhu 2009). Thus, the material type, coating, roughness, free energy, charge, topography, and stiffness may all modulate the bacterial colonization (Hahnel et al. 2015) (Fig. 1).

The topographical features of the surface, particularly its roughness, influence the bacterial adhesion, as a greater extent of irregularities promotes the biofilm formation, by making a larger surface area available for bacterial interactions and providing concave spaces where the microorganisms can find favorable and protective microenvironments (Anselme et al. 2010; Ionescu et al. 2012). Moreover, the presence of irregularities hampers the cleaning of the surface, hence favoring the accumulation of nutrient substrates and microbes (Teughels et al. 2006). The distribution of peaks and valleys, especially on a scale comparable with the microbial size, also affects the biofilm formation. Remarkably, bacterial cells seem able to discriminate the spatial scattering of microscopic depressions or elevations of a surface area (Perera-Costa et al. 2014). However, the roughness influences the biofilm-forming ability in a strain-dependent fashion (Mitik-Dineva et al. 2008). The bacterial attachment was shown to be more efficient on soft than on hard surfaces (Saha et al. 2013), thus highlighting the importance of surface stiffness both for the initial step and for maintaining the adhesive properties of the biofilm (Guegan et al. 2014).

Moreover, the type of material of which the surface is constituted (e.g., stainless steel, Teflon, plastic, ceramic, polystyrene, and metal) and the possible coating by organic molecules, which is frequent in food manufactory, define the chemical affinity to create biofilm (Cazzaniga et al. 2015; Renner and Weibel 2011). The surface charge determines the binding

force through which the bacteria anchor to the material. Generally, positively charged surfaces promote interaction with bacterial membranes and formation of biofilm, although quaternary ammonium and polyethylenimines have the property to be antibiofilm functional groups (Campoccia et al. 2013). Bacteria tend to adhere to glass-forming monolayers, while easily form clumps during the adhesion on nylon and tin (Chmielewski and Frank 2003). Furthermore, the surface energy, which is directly correlated to the surface reactivity (Cazzaniga et al. 2015), and the hydrophobicity or hydrophilicity influence the bacteria-to-surface interaction, depending on bacterial strains (Zhang et al. 2013). Metallic materials provide high-energy, negatively charged, hydrophilic surfaces, whereas Teflon provides low-energy, poor negatively charged hydrophobic surfaces (Faille et al. 2002). Thus, stainless steel and glass which offer high free surface energy are relatively hydrophilic and generally facilitate the biofilm formation of a great number of bacteria, with respect to other hydrophobic surfaces such as Teflon, nylon, buna-N rubber, and fluorinated polymers (Chmielewski and Frank, 2003).

Conclusion and prospectives

LAB communities are widespread on both biotic and abiotic surfaces, and their occurrence may be either beneficial or detrimental to humans. Colonization of GIT and vaginal mucosa by probiotic LAB can serve as immune barrier against potential pathogens and provide other health benefits related to their probiotic activities. On the other hand, streptococci and lactobacilli included in the microbial biofilms of the oral cavity are associated with dental caries lesions. Likewise, contamination of food matrices by LAB biofilm communities is often functional to the manufacture process and to achieve the distinctive features of the product. Yet, colonization by undesirable LAB can also deteriorate food and food equipments. A deep knowledge of the genetic basis, the environmental factors, and the cellular mechanisms allowing LAB colonization of biotic and abiotic surfaces is essential for any strategy aiming to either prevent or favor the formation of such structured communities.

Controlling the production of LAB biofilms holds fascinating applications in both health and food biotechnologies. Such potentials are mainly related to their protective effects and competitive behavior towards other microbes. For instance, LAB colonization of food-related surfaces can be exploited to counteract pathogens and spoilage microorganisms, notably reducing food poisoning, product deterioration, and the use of chemical agents. EPS and/or biofilm-producing strains have been proposed also for food packaging as biodegradable polymers or food coating, thereby reducing food browning and dehydration. Moreover, the piloted immobilization of LAB on specific surfaces could be advantageous for the in situ

production of several bioactive compounds, including antimicrobials, food-preserving agents, and therapeutics. Similarly, through the colonization of the host mucosae, LAB represent biotic alternatives or additive treatments to the use of antibiotics and drugs in human and animal medical practice.

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