

# Identification of a type III polyketide synthase involved in the biosynthesis of spiroloxine

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**Abstract** Spirolaxine is a natural product isolated from *Sporotrichum laxum* ATCC 15155, which has shown a variety of biological activities including promising anti-*Helicobacter pylori* property. To understand how this compound is biosynthesized, the genome of *S. laxum* was sequenced. Analysis of the genome sequence revealed two putative type III polyketide synthase (PKS) genes in this strain, *Sl-pks1* and *Sl-pks2*, which are located adjacent to each other (~2.0 kb apart) in a tail-to-tail arrangement. Disruption of these two genes revealed that Sl-PKS2 is the dedicated PKS involved in the biosynthesis of spiroloxine. The intron-free *Sl-pks2* gene was amplified from the cDNA of *S. laxum* and ligated into the expression vector pET28a for expression in *Escherichia coli* BL21-CodonPlus (DE3)-RIL. The major products of Sl-PKS2 in *E. coli* were characterized as alkylresorcinols that contain a C<sub>13</sub>–C<sub>17</sub> saturated or unsaturated hydrocarbon side chain based on the spectral data. This

enzyme was purified and reacted with malonyl-CoA and a series of fatty acyl-SNACs (C<sub>6</sub>–C<sub>10</sub>). Corresponding alkylresorcinols were formed from the decarboxylation of the synthesized tetraketide resorcylic acids, together with fatty acyl-primed triketide and tetraketide pyrones as byproducts. This work provides important information about the PKS involved in the biosynthesis of spiroloxine, which will facilitate further understanding and engineering of the biosynthetic pathway of this medicinally important molecule.

**Keywords** Spirolaxine · Anti-*Helicobacter pylori* · Biosynthesis · Type III polyketide synthase · Gene disruption

## Introduction

Fungi produce numerous structurally and functionally diverse natural products. Some fungal metabolites have been developed into therapeutics such as penicillin (antibacterial) and lovastatin (anti-cholesterol), while others have shown interesting biological activities and may represent promising lead compounds for new drug development. Spirolaxine (**1**, Fig. 1) is a natural product originally discovered from *Sporotrichum laxum* ATCC 15155 (*Basidiomycetes*) as a plant growth inhibitor (Arnone et al. 1990). It was later found to possess significant anti-*Helicobacter pylori* activity, representing a potential drug candidate for the treatment of *H. pylori*-related diseases (Blaser 1992). **1** was also reported to exhibit cholesterol-lowering activity (Robinson and Brimble 2007). A more recent research reported that **1** has inhibitory activities toward endothelial cells (BMEC and HUVEC) and a variety of tumor cell lines (i.e., LoVo and HL60) (Dimitrov et al. 2012; Giannini et al. 2004; Tsukamoto et al. 1998). Besides **1**, two other 5,5-spiroacetal phthalides, CJ-12,954 (**2**, Fig. 1) and CJ-13,014 (**3**, Fig. 1), were also isolated from the cultures of the *Basidiomycetae* *Phanerochaete velutina*.

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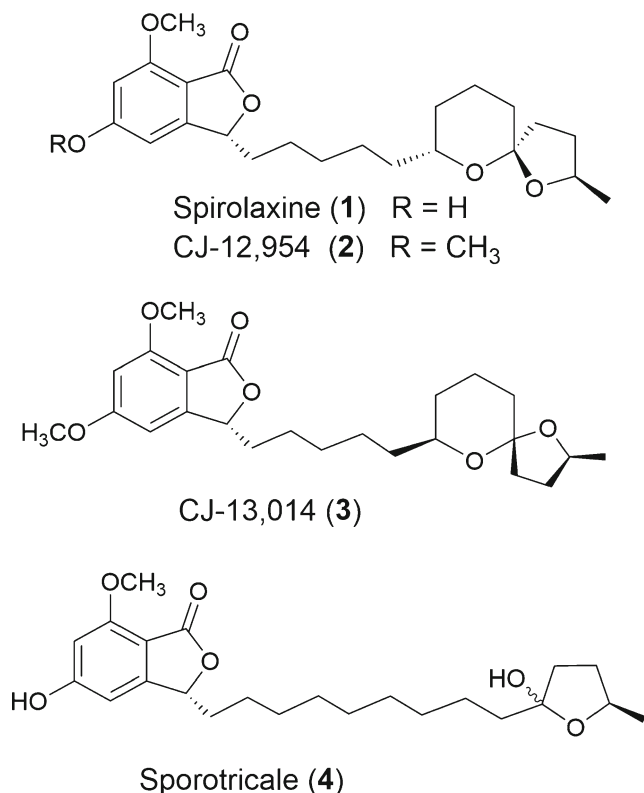
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**Fig. 1** Structures of spirolaxine (1) and analogs (2–4)

All these molecules showed anti-*H. pylori* activity (Dekker et al. 1997), while **1** showed twice as much potency as the other two spiroacetal compounds **2** and **3**.

**1** belongs to a group of interesting secondary metabolites that feature a unique 3-methoxy-5-hydroxy-phthalide nucleus linked to a 6,5-spiroacetal group through a five-membered methylene chain. Owing to the interesting biological activities and structural characteristics, the structures of these compounds were determined and many efforts were devoted to the development of a chiral synthetic route of **1** and its derivatives (Bava et al. 2005; Wang et al. 2013). However, it remains unknown how **1** is assembled by particular biosynthetic enzymes in the producing host. In our previous research, we proposed that a linear polyketide intermediate synthesized by a highly reducing polyketide synthase (hrPKS) is taken by a non-reducing polyketide synthase (nrPKS) as the starter unit and extended through three rounds of decarboxylative condensation of malonyl-CoA. The polyketide backbone undergoes subsequent C-2/C-7 and C-1/C-8 cyclizations. The formed phthalide core structure is then released from the enzyme and further modified by a series of tailoring enzymes to yield **1** (Wang et al. 2013). This proposed route is similar to the formation of the family of fungal benzenediol lactone (BDL) polyketides such as radicicol and dehydrocurvularin (Xu et al. 2014a; Xu et al. 2014b; Xu et al. 2013a; Xu et al. 2013b).

On the other hand, fungal type III PKSs are found to synthesize akyresorcinols (Seshime et al. 2005), which may also serve as the biosynthetic precursor of **1** for a series of tailoring reactions. Filamentous fungi are a known source of natural products with wide applications in agriculture, medicine, industry, and nutrition. Since the discovery of the first fungal type III PKS ORAS from *Neurospora crassa* (Funa et al. 2007), several fungal type III PKSs have been reported. For example, *Aspergillus niger* AnPKS (Li et al. 2011) catalyzes the synthesis of pentaketide alkylresorcylic acids, while BPKS from *Botrytis cinerea* accepts C<sub>4</sub>–C<sub>18</sub> aliphatic acyl-CoAs and benzoyl-CoA as the starters to form pyrones, resorcylic acids, and resorcinols through sequential condensation with malonyl-CoA (Jeya et al. 2012). CsyA from *Aspergillus oryzae* synthesizes triketide and tetraketide pyrones (Yu et al. 2010), and CsyB from the same strain takes a starter fatty acyl-CoA, malonyl-CoA, and acetoacetyl-CoA to synthesize 3-acetyl-4-hydroxy-6-alkyl- $\alpha$ -pyrone (Hashimoto et al. 2014).

To understand the biosynthetic process of **1**, the genomic DNA of *S. laxum* ATCC 15155 was sequenced in this work. However, analysis of the genomic sequence did not yield any pair of hrPKS and nrPKS that are proposed to synthesize **1**. Instead, we found two putative type III PKS genes, *Sl-pks1* (GenBank accession no. KU560626) and *Sl-pks2* (GenBank accession no. KU560627), which are located adjacent to each other in a tail-to-tail arrangement. Knockout of *Sl-pks1* has no effects on spirolaxine biosynthesis, whereas disruption of *Sl-pks2* abolished the production of **1**, which thus revealed that *Sl-pks2* is a dedicated PKS involved in the biosynthesis of **1**. This enzyme was functionally characterized as an alkylresorcinol synthase through in vitro enzymatic reactions and isolation of in vivo products from the heterologous host *Escherichia coli*.

## Materials and methods

### General method

Polyketide products were detected and isolated on an Agilent 1200 high performance liquid chromatography (HPLC) instrument. Electrospray ionization mass spectrometry (ESI-MS) spectra were collected on an Agilent 6130 Single quadrupole LC-MS. Nuclear magnetic resonance (NMR) spectra were acquired in CDCl<sub>3</sub> on a JEOL NMR instrument (300 MHz for <sup>1</sup>H NMR and 75 MHz for <sup>13</sup>C NMR). The chemical shift ( $\delta$ ) values were given in parts per million (ppm). The coupling constants (*J* values) were reported in Hertz (Hz).

### Strains and materials

DNA manipulations were performed using standard techniques with *Escherichia coli* XL1-Blue as a host organism.

Expression vector pET28a was purchased from Novagen (Madison, WI, USA). *E. coli* BL21-CodonPlus (DE3)-RIL was purchased from Stratagene (La Jolla, CA, USA) as the expression host. *S. laxum* strain ATCC 15155 was obtained from the American Type Culture Collection. Malonyl-CoA was purchased from Sigma–Aldrich (St. Louis, MO, USA). Straight-chain saturated fatty acyl-SNACs (*N*-acetylcysteamine thioesters) were synthesized as previously described (Yu et al. 2010).

### Extraction and sequencing of genomic DNA

*S. laxum* was cultured in 50 mL of potato dextrose broth (PDB) at 28 °C for 4 days, and the genomic DNA was extracted using the previously reported method (Yu et al. 2010). The genome was then sequenced using an Illumina MiSeq desktop sequencer and assembled with the short read de novo assembler Velvet. Augustus was used for gene prediction (Stanke and Morgenstern 2005), and BLASTX was used for annotation. FramePlot (Ishikawa and Hotta 1999) was used to analyze the predicted PKS genes, with *Phanerochaete chrysosporium* transcriptome as the reference. Two putative type III PKS genes, *Sl-pks1* (GenBank accession no. KU560626) and *Sl-pks2* (GenBank accession no. KU560627), were found in the sequence data.

### Knockout of *Sl-pks2* and *Sl-pks1*

The genomic DNA of *S. laxum* was used as the template to amplify a 2.7-kb fragment (left arm) upstream of *Sl-pks2* with a set of specific primers SI-PKS2-ko-la-F and SI-PKS2-ko-la-R (Table 1). Similarly, a 3.0-kb downstream fragment (right arm) was amplified with another set of primers SI-PKS2-ko-ra-F and SI-PKS2-ko-ra-R (Table 1). The PCR products were first ligated to the cloning vector pJET1.2 to yield pSUN56 and pSUN55, respectively (Table 2). After the plasmids were sequenced, the inserts were subsequently cloned into the pAg1-H3 vector to yield pSUN63 (Table 2).

To disrupt *Sl-pks1*, the two arms (3.0 and 3.5 kb) were amplified with two sets of primers including SI-PKS1-ko-la-F, SI-PKS1-ko-la-R, SI-PKS1-ko-ra-F, and SI-PKS1-ko-ra-R (Table 1). Ligation of the two arms into pJET1.2 yielded pSUN106 and pSUN107, respectively (Table 2). The disruption plasmid pSUN110 (Table 2) was obtained after the two arms were cloned into pAg1-H3.

The two disruption plasmids, pSUN63 and pSUN110, were respectively introduced into *Agrobacterium tumefaciens* LBA4404 strain by electroporation, which carries the Ti plasmid pAL4404. This plasmid only has the *vir* and *ori* regions of the Ti plasmid. Electroporation conditions were 25  $\mu$ F, 200  $\Omega$ , and 2.5 kV (0.2 cm cuvettes) on a Gene Pulser<sup>®</sup> electroporator (Bio-Rad, USA).

*A. tumefaciens* LBA4404 cells containing the T-DNA binary vectors were grown overnight at 28 °C in YM broth (yeast extract 0.4 g L<sup>-1</sup>, mannitol 10 g L<sup>-1</sup>, NaCl 0.1 g L<sup>-1</sup>, MgSO<sub>4</sub> 0.1 g L<sup>-1</sup>, K<sub>2</sub>HPO<sub>4</sub>·3H<sub>2</sub>O 0.5 g L<sup>-1</sup>, pH 7.0) containing 50  $\mu$ g mL<sup>-1</sup> kanamycin. Subsequently, 1 mL of the culture was centrifuged at 3500 rpm for 5 min. The pelleted cells were washed twice with 1 mL of induction medium (IM) (K<sub>2</sub>HPO<sub>4</sub> 3 g L<sup>-1</sup>, NaH<sub>2</sub>PO<sub>4</sub> 1 g L<sup>-1</sup>, (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> 0.5 g L<sup>-1</sup>, MgSO<sub>4</sub>·7H<sub>2</sub>O 0.3 g L<sup>-1</sup>, KCl 0.15 g L<sup>-1</sup>, CaCl<sub>2</sub> 0.005 g L<sup>-1</sup>, FeSO<sub>4</sub>·7H<sub>2</sub>O 0.0025 g L<sup>-1</sup>, glucose 10 mM, 2-[*N*-morpholino]ethanesulphonic acid (MES) 40 mM, glycerol 0.5 % (w/v), pH 5.3), inoculated in 50 mL of fresh IM, and grown for an additional 5 h at 28 °C. The final OD<sub>600</sub> value of the cultures should be around 0.5. Before co-cultivation, the cells were washed twice as described above with an equal volume of sterile 0.85 % NaCl (w/v) solution and re-suspended in 1 mL of 0.85 % NaCl.

*S. laxum* was incubated on potato dextrose agar plates at 28 °C for 5 days to allow spore germination. Spores were washed with sterile 0.85 % NaCl (w/v) solution and re-suspended with 1 mL of above-prepared *A. tumefaciens* cells. The mixture was inoculated onto sterile cellulose films and applied over IM-agar plates containing 500 nM acetosyringone (AS) and incubated at 25 °C for 24 h. After co-cultivation, the films that have both *S. laxum* and *A. tumefaciens* were transferred to a new batch of IM-agar plates containing 250  $\mu$ g mL<sup>-1</sup> hygromycin B and 100  $\mu$ g mL<sup>-1</sup> ampicillin for colony selection and inhibition of *A. tumefaciens* LBA4404. The plates were incubated at 28 °C for 7 days until single colonies appeared.

To confirm the knockout of gene *Sl-pks2* in *S. laxum*, we extracted the genomic DNA of *S. laxum* mutants with ZR Fungal/Bacterial DNA MiniPrep<sup>™</sup> (ZYMO Research, CA, USA). Two pairs of check primers, including the genome-specific primers SI-PKS2-ko-la-check-F and SI-PKS2-ko-ra-check-R as well as the vector-specific primers HYG-check-F and HYG-check-R (from the HYG gene) (Table 1), were used to amplify two specific fragments from the mutant. For analyzing the deletion of *Sl-pks1*, the genome-specific primers SI-PKS1-la-check-F and SI-PKS1-ra-check-R were used with the two vector-specific primers (Table 1).

### Amplification of intron-free *Sl-pks2* from the cDNA of *S. laxum* and construction of pET28a-*Sl-pks2*

Wild-type *S. laxum* was grown in potato dextrose broth at 28 °C for 3 days. The cells were harvested by filtration, from which the RNA was extracted with TRIzol Reagent (Invitrogen, USA). The extracted RNA was purified with a Direct-zol RNA MiniPrep kit (Zymo Research, CA, USA). The cDNA was synthesized from total RNA with M-MuLV Reverse Transcriptase (NEB, MA, USA). With the cDNA as a template, a 1227-bp DNA fragment of the intron-free *Sl-pks2*

**Table 1** Primers used in this study

Primers	Sequence	Restriction sites
SI-PKS2-F	5'-CGGGATCCATGTCTCCCGCAAAGCTC-3'	<i>Bam</i> HI
SI-PKS2-R	5'-CGGAATTCTTACCCCGAGTCAGAGGAACC-3'	<i>Eco</i> RI
SI-PKS1-ko-la-F	5'-GGGGTACCCTCACCCAGAATGCACTGGTC-3'	<i>Kpn</i> I
SI-PKS1-ko-la-R	5'-GGCCTAGGGATTTGGGTAGCGCCTTTG-3'	<i>Avr</i> II
SI-PKS1-ko-ra-F	5'-GGACTAGTCAGGGAAAGGAGGCCGG-3'	<i>Spe</i> I
SI-PKS1-ko-ra-R	5'-GGTTAATTAACAGGCGCTCGAGGGAAAC-3'	<i>Pac</i> I
SI-PKS2-ko-la-F	5'-AGCCCGGGTTGGCG-3'	<i>Sma</i> I
SI-PKS2-ko-la-R	5'-GGCCTAGGAGCGGTAGGAGGACAGTCGAG-3'	<i>Avr</i> II
SI-PKS2-ko-ra-F	5'-GGACTAGTACCCAGATCGAATGATTATCTAC-3'	<i>Spe</i> I
SI-PKS2-ko-ra-R	5'-GGTTAATTA <sup>3'</sup> ACTACAAAACCGAATTCGACTCTACAC-3'	<i>Pac</i> I
SI-PKS1-ko-la-check-F	5'-GGTGGATAGCGTGCCATC-3'	
SI-PKS1-ko-ra-check-R	5'-GGGAGCGAGCGCACTC-3'	
HYG-check-F	5'-AGCTTGACTATGAAAATTCGTCAC-3'	
HYG-check-R	5'-CGGAGACGCTGTCTCGAACTTT-3'	
SI-PKS2-ko-la-check-F	5'-GGTGCTGACGATCTCGGAG-3'	
SI-PKS2-ko-ra-check-R	5'-CACAACTACGTATCATTGAAGCATG-3'	

gene was amplified by PCR with a set of specific primers including SI-PKS2-F (5'-CGGGATCCATGTCTCCCGCAAAGCTC-3', with a *Bam*HI site shown by underlining) and SI-PKS2-R (5'-CGGAATTCTTACCCCGAGTCAGAGGAACC-3', with an *Eco*RI site shown by underlining). The resulting intron-free fragment was cloned into the pJET1.2 cloning vector and subsequently cloned into pET28a vector between the *Bam*HI and *Eco*RI sites, resulting in pSUN26.

### In vivo polyketide biosynthesis

*E. coli* BL21-CodonPlus (DE3)-RIL cells harboring pET28a-*Sl-pks2* were grown in Luria–Bertani (LB) broth containing 50 µg mL<sup>-1</sup> kanamycin and 50 µg mL<sup>-1</sup> chloramphenicol at 37 °C and 250 rpm. Until the OD<sub>600</sub> reached 0.6, 200 µM isopropyl-β-D-1-thiogalactopyranoside (IPTG) was added to induce the overexpression of SI-PKS2. The induced broth was maintained at 28 °C and 250 rpm for an additional 24 h. The cells were harvested by centrifugation at 3500 rpm for 5 min. Polyketide products were extracted from both the supernatant with ethyl acetate and the pellet with methanol. The organic extracts were combined and concentrated in rotator vapor to an oily residue. The extract was analyzed on an Agilent 1200 HPLC instrument (Agilent Eclipse Plus C18, 5 µm, 4.6 mm × 250 mm), eluted with a linear gradient of 75 to 90 % (v/v) acetonitrile-water (containing 0.1 % trifluoroacetic acid) over 45 min at a flow rate of 1 mL min<sup>-1</sup>. The products were detected at 280 nm.

### Purification and structural characterization of alkylresorcinols 5–9

To isolate compounds 5–9 for structural characterization, the culture was scaled up to 1 L and grown for 72 h. Briefly, 1 mL of seed culture (OD<sub>600</sub> = 1.0) of *E. coli* BL21-CodonPlus (DE3)-RIL/pET28a-*Sl-pks2* was inoculated into 1 L of LB medium containing 50 µg mL<sup>-1</sup> kanamycin and chloramphenicol and grown to OD<sub>600</sub> of 0.6. Two hundred micromolar IPTG was then added to induce protein expression. After fermentation, the culture was extracted as described above. To isolate these compounds, a typical purification procedure was given below. The crude extract was fractionated on a silica gel-60 column, eluted with a stepwise gradient of ethyl acetate-hexane (0:100, 1:99, 2:98, 3:97, 5:95, 10:90, 15:85, 20:80 v/v, each 250 mL) to afford 8 fractions. The fractions containing the target compounds were further separated by

**Table 2** Plasmids constructed in this study

Plasmids	Description
pSUN24	<i>Sl-pks2</i> (from cDNA) in pJET1.2
pSUN26	<i>Sl-pks2</i> (from cDNA) in pET28a
pSUN55	Right arm for <i>Sl-pks1</i> knockout in pJET1.2
pSUN56	Left arm for <i>Sl-pks1</i> knockout in pJET1.2
pSUN63	Right arm-HYG-left arm in pAG1-H3 for <i>Sl-pks1</i> knockout
pSUN106	Right arm for <i>Sl-pks2</i> knockout in pJET1.2
pSUN107	Left arm for <i>Sl-pks2</i> knockout in pJET1.2
pSUN110	Right arm-HYG-left arm in pAG1-H3 for <i>Sl-pks2</i> knockout



reverse-phased HPLC (Agilent Eclipse Plus C18, 5  $\mu\text{m}$ , 4.6 mm  $\times$  250 mm), eluted with acetonitrile-water at a flow rate of 1 mL min<sup>-1</sup>. Compound **5** was found to be in fraction 6 after silica gel column chromatography. This fraction was further separated on HPLC with 67 % acetonitrile-water. The peak at 23.7 min was collected to yield 5.1 mg of **5** in pure form. Compounds **6** and **7** were found to be in fraction 5 after silica gel column chromatography. This fraction was further separated on HPLC with 74 % acetonitrile-water. The peaks at 32.4 min and 35.6 min were collected to yield 6.4 mg of **6** and 8.1 mg of **7**, respectively, in pure form. Compounds **8** and **9** were found to be in fraction 3 after silica gel column chromatography. This fraction was further separated on HPLC with 82 % acetonitrile-water. The peak at 47.9 and 50.5 min were collected to yield 10.1 mg of **8** and 9.4 mg of **9**, respectively.

**Compound 5** ESI-MS (+): [M + H]<sup>+</sup>  $m/z$  291.2; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$  6.24 (2H, d,  $J$  = 1.8 Hz, H-4 and H-6), 6.19 (1H, t,  $J$  = 1.8 Hz, H-2), 5.34–5.36 (2H, m, H-6' and H-7'), 2.49 (2H, t,  $J$  = 7.5 Hz, H-1'), 2.01 (4H, m, H-5' and H-8'), 1.57 (2H, m, H-2'), 1.31 (approx. 12H, m), 0.89 (3H, t,  $J$  = 6.9 Hz, H-13'). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$  156.8 (C-1 and C-3), 146.3 (C-5), 130.0 and 130.2 (C-6' and C-7'), 108.2 (C-4 and C-6), 100.4 (C-2), 36.0 (C-1'), 32.0, 31.3 (C-2'), 30.0–29.2 (m), 27.4, 22.9, 14.3 (C-13').

**Compound 6** ESI-MS (+): [M + H]<sup>+</sup>  $m/z$  293.2; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$  6.25 (2H, d,  $J$  = 1.8 Hz, H-4 and H-6), 6.20 (1H, t,  $J$  = 1.8 Hz, H-2), 2.47 (2H, t,  $J$  = 7.5 Hz, H-1'), 1.55 (2H, m, H-2'), 1.31 (approx. 20H, brs), 0.89 (3H, t,  $J$  = 6.9 Hz, H-15'). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$  156.8 (C-1 and C-3), 146.4 (C-5), 108.2 (C-4 and 6), 100.3 (C-2), 36.0 (C-1'), 32.1, 31.3 (C-2'), 29.9–29.5 (m), 27.4, 22.9 (s), 14.3 (C-13').

**Compound 7** ESI-MS (+): [M + H]<sup>+</sup>  $m/z$  319.2, [M + Na]<sup>+</sup>  $m/z$  341.2; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$  6.25 (2H, d,  $J$  = 1.8 Hz, H-4 and H-6), 6.20 (1H, t,  $J$  = 1.8 Hz, H-2), 5.34–5.36 (2H, m, H-8' and H-9'), 2.48 (2H, t,  $J$  = 7.5 Hz, H-1'), 2.03 (4H, m, H-7' and H-10'), 1.56 (2H, m, H-2'), 1.29 (approx. 16H, brs), 0.88 (3H, t,  $J$  = 6.9 Hz, H-15'). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$  156.9 (C-1 and C-3), 146.4 (C-5), 130.2 (C-8' and C-9'), 108.3 (C-4 and C-6), 100.4 (C-2), 36.1 (C-1'), 32.2, 32.3 (C-2'), 30.0–29.3 (m), 27.4, 22.9, 14.3 (C-15').

**Compound 8** ESI-MS (+): [M + H]<sup>+</sup>  $m/z$  321.2, [M + Na]<sup>+</sup>  $m/z$  343.2; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$  6.25 (2H, d,  $J$  = 1.8 Hz, H-4 and H-6), 6.20 (1H, t,  $J$  = 1.8 Hz, H-2), 2.48 (2H, t,  $J$  = 7.5 Hz, H-1'), 1.58 (2H, m, H-2'), 1.29 (approx. 24H, brs), 0.89 (3H, t,  $J$  = 6.9 Hz, H-15'). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$  157.0 (C-1 and C-3), 146.3 (C-5), 108.1 (C-4 and C-6), 100.3 (C-2), 36.1 (C-1'), 32.1, 32.0 (C-2'), 29.9–29.5 (m), 27.4, 22.9, 14.3 (C-15').

**Compound 9** ESI-MS (+): [M + H]<sup>+</sup>  $m/z$  347.0; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$  6.25 (2H, d,  $J$  = 1.8 Hz, H-4 and H-6), 6.20 (1H, t,  $J$  = 1.8 Hz, H-2), 5.35–5.36 (2H, m, H-10' and H-11'), 2.49 (2H, t,  $J$  = 7.5 Hz, H-1'), 2.03 (4H, m, H-9' and H-12'), 1.57 (2H, m, H-2'), 1.29 (approx. 20H, brs), 0.88 (3H, t,  $J$  = 6.9 Hz, H-17'). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$  156.9 (C-1 and C-3), 146.3 (C-5), 130.2 and 130.0 (C-10' and C-11'), 108.2 (C-4 and C-6), 100.4 (C-2), 36.0 (C-1'), 32.0, 31.3 (C-2'), 30.0–29.2 (m), 27.4, 22.9, 14.3 (C-17').

### Purification of His<sub>6</sub>-tagged SI-PKS2

For production of His<sub>6</sub>-tagged SI-PKS2, *E. coli* BL21-CodonPlus (DE3)-RIL harboring pET28a-SI-*pkS2* was grown at 18 °C in Luria-Bertani (LB) broth containing 50  $\mu\text{g mL}^{-1}$  kanamycin and 50  $\mu\text{g mL}^{-1}$  chloramphenicol. When the OD<sub>600</sub> reached 0.6, 200  $\mu\text{M}$  IPTG was added to induce the expression of SI-PKS2. The induced culture was maintained at 28 °C for an additional 16 h. Cells were harvested by centrifugation, resuspended in the lysis buffer composed of 20 mM Tris-HCl (pH 7.9) and 0.5 M NaCl, and disrupted by sonication. A crude cell-lysate was prepared by centrifugation at 13,000 rpm at 4 °C for 15 min to remove cell debris. N-His<sub>6</sub>-tagged SI-PKS2 was purified using nickel-nitrilotriacetic acid (Ni-NTA) agarose (Qiagen, Valencia, CA, USA) according to the manual from the manufacturer. The purified His<sub>6</sub>-tagged protein was concentrated and desalted against buffer A [50 mM Tris-HCl (pH 7.9), 2 mM ethylenediaminetetraacetic acid (EDTA) and 1 mM dithiothreitol (DTT)] with a centrifugal filter device (Millipore, Billerica, MA, USA).

### PKS assay

A typical reaction mixture contained 200  $\mu\text{M}$  malonyl-CoA, 100  $\mu\text{M}$  acyl-SNAC, 100 mM phosphate buffer (pH 7.0), and 10  $\mu\text{g}$  of SI-PKS2 in a total volume of 100  $\mu\text{L}$ . Reaction was incubated at 30 °C for 60 min before being quenched with 50  $\mu\text{L}$  of methanol. The samples were centrifuged at 15,000 rpm for 5 min and the products in the supernatant were analyzed on an Agilent 1200 HPLC instrument (Agilent Eclipse Plus C18, 5  $\mu\text{m}$ , 4.6 mm  $\times$  250 mm). The samples were eluted with a linear gradient of 30 to 90 % (v/v) acetonitrile-water (containing 0.1 % trifluoroacetic acid) over 45 min at a flow rate of 1 mL min<sup>-1</sup>, and the compounds were detected at 280 nm. The identity of the synthesized products was determined by the comparison of the retention times and UV absorptions with those of the products previously reported (Yu et al. 2010), which was further confirmed by the mass spectra measured on an Agilent 6130 quadrupole LC-MS.

## Results

### Discovery and analysis of two putative type III PKS genes from *S. laxum*

*S. laxum* ATCC 15155 is a producer of **1**. The genome of this strain was sequenced. A total of 11,347 proteins were predicted by Augustus, which were compared with the NCBI nonredundant (NR) protein databases by BLASTX to predict their biological functions. The sequenced data were further analyzed using a gene annotation tool FramePlot with *P. chrysosporium* transcriptome as the reference. Two putative chalcone synthase (CHS)-like type III PKS genes, *Sl-pks1* and *Sl-pks2*, are arranged tail to tail on the genome, separated only by a region of ~2.0 kb. BLAST analysis of the primary amino acid sequences of the deduced proteins yielded a lot of homologs from the CHS superfamily enzymes, although the closest homologs to SI-PKS1 and SI-PKS2 are two unidentified proteins (XP\_007391993.1, 85 % identity and XP\_007391992.1, 72 % identity) from *Phanerochaete carnososa* HHB-10118-sp. Sequence alignment of SI-PKSs with *N. crassa* ORAS (Funa et al. 2007) and *A. oryzae* CsyA revealed that both *S. laxum* SI-PKSs maintain an almost identical CoA binding site with the reported type III PKSs and a conserved catalytic triad of Cys-158, His-312, and Asn-345 (numbering in SI-PKS2) (Fig. S1).

### Knockout of *Sl-pks1* and *Sl-pks2* from *S. laxum* ATCC 15155

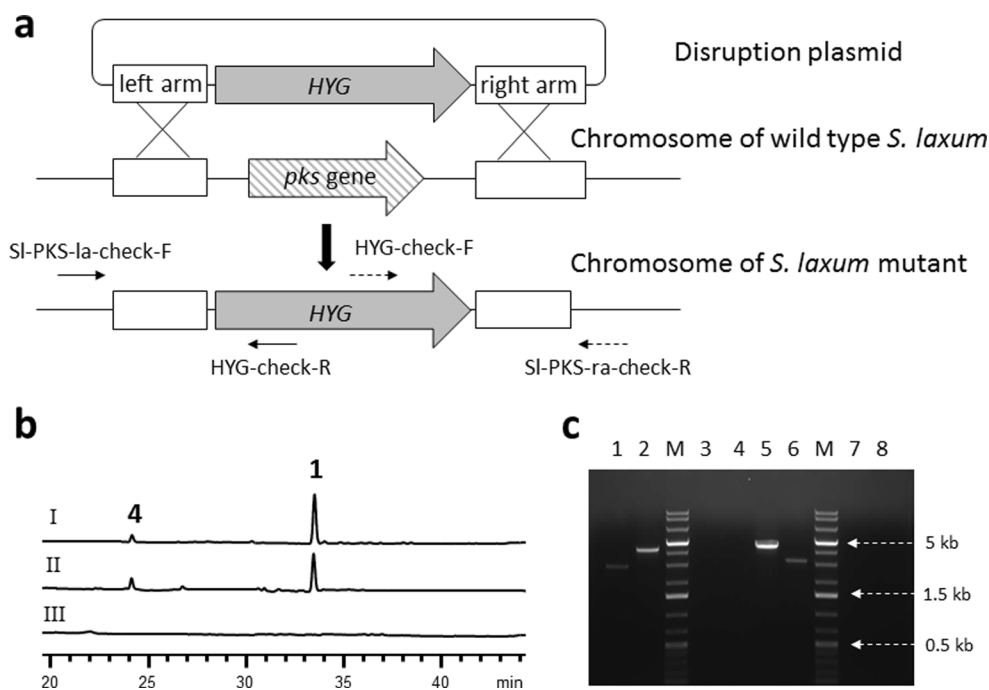
To determine whether SI-PKS1 and SI-PKS2 are involved in spiroloxine biosynthesis, targeted gene knockout was performed, respectively. A disruption vector pAg1-H3 (Fig. 2a) containing a hygromycin phosphotransferase B gene (HYG) cassette flanked with the 3.0-kb left arm and 3.5-kb right arm for *Sl-pks1* knockout. The left and right arms were gene fragments upstream and downstream of the target gene on the genome of *S. laxum*. Similarly, a 2.7-kb left arm and a 3.0-kb right arm are used for the knockout of *Sl-pks2*. The products of wild-type *S. laxum* and the two mutants were analyzed by HPLC. As shown in Fig. 2b, the wild type (trace I) produced two major compounds on WSH plates including **1** at 34.1 min and its analog sporotricale (**4**, Fig. 1) at 24.2 min. Knockout of *Sl-pks1* did not affect the product profile and the two major products were still generated (trace II), suggesting that this gene is not involved in the biosynthesis of these compounds. In contrast, the *Sl-pks2* knockout mutant of *S. laxum* failed to produce these compounds (trace III). Genomic DNA of the knockout mutants was extracted and used as the template for PCR amplification to check whether the target genes were deleted. Genome- and vector-specific primers (Table 1) were used. For the *S. laxum*- $\Delta$ *Sl-pks2* mutant, a 3.0-kb fragment (lane 1, Fig. 2c) was amplified from

the upstream region of the disruption site using the genome-specific primer SI-PKS2-la-check-F and the vector-specific primer HYG-check-R. Similarly, a downstream fragment (4.2 kb, lane 2, Fig. 2c) was obtained using HYG-check-F and SI-PKS2-ra-check-R. No products were amplified from the genome of the wild type (lanes 3 and 4, Fig. 2c), which confirmed that the loss of spiroloxine production was a consequence of the targeted gene replacement of *Sl-pks2*. Knockout of *Sl-pks1* was verified in the same way. Two fragments (4.4 kb, lane 5, and 3.3 kb, lane 6, Fig. 2c) were amplified from the mutant, but not the wild type, further confirming that SI-PKS1 is not involved in spiroloxine biosynthesis. Thus, SI-PKS2 was characterized as a dedicated PKS involved in the biosynthesis of **1**.

### Characterization of the products synthesized by SI-PKS2 in *E. coli*

Having investigated the *Sl-pks2* knockout strain, we further examined whether SI-PKS2 can be expressed in a heterologous host such as *E. coli* to produce corresponding polyketide products. Fatty acyl-CoAs or acyl-ACPs, naturally present in *E. coli*, are the intermediates in fatty acid biosynthesis or metabolism. In our previous research, *A. oryzae* type III PKS CsyA was found to take these starter units to synthesize alkylpyrones in *E. coli* (Yu et al. 2010). In *E. coli*, fatty acids are assembled by fatty acid synthases from acetyl-CoA and malonyl-CoA. These fatty acyl-ACPs or acyl-CoAs could be accepted as starters for condensation reactions catalyzed by PKSs with abroad starter flexibility, such as the type I PKS *Gibberella zeae* PKS13 (Zhou et al. 2008) and type III PKS CsyB (Hashimoto et al. 2014). We have previously isolated **1** and analogs as well as alkylresorcylic acids from *S. laxum*. These compounds feature a C<sub>16</sub> or C<sub>18</sub> fatty acyl side chain attached to a benzene ring (Wang et al. 2013). Thus, we hypothesized that SI-PKS2 can accept various acyl-ACPs or acyl-CoAs as starter units that are available in *E. coli* (White et al. 2005). We amplified the intron-free *Sl-pks2* (1227 bp) gene from the cDNA of *S. laxum* (Fig. 3a), and ligated the gene with the expression vector pET28a to yield pSUN26, which was used to transform *E. coli* BL21-CodonPlus (DE3)-RIL. The IPTG-induced broth was extracted with an equal volume of ethyl acetate. As shown in Fig. 3b, HPLC analysis revealed that five major products (**5–9**, trace I) were synthesized by SI-PKS2, which were not present in *E. coli* BL21-CodonPlus (DE3)-RIL-pET28a (trace II) that was used as negative control.

These five products were isolated from the scaled-up culture of *E. coli* BL21-CodonPlus (DE3)-RIL-pSUN26. Compounds **5–9** were obtained as yellowish oil. They were identified as alkylresorcinols with either an unsaturated or saturated aliphatic side chain (Fig. 3c) based on their UV (maximum UV absorptions at 203, 232 and 278 nm), MS,

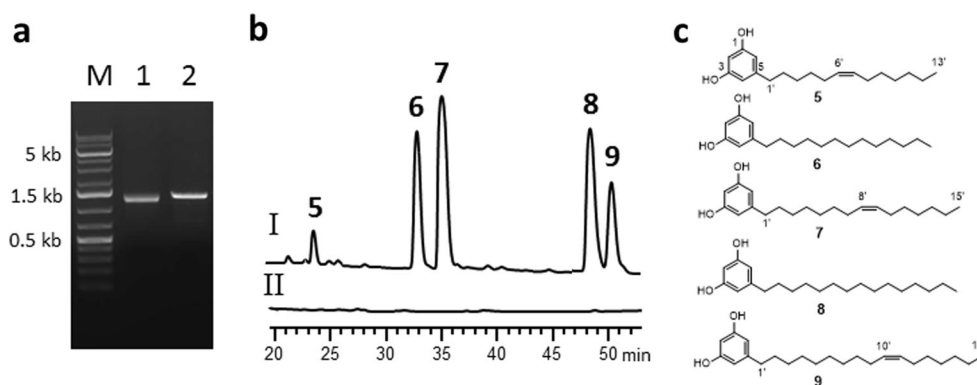


**Fig. 2** Gene disruption of *Sl-pks1* and *Sl-pks2*. **a** Double crossover strategy to knock out *Sl-pks1* and *Sl-pks2*. pAG-H3 and hygromycin B were used as the vector and selection marker, respectively. **b** HPLC analysis of the products of wild type *S. laxum* (I), *S. laxum-ΔSl-pks1* (II) and *S. laxum-ΔSl-pks2* (III). **c** Verification of the correct knockout mutants. Genome-specific check primers (SI-PKS1-ko-la-check-F, SI-PKS1-ko-ra-check-R, SI-PKS2-ko-la-check-F and SI-PKS2-ko-ra-check-R) and vector-specific primers HYG-check-F and HYG-check-R were used to amplify particular fragments from the mutants, while no products were obtained from the wild type using the same sets of primers. M: 1 kb Plus DNA ladder; 1: a 3.0-kb fragment upstream of the disruption site amplified from *S. laxum-ΔSl-pks2* with SI-PKS2-ko-

la-check-F and HYG-check-R; 2: a 4.2-kb fragment downstream of the disruption site amplified from *S. laxum-ΔSl-pks2* with HYG-check-F and SI-PKS2-ko-ra-check-R; 3: no product from wild-type *S. laxum* with SI-PKS2-ko-la-check-F and HYG-check-R; 4: no product from wild-type *S. laxum* with HYG-check-F and SI-PKS2-ko-ra-check-R; 5: a 4.4-kb fragment upstream of the disruption site amplified from *S. laxum-ΔSl-pks1* with SI-PKS1-ko-la-check-F and HYG-check-R; 6: a 3.3-kb fragment downstream the disruption site amplified from *S. laxum-ΔSl-pks1* with HYG-check-F and SI-PKS1-ko-ra-check-R; 7: no product from wild-type *S. laxum* with SI-PKS1-ko-la-check-F and HYG-check-R; 8: no product from wild type *S. laxum* with HYG-check-F and SI-PKS1-ko-ra-check-R

and NMR spectra and a comparison with previously published data (Suzuki et al. 1997). The molecular weights of **5–9** were determined to be 290, 292, 318, 320, and 346 based on their  $[M + H]^+$  ion peaks at  $m/z$  291, 293, 319, 321, and 347 in the ESI-MS, respectively (Fig. S2 in the [Supplementary Material](#)). Accordingly, compounds **6** and **8** were deduced to be alkylresorcinols with a saturated side chain, while **5**, **7**, and **9** have an unsaturated side chain. The  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra of **6** revealed two aromatic proton signals at  $\delta_{\text{H}}$  6.25 (2H, d,  $J = 1.8$  Hz, H-4 and H-6) and  $\delta_{\text{H}}$  6.20 (1H, t,  $J = 1.8$  Hz, H-2), and four carbon signals at  $\delta_{\text{C}}$  100.3 (C-2), 108.2 (C-4 and C-6), 146.4 (C-5), and 156.8 (C-1 and C-3), suggesting that a resorcinol moiety is present in the structure. In addition, proton signals typical for a long aliphatic chain including the benzylic methylene at  $\delta_{\text{H}}$  2.47 (2H, t,  $J = 7.5$  Hz) and terminal methyl group at  $\delta_{\text{H}}$  0.89 (3H, t,  $J = 6.9$  Hz) were also found. Thus, **6** was identified as 1,3-dihydroxyphenyl-5-tridecane, a resorcinol derivative with a saturated  $\text{C}_{13}$  side chain. **8** has highly similar NMR data to those of **6**, but has an additional  $\text{CH}_2\text{-CH}_2$  unit. Thus, it was characterized as 1,3-dihydroxyphenyl-5-pentadecane that has a saturated  $\text{C}_{15}$  side

chain. The NMR spectra of **5** also showed the aromatic proton and carbon signals which are similar to **6**. However, two overlapping olefinic protons at  $\delta_{\text{H}}$  5.34–5.36 and carbon signals at  $\delta_{\text{C}}$  130.0 indicated that there is a double bond in the side chain. Thus, **5** was characterized as 1,3-dihydroxyphenyl-5-tridecene. Similarly, **7** and **9** were identified as resorcinol derivatives containing an unsaturated  $\text{C}_{15}$  and  $\text{C}_{17}$  side chain, named 1,3-dihydroxyphenyl-5-pentadecene and 1,3-dihydroxyphenyl-5-heptadecene, respectively. These data were in good agreement with the data reported previously for 5-alk(en)ylresorcinols (Knodler et al. 2008; Suzuki et al. 1997). The thioesters of common fatty acids in *E. coli* (Mejía et al. 1999; Shaw and Ingraham 1965) are proposed to be used by SI-PKS2 as the starter units to synthesize **5–9**. Specifically, the thioesters of unsaturated fatty acids including tetradecenoic acid (*cis*-7), hexadecenoic acid (*cis*-9), and octadecenoic acid (*cis*-11) are used by SI-PKS2 to afford **5**, **7**, and **9**, while the thioesters of myristic acid ( $\text{C}_{14:0}$ ) and palmitic acid ( $\text{C}_{16:0}$ ) were used to synthesize **6** and **8**, respectively. The position of the double bond in **5**, **7**, and **9** was proposed to be the same as that in tetradecenoic acid (*cis*-7),



**Fig. 3** Expression of intron-free *Sl-pks2* in *E. coli* BL21-CodonPlus (DE3)-RIL and analysis of the in vivo polyketide products. **a** Amplification of the *Sl-pks2* gene from the cDNA and genomic DNA. *M*: 1 kb Plus DNA ladder, *1*: intron-free *Sl-pks2* (1227 bp); *2*: intron-containing *Sl-pks2* (1348 bp). **b** HPLC analysis of the products of ethyl

acetate extracts of the cultures of *E. coli* BL21-CodonPlus (DE3)-RIL-pSUN26 (trace I) and *E. coli* BL21-CodonPlus (DE3)-RIL-pET28a (trace II). Five major products **5–9** were detected. **c** The structures of alkylresorcinols **5–9**

hexadecenoic acid (*cis*-9), and octadecenoic acid (*cis*-11) which served as the starter substrates. Accordingly, their structures were deduced to be 1,3-dihydroxyphenyl-5-*cis*-6'-tridecene, 1,3-dihydroxyphenyl-5-*cis*-8'-pentadecene and 1,3-dihydroxyphenyl-5-*cis*-10'-heptadecene, respectively.

#### Functional characterization of SI-PKS2 through in vitro reactions

His<sub>6</sub>-tagged SI-PKS2 was purified (final yield 15 mg L<sup>-1</sup>) through Ni-NTA chromatography for the in vitro reactions. The purified protein showed a single protein band at ~46 kDa on SDS-PAGE (Fig. 4a). In order to test whether fatty acyl-CoAs are appropriate starter units for SI-PKS2, we synthesized the SNAC esters of C<sub>6</sub>–C<sub>10</sub> fatty acids (C<sub>6</sub>, C<sub>7</sub>, C<sub>8</sub>, C<sub>9</sub>, and C<sub>10</sub>) to mimic the corresponding CoA esters. These starter units were respectively reacted with SI-PKS2, with malonyl-CoA as the extender substrate. HPLC analysis of the reactions revealed that SI-PKS2 has broad substrate specificity toward SNAC starters containing fatty acyl groups ranging from C<sub>6</sub> to C<sub>10</sub> (Fig. 4b). For these starter units, SI-PKS2 yielded three groups of product, and the retention times of the products were consistent with the chain length. As observed in Fig. 4b, the shorter the chain length of the starters, the shorter the retention times of the resulting products on reversed-phase HPLC. The peaks (groups a and b) have maximum UV absorptions at 204 and 286 nm, which is characteristic UV absorbance pattern for pyrones. Through ESI-MS analysis (Fig. S3 in the Supplementary Material) and a comparison with the pyrone compounds we previously reported (Yu et al. 2010), we characterized the products as fatty acyl-primed triketide (group a, **10a–14a**, Fig. 4c) and tetraketide pyrones (group b, **10b–14b**, Fig. 4c). In contrast, group c compounds (**10c–14c**, Fig. 4c) showed the same UV spectra as **5–9** we obtained from *E. coli*, suggesting that they are alkylresorcinols. Further, LC-MS allowed us to determine the length of the side chains in these

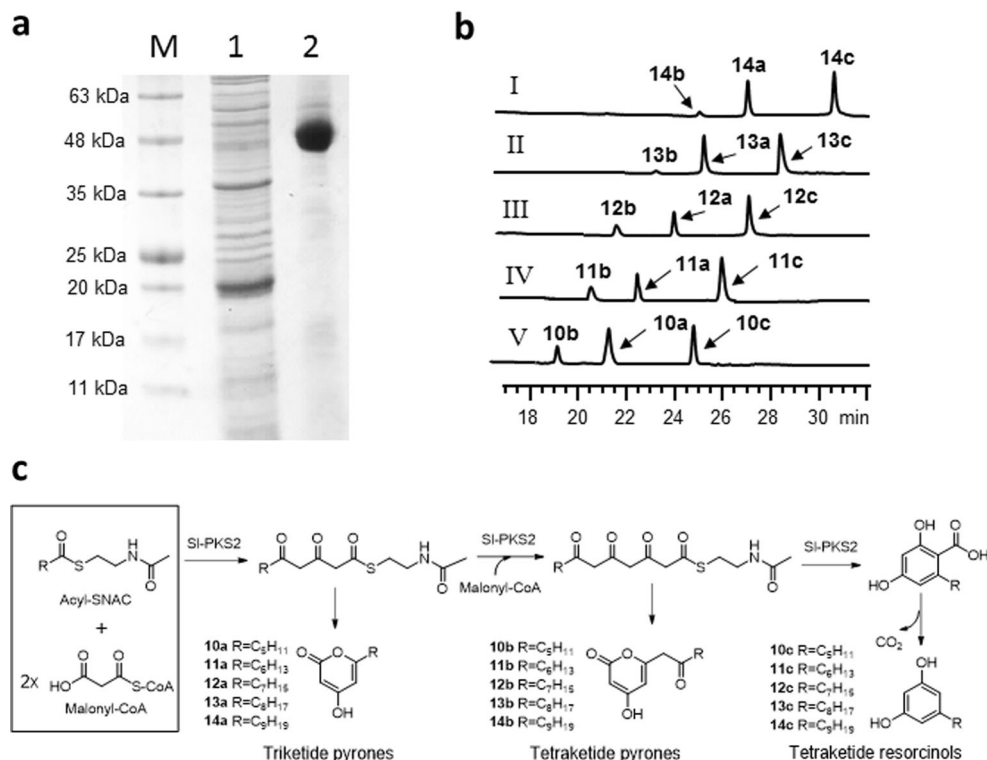
5-alkylresorcinols. The pathways leading to the formation of these in vitro products are summarized in Fig. 4c.

#### Discussion

Type III PKSs are involved in the biosynthesis of many fungal metabolites, such as csypyrone B1 (Hashimoto et al. 2014) and resorcinols (Funa et al. 2007). Based on the functional characterization of SI-PKS2 and the metabolites we previously isolated from the host (Wang et al. 2013), a type III polyketide biosynthetic pathway was proposed to synthesize **1** (Fig. 5). Palmitoyl-CoA (**15**) or palmitoyl-ACP (**16**) was adopted as the starter substrate by a type III PKS (SI-PKS2), which elongates the chain using three molecules of malonyl-CoA to yield a nascent polyketide chain **17**. This intermediate is cyclized via an intramolecular C-2/C-7 aldol condensation. The regioselective C-2/C-7 cyclization by the dedicated type III PKS is observed in the biosynthesis of various natural products (Funa et al. 2007). The polyketide intermediate can be hydrolyzed and released from the enzyme to yield the resorcylic acid derivative **18**. Hydroxylation of C-21 yielded **19**. A ring is formed between C-1 carboxyl and C-8 to yield the phthalide structure **20**, which can be further methylated at 3-OH to afford **21**. **21** is subsequently hydroxylated at C-18 to yield **22**, which is oxidized and then cyclized to form the methyltetrahydrofuran ring to give rise to **23**. A hydroxyl group at C-14 was introduced to afford **24**, which is then used to form the tetrahydropyran ring and yield the final product spiroloxine (**1**). In our previous research, we isolated (*R*)-3,5-dihydroxy-7-(16-hydroxyheptadecyl)benzoic acid and (*R*)-3,5-dihydroxy-7-(15-hydroxyheptadecyl)benzoic acid from *S. laxum* (Wang et al. 2013), which indicated that SI-PKS2 also can accept stearoyl-CoA (C18:0) as a starter unit. This, together with the in vivo and in vitro results in this work,



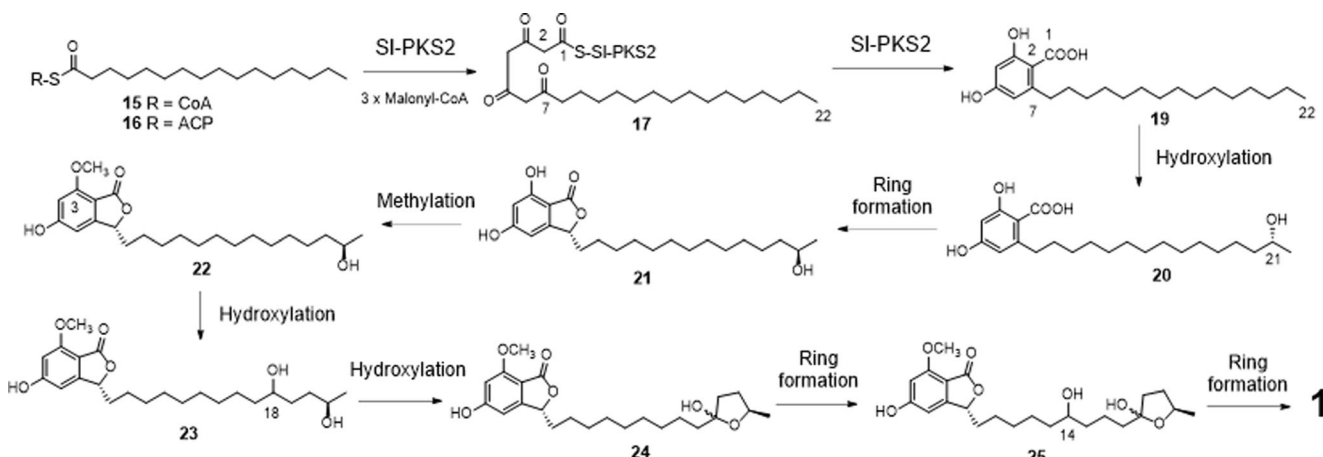
**Fig. 4** Purification of SI-PKS2 from *E. coli* BL21-CodonPlus (DE3)-RIL and in vitro reactions. **a** SDS-PAGE analysis of the purification of SI-PKS2. *M*: Protein ladder; *I*: cell lysate; *2*: purified SI-PKS2. **b** HPLC analysis of in vitro reactions of SI-PKS2 with various acyl-SNACs ( $C_6$ – $C_{10}$ ) and malonyl-CoA. *I*: hexanoyl-SNAC ( $C_6$ ); *II*: heptanoyl-SNAC ( $C_7$ ); *III*: octanoyl-SNAC ( $C_8$ ); *IV*: nonanoyl-SNAC ( $C_9$ ); *V*: decanoyl-SNAC ( $C_{10}$ ). Three groups of product were formed, including triketide pyrones **10a–14a**, tetraketide pyrones **10b–14b**, and alkylresorcinols **10c–14c**. **c** Summary of the in vitro reactions of SI-PKS2



revealed that SI-PKS2 has broad substrate specificity toward acyl starter units.

We identified SI-PKS2 from *S. laxum* as a new fungal type III PKS which synthesizes fatty acyl-primed resorcylic acid compounds. Our work demonstrated that SI-PKS2 activities can be completely reconstituted both in vitro and in *E. coli*. The products of SI-PKS2 in *E. coli* are alkylresorcinols, which resulted from decarboxylation of corresponding alkylresorcylic acids (Funa et al. 2007). This supports the proposed biosynthetic pathway of **1** in Fig. 5. Consistently, in vitro reaction of SI-PKS2 with various fatty acyl-SNACs yielded corresponding alkylresorcinols as major products.

Interestingly, triketide and tetraketide pyrones were also found in these in vitro reactions. Pyrones are common byproducts of type III PKSs. The triketide pyrones are formed from the premature intermediates that undergo spontaneous cyclization. Once the nascent polyketide chain reached the full length after three rounds of condensation, it will be cyclized by the enzyme to yield alkylresorcylic acids and subsequently alkylresorcinols. Spontaneous cyclization can lead to the synthesis of tetraketide alkylpyrones. Formation of these pyrone byproducts is likely due to the starter units used in the in vitro reactions. They are shorter than the abundant  $C_{16}$  and  $C_{18}$  fatty acyl-CoAs (or -ACPs) in *E. coli* and *S. laxum* that are natural



**Fig. 5** Proposed biosynthetic pathway for spiroxaline (**1**). **1** is assembled by SI-PKS2 and a series of tailoring enzymes. The reaction sequence is to be determined

substrates for SI-PKS2. In addition, protein interactions are common in cells. The environment difference between the *E. coli* cells and the in vitro reaction buffer may cause the different performance of SI-PKS2.

5-Alkylresorcinols belong to the large family of natural compounds referred to as phenolic lipids, which are widely distributed in many organisms, such as plants, fungi, and bacteria. They have shown functions in cellular biochemistry, membrane structure, and physiology (Kozubek and Tyman 1999). In this work, we confirmed that SI-PKS2 is involved in the biosynthesis of the medicinally important compound spiroloxine, which for the first time revealed that this molecule is assembled via a type III, instead of type I biosynthetic pathway. Although *Sl-pks1* sits adjacent to *Sl-pks2* on the genome, it is not involved in spiroloxine biosynthesis. It was also found that the proposed oxygenase genes are not present in proximity to *Sl-pks2* (Table S1), suggesting that the alkylresorcinols synthesized by this enzyme are shared by multiple pathways. In fact, phenolic lipids produced by type III PKSs play a critical role as minor components in the biological membrane in both prokaryotes and eukaryotes (Baerson et al. 2010; Miyanaga and Horinouchi 2010). It is proposed that alkylresorcinols synthesized by SI-PKS2 is used as phenolic lipids by other biological processes in *S. laxum*. This explains why *Sl-pks2* is not clustered with other spiroloxine biosynthetic genes. Additional work is thus needed to characterize the tailoring enzymes for complete elucidation of the biosynthetic pathway of this anti-*H. pylori* natural product.

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**Compliance with ethical standards** This article does not contain any studies with human participants or animals performed by any of the authors.

**Conflict of interest** The authors declare that they have no competing interests.

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