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# Structural differences between the repertoires of mouse and human germline genes and their evolutionary implications

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Abstract Although human and mouse antibodies are similar when one considers their diversification strategies, they differ in the extent to which kappa and lambda light chains are present in their respective variable light chain repertoires. While the Igk-V germline genes are preponderant in mice (95% or more), they comprise only 60% in humans. This may account for differences in the structural repertoire encoded in the Igk-V germline genes of these species. However, this subject has not been properly investigated, partially because a systematic structural characterization of the mouse Igk-V germline genes has not been undertaken. In the present study we compiled all available information on mouse Igk-V germline genes to characterize their structural repertoire. As expected, comparison with the structural repertoire of human Igk-V germline genes indicates differences. The most interesting is that the mouse Igk-V germline gene repertoire is more diverse in structural terms than its human counterpart: the mouse encodes seven canonical structure classes (combination of canonical structures in L1 and L3). In contrast, the human encodes only four. Analysis of the evolutionary relationships of human and mouse Igk-V germline genes led us to propose that the difference reflects a strategy of mice to compensate for the small lambda chain contribution to the repertoire of their variable light chains.

Key words Mice  $\cdot$  Immunoglobulins  $\cdot$  Canonical structures  $Igk-V \cdot$  Evolution

## Introduction

In contrast to other species such as shark, chicken, rabbit, or sheep, the human and mice species generate their antibody

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diversity in a similar fashion (Weill and Reynaud 1996). Prior to the antigenic challenge, these species produce a primary repertoire through the recombination of multiple germline genes (Berek and Milstein 1988; Neuberger and Milstein 1995; Tonegawa 1983). The variable kappa or lambda light chain is produced by the recombination of the Igl-Vor Igk-Vand Igl-J or Igk-J germline genes, respectively (Tonegawa 1983). The variable heavy chain is caused by a recombination of the Igh-V germline genes with two additional germline genes, Igh-D and Igh-J (Tonegawa 1983). Antibodies thus generated should be capable of interacting with any antigen at least with a low or medium affinity in the primary immune response (Berek and Milstein 1988; Neuberger and Milstein 1995). Upon selection by the antigen, the chosen human or mouse antibodies improve their affinity mainly by somatic hypermutation during a secondary or tertiary immune response (Berek and Milstein 1988; Neuberger and Milstein 1995; Weill and Reynaud 1996).

Despite the similarity that renders humans and mice "equivalent" in their diversification strategies for antibodies, these species possess different proportions of kappa and lambda light chains in their germline genes. In human, roughly 60% of the variable light chain repertoire is kappa [40 functional Igk-V germline genes (Klein et al. 1993; Tomlinson et al. 1995) vs 30 functional  $Igl-V$  germline genes (Williams et al. 1996)]. In mice, kappa preponderates, being as much as 95% or more [fewer than 160 functional Igk-V germline genes (Zocher et al. 1995) vs three functional Igl-V germline genes (Dildrop et al. 1987; Selsing et al. 1989)]. This difference may account for a divergence in the primary repertoire of human and mouse antibodies. However, a systematic analysis has not been made.

A way to characterize that difference is through a comparison of the repertoire of antigen binding site structures implicit in the variable light chain germline genes of mice and humans. Even though considerable sequence variability exists at the antigen binding site (Kabat and Wu 1971; Wu and Kabat 1970), it has been shown that the hypervariable loops do not adopt a large and unpredictable

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number of conformations: they possess one of a small set of main-chain conformations or canonical structures (Chothia and Lesk 1987; Chothia et al. 1989; Martin and Thornton 1996). On the basis of this, it has recently been reported that of the total number of possible combinations of these canonical structures (denoted canonical structure classes) (Almagro et al. 1996; Chothia et al. 1992; Lara-Ochoa et al. 1996; Tomlinson et al. 1995; Vargas-Madrazo et al. 1995) only a few options effectively exist. The existence of canonical structures and canonical structure classes implies restrictions to a free diversification of hypervariable loops and their combination within the same gene. Therefore, if there are significant differences between the primary repertoires of human and mouse antibodies, they might

Fig. 1 Multiple amino acid sequence alignment of mouse  $Igk-V$ germline genes. aPositions primarily responsible for the variable immunoglobulin fold (V-Ig-fold) conserved features (Chothia et al. 1988) and hypervariable loop definition (Chothia and Lesk 1987). B Residues buried in the protein;  $T$  residues in turns;  $I$  inter-domain residues. 1: L1; 2: L2, and 3: L3. bResidue numbering as in Chothia and Lesk (1987). c Igk-V gene family. <sup>d</sup>Name, clone, or sequence access number in GenBank, or name of the sequence in the literature. eName in the Kabat's Database of the closest Igk-V rearranged gene and number of amino acid differences between this and the germline gene.  $f$ Sequence status:  $F$  sequences with rearranged counterpart (functional); NF non-functional sequence because it has no rearranged counterpart or possesses structural defects (SD); PS pseudogene. Numbers on the right stand for the code of each sequence in Fig. 2. The multiple sequences alignment and all the calculations presented therein were done using the VIR package (Almagro et al. 1995)



Fig. 2 Usage of  $Iek-V$  germline genes

become evident when their corresponding structural repertoires are analyzed.

Since the variable light chain repertoire in mice is kappa dominated, our analysis is mainly concerned with mouse Igk-V germline genes. The structural repertoire of human Igk-V germline genes has been described in detail by Tomlinson and co-workers (1995) but not the mouse. Thus in the first part of this paper we made a systematic characterization of the structural repertoire of mouse Igk-V germline genes so that in the second part we could make a comparison with the structural repertoire of human Igk-V germline genes. Finally, results are discussed in the light of the evolutionary relationships of human and mouse  $Igk-V$ genes.

## Materials and methods

### Mouse Igk-V germline genes

In order to estimate the  $Igk-V$  repertoire in the germlines of mice, we compiled all of the Mus musculus Igk-V genes reported as germline genes or pseudogene sequences in GenBank and LIGM, as well as in the literature published up to December 1996. We found a total of 97 Igk-V genes and immediately discarded eight of them because they were fragments of sequences (see web site http://www.ibt.unam.mx/ nalmagro for a full description of the sequences).

Having collected 89 useful Igk-V genes, those fully identical at the nucleotide level in the coding region were considered to be the same. Similarly, sequences with only one or two nucleotide differences (99.6% and 99.2% identities, respectively) resulting in silent mutations (100% identical at amino acid level) were considered to be the same. This was so decided because they might be alleles in different individuals or in different strains of the mouse.

Sequences in which the nucleotide difference resulted in replacements (different amino acid sequences) were considered different genes. In that way we finally gathered 67 sequences as representative of the mouse  $Igk-V$  locus.



 $a$  Igk-V gene family nomenclature according to Strohal and co-workers (1989)

<sup>b</sup> Gene family described by Shefner and co-workers (1990)

<sup>c</sup> Gene family described by D'Hoostelaere and Klinman (1990)

<sup>d</sup> Two groups (D'Hoostelaere and Klinman 1990; Valiante and Caton 1990) have independently described this family and termed it Igk-V33 and Igk-V34, respectively. To avoid confusion, it has been renamed Igk-V33/34 (Kofler and Helmberg 1991)

#### Classification of the known mouse Igk-V germline genes in Igk-V families

On the basis of nucleotide comparisons, mouse  $Igk-V$  genes have been classified into 19 Igk-V families: 16 defined by Strohal and co-workers (1989) and three more defined by Valiante and Caton (1990), Shefner and co-workers (1990), and D'Hoostelaere and Klinman (1990). Therefore, in order to cluster the 67 Igk-V genes we had found into the 19 established  $Igk-V$  families, we followed the criteria established by the aforementioned authors. The resulting alignment of sequences, organized by families, is given in Fig. 1 and can be retrieved in a computer-ready format from web site: http:\\www.ibt.unam.mx/nalmagro.

#### The functional Igk-V germline genes of mice and their structural repertoire

Of the 67 Igk-V genes depicted in Fig. 1, 18 have been reported as pseudogenes in databases or in the literature (see status column of Fig. 1). This led us to assume they had serious genetic defects and were not taken into account to determine the functional repertoire of mouse Igk-V germline genes.

The remaining 49 *Igk-V* genes reported as germline and potentially functional were examined to observe their expression in vivo. This was done by assigning the amino acid sequence of each of them to their closest rearranged functional Igk-V sequence in a database of 574 Igk-V amino acid sequences compiled from the Kabat's Database on-line service (Kabat et al. 1991; web site: http://immuno.bme.nwu.edu). We chose those Igk-V rearranged sequences having a reported specificity to avoid non-productive rearrangements, while guaranteeing the assignment of functional Igk-V genes only. Since those segments not expressed in vivo might have minor genetic or structural defects hindering the formation of a stable three-dimensional V domain, they were considered to be non-functional (Klein et al. 1993; Tomlinson et Fig. 3 Structural repertoire of the functional  $Igk-V$  germline gene segments of mice.  $V_KCSC$ Canonical structures classes of light chain Igk-V genes. ? A hypervarible loop which does not fit the canonical structure pattern. Residues responsible for mismatch are underlined. U Unknown



al. 1995). The database with the 574 Igk-V amino acid sequences is available from the authors on request.

#### Characterization of the canonical structures in L1 and L3

The patterns of residues determining the different canonical structures for L1 and L3 have been described in detail (Chothia and Lesk 1987; Chothia et al. 1989; Tomlinson et al. 1995), and have recently been reviewed by Martin and Thornton (1996). This information is summarized at web site: Antibody Structure-function (http: //www.biochem. ucl.ac.uk/~martin/antibodies.html:Chothia.dat.auto). Using these patterns, we analyzed the functional Igk-V germline genes of mice. It must be noted that L2 was not considered in the analysis because it has only one canonical conformation and does not influence the structural variability in antibodies.

## Results

Known mouse Igk-V germline genes

Although the exact number of Igk-V germline genes in the mouse genome is currently unknown, several estimations exist (Cory et al. 1981; Kofler et al. 1992; Zeelon et al. 1981; Zocher et al. 1995). Early proposals ranged from 90-320 (Cory et al. 1981) up to 2000 (Zeelon et al. 1981). However, on the basis of restriction fragment length polymorphism (RFLP) criteria, as well as on current established knowledge about Igk-V germline gene sequences and expressed Igk-V sequences, it has been estimated that the entire Igk-V germline repertoire may not much exceed 160 (Kofler et al. 1992). Recently, cloning experiments have facilitated the proposal that the final number of genes in the mouse *Igk*-*V* locus is notably smaller than 160 (Zocher et al. 1995). In agreement with this, we found 89  $Igk-V$  germline genes, of which 67 turned out to be unique (Fig. 1).

In Table 1 a comparison between the established number of  $Igk-V$  genes within the individual  $Igk-V$  families and those we found is shown. Such a comparison indicates that nine Igk-V gene families  $(21, 23, 12-13, 9B, 10, 1, 2, 19-1)$  $28$ , and  $33-34$ ) are well represented in our compilation. However, in seven  $Igk-V$  families  $(4/5, 11, 9A, 24-25, 8, 32,$  $20$ ) we found fewer  $Igk-V$  genes than estimated (see Table 1). For the 38C, RF, and 22 gene families, no Igk-V Fig. 4 Structural repertoire of the functional human Igk-V germline gene segments.  $V_{\kappa}CSC$ , Canonical structures classes of light chain Igk-V genes. ?, A hypervarible loop which does not fit the canonical structure pattern. Residues responsible for mismatch are underlined



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genes were detected and consequently these families remained empty. But they contain very few members and in some cases, as in the 38C gene family, the sequences belonging to these Igk-V families might represent highly mutated genes from other families (Strohal et al. 1989). Therefore, we considered that they made only a marginal contribution to the diversity of the entire Igk-V repertoire.

# The functional mouse Igk-V germline genes and their structural repertoire

Analysis of the expression in vivo of the 49 Igk-V genes reported as Igk-V germline (see status column of the Fig. 1) suggests that 42 of them are functional (Fig. 2). Within the seven Igk-V genes not expressed in vivo, and therefore defined as non-functional, four are seen to posses structural defects when compared with antibodies of known threedimensional structure (data not shown). The remaining three Igk-V genes were considered to have minor genetic defects and were not analyzed further.



 $T<sub>1</sub>$ 



Fig. 5 Comparison of the use frequency of the canonical structural repertoire of mouse and human Igk-V germline genes

Fig. 6 Evolutionary relationship between human and mouse Igk-V germline genes. The evolutionary tree is based on the nucleotide similarities of mouse and human Igk-V germline genes shown in Figs. 3 and 4, respectively. Mouse and human genes are distinguished by an "m" or "h" before the name of each gene. After the name, separated by a *slash*, is the canonical structure class encoded in each Igk-V germline gene. Being a human pseudogene, B1 is not included in Fig. 4 and was added to the analysis for completeness. The  $BI$  sequence was obtained from V-base (web site: http://www.mrc-cpe.cam.ac.uk). The tree was obtained with the CLUSTALW program (Thompson et al. 1994)



Of the 42 functional mice  $I g k$ -V germline genes, 39 have patterns compatible with some canonical structure in L1 (Fig. 3). In L3, all sequences present canonical structures, with the exception of three Igk-V genes (34A, 34B, and 34C) for which this loop has not been sequenced (Valiante and Caton 1990) and could not be assigned any structure at all.

Inspection of the structural repertoire of the mouse Igk-V germline genes (Fig. 3) indicates that the mouse encodes seven canonical structure classes. Classes 2–1 and 4–1 are the most frequent (31% and 19%, respectively) followed by classes  $5-1$  and  $1-1$  (17% and 10%, respectively). Classes  $6-1$ , 3-1, and 1-2 are poorly represented in the mouse Igk-V germline genes (5%, 2%, and 2%, respectively).

# Comparison between the structural repertoires implicit in mouse and human Igk-V germline genes

To compare the mouse and human structural repertoires, the canonical structures classes implicit in the 40 functional human Igk-V germline genes are depicted in Fig. 4. In contrast to mice, the entire repertoire of human Igk-V germline genes encodes only four canonical structure classes. Canonical structure classes  $5-1$ ,  $1-1$ , and  $1-2$ implicit in the functional  $Igk-V$  germline genes of mice were found not to have a human counterpart.

In addition, differences exist in the degree to which these species encode class  $2-1$  (Fig. 5). This class is encoded in mice by 13 of 42 genes  $(-30\%)$ , while in humans it is encoded by 23 of 40 genes  $(-60\%)$ . As can be seen, in humans just one class contributes  $~160\%$  of the structural repertoire, whereas in mice this class contributes ~30%. Classes 4–1 (19%), 5–1 (17%), and 1–1 (10%) play an important role in the mouse, but the last two classes are not present in humans. Thus, the structural repertoire in mice is not only more diverse than in humans, it is also more heterogeneous in the sense of being less skewed or biased towards a particular class.

## **Discussion**

Because the mice variable light chain repertoire is essentially, composed of kappa, while in humans the kappa chain is only  $~60\%$ , in the preceding section we compared the structural repertoires of mouse and human Igk-V germline genes. Results indicated that in structural terms mouse is more diverse than human. That suggests an evolutionary strategy of mice to compensate for the small lambda chain contribution in its repertoire of variable light chains. To expedite further examination of this suggestion, in Fig. 6 a phylogenetic tree relating the Igk-V germline genes of humans and mice is shown.

Tree topology is similar to that proposed by Kroemer and co-workers (1991), who classified human and mouse Igk-V genes into groups of Igk-V families or clans (Roman numerals in the figure). This classification represents the common ancestral human and mouse Igk-V germline genes or Igk-V gene families (Kroemer et al. 1991). As is evident from the tree, the structural repertoire implicit in functional Igk-V germline genes is far from being randomly distributed within *Igk-V* gene families or even within clans: namely,

canonical structures classes are family- and clan-specific. This suggests that the structural repertoire was established 1) prior to the divergence of humans and mice, and 2) has been preserved despite the diversification of human and mouse *Igk-V* germline genes.

On the basis of these suggestions, we followed the evolutionary pathway of the structural repertoire and noticed that clans II and III encode almost exclusively the mouse-specific canonical structure classes  $5-1$ ,  $1-1$ , and  $1-$ 2. Interestingly, clans II and III have no human counterpart except for sequence B1 (Lorenz et al. 1988) which possesses canonical structure  $5-1$ . However, B1 is a pseudogene due to its having a modified start codon (Klein et al. 1993) and, being non-functional, does not contribute to actual human repertoire variability (we added this sequence to the tree for completeness). Therefore it seems reasonable to propose that Igk-V germline genes belonging to these clans were deleted or never developed in humans.

In contrast to the absence of the human functional  $Igk-V$ germline genes in clans II and III, we found that the mouse Igk-V gene families belonging to these clans are two of the three more complex families in that species. Clan II contains the Igk-V 4/5 family, the most complex mouse Igk-V gene family (see Table 1). Likewise, clan III consists of the mouse Igk-V 21 family, which is its third largest (see Table 1). The large number of Igk-V germline genes in these families suggested that there were influences to expand them, such as a demand to complement the "poor" diversity encoded by the remaining  $I g k$ -V gene families. Since expansion of the  $Igk-V$  4/5 and  $Igk-V$  21 families implied development of the canonical structure classes  $1-1$ ,  $1-2$ , and  $5-1$ , which are not present in the functional genes of humans, it can be assumed that these classes developed in mice to supplement the poor structural diversity inherited from the human and mouse ancestors: namely, the remaining four classes,  $2-1$ ,  $6-1$ ,  $4-1$ , and  $3-1$ .

In humans, the lambda chain might have furnished the additional structural diversity to set aside canonical structure classes  $1-1$ ,  $1-2$ , and  $5-1$ . In accordance with this assumption, in structural terms the repertoire of human Igl-V germline genes is more diverse than that of mice: human encodes nine canonical structure classes, while mouse only encodes two (Williams et al. 1996). This accounts for the converse relationship we found in the Igk-V germline genes and supports the suggestion that human Igl-V germline genes supply structural diversity to compensate for the lack of canonical structure classes  $1-1$ ,  $1-2$ , and  $5-1$ . This, together with the analysis of the  $Igk-V$  germline genes, indicates that the ultimate reason for the major diversification of the mouse Igk-V structural repertoire is related to the poor lambda chain contribution. In other words, the possible deletion of an important part of the Igl-V locus in mice might have forced its  $Igk-V$  locus to be structurally more diverse. The hypothesis that mice lost an

important part of the Igl-V locus is supported by phylogenetic analysis showing that the human Igl-V genes were originated at early stages of vertebrate evolution, prior to the divergence of humans and mice (Haire et al. 1996).

These results have interesting implications for the evolution of the  $Ig-V$  genes. To explain their evolution it has been suggested that the Igh-V, Igk-V, and Igl-V loci evolved by stochastic processes, where no positive darwinian selection operated to retain the complexity of the Ig-V loci and the coherence within  $Ig-V$  gene families (Tutter and Riblet 1989). Alternatively, it has been proposed that some environmental selection pressures retain the complexity of the Ig-V loci (Kirkham et al. 1992; Schroeder et al. 1990). In the case of the  $Igh-V$  locus, this latter suggestion is supported by analysis of the mice and human sequences (Kirkham et al. 1992; Schroeder et al. 1990). It shows that although the number of Igh-V genes might vary between species, the genes can be clustered into Igh-V families and Igh-V clans, which share structural features like the framework regions 1 and 3 (Kirkham et al. 1992; Schroeder et al. 1990). This has been extended to species that diverged from human 200 million years ago (Anderson and Matsunaga 1995) or more (Ota and Nei 1994). The structural features preserved throughout evolution within the Igh-V gene families and Igh-V clans suggest a reflection of those environmental selection pressures (Kirkham et al. 1992; Schroeder et al. 1990).

Human and mouse  $Igk-V$  genes can also be clustered into families and clans. However, the nature of the environmental selection pressures operating to retain the complexity and coherence within  $Igk-V$  gene families remains speculative (Kroemer et al. 1991). Here we found that the evolutionary diversification of the Igk-V germline genes preserves the structural repertoire. Moreover, the divergence of the mouse Igk-V gene families from those of human could be explained in terms of the structural repertoire diversification strategies. Therefore, we suggest that the conservation of a "basic" structural repertoire, on the one hand, and its diversification to furnish a minimum of structural diversity, on the other, operate as opposite selective pressures to retain the complexity of the Igk-V locus and coherence within Igk-V gene families.

Similar observations have been made regarding the Igl-V locus. It is interesting to note that, for example, horses, in which the lambda chain predominates, have developed more canonical structure classes than have humans (Williams et al. 1996). Thus, further analysis of other species whose kappa and lambda light chain contributions differ would help test the consistency and generalization of an evolutionary model for the Igl-Vand Igk-V loci based on the diversification and conservation of structural repertoires.

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