REVIEW

The immunogenetics of tuberculosis (TB) susceptibility

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Abstract

Tuberculosis (TB), caused by *Mycobacterium tuberculosis* (*Mtb*), remains the leading cause of death due to a single bacterial agent, with approximately 10.6 million people developing active disease and 1.6 million deaths reported globally in 2021. After exposure, some, but not all individuals, will become infected with the bacillus. However, only a small fraction (approximately 5 to 15%) of these individuals will progress to clinical disease, while in the remainder, infection is seemingly contained, and no signs of clinical disease are shown. Numerous observations have advocated for the role of host genetics in the display of these inter-individual variabilities in infection and disease phenotypes. In this review, we will provide an overview of the approaches, findings and limitations of the very first studies investigating TB genetic susceptibility to more recent studies. Lastly, we highlight several approaches, namely, linkage analyses and association studies, proposed to discover genetic markers associated with TB susceptibility. This review also explored the concept of polygenic risk scores (PRS) for prediction of tuberculosis susceptibility. The identification of host genetic factors influencing TB susceptibility/resistance is paramount to not only better understand the physiopathology of the disease but also explore more effective approaches for the development of both optimal preventive measures (i.e. better vaccines) and treatments of TB disease.

Keywords Infectious diseases · Association studies · Polygenic risk scores · Genetic susceptibility · Tuberculosis (TB) · Meta-analysis

Introduction

Tuberculosis (TB) is one of the oldest diseases to affect humans. Due to its devastating effect, and after the discovery of the causative bacterium, *Mycobacterium tuberculosis (Mtb)*, exhaustive methods have been explored to not only detect, but also prevent infection and cure active disease (Gradmann [2001](#page-12-0)). This resulted in the development of the Pirquet and Mantoux tuberculin skin test (TST), a skin test to detect the presence of proteins of the TB bacillus in an individual, the first anti-tuberculous vaccine (i.e. the Bacille

 \boxtimes Marlo Möller marlom@sun.ac.za Calmette-Guérin (BCG) vaccine) (Calmette [1927](#page-11-0)) and the first anti-TB drug, streptomycin (Bogen [1947\)](#page-11-1).

Undeniably, at the time, these findings better equipped us in the fight against TB, by tackling three aspects: (1) detection, (2) prevention through vaccination and (3) treatment in the event of active disease. However, despite these commendable efforts, TB remains the leading cause of death due to a single bacterial agent, with approximately 1.6 million deaths reported globally in 2021 (Geneva: World Health Organization [2022\)](#page-12-1). This is a small, but significant increase from the global 1.4 million TB-related deaths reported in 2019 (WHO [2021](#page-15-0); Geneva: World Health Organization [2022](#page-12-1)). This major death resurgence is a multifactorial setback in the World Health Organization's (WHO) conscious effort to reach the "End of TB Strategy" aimed for 2035. In addition, approximately 10 million people developed active TB in 2020. The consequences are especially alarming for developing countries, which bear the brunt of the disease. Africa, alone, contributed 23% of new TB cases globally in 2021 (Geneva: World Health Organization [2022\)](#page-12-1).

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It has been reported that approximately 25% of the global population is latently infected with *Mtb* (Houben and Dodd [2016](#page-13-0)). However, only a small fraction (approximately 5 to 15%) of these individuals will progress to clinical disease, while in the remainder, infection is seemingly contained, and the individuals do not show any sign of clinical disease (El Baghdadi et al. [2013;](#page-12-2) Möller et al. [2018\)](#page-13-1). These inter-individual variabilities in infection and disease phenotypes have been attributed to both extrinsic factors (e.g. diabetes, age, sex, alcohol consumption, smoking and HIV infection) and intrinsic factors (e.g. host genetic component) (Bellamy [2000](#page-11-2); Bellamy et al. [2000](#page-11-3); Brites and Gagneux [2015](#page-11-4); Verhein et al. [2018](#page-14-0)). The identification of host genetic factors influencing TB susceptibility/resistance is paramount to not only better understand the physiopathology of the disease but also explore more effective approaches for the development of both optimal preventive measures (i.e. better vaccines) and treatments of TB disease.

In this review, we will discuss early and more recent genetic epidemiology studies on TB infection and progression to clinical disease while highlighting their limitations and bringing focus to future developments.

Tuberculosis susceptibility and resistance: evidence of genetic underlining

Several studies have suggested that host genetics contribute to TB susceptibility, regardless of the host immunocompetency. A good illustration of that evidence was brought forth, in 1930 at Lübeck, by the observed inter-individual variabilities in responses to accidental administration of virulent tubercule bacilli as opposed to attenuated ones (Fox et al. [2016](#page-12-3)). The tragic incident involved 251 children who had been inadvertently injected with BCG vaccines contaminated with varying amounts of *Mtb*. Of these children, 72 died from extensive TB infection within a year of inoculation, and 228 showed clinical evidence of TB. The affected infants displayed variation in disease severity (62 infants developed severe TB, 94 infants developed moderate TB and 17 infants did not present clinical signs of TB infection despite a positive TST test) (Fox et al. [2016\)](#page-12-3). Contamination level was a critical factor determining outcome after exposure—children that received dosages with high *Mtb* levels were more likely to develop disease, while among those that received low dosages of *Mtb*, various clinical TB phenotypes were observed, indicative of the range of innate resistance in the human population (Fox et al. [2016\)](#page-12-3). In addition, this accident showed that some individuals are more genetically susceptible to severe disease or death even at low infection levels—two children that received vaccines with the lowest *Mtb* contamination levels quickly progressed to disease and death (Fox et al. [2016\)](#page-12-3).

A family clustering study by Puffer in 1943 which found that spouses with a family history of active TB developed disease more frequently than those without familial histories also contributed to the idea that host genetics contribute to TB susceptibility (Puffer [1944](#page-14-1)). Twin studies have also shown high levels of concordance when comparing TB incidence rate in affected and healthy twin siblings (Kallmann and Reisner [1943](#page-13-2)). Kallmann and Reisner found that monozygotic twins compared to dizygotic twins were more likely to progress to active disease (66.7% compared to 23%, respectively) (Kallmann and Reisner [1943](#page-13-2)). Interethnic disparities in the occurrence and prevalence of TB in different global populations have also been previously observed with Stead and colleagues seeking to understand the rate of TB infection in nursing homes in Arkansas, USA (Stead et al. [1990\)](#page-14-2). Stead and colleagues measured the incidence of tuberculin conversion in over 25,000 nursing home residents. In this study, tuberculin conversion was said to have occurred when the initially TST-negative residents produced a positive TST result 60 days following the last negative test. It was found that individuals classified as African-American were twice as likely to become infected with TB compared to their European-American counterpart (relative risk, 1.9 [95% confidence interval $(CI) = 1.2-2.1$], *P*<0.001). Therefore, hinting at the possibility for a genetic component that may render certain population groups relatively more susceptible to TB infection than others (see section [Ancestry and TB susceptibility](#page-9-0)). However, the fact that participants were classified as African American or European-American by the colour of their skin, without considering genetic ancestry, is a major limitation of this study (Teteh et al. [2020](#page-14-3)).

Animal models to elucidate host genetic influence on TB infection

The findings presented above have sparked major efforts into understanding the mechanisms of action that govern a host's genetic susceptibility to TB. This implies, in other words, finding the genes that are involved, how they are involved and to what extent they contribute to disease phenotype. To answer these questions, animal models have long been used as proxies to study the genetics and pathogenesis of disease susceptibility (Jouanguy et al. [1996](#page-13-3); Bellamy et al. [1998](#page-11-5); Fortin et al. [2007;](#page-12-4) Verhein et al. [2018;](#page-14-0) Ruiz-Bedoya et al. [2022](#page-14-4)). For instance, mice are a good experimental model because when infected with *Mtb*, they exhibit pathological and immunological responses similar to those seen in humans (Fortin et al. [2007](#page-12-4)); which allows for an easier extrapolation of mouse model findings to human. Additionally, animal models offer easier ways to control for parameters such as stress and diet, route of infection, bacterial dose, strain and virulence of the mycobacteria (Vidal et al. [1993](#page-14-5); Fortin et al. [2007;](#page-12-4) El Baghdadi et al. [2013](#page-12-2)). Furthermore, as opposed to other animal models, mice have low maintenance cost, require less space, are fast breeders and have been extensively used for research purposes; thus the availability of different well categorized strains (Fonseca et al. [2017](#page-12-5); Singh and Gupta [2018\)](#page-14-6).

The use of such models to study the underlying genetics of diseases mainly consists of two opposing types of studies: (1) reverse genetics and (2) a traditional/forward genetics approaches. In the former, the role of a gene in the disease is studied by infecting model organisms carrying loss-of-function mutations at a locus of interest. In the latter approach, the genetic basis of a disease is evaluated by linking an observed disease aetiology to a genetic mutation or locus of interest (Teare [2011\)](#page-14-7). Both methods are hypothesis-based approaches relying either on our understanding and knowledge of genes known to influence the immune response against a pathogen or on results obtained from previous studies.

Using the forward genetics approach, Vidal et al. studied the association between *Bcg* (a gene known to control innate immune response to *Mtb* infection by enabling the early destruction of intracellular pathogens by macrophages during infection) and permissiveness of microbial replication in the spleen of mice after intravenous injection with *M. bovis* BCG strain (Vidal et al. [1993\)](#page-14-5). First, Vidal et al. cloned the genomic region encompassing *Bcg* using a 400 kb bacteriophage and cosmid contig. Then, exon amplification was performed to search for transcription units within that genomic region, and 6 genes were subsequently identified. To characterise the role of these genes, Vidal and colleagues conducted RNA expression studies; however, only one of them, the *Nramp1* (natural resistance associated macrophage protein 1) gene was found to be associated with resistance to *Mtb* infection. *Nramp1* encodes the Nramp1 protein which is an integral membrane protein found to be exclusively expressed in lysosomes of macrophage populations and functions as a transporter of divalent metals across the phagosome membrane (Vidal et al. [1993;](#page-14-5) Fortin et al. [2007;](#page-12-4) Holder et al. [2020;](#page-13-4) Wahyuni et al. [2021;](#page-14-8) Agbayani et al. [2022](#page-11-6)). This is of particular interest as this function allows the Nramp1 protein to limit microbial access to micronutrients such as manganese and iron, thus affecting microbial replication within phagosomes and therefore containing *Mtb* infection (Canonne-Hergaux et al. [1999;](#page-11-7) Cellier et al. [2007\)](#page-12-6). *Nramp1* was the first gene found to be associated with TB resistance in mice and has a human homolog commonly known as SLC11A1 (solute carrier family 11 member 1). Other animal model studies looking to elucidate mechanisms of susceptibility to *Mtb* infection have found results that were extrapolated to human settings. For instance, mice with defects in the production of Type 1 T helper (Th1) cells or cytokine interferon gamma (IFNγ) were found to be more

susceptible to *Mtb* infection (Green et al. [2013](#page-12-7)), and a similar observation was made in humans where similar defects cause Mendelian susceptibility to mycobacterial disease (MSMD) (Bustamante et al. [2014\)](#page-11-8).

Despite the proven utility of animal models in TB susceptibility research, most results replicate poorly in humans (Pan et al. [2005;](#page-14-9) Fonseca et al. [2017;](#page-12-5) Singh and Gupta [2018\)](#page-14-6). One of the reasons for this lack of replication could be attributed to inter-species differences. Although experimental infections in mice mimic several aspects of the pathophysiology and host response to *Mtb* seen in humans, other features are species-specific. For example, the long latency period typically observed in humans does not occur in the mouse model (Fortin et al. [2007](#page-12-4)). Additionally, because mice are not natural host of *Mtb*, they lack the prime characteristics of disease transmission observed in humans which represent additional limitations of the model. After infection in mice, the bacilli reside primarily intracellularly in the lungs which then develop inflammatory but non-necrotic lesions as opposed to humans who exhibit necrotic lesions with most of the mycobacteria located extracellularly within the lesion (Fonseca et al. [2017](#page-12-5); Singh and Gupta [2018\)](#page-14-6). Furthermore, mice do not possess the HLA (human leukocyte antigens) class II antigens which in humans is responsible for the regulation of the immune system (Nordquist and Jamil [2022](#page-14-10)). This issue has prompted the development of transgenic/humanized mice, which are generated by engrafting human haematopoietic stem cells into the mice to reproduce the critical features of human TB disease pathology absent in normal mice (Mangalam et al. [2008\)](#page-13-5). However, transgenic mice also have important limitations pertaining to abnormal T-cell responses after *Mtb* infection and cost-effectiveness of the model (Fonseca et al. [2017](#page-12-5)). Therefore, when studying TB clinical manifestation using the mice model, results may not be readily transferable to humans. Moreover, animal studies are not suited to investigate single nucleotide polymorphisms (SNPs), the most common type of genetic variations among humans and environmental factors that may influence the genes associated with complex diseases, such as TB.

Linkage analysis and association studies using human models

To find SNPs that influence the outcomes of active TB, genetic epidemiological studies have mainly adopted two approaches: (1) family-based association analysis using linkage mapping and (2) case–control association studies. Linkage studies test whether a disease and an allele show correlated transmission within a pedigree, referred to as concordant inheritance, while association studies test whether an allele co-occurs with a disease in a population more frequently than expected by chance. Both offer the opportunity to better under the host genetic basis of disease.

Family‑based association analysis using linkage mapping

Family studies are not as often cited as twin and adoption studies, but nonetheless, they are still a valid and important piece in the puzzle of heredity versus the environment. Family-based association studies are performed using linkage analysis, which aims to locate genomic regions or haplogroups on a chromosome that are co-inherited with a trait/ disease under study. This is done by identifying a known gene on the chromosome which can serve as a marker. That marker's location, and the location of the actual diseased gene, is very important. The closer the two are, the higher the likelihood that they will be passed on or linked together. To obtain said likelihood, a logarithm (base 10) of odds (LOD) score is used to assess the probability that a given pedigree, where the disease and the marker are co-segregating, is due to the existence of linkage or to chance (Lander and Kruglyak [1995](#page-13-6)). According to a widely used guideline for the interpretation of linkage results, LOD of 2.2 is classified as suggestive linkage, while LOD of 3.6 as significant linkage (Lander and Kruglyak [1995](#page-13-6)). However, linkage studies with insufficient statistical power are unable to discern true-positive signals from false-positive signals, resulting in inconsistent findings among studies (Baron [2001\)](#page-11-9).

The first reported genome-wide linkage study of TB susceptibility was performed by Bellamy and colleagues who conducted a two-stage (discovery and replication) genome wide search using 169 sib-pair families from the Gambia and South Africa (Bellamy et al. [2000\)](#page-11-3). The results suggested evidence for the presence of genomic regions on chromosome 15q and Xq that showed linkage to TB (LOD=2.00 and 1.70, respectively). The genomic region of interest on chromosome 15 harbours the *OCA2* gene and the *HERC2* gene which encodes a protein that functions as a E3-ubiquitin ligase and is involved in DNA repair and regulatory cell-cycle (Morice-Picard et al. [2016](#page-13-7); Elpidorou et al. [2021](#page-12-8)). However, the *HERC2* gene has mainly been found to be associated with skin-pigmentation, neurodegenerative disorders, as well as cancers and has not been replicated in other infectious diseases. Bellamy et al. presented the *CD40LG* gene (encoding the CD40 ligand) as a potential candidate gene located near the region of interest on chromosome Xq. The CD40 ligand is a member of the TNF-receptor superfamily and is believed to be involved in mediating a broad variety of inflammatory and immune responses (Elgueta et al. [2009](#page-12-9); Françoise et al. [2022\)](#page-12-10). Together, these results indicate that genome-wide linkage analysis can contribute to the mapping and identification of major genes for multifactorial infectious diseases.

Bellamy's findings encouraged additional linkage studies to assess the genetic susceptibility to TB. Greenwood et al. identified a link between TB susceptibility and the D2S424 locus in a group of 81 Aboriginal Canadian individuals $(LOD = 3.81)$. Interestingly, the D2S424 locus is located 235.92 Mb downstream to *SLC11A1*, the human homolog of the murine *Nramp1* gene (Greenwood et al. [2000](#page-12-11)). Contrastingly, Jamieson et al. found evidence for a cluster of genes on chromosome 17q11-q21 to be associated with TB susceptibly in a family-based cohort of 627 Brazilians (LOD=2.48) (Jamieson et al. [2004\)](#page-13-8). Compared to Greenwood et al. who used a dominance mode of inheritance to evaluate allele sharing between affected family members (Greenwood et al. [2000\)](#page-12-11), Jamieson and colleagues used a non-parametric approach to linkage analysis (Jamieson et al. [2004](#page-13-8)). Both approaches have the same basic functionalities, but the latter does not assume any particular model of inheritance to evaluate the sharing of alleles. Inconsistent results among these linkage studies may be attributed to the use of different study populations and statistical methods. However, it is also important to consider that TB susceptibility, as a multifactorial trait, is a product of environmental exposure and genetic risk factors (which are often population specific) and inconsistencies among study results reflects the complex nature of multifactorial traits.

Stein et al. examined three outcomes after Mtb exposure (i.e. active TB, persistent TST negative and TST positive latently TB infection (LTBI)) in a household contact study of Ugandan individuals from 193 pedigrees (Stein et al. [2008](#page-14-11)). The aim of the study was to demonstrate the different genetic components underlining different stages of TB natural progression. For persistently TST negative individuals, loci at 2q21-2q24 and 5q13-5q22 were found to be associated with resistance to TB $(P < 0.0003$ and $P < 0.0005$, respectively), while the 7p22-7p21 locus, which contains the *IL6* gene, was associated with increased susceptibility ($P < 0.0002$). *IL6* gene encodes the interleukin 6 (IL-6) which is believed to play a role in host defence against infections and tissue injuries by stimulating immune reactions, the formation of blood cellular components as well as acute phase responses (Tanaka et al. [2014](#page-14-12)). The study also replicated the 20q13 TB-susceptibility locus (housing the *SLC11A1* gene) $(P < 0.002)$ that had been previously identified in linkage studies of South African and Malawian populations (Cooke et al. [2008\)](#page-12-12). The results suggest three implications: (1) There are in fact genetic factors that influence the relationship between *Mtb* and humans, (2) these genetic factors differ based on the disease progression or outcome, and (3) some genetic loci associated with TB susceptibility/resistance could be population-specific. However, the sample size was too small to draw definitive conclusions.

Although linkage studies were able to find genomic regions linked with TB outcome, most were not replicated by any independent study. This lack of replication could be attributed to the overestimation of genetic effects on TB susceptibility as well as population-specific effects (Thuong et al. [2012](#page-14-13)). Moreover, linkage studies do not take into account the effect of shared environmental factors which have been shown to have large effect on TB outcome (van der Eijk et al. [2007\)](#page-14-14). Due to their limited statistical power, linkage studies are not able to identify weak genetic effects of TB. However, their most useful feature resides in the ability to identify a large number of genes for which a role in infection may not have been suspected, albeit their functions cannot be addressed. Nevertheless, a few loci worthy of attention were reported, although besides the *SLC11A1* gene, no other TB-associated genes had been identified using linkage analysis (Greenwood et al. [2000](#page-12-11); Baghdadi et al. [2006](#page-11-10); Stein et al. [2008](#page-14-11); Cobat et al. [2009](#page-12-13); Adams et al. [2011\)](#page-11-11).

Population‑based case–control studies

Candidate gene association studies

To overcome the limitations of linkage studies, genetic studies of TB susceptibility have primarily turned their focused to hypothesis-based association studies using candidate gene association studies (CGAS). While linkage analysis looks at actual physical segments of the genome that are associated with given traits, association analysis compares allele frequency differences in unrelated cases and populationmatched controls. It is important to note that discovered alleles could either be directly influencing the disease or be in linkage disequilibrium (LD) with the actual diseasepredisposing gene. With higher resolution than linkage in family studies, association studies provide the opportunity to identify SNPs with smaller effect that could be involved with gene expression levels and subsequent disease response (Bellamy [2000;](#page-11-2) Möller et al. [2009;](#page-13-9) Velez et al. [2009](#page-14-15); Baker et al. [2011](#page-11-12); Bahari et al. [2012](#page-11-13); Möller and Kinnear [2020\)](#page-13-10).

Several CGAS have identified genetic markers associated with TB susceptibility across diverse ancestral groups (reviews of CGAS have been provided by Möller et al. [\(2010\)](#page-13-11) and Möller and Kinnear ([2020](#page-13-10))). Among the most interesting CGAS, we note the association of *SLC11A1* polymorphisms with TB susceptibility in a Gambian population (Bellamy et al. [1998](#page-11-5)). Polymorphisms in the HLA-DR and HLA-DQ genes have sparked multiple studies, with results involving the HLA-DR2 and HLA-DQB1 genes class II antigen subtypes (Sriram et al. [2001](#page-14-16); Kettaneh et al. [2006](#page-13-12)). Genes implicated in immunity such as those coding for *IFNG*, *TLR1* and *TLR2* have been previously identified and reviewed, but the interpretation remains difficult as results are often contradictory (Möller et al. [2010\)](#page-13-11). The role of the *VDR* gene, encoding the vitamin D receptor (VDR) protein, has largely been studied as well, since vitamin D deficiency has been associated with increase susceptibility to TB (Wilkinson et al. [2000](#page-15-1); Mohammadi et al. [2020](#page-13-13)). However, although many associations have been found with TB susceptibility, replication across studies have been limited (Möller and Kinnear [2020](#page-13-10)).

Genome‑wide association studies: genotype–phenotype associations

Similar to candidate genes association studies, genomewide association studies (GWAS) compare the frequency of alleles present in cases and controls for a given trait or disease. However, unlike CGAS, GWAS involve testing thousands or millions of genetic markers and are not limited by the current understanding of biological pathways involved in the phenotypic expression of said trait or disease.

GWAS have allowed for the discovery of common SNPs that influence a trait/disease outcome. Due to its success, GWAS have seen an exponential growth (~40-fold) in almost two decades of its implementation, with currently more than 5000 published studies from which hundreds of thousands of SNP-trait associations have been found ([www.](https://www.ebi.ac.uk/gwas) [ebi.ac.uk/gwas](https://www.ebi.ac.uk/gwas) (Buniello et al. [2019\)](#page-11-14)). However, only a few published studies (from diverse populations) on TB susceptibility have emerged in the last 10 years (2010 to 2021) with minimal overlap between results (Uren et al. [2017a](#page-14-17); Möller et al. [2018;](#page-13-1) Möller and Kinnear [2020](#page-13-10)). This lack of replication has been attributed to differences in allele frequencies and LD patterns between and across population groups; allelic and phenotypic heterogeneity associated with TB; and, more importantly, small study sample sizes which strongly affect the statistical power required to detect or replicate associations. However, where replication has been successful, it was mostly in populations that were more genetically similar. A summary of previous TB GWAS results is provided in Table [1](#page-5-0).

Thye et al. ([2010\)](#page-14-18) conducted a combined GWAS of two African populations from Ghana (921 TB cases and 1740 healthy controls) and the Gambia (1316 cases and 1382 controls) to investigate host susceptibility to TB (Thye et al. [2010\)](#page-14-18). The G allele of SNP *rs4331426*, located in a gene desert region at 18q11.2, was found to be significantly associated with increase TB susceptibility (odds ratio (OR) = 1.19, 95% CI [1.13–1.27]; $P = 6.8 \times 10^{-9}$. This was replicated in an independent cohort from Malawi with comparable OR estimates, suggesting that this variant is common in African populations and therefore suggesting population-specific effects.

Oki et al. ([2011\)](#page-14-19) conducted a pilot GWAS study to investigate genetic susceptibility to extrapulmonary TB (ETB) (Oki et al. [2011\)](#page-14-19) using 24 ETB patients as cases and, as controls, 56 patients with *Mtb* infection and 24 with pulmonary TB (PTB) (from the USA). Oki and colleagues argued that since Mtb infection, PTB and ETB have different clinical manifestations and therefore different pathophysiology, they must exhibit different genetic factors influencing patient's outcome. Four SNPs (*rs4893980*, *rs10488286*, *rs2026414*, *rs10487416*; OR = 0.13, 11.15, 3.11, 5.56 at suggestive *P*=0.0007, 0.0009, 0.0009, 0.0007 respectively) showed

Table 1 Previous GWAS of TB susceptibility

an association with ETB compared to participants with *Mtb* infection and 2 SNPs (*rs340708* [OR=5.44, *P*=0.0008] and rs1886870 [OR = 6.00, $P = 0.0009$]) showing suggestive, but not significant, association with ETB compared with PTB. Although the sample size was small and *P* value of association suggestive, this study supports the idea of TB susceptibility phenotypic heterogeneity (Oki et al. [2011](#page-14-19); Mahasirimongkol et al. [2012\)](#page-13-14). In agreement with these findings, Luo et al. ([2019](#page-13-15)) using a Peruvian population (2175 cases and 1827 controls) found a significant association between *rs73226617*A* in the *ATP1B3* gene region at 3q23 and early progression to active TB following *Mtb* infection (OR = 1.80; *P* = 3.93 × 10⁻⁸) (Luo et al. [2019](#page-13-15)). This study also detected a previously reported TB locus at *rs9272785*A* (Sveinbjornsson et al. [2016;](#page-14-22) Luo et al. [2019\)](#page-13-15). However, this locus does not have a strong association with TB progression following *Mtb*. infection (OR = 1.04, $P = 4.49 \times 10^{-3}$ in the study performed by Luo et al.; OR = 1.14, $P = 9.3 \times 10^{-9}$ in

the study performed by Sveinbjornsson et al.). These studies encourage the use of detailed phenotyping in GWAS of infectious disease to investigate the pathogenic mechanisms of different TB infection stages, which should aid in the identification of novel variants in diverse populations.

Thye et al. [\(2012](#page-14-21)) reported SNP *rs2057178*A* in an intergenic region of 11p13 located 45 kb downstream of *WT1* gene to be associated with resistance to TB in the Ghanaian population (1329 cases, 1847 controls) (OR = 0.77 , 95% CI [0.71–0.84]; *P* = 2.63 × 10⁻⁹) (Thye et al. [2012](#page-14-21)). This was successfully replicated in populations from The Gambia (1207 cases, 1349 controls), Russia (4441 cases, 5874 controls) and Indonesia (1025 cases, 983 controls) with consistent effect sizes $(OR = 0.77, 95\% \text{ CI} [0.71-0.84])$ across the study cohorts. The *rs2057178*A* variant was also later replicated by Chimusa et al. using 642 PTB cases and 91 controls from the admixed SAC population of South Africa, thus suggesting its association with TB resistance in diverse populations (Chimusa et al. [2014](#page-12-14)). This provides evidence for the relevance of *WT1* in TB pathogenesis. *WT1* encodes a transcription factor WT1, which induces expression of *VDR* gene and suppresses *IL-10* gene expression (Maurer et al. [2001;](#page-13-16) Thye et al. [2012](#page-14-21)). Both VDR and IL-10 play important roles in TB pathophysiology, and variation in their respective genes has previously been associated with TB susceptibility (Ottenhoff et al. [2005\)](#page-14-27). In contrast, some of these findings failed to be successfully replicated from one population to another, as was the case in a case–control study in a Russian population who failed to replicate the locus at 18q11.2 albeit with a bigger sample size (5530 cases, 5607 controls) (Curtis et al. [2015\)](#page-12-15). This locus was previously identified in West and East African populations (Thye et al. [2010](#page-14-18)). In a relatively recent study, Quistrebert et al. discovered a cluster of variants, in intronic regions and upstream of *C10orf90* at chromosome 10q26.2 to be associated with resistance to *Mtb* infection in three distinct populations, namely, Vietnam, France and South Africa (Quistrebert et al. [2021](#page-14-26)). In the Vietnamese cohort of 353 cases and 185 controls, *rs17155120* (with T as protective allele) was found to display the strongest association with resistance to *Mtb* (OR = 0.42, 95% CI $[0.45 - 0.55]$; $P=3.71\times10^{-8}$). This association was replicated with similar effect in cohorts from France (157 cases and 30 controls) and South Africa (136 cases and 118 controls) with overall OR=0.50, 95% CI [0.45–0.55]; *P*=1.26× 10−9. Using in silico analysis, Quistrebert and colleagues assessed the functionality of the T protective allele and found that it was associated with a decrease in monocytes expression which could then lead to an enhance Th17 lymphocytes response (Quistrebert et al. [2021](#page-14-26)).

As opposed to the studies presented above which only looked at autosomal chromosomes due to past GWAS statistical analyses constraints, Schurz et al. [\(2019\)](#page-14-25) proposed a sex-stratified and X-linked GWAS of TB susceptibility (Schurz et al. [2019](#page-14-25)). Schurz and colleagues hypothesized that as the X chromosome houses several immunity-related genes, the removal of this chromosome would likely hinder the discovery of novel associations. Using an admixed SAC population of 410 TB cases and 405 controls, the *rs17410035*T* variant in the *DROSHA* gene region was associated with disease (OR = 0.40, 95% CI $[0.28-0.58]$; $P=1.50\times10^{-6}$, but did not reach the GWAS significance level of *P* value $< 5 \times 10^{-8}$ (Schurz et al. [2019](#page-14-25)). DROSHA initiates maturation of miRNA molecules, which regulate many biological processes (including the innate immune response), and it is thought that dysfunction of the miRNA pathway could be involved in the pathogenesis of human diseases, including TB (Leal et al. [2022](#page-13-17)). However, the role of miRNA expression in TB requires further research.

GWAS are confounded by hidden population substructure. To account for this issue, global ancestry proportions, which refer to the average ancestry across the whole genome, are usually inferred and the resultant used as covariates in the association analysis. However, global ancestry does not account for the role of both ancestry and allelic effects as well as the interplay between the two on the phenotypic display of a disease (Wang et al. [2011](#page-15-3); Duan et al. [2018](#page-12-17)). This is particularly hindering for GWAS of admixed individuals where the frequency of certain alleles does not always correlate with observed disease phenotypic expression but with subtle variations of ancestry at chromosomal level (i.e. local ancestry proportions) (Duan et al. [2018](#page-12-17)). To this end, Duan et al., using a two-way admixed African American cohort, proposed a local ancestry allelic adjustment (LAAA) model. This approach leverages information on local ancestry at specific risk locus and includes this as a covariate in the logistic regression. The LAAA model captures ancestry-specific allelic effects that would have otherwise been missed by standard population stratification methods (Duan et al. [2018\)](#page-12-17).

For more complex admixed population group, the task of using such an approach can be daunting owing to the fact that an LAAA model would have to be developed for every chosen SNP and every global ancestry present in the admixed cohort. Despite the obvious statistical burden of the approach, a recent study by Swart et al. utilized LAAA models in a TB-GWAS of 820 multi-way admixed individuals from South Africa (Swart et al. [2021](#page-14-28)). Swart and colleagues were able to identify a specific SNP, located on chromosome 4q22, in a Bantu-speaking African population (*rs28647531*G*) that showed significant association with TB susceptibility (OR = 3.07; $P = 5.52 \times 10^{-7}$) which had previously been missed when correcting for global ancestry proportions only (Swart et al. [2021\)](#page-14-28). This highlights the increase in statistical power that can be gained by using the LAAA model in GWAS of complex admixed individuals.

Genome‑wide association studies: host–pathogen associations

GWAS have also shown that the level of association between TB and a SNP can vary based on different *Mtb* lineages. A first study in Vietnam has revealed that individuals with the C allele of *TLR2* gene had an increased susceptibility to TB meningitis when infected with *Mtb* strains of Beijing lineage (OR=1.57, 95% CI [1.15–2.15]; *P*=0.004) (Caws et al. [2008\)](#page-12-18). Another study using a Ghanaian cohort has indicated that an autophagy gene variant *IRGM* -261 T contributed to protection from PTB in patients infected with *Mtb* (OR = 0.63, 95% CI [0.49–0.81]; *P*<0.0019) but not in those with *M. africanum or M. bovis* (Intemann et al. [2009](#page-13-18)). A study in South Africa conducted pathogen lineage-based association analysis and identified HLA types to be associated with disease caused by the Euro-American or East Asian lineages (Salie et al. [2014](#page-14-29)). It was also been reported that the Beijing strains occurred more frequently in individuals with multiple disease episodes (Salie et al. [2014](#page-14-29)). To provide further evidence for host–pathogen interactions on TB clinical presentation, Omae et al. conducted a pathogen lineage-based GWAS in a Thai cohort $(n=1 457)$ by stratifying the study cohort based on lineage and age of onset of disease (Omae et al. [2017](#page-14-30)). The results revealed that SNP rs1418425 was associated with non-Beijing lineage-infected old age onset cases (OR = 1.74, 95% CI [1.43–2.12], *P* = 2.54 × 10⁻⁸) when compared to healthy controls. In a more recent study, Müller et al. used South African (*n*=853) and Ghanaian (*n*=1 359) cohorts to identify genetic variations associated with members of clades causing TB (Müller et al. [2021](#page-13-19)). The results show that loci on chromosomes 5, 6 and 17 was associated with strains of different *Mycobacteria tuberculosis* complex (MTBC) superclades, albeit with suggestive significance level of association $(P<1\times10^{-7})$. In the South African cohort, 11 SNPs were found to be associated with strains of different MTBC superclades. Individuals carrying the risk allele for associated SNPs on chromosome 5 were twice as likely to get infected with a member of the Quebec superclade when compared with BeijingCAS1 or HaarlemsLCC or other superclades. For those carrying the risk allele A for SNP *rs9389610* on chromosome 6, the likelihood of infection was twice as much with a member of the BeijingCAS1 superclade $(OR = 2.19,$ 95% CI [1.35–3.55]; *P* = 1.0×10⁻⁷) as opposed to members of HaarlemsLCC or Quebec superclades. Risk allele of SNPs on chromosome 17 renders study participants highly susceptible infection with members of the Quebec superclade $(OR~ 5)$ as opposed to members of other clades. A similar pattern of differential infection risk due to members of different superclades was also observed for the Ghanaian cohort. For instance, the risk allele G of the intergenic variant *rs529920* (chromosome 6) was found to double the risk of infection with members of the Ghana2 superclade ($OR = 1.04$, 95% CI [0.60–1.80]; $P = 1.86 \times 10^{-7}$ and halve the risk with BeijingCAS (OR = 0.40, 95% CI [0.22 – 0.70]; $P = 1.86 \times 10^{-7}$) and East African Indian (EAI)_afri superclades ($OR = 0.69$, 95% CI [0.57–0.84]; *P*=1.86× 10−7). Similarly, *rs41472447*G* (intron variant on chromosome 12) was found to nearly triple the risk of infection with a member of the BeijingCAS superclade (OR = 2.56, 95% CI [1.48–4.41]; *P* = 5.70 × 10⁻⁹) or the Ghana2 superclade $(OR = 2.94, 95\% \text{ CI} [1.71 - 5.08];$ $P = 5.70 \times 10^{-9}$, whereas the risk is reduced with members of the EAI_afri superclade $(OR = 0.97, 95\% \text{ CI } [0.78-1.21];$ $P = 5.70 \times 10^{-9}$) and HaarlemX (OR = 0.92, 95% CI [0.68 – 1.26]; $P = 5.70 \times 10^{-9}$). The relationship between ancestry and strains of *Mtb* should be highlighted. This relationship could be explained by the high prevalence of some TB strains in certain geographical areas as opposed to others, which then naturally led to the co-evolution of the host and the pathogen in those regions (Brites and Gagneux [2015](#page-11-4); Dou et al. [2015](#page-12-19); Nebenzahl-Guimaraes et al. [2015;](#page-13-20) Duarte et al. [2017](#page-12-20); Ejo et al. [2020](#page-12-21); Müller et al. [2021](#page-13-19)). For instance, *M. africanum* is prevalent in Western Africa and is the main cause of TB in that region while for other parts of the world. TB is caused by *Mtb* (Gagneux and Small [2007\)](#page-12-22). Similarly, the Haarlem (Dutch) strain was found to be dominant in the aborigines of Eastern and Central Taiwan, while the EAI strain was found at a higher frequency in Southern Taiwan (Dou et al. [2015](#page-12-19)). This is indicative of the historical migration in Taiwan. Taken together, these studies reveal the potential role of the interaction between host genetic and pathogen lineages in the heterogeneity of association analyses of TB susceptibility. Therefore, future studies should consider sample stratification by clades to avoid confounding effect due to differences in TB strain lineages.

Meta‑analysis of TB GWAS

The International TB Host Genetics Consortium (ITHGC) performed a multi-ancestry meta-analysis of TB GWAS using 12 case–control data sets with a total of 14153 TB cases and 19536 controls (Schurz et al. [2022](#page-14-31)). Study participants were from China (two unpublished datasets and (Qi et al. [2017\)](#page-14-24)), Thailand (Mahasirimongkol et al. [2012](#page-13-14)), Japan (Mahasirimongkol et al. [2012](#page-13-14)), Russia (Curtis et al. [2015](#page-12-15)), Estonia (unpublished data), Germany (unpublished data), Gambia (Wellcome Trust Case Control Consortium [2007](#page-15-4)), Ghana (Thye et al. [2010\)](#page-14-18) and South Africa (two data-sets) (Daya et al. [2014a;](#page-12-23) Schurz et al. [2018](#page-14-32)). Only three of these (South Africa, China and Thailand) are included in the WHO's global list (Geneva: World Health Organization [2021\)](#page-12-24) of high burden countries for TB (Fig. [1](#page-9-1)). Polygenic heritability estimates performed by Schurz et al. ranged from 5 to 36% (average of 26%) suggesting that besides host genetic factors, environmental, socio-economic factors and genetic ancestry contribute significantly to TB susceptibility.

Fig. 1 World map indicating countries involved in the International TB Host Genetics consortium and the 30 high burden countries for TB as identifed by the World Health Organization in 2021. Only three of these (South Africa, China and Thailand) are included in both.

This has been demonstrated by the near elimination of TB in several countries that experienced economic development and improved public health action. Interestingly, only one association, *rs28383206* in the HLA region, was identified at genome-wide significance, albeit with a high level of heterogeneity. Upon further investigation of the HLA locus, multiple epitopes significantly associated with TB were identified, and these associations had less heterogeneity and consistent directions of effects. As was evident from the heritability analysis, this consistent direction of effects once again suggests a degree of shared TB susceptibility at a global scale. Even so, dominant epitopes, which refers to the part of the antigen molecule that is easily recognized by the immune system and therefore influences the specificity of the induced antibody, varied between the input studies. Taken together, the ITHGC results confirm that there is a complex shared genetic architecture to TB susceptibility and reaffirms that additional large-scale GWAS, including cohorts from multiple ancestries and regions with different infection pressures, are required.

Ancestry and TB susceptibility

Differential demographic histories, geographic dispersal and pathogen exposures have led to distinct capacities in innate immunity and infection control among human populations (Quach and Quintana-Murci [2017](#page-14-33)). For instance, centuries of exposure to TB in densely populated Europe has likely resulted in adaptive selection for TB resistance, whereas groups that were otherwise previously geographically isolated were only relatively recently exposed to virulent European *Mtb* strains, thus fostering the spread and increased TB mortality in those regions (Comas et al. [2015;](#page-12-25) Uren et al. [2017b\)](#page-14-34). There are both evolutionary and epidemiological evidence that support the notion that genetic ancestry influences risk disparity across populations (Barreiro and Quintana-Murci [2010;](#page-11-15) Manry et al. [2011;](#page-13-21) Deschamps et al. [2016](#page-12-26); Nédélec et al. [2016;](#page-13-22) Nahid et al. [2018\)](#page-13-23).

Several studies of admixed individuals have investigated and attested to genetic ancestry as a factor that affects susceptibility to TB. Using a cohort of 733 South African multi-way admixed individuals, Chimusa et al. observed a significant positive correlation between African Khoe-San ancestry and TB susceptibility ($OR = 0.16$, 95% CI [0.0.9–0.23]; $P = 1.58 \times 10^{-5}$) and negative correlations with non-African ancestry proportions (Chimusa et al. [2014](#page-12-14)) with no differences in socioeconomic status between the cases and controls. Leal and colleagues also noted an increased TB susceptibility corresponding to an increase in the range of Amerindian ancestry proportion in an Amazonian population $(n=418)$ (Leal et al. [2020](#page-13-24)). This is in line with results from Asgari et al. who observed a 25% increase in TB progression risk for a 10% increase in Peruvian ancestry proportion in their cohort understudy (Asgari et al. [2022](#page-11-16)). These disparities could, in part, be explained by the fact that some populations have been exposed to virulent strains of *Mtb* longer than others (Hoal [2002;](#page-12-27) Comas et al. [2015](#page-12-25);

Hoal et al. [2018\)](#page-13-25). In these populations, the genetic variants that had previously contributed to disease susceptibility may have been removed from the gene pool by natural selection (Hoal [2002](#page-12-27); Daya et al. [2014b\)](#page-12-28). Together, these studies lend credence to the role played by genetic ancestry variations in TB susceptibility and, more importantly, to the importance of including diverse populations in genetic investigations (Petersen et al. [2022](#page-14-35)).

Although the results of these studies are in alignment with previous epidemiological observations, they should be interpreted with caution in order to avoid the stigmatization and social exclusion of certain population groups. It is important to note that genetic ancestry alone does not explain all the differences in TB-incidence rate observed between population groups. Other aspects are likely contributors of the phenomenon. Firstly, TB is common in resourceconstrained countries which means that in (most part of) those regions, access to adequate health care is limited, thus undeniably contributing to high disease burden in the populations. Secondly, in the event that health care is accessible, cultural, language, health knowledge and stigma associated with TB may discourage some to seek medical attention. Thirdly, should those individuals get the medical care they need, TB treatment regimen is lengthy and can last at least 6 months for drug sensitive TB treatment (given 3 times/ day for 7 days/week) and could be twice as long for multi drug-resistant TB treatment (Winston and Mitruka [2012](#page-15-5); Chirehwa et al. [2019](#page-12-29)). Coupled with the issue of adverse drug reactions which present a wild range of symptoms, patients are often reluctant or unable to complete treatment which can lead to the surge of drug resistance TB-strains, thus adding on to the burden of disease in the region. Lastly, current genetic study design may skew the results due to both inadequate sample sizes used and poor phenotypic characterization (Möller and Kinnear [2020\)](#page-13-10). Moreover, good genetic ancestry markers are lacking for populations that are underrepresented in genomic studies (i.e. African populations and other non-European population groups) which could lead to misunderstanding of ancestry population structure (Campbell and Tishkoff [2008](#page-11-17); Daya et al. [2013;](#page-12-30) Bentley et al. [2020\)](#page-11-18). All of the factors mentioned above could easily contribute to the disparities observed in TB incidence rate across global populations.

Polygenic risk scores

Advances in the field of precision medicine have allowed for the exploration of polygenic risk scores (PRS) to assess the relative risk of developing a disease, which can in turn provide more specific patients genetic risk information as well as tailored approaches to healthcare. Multiple studies have shown evidence for the ability of PRS to stratify individuals based on their genetic risk of developing a disease (Abraham et al. [2014;](#page-11-19) Mavaddat et al. [2019;](#page-13-26) Sharp et al. [2019](#page-14-36); Willoughby et al. [2019](#page-15-6); Cánovas et al. [2020](#page-11-20)). However, the clinical utility of PRS have disproportionally been assessed in European populations and for non-communicable diseases (e.g. breast cancers, cardiovascular diseases) and shown limited portability to GWAS-underrepresented populations, such as African and admixed individuals (De La Vega and Bustamante [2018](#page-12-31); Grinde et al. [2019;](#page-12-32) Martin et al. [2019](#page-13-27); Cavazos and Witte [2021](#page-12-33)). In addition, methodological approaches to PRS calculation have proven to be challenging, particularly for admixed individuals (Márquez-Luna et al. [2017;](#page-13-28) Bitarello and Mathieson [2020](#page-11-21)). To bridge the gap between risk prediction for non-communicable and infectious diseases, to our knowledge, only one study that used GWAS-derived PRSs for prediction of TB susceptibility has been published, to date (Hong et al. [2017\)](#page-13-29). Hong and colleagues achieved an in-sample predictive performance AUC of 0.69 (69%) using 10 SNPs from GWAS of 679 individuals of Korean descent. However, the model was unable to successfully stratify between cases and controls. Combining the PRSonly model with factors that influence the progression of active TB (e.g. sex, body mass index (BMI), cigarette smoking, systemic blood pressure and haemoglobin) increased the accuracy obtained (AUC=0.80 versus 0.69 from PRS-only model). This substantial increase allowed for the stratification of individuals based on relative risks of developing active TB from a latent stage and observed a 3.7-fold increased risk of TB outcome in the top quartile of the distribution compared to the bottom quartile. This is in agreement with previous reported studies on PRS that indicated an improvement in predictive power by combining the effects of SNPs with modifiable/environmental risk factors and family history (Mavaddat et al. [2019;](#page-13-26) Kachuri et al. [2020\)](#page-13-30). Although these results have shown an insightful trajectory for genetic risk prediction of TB, they cannot be extrapolated to other more genetically diverse populations. Lack of portability is a key challenge of PRS. This have been credited to genetic drift as a result of the bottle neck effect during the "Out-of-Africa" expansion, variant frequency and LD pattern differences (which are known to be population specific) and the effect of gene–gene and gene-environment interactions (Martin et al. [2017;](#page-13-31) Duncan et al. [2019](#page-12-34)). Additionally, PRS is constrained by the statistical power of GWAS; therefore, as GWAS sample sizes increase, the predictive power of PRS will also be expected to increase until it reaches the limit determined by the disease/trait heritability (Choi et al. [2020\)](#page-12-35). For the implementation of PRS in clinical settings that ensure a truly representative and equitable PRS clinical utility, both methods development and recruitments of more diverse populations are absolute prerequisite (Martin et al. [2017](#page-13-31), [2019](#page-13-27); Khera et al. [2018](#page-13-32); Cavazos and Witte [2021\)](#page-12-33).

Conclusion

Given the considerable and continuing progress in genomic techniques, it is clear that human genetics is an effective strategy for identifying the molecules and circuits that are really important in the response to *Mtb* infection and that contribute to progression to TB. As noted in this review, much has been done in the field of host susceptibility to TB and identifying the genetic risk factors associated with this phenotype. However, much still needs to be done especially with regard to replication of study findings and discovery of novel associations. A step towards reducing these setbacks and improving genetic risk prediction should include further exploring the effects of sex-, age- and population-specific on genetic susceptibility to TB. Future studies should also consider sample stratification by clades to avoid confounding effect due to differences in infection with TB strain lineages. Additional emphasis should also be placed on the use of high throughput sequencing methods such as whole exome sequencing and whole genome sequencing.

The search for genetic factors predisposing to TB is fundamental from both an immunological and medical point of view. This will allow a better understanding of the biological mechanisms involved in the immune response to mycobacteria and in the clinical expression of the disease under natural conditions of infection. From a medical point of view, there are direct implications in terms of diagnosis and prognosis and more generally, the ability to distinguish between TB susceptible and resistant individuals will be particularly important for optimal evaluation of trials of new vaccines or treatments against TB.

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Declarations

Competing interests The authors declare no competing interests.

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