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Accuracy of Respiratory Variation in Inferior Vena Cava Diameter to Predict Fluid Responsiveness in Children Under Mechanical Ventilation

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Abstract

Proper assessment of fuid responsiveness using accurate predictors is crucial to guide fuid therapy and avoid the serious adverse efects of fuid overload. The main objective of this study was to investigate the accuracy of respiratory variations in inferior vena cava diameter (∆IVC) to predict fuid responsiveness in mechanically ventilated children. This prospective single-center study included 32 children (median age and weight of 17 months and 10 kg, respectively) who received a fluid infusion of 10 ml kg⁻¹ of crystalloid solutions over 10 min. Δ IVC and respiratory variation in aortic blood flow peak velocity (∆Vpeak) were determined over one controlled respiratory cycle before and after fuid loading. Thirteen (41%) participants were fuid-responders. ∆IVC, ∆Vpeak, stroke volume index, and cardiac index were found to be predictors of fluid responsiveness. However, the area under the ROC curve of ∆IVC was smaller when compared to ∆Vpeak (0.709 vs. 0.935, *p* <0.012). The best cut-off values were 7.7% for ∆IVC (sensitivity, 69.2%; specificity 78.9%, positive predictive value, 69.2%; and negative predictive value, 78.9%) and 18.2% for ∆Vpeak (sensitivity, 84.6%; specifcity, 89.5%; positive predictive value, 84.6%; negative predictive value, 89.5%). Changes in stroke volume were positively correlated with ∆IVC $(\rho = 0.566, p < 0.001)$ and ΔV peak ($\rho = 0.603, p < 0.001$). A significant correlation was also found between changes in MAP and ΔV peak (ρ = 0.382; *p* = 0.031), but the same was not observed with ΔV C (ρ = 0.011; *p* = 0.951). In conclusion, ΔV C was found to have a moderate accuracy in predicting fuid responsiveness in mechanically ventilated children and is an inferior predictor when compared to ∆Vpeak.

Keywords Hemodynamic monitoring · Point-of-care ultrasound · Fluid responsiveness · Inferior vena cava ultrasound · Child · Infant

Abbreviations

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Introduction

Fluid resuscitation is the mainstay of therapy in children with hypovolemic or septic shock. However, fuid bolus administration is not without risk or harm. Misguided fuid therapy can lead to fuid overload, which has been independently associated with poor in-hospital outcomes, such as impaired renal function, acute respiratory distress syndrome, prolonged mechanical ventilation, longer PICU stay, and increased mortality [[1\]](#page-6-0). The main objective of fuid loading is to increase cardiac output. Unfortunately, only about 50% of children who receive a fuid loading have a signifcant increase in their stroke volume (SV) [[2\]](#page-6-1). This low rate highlights the limited ability of attending physicians to predict the hemodynamic efects of fuid loading and the need for more accurate methods for hemodynamic assessment. Commonly used static variables, such as heart rate, blood pressure, or central venous pressure, have been shown to be poor predictors of fuid responsiveness in children [[2\]](#page-6-1). Although dynamic variables based on heart–lung interactions are more accurate predictors than static variables, they are not widely available. In addition, the use of dynamic variables is limited in children, as the most studied methods require arterial lines and/or central venous catheterization [[2](#page-6-1)–[4\]](#page-6-2).

Point-of-care ultrasound (POCUS) has been proposed as a valuable method for hemodynamic assessment in critical care patients. The respiratory variation of inferior vena cava diameters (ΔIVC) is among the most popular POCUS techniques used to predict fuid responsiveness. The IVC is a thin-walled vessel with very high compliance which diameter is afected by central venous pressure and cyclic changes variable for fuid responsiveness prediction. High values of ΔIVC suggest that the cardiovascular system is operating in the ascending portion of the Frank-Starling curve and will benefit from fluid loading [[5](#page-6-3), [6](#page-6-4)]. Conversely, patients whose IVC has low respiratory variability are unlikely to increase their SV with fuid infusion.

The first studies investigating the Δ IVC were published in 2004 and since then there has been an intense debate about the reliability of its measurements [[5–](#page-6-3)[7](#page-6-5)]. Although initial data showed good accuracy, a recent meta-analysis found extremely discordant results and concluded that ΔIVC does not seem to be an accurate method [\[7\]](#page-6-5). It was also pointed out that few studies have evaluated this technique in pediatric populations. Due to their reduced anatomic dimensions, accurately measuring IVC diameters can be challenging in children. Furthermore, we demonstrated in our previous study that IVC respiratory displacements can substantially impact measurements performed by M-mode ultrasound [[8](#page-6-6)]. Therefore, the purpose of the present study was to investigate whether ΔIVC obtained by two-dimensional ultrasound (B-mode) can accurately predict fuid responsiveness in children under invasive mechanical ventilation.

Methods

Study Design, Subjects, and Setting

This prospective cohort study was conducted in the PICU of the Clinics Hospital of the State University of Campinas (UNICAMP), Sao Paulo, Brazil, between May and October 2021. The study was approved by the local institutional review board (UNICAMP's Research and Ethics Committee, approval #12894719.8.0000.5404), and written informed consent was obtained from the participants' legal guardians.

Children under invasive mechanical ventilation who required fuid loading at discretion of the attending physician were consecutively assessed for eligibility. The decision to give fuid was taken based on signs of inadequate tissue perfusion such as tachycardia, delayed capillary reflling, hypotension, oliguria, or hemodynamic instability despite vasoactive agents. Patients were included if they met the following criteria: (1) tidal volume of 8–10 ml kg⁻¹; (2) positive end-expiratory pressure of $5-6$ cmH₂O; (3) absence of spontaneous breathing; (4) sinus rhythm. Exclusion criteria were as follows: (1) congenital heart diseases; (2) left ventricular systolic dysfunction (ejection fraction $\langle 50\% \rangle$; (3) skin lesions or bandages at the sites of ultrasound or echocardiography exams; (4) poor echocardiographic windows; (5) operator or ultrasound machine unavailability.

Study Protocol

Participants received a fluid infusion of 10 ml kg^{-1} of crystalloid solutions (normal saline or Ringer's lactate solution) over 10 min. Data collection was performed before and immediately after fuid loading, and included: (1) heart rate, (2) blood pressure, (3) SV, (4) respiratory variation in aortic blood flow peak velocity (ΔV peak), and (5) ΔV C. Infusions of sedatives, analgesics and vasoactive drugs remained unchanged throughout the study period. After fuid infusion, participants were considered fuid responsive when their SV increased by more than 15% from baseline and were classifed as "responders", otherwise they were classifed as "nonresponders". Likewise, increases in mean arterial pressure (MAP) greater than or equal to 10% were considered signifcant [\[9](#page-6-7), [10](#page-6-8)].

Echocardiography Measurements

Transthoracic echocardiography (TTE) was performed using an ultrasound machine (Vivid Q; GE Healthcare, Tirat Carmel, Israel) equipped with a phased array transducer (3.5–8 MHz). Although both exams were performed at the same time, the calculations of Δ IVC, Δ Vpeak, and SV were performed later. Thus, the operator was unaware of these variables during the data collection period. All echocardiographic examinations were performed by an experienced pediatric ultrasound instructor from the Brazilian Society of Intensive Care, with 7 years of experience in pediatric point-of-care ultrasound.

IVC diameters were measured in the longitudinal plane using B-mode, 1 cm distal to the hepatic vein-IVC confuence, during both inspiration and expiration. The decision to use B-mode instead of M-mode was made to avoid the infuence of IVC craniocaudal respiratory displacements on the measurements [[8](#page-6-6)]. Thus, the IVC diameters were measured at the same point along its length during the respiratory cycle. ΔIVC was calculated as follows: $\Delta IVC =$ (*Diameter max́* −*Diameter min*) (*Diameter max́* +*Diameter min*) ² [×] ¹⁰⁰.

SV was determined by measuring the aortic diameter (Da) and the aortic velocity–time integral (VTI). The Da was measured at the level of the aortic annulus by the parasternal long-axis view, while the VTI was measured by the apical fve-chamber view using pulsed wave Doppler, with the sample volume positioned at the level of the left ventricular outfow tract. The highest and the lowest VTI obtained during a single respiratory cycle were determined through automatically traced envelopes, and the mean value was registered. The VTI was measured in triplicate and the mean value was considered for SV calculation. Both diameter and VTI were determined in centimeters. Finally, the SV was calculated using the following standard formula: $SV(ml) = (\pi \times Da^2/4) \times VTI$.

Maximal and minimal values of aortic blood flow peak velocities were determined beat-to-beat over a single respiratory cycle. ∆Vpeak was calculated as follows: Δ*Vpeak* = (*Vpeak max́* −*Vpeak min*) (*Vpeak max́* +*Vpeak min*) ² [×] 100. The average of three ∆Vpeak measurements was considered for analysis purposes.

Statistical Analysis

The normality of the data distribution was assessed using the Kolmogorov–Smirnov and Shapiro–Wilk tests. Continuous variables were expressed as medians and interquartile ranges (IQR), while categorical variables were expressed as absolute numbers (%). After fuid loading, participants were divided into two groups: responders and non-responders. The groups were compared using the Mann–Whitney test (continuous variables) and the chi-square or Fisher's exact test (categorical variables). Variables collected before and after fuid loading were compared using Wilcoxon ranksum test. Receiver Operating Characteristic (ROC) curves

were constructed to assess the accuracy of predictors and their areas under the curve were compared using the nonparametric technique proposed by DeLong et al. [[11\]](#page-6-9). The optimal cut-off points were defined according to the optimal Youden's J statistic using univariable analysis. Spearman's correlation coefficient (ρ) was used to estimate and test the relationship between continuous variables. *p*-values < 0.05 were considered signifcant.

Assuming a fuid responsiveness rate of 33%, we determined that 30 measurements would be needed to detect a diference of 0.30 between the area under the ROC curve (AUROC) of ΔV peak and the null hypothesis (AUROC = 0.5; i.e., no discriminating power), with an 80% power and type I error of 5%. Statistical analysis and sample size calculation were performed using MedCalc Statistical Software version 19.8 (MedCalc Software bvba, Ostend, Belgium).

Results

Thirty-two patients were enrolled and included in the fnal analysis. Median age and weight were 17 months (5.5–61) and 10 kg (5.4–19), respectively. Demographic characteristics of participants are presented in Table [1.](#page-3-0)

Thirteen subjects (41%) were responders to fluid loading. At baseline, responders and non-responders were similar in age, blood pressure, heart rate, vasoactive drugs use, and mechanical ventilation settings. However, the "responder" group had higher weight (13.5 kg vs 9.0 kg, $p = 0.048$, higher ΔV peak (22.2% vs 7.3%, $p = 0.001$), higher ΔIVC (9.0% vs 2.4%, *p*=0.046), lower Ci (2.27 L min−1 m−2 vs 3.47 L min−1 m−2, *p*=0.009), and lower SVi $(15.82 \text{ mL m}^{-2} \text{ vs } 22.87 \text{ mL m}^{-2}, p = 0.003).$

The variables ΔIVC, ΔVpeak, SVi and Ci were predic-tors of fluid responsiveness (See Fig. [1\)](#page-3-1). However, ΔV peak was found to be more accurate than ΔIVC in the ROC curve analysis (AUROC of 0.935 vs 0.709, respectively, $p = 0.012$). No other significant differences were observed in the pairwise analysis of the AUROC of the predictors. The best cut-off value of Δ IVC found was 7.7%, which had a sensitivity of 69.2%, specifcity of 78.9%, positive predictive value (PPV) of 69.2% and negative predictive value (NPV) of 78.9%. A ΔV peak of > 18.2% was able to predict fuid responsiveness with a sensitivity of 84.6%, specifcity of 89.5%, PPV of 84.6%, and NPV of 89.5%. The complete analysis of the ROC curves is presented in Table [2](#page-4-0).

Fluid loading signifcantly changed heart rate and MAP in both groups. However, diastolic blood pressure, SVi, Ci , ΔV peak were changed only in the "responder" group, while systolic blood pressure changed only in the "nonresponder" group. ΔIVC did not change signifcantly in either group. A 10% increase in MAP was observed in

Table 1 Baseline clinical and demographic characteristics of participants at inclusion according to the response to fuid loading

Variable	All patients $(n=32)$	Responders $(n=13)$	Non-responders $(n=19)$	p -value
Age (months)	$17(5.5-61)$	$25(8.5-121)$	$13(4-35)$	0.198
Weight (kg)	$10(5.4-19)$	$13.5(9.2 - 40)$	$9(4.6 - 12.7)$	0.048
Male sex, $n(\%)$	13 (41%)	7(54%)	6(32%)	0.215
Primary diagnosis group at PICU admission, n (%)				
Clinical	17(53%)	6(46%)	11 (58%)	0.520
Sepsis	9(28%)	3(23%)	6(32%)	0.605
Respiratory failure	7(22%)	3(23%)	4(21%)	0.893
Surgical	15 (47%)	7(54%)	8(42%)	0.520
Heart rate (bpm)	$153(130-170.5)$	$156(137.5-179)$	$150(122.5 - 166.5)$	0.454
SVi (ml m ⁻²)	20.61 (15.92-25.75)	15.82 (11.39–20.20)	22.87 (19.81-28.95)	0.003
Ci (L min ⁻¹ m ⁻²)	$3.11(2.37-3.80)$	$2.27(1.85-3.06)$	$3.47(2.99 - 4.09)$	0.009
Systolic blood pressure (mmHg)	$91.5(78-101)$	94 (80.25 – 104.25)	88 (78-97)	0.337
Diastolic blood pressure (mmHg)	$53(40-58)$	$53(43.5-58)$	53 (40–58.25)	0.863
Mean blood pressure (mmHg)	$64(52-74)$	$69(55.5 - 75.75)$	$64(51.5-70.5)$	0.357
ΔV peak $(\%)$	$12.7(5.1-21.6)$	$22.2(19.8-26.0)$	$7.3(3.2 - 11.7)$	< 0.001
Δ IVC $(\%)$	$5.4(0-11.4)$	$9.0(5.8-16.0)$	$2.4(0.0-7.5)$	0.046
Vasoactive drugs use, n (%)	11 $(34%)$	7(54%)	4(21%)	0.059
Peak inspiratory pressure $(cmH2O)$	$20(18-23.5)$	$18(16.7-27.2)$	$20(18.5-22)$	0.522
Mean airway pressure $(cmH2O)$	$10(9.75-10.5)$	$10(9.6-10)$	$10(9.9 - 11.25)$	0.623
PEEP level (cmH ₂ O)	$5(5-5)$	$5(5-5)$	$5(5-5)$	0.688
Tidal volume (ml kg^{-1} PBW)	$8(7-8)$	$8(7-8)$	$7.5(7-8)$	0.602

Values are expressed as median (25th–75th percentiles) or number of subjects (%)

SVi stroke volume index; *Ci* cardiac index; *PEEP* positive end-expiratory pressure; *PBW* predicted body weight; ∆*IVC* respiratory variation in inferior vena cava diameter; ∆*Vpeak* respiratory peak velocity variation of aortic blood fow

Fig. 1 Areas under the ROC curves of the evaluated predictors of fuid responsiveness. ΔVpeak was found to be more accurate than ΔIVC in the ROC curve analysis (AUROC of 0.935 vs 0.709, respectively, $p=0.012$). No other significant differences were observed in the pairwise analysis of the AUROC of the predictors

61% (8/13) of participants in the "responder" group as well as in 37% (7/19) of participants in the "non-responder" group (See Fig. [2\)](#page-4-1). There was no association between the proportion of participants who had an increase in MAP (61%) and those who were fluid responders (41%) $(p = 0.233)$, and no significant correlation was found between changes in MAP and SVi (ρ = 0.191, p = 0.294). Hemodynamic variables before and after fuid loading in both groups are shown in Table [3.](#page-5-0)

SVi changes were positively correlated with ∆IVC (*ρ*=0.566, *p*<0.001) and ∆Vpeak (*ρ*=0.603, *p*<0.001). A signifcant positive linear correlation was also found between changes in MAP and $\triangle V$ peak (ρ = 0.382; p = 0.031), but the same was not observed with \triangle IVC (ρ = 0.011; p = 0.951).

Discussion

In the present study, the ∆IVC presented an acceptable accuracy for predicting fuid responsiveness in mechanically ventilated children. However, its accuracy was signifcantly lower than ∆Vpeak. Also, Ci and SVi had higher AUROC values than ∆IVC, but no statistical diference was observed. The best ∆IVC cutoff value found was 7.7%, which has a sensitivity of 69.2% and a specifcity of 78.9%. This threshold is much lower than previously found in similar studies. Some methodological particularities may be responsible for the discrepancies between the results herein reported and those previously published [[12–](#page-6-10)[21](#page-7-0)].

The main differentiating feature of our study is the method used to determine the IVC diameters. While the other pediatric studies used M-mode, we determined the IVC diameters using two-dimensional ultrasound. This approach was chosen to mitigate the impact of IVC respiratory displacements on diameter measurements. Only two-dimensional ultrasonography allows the maximum and minimum IVC diameters to be measured at the same distance from the hepatic vein. When using M-mode, the IVC diameters are registered at diferent locations along its length, since the ultrasound beam is static and the vessel has a signifcant respiratory displacement in the craniocaudal direction [\[8](#page-6-6)].

Thus, the M-mode can register structural changes in IVC diameters rather than only the respiratory variability [\[22](#page-7-1)]. As a matter of fact, there is an interesting debate about which is the best method and location for measuring IVC diameter in adults, and this discussion also needs to take place in pediatrics [[23–](#page-7-2)[25\]](#page-7-3).

In our previous study, we observed a large discrepancy between the ∆IVC values obtained by M-mode and twodimensional ultrasound when evaluating the same respiratory cycle [[8](#page-6-6)]. The median values of the ∆IVC obtained by two-dimensional ultrasound were about half of those obtained by M-mode $(11.45\% \text{ vs } 21.82\%, p < 0.001)$ $(11.45\% \text{ vs } 21.82\%, p < 0.001)$ $(11.45\% \text{ vs } 21.82\%, p < 0.001)$ [8]. Therefore, it is not surprising that we found a cut-off point much lower than those previously published. While the best IVC cutoff value in our study was only 7.7% , other authors reported values ranging from 12.3% to 28.5% [[12](#page-6-10)[–21](#page-7-0)]. The IVC respiratory displacements may be a major contributor to the disagreement between both methods for ∆IVC

Table 2 Areas under the ROC curve for assessed predictors of fuid responsiveness

Cut point calculated using Youden's index. Values are expressed as median (25th–75th percentiles)

∆*IVC* respiratory variation in inferior vena cava diameter; ∆*Vpeak* respiratory peak velocity variation of aortic blood fow; *SVi* stroke volume index; *Ci* cardiac index; *AUROC* area under the receiver operating characteristic curve; *PPV* positive predictive value; *NPV* negative predictive value; *PLR* positive likelihood ratio; *NLR* negative likelihood ratio

Fig. 2 Distribution of fuid bolus response according to the CI and MAP response. There was no association between the proportion of participants who had an increase in MAP (61%) and those who were fuid responders (41%) ($p = 0.233$)

Values are expressed as medians and interquartile ranges (25th–75th percentiles)

HR heart rate; *SBP* systolic blood pressure; *DBP* diastolic blood pressure; *MAP* mean arterial pressure; *Svi* stroke volume index; *Ci* cardiac index; ∆*Vpeak* respiratory peak velocity variation of aortic blood fow; ∆*IVC* respiratory variation in inferior vena cava diameter

measurements. Accurately measuring IVC diameters can be challenging in children, especially younger ones, so future studies should perform measurements using two-dimensional ultrasonography to reduce inaccuracies.

The use of ∆IVC as a predictor of fuid responsiveness gained popularity in critical care medicine after early studies demonstrated its high accuracy in adults [\[5](#page-6-3), [6](#page-6-4)]. However, the same exciting results were not found in similar later studies. A meta-analysis including adults and children found a pooled sensitivity and specifcity of 63% and 73%, respectively (pooled AUROC of 0.79) [[26](#page-7-4)]. In addition, the test performance appears to be better when patients are ventilated with a tidal volume ≥ 8 ml kg⁻¹ and positive endexpiratory pressure \leq 5 cmH2O. This aspect is especially important for mechanically ventilated patients in whom lung protective strategies are applied. Thus, a transient increase in tidal volume when performing the test may increase its accuracy, which has been called "tidal volume challenge". In children the accuracy of ∆IVC varies widely across studies, with sensitivity ranging from 47 to 100% and specifcity ranging from 33 to 100% [[12–](#page-6-10)[21,](#page-7-0) [27\]](#page-7-5).

In our study, ∆Vpeak was shown to be a more reliable predictor of fuid responsiveness when compared to ∆IVC, with sensitivity and specificity of 84.6% and 89.5%, respectively. Similar results were found by other authors [\[12](#page-6-10), [13,](#page-6-11) [27](#page-7-5)]. A recent meta-analysis of pediatric studies evaluating ∆Vpeak found a pooled sensitivity of 84% and pooled specificity of 82% [\[27\]](#page-7-5). However, despite its good accuracy, the use of ∆Vpeak is often limited in intensive care patients due to suboptimal acoustic windows caused by mechanical ventilation, dressings, interfering incisions, and positioning difficulties [[28\]](#page-7-6). In addition, obtaining ΔV peak can be challenging for inexperienced operators as it requires specifc skills and training. Even so, its use should be encouraged due to the good results presented in several studies.

Blood pressure is perhaps the most determining hemodynamic variable in making decisions about fuid infusions, as well as in assessing the response to such an intervention. In clinical practice, patients are often considered fuid responders when they experience increased blood pressure after fuid loading [[29\]](#page-7-7). However, in our study the percentage of patients who increased their MAP after volume expansion was similar between fuid responders and non-responders. The absence of an association between fuid bolus-induced changes in MAP and Ci (or SV) has also been reported in other studies involving children [[9,](#page-6-7) [10,](#page-6-8) [30\]](#page-7-8). Furthermore, the adverse efects of volume expansion are not limited to fuid overload. Ranjit et al. found that some children experienced hemodynamic deterioration soon after the end of fuid infusion, with reduced MAP, reduced pulse pressure, and increased use of vasoactive drugs [[9](#page-6-7), [10\]](#page-6-8). Although blood pressure is an important hemodynamic variable for organ perfusion, it should not be used as a surrogate of SV when assessing response to fuid loading.

The present study has some limitations that need to be pointed out. First, participants were not receiving neuromuscular blocking agents. However, they were under sedation and the absence of spontaneous breathing was verifed clinically and on ventilator monitoring. Second, fuid responsiveness was not assessed using gold standard techniques such as thermodilution or the direct Fick method. Nevertheless, the use of TTE for Ci measurements has been widely studied in children, proving to be accurate, precise and repro-ducible [[31](#page-7-9)]. Third, both fluid responsiveness and ΔV peak were determined using the same echocardiographic method (TTE). With this, the index test and the standard reference criteria were not independent, which may have led to an overestimation of diagnostic accuracy. Fourth, obtaining ∆Vpeak by TTE is subject to technical artifacts caused by chest wall movements during breathing. This limitation can be overcome by transesophageal echocardiography; however, this method is not available in most PICUs. Fifth, our study included a specifc population of children under invasive mechanical ventilation. Therefore, the results reported here should not be extrapolated to other populations, such as spontaneously breathing children.

Conclusion

The ∆IVC has limited accuracy to identify children who will increase their SV after fuid loading. The use of ∆Vpeak should be preferred over ∆IVC whenever possible, as it seems to be a better predictor of fuid responsiveness in mechanically ventilated children.

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Data Availability The data that support the fndings of this study are available from the corresponding author, upon reasonable request.

Code Availability Not applicable.

Declarations

Conflict of interest The authors have no conficts of interest relevant to this study to disclose.

Ethics Approval The study was approved by the local institutional review board (UNICAMP's Research and Ethics Committee, approval number 12894719.8.0000.5404).

Informed Consent Written informed consent was obtained from the participants' legal guardian.

Consent for Publication Not applicable.

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