



# Calf Muscle Oxygenation is Impaired and May Decline with Age in Young Patients with Total Cavopulmonary Connection

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## Abstract

Patients palliated with Total Cavopulmonary Connection have a lower muscle mass and a lower exercise capacity. We assessed calf muscle oxidative metabolism during and after heel raise exercise to exhaustion in young patients with TCPC compared to healthy peers. Near-infrared spectroscopy was used for measuring oxygen metabolism in the medial portion of the gastrocnemius muscle. Forty-three patients with TCPC, aged 6–18 years, were compared with 43 age and sex-matched healthy control subjects. Subgroups were formed to include children (6–12 years) and adolescents (13–18 years) to determine if these age groups influenced the results. During exercise, for the patients compared to controls there was a lower increase in deoxygenated hemoglobin (oxygen extraction) ( $5.13 \pm 2.99$  au vs.  $7.75 \pm 4.15$  au,  $p=0.001$ ) and a slower rate of change in total hemoglobin (blood volume) ( $0.004 \pm 0.015$  au vs  $0.016 \pm 0.01$  au,  $p=0.001$ ). Following exercise, patients exhibited a slower initial increase in tissue oxygenation saturation index ( $0.144 \pm 0.11$  au vs  $0.249 \pm 0.226$  au,  $p=0.007$ ) and a longer half-time to maximum hyperemia ( $23.7 \pm 11.4$  s vs  $16.8 \pm 7.5$  s,  $p=0.001$ ). On the subgroup level, the adolescents differed compared to healthy peers, whereas the children did not. Young patients with TCPC had impaired oxidative metabolism during exercise and required a longer time to recover. In that the differences were seen in the adolescent group and not in the children group may indicate a declining function with age.

**Keywords** Total cavopulmonary connection · TCPC · Fontan · Exercise · Near-infrared spectroscopy · NIRS

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## Introduction

Among the most complex of congenital heart defects are the functional single ventricle defects. These defects consist of several different morphological diagnoses. Earlier, functional single ventricle defects or univentricular hearts (UVH) were incompatible with survival into adulthood. However, the introduction and development of surgical techniques [1] during recent decades have vastly improved survival [2, 3]. With increased survival rates of complex congenital heart defects, much can be learned of the long-term complications and survivability with these defects and their palliation [4–6].

For children born with UVH, the most usual procedure is to undergo three stages of palliative surgery with the result being total cavopulmonary connection (TCPC), also called Fontan circulation. With TCPC, blood is redirected from the systemic veins directly to the pulmonary arteries, thus bypassing the heart. Because blood flowing from the lower part of the body has to go against gravity and hence

is largely dependent on lower limb muscle contractions for venous return, good skeletal muscle function is crucial [7]. Studies have shown that adults living with TCPC have lower aerobic exercise capacity, lower muscle mass, and lower muscle strength in comparison to healthy peers [5, 8–11].

The presence of such a different and deviating circulation together with findings of reduced skeletal muscle function (e.g., muscle strength in adults with TCPC) raises questions of whether the peripheral muscle blood flow and metabolism are impaired [12]. Near-infrared spectroscopy (NIRS) is a non-invasive method for continuous monitoring of local muscle oxygenation, *i.e.*, the dynamic balance between oxygen delivery and consumption [13–18]. In regard, Sandberg and co-workers reported impaired oxygenation for the deltoid muscle and calf muscle in adults with CHD of mixed complex lesions. This was exhibited as a slower oxygen desaturation at exercise onset and a slower oxygen recovery post-exercise in comparison to control subjects [19, 20]. To our knowledge, there are no NIRS studies on peripheral muscle oxygenation in young patients (pre-adult) with TCPC during exercise and recovery. These type of data would address whether oxygenation impairments are already present at a young age.

Our primary aim was to determine the extent of difference in calf muscle oxygenation kinetics during exercise and recovery between young patients with TCPC and healthy control subjects matched by age and sex. Our study population included both children (6–12 years) and adolescents (13–18 years). Therefore, our secondary aim was to determine if the division into age groups influenced the results.

## Materials and Methods

### Design

The present study is a collaboration between the pediatric cardiology outpatient clinics at the University Hospital in Umeå and Astrid Lindgren Children’s Hospital in

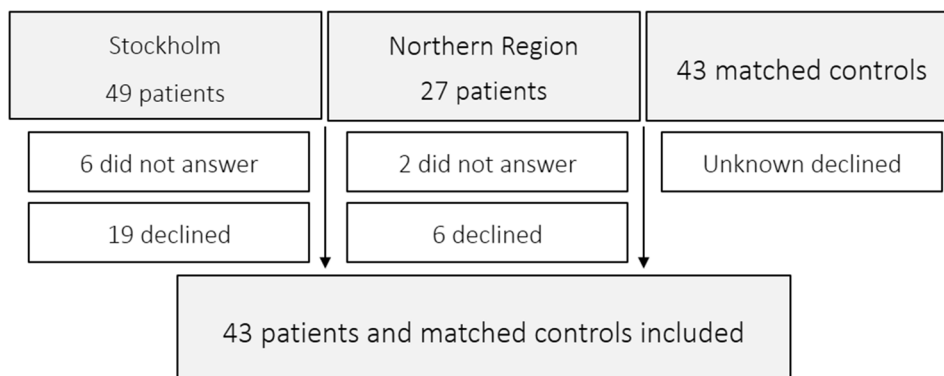
Stockholm, Sweden. Consequently, the experiments were done in these two locations, but with the same staff. The design was cross-sectional to study muscle oxygenation during exercise and recovery in young patients with TCPC and healthy peers.

### Study Population

Participants were identified from local registers of patients with functional univentricular heart defects surgically palliated with TCPC that were presently in the follow-up program at three pediatric centers in the northern regions of Sweden (Västernorrland, Västerbotten and Norrbotten) and from the Astrid Lindgren Children’s Hospital in Stockholm. Exclusion criteria were younger than 6 years and younger than 19 years, cognitive impairment, or muscular or motor disorders that would make it difficult to participate.

Initially, 78 patients (49 from Stockholm and 29 from the northern region) were identified as eligible and they or their caregivers were contacted. Of these, two had co-morbidities, eight did not respond, and 25 declined participation (19 from Stockholm and 6 from the northern region). Forty-three patients accepted participation and were included in the study (Fig. 1). Medical records were sourced for each patient to determine the type of congenital heart defect that lead to the TCPC procedure (Table 1). For each patient, a control subject matched by sex and age was recruited (Fig. 1). The criterion for the control subject was no record of any heart or vascular disease; all controls underwent an echocardiographic examination prior to the study. Post hoc analyses showed no differences in gender or age between the patients that were included and those who declined (data not shown). Prior to the study, caregivers provided informed written consent for the subjects younger than 18 years and those who were 18 years gave consent themselves. The study was approved by the Regional Ethical Review Board in Umeå, Sweden (Dnr: 2016-445-31M).

**Fig. 1** Flow chart of the recruitment process of patients and controls for the two participating centers



**Table 1** Anthropometric and clinical data of participants

	Patients ( <i>n</i> =43)	Controls ( <i>n</i> =43)	<i>p</i> -value
Sex <i>n</i> (%)			
Male	25(58.1)	25(58.1)	1.0
Female	18(41.9)	18(41.9)	1.0
Age (years)	12.2±3.9	12.3±4.0	0.915
Children (6–12yrs)( <i>n</i> =18)	8.3±1.8	8.4±2.1	0.878
Female sex <i>n</i> (%)	7(38.9)	7(38.9)	1.0
Adolescents (13–18yrs) ( <i>n</i> =25)	15.0±2.3	15.1±2.3	0.895
Female sex <i>n</i> (%)	11(44.0)	11(44.0)	1.0
Weight (kg)	44.2±18.4	45.9±19.2	0.676
Height (cm)	149.8±20.3	154.2±22.3	0.347
BMI (kg × m <sup>-2</sup> )	18.9±3.6	18.3±3.3	0.456
BMI Z-score (WHO 2007)	0.1±1.0	-0.1±1.0	0.346
Peripheral arterial saturation			
Pre-exercise	93.7±2.7%	98.2±1.1%	<b>&lt; 0.001</b>
Post-exercise	93.7±2.5%	98.1±1.1%	<b>&lt; 0.001</b>
Type of congenital heart defect <i>n</i> (%)			
Hypoplastic left ventricle	20(46.5)	na	–
Hypoplastic right ventricle	23(53.5)	na	–

Data are presented as means ±SD or as percentages (%). Comparisons between patients and controls were performed using Student's *t* test. For subgroups, the mean ages and the number of participants in each group (*n*) and the gender distribution are presented. Bold figures denote *p* < 0.05

## Measurements

### Anthropometrics

At the time of the examination, calibrated digital scales (Tanita scale, Tokyo, Japan) measured body weight to the nearest 0.1 kg and wall-mounted stadiometers (Seca, Vogel & Halke, Hamburg, Germany) measured height to the nearest 0.1 cm. The same systems were used in Umeå and Stockholm. BMI was calculated (kg × m<sup>-2</sup>), and BMI Z-scores were assessed according to the WHO 2007 charts [21] (Table 1).

### Peripheral Capillary Oxygen Saturation

Both before and at the end of the exercise test, peripheral capillary oxygen saturation was assessed using a handheld pulse oximeter (GE Data Ohmeda Tuffsat Handheld Pulse Oximeter, GE Healthcare, Sweden).

### Heel Raise Exercise

The exercise consisted of unilateral isotonic heel raises with participants standing on one leg facing a wall with the fingertips touching for balance support. The contralateral foot was held slightly above the floor. The participant was then instructed to perform as many heel raises as possible. Prior to the test, an individual's maximum height of a heel rise

was determined and marked by a board fastened to the wall. The test was terminated by the participant due to exhaustion or by the test leader when the participant failed to reach the pre-set maximum height. After this, participant was moved back to the starting position and a probe for NIRS monitoring (see below) was attached on the contralateral leg, and the same procedure was repeated. A rationale for testing both legs was to ascertain whether previous femoral catheterization on one leg for the earlier surgical procedure of TCPC had any impact on our variables of interest [22, 23]. Hence also for each participant a mean of the data from the legs for each measurement and data point was derived. Verbal encouragement was given throughout the test. After termination of the test, the participant sat down and rested for at least 60 s. The total number of heel raises was recorded. This test was used in previous studies on adults with heart failure and with congenital heart disease [20, 24, 25]; we made only minor adjustments to best fit the children.

### NIRS Measurements

For muscle oxygenation monitoring we used a portable, continuous wave spectrometer (PortaLite mini, Artinis medical systems BV, the Netherlands) and a probe with three linear arranged emitters (emitting wavelengths of 760 nm and 850 nm) and a receiver with emitter-to-receiver distances of 16 mm, 21 mm, and 26mm, respectively. With the probe fastened on the medial head of the gastrocnemius muscle,

data were sampled at a frequency of 5 Hz. The gastrocnemius was chosen because it is activated during the aforementioned heel raise test. Data were continuously collected with the participant seated at rest for 60 s, during exercise, and during recovery for 60 s. For data collection, software from the manufacturer (PortaSoft, Artinis medical systems BV, the Netherlands) was used.

### NIRS Data Management and Calculations

Oxygenated hemoglobin ( $O_2Hb$ ) and deoxygenated hemoglobin (HHb) absorb light of different wavelengths making it possible to measure their different concentrations in relation to each other [26]. These parameters, as well as the tissue saturation index (TOI)—proportion of  $O_2Hb$  to the total hemoglobin (HbT), and the total amount of hemoglobin (HbT)— $O_2Hb+HHb$  were displayed graphically and recorded numerically in real time. An additional parameter of interest was the mean difference in muscle oxygenation (Hbdiff)— $O_2Hb-HHb$  that was calculated after the experiment. NIRS assessments of TOI and HHb as an expression for oxygen extraction in lower limb muscles have been shown to correlate to whole body aerobic capacity [18, 27]. For each subject, a baseline for each respective parameter was derived as the mean of the 30 s prior to exercise and changes during exercise were expressed relative to this baseline value.

Because the pace of the exercise was not fixed, we were not able to calculate the rate of changes in parameters at exercise onset. Therefore, variables of interest during exercise were calculated for the last 30 s of the exercise. Hence, the magnitudes of drop in TOI and oxygen extraction during exercise (HHb) were calculated as the second of data with the lowest and highest mean, respectively. Also during exercise, the rate of change in blood volume was calculated as the slope of increase (derived using linear regression) in HbT for the last 30-s window. At the end of exercise, the slope of TOI recovery was calculated for the first 15 s of recovery and the half-time of recovery ( $T_{1/2}$ ) was calculated as the time from the start of recovery until TOI reached  $\frac{1}{2}$  of the maximum hyperemic value following exercise. We chose both variables since they express different physiological entities of the recovery phase.  $T_{1/2}$  gives information on the post-exercise hyperemic response, whereas the initial resaturation primarily reflects restoration of vascular components.

### Statistical Analyses

Offline analyses and calculations of data were done in MatLab software (MatLab R2018b, The MathWorks, Inc. Natick, Massachusetts, USA) and the Statistical Package for Social Sciences version 25 (SPSS, IBM corp., Armonk, NY, US). All data were presented as means with standard

deviations and ratios with percentages. Comparisons between patients and controls were analyzed using Student's *t* tests. Subgroup analysis between patients and controls was conducted with the participants stratified into two age groups, children (6–12 years) and adolescents (13–18 years). The cut-off 12–13 was set to match the physical and mental development during puberty [28, 29]. The gender distribution between the children and adolescent groups was not significantly different (data not shown). The null hypothesis was rejected for *p*-values <0.05.

## Results

### Peripheral Capillary Saturation

The patients with TCPC had lower peripheral capillary oxygen saturation than controls before the start of exercise ( $93.7\pm 2.7\%$  vs.  $98.2\pm 1.1\%$   $p<0.001$ ) and after exercise ( $93.7\pm 2.5\%$  vs.  $98.1\pm 1.1\%$   $p<0.001$ ). From start to end of exercise the drop in saturation was virtually unchanged within groups and thus did not differ between patients and controls ( $-0.10\pm 1.95$  percentage points vs.  $0.05\pm 1.41$  percentage points,  $p=0.69$ ).

### Heel Raise Test

There was no difference in the number of heel raises between patients and controls ( $25.0\pm 14.9$  vs.  $25.7\pm 12.6$ ,  $p=0.75$ ).

### Muscle Oxygenation During Exercise

Table 2 presents oxygenation data at rest and during exercise. TOI at rest differed between groups with lower values in patients compared to controls. On group level, the drop in TOI did not differ between patients and controls (Table 2). Further, there were no differences on the subgroup levels between patients and controls.

On group level, the increase in HHb and the slope of change in HbT during exercise were lower for the patients compared with controls (Table 2). In subgroup analyses, changes in HHb and HbT did not differ between patients and controls for the children group, but both variables were lower for the adolescent group (Table 2).

On group level, Hbdiff did not differ between patients and controls. In subgroup analyses, there was no difference between patients and controls for the children group, but there was a trend in the adolescent group ( $p=0.050$ ) with a higher value for the patients (Table 2).

**Table 2** Oxygenation at rest and during exercise

	Patients ( <i>n</i> =43)	Controls ( <i>n</i> =43)	<i>p</i> -value
Resting values of TOI (%)	48.84 ± 5.71	54.73 ± 4.79	<0.001
Children (6–12yrs)	48.45 ± 3.94	53.28 ± 4.58	<b>0.002</b>
Adolescents (13–18yrs)	49.13 ± 6.77	55.77 ± 4.75	<0.001
Exercise TOI drop (percentage points)	–24.00 ± 7.90	–25.45 ± 10.88	0.48
Children (6–12yrs)	–28.35 ± 7.23	–27.73 ± 9.21	0.83
Adolescents (13–18yrs)	20.87 ± 6.91	–23.80 ± 11.84	0.29
Exercise HHb rise (arbitrary units)	5.13 ± 2.99	7.75 ± 4.15	<b>0.001</b>
Children (6–12yrs)	6.53 ± 2.77	8.51 ± 4.52	0.12
Adolescents (13–18yrs)	4.13 ± 2.77	7.20 ± 3.87	<b>0.002</b>
Exercise slope HbT (arbitrary units/s)	0.004 ± 0.015	0.016 ± 0.017	<b>0.001</b>
Children (6–12yrs)	0.005 ± 0.018	0.010 ± 0.015	0.42
Adolescents (13–18yrs)	0.003 ± 0.013	0.020 ± 0.017	<0.001
Exercise Hbdiff (arbitrary units)	–9.21 ± 4.56	–11.24 ± 6.67	0.103
Children (6–12yrs)	–11.58 ± 4.28	–12.20 ± 7.06	0.75
Adolescents (13–18yrs)	–7.50 ± 4.03	–10.55 ± 6.43	0.050

Exercise NIRS variables were normalized to a resting baseline and expressed as arbitrary units. The NIRS data consist of readings from the last 30 s of the exercise. Exercise slope HbT was calculated using linear regression. Data are presented as means ± SD. Comparison between patients and controls were performed using Student's *t* test. Bold figures denote *p* < 0.05

## Muscle Oxygenation in Recovery

On group level, the rate of initial increase of TOI was lower and  $T_{1/2}$  was longer for the patients compared to controls (Table 3). In subgroup analyses, there was no difference between patients and controls for the children group in either variable, but both variables were different for the adolescent group (Table 3).

## Discussion

An important finding in the present study was that the calf muscle oxygenation kinetics were impaired in young patients with TCPC. While we found no difference between patients and controls in tissue saturation during exercise, the patient group exhibited a lower magnitude of oxygen extraction and

a slower rate of blood volume increase during exercise in comparison to healthy controls. In addition, oxygenation recovery post-exercise was slower for patients than controls. When separating in subgroups of children and adolescents, it was shown that the adolescents with TCPC were primarily responsible for our oxygenation findings.

## Peripheral Capillary Saturation and Resting Tissue Oxygenation

As expected, patients with TCPC had a lower peripheral capillary oxygen saturation presumably due to impaired diffusion capacity, ventilation–perfusion mismatch in the lung, and right-to-left shunting of blood [12, 30]. Gewillig et al. reported that venous congestion and reduced pulmonary blood flow may contribute to lower arterial oxygen saturation at rest and that the inability to compensate for

**Table 3** Oxygenation data during recovery

	Patients ( <i>n</i> =43)	Controls ( <i>n</i> =43)	<i>p</i> -value
Recovery velocity TOI (percent units/s)	0.144 ± 0.11	0.249 ± 0.226	<b>0.007</b>
Children (6–12yrs)	0.178 ± 0.102	0.276 ± 0.236	0.12
Adolescents (13–18yrs)	0.120 ± 0.108	0.230 ± 0.221	<b>0.030</b>
Half-time of recovery in TOI (s)	23.7 ± 11.4	16.8 ± 7.5	<b>0.001</b>
Children (6–12yrs)	18.5 ± 6.9	16.1 ± 7.0	0.31
Adolescents (13–18yrs)	25.5 ± 12.6	17.2 ± 7.9	<b>0.001</b>

All NIRS variables were normalized to a resting baseline and expressed as arbitrary units with the baseline as zero. The velocity of initial increase in TOI was calculated using linear regression of the first 15 s of recovery. Half-time of recovery in TOI was calculated as the time from the start of recovery until TOI reached ½ of the maximum hyperemic value following exercise. Data are presented as means ± SD. Comparisons between patients and controls were performed using Student's *t* test. Bold figures denote *p* < 0.05

the demand of increased cardiac output during exercise could lead to decreases in both exercise capacity and arterial saturation [12].

### Muscle Oxygenation During Exercise

Our findings of no differences in TOI drop are consistent of those of Moalla et al. [31]. In contrast to both Moalla et al. and Vandekerckhove et al., we found no differences in the magnitude of TOI decrease during exercise. To our knowledge, the study by Vandekerckhove et al. is the only study of muscle oxygenation measured with NIRS in patients below eighteen years of age with TCPC [32]. The authors reported a lower TOI of the vastus lateralis muscle during exercise for TCPC patients compared to controls. We had no such difference in our population. The discrepancy between studies could be due to differences in muscle groups and exercise modes.

The trend of a smaller drop in relative oxygen saturation, combined with the lower levels of oxygen extraction for the adolescents with TCPC compared to controls, indicates a mismatch of oxygen delivery and consumption for these patients despite equal performance in the heel raise test. This indicates an availability of substrates for oxidative metabolism but a lower rate of aerobic metabolism. Moalla et al. previously investigated the response of muscle oxygenation during exercise using NIRS in children with CHD and found a similar response in HHb [31].

We observed a slower increase of blood volume during the heel raise test in the patient group compared to controls that was mostly influenced by the adolescent subgroup. The typical response to repetitive isotonic exercise in healthy individuals is an increase in blood volume [15, 33]. As described by others, a slower blood volume increase for the patients could indicate that there is an obstruction due to increased intramuscular pressure that was greater for patients than controls. An increased intramuscular pressure during exercise for the patients with TCPC would most likely arise due to the congestion and peripheral pooling of venous blood because of the lack of pumping ventricle to the pulmonary circulation [12].

### Muscle Oxygenation in Recovery

Following the heel raise test, muscle oxygen recovery was impaired in patients with TCPC compared to controls. This finding is in line with previous studies that show a slower initial increase in TOI in the recovery phase and a longer time to reach peak of hyperemic phase after exercise in patients with TCPC [19, 34].

### Capacity Declining with Age

When performing subgroup analysis, several of our variables differed significantly in the adolescent group of TCPC patients (aged 13–18 years) compared to age-matched controls but did not in the children group (Table 2). Muscle strength is shown to decline with age in patients with TCPC, and adults with complex congenital heart defects have been shown to have a lower muscle mass when compared to healthy controls [11, 35]. A decline in exercise capacity with age has been observed in TCPC patients, and our data suggest that this in part may be due to an impairment in the local muscle metabolism [36].

### What Could Be Done?

Patients with TCPC have higher central venous pressure and a limited ability to increase and regulate preload, leading to reduced filling volumes of the systemic ventricle and thus a lower cardiac output during exercise. The limitations of exercise capacity are probably multifactorial and includes both central cardiovascular and peripheral factors (i.e., muscle mass and muscle metabolism) and are consequences of the vast physiological changes that comes with a TCPC [30, 36–39]. Our findings, of equal tissue saturation drop in combination with signs of intramuscular blood flow congestion during exercise, indicate that this obstruction might play a role in the reduced physical function for this group of patients.

In the subgroup of children with TCPC (6–12 years), we found no signs of differences in muscle microcirculation and oxidative function compared to healthy controls. This finding may suggest that targeted muscle training could be indicated in this younger patient group so as to minimize a decline in physical capacity. An exercise training protocol promoting muscle strength with continuous progression might result in increased muscle mass, improved peripheral vascular function, and thus improved muscular oxygenation [40]. An increased muscle mass might also lead to an increased muscle pump contributing to an improved venous return that possibly could reduce the amount of muscular blood flow congestion as also discussed by Cordina et al. when studying muscle function in adults with TCPC [9]. Cordina et al. have also previously shown the benefit of isolated muscle resistance training on both cardiac output, muscle strength, and exercise capacity for adults with TCPC [41].

### Study Limitations

The study was performed in 43 children and adolescents after TCPC procedure compared to 43 healthy controls. This number is limited, but as far as we know, it is still the largest

NIRS study on young patients with TCPC. Larger studies are needed to evaluate the findings in different age groups. NIRS is a technique that requires careful interpretation by experienced practitioners. Excessive adipose tissue thickness can influence the NIRS signals, but since our measurements were on the gastrocnemius muscle and all the participants were lean by our observation, we do not expect any such effect.

## Conclusion

This study shows that even if younger patients with TCPC have equal muscle endurance capacity during heel raises and no difference in tissue saturation drop in exercise compared to healthy peers, they have an impaired muscle metabolism with impaired oxygen extraction and less increase of muscle blood flow during the exercise performed. We also showed a slower rate of recovery for the patients with TCPC compared to healthy peers and that the leg muscle metabolic activity in patients with TCPC may have a progressive decline, starting already before adolescence. These findings might provide important information for the future design of rehabilitation programs targeting muscle function in children and adolescents with TCPC.

**Author Contributions** All authors contributed to the study conception and design. Participant invitation and informed consent gathering were performed by Magne Sthen Bergdahl and Camilla Sandberg. Data collection was mainly done by Camilla Sandberg and Albert Crenshaw. Data analysis were performed by Magne Sthen Bergdahl and Albert Crenshaw. The first draft of the manuscript was written by Magne Sthen Bergdahl and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

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**Data Availability** Data available on demand.

**Code Availability** Code available on demand.

## Declarations

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethical Approval** The study was approved by the Regional Ethical Review Board in Umeå, Sweden (Dnr: 2016-445-31 M).

**Consent to Participate** Prior to the study, caregivers provided informed written consent for the subjects younger than 18 years and those who were 18 years gave consent themselves.

**Consent for Publication** All authors consent publication.

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