

Interspecific Comparison in the Frequency of Concerted Evolution at the Polyubiquitin Gene Locus

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Abstract. The polyubiquitin gene, encoding tandemly repeated multiple ubiquitins, constitutes a ubiquitin gene subfamily. It has been demonstrated that polyubiquitin genes are subject to concerted evolution; namely, the individual ubiquitin coding units contained within a polyubiquitin gene are more similar to one another than they are to the ubiquitin coding units in the orthologous gene from other species. However there has been no comprehensive study on the concerted evolution of polyubiquitin genes in a wide range of species, because the relationships (orthologous or paralogous) among multiple polyubiquitin genes from different species have not been extensively analyzed yet. In this report, we present the results of analyzing the nucleotide sequence of polyubiquitin genes of mammals, available in the DDBJ/EMBL/GenBank nucleotide sequence databases, in which we found that there are two groups of polyubiquitin genes in an orthologous relationship. Based on this result, we analyzed the concerted evolution of the polyubiquitin gene in various species and compared the frequency of concerted evolutionary events interspecifically by taking into consideration that the rate of synonymous substitution at the polyubiquitin gene locus may vary depending on species. We found that the concerted evolutionary events in polyubiquitin genes have been more frequent in rats and Chinese hamsters than those in humans, cows, and sheep. The guinea pig polyubiquitin gene was an intermediate example. The fre-

quency of concerted evolution in the mouse gene was unexpectedly low compared to that of other rodent genes.

Key words: Polyubiquitin gene — Orthologue — Synonymous substitution — Synonymous sequence difference — Concerted evolution — Phylogenetic tree

Introduction

There are abundant repetitive DNA sequences in a eukaryotic genome, either dispersed throughout the genome or clustered in a chromosomal region. When units of a repetitive family are compared, greater sequence similarity is often observed within a species than between species, and the molecular process leading to this occurrence of higher similarity within a species in repetitive sequences is called concerted evolution. It may be that concerted evolution acts to slow genetic and functional diversity of duplicated genes, especially it can be viewed as a form of quality control in the production of components for macromolecular machines, such as rRNAs (Liao 1999).

Ubiquitin is a highly conserved small protein of 76 amino acids functioning in a wide range of cellular processes by degrading physiologically important regulatory proteins (Laney and Hochstrasser 1999). In all eukaryotes examined so far, ubiquitin is encoded by a multiple gene family. The polyubiquitin gene, encoding tandemly repeated multiple ubiquitins, constitutes a ubiquitin gene subfamily. It has been demonstrated that polyubiquitin

genes are subject to concerted evolution (Sharp and Li 1987; Tan et al. 1993; Keeling and Doolittle 1995; Vrana and Wheeler 1996; Nenoï et al. 1998); namely, the individual ubiquitin coding units contained within a polyubiquitin gene are more similar to one another than they are to the ubiquitin coding units in the orthologous gene from other species. An unequal crossing over is thought to be one of major mechanisms for the concerted evolution of polyubiquitin genes. In fact, we observed a variability in the number of ubiquitin coding units (Nenoï et al. 1996, 1998).

By analyzing two orthologous polyubiquitin genes, *UbC* from humans and *CHUB2* from Chinese hamsters, it was demonstrated that the concerted evolutionary events have been much more frequent in Chinese hamsters than in humans, based on the observation that the sequence homology within the *CHUB2* gene is much higher than that within the *UbC* gene (Nenoï et al. 1998). However, there has been no comprehensive study on the frequency of the concerted evolutionary events at the polyubiquitin gene locus in a wide range of species, because the relationships (orthologous or paralogous) among multiple polyubiquitin genes from different species have not been extensively analyzed yet.

In this report, we present the results of analyzing the nucleotide sequence of polyubiquitin genes of mammals, available in the DDBJ/EMBL/GenBank nucleotide sequence databases, in which we found that there are two groups of polyubiquitin genes in an orthologous relationship. Based on this finding, we analyzed the concerted evolution of polyubiquitin genes from various species and compared the relative frequency of concerted evolutionary events among a variety of species by taking into consideration that the rate of synonymous substitution at the polyubiquitin gene locus may vary depending on species.

Materials and Methods

The nucleotide sequences of polyubiquitin genes used in this study are from the following species: *Homo sapiens* [human; U49869 (Baker and Board 1987), D63791 (Nenoï et al. 1996), M17597 (Einspanier et al. 1987b)], *Bos taurus* [cow; Z18245 (Wempe and Scheit 1993), M62428 (Meyers et al. 1991)], *Ovis aries* [sheep; AF038129 (Hein et al. unpublished)], *Cavia porcellus* [guinea pig; D83208 (Tukagoshi unpublished)], *Cricetulus griseus* [Chinese hamster; X60390 (Nenoï et al. 1992), AB003731 (Nenoï et al. 1998)], *Mus musculus* [mouse; X51703 (Finch et al. 1990), S40697 (Finch et al. 1992)], *Rattus norvegicus* [rat; D16554, D17296 (Hayashi et al. 1994)], and *Sus scrofa* [Pig; M18159 (Einspanier et al. 1987a)].

The homology analysis between every pair of ubiquitin-coding units either within a polyubiquitin gene or in two separate genes was carried out by evaluating the synonymous sequence difference, which is defined as the number of synonymous substitutions relative to the total number of synonymous sites (Miyata and Yasunaga 1980). The synonymous sequence difference was calculated as described by Miyata and Yasunaga (1980) and was corrected for multiple substitution (Kimura and Ohta 1972).

Phylogenetic trees were constructed for polyubiquitin genes in or-

thologous relationships using neighbor-joining (Saitou and Nei 1987). For the evolutionary distance between a pair of two polyubiquitin genes, we used the mean value of the synonymous sequence difference calculated for every pair of ubiquitin coding units of the respective genes. We followed the calculation scheme of Nei (1987).

Results and Discussion

Classification of Polyubiquitin Genes

In the case of analyzing the concerted evolution of a gene family, it is critical to distinguish the orthologous genes from the paralogous genes. In humans, there are two polyubiquitin genes, *UbB* on chromosome 17p11.1–17P12 (Baker and Board 1987; Webb et al. 1990) and *UbC* on chromosome 12q24.3 (Wiborg et al. 1985; Board et al. 1992), encoding three and nine ubiquitins, respectively. We have demonstrated that these two polyubiquitin genes are independently conserved in Chinese hamsters (Nenoï et al. 1992, 1994). As shown in Fig. 1, we found that the polyubiquitin genes retrieved from the database could be classified into two groups, A (*UbB*-type) and B (*UbC*-type), based on the sequence homology in the 3' UTR.

It can be seen that all polyubiquitin genes of mammals investigated in this study show an obvious homology to either *UbB* or *UbC* in the region around the poly A signal (except in the sheep polyubiquitin gene, the 3' UTR of which has not been sequenced yet), although the degree of homology differs between groups. It is also noticeable that the distance from the stop codon to the poly A signal is closely conserved within each of the groups. Furthermore, as shown in Table 1, the sequence homology in the coding region is higher within the group than that between groups. These facts strongly suggest that the polyubiquitin genes in each of the groups are in an orthologous relationship. Therefore we analyzed the concerted evolution of polyubiquitin genes in group A and group B separately.

Evidence for Concerted Evolution

We calculated the synonymous sequence difference for every pair of the ubiquitin coding units. The mean values of the synonymous sequence difference within a polyubiquitin gene are shown on the diagonal of Table 1, and those in a pair of separate genes are shown above the diagonal. Here the polyubiquitin genes whose coding regions are not fully sequenced were excluded from the table (cow ≥ 3 , pig ≥ 3 , mouse ≥ 1) in Fig. 1). When the synonymous sequence differences within each group of the gene type are compared, it can be seen that it varies between 0.105 and 0.472 for the *UbB*-type genes and 0.216 and 0.777 for the *UbC*-type genes, showing a higher homology within the *UbB*-type genes consistent with the observation of the homology in the 3' UTR (Fig.

(A)

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UbB      1:TAATTCCTTCAGTCATGGCATTGCGAGTGCCAGT*GATGGCATTACTCTGCACTATAGCCATTTGCCCA 70
Cow (4)  :-----*TCT--AT-A--TT-A-*--A--CCT-----C--A-----
Sheep (4):-----*TCTG--AT-A--T--*-----CGT-----C--A-----
Mouse (4):-----A--G--**--C--GG--*-----C--C-----
Rat (4)  :-----**--C-G-C-GG-C-*-----C--C-----
CHUB1    :-----**--C--GG--A-G-----A-----

71:ACTTAAGTTTAGAAATTACAAGTTTCAGTAATAGCTGAACC**TGTT*CAAAATGTTAATAAAGGTTTCGT 141
:-T-----C-----**--*--A--A--T-----
:-T-----C-----
:-T-----A-----TC--AA-----T-----
:-T-----TC--*A-----T-----
:-T-----A-C-----TC--*A-----T-----

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(B)

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UbC      1:TAAGTTTCCCT***TTTAAGTTTCAACAAATTCATTGCACTTTCCTTTCAATAAAGTTGTTGCATTC 70
Cow (≥3) :-----CT-----***--C--G-T-G-A-----T-----
Pig (≥3) :-----CT-----***--C-----T-----
G. pig (≥4): ATGC--C--TATCT--CC-----G--C-----*****G--C-----
Mouse (≥1): --GT--TATCT--C-G-T--G-----*****G--C-----
Rat (10) : --GT--TATCT--C-G-T--G-----*****G--C-----
CHUB2    : --T--A--ATCT--T-G-T--G-----*****G--C-----

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Fig. 1. Classification of polyubiquitin genes of mammals. The nucleotide sequence in the 3' UTR is compared between various polyubiquitin genes of mammals retrieved from DDBJ/EMBL/GenBank nucleotide sequence databases. The genes homologous to the human *UbB* and *UbC* are grouped into A and B, respectively. Each gene name is described by the species name followed by the repeat number of ubiquitin coding units in brackets. *CHUB1* and *CHUB2* are the Chinese

hamster genes encoding 5 and 11 ubiquitins, respectively. The genes cow (≥ 3), pig (≥ 3), and mouse (≥ 1) are only partially sequenced in the 3' region. Nucleotide sequence of the human polyubiquitin gene is given in full at the top, and in the sequence of other species, the identical base is indicated by a dash. A gap is indicated by an asterisk. The termination codon is hatched, and the poly A signal is boxed.

Table 1. Synonymous sequence difference

	<i>UbB</i> -type					<i>UbC</i> -type				
	<i>UbB</i>	Cow (4)	Sheep (4)	<i>CHUB1</i>	Mouse (4)	Rat (4)	<i>UbC</i>	G. pig (4)	<i>CHUB2</i>	Rat (10)
<i>UbB</i>	0.187	0.304	0.325	0.472	0.434	0.456	0.969	0.689	0.689	0.636
Cow (4)	2.338	0.073	0.105	0.321	0.264	0.250	0.859	0.777	0.545	0.783
Sheep (4)	2.241	1.193	0.103	0.373	0.295	0.292	0.878	0.772	0.512	0.751
<i>CHUB1</i>	3.717	4.586	4.388	0.067	0.352	0.294	0.956	0.692	0.456	0.634
Mouse (4)	2.654	2.479	2.428	3.401	0.140	0.141	0.855	0.506	0.455	0.551
Rat (4)	3.663	3.704	3.539	4.558	1.396	0.062	0.930	0.563	0.442	0.600
<i>UbC</i>							0.362	0.677	0.602	0.777
G. pig (4)							2.989	0.091	0.489	0.439
<i>CHUB2</i>							3.127	8.579	0.023	0.216
Rat (10)							3.914	6.968	7.448	0.035

The mean values of the synonymous sequence difference within a polyubiquitin gene are hatched on the diagonal, and those in a pair of separate genes are above the diagonal. The ratio of $(K_{s,XX} + K_{s,YY})/2$ to $K_{s,XY}$ is boxed below the diagonal, where $K_{s,XX}$ and $K_{s,YY}$ are the synonymous sequence differences within the gene X and Y, respectively, and $K_{s,XY}$ is the synonymous sequence difference between these genes

1). However, the synonymous sequence differences between groups are significantly higher, ranging between 0.442 and 0.969, which supports the idea that the polyubiquitin genes in each of the groups are in an orthologous relationship.

The evidence for concerted evolution can be obtained from Table 1. When two polyubiquitin genes X and Y from the same group of orthologous genes are examined, one can consider that there must have been concerted evolution at least in either of the two genes X or Y, on the case that the following relation is held:

$$(K_{s,XX} + K_{s,YY})/2 < K_{s,XY}$$

where $K_{s,XX}$ and $K_{s,YY}$ are the synonymous sequence differences within the genes X and Y, respectively, and $K_{s,XY}$ is the synonymous sequence difference between these genes. The ratio of $(K_{s,XX} + K_{s,YY})/2$ to $K_{s,XY}$ is shown below the diagonal of Table 1. It can be seen that the ratio exceeds one for every pair of polyubiquitin genes in both of the orthologous gene groups, indicating that concerted evolution has occurred in all polyubiquitin genes investigated.

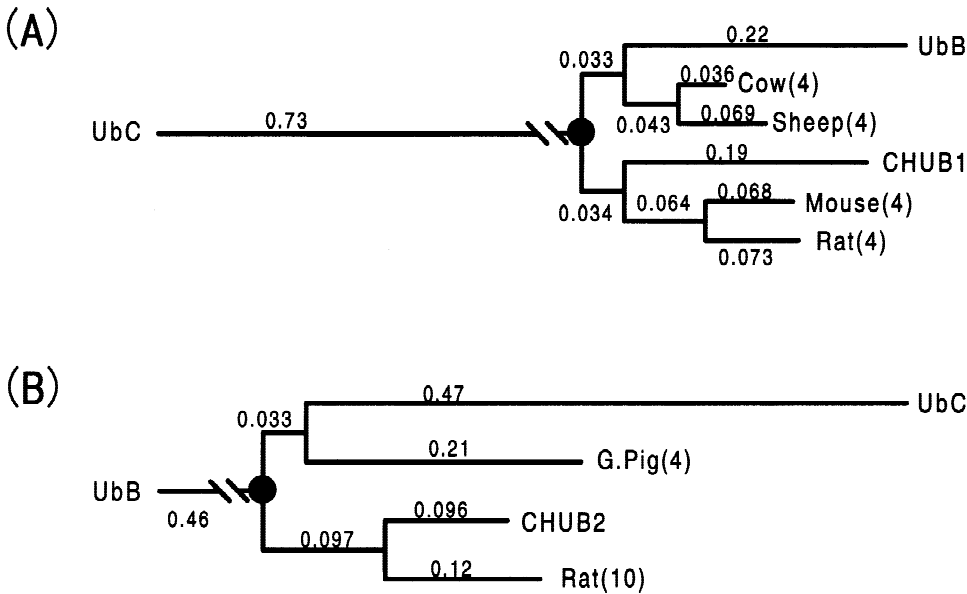


Fig. 2. Phylogenetic tree constructed for each group of polyubiquitin genes in an orthologous relationship. The human *UbC* and *UbB* genes were used as the outgroup for the group A genes (*UbB*-type) and the group B genes (*UbC*-type), respectively. The branch length is indicated for each branch in the unit of the synonymous sequence difference.

Phylogenetic Tree

Using the synonymous sequence difference, $K_{s,XY}$, as the evolutionary distance between a pair of polyubiquitin genes X and Y, we constructed a phylogenetic tree for each group of orthologous genes separately (Fig. 2). The human *UbC* and *UbB* genes were used as the outgroup for the group A genes (*UbB*-type) and the group B genes (*UbC*-type), respectively. The branch length is indicated for each branch in Fig. 2 in the unit of the synonymous sequence difference. In spite of the limited data on which the phylogenetic tree was based, the deduced trees were topologically in accordance with the detailed analysis by Kumar and Hedges (1998). It is interesting to note that the branch length was longest for human genes in both groups, implicating a relatively frequent synonymous substitution in human polyubiquitin genes. It can be seen that all species investigated in this study diverged after the time point of the man/rodent split, indicated by closed circles. We compared the concerted evolution between species in the period after the man/rodent split.

Interspecific Comparison of the Concerted Evolution

The synonymous sequence difference within a polyubiquitin gene X, $K_{s,XX}$, negatively depends on the frequency of the concerted evolutionary event at the gene X locus on one hand, and it positively depends on the synonymous substitution rate on the other. However, the contribution of the synonymous substitution rate to the synonymous sequence difference is considered to be a simple linear relation, postulating that the rate of nucleo-

tide substitution has been constant. Therefore the net frequency of concerted evolution can be relatively measured by the ratio of the synonymous substitution rate to the synonymous sequence difference, although this ratio is not in proportion to the actual frequency.

We first estimated the branch length for every polyubiquitin gene from the time of the man/rodent split until the present from Fig. 2 (branch length in Table 2), and we then used the branch length as the parameter for the synonymous substitution rate. We calculated the ratio of the branch length to the synonymous sequence difference to measure the relative frequency of concerted evolution (Table 2).

It can be seen, in Table 2, that the value of $L_x/K_{s,XX}$ is obviously larger for rats and Chinese hamsters than for others, demonstrating a higher frequency of concerted evolution in these species. This may not be attributable to the very short generation time of rodents, because the $L_x/K_{s,XX}$ value for mice is comparable to that of other species, and that for guinea pigs is only moderately higher than that of other species. We consider that the guinea pig polyubiquitin gene may have been less conducive to concerted evolution compared to other *UbC*-type genes of rodents because of its small number of ubiquitin units encoded. The $L_x/K_{s,XX}$ value for the mouse gene was unexpectedly small compared to that of other rodents. This result suggests a peculiar stability in organization of the polyubiquitin gene in the mouse genome. In this regard, it is interesting to note an observation that sequences highly unstable in the human are often stable in the mouse (Djian 1998). For example, it has been reported that, in transgenic mice carrying ex-

Table 2. Evaluation of the parameter representing the relative frequency of concerted evolution for polyubiquitin genes of UbB-type (A) and UbC-type (B)

A						
	<i>UbB</i>	Cow (4)	Sheep (4)	<i>CHUB1</i>	Mouse (4)	Rat (4)
Branch length (L_x)	0.25	0.11	0.15	0.22	0.17	0.17
$K_{s, XX}$	0.187	0.073	0.103	0.067	0.14	0.062
$L_x/K_{s, XX}$	1.3	1.5	1.5	3.3	1.2	2.7
B						
	<i>UbC</i>	G. pig (4)	<i>CHUB2</i>	Rat (10)		
Branch length (L_x)	0.5	0.24	0.19	0.22		
$K_{s, XX}$	0.362	0.091	0.023	0.035		
$L_x/K_{s, XX}$	1.4	2.6	8.3	6.3		

panded repeat human androgen receptor (AR) gene, AR cDNA showed no change in repeat length with transmission, unlike that in humans (Bingham et al. 1995).

In conclusion, the concerted evolutionary events in polyubiquitin genes have been more frequent in rats and Chinese hamsters than those in humans, cows, and sheep. The guinea pig polyubiquitin gene was an intermediate example. The frequency of concerted evolution in the mouse gene was unexpectedly low compared to that of other rodent genes.

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