



# Antimicrobial Resistance in Bacteria: Mechanisms, Evolution, and Persistence

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## Abstract

In recent years, we have seen antimicrobial resistance rapidly emerge at a global scale and spread from one country to the other faster than previously thought. Superbugs and multidrug-resistant bacteria are endemic in many parts of the world. There is no question that the widespread use, overuse, and misuse of antimicrobials during the last 80 years have been associated with the explosion of antimicrobial resistance. On the other hand, the molecular pathways behind the emergence of antimicrobial resistance in bacteria were present since ancient times. Some of these mechanisms are the ancestors of current resistance determinants. Evidently, there are plenty of putative resistance genes in the environment, however, we cannot yet predict which ones would be able to be expressed as phenotypes in pathogenic bacteria and cause clinical disease. In addition, in the presence of inhibitory and sub-inhibitory concentrations of antibiotics in natural habitats, one could assume that novel resistance mechanisms will arise against antimicrobial compounds. This review presents an overview of antimicrobial resistance mechanisms, and describes how these have evolved and how they continue to emerge. As antimicrobial strategies able to bypass the development of resistance are urgently needed, a better understanding of the critical factors that contribute to the persistence and spread of antimicrobial resistance may yield innovative perspectives on the design of such new therapeutic targets.

**Keywords** Antimicrobial resistance · Evolution · Genomics · Antibiotics · Persistence

## Introduction

Antibiotic resistance occurs when bacteria have or develop the ability to circumvent the mechanisms, which drugs use against them. Infections caused by antibiotic-resistant pathogens are usually more difficult to treat, and can relapse and cause significant morbidity and mortality. Epidemiological surveillance networks in Europe and Asia [European Antimicrobial Resistance Surveillance Network -EARS-Net, Central Asia and Eastern European Surveillance of Antimicrobial Resistance-(CAESAR)] have documented that

antibiotic-resistant bacteria have become much more prevalent during the last decade (European Centre for Disease Prevention and Control 2018; World Health Organization 2017). Also, according to the Centers for Disease Control and Prevention (CDC), each year in the US, at least 2 million people get infected with antibiotic-resistant bacteria, and at least 23,000 people die as a result of these infections (CDC 2013). It is estimated that globally approximately 700,000 deaths are attributed annually to antimicrobial resistance and this could rise to 10 million deaths per year by 2050 (O'Neill 2014). Additionally, infections due to antimicrobial-resistant bacteria like those caused by multidrug-resistant *Acinetobacter baumannii*, *Klebsiella pneumoniae*, and methicillin-resistant *Staphylococcus aureus* (MRSA) result in longer duration of hospitalization and pose a significant economic burden on national healthcare systems.

Antimicrobial resistance, however, is associated with the widespread use and misuse of antibiotics, in humans, agriculture, animal farming, and industry (Harbarth et al. 2015) and thus needs to be viewed under a One-Health approach, as human health is inextricably linked to the health of

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animals and the viability of ecosystems. This article aims to highlight the most important mechanisms of antimicrobial resistance as well as describe how these have emerged and evolved and what drives their persistence over time. A better understanding of the evolutionary drivers of antimicrobial resistance may offer novel perspectives on ways to tackle this critical public health threat.

## Antimicrobials and Antimicrobial Resistance: A Brief History

Penicillin, discovered by Alexander Fleming in 1928, was the first antibiotic used successfully to control bacterial infections in soldiers during World War II. However, even before the use of penicillin, in 1940, the first penicillin-resistant *Staphylococcus* strains had already been described. To counteract the first penicillinases, methicillin was introduced in 1959 and one year later, in 1960, a methicillin-resistant *Staphylococcus* strain was reported (Sengupta et al. 2013). Vancomycin was introduced in 1958 for the treatment of methicillin-resistant staphylococci. A couple of decades later, in 1979, coagulase-negative staphylococci resistant to vancomycin were reported and ten years later resistance in enterococci was described (Courvalin 2006), followed by, in 1997, the report of less-susceptible *S. aureus* (vancomycin-intermediate *S. aureus*, VISA) strains in Japan (Levine 2005). Another historical example is tetracycline, which was introduced in 1950 followed by tetracycline-resistant *Shigella* strains being reported in 1959. Furthermore, levofloxacin was introduced into clinical practice in 1996 and in the same year levofloxacin-resistant pneumococcus was reported (Sengupta et al. 2013).

For two decades, between 1960 and 1980, there was a seemingly adequate production of new antimicrobials by the pharmaceutical industry. However, after the 1980s, the rate of discovery of new antibiotic classes had dramatically decreased, until recently, when a new interest has sparked (Parmar et al. 2018). As a consequence of increasing antimicrobial resistance and a thin new antimicrobial pipeline, bacterial infections due to multidrug-resistant or extensively drug-resistant pathogens have become a major concern in clinical practice worldwide.

## Antimicrobial Resistance: Intrinsic, Acquired, and Adaptive

Antibiotic resistance exhibited by bacteria can be intrinsic, acquired, or adaptive (Joon-Hee 2019).

*Intrinsic resistance* is defined as the resistance exhibited due to the inherent properties of the bacterium. Examples of intrinsic resistance include the glycopeptide resistance

exhibited by Gram-negative bacteria due to the impermeability of the outer membrane present in the Gram-negative bacterial cell envelope.

*Acquired resistance* is defined as the resistance exhibited when a previously sensitive bacterium acquires a resistance mechanism by either a mutation or the acquisition of new genetic material from an exogenous source (horizontal gene transfer). Horizontal gene transfer can occur through three main mechanisms (Holmes et al. 2016; Munita and Arias 2016).

**Transformation:** This is a form of genetic recombination in which free DNA fragments from a dead bacterium enter a recipient bacterium and are incorporated into its chromosome. Only a few bacteria are naturally transformable.

**Transduction:** Transduction involves the transfer of genetic material between a donor and a recipient bacterium by a bacteriophage.

**Conjugation:** This is probably the most important mechanism of horizontal gene transfer. It involves the transfer of genetic material from one bacterial cell to another by direct physical contact between the cells. A sex pilus forms between the two bacterial cells through which a plasmid is transferred from the donor cell to the recipient cell. Multiple resistance genes are often present on a single plasmid enabling the transfer of multidrug resistance in a single conjugation event. The assembly of multiple resistance genes on a single plasmid is mediated by mobile genetic elements (transposons, integrons, and Insertion Sequence Common Region- ISCR-elements).

*Adaptive resistance* is defined as the resistance to one or more antibiotics induced by a specific environmental signal (e.g., stress, growth state, pH, concentrations of ions, nutrient conditions, sub-inhibitory levels of antibiotics). In contrast to intrinsic and acquired resistance, adaptive resistance is transient. Adaptive resistance, which allows bacteria to respond more rapidly to antibiotic challenge, generally reverts to the original state once the inducing signal is removed (Fernández et al. 2011; Joon-Hee 2019; Motta et al. 2015; Salimiyan Rizi et al. 2018).

Adaptive resistance seems to be the result of modulations in gene expression as a response to environmental changes. Rather than being caused by genetic alterations, which usually produce irreversible phenotypes, adaptive resistance is possibly the result of epigenetic changes. Specifically, it has been suggested that DNA methylation by the DAM methylase could be responsible for the presence of different gene expression profiles in a bacterial population and could provide the heterogeneity and epigenetic heredity of gene expression essential for adaptive resistance to occur (Motta et al. 2015; Salimiyan Rizi et al. 2018). In particular, modulation of the expression of efflux pumps and porins have been implicated in the emergence of adaptive resistance (Motta et al. 2015).

The phenomenon of adaptive resistance may be responsible for the differences observed when comparing the in vitro and in vivo effectiveness of an antibiotic and could be involved in the clinical failure of antibiotic treatments. Moreover, the increase in resistance in response to environmental stimuli may not completely revert upon removal of the stimulus leading to a gradual increase in MIC over time (Fernández et al. 2011). Finally, it has been proposed that the ability of bacterial populations to proliferate in the presence of sub-inhibitory levels of antibiotics through adaptive resistance may eventually allow for more effective and permanent mechanisms of resistance to develop (Fernández et al. 2011; Salimiyani Rizi et al. 2018).

## Mechanisms of Antibiotic Resistance

Resistance to antibiotics is typically the result of antibiotic destruction or modification, target alterations (target replacement, target site mutations, target site enzymatic alterations, target site protection, target overproduction or target bypass), and reduced antibiotic accumulation due to either decreased permeability and/or increased efflux. Alternatively, antibiotic resistance can be the result of a global adaptation of the bacterial cell (Table 1). We discuss the main antibiotic resistance mechanisms with their clinically relevant impact.

### Antibiotic Destruction

$\beta$ -Lactamases are the best example of antibiotic resistance mediated by the destruction of the antibiotic molecule. These enzymes destroy the amide bond of the  $\beta$ -lactam ring essentially rendering the antimicrobial ineffective. The first  $\beta$ -lactamases were described in 1940 (Abraham and Chain 1940), 1 year before penicillin was introduced into clinical practice. More than 1000  $\beta$ -lactamases have been reported up to date produced by diverse bacteria ([www.lahey.org/studies](http://www.lahey.org/studies)) and are considered to be the most common resistance mechanism leading to  $\beta$ -lactam resistance among Gram-negative bacteria. Genes encoding  $\beta$ -lactamases can be found in the chromosome or in mobile genetic elements (MGEs), which has facilitated their dissemination among bacteria. TEM-1, a plasmid-encoded  $\beta$ -lactamase, was described in Gram-negative bacteria in the 1960s. From then on, the introduction of new  $\beta$ -lactams was followed by the identification of new  $\beta$ -lactamases capable of destroying the novel compound. The introduction of third-generation cephalosporins, for example, in the early 1980s was quickly followed by the identification of plasmid-encoded  $\beta$ -lactamases capable of hydrolyzing third-generation cephalosporins (Extended-Spectrum  $\beta$ -Lactamases-ESBLs) in 1983 (Knothe et al. 1983).  $\beta$ -lactamase groups of great clinical importance in Gram-negative bacteria include ESBLs

(enzymes conferring resistance to penicillins, first-, second-, third-generation cephalosporins, and aztreonam but not cephamycins or carbapenems and which are inhibited by  $\beta$ -lactamase inhibitors) (Paterson and Bonomo 2005), AmpC enzymes (enzymes conferring resistance to penicillins, first-, second-, third-generation cephalosporins, aztreonam, and cephamycins but not carbapenems and which are not inhibited by  $\beta$ -lactamase inhibitors) (Jacoby 2009) and carbapenemases (a diverse group of enzymes conferring carbapenem resistance with many conferring resistance to almost all hydrolyzable  $\beta$ -lactams) (Queenan and Bush 2007).

### Antibiotic Modification

Enzymatic modification of the antibiotic molecule is the commonest mechanism of clinically relevant aminoglycoside resistance. Aminoglycoside modifying enzymes (AMEs) mediate aminoglycoside acetylation, phosphorylation, or adenylation with the resulting modified antibiotic having a decreased avidity for its target. The genes encoding AMEs are usually located in MGEs enabling them to efficiently disseminate among bacteria. As a result, virtually all medically important bacteria can demonstrate aminoglycoside resistance through this mechanism (Ramirez and Tolmasky 2010). Enzymatic acetylation of the antibiotic molecule is the commonest mechanism of chloramphenicol resistance. Many chloramphenicol acetyltransferases (CATs) have been described in a wide range of bacterial species (Schwarz et al. 2004).

### Modifications of Antibiotic-Activating Enzymes

Activation of nitrofurantoin by bacterial reductases resulting in the formation of toxic intermediate compounds is required for nitrofurantoin antimicrobial activity. Mutations in the nitroreductase genes *nfsA* and *nfsB* comprise the principal mechanism of nitrofurantoin resistance (Osei Sekyere 2018; Whiteway et al. 1998). Mutations in the *ribE* gene have also been implicated in nitrofurantoin resistance. The *ribE* gene encodes a lumazine synthase, an enzyme required for the biosynthesis of riboflavin (an important co-factor of *nfsA* and *nfsB*) (Osei Sekyere 2018).

### Target Replacement or Target Bypass

Replacement of the bacterial Penicillin-Binding Proteins (PBP) is the mechanism underlying  $\beta$ -lactam resistance in *Streptococcus pneumoniae* and methicillin resistance in *Staphylococcus aureus*.

$\beta$ -lactam resistance among *Streptococcus pneumoniae* is the result of the production of mosaic PBP genes. These genes are produced by the recombination of native DNA and foreign DNA originating from  $\beta$ -lactam-resistant

**Table 1** Examples of major antimicrobial resistance mechanisms with the respective antimicrobials and bacteria involved

Antibiotic resistance mechanism	Classical examples	Antibiotics affected	Examples of bacteria utilizing this mechanism
Antibiotic destruction	$\beta$ lactamases	Penicillins, narrow spectrum cephalosporins	<i>Staphylococcus aureus</i> <i>Neisseria Gonorrhoeae</i> <i>Haemophilus Influenzae</i> Enterobacteriaceae
	Penicillinases		Enterobacteriaceae
	Extended-Spectrum $\beta$ lactamases	Penicillins, first-, second-, third-generation cephalosporins, and aztreonam	Enterobacteriaceae <i>Pseudomonas aeruginosa</i>
	AmpC enzymes	Penicillins, first-, second-, third-generation cephalosporins, aztreonam, and cephamycins	Enterobacteriaceae <i>Acinetobacter</i> spp. <i>Pseudomonas</i> spp.
	Carbapenemases	Carbapenems and almost all hydrolyzable $\beta$ -lactams	Enterobacteriaceae <i>Pseudomonas aeruginosa</i> <i>Stenotrophomonas maltophilia</i> <i>Acinetobacter</i> spp.
Antibiotic modification	Aminoglycoside Modifying Enzymes (AMEs)	Aminoglycosides	A wide range of bacteria
	Chloramphenicol acetyltransferases (CATs)	Chloramphenicol	A wide range of bacteria
Modifications of antibiotic-activating enzymes	Mutations in the nitroreductase genes <i>nrjxA</i> and <i>nrjB</i>	Nitrofurantoin	Enterobacteriaceae
Target replacement or bypass	Penicillin-Binding Protein (PBP) replacement	$\beta$ lactams	<i>Streptococcus pneumoniae</i>
	Acquisition of a novel Penicillin-Binding Protein (PBP)	$\beta$ lactams	<i>Staphylococcus aureus</i>
	Replacement of the terminal D-Alanine D-Alanine moiety of the peptidoglycan precursors	Glycopeptides	Enterococci
	Acquisition of a novel dihydrofolate reductase	Trimethoprim	<i>Staphylococcus aureus</i> (rarely)
	Acquisition of a novel dihydropteroate synthase	Sulfonamides	Gram-negative bacteria Staphylococci <i>Listeria monocytogenes</i> Gram-negative bacteria
	Utilization of exogenous folic acid	Trimethoprim Sulfonamides	Enterococci

Table 1 (continued)

Antibiotic resistance mechanism	Classical examples	Antibiotics affected	Examples of bacteria utilizing this mechanism
Target site alteration (mutation or enzymatic alteration)	Mutations of the 23S rRNA	Linezolid	Enterococci <i>Staphylococcus aureus</i>
	Mutations in the bacterial Gyrase or Topoisomerase IV	Macrolides Lincosamides Streptogramin B Quinolones	A wide range of bacteria A wide range of bacteria
	Mutations in the RNA Polymerase $\beta$ subunit	Rifampicin	A wide range of bacteria
	Mutational or recombinational changes in the Dihydrofolate Reductase gene	Trimethoprim	A wide range of bacteria
	Mutational or recombinational changes in the dihydropteroate synthase gene	Sulfonamides	A wide range of bacteria
	Methylation of the 23S rRNA by erm genes	Macrolides Lincosamides Streptogramin B	A wide range of bacteria
	Methylation of the 23S rRNA by the cfr gene	Linezolid	<i>Staphylococcus aureus</i>
Target site protection	Ribosomal Protection Proteins (RPPs)	Chloramphenicol Clindamycin	Staphylococci
Target overproduction	Qnr proteins	Tetracyclines	A wide range of bacteria
Decreased permeability of the bacterial outer membrane	Overproduction of Dihydrofolate Reductase	Quinolones	A wide range of bacteria
Antibiotic efflux	Mutations affecting the expression or function of porins	Hydrophilic antibiotics (e.g., $\beta$ lactams, quinolones, tetracyclines, chloramphenicol)	<i>Escherichia Coli</i> Gram-negative bacteria
	Multidrug efflux pumps	A wide range of antibiotics	A wide range of bacteria
	Substrate-specific efflux pumps	Tetracyclines	A wide range of bacteria
Global cell adaptations	Mutations in genes encoding regulatory systems controlling cell envelope homeostasis and genes involved in phospholipid metabolism	Macrolides	Streptococci and other gram-positive organisms
	Mutations in genes involved in key cell membrane events and modifications of the cell wall	Chloramphenicol Daptomycin	A wide range of bacteria Enterococci
	Mutations in genes forming part of regulatory systems controlling cell envelope homeostasis resulting in cell wall thickening	Daptomycin	<i>Staphylococcus aureus</i>
		Intermediate susceptibility to glycopeptides	<i>Staphylococcus aureus</i>

streptococci (transformation). Methicillin resistance in *Staphylococcus aureus* is the result of the acquisition of the *mecA* gene and its incorporation into the bacterial chromosomal DNA. The *mecA* gene is located on a mobile genetic element known as staphylococcal chromosomal cassette *mec* (SCC *mec*). The *mecA* gene encodes Penicillin-Binding Protein 2a (PBP2a), a unique PBP with a very low affinity for all  $\beta$ -lactams (penicillins, cephalosporins except for last-generation compounds and carbapenems). As a result, it allows effective cell wall synthesis to continue even in the presence of  $\beta$ -lactams (Chambers 1999; Hiramatsu et al. 2013; Moellering 2012).

Glycopeptide resistance in enterococci involves the acquisition of a set of genes (*van* gene clusters) that lead to the replacement of the glycopeptide target (the terminal D-Alanine-D-Alanine moiety of peptidoglycan precursors) reducing the binding affinity of the antibiotic molecule. The change of the terminal D-Alanine-D-Alanine moiety to D-alanine-D-lactate leads to high-level resistance while the change to D-alanine-D-serine leads to low-level resistance (Miller et al. 2014). Development of high-level vancomycin resistance in *Staphylococcus aureus* (VRSA) due to the acquisition of the *vanA* gene cluster from vancomycin-resistant enterococci has been reported (Sievert et al. 2008) but fortunately continues to be a rare phenomenon.

Acquisition of dihydrofolate reductase (DHFR) and dihydropteroate synthase (DHPS) genes coding for trimethoprim-resistant DHFR enzymes and sulfonamide-resistant DHPS enzymes, respectively, has been reported to result in transferable resistance to these antimicrobial agents (Eliopoulos and Huovinen 2001). The ability of enterococci to utilize exogenous folinic acid may increase the MIC to trimethoprim-sulfamethoxazole in vivo resulting in therapeutic failure when treating enterococcal infections with trimethoprim-sulfamethoxazole (Zervos and Schaberg 1985).

### Target Site Alteration (By Mutation or Enzymatic Alteration)

Mutations in genes encoding the domain V of the 23SrRNA are the commonest mechanism of linezolid resistance. Since bacteria carry multiple copies of the 23SrRNA genes, the number of the mutated alleles correlates with the increase in MIC. Mutations in multiple alleles need to occur in order for clinically relevant resistance to manifest. Mutations in the ribosomal proteins L3 and L4 which border the linezolid binding site have also been associated with linezolid resistance (Miller et al. 2014). 23SrRNA mutations have also been implicated in the development of macrolide, lincosamide, and streptogramin B resistance (Leclercq 2002).

Quinolone resistance is most often the result of chromosomal mutations in the bacterial gyrase and/or topoisomerase IV genes (Aldred et al. 2014), while rifampicin

resistance is usually the result of mutations in the RNA polymerase  $\beta$  subunit gene (Goldstein 2014). Mutational or recombinational changes in the dihydrofolate reductase (DHFR) gene or the dihydropteroate synthase (DHPS) gene have been associated with resistance to trimethoprim and sulfonamides, respectively, in a number of clinically important bacteria (Eliopoulos and Huovinen 2001).

Methylation of the 23SrRNA by enzymes, encoded by a variety of *erm* (erythromycin ribosome methylase) genes, confers cross-resistance to macrolides, lincosamides, and streptogramin B (a phenomenon called the MLSB phenotype) (Leclercq 2002), while methylation of the 23SrRNA by an enzyme encoded by the *cfr* gene has been identified as a cause of resistance to linezolid, chloramphenicol, and clindamycin (Kehrenberg et al. 2005; Morales et al. 2010; Schwarz et al. 2004).

### Target Site Protection

Ribosomal protection proteins (RPPs) are an example of antimicrobial resistance through target site protection and they have been described in both Gram-positive and Gram-negative bacteria (Connell et al. 2003; Roberts 2005). Qnr proteins mediate quinolone resistance by acting as a DNA analogue and reducing the interaction of the bacterial gyrase and topoisomerase IV with DNA. In doing so, they reduce the available quinolone binding sites (Aldred et al. 2014). Qnr genes can be chromosomal or plasmid-mediated (Jacoby et al. 2014).

### Target Overproduction

Massive overproduction of the antibiotic target can lead to resistance by essentially overwhelming the antibiotic. Overproduction of Dihydrofolate Reductase (DHFR), for example, has been reported as a cause of resistance to trimethoprim in *Escherichia Coli* (Eliopoulos and Huovinen 2001; Flensburg and Sköld 1987).

### Decreased Permeability of the Bacterial Outer Membrane

Gram-negative bacteria are surrounded by the outer membrane, a permeability barrier for many substances including antibiotics. The low permeability of the bacterial outer membrane to specific antibiotic agents is responsible for the intrinsic resistance of some Gram-negative bacteria to those antibiotics. Moreover, changes in the outer membrane permeability can contribute to the development of acquired resistance (Nikaido 1989).

Porins are the major route of entry of hydrophilic antibiotics (such as  $\beta$ -lactams, fluoroquinolones, tetracyclines, and chloramphenicol) through the bacterial outer membrane. The

number and type of porins expressed on the outer membrane will affect the entry of hydrophilic antibiotics and, therefore, the susceptibility of the bacterial cell to them (Fernández and Hancock 2012). Mutations affecting the expression or the function of porins can lead to acquired antibiotic resistance. These mutations can have different effects such as porin loss, the modification of the size or conductance of the porins or the reduced expression of porins. Changes in porin expression generally lead to low-level antibiotic resistance. However, it is common to observe bacterial strains in which the effect of changes in porin expression is enhanced by the co-existence of other resistance mechanisms. In essence, the reduced uptake of the antibiotic due to changes in porin expression enhances the effect of co-existent resistance mechanisms such as efflux pumps or antibiotic degrading enzymes with the result being high-level resistance (Fernández and Hancock 2012).

### Efflux Pumps

Efflux pumps are energy-dependent complex bacterial systems present on the cytoplasmic membrane which are capable of pumping toxic molecules out of the cell. The first efflux pump pumping tetracycline out of the bacterial cell was described in *Escherichia Coli* in 1980 and was plasmid-encoded (Ball et al. 1980; McMurry et al. 2006). Since then numerous examples of efflux systems involved in antibiotic resistance have been identified in both Gram-positive and Gram-negative bacteria. Most efflux systems can transport multiple unrelated substances and can, therefore, result in multidrug resistance (Nikaido and Pagès 2012; Piddock 2006a, b), although there are some examples of drug-specific efflux pumps (Piddock 2006a, b).

Multidrug efflux mechanisms are almost invariably chromosomally encoded and in some cases can explain the intrinsic resistance of bacteria to specific antibiotics. However, despite the fact that multidrug efflux mechanisms are broadly conserved in bacteria, only a few confer clinically relevant antibiotic resistance. Clinical resistance is usually the result of mutational events leading to increased pump expression or improved pump effectiveness (Piddock 2006a, b). Genes encoding substrate-specific efflux pumps, on the other hand, tend to be located on mobile genetic elements (Fernández and Hancock 2012; Keith 2005). Examples of substrate-specific efflux pumps include those specific for tetracyclines, macrolides, and chloramphenicol (Keith 2005).

### Global Cell Adaptation (Changes in Cell Regulation)

Resistance to antibiotics can occur due to a global adaptive response in the bacterial cell (changes in cell regulation) as opposed to single changes. The best clinically relevant examples of this type of resistance mechanism are

daptomycin resistance in enterococci and *Staphylococcus aureus* and low-level vancomycin resistance in *Staphylococcus aureus*.

Daptomycin kills the bacterial cell by altering cell membrane homeostasis. In enterococci, mutations in genes encoding regulatory systems controlling cell envelope homeostasis and genes involved in phospholipid metabolism have been associated with the development of daptomycin resistance (Miller et al. 2014). In *Staphylococcus aureus*, progressive accumulation of mutations in genes involved in key cell membrane events and modifications of the cell wall have been associated with the development of daptomycin resistance (Bayer et al. 2013).

Intermediate susceptibility of *Staphylococcus aureus* to vancomycin (MIC 4–8 µg/ml) does not seem to result from the acquisition of a resistance gene (such as the acquisition of the *vanA* gene cluster seen in VRSA) but from changes that usually involve genes forming part of regulatory systems controlling cell envelope homeostasis. The specific mechanisms involved remain unclear but appear to result in reduced cell wall turnover and autolytic activity and in some cases increased cell wall synthesis, which prevents vancomycin from reaching its target site at the cell wall division septum (Howden et al. 2010).

### Epistasis

A single bacterial cell may contain multiple resistance mutations. The resistance phenotype as well as the fitness cost of the resistance mutations are not the expected additive effect of the different mutations but depend on the interaction between the different mutations, a phenomenon known as epistasis (Borrell et al. 2013; Levin-Reisman et al. 2019; Trindade et al. 2009). It has been found that in an antibiotic-free environment, the cost of multiple resistance is smaller than that expected due to positive epistasis between antibiotic resistance mutations (Borrell et al. 2013; Trindade et al. 2009). This, obviously, facilitates the development of multidrug resistance. Moreover, it has been shown that low-level antibiotic exposure can lead to high-level resistance through the accumulation of several small-effect mutations. The resulting resistance level is significantly higher than the expected additive resistance, suggesting strong epistatic interactions between the different resistance mutations (Wistrand-Yuen et al. 2018).

## Evolution of Antimicrobial Resistance

### The Ancient and Diverse Resistome: A Potential Source of Clinically Relevant Resistance Genes

In recent times, we have seen antimicrobial resistance rapidly emerge at a global scale and spread from one country to the other faster than previously thought. Superbugs and multidrug-resistant bacteria are endemic in many parts of the world. There is no question that the widespread use, overuse, and misuse of antimicrobials during the last 80 years have been associated with the explosion of antimicrobial resistance. On the other hand, the molecular pathways behind the emergence of antimicrobial resistance in bacteria have been present since ancient times (Dcosta et al. 2011). Commensals and soil bacteria have been capable of producing compounds and small molecules with antimicrobial potential throughout evolution. For example, the emergence of the biosynthetic pathway for erythromycin may date as back as 800 million years (Baltz 2006). What is recently better understood is that these inhibitors did not solely evolve to help bacteria survive but also served other functions like cell signaling or contributed in natural degradation processes, quorum sensing, and biofilm formation (Davies et al. 2006; Sengupta et al. 2013).

Moreover, we know that bacterial penicillinases were present before the widespread use of penicillin, while genes encoding  $\beta$ -lactamases have been recovered from very remote environmental habitats (Allen et al. 2009; Bhullar et al. 2012) and from ancient bacteria (Dcosta et al. 2011; Wright 2007). Likewise, it has been found that antibiotic resistance genes exist in the gut of people who live in isolated areas and who have been minimally or never exposed to antimicrobials (Bartoloni et al. 2009; Pallecchi et al. 2007).

Thus, there is an increasing body of evidence showing that resistance genes are naturally occurring and in abundance in microbial populations (Dantas et al. 2008; D'Costa et al. 2006). The antimicrobial resistance genes found in different bacterial species in nature comprise the environmental resistome (Wright 2007). Sequencing and genomic analysis of extensive bacterial libraries (D'Costa 2006; Dantas et al. 2008) has led to the creation of large databases that list thousands of potential resistance genes (Lakin et al. 2017; McArthur et al. 2013).

The resistome consists of known and likely novel genetic resistance determinants, which carry the potential of causing clinically relevant resistance, if successfully transferred and/or expressed in pathogens causing human disease. Species like soil bacteria, which produce antimicrobial products, are obviously intrinsically resistant to those molecules. For example, most antibiotic-producing

actinomycetes possess genes encoding resistance to the compounds that they produce (Ogawara et al. 1999). Such resistance mechanisms include genes encoding for  $\beta$ -lactamase production or efflux pumps (Cantón 2009; Forsman et al. 2009; Piddock 2006a, b). Some of these resistance mechanisms have been the ancestors of those found in clinically relevant antibiotic-resistant pathogens, as evidenced by their similar biochemical and genetic profiles (Benveniste and Davies 1973; Cantón 2009).

In addition, old or novel  $\beta$ -lactamases and other resistance determinants can arise as new threats under conditions that will facilitate their transfer to pathogenic bacteria or their enhanced expression. As *Kluyvera* spp were found to be the source of CTX-M genes, species like *Streptomyces* known to produce  $\beta$ -lactamases could be the source of clinically important resistance genes (Livermore et al. 2007; Rossolini et al. 2008). Interestingly, *Kluyvera* spp do not exhibit intrinsic resistance to extended-spectrum  $\beta$ -lactams nor produce antimicrobial compounds (Cantón 2009). It seems that the *bla*CTX-M genes in *Kluyvera* spp have originated from a common ancestor, which was incorporated after horizontal transfer into the *Kluyvera* chromosome, in ancient times (Rossolini et al. 2008). Other examples of such genetic transfers have been documented for genes encoding ribosomal methylases affecting aminoglycosides (*armA*, *rtmB*), methyltransferases affecting linezolid (*cfr*), or plasmid-mediated efflux pumps conferring low-level fluoroquinolone resistance (*qepA*), all of which were associated with antibiotic-producing bacteria (Cantón 2009). However, we need more phylogenetic studies and metagenomics data in order to define the exact role of the environmental resistome in the emergence of antimicrobial resistance in human and animal pathogens.

### Emergence of Antimicrobial Resistance: Random Events, Genetic Exchange, and Selection Pressure

Resistance in bacteria or bacterial communities arises via two major mechanisms, either pick-up/transfer of resistance genes from other bacteria or gene mutation of pre-existing or acquired genes. Strong selection of these genetic events is believed to be enhanced in the presence of antimicrobials (Cantón 2009; Gillings et al. 2017). Under inhibitory and sub-inhibitory concentrations of antimicrobials, bacteria that can survive will be selected (Davies and Davies 2010; Gillings et al. 2017). Nonetheless, some antibiotics can modulate the expression of virulence factors or upregulate genes involved in the SOS response and potentially contribute to increased mutation frequency, via the latter (Sengupta et al. 2013). It has been hypothesized that in the antibiotic era, naturally occurring selection occurred and spread more rapidly compared to the pre-antibiotic era (Cantón 2009; Davies and Davies 2010; Gillings et al. 2017). This can occur either in the environment or within the host.



The emergence of a multitude of antimicrobial-resistant microbes and their now established presence not only in the clinical setting but also in the environment has been facilitated by the widespread use of antibiotics in human health, agriculture, aquaculture, animal farming, veterinary medicine, pest control, and pharmaceutical industry. Nonetheless, it is well documented that increased antibiotic use is correlated with higher resistance rates, as countries with low rates of antimicrobial resistance also report lower rates of antimicrobial consumption (Costelloe et al. 2010).

Pathogens can exchange pathogenic, virulence, and resistance elements. Transmission by conjugation occurs extensively both in nature and within the host, most abundantly in the intestinal tract (Sommer et al. 2010). Horizontal gene transfer is most commonly mediated through plasmids, which have a key role in the spread of resistance between bacterial strains (Davies and Davies 2010; Norman et al. 2009). For example, resistance genes, which encode modified  $\beta$ -lactamases, can be transferred rapidly and efficiently horizontally. One of the original types of  $\beta$ -lactamases known to confer resistance to gram-negative bacteria is TEM. However, nowadays, plenty of plasmid-encoded TEM enzymes, stemming from random mutations (rapid radiation), have occurred and circulate globally (Gniadkowski 2008). Also, extended-spectrum  $\beta$ -lactamases (ESBL) now encoded by more than 100 CTX-M variant genes have shown an enormous ability to transmit and spread all over the world (Rossolini et al. 2008). Interestingly, however, plasmid sequencing of pre-antibiotic era bacterial pathogens has shown that resistance determinants on plasmids were not common (Datta and Hughes 1983).

Resistance acquisition through genetic exchange via plasmids, transposons, and integrons, is favored in dense communities like sewage treatment plants, considered as “hotspots” for bacterial genetic exchange. Plasmids carrying multiple resistant genes have been recovered from samples taken from sewage (Schlüter et al. 2007), where indigenous bacteria and those derived from humans and animals co-exist. For example, *Aeromonas* cultured from aquaculture environments has been shown to carry multiple resistance genes on plasmids and integrons (Penders and Stobberingh 2008). The human gut is considered another hotspot for such genetic exchanges between pathogens and commensals (Sommer et al. 2010).

In recent years, there has been an increasing interest on the contribution of integrons in bacterial genome evolution, due to their role in promoting genetic diversity in bacteria (Boucher et al. 2007; Mazel 2006). Integrons were first described in 1987 (Stokes and Hall 1989) and are found on the chromosomes of approximately 15% of bacterial species (Boucher et al. 2007; Mazel 2006). However, it was later demonstrated that they were linked to plasmid-mediated resistance encountered in *Shigella* in the 1950s

in Japan (Liebert et al. 1999). The main role of integrons is gene cassette capture followed by recombination into an attachment site (a process catalyzed by integrase) and gene expression via activation of the SOS system. Integrons are a major source of transferable resistance determinants, both in Gram-negative and Gram-positive bacteria (Gillings 2014). Especially class 1 integrons are widely present in pathogens and gut commensals and overall, they have accumulated over 130 gene cassettes conferring resistance to most antibiotic classes (Partridge et al. 2009).

Another important evolutionary mechanism exhibited by bacteria is direct DNA acquisition from the environment, like, for example, in *Acinetobacter spp*, an organism that has evolved rapidly accumulating, in some cases, more than 20 genomic islands encoding antibiotic resistance determinants and virulence functions (Barbe et al. 2004). In addition, development of resistance may be facilitated in mixed bacterial communities, like polymicrobial infections or biofilms, due to cell–cell fusion, larger populations with lower rates of turnover, and elevated mutation rates (Brockhurst et al. 2019; Driffield et al. 2008). Lastly, physiological tolerance to antibiotics can allow or precede the emergence of resistant phenotypes (Levin-Reisman et al. 2017).

Whole genome sequencing can help tremendously in reconstructing the evolutionary history of resistance in bacterial species. For example, using WGS and phylogenetic analysis of the first MRSA isolates, scientists were able to discover that the early MRSA lineage arose in the mid-1940s (long before the use of methicillin) after the acquisition of an ancestral type I SCCmec element. Therefore, contrary to what was previously thought, the driver for MRSA evolution was not methicillin but the widespread use of first-generation  $\beta$ -lactams. Such data could inform the assessment of evolutionary risk and the design of novel antimicrobial therapies (Brockhurst et al. 2019; Harkins et al. 2017).

Resistance genes can cross species and similar resistance genes have been found in humans and animals. Whole genome sequencing of certain strain lineages like *S. aureus* CC398 has provided evidence of many livestock-to-human jumps and less frequently, human-to-livestock jumps during its evolutionary history (Ward et al. 2014). In another landmark study and contrary to what was previously believed, in 2015, Liu et al. (Liu et al. 2016) first described transferable colistin resistance in *E. coli* and *K. pneumoniae* isolates from animals and humans, mediated by the *mcr-1* gene (mobile colistin resistance), located in a plasmid. Since then, more *mcr* variants have been discovered, in several bacteria in different countries (Dalmolin et al. 2018). These examples highlight the importance of a one-health approach in trying to address the antimicrobial resistance crisis.

## Drivers of Antimicrobial Resistance Persistence

Although it is indisputable that the use of antibiotics in clinical and veterinary practices as well as animal husbandry is strongly correlated with the development and spread of antibiotic resistance, it is less evident that reducing the use of antibiotics will result in significant reductions in antibiotic resistance.

There are, of course, several reports of antibiotic resistance decreases as a result of a reduction in antibiotic use such as the decrease in penicillin resistance in *Streptococcus pneumoniae* in Hungary following a drastic reduction in the prescription of penicillin (Nowak 1994). However, there are also reports of antibiotic resistance persistence despite drastic reductions in antibiotic use. No significant reduction of resistance was observed following drastic reductions in the use of trimethoprim and sulphonamides in Sweden and the United Kingdom, respectively (Andersson et al. 2009; Enne et al. 2001). A possible explanation could be that antibiotic exposure extends beyond therapeutic use in humans, which accounts for less than half of the total antibiotic consumption (Davies and Davies 2010). It does, therefore, seem that antibiotic selection pressure may not be the only driver of resistance.

The acquisition of antibiotic resistance mechanisms is usually associated with a fitness cost for the bacterial cell. Resistance due to chromosomal mutations often involves modification of essential bacterial genes while resistance due to the acquisition of plasmids imposes a burden on the host cell due to the metabolic load of plasmid replication and the expression of plasmid genes, disruptions in the expression of host genes, and other metabolic effects. As a result, antibiotic-resistant bacteria tend to have a reduced growth rate and competitive ability compared to their susceptible counterparts (Carroll and Wong 2018; Vogwill and Maclean 2015).

One would, therefore, expect that the absence of antibiotic selection pressure would lead to a reduction in antibiotic resistance with sensitive bacteria displacing their less fit resistant counterparts. However, antibiotic resistance genes seem to persist for long periods of time in the absence of antibiotic selection pressure. Antibiotic resistance stabilization in bacterial populations and persistence in environments with reduced antibiotic use can occur via the following mechanisms.

### Fitness Restoring Compensatory Mutations

Compensatory mutations in other loci, which restore the fitness of antibiotic-resistant bacteria, can allow the

maintenance of antibiotic-resistant bacteria even in the absence of antibiotic selection. In vitro and in vivo experiments with antibiotic-resistant bacteria have shown that bacterial evolution in the absence of antibiotics is more likely to result in compensatory mutations improving the fitness of antibiotic-resistant bacteria rather than reversion to highly fit antibiotic-sensitive bacteria (Levin et al. 2000; Schrag et al. 1997). In the case of conjugative plasmids, these compensatory adaptive mutations have been described to occur on the bacterial chromosome, the plasmid or both (Dahlberg and Chao 2003; Harrison et al. 2016).

### The Occurrence of Fitness Cost-Free or Fitness Increasing Resistance Mechanisms

Although resistance mechanisms are usually associated with a fitness cost, this is by no means always the case. There are reports of antibiotic resistance plasmid acquisitions which are either fitness cost-free (Carroll and Wong 2018; Enne et al. 2005) or are associated with an increase in the fitness of the bacterium (Carroll and Wong 2018; Enne et al. 2004). The fitness effect of a plasmid does not seem to be constant but instead is influenced by the host genetic background (Carroll and Wong 2018; Di Luca et al. 2017). Fitness enhancing chromosomally encoded antibiotic resistance mutations has also been described (Luo et al. 2005).

### Genetic Linkage and Co-selection with Other Genes

Genetic linkage of multiple antibiotic resistance genes allows for their co-selection. Consequently, reducing the use of one antibiotic may not result in the expected reduction in resistance to this agent if the use of other antibiotics continues to be high (Andersson and Hughes 2011). This is particularly important for plasmid-encoded antibiotic resistance since, as mentioned previously, multiple resistance genes often accumulate on a single plasmid. Alternatively, an antibiotic resistance gene can be co-selected with other genes such those encoding virulence factors (Andersson and Hughes 2011; Salyers and Amábile-Cuevas 1997) or genes encoding resistance to biocides and metals (Pal et al. 2015).

### Plasmid Persistence Mechanisms

Apart from the mechanisms mentioned above which can result in the persistence of both chromosomally encoded and plasmid-encoded antibiotic resistance mechanisms, additional mechanisms specifically ensuring plasmid persistence exist. Plasmid stabilization systems include the multimer resolution system, active partitioning, and various types of post-segregational killing systems (which kill individual cells lacking the plasmid) (Andersson and Hughes 2011;

Bahl et al. 2009). Infectious growth (meaning that the plasmid transfer rate is sufficiently high to ensure plasmid maintenance during host replication despite fitness cost and segregational loss) may also contribute to plasmid persistence (Andersson and Hughes 2011; Carroll and Wong 2018; Lili et al. 2007). Moreover, Wein et al. have showed that plasmid stability can evolve under non-selective conditions, given that plasmid gene transcription may hinder plasmid replication and inheritance and can be affected by environmental conditions. These findings could offer an explanation, for the ubiquity of plasmids in nature (Wein et al. 2019).

## Novel Ways to Tackle Antimicrobial Resistance

Antimicrobial resistance is not only one of the most important global health threats but also one with no easy solution. To date, efforts to combat antimicrobial resistance focus on concerted attempts to improve diagnosis, antibiotic-prescribing practices, and infection prevention strategies. Few new antimicrobial compounds are in the process of clinical development, however, most of them do not represent new antibiotic classes. Moreover, new antimicrobials harbor the risk of a short life due to the microorganisms' significant capacity for fast adaptation. Therefore, novel treatment strategies are undoubtedly needed in the fight against established and emerging antimicrobial resistance.

Some novel strategies under investigation include either sophisticated forms of antimicrobial delivery, like nanocarriers, which can increase drug bioavailability and decrease treatment duration (Giau et al. 2019), or involve new ways to increase effective antimicrobial concentration within the bacterial cell. One way to accomplish this is via potentiation, which involves manipulating a typically non-essential part of the bacteria (i.e., efflux pump inhibitors) or via active uptake by employing membrane transporters, like iron transporters, to facilitate antibiotic entry into the cell when the former is bound to an iron-binding siderophore mimetic group (i.e., catechol). Recently, a catechol-linked cephalosporin (cefiderocol) has shown to be effective in complicated urinary tract infections (Portsmouth et al. 2018). On the other hand, using fast changes between currently available antibiotics, scientists have managed to reduce selective pressure on bacteria and to limit the emergence of resistance via a mechanism called cellular hysteresis, which entails a persistent change in bacterial cellular physiology induced by one antibiotic, which then sensitizes bacteria to another subsequently administered antibiotic (Roemhild et al. 2018). Though challenging, it would be interesting to see if such an approach could be validated in a clinical setting.

Other non-antibiotic approaches are the development of monoclonal antibodies against bacterial strains and phage

therapy. Also, vaccines apart from decreasing antimicrobial use and preventing infections, they can also help limit the antimicrobial resistance potential of bacteria (Rappuoli et al. 2017) with the help of new technologies (Baker et al. 2018). Targeted immunomodulation of host responses against specific pathogens is an area of increasing research interest. Also, attempts to modulate the human microbiome aiming to decolonize the gut of susceptible patients from multidrug-resistant pathogens or to identify a combination of bacterial strains that will prevent colonization and/or infection with antibiotic-resistant pathogens or *Clostridium difficile* have already shown some promising results (Aroniadis and Brandt 2013; Austin et al. 2014; Biliński et al. 2016; Jouhten et al. 2016).

## Conclusion

Antimicrobial resistance emergence may be inevitable in the evolutionary process, whereas the mechanisms that safeguard its persistence, even in the absence of antibiotic selection pressure, are not fully elucidated. We still have a lot to learn regarding the dynamics of antimicrobial-resistant determinants within microbial communities. Together with limiting unnecessary antibiotic use under a “one-health approach,” achieving earlier microbiologic diagnosis and strengthening infection prevention interventions, novel host- or pathogen-targeted therapies are urgently needed in order to combat the multifaceted resistance potential of bacteria.

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