

## Phylogenetic and Structural Relationships of the PR5 Gene Family Reveal an Ancient Multigene Family Conserved in Plants and Select Animal Taxa

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**Abstract.** Pathogenesis-related group 5 (PR5) plant proteins include thaumatin, osmotin, and related proteins, many of which have antimicrobial activity. The recent discovery of PR5-like (PR5-L) sequences in nematodes and insects raises questions about their evolutionary relationships. Using complete plant genome data and discovery of multiple insect PR5-L sequences, phylogenetic comparisons among plants and animals were performed. All PR5/PR5-L protein sequences were mined from genome data of a member of each of two main angiosperm groups—the eudicots (*Arabidopsis thaliana*) and the monocots (*Oryza sativa*)—and from the *Caenorhabditis* nematode (*C. elegans* and *C. briggsae*). Insect PR5-L sequences were mined from EST databases and GenBank submissions from four insect orders: Coleoptera (*Diaprepes abbreviatus* and *Biphyllus lunatus*), Orthoptera (*Schistocerca gregaria*), Hymenoptera (*Lysiphlebus testaceipes*), and Hemiptera (*Toxoptera citricida*). Parsimony and Bayesian phylogenetic analyses showed that the PR5 family is paraphyletic in plants, likely arising from 10 genes in a common ancestor to monocots and eudicots. After evolutionary divergence of monocots and eudicots, PR5 genes increased asymmetrically among the 10 clades. Insects and nematodes contain multiple sequences (seven PR5-Ls in nematodes and at least three in some insects) all related to the same plant clade, with nematode and insect sequences separating as two clades. Protein structural homology modeling showed strong similarity among animal and plant PR5/PR5-Ls, with divergence only in surface-exposed loops.

Sequence and structural conservation among PR5/PR5-Ls suggests an important and conserved role throughout the evolutionary divergence of the diverse organisms from which they reside.

**Key words:** Thaumatin — Osmotin — Pathogenesis-related protein group 5 (PR5) — Insect — Nematode — Plant — Phylogeny

### Introduction

The pathogenesis-related group 5 (PR5) family of plant proteins includes thaumatin and osmotin, closely related proteins. The PR5 proteins are known to accumulate in plants in response to pathogen challenge. Many PR5 proteins have been purified and shown to possess antifungal activity and have been named thaumatin-like, or osmotin-like (Abad et al. 1996; Cheong et al. 1997; Chu and Ng 2003a, b; Hejgaard et al. 1991; Hu and Reddy 1997; Huynh et al. 1992; Ng 2004; Vigers et al. 1991; Vu and Huynh 1994; Wang and Ng 2002; Woloshuk et al. 1991; Ye et al. 1999). The original thaumatin was isolated as a sweet-tasting protein from the fruits of the West African rain forest shrub *Thaumatococcus danielli* (van der Loeve 1972) and has been studied in great detail due to its potential use as a noncarbohydrate sweetener. Since that time, the original thaumatin was also found to have antifungal activity (Vigers et al. 1992). Osmotins represent a related set of proteins that were originally isolated by virtue of their regulation by water stress

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(Singh et al. 1985, 1987). Protein crystallography studies have shown a highly conserved structure for the plant thaumatin and osmotins (Min et al. 2004).

Although the antimicrobial nature of PR5s is not well defined, some have been shown to function by stimulating microbial membrane permeability (Vigers et al. 1991), and as a result the name permatin was coined (Vigers et al. 1991). However,  $\beta$ -1,3-glucanase activity (Grenier et al. 1999; Trudel et al. 1998) and  $\alpha$ -amylase and trypsin inhibition have also been reported as a characteristic of zeamatin (a PR5 from maize) (Svensson et al. 2004; Schimoler-O'Rourke et al. 2001). The amylase/trypsin inhibition activity has been linked to defense against insect feeding (Franco et al. 2002), but these data remain controversial (Gómez-Leyva and Blanco-Labra 2001).

Antimicrobial properties of each PR5 are limited to specific groups of microbes (Abad et al. 1996), and it is hypothesized that an interaction with a membrane glycoprotein is the specificity determining step. Recent work indicates that PR5 binding to the phosphate group of phosphomannoproteins in fungal cell walls may be the initial binding step (Ibeas et al. 2001; Salzman et al. 2004). Since the discovery of the antimicrobial and insect deterrent activity of these enzymes, there has been hope that transgenic expression in plants could be used to produce crops resistant to pathogens and insects. Transgenic expression of PR5s has resulted in increased pathogen resistance in crop plants (Anand et al. 2003; Li et al. 1999; Zhu et al. 1996), however, none has yet reached commercialization. Understanding the mechanism by which these proteins exert their biological activity will aid in developing strategies for commercial use, and advances in genomics now permit comparative sequence and structural studies with the goal of designing or identifying PR5-like (PR5-L) proteins with desired activities.

Comparative studies were initiated through mining of insect expressed sequence tag (EST) libraries to identify cDNA clones encoding PR5-L proteins from representatives of three insect orders: Coleoptera, Hymenoptera, and Hemiptera. Taken with the recent discovery of similar sequences in an insect from the Orthoptera (Brandazza et al. 2004), the sequences provided a broad spectrum of representation within the class Insecta. Also, recent sequencing of complete plant genomes has allowed identification of virtually all PR5 sequences from *Arabidopsis thaliana* (L.) Heynh. (Thale cress, a eudicot) and *Oryza sativa* (L.) (rice, a monocot). Comparison of the PR5 protein sequences from these two plants and from the insects and nematodes provided a picture of the evolution of this gene family. These data are presented with protein structural homology modeling showing conservation of core structure among all PR5-L sequences and provide insight into the relationships among members of this conserved multigene family.

## Materials and Methods

### Bioinformatics

#### Plants

All annotated PR5-related sequences were obtained from *A. thaliana* and *O. sativa* by key word searches of The TIGR *Arabidopsis thaliana* Database (<http://www.tigr.org/tdb/e2k1/ath1>) and The TIGR Rice Database (<http://www.tigr.org/tdb/e2k1/osa1>), respectively, and are listed in Table 1. Since protein signature domains are used for gene annotation, other exclusion criteria were used to avoid the inclusion of PR5 domain containing proteins that have very different structures and most probably very different functions. These criteria required the protein to contain a complete PR5 protein signature domain and not to contain other domains indicative of alternate functions (i.e., protein kinase and membrane spanning domains related to signal transduction functions). Sequences from other plants listed in Table 1 were obtained through GenBank (accession numbers listed in Table 1).

#### Nematodes

All the nematode, *Caenorhabditis*, PR5-L sequences were obtained either from wormbase (<http://www.wormbase.org>; for *C. elegans*) or by NCBI blast searches (for *C. briggsae*).

#### Insects

The insect sequences were obtained as follows.

**Coleoptera.** *Diaprepes abbreviatus* (L.) (Coleoptera: Curculionidae): A set of 8 973 sequences in a cDNA EST dataset derived from a cDNA library of teneral female *D. abbreviatus* was mined. The sequences were clustered using Sequencher (Gene Codes Inc., Ann Arbor, MI). Three separate PR5-L clones, Dab-PR5-L1, -L2, and -L3, were identified as PR5-Ls in EST clusters by blastx searching of the GenBank nr database. Three full-length or near-full-length clones were chosen from each of three clustered alignments of EST sequences containing 4, 14, and 6 separate clones, respectively. A single clone representing the longest cDNA sequence was isolated and bidirectionally sequenced. The resulting sequence was submitted to GenBank and used in this study.

*Biphylus lunatus* (F.) (Coleoptera: Biphyllidae): Putative full-length sequences were constructed from four EST sequences in GenBank (gi:25956508, 25956492, 25956846, 25956831).

**Hymenoptera and Hemiptera.** *Lysiphlebus testaceipes* (Cresson) (Hymenoptera: Braconidae) and *Toxoptera citricida* (Kirkaldy) (Hemiptera: Aphididae): The same procedure as for the *D. abbreviatus* clone discovery and characterization was followed using a *L. testaceipes* cDNA library constructed from adults and used to produce 3576 EST sequences, and a *Toxoptera citricida* library constructed from parthenogenic adult females used to produce 8760 EST sequences.

**Orthoptera.** *Schistocerca gregaria* (Forskål 1775) (Orthoptera: Acrididae): Two sequences previously described by (Brandazza et al. 2004) were obtained from GenBank.

### Amino Acid Sequence Alignments

Amino acid sequences deduced from cDNA clones were aligned using ClustalX (Thompson et al. 1997) with the following param-

**Table 1.** List of Plant and Fungal cDNA sequences encoding PR5/PR5-L proteins used in this study

Sequence Name <sup>1</sup>	Source Organism	# of Amino Acids in Predicted Protein	Predicted Protein Size (D)
Os01g62260	<i>Oryza sativa</i>	247	26189
Os03g13070	<i>O. sativa</i>	265	26829
Os03g14050	<i>O. sativa</i>	271	27158
Os03g45960	<i>O. sativa</i>	232	24090
Os03g46060	<i>O. sativa</i>	222	22766
Os03g46070	<i>O. sativa</i>	229	23770
Os04g08280	<i>O. sativa</i>	246	27573
Os04g59370	<i>O. sativa</i>	278	29863
Os06g47600	<i>O. sativa</i>	260	26656
Os06g50240	<i>O. sativa</i>	300	30403
Os07g23470	<i>O. sativa</i>	249	25691
Os07g23730	<i>O. sativa</i>	340	35547
Os08g40600	<i>O. sativa</i>	383	38360
Os08g43510	<i>O. sativa</i>	352	37480
Os09g32280	<i>O. sativa</i>	331	34152
Os09g36560	<i>O. sativa</i>	330	32725
Os09g36580	<i>O. sativa</i>	312	32347
Os10g05600	<i>O. sativa</i>	311	30297
NSS Os10g05660 <sup>2</sup>	<i>O. sativa</i>	325	31969
Os10g27280	<i>O. sativa</i>	275	28365
Os11g9639m04485 <sup>3</sup>	<i>O. sativa</i>	253	25772
Os11g47670	<i>O. sativa</i>	212	21312
Os12g38120	<i>O. sativa</i>	230	22994
Os12g38150	<i>O. sativa</i>	233	23430
Os12g43380	<i>O. sativa</i>	177	17992
Os12g43410	<i>O. sativa</i>	150	15454
Os12g43390	<i>O. sativa</i>	174	17608
Os12g43430	<i>O. sativa</i>	179	18095
Os12g43440	<i>O. sativa</i>	182	18630
Os12g43450	<i>O. sativa</i>	238	25009
At1g18250	<i>Arabidopsis thaliana</i>	243	25907
At1g19320	<i>A. thaliana</i>	247	25430
NSS_At1g20030	<i>A. thaliana</i>	299	31558
At1g73620	<i>A. thaliana</i>	264	28213
At1g75030	<i>A. thaliana</i>	246	25442
At1g75040	<i>A. thaliana</i>	239	25252
At1g75050	<i>A. thaliana</i>	257	26644
At1g75800	<i>A. thaliana</i>	330	34884
NSS_At1g77700	<i>A. thaliana</i>	356	39536
At2g17860	<i>A. thaliana</i>	253	26365
At2g24810	<i>A. thaliana</i>	193	21608
At2g28790	<i>A. thaliana</i>	249	27018
At4g11650	<i>A. thaliana</i>	244	26633
At4g24180	<i>A. thaliana</i>	255	26917
At4g36000	<i>A. thaliana</i>	190	20596
At4g3610	<i>A. thaliana</i>	301	30906
At4g38660	<i>A. thaliana</i>	345	35437
At4g38670	<i>A. thaliana</i>	281	30095
At5g02140	<i>A. thaliana</i>	294	31174
At5g24620	<i>A. thaliana</i>	420	44748
At5g40020	<i>A. thaliana</i>	256	28094
<i>N. tabacum</i> Osm <sup>4</sup>	<i>Nicotiana tabacum</i> (gi:170278)	243	26371
<i>P. taeda</i> pta6042 <sup>5</sup>	<i>Pinus Taeda</i>	222	23484
<i>P. taeda</i> pta6725	<i>Pinus Taeda</i>	224	22951
<i>T. aestivum</i> PWIR2	<i>Triticum aestivum</i> (gi:135917)	173	17605
<i>T. daniellii</i> Thau	<i>Thaumatococcus daniellii</i> (gi:170190)	235	25524
<i>Z. mays</i> zeamatin	<i>Zea mays</i> (gi:459169)	227	24000
NSS <i>M. griseae</i> <sup>5</sup>	<i>Magnaporthe grisea</i> (gi:3810070)	366	39448

<sup>1</sup> Sequence name for *A. thaliana* and *O. sativa* sequences represents the pseudomolecule locus name for each gene as indicated in “The TIGR *Arabidopsis thaliana* Database” and “The TIGR Rice Database,” respectively. The number following the two digit genus-species designation (At or Os) represents the chromosome number on which the gene was found.

<sup>2</sup> NSS in front of sequence name indicates that no signal sequence was detected for that sequence.

<sup>3</sup> All rice sequence names were derived from pseudomolecule version 3 locus names except for this clone which only had a version 2 name.

<sup>4</sup> Sequences obtained from GenBank single submissions and not derived from genome projects were named using the genus and species followed by protein name designation.

<sup>5</sup> *Magnaporthe griseae* is a related fungal sequence used to root phylogenetic comparisons.

eters: pairwise parameters were 35 for gap opening and 0.75 for gap extension; multiple alignment parameters were 15 for gap opening and 0.30 for gap extension as suggested by Hall (2004). Minor alignment issues were corrected using Se-AL (A. Rambaut; distributed by the author at <http://evolve.zoo.ox.ac.uk/software/>).

### Determination of “Mature Protein”

Most of the PR5 proteins contained a signal sequence that targets the nascent peptide into the secretory pathway for either an extracellular or a vacuolar destination. Since this is a transient sequence that is cleaved from the mature protein, and sequence similarity among signal sequences is typically not conserved, all comparisons were performed with protein sequences minus the signal sequence. Determination of the amino acids comprising the N-terminal signal sequence was performed using the SignalP web server (Bendtsen et al. 2004). It should be noted that an original thaumatin precursor protein contains a C-terminal “pro-domain” of six amino acids that is cleaved in the mature form. Since this domain was determined empirically and cannot be predicted, and since it is relatively small, all comparisons were performed without attempts to identify or remove potential C-terminal pro-regions.

### Phylogenetics

Amino acid sequences were evaluated using N-J (neighbor-joining) and Bayesian methods. N-J analyses were performed using PAUP\* (Swofford 2003). Bayesian analyses were done using MrBayes version 3.0b4 (Huelsenbeck and Ronquist 2003). Heuristic searches with ten random addition sequence replicates and tree bisection reconstruction (TBR) branch swapping were performed for N-J estimates. The N-J estimates of the thaumatin phylogenies were obtained by estimating distance parameters in PAUP\*. The N-J bootstrap analyses of 100 replicates were performed on each data set using a heuristic search with 10 random addition sequence replicates and TBR branch swapping. A Bayesian approach was used because of its easy interpretation of results, its ability to incorporate prior information (uniform in our case) (Huelsenbeck and Ronquist 2003), and its computational advantages (Larget and Simon 1999). MrBayes employs Markov chain Monte Carlo (MCMC) to approximate the posterior probabilities of phylogenies (Green 1995; Metropolis et al. 1953; Hastings 1970). The model of evolution used for the Bayesian analyses was a mixed model of evolution, which allows the MCMC chain to integrate over the 10 fixed amino acid rate matrices implemented in MrBayes. MrBayes was run with four chains from 10 different starting points. All runs were done for 10 million generations and trees were sampled every 100 generations. All runs reached a plateau in likelihood score, which indicated that the MCMC chains converged. One thousand trees were suboptimal at the beginning of the runs and therefore were discarded (burn-in phase). All trees saved from all 10 runs were summarized in PAUP\* (see Mr Bayes manual) and the posterior probabilities were recorded (Figs. 1 and 2).

### Homology Modeling

Homology modeling of the PR5/PR5-L proteins was performed using Swiss-Model (Guex and Peitsch 1997; Schwede et al. 2003). Using DeepView version 3.7 the sequence of interest was opened in Swiss-Model and used as the target in a Blastp search of the protein data bank (pdb; a database of 3-D protein structure data) database. The pdb file for the best match was downloaded and used as a threading template for the query sequence. Finally, the sequence fit was submitted to the Swiss-Model homology server for energy minimization. The quality of the model produced from this alignment was determined from the root mean square (RMS) deviation

value of the position of amino acids in the modeled protein to the paired amino acid in the template. The model was also analyzed for violations of main chain Phi/Psi dihedral bond angle ratios and backbone/side chain and side chain/side chain steric conflicts.

## Results and Discussion

### Identification of Animal PR5-L Sequences

Both in-house and GenBank insect EST databases were mined for the presence of cDNA clones that encoded antimicrobial proteins. Clones producing a protein with significant similarity to known plant PR5s were discovered in in-house databases from cDNA libraries of three insect orders: Coleoptera, Hemiptera, and Hymenoptera (Table 2). Other sequences were obtained from a previously reported PR5-L in the desert locust *Schistocerca gregaria* (Orthoptera) (Brandazza et al. 2004) and from another Coleopteran, *Biphyllus lunatus*, for which EST data were deposited in GenBank (Theodorides et al. 2002). Although the PR5-L sequences were found in four insect orders and represent a multigene family in at least two of these (Coleoptera and Orthoptera), no homologues were found in the Diptera (including *Aedes* and *Anopheles* [mosquitos] and *Drosophila* species for which whole-genome sequence data are available and EST projects are extensive). Also, extensive EST databases in honey bee, *Apis mellifera* L. (representing the most advanced genomics effort in the Hymenoptera), and silkworm (*Bombyx mori* L., representing the Lepidoptera) were devoid of PR5-L sequences. This was previously observed by Brandazza et al. (2004) and confirmed by our analysis. *Caenorhabditis* PR5-L sequences were previously reported from genome sequence and from EST data of two separate species: *C. elegans* and *C. briggsae* (Brandazza et al. 2004). No PR5-related proteins were identified in searches that included EST and genomic data from fish, mammals, and birds.

### Comparison of Animal PR5-Ls

Although nucleic acid identity among all PR5/PR5-L sequences was very low (data not shown), amino acid identity was >35% for all but two sequences and, therefore, suitable for phylogenetic and structural comparisons (Table 2). For this reason all subsequent comparisons were performed using amino acid sequences. A sequence alignment was constructed from the animal PR5-Ls and *Zea mays* zeamatin, a related plant PR5 for which structural data were available (Fig. 1). Two of the animal sequences, PR5-L1 from *D. abbreviatus* and Cel\_PR5-L7 from *C. elegans*, were highly divergent from the rest of the sequences (Table 2, showing percentage

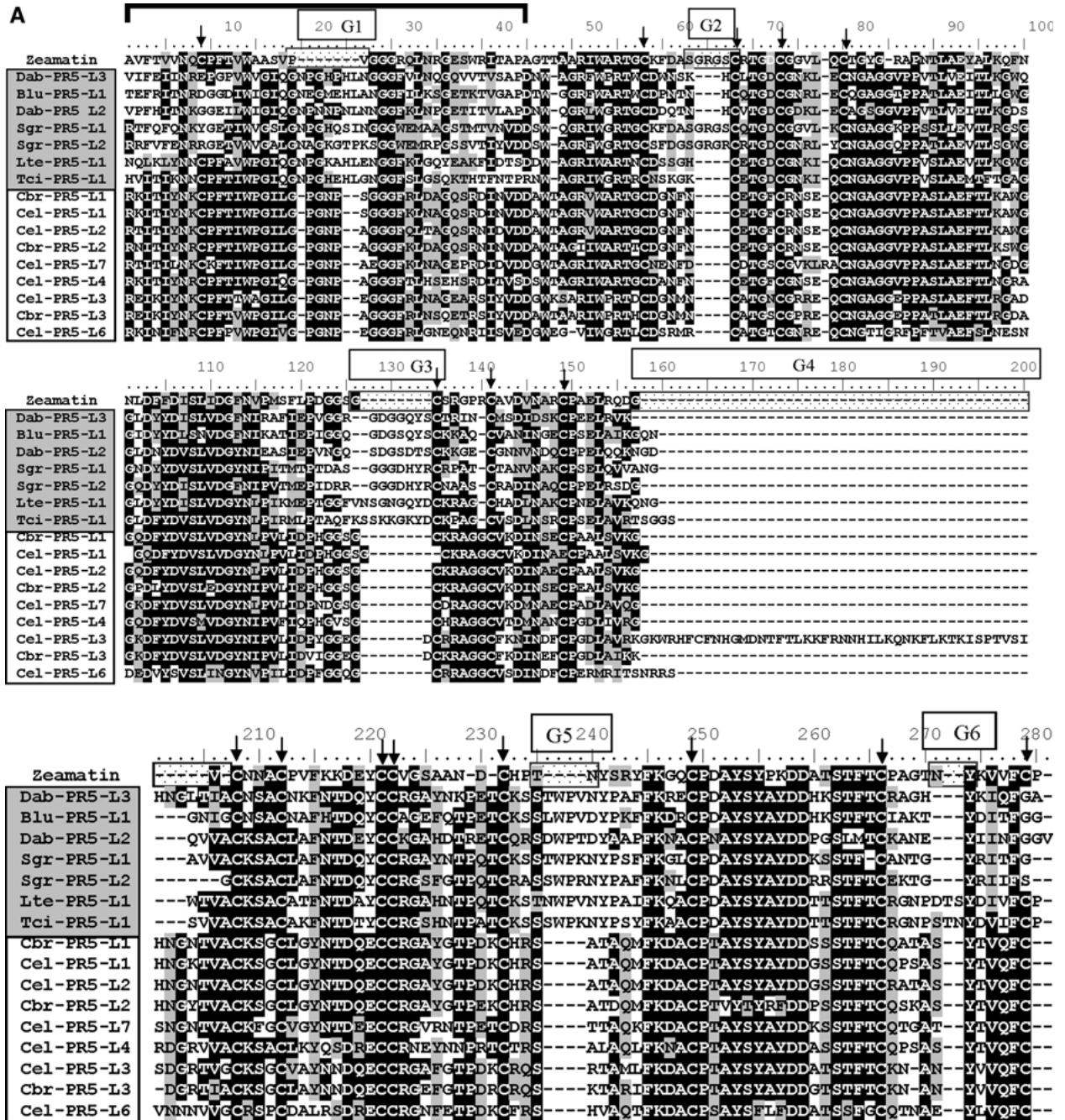
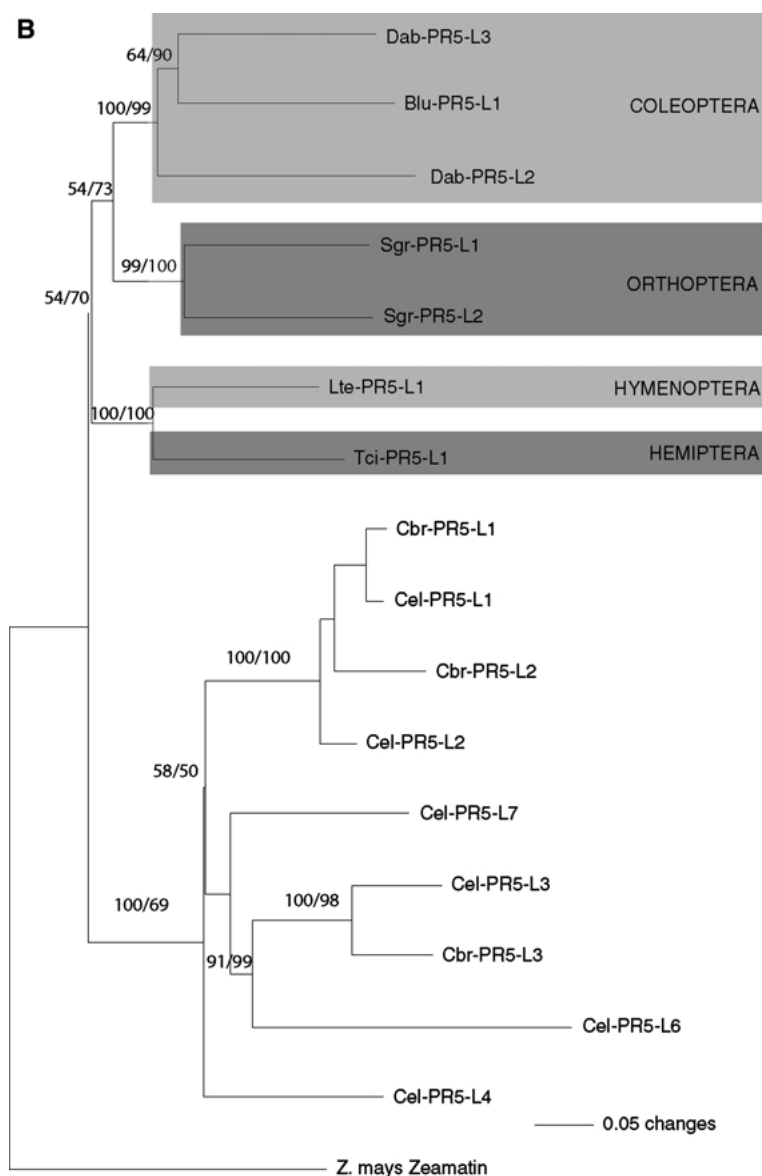


Fig. 1. Continued.

identity, and Fig. 1, showing poor alignment) and were thus removed from further comparison. In the alignment (Fig. 1), insect sequences were highly divergent in the first 44 amino acids including the gap spaces, whereas the nematode sequences were highly conserved and most similar to the Tci-PR5-L1 (*T. citricida*) in this region. It is also notable that all sequences from coleopterans lacked the first and last cysteine residues.

The alignment resulted in six variable domains due to insertions/deletions (labeled G1–G6 in Fig. 1).

Five of these six domains were from insertions in at least two of the insect sequences. One of these, G2, represented a four-amino acid insertion in both the zeamatin and the orthopteran sequences (Glu-Arg-Glu-Ser in zeamatin and Sgr-PR5-L1 and Glu-Arg-Glu-Arg in Sgr-PR5-L2). The sixth gap, G4, resulted from a large insertion of ~39 amino acids in the nematode Cel-PR5-L3 sequence but was variable in length among the other sequences (Fig. 1A). The most likely tree generated using MrBayes was generated from the amino acid alignment using zeamatin



**Fig. 1.** Comparison of PR5-L protein sequences from nematodes and insects with zeamatin from *Zea mays*. Abbreviations were constructed from the first letter of the genus name and the first and second letters of the species name (Dab, *Diaprepes abbreviatus*; Blu, *Biphyllus lunatus*; Sgr, *Schistocerca gregaria*; Lte, *Lysiphlebus testaceipes*; Tci, *Toxoptera citricida*; Cbr, *Caenorhabditis briggsae*; Cel, *C. elegans*) followed by the gene designation PR5-L and clone number (see Table 2). A ClustalX alignment of select insect and nematode PR5-L sequences with zeamatin. Pairwise parameters were 35 for gap opening and 0.75 for gap extension. Multiple alignment parameters were 15 for gap opening and 0.30 for gap extension. The shaded labels indicate the insect sequences. Arrows mark the location of the conserved 16 cysteine residues. The G1 through G6 labels and the associated stippled box on the zeamatin sequence indicate the location of gaps formed in the alignment, with a gap being identified as a space greater than one residue inserted in the majority of the sequences. The bracket at the top of the sequence delineates the first 44 residues in the insect sequences that are highly variable. **B** Most likely ( $-\ln = -6207.89$ ) MrBayes tree generated using MrBayes3.0 and the insect and nematode PR5-L amino acid alignment. Bayesian analyses were performed using a mixed model of evolution for 10 million generations. Numbers above the nodes are N-J parsimony bootstrap/posterior probabilities. Shading is provided to delineate insect orders.

as the outgroup (Fig. 1B). Figure 1B contains two distinct animal clades stemming from the node separating them from a common animal ancestor: insects and nematodes. The gene phylogeny was similar to the organism phylogeny (i.e., all coleopteran sequences were monophyletic and all orthopteran sequences were monophyletic) with the exception of the grouping of the hemipteran, *T. citricida* PR5-L sequence (Tci-PR5-L1) with the hymenopteran aphid-parasitoid, *L. testaceipes*, (Lte-PR5-L1). Current insect phylogeny groups Hymenoptera with the Coleoptera in the Endopterygota superorder and Hemiptera in the related but distinct Hemipteroid assemblage (Paraneoptera group) (information taken from the Tree of Life web project; <http://tolweb.org/tree?group=Neoptera&contgroup=Pterygota>). Despite this organism relationship, the PR5-L protein from *L. testaceipes* (a hymenopteran) was more clo-

sely related to the *T. citricida* (Hemipteran) sequence than to any of the coleopteran PR5-Ls. If these are indeed pathogen defensive proteins (noting that activity of these proteins in animals has yet to be determined), the nature of the pathogens that threaten the species could drive evolution of the PR5-L sequences to a greater degree than phylogeny. It is likely that *T. citricida* and *L. testaceipes* would be exposed to similar pathogens since they have such an intimate association (*L. testaceipes* parasitizes *T. citricida*). Therefore, it is possible that the need to defend against similar pathogens could provide the evolutionary pressure to keep the sequences of these PR5-Ls more similar than to those of more evolutionarily related organisms that exist in different environments (the coleopterans listed have a subterranean larval stage, whereas the aphid and aphid parasitoid do not).

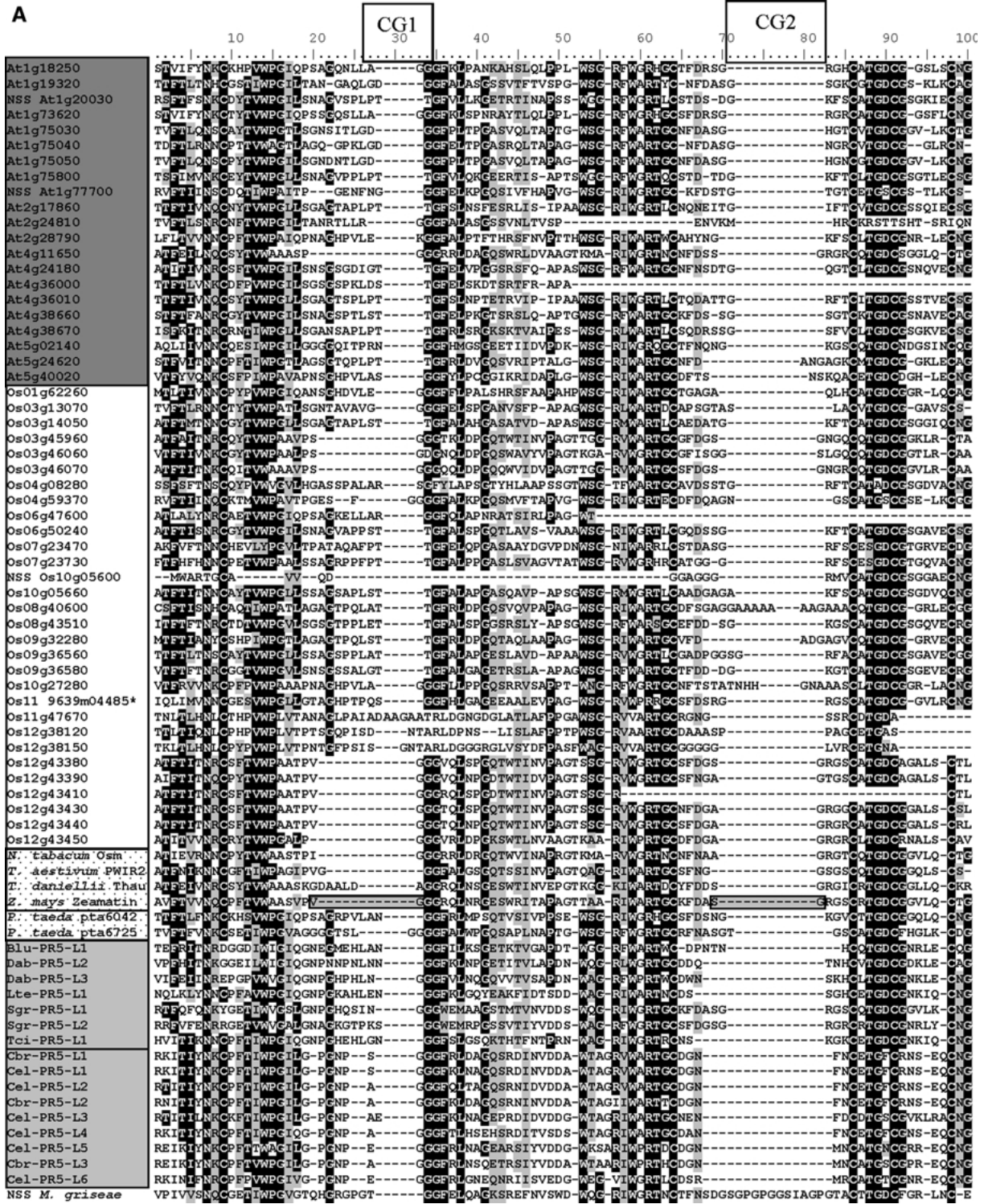


Fig. 2. Comparison of PR5/PR5-L protein sequences from plants and animals. Animal sequence abbreviations as described in the legend to Fig. 1. Plant sequence abbreviations for *Arabidopsis thaliana* and *Oryza sativa* correspond to the gene locus name in the corresponding TIGR database, with the number following the two-letter genus and species name indicating the chromosome on which the gene is located. The asterisks indicate an *O. sativa* sequence that is only represented by an older pseudomolecule version nomenclature. Other plant sequences and the fungal sequence used as the outgroup (*Magnaporthe griseae* gi:38100706) are listed with genus and species followed by the gene designation. NSS is added to label sequences with no predicted signal sequence. A ClustalX alignment of select plant, insect, and nematode PR5/PR5-L amino acid sequences. Pairwise parameters were 35 for gap opening and 0.75 for gap

extension. Shading of sequence labels delineates related sequences. Sequence names within stippled area are miscellaneous plant PR5 sequences including the type sequences for osmotin (OSM) and thaumatin (Thau). Multiple alignment parameters were 15 for gap opening and 0.30 for gap extension. The CG1 through CG8 labels and the associated shaded box on the zeamatin sequence indicate the location of gaps formed in the alignment, with a gap being identified as a space greater than one residue inserted in the majority of the sequences. B Majority rule MrBayes consensus tree generated using MrBayes3.0 and the insect, nematode, and plant PR5-L amino acid alignment. The most likely tree found during MrBayes search was  $-ln = -21,648,866$ . Bayesian analyses were performed using a mixed model of evolution for 10 million generations. Numbers above the nodes are N-J parsimony bootstrap/posterior probabilities.

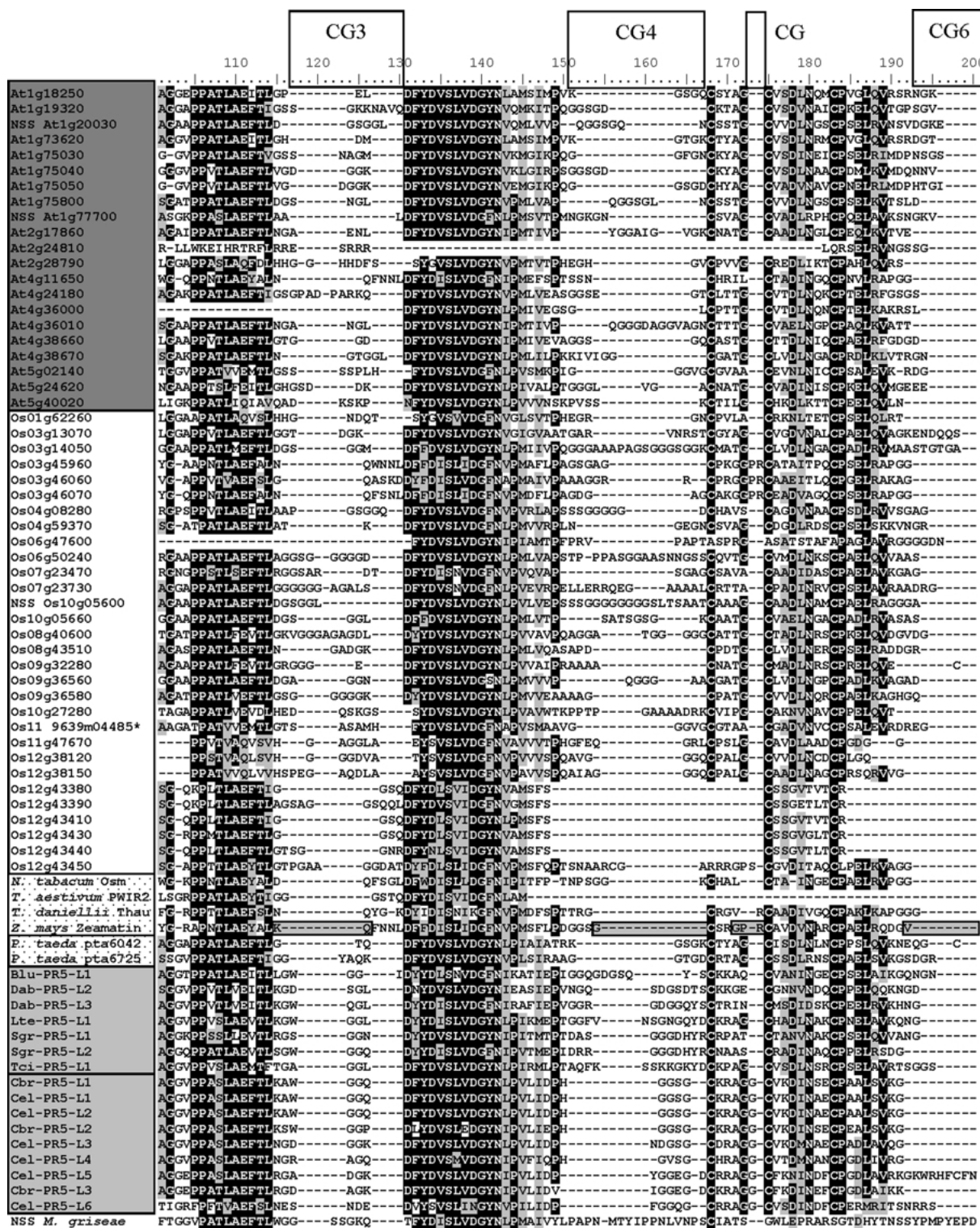


Fig. 2. Continued.

The paraphyletic relationship of the insect sequences with the nematode sequences, as well as among the insect groups, suggests a single-gene inheritance of PR5-Ls within the insects and within each insect order followed by gene duplication within these groups. However, this relationship may

not hold for all PR5-L gene family members since they are not necessarily all represented in the limited insect EST sequence data. Complete genome data have allowed identification of potentially all PR5-L sequences from *C. elegans* but only partial representation of the *C. briggsae* PR5-L gene family. In



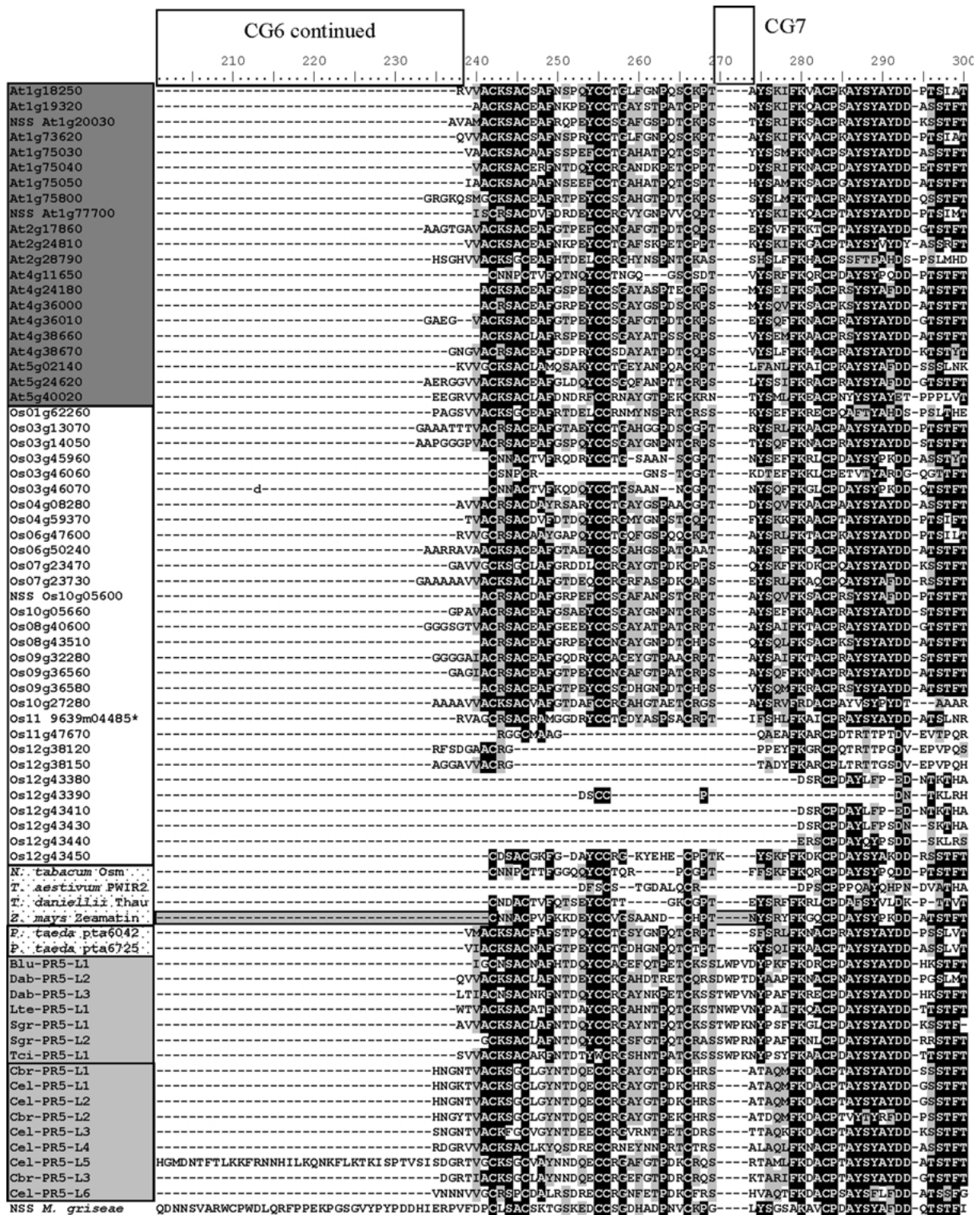


Fig. 2. Continued.

one case, two *C. briggsae* PR5-Ls (Cbr-PR5-L4 and Cbr-PR5-L5) group with a single *C. elegans* sequence (Cel-PR5-L6), suggesting continued duplication of these genes after separation of these two species. Since hyperdivergence of antimicrobial peptides (generally fewer than 50 amino acids) is

well documented (Nicolas et al. 2003; Vanhoye et al. 2003; Duda et al. 2002), evolutionary pressure for the divergence of other antimicrobials such as PR5-Ls could also be expected for the same reason: adaptation to diverse and continually evolving pathogens.

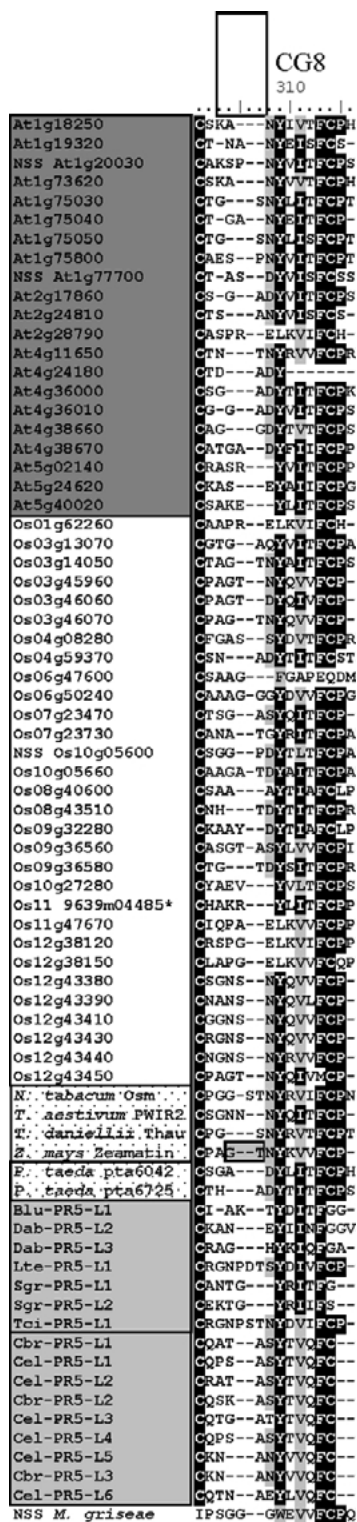


Fig. 2. Continued.

### Comparison of Plant and Animal PR5s/PR5-Ls

A more complete phylogenetic comparison of all related PR5-L proteins among plants and animals was performed by aligning every PR5-L identified in the sequence data from entire genomes of one eudicot

(*A. thaliana*) and one monocot (*O. sativa*) with those from the nematode *C. elegans* (includes those discovered from a related nematode *C. briggsae*) and the insect sequences (Fig. 2). The comparison also included two PR5-L sequences from *Pinus taeda* (loblolly pine, a gymnosperm), the type sequences for thaumatin (from *Thaumatococcus danielli*) and osmotin (from *Nicotiana tabaci*), and one sequence from *Triticum aestivum* (wheat, a monocot) that represents a described structural variant of the PR5-Ls (Rebmann et al. 1991). The sequence alignment was similar to the animal sequence alignment (compare Fig. 1A with Fig. 2A), with conserved regions separated by variable length gaps. A total of eight variable gap regions were identified, six of which matched those identified in the animal sequence alignment (Fig. 1). The new gaps were CG3 and CG5. The CG3 gap represents a relatively large region (~15 residues) that was variable only among the plant sequences. The CG5 gap resulted from a proline and an arginine present in only five monocot sequences.

The phylogeny from this alignment is provided with the bootstrap values and posterior probabilities for the branching points as determined both by N-J bootstrap and Bayesian analyses (Fig. 2). This phylogeny shows a paraphyletic grouping of plant PR5s with at least 10 distinct clades, with each clade containing at least one *A. thaliana* and one *O. sativa* sequence (Fig. 2B). In this comparison, the animal proteins grouped into a single clade stemming from the node at which they separate from plant sequences, with the closest relationship to plant sequences in clades 6 and 7. A phylogenetic interpretation would be that the animal PR5-L genes diverged from a single ancestor to the plant sequences that was the ancestor to clades 6 and 7.

The paraphyletic distribution of the plant PR5-L sequences supports a model where a common ancestor to the monocots and the eudicots had 10 PR5-L genes (with the divergence of monocots and dicots corresponding to 130 million to 240 million years ago [Crane et al. 1995; Wolfe et al. 1989]), each of which gave rise to one of the distinct clades observed in the plant PR5-L phylogeny where the clades are defined as separate groups containing at least one member from *O. sativa* and *A. thaliana*. Then in the case of clades containing multiple members from a single species, gene duplication events continued to occur throughout eudicot and monocot evolution. Chromosomal locations of each *A. thaliana* and *O. sativa* gene were indicated in the gene designations on the cladogram and show that PR5 genes were on 10 of the 12 *O. sativa* chromosomes and on 4 of the 5 *A. thaliana* chromosomes. Chromosome locations suggest that subsequent duplication of members within these clades resulted from interchromosomal and intra-

B

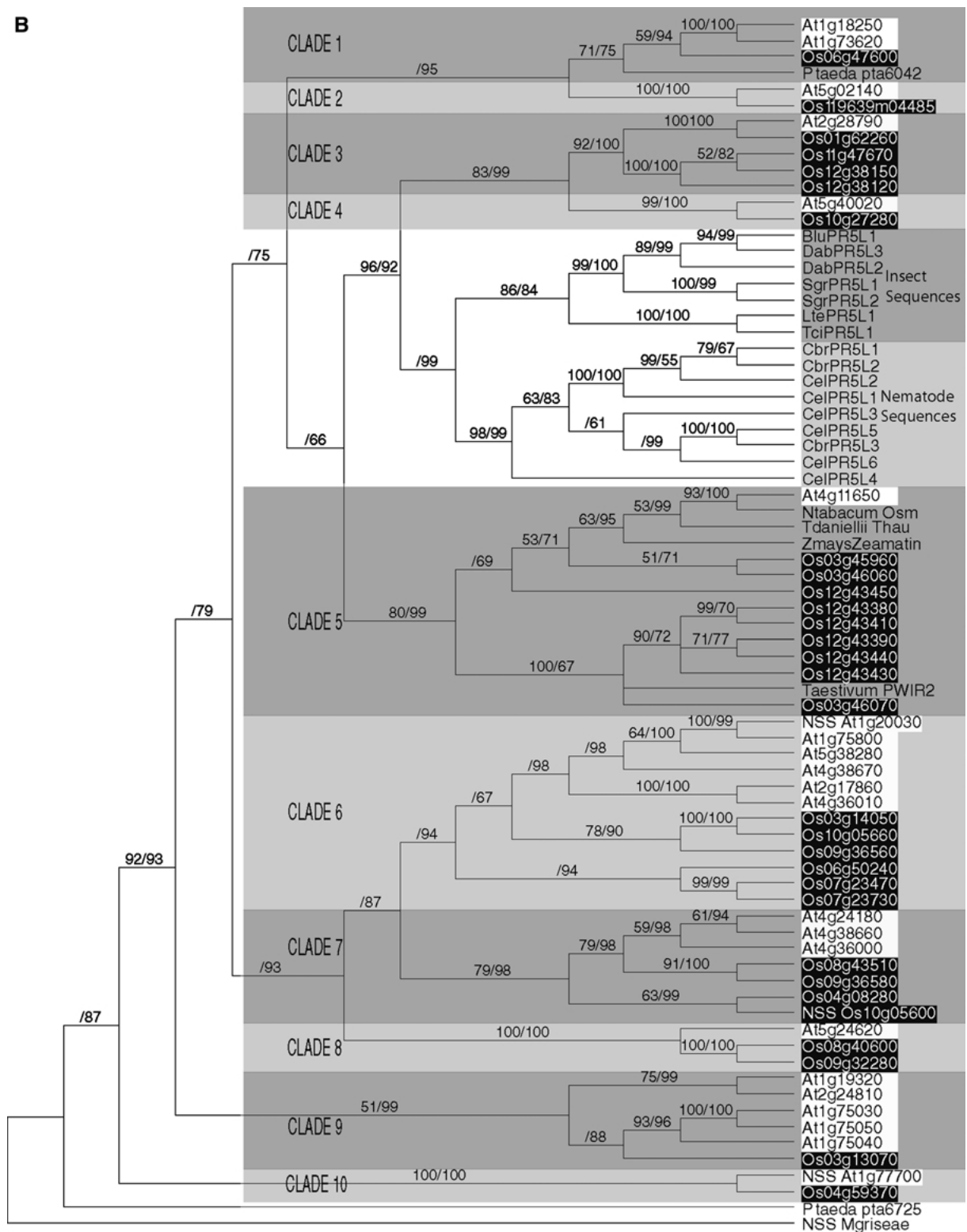


Fig. 2. Continued.

chromosomal duplications. In *O. sativa*, clades 3, 5, 6, 7, and 8 have members from multiple chromosomes, whereas *A. thaliana* has members from multiple chromosomes in only clades 1, 6, 7, and 9. Tandem duplications were observed for each species,

with *O. sativa* chromosome 12 containing six PR5 genes within a cluster (clade 5) that contained only two other small (< 50-amino acid) hypothetical proteins (mapping location obtained from The TIGR Rice Genome Database; <http://www.tigr.org/tdb/>

**Table 2.** List of Animal Clones encoding a protein with Similarity to Plant PR5 Proteins.

Source of cDNA clone <sup>1</sup>	Protein Abbrev.	Structural blastp best match (pdb-database)	Score/E-value (pdb-search)	tblastn best match to known plant PR5 (nr-database)	Score/E-value (nr-search)
<i>Lysiphlebus testaceipes</i> Hymenoptera: Aphidinae (gi:57791229)	Lte-PR5-L1	<i>Zea mays</i> (Zeamatin) (gi:6980847) 44% Identity	135/ 5e-33	<i>Arabidopsis thaliana</i> (gi:12323893)	209/3e-53
<i>Diaprepes abbreviatus</i> Coleoptera: Curculionidae (gi:57791225)	Dab-PR5-L3	<i>Zea mays</i> (Zeamatin) (gi:6980847) 42% Identity	137/ 1e-33	<i>Arabidopsis thaliana</i> (gi:16323452)	210/2e-53
<i>Diaprepes abbreviatus</i> Coleoptera: Curculionidae (gi:57791223)	Dab-PR5-L2	<i>Zea mays</i> (Zeamatin) (gi:6980847) 39% Identity	115/5e-27	<i>Arabidopsis thaliana</i> (gi:12323299)	197/1e-49
<i>Diaprepes abbreviatus</i> Coleoptera: Curculionidae (gi:57791221)	Dab-PR5-L1	<i>Zea mays</i> (Zeamatin) (gi:6980847) 25.7% Identity	49/4e-07	<i>Prunus persica</i> (gi:19879966)	62/4e-09
<i>Biphyllus lunatus</i> Coleoptera: Biphylidae (see methods for EST list)	Blu-PR5-L1	<i>Nicotiana tabacum</i> , Osmotin, (gi:46015198) 38% Identity	153/ 2e-38	<i>Arabidopsis thaliana</i> (gi:30023804)	227/2e-58
<i>Toxoptera citricida</i> Hemiptera: Aphididae (gi:57791227)	Tci-PR5-L1	<i>Nicotiana tabacum</i> , Osmotin, (gi:46015198) 39% Identity	135/ 5e-33	<i>Arabidopsis thaliana</i> (gi:16323452)	212/5e-54
<i>Schistocerca gregaria</i> Orthoptera: Acridinae (gi:40949966)	Sgre- PR5-L1	<i>Zea mays</i> (Zeamatin) (gi:6980847) 42% Identity	149/ 4e-37	<i>Arabidopsis thaliana</i> (gi:12323299)	204/1e-51
<i>Schistocerca gregaria</i> Orthoptera: Acridinae (gi:46241860)	Sgre- PR5-L2	<i>Zea mays</i> (Zeamatin) (gi:6980847) 44% Identity	150/ 1e-37	<i>Pyrus pyrifolia</i> (gi:25091401)	205/5e-52
<i>Caenorhabditis elegans</i> F28D1.3 (thn-1; WBGene0009213)	Cel-PR5-L1	<i>Zea mays</i> (Zeamatin) (gi:6980847) 42% Identity	152/ 5e-38	<i>Pyrus pyrifolia</i> (gi:3241853)	237/5e-61
<i>Caenorhabditis elegans</i> F28D1.5 (thn-2; WBGene0009215)	Cel-PR5-L2	<i>Zea mays</i> (Zeamatin) (gi:6980847) 42.5% Identity	182/ 5e-47	<i>Pyrus pyrifolia</i> (gi:3241853)	235/1e-60
<i>Caenorhabditis elegans</i> F28D1.4 (thn-3; WBGene0009214)	Cel-PR5-L3	<i>Zea mays</i> (Zeamatin) (gi:6980847) 41.9% Identity	167/ 1e-42	<i>Arabidopsis thaliana</i> (gi:16323452)	231/3e-59
<i>Caenorhabditis elegans</i> F49A.5.6 (thn-4; WBGene0009858)	Cel-PR5-L4	<i>Zea mays</i> (Zeamatin) (gi:6980847) 42.5% Identity	167/ 2e-42	<i>Medicago truncatula</i> (gi:49170170)	219/8e-56
<i>Caenorhabditis elegans</i> Y59E9AR.4 (thn-5; WBGene00021999)	Cel-PR5-L5	<i>Zea mays</i> (Zeamatin) (gi:6980847) 34.6% Identity	164/ 1e-41	<i>Arabidopsis thaliana</i> (gi:18398544)	223/1e-56
<i>Caenorhabditis elegans</i> Y59E9AL.1 (thn-6; WBGene00021992)	Cel-PR5-L6	<i>Zea mays</i> (Zeamatin) (gi:6980847) 36.6% Identity	142/ 6e-35	<i>Arabidopsis thaliana</i> (gi:18398544)	189/2e-46
<i>Caenorhabditis elegans</i> Y59E9AR.6 (thn-7; WBGene00022001)	Cel-PR5-L7	<i>Zea mays</i> (Zeamatin) (gi:6980847) 28.8% Identity	100/ 2e-22	<i>Medicago truncatula</i> (gi:49170170)	175/ 3e-42
<i>Caenorhabditis briggsae</i> (gi:39585647)	Cbr-PR5-L1	<i>Zea mays</i> (Zeamatin) (gi:6980847) 41.6% Identity	179/ 3e-46	<i>Pyrus pyrifolia</i> TLP (gi:3241853)	236/8e-61
<i>Caenorhabditis briggsae</i> (gi:39582709)	Crb-PR5-L2	<i>Zea mays</i> (Zeamatin) (gi:6980847) 38.8% Identity	163/ 2e-41	<i>Arabidopsis thaliana</i> (gi:30023804)	212/2e-53
<i>Caenorhabditis briggsae</i> (gi:39588467)	Cbr-PR5-L3	<i>Zea mays</i> (Zeamatin) (gi:6980847) 41.6% Identity	160/ 1e-40	<i>Arabidopsis thaliana</i> (gi: 13430305)	225/2e-57
<i>Caenorhabditis briggsae</i> (gi:39588469)	Cbr-PR5-L4	<i>Zea mays</i> (Zeamatin) (gi:6980847) 35.0% Identity	139/ 3e-34	<i>Medicago truncatula</i> (gi:49170170)	183/9e-45
<i>Caenorhabditis briggsae</i> (gi:39588468)	Cbr-PR5-L5	<i>Zea mays</i> (Zeamatin) (gi:6980847) 33.3% Identity	114/ 2e-26	<i>Arabidopsis thaliana</i> (gi:42471149)	168/2e-40

<sup>1</sup> Genbank GI numbers are provided for all sequences except those from *B. lunatus* sequence and *C. elegans*. *B. lunatus* consensus was derived from multiple GenBank ESTs with their accession numbers provided in Materials and Methods, and *C. elegans* sequences were obtained from wormbase and they are designated with the wormbase gene ID.

**Table 3.** Evaluation of Swiss-Model generated homology model of Insect PR5-Ls

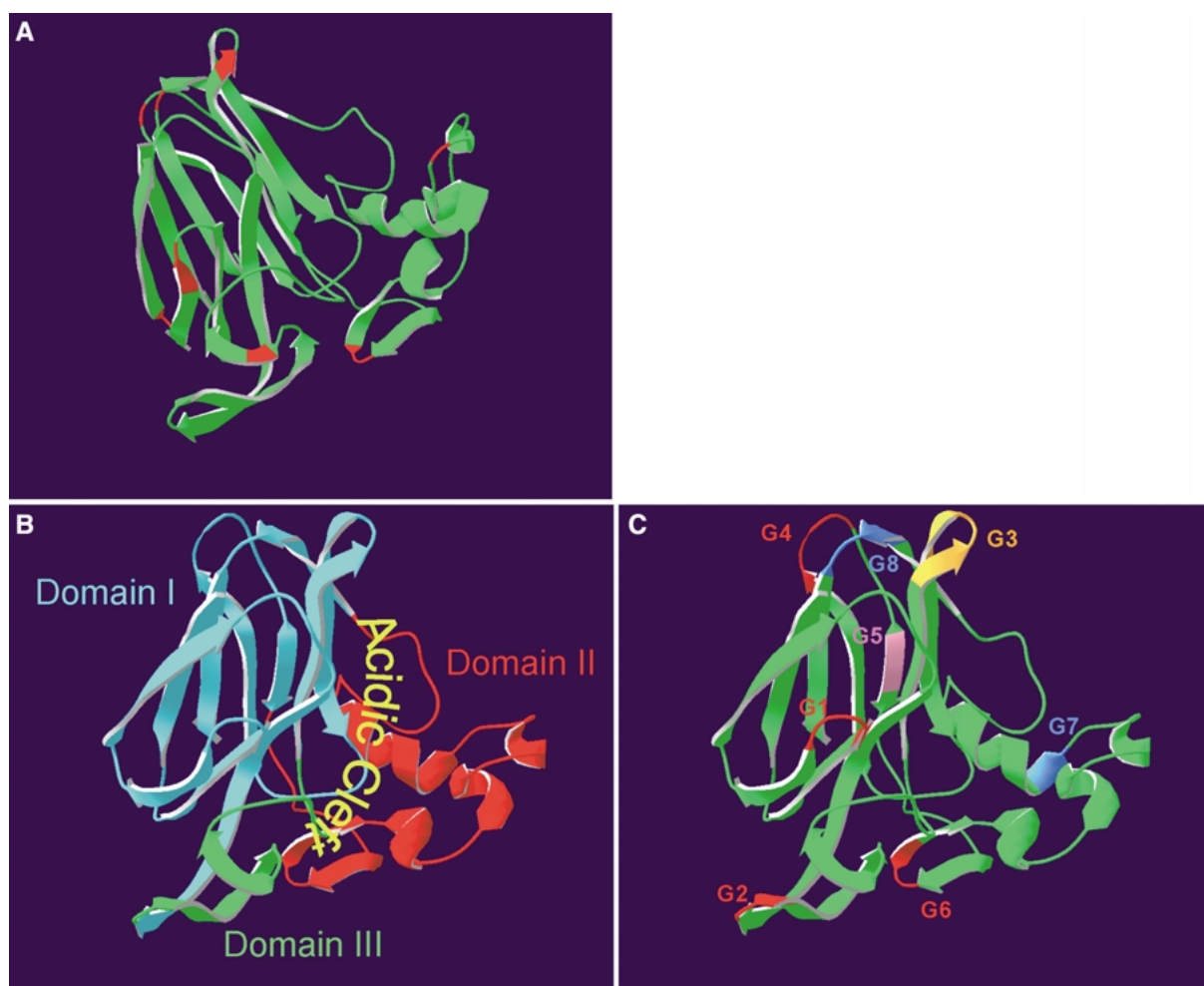
Source of cDNA clone	Side-Group Steric Hinderances	Template Source	Bases Violating Psi/Phi Dihedral Angle Ratios	Side-Group Steric Hinderance With Backbone
Lie-PR5-L1 <i>Lysiphlebus testaceipes</i>	Asn124, Thr163	<i>Zea mays</i> (Zeamatin) (gi:6980847)	Asp42, Thr43, Arg50, Ser60, Val80, Pro110, Asn124, His135, Gln179, Asn218	Asn124
Hymenoptera: Aphidinae				
Dab-PR5-L3 <i>Diaprepes abbreviatus</i>	0	<i>Zea mays</i> (Zeamatin) (gi:6980847)	Val42, Ser43, Asn110, Glu89, Met135, Glu179	Pro45, Pro117
Coleoptera: Curculionidae				
Dab-PR5-L2 <i>Diaprepes abbreviatus</i>	0	<i>Zea mays</i> (Zeamatin) (gi:6980847)	Val42, Ser43, Trp60, Asn110, Met135, Glu179	Pro45, Pro84, Pro117
Coleoptera: Curculionidae				
Blu-PR5-L1 <i>Biphyllus lunatus</i>	0	<i>Nicotiana tabacum</i> , Osmotin, (gi:46015198)	Ala43, Pro44, Phe51, Thr61, Thr81, Gln125, Asn138, Phe163, Glu173, Phe174, Lys217	0
Coleoptera: Biphyllidae				
Tci-PR5-L1 <i>Toxoptera citricida</i>	0	<i>Nicotiana tabacum</i> , Osmotin, (gi:46015198)	Asn48, Trp49, Arg56, Glu66, Pro84, Ser86, Asp130, Arg143, Ser178, His179, Asn222	0
Hemiptera: Aphididae				
Sgre-PR5-L1 <i>Schistocerca gregaria</i>	0	<i>Zea mays</i> (Zeamatin) (gi:6980847)	Asn27,Ala35,Asp45, Ala158, Asp109 Ala122, Glu147, Val157, Pro201	0
Orthoptera: Acridinae :				
Sgre-PR5-L2 <i>Schistocerca gregaria</i>	0	<i>Zea mays</i> (Zeamatin) (gi:6980847)	Lys27,Ser28, Pro35, Asn74, Ser95, Asp121, Pro145, Pro146, Asp164, Tyr203	0
Orthoptera: Acridinae				

e2k1/osa1). *Arabidopsis* also had tandemly duplicated PR5 genes on chromosomes 1 (At1g75030, At1g75040, and At1g75050) and 4 (At4g36000 with At4g36010 and At4g 38660 with At4g38670) as determined using mapping data obtained from the TIGR *Arabidopsis thaliana* database (<http://www.tigr.org/tdb/e2k1/ath1>). Gene duplication within clades was an asymmetric occurrence in comparisons both within and between *A. thaliana* and *O. sativa* (Fig. 2B). In *O. sativa*, there were nine members of clade 5, while *A. thaliana* only had a single representative. In *A. thaliana*, however, there were five members of clade 9, while *O. sativa* only had one. In each of these cases the increase in gene number was only partly the result of tandem gene duplication.

Antimicrobial activity comparisons of members from these groups may provide interesting insight into the relationship of plant-pathogen interactions and duplication of specific PR5 genes. One hypothesis is that the greatest amplification of a gene clade occurred because that clade contained PR5-Ls most active against pathogens impacting that plant group. Interestingly, the cogrouping of the two type species from both osmotin (*Ntabacum* OSM) and thaumatin (*Tdaniellii* Thau) into a single clade that contains both osmotin-like (At4g11650) and thaumatin-like sequences indicates that the phylogenetic relationship of the PR5-L sequences does not support the separate nomenclature for these proteins and argues for a single name such as PR5.

#### Predicted Structural Similarities of Plant and Animal PR5s/PR5-Ls

Further similarities among the PR5/PR5-L proteins from plants and animals were observed by comparative homology modeling of protein structures. Comparative modeling was possible because the three-dimensional structures for both the original thaumatin, osmotin, and an osmotin-related antimicrobial peptide, zeamatin, are available (de Vos et al. 1985; McPherson and Weickmann 1990; Batalia et al. 1996; Koiwa et al. 1999; Lay et al. 2003; Min et al. 2004). Of those plant PR5 proteins for which structural data were available, blast search analysis showed that insect PR5-Ls were most similar to either zeamatin or osmotin (Table 2). Comparative modeling was performed using the Swiss-Model server for initial structural alignments. The animal PR5-Ls produced structures similar to those of their plant counterparts, with minor steric and bond angle conflicts that did not invalidate the potential models as close approximations (Table 3). The few conflicts in side chain-side chain or side chain-backbone and Psi/Phi dihedral angle ratios mapped to either loop or loop/ $\beta$ -sheet intersections (Fig. 3A), where such conflicts are often found in acceptable structural models since these are



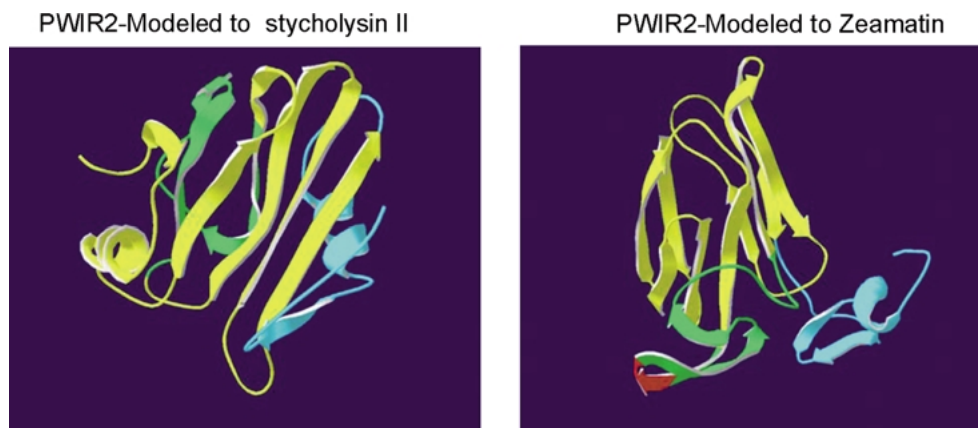
**Fig. 3.** Three-dimensional structure of zeamatin with labeled domains and regions of divergence from homology model of animal PR5-Ls. **A** Ribbon structure for zeamatin protein. Red indicates the regions where the structural predictions for the animal sequences cannot be reliably determined. The quality of the model was considered unreliable in regions where violations in any of the Phi:Psi dihedral angle ratios or steric hindrances between backbone/side groups and side-groups/side groups were detected (see Table 3). **B** Three-dimensional ribbon structure of zeamatin showing structural domains: blue—domain I, a core 10–11 stranded  $\beta$ -sheet core; red—domain II, a set of disulfide-rich loops exposed on the surface of the protein juxtapositioned with

domain I to form a surface cleft (labeled as acidic cleft) in the protein; and green—domain III, a second smaller loop region containing two disulfide bonds. **C** Zeamatin ribbon structure with the eight gap regions identified in the PR5/PR5-L alignments (Fig. 2A) mapped to the structure. Red identifies the variable regions labeled CG1, CG2, CG4, and CG6 that are variable among all phylogenetic groups. Blue delineates the variable regions that have a consistent insert in at least two of the insect sequences (CG7 and CG8). Orange signifies a region that is variable among the plant sequences (CG3) and pink identifies a region that has a two-base insert (proline and arginine) only in monocot sequences (CG5).

the most variable regions among comparisons of homologous proteins (Lesk 2003). Our data indicate that the core structure of insect sequences is highly conserved with that for the plant proteins, as are the spatial relationships of the three domains that make up the PR5 structure (Fig 3B). These are domain I, a core 10–11 stranded  $\beta$ -sheet core; domain II, a set of disulfide-rich loops exposed on the surface of the protein juxtaposed with domain I to form a surface cleft in the protein; and domain III, a second, smaller loop region containing two disulfide bonds.

The finding that the core structure (domain I) of all PR5/PR5-Ls remained conserved among plant

and insects allowed mapping of the conserved and variable regions identified in the ClustalX alignment (Fig. 2) to a structural model for a plant PR5. Similar mapping was observed for all plant sequences and zeamatin was used for visualization (Fig. 3). The variable regions CG1, GC2, CG4, and CG6, variable among all of the phylogenetic groups (Fig. 2), map specifically to loop regions on the structure. The variable regions, which have a consistent insert in at least two of the insect sequences, CG7 and CG8, map to a loop- $\alpha$ -helix border in domain II and a loop region in domain I, respectively. Finally, two plant-variable regions, CG3 and CG5, both map to domain



**Fig. 4.** Structural homology modeling of the *T. aestivum* PWIR2 protein to both the sticholysin II (left) and the zeamatin (right) structures. PWIR2 modeled and color-coded to show the three main structural domains of PR5s. Yellow: core domain I; blue,

domain II; green, domain III. Red zeamatin indicates the location of poor model structure predictions due to RMS (root mean square data for spatial deviation of aligned amino acid pairs) values for residue relationships between zeamatin and animal PR5-Ls.

I, with CG3 comprising a loop region and CG5, the monocot proline and arginine insert, mapping to a  $\beta$ -strand exposed to the surface of PR5 on the opposite side of the molecule from a well-characterized cleft. The side group of this arginine protrudes from the protein surface and is only present in zeamatin, thaumatin, and four *O. sativa* proteins (Os03g45960, Os03g46060, and Os03g46070), all of which are members of clade 5 (Fig. 2B).

Mapping of all the variable regions to predicted loop structures, with the exception of CG5, further supports the validity of the predicted core structure for the animal PR5-L sequences. The conservation of sequence similarity across diverse taxa and the continued increase in gene copy number during more recent evolution suggest an ancient (prior to the separation of plants and animals) and current important role for this gene family. If antimicrobial properties are observed for the animal PR5-Ls, the diversity of sequence will provide an excellent source of data for comparative genomics studies linking sequence, structure, and function.

Limited research on the antifungal activity of PR5s suggests membrane permeability as the mode of action (Abad et al. 1996; Roberts and Selitrennikoff 1990). However, the mechanism by which this occurs is unknown. Direct pore formation by PR5 proteins (especially zeamatin) has been dismissed for three primary reasons (Koiwa et al. 1997): (1) membrane permeabilization is not inhibited at 4°C, (2) PR5 proteins share none of the features that are normally associated with pore-forming proteins that breach the membrane (Osmond et al. 2001; Batalia et al. 1996; Koiwa et al. 1999), and (3) osmotically stabilizing mannitol does not protect cells from the osmotic imbalance caused by one member of the PR5 family (PR5d). Although there is strong evidence that at least one PR5, osmotin, interacts with phosphom-

annoprotein components of the cell wall (Ibeas et al. 2000) and that this interaction is necessary for osmotin activity, this does not preclude direct pore formation as a subsequent step in permeabilization. A two-step process of first binding receptors and subsequent pore formation is a documented mechanism for numerous pore-forming protein toxins (Hurley and Misra 2000; Hong et al. 2002). Interestingly, the core  $\beta$ -sheet structure of zeamatin (and present in all PR5/PR5-L models) shares structural similarity to the cytolysin sticholysin II, a pore-forming toxin from the sea anemone *Stichodactyla helianthus*. This similarity was first mentioned by Hong et al. (2002). Sticholysin II exists as a free monomer in solution but forms a tetrameric membrane-permeating pore upon association specifically with sphingomyelin-containing membranes (De et al. 1998). The predicted mass of mature sticholysin II is 19,284 D and is slightly smaller than most PR5s, with MW ~22,000 Da. However, it is larger than a group of antifungal plant PR5s belonging to clade five (Fig. 1B) and typified by a *Triticum aestivum* protein, PWIR2, that has a MW of 15,673 Da (Rebmann et al. 1991). This clade also contained osmotin and zeamatin. Furthermore, when structural homology modeling was performed with PWIR2, acceptable structural homology models were obtained when this protein was modeled to either zeamatin or the sticholysin II (Fig. 4). It is unclear whether this represents a possible functional relationship between sticholysin II and PWIR2 (and similar clade 7 proteins) or whether it demonstrates artifactual similarities that may arise when modeling relatively small proteins that share a common motif, i.e., multiple acceptable structural models that could be formed through homology modeling that do not have biological significance. In any case, the second of the three reasons for excluding direct pore formation by PR5s is no longer valid: some PR5 pro-

teins share similar structure (albeit not sequence similarity) to known pore-forming protein toxins. Whatever the cause, the structural relationship of PWIR2 with the sticholysin structure offers enticing comparative data that could be the basis for functional studies of PR5/PR5-L-membrane interactions. The first reason for dismissing direct pore formation by PR5s is also in question since biological membranes are not necessarily crystalline at 4°C. Evidence from pore-forming proteins indicates that temperature sensitivity may be a function of pore-forming protein stability, not membrane fluidity (Zitzer et al. 2000). The stabilization of the PR5/PR5-L structure with multiple disulfide bonds, a characteristic not shared by many other pore-forming peptides, may impair greater stability at 4°C. These insights suggest the need to consider pore formation by at least some PR5s as a testable hypothesis.

The phylogenetic analysis of the relationship of the PR5-L sequences from insects, nematodes, and plants indicates a strong evolutionary pressure to conserve sequence and structure of the protein. Associated with this pressure is the continued increase in copy number of these genes during evolution in both plants and animals. Sequence conservation between plants and insects was also noted for antimicrobial plant defensins and an antimicrobial drosomycin peptide from *Drosophila* that share 40% identity (Fehlbaum et al. 1994). Antimicrobial peptides are considered part of an organism's innate immunity and there is convincing evidence that signal transduction pathways that trigger innate immunity can be traced back to a common genetic ancestor in plants and animals (Baker et al. 1997). This similarity led to the statement that "...it is a provocative thought that innate immunity in both plants and animals may have evolved from common ancestral modules that have been used to protect against infection for more than 1 billion years of evolution. (Hoffmann et al. 1999). Our analyses show that the phylogenetic and structural relationship of insect, nematode, and plant PR5-L proteins support this ancient relationship and provide insight into the continued nature of the evolutionary adaptation of these proteins.

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