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# Allelic Variation of HERV-K(HML-2) Endogenous Retroviral Elements in Human Populations

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Abstract. Human endogenous retroviruses (HER-Vs) are the remnants of ancient germ cell infection by exogenous retroviruses and occupy up to 8% of the human genome. It has been suggested that HERV sequences have contributed to primate evolution by regulating the expression of cellular genes and mediating chromosome rearrangements. After integration  $\sim$ 28 million years ago, members of the HERV-K (HML-2) family have continued to amplify and recombine. To investigate the utility of HML-2 polymorphisms as markers for the study of more recent human evolution, we compiled a list of the structure and integration sites of sequences that are unique to humans and screened each insertion for polymorphism within the human genome databases. Of the total of 74 HML-2 sequences, 18 corresponded to complete or near-complete proviruses, 49 were solitary long terminal repeats (LTRs), 6 were incomplete LTRs, and 1 was a SVA retrotransposon. A number of different allelic configurations were identified including the alternation of a provirus and solitary LTR. We developed polymerase chain reaction-based assays for seven HML-2 loci and screened 109 human DNA samples from Africa, Europe, Asia, and Southeast Asia. Our results indicate that the diversity of HML-2 elements is higher in African than non-African populations, with population differentiation values ranging from 0.6 to 9.8%. These findings denote a recent expansion from Africa. We compare the phylogenetic relationships of HML-2 sequences that are unique to humans and consider whether these elements have played a role in the remodeling of the hominid genome.

Key words: Human endogenous retrovirus (HERV) — HERV-K(HML-2) — Retrovirus-like sequences — Solitary LTR— Provirus — Recombination — Gene conversion — SVA — Human genome evolution

## Introduction

Endogenous retroviruses (ERVs) are vertically transmitted genetic elements that remain from ancient retroviral infection of germ line cells. Following the original insertion of the provirus, intracellular retrotransposition and recombination have led to an increase in the copy number of particular families (Lower et al. 1996). ERVs are stably integrated into the genomes of all vertebrates and are transmitted as Mendelian genes. Analysis of the draft sequence of the human genome shows that approximately 8% is composed of retrovirus-like elements, which includes both proviral sequences and a large number of long terminal repeats (LTRs) (Lower et al. 1996; Patience et al. 1997; International Human Genome Sequencing Consortium 2001). Several distinct human ERV families (HERVs) have been identified, which show different genomic integration patterns (Urnovitz and Murphy 1996) and range in copy number from 1 to 1000 (Tristem 2000). HERVs are classified into families based

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upon their putative tRNA primer binding site specificity; HERV-I for Ile tRNA and HERV-K for lys tRNA. Mutational events have rendered most of these HERVs replication defective following integration, although many remain transcriptionally active (Goodchild et al. 1995; Huh et al. 2003). The HERV-K superfamily is acknowledged to be the most biologically active class of HERV, having retained the ability to encode functional retroviral protein (Towler et al. 1998) and produce retrovirus-like particles (Simpson et al. 1996; Seifarth et al. 1998).

Since the identification of the HERV-K prototype, HERV-K10 (Ono et al. 1986), phylogenetic analysis of a conserved reverse transcriptase (RT) region has led to the definition of six HERV-K subgroups, HML-1 to HML-6 (Medstrand and Blomberg 1993; Zsiros et al. 1998). The HML-2 group appears to have integrated into the germ line approximately 28 million years ago, before the evolutionary split of lower Old World primates and hominoids (Reus et al. 2001b). Despite this relative age, HML-2 open reading frames appear to be maintained (Zsiros et al. 1999) and the presence of sequences that are unique to humans indicates that they were continuing to undergo amplification relatively recently (Medstrand and Mager 1998; Barbulescu et al. 1999; Buzdin et al. 2002, 2003). HML-2 proviral genomes are classified into two types based upon a 292-bp deletion at the pol–env boundary, with Type I elements carrying the deletion. Both Type I and Type II proviral genomes have remained retrotranspositionally active following the evolutionary split of chimpanzees and hominids (Costas 2001). HML-2 elements are easily distinguished from their progenitor, HERV-K(OLD), as they have a 96-bp deletion in gag which has not disrupted the open reading frame and further 8- and 23-bp deletions within their LTRs (Mayer et al. 1998; Reus et al. 2001b).

Recent genome-wide comparisons of human and chimpanzee have demonstrated that large-scale genomic rearrangements, such as segmental duplications and the insertion of retroelements, provide a significant source of DNA variation within the host species (Liu et al. 2003; Frazer et al. 2003; Locke et al. 2003). To date, most evolutionary studies have focused on the interspersed repetitive elements, L1 (long interspersed element 1) and Alu (short interspersed element); these have shown that these retroelements serve as mutagens at both the structural and genic levels (Deininger and Batzer 2002). For the same reasons, HML-2 elements may also have contributed either by serving as nucleation points for homologous recombination (Hughes and Coffin 2001) or by regulating the expression of cellular genes (Lower et al. 1996; Akopov et al. 1998; Domansky et al. 2000; Vingradova et al. 2001). In this study we have examined the genomic structure and integration sites of HML-2 elements that are unique to humans and have

investigated their potential role in the remodeling of the human genome. We have also analyzed their phylogeny and demonstrated their utility for the study of human genomic diversity.

#### Materials and Methods

#### Identification of  $HERV-K(HML-2)$  Polymorphisms

The GenBank nonredundant and high-throughput genomic sequence database (http://www.ncbi.nlm.nih.gov/genome/seq/Hs-Blast.html), the Ensembl database (http://www.ensembl.org), and the HERV-d (http://herv.img.cas.cz) database were screened using the HERV-K10 sequence (accession No. M14123) as a probe. Accessions containing full-length HML-2 genomes were aligned by hand in the SIMMONIC sequence analysis package (Simmonds and Smith 1999), with individual elements determined by their cellular flanking sequences and chromosomal location. The flanking regions of each genome were then screened by standard nucleotide–nucleotide BLAST against the nonredundant and highthroughput sequence databases, in order to detect paralogous sequences and to ascertain if polymorphism was present at specific loci. Accessions reported to contain human-specific HML-2 LTRs and near-complete genomes were also individually screened for polymorphism within the human genome databases, with subsequent designation according to their cytogenetic location and flanking sequences.

#### DNA Samples and PCR Primers and Conditions

Samples from a chimpanzee (Pan troglodytes) and gorilla (Gorilla gorilla) along with 25 African, 28 Asian, 22 European, and 34 Papua New Guinean humans were collected as buccal swabs or serum. Genomic DNA was isolated using the QIAamp DNA kit (Qiagen, UK), following the manufacturer's instructions. DNA quality and authenticity were confirmed by PCR amplification for the sex chromosome-specific amelogenin gene (Faerman et al. 1995) on the human samples and the protamine gene on the chimpanzee samples (data not shown).

Each sample was subjected to a series of PCR amplification reactions in order to assess polymorphism within selected HML-2 loci (Fig. 1). DNA sequences adjacent to each HML-2 insertion were used to design unique flanking region primers. Primers were screened by standard nucleotide–nucleotide BLAST against the nonredundant and high-throughput sequence databases, to ensure that the DNA sequences were unique. Elements that resided in repetitive sequence regions could not be examined by PCR. Universal primers for HML-2 LTR, gag, and env genes were designed according to a consensus sequence, which was obtained by aligning all of the HML-2 sequences examined in this study. Heminested PCR reactions were performed in instances where single-round PCR proved difficult to optimize. This process utilized two consecutive rounds of amplification, the first round using an external pair of primers while the second round contained one of the first primers and a single nested primer which is internal to the first primer pair. The amplicon produced by the first round of PCRwas used as a template for the second PCR amplification.

PCR amplification primers and conditions for each HML-2 loci are listed in Table 1. Reactions were carried out in volumes of 50  $\mu$ l, with each containing 200 ng of genomic DNA, a 200  $\mu$ M concentration of each dNTP, a  $0.5 \mu M$  concentration of each primer, and 0.5 units of  $Taq$  DNA polymerase in standard PCR buffer as supplied by Promega. The second round of PCR used  $2 \mu$  of first-round PCR product and was performed in volumes of 30  $\mu$ l, with a reaction mix as listed above. The resulting PCR products were analyzed



Fig. 1. PCR scheme for detecting HERV-K(HML-2) allelic variants.

by electrophoresis through a 2% agarose gel, with the product size confirmed by comparison to a 100-bp ladder (Promega). Nucleotide sequencing was carried out directly on second-round PCR products using ABI PRISM Big Dye kits (Applied Biosystems).

#### Sequence and Population Genetic Analysis

Sequence data obtained using the ABI PRISM kits were viewed using the CHROMAS sequence viewer and directly imported into the SIMMONICS sequence analysis package. Eighty-six full-length LTRs which were representative of 67 human-specific HML-2 insertions were aligned by hand in SIMMONIC. A neighborjoining tree was constructed using MEGA, version 2.1 (http:// www.megasoftware.net/), with the Kimura two-parameter distance estimate and pairwise deletion of gaps. Allele frequencies, Hardy– Weinberg tests, and Wright's  $F_{st}$  statistic were estimated using PopGene, version 1.31 (http://www.ualberta.ca/ $\sim$ fyeh/).

# Results

# Activity of  $HERV-K(HML-2)$  Elements Within the Human Lineage

Screening of the human sequence databases for HML-2 proviruses revealed 3 novel sequences and 29 formerly identified complete and near-complete elements (Table 2). A further 15 less intact proviral sequences have also previously been identified (Hughes and Coffin 2001; Reus et al. 2001b), bringing the total number of identified HML-2 proviruses within the human genome to 47. The three HML-2 near-complete proviruses identified in this study were located at 4p16 (AC105916), Xq28 (AF277315), and 10q24.2 (AL392107). The chimpanzee orthologue of the provirus contained within the pseudoautosomal region

of the human X chromosome (Xq28) was also detected within accession AC144385.

Eight of 18 human-specific HML-2 proviral genomes were Type I and 10 were Type II, indicating the coexistence of two retrotranspositionally active master elements during hominid speciation. Further computational screening with the flanking regions of individual elements revealed that five were polymorphic, showing a number of different configurations (Fig. 1). Two proviruses, HERV-K113 and HERV-K115, were dimorphic for insertion, with one allele representing the presence and the second the absence of a complete provirus. Other variable features included the alternation of a complete provirus with a solitary LTR(HERV-K103 and HERV-K106) and, finally, the variable existence of a tandem duplication of the HERV-K108 provirus.

Human-specific HML-2 LTR sequences have previously been identified by targeted genomic difference analysis (TGDA) and BLAST determination, with subsequent phylogenetic identification by PCR amplification (Buzdin et al. 2002; Lebedev et al. 2000). We catalogued all of the human-specific HML-2 LTR sequences discovered to date, determined their cytogenetic location, and assigned subtype according to their classification in previous publications (Table 3). During sequence alignment we observed that several LTR sequences either had been observed by more than one study and were assigned different names or were misinterpreted as solitary LTRs when they were part of a complete provirus. Of the total of 74 human-specific HML-2 LTR sequences, 18 were complete or near-complete



<sup>a</sup> Amplification required 2 min of initial denaturing at 94°C, and 35 cycles of 30 s at 94°C, 30 s at the annealing temperature (AT), and 30 s of elongation at 72 $\rm ^{o}C$ . A final extension time of 6 min at 72 $\rm ^{o}C$  was added.

proviruses, 49 were solitary LTRs, and a further 6 could not be distinguished between near-complete proviral sequences and solitary LTRs, as they have lost the 5' or 3' end of their sequence. Further sequence comparison of the HML-2 LTR contained at  $Xq26.3$  (AL359703) to the SVA<sub>STPA1</sub> retroelement (AC016142) (Ostertag et al. 2003) revealed that this human-specific sequence was a member of the SVA (SINE, VNTR, and Alu) retrotransposon family. As SVA elements are derived from SINE.R retroelements which are composed of a partial HERV-K(HML-2) env and a  $3'$ -LTR (Shen et al. 1994), it can be concluded that the LTR at  $Xq26.3$  is not a direct product of the retrotransposition of a HERV-K (HML-2) provirus. Computational screening of the flanking regions of each of the human-specific HML-2 LTRs indicated that two solitary LTRs were polymorphic for insertion. The first was located at 6p21.32 (Z80898) and is reported to have arisen through duplication of the MHC complex (Horton et al. 1998); the second was located at 9q12 (AL39220). With the exception of chromosomes 13, 15, 18, and Y, all chromosomes contained at least one human-specific HERV-K(HML-2) LTR sequence that arose through the process of retrotransposition.

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Table 2. Complete and near-complete HERV-K(HML-2) proviruses within the human genome

<b>HERV</b>	Species <sup>a</sup>	Location		Type <sup>b</sup> Accession No.	Nucleotide difference	Features <sup>c,d</sup>	Reference		
K101	Human	$22q$ ll. $2$	Ι	AF16409	$\overline{c}$		Barbulescu et al. (1999)		
K102	Human	1q21	I	AC007326/FID 83799 AFI64610 AL353807/FID 1	5 4 $\boldsymbol{2}$		Barbulescu et al. (1999)		
K103	Human	10p12.1	I	AC044819 AF164611/AF59796 AL591164	$\overline{c}$ $\tau$ 6		Barbulescu et al. (1999)		
K104	Human	5p14.3	П	AL139404 AF164612	17	Solo LTR Polymorphic	This study Barbulescu et al. (1999)		
K <sub>106</sub>	Human	3q13.2	I	AC025757/AC116309 AF16540/AC078785	17 1		Barbulescu et al. (1999)		
				AC024108		Solo LTR Polymorphic	This study		
K107 HERV-K10	Human	5q33.3	I	M14123 AF164613 AC016577/FID27409	2 $\overline{4}$ $\mathfrak{2}$		Ono (1986)		
K108 HML-2.HOM $HERV-K(C7)$	Human	7p22.1	$_{\rm II}$	AC072054/AC0104060 Y17832/AF164614 AF074086 FID37994	$\overline{2}$ Polymorphic 6 $\boldsymbol{0}$ 3		Mayer et al. (1999) Tonjes et al. (1999) Reus et al. (2001a)		
K109	Human	6q14.1	$_{\rm II}$	AF261945 AL590785 AC0055116	$\overline{c}$ 5		Barbulescu et al. (1999)		
K113	Human	19p13.11	$\rm II$	AY037928 AC092364	3	Polymorphic	Turner et al. (2001)		
K115	Human	8p23.1	$_{\rm II}$	AY037929 AC130464/AC130367	14 14	Polymorphic	Turner et al. (2001)		
12q14.1	Human	12q14.1	$\rm II$	AC0025420 AC074261 FID58908	4 19 20		Costas (2001)		
11q22.1	Human	11q22.1	$\rm II$	AP007776/FID54721	4		Costas (2001)		
$HERV-K(II)$	Human	3q21.2	$_{\rm II}$	AB047209/AC092902 AC069047/AC092903 AC026957	18 18		Sugimoto et al. (2001)		
3q27.2	Human	3q27.2	I	AC069420 AC015525/AC133473	3		Hughes and Coffin (2001)		
1p31.1	Human	1p31.1	I	AC093156	$\boldsymbol{0}$	$\Delta$ 2846 bp pol	Hughes and Coffin (2001)		
21q21.1	Human	21q21.1	I	AL109763 AL163218 AF240627		$\Delta$ 164 bp gag $\Delta$ 712 bp 3' LTR	Kurdyukov et al. (2001)		
HERV-K(C19) Human		$19p12-q12$ II		AFO17229 AC112702/ AC010508 Y 17833		$\Delta 5'$ LTR	Tonjes et al. (1999)		
12q24.11	Human	12q24.11	$\mathbf{I}$	AC002350		$\Delta$ 520 bp env $3'$ LTR	Medstrand and Mager (1998)		
4q23.1	Chimp	4q32.1	I	AC106872 AC108519/AC068369	37	$\Delta$ 1937 bp pol	Hughes and Coffin (2001)		
K105	Gorilla	21q11.1	I	AF16419 AF260249 AF260253	40		Barbulescu et al. (1999)		
K110 HERV-K18	Gorilla	1q23.3	I	AL121985/AC068728 Y18890/FID2 AF164618 AF134984/AF012336	34 33 36		Ono (1986)		
11q23.2	Gorilla	11q23.2	I	AP000831/FID54716	6		Costas (2001)		
10p14	Gorilla	10p14	$\rm II$	AC015686/FID50753 AL392086	30 30		Costas (2001)		
$HERV-K(I)$	Gorilla	3q12.1	I	AB047240 AC084198/FID13837	19 18		Sugimoto et al. (2001)		
6p21.1	Gorilla	6p21.1	$\rm II$	AL035587	39	Ins Alu Y gag	Reus et al. (2001b)		
3p25	Orangutan 3p25		$\bf{I}$	AC018829/AC018809	53	$\Delta$ 2554 bp pol	Hughes and Coffin (2001)		
19p13.11	Orangutan 19p13.11		I	AC011467/AC036240 AC068369/AC078899	62	Ins $6760$ bp 5' LTR Δ2554 bp pol	Hughes and Coffin (2001)		



a The most distant species in which a given provirus is reported to be found (Barbulescu et al. 1999; Kurdyukov et al. 2001; Hughes and Coffin 2001; Turner et al. 2001).

<sup>b</sup>HERV-K(HML-2) proviral genome classification, based upon the presence of the diagnostic, 292-bp deletion at the *pol–env* boundary, with Type I elements carrying the deletion.

c Ins, insertion.

 ${}^d\Delta$ , deletion.

# Inter- and Intraelement Recombination—Comparison of Direct Repeat Sequences

In common with infection by exogenous retroviruses, retrotransposition and subsequent integration of HERV-K endogenous retrovirus result in the generation of short target site duplications of 4 to 6 bp at the integration site. These direct repeat sequences flank either end of the newly integrated provirus and should be identical at the time of integration. Integrated proviruses or solitary LTRs with different target site duplications serve as potential signatures of interelement homologous recombination, which would be expected to have resulted in large-scale chromosomal rearrangements (Hughes and Coffin 2001). We examined the target site duplications of the 74 human-specific HML-2 sequences in order to investigate the impact of such events during hominid evolution. The three nearcomplete proviruses, 12q24.11 (AC002350); HERV-K(C19) (AF017229), and 21q21.1 (AL109763), along with the six incomplete LTRs, were not included in the data set, as they had lost one or more of their direct repeats. The human-specific HML-2 LTR at Xq26.3 (AL359703), which was a component of a SVA retroelement, and the polymorphic solitary LTR at 6p21.32 (Z80898) were also not included, as they did not represent the recent retrotranspostion and integration of a proviral sequence. This left a total of 63 HML-2 elements that could be analyzed.

Each of the respective allelic variants of the polymorphic loci, HERV-K103 and HERV-K106, had identical direct repeats, implying that the solitary LTRs were generated as a result of (intraelement) homologous recombination between the 5<sup>'</sup>- and the 3¢-LTRs of each respective provirus. Such an event is also expected to produce and result in the loss of a near-complete provirus consisting of a single LTR along with the *gag*, *pol*, and *env* genes. For the same reasons, intraelement recombination leading to the internal duplication of a proviral sequence is also likely to have generated the tandem duplication of the HERV-K108 provirus and is expected to have also led to the formation of a solitary LTR at the same chromosomal location.

If HERV-K sequences with inconsistent direct repeats arose through (interelement) homologous recombination between proviruses located either on different chromosomes or in different regions of the same chromosome, then exchanges would be expected to produce a reciprocal HERV-K element with an opposite configuration of direct repeats and flanking regions. Of the remaining HML-2 sequences, two had disparate target site duplications, indicating their likely hybrid nature. Within the human genome databases they exist as solitary LTRs and are located on chromosomes 7p21.2 (AC006035) and 17q22 (AC032016).

We screened the human genome databases for the expected reciprocal product of each of the ''hybrid'' HML-2 sequences; none of the predicted sequences were present. This implied either that the reciprocal products were not present in the representative individuals sequenced by the human genome projects or that the expected reciprocal sequences do not form a constituent of the contemporary human gene pool. In order to confirm that the two human-specific solitary LTRs were a product of interelement recombination, we designed unique 5' and 3' flanking region primers for the solitary LTRs at 7p21.2 (AC006035) and 17q22 (AC032016) and conducted amplification for both the solitary LTR and the preintegration site in human and



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<sup>a</sup>Subfamily classification as defined (Lebedev et al. 2002; Mamedov et al. 2002; Buzdin 2003). <sup>b</sup>This study.

c Deletion.

nonhuman primates. Initial results confirmed that both of the solitary LTRs were not present in chimpanzee and gorilla, indicating either that they were not fixed in

the gene pool at the time of human/chimpanzee/gorilla divergence or that they had integrated during hominid evolution. We then performed amplification for the



Fig. 2. Flanking region duplication leading to variable direct repeat sequences. A Solitary LTR 7p21.2. The preintegration sequence in chimpanzee and gorilla is represented by the top figure and contains a 250-bp sequence with the respective nucleotide sequences GGATGA and AGGCAA at each end. The human-specific solitary LTR at 7p21.2 (accession No. AC006035) has inconsistent direct repeat sequences AGGCAA and GGATGA, which are underlined. Sequence comparison indicates that the solitary LTR is flanked by a 250-bp duplication of the preintegration sequence. B Provirus 21q21.1. The top figure represents the preintegration sequence present in chimpanzee (accession No. BS000043). The near-complete human-specific provirus at 21p21.1 (accession No. AL109763) contains a truncated 3¢-LTRof 257 bp which is adjacent to a 450-bp duplication of the preintegration site sequence.



integration site in primates. The human sequence of the HERV-K(HML-2) LTR-containing locus (accession No. AC032016) is

preintegration site in the nonhuman primates under the expectation that a negative result indicated interelement recombination. If recombination had occurred between different proviruses located at different chromosomal regions, then the expected product either would be too large to amplify or would not exist in nonhuman primates. Contrary to expectation, amplicons were produced, suggesting that the disparate target site duplications were generated by an alternative mechanism (Figs. 2 and 3).

Sequence analysis of the preintegration site and solitary LTR at 7p21.2 indicated that the variable direct repeats were a result of an apparent duplication of the 5¢ flanking sequence (Fig. 2A). A similar situation was also observed for the human-specific provirus at  $21q21.1$ , where the  $3'$ -LTR of the provirus appears to be truncated by a sequence paralogous to the 5<sup>'</sup> flanking sequence (Fig. 2B). Presuming that the provirus contained two identical LTRs at insertion, this duplication must have occurred following integration. For the solitary LTRat 17q22, sequence data on the preintegration site indicated that the downstream direct repeat was 4 bp shorter than the upstream one (Fig. 3). These observations indicate that inconsistent

shown on the top line. Nucleotide substutions at each position are indicated with the appropriate nucleotide. Alignment gaps are indicated by dashes. The direct repeats of the solitary LTR are underlined.

direct repeat sequences do not always reflect interelement recombination events (see Discussion).

#### Phylogeny of HML-2 LTRs Unique to Humans

In order to further examine the retrotransposition and evolution of the human-specific HML-2 elements, we generated a neighbor-joining tree from the alignment of 87 full-length HML-2 LTR sequences (Fig. 4). Individual LTR sequences are identified according to their consensus name or genomic location. With the exception of the HERV-K115 provirus, the 5<sup>'</sup>- and 3<sup>'</sup>-LTR of each individual HML-2 provirus grouped together, supporting the view that they had not undergone interelement recombination or sequence exchange. However, the LTRs of the HERV-K115 provirus were divergent, reflective of the provirus having undergone gene conversion. The solitary LTRs of the polymorphic loci HERV-K103 and HERV-K106 grouped with the LTRs of their progenitor provirus, confirming that they were generated through intraelement homologous recombination. The respective 5'- and 3'-LTRs of the



Fig. 4. Phylogeny of human-specific HML-2 LTRs. Individual LTRs are named according to the chromosomal location of the corresponding accession clone or bibliographic name of the sequence. P5—5-LTR; P3—3-LTR; S—Solitary LTR; R—direct repeats vary. The shaded LTRs are polymorphic and have arisen through intraelement recombination. The arrows emphasize the divergent LTRs of the HERV-K115 provirus. Roman numerals denote the genome structure of the HERV-K(HML-2) proviral sequences, with Type I sequences carrying a 292-bp deletion at the pol–env boundary. (O) LTR subfamily HS-a. ( $\bullet$ ) LTR subfamily HS-b.

tandemly repeated provirus and the single, provirus located at 7p22.1 (HERV-K108) also grouped, indicating that the tandem proviral sequence also arose through intraelement recombination. Interestingly, the distribution of the Type I and Type II proviral LTRs was not monophyletic, as would be expected if these elements follow a ''master'' or ''source'' model of retrotransposition (see Discussion).

HERV-K LTRs have previously been classified according to diagnostic nucleotide differences and intragroup divergence. Recently, 40 human-specific HML-2 LTR sequences were classified into the subtypes Hs-a and Hs-b (Buzdin et al. 2003). Superimposition of these subtypes on the phylogenetic tree indicated that this taxomony was consistent, although the two subtypes were not clearly distinguished and grouped independently of the Type I and Type II proviral genomes. This suggests that HML-2 LTRs may be subject to a high degree of sequence exchange between closely related sequences.

# Relative Age of HML-2 Loci

During the retrotransposition of a provirus, reverse transcription generates a new retrovirus-like sequence containing two identical LTR sequences. Assuming that a provirus has not undergone any form of sequence exchange and there is no selective pressure acting on it, the accumulative nucleotide differences between the LTRs can serve as a molecular clock (Dangel et al. 1995). We calculated the number of nucleotide differences between the 5<sup>'</sup>- and the 3<sup>'</sup>-LTRs of the 32 intact HML-2 proviruses that were present within the human genome and compared each result to the relative age of the provirus (Table 2). Several inconsistencies were observed which were indicative of sequence homogenization between the LTRs of individual elements.

First, sequence data for individual elements were discrepant; this is exemplified by the human-specific provirus at 12q14.1 where the accession clone AC025420 has 4 nucleotide differences but the analogous accessions AC074261 and FID58908 had 19 and 20 differences, respectively. Second, relative age did not correspond with accumulative nucleotide differences. As the provirus at 11q23.2 (AP000831) is present in humans, chimpanzees, and gorillas, its relative age is expected to be between 6.2 and 12 mya (Chen and Li 2001). However, it contains only six accumulative nucleotide differences between the LTRs, which, compared to the relative age of comparable proviral loci such as 10p14 (AC015686), is indicative of a more recent insertion. Additionally, the LTRs of the insertionally polymorphic HERV-K113 provirus (AY037928) vary by 3 bp, whereas the HERV-K106 provirus (AC078785), which is reported to be universal in contemporary humans (Barbulescu et al. 1999), has only a 1-bp difference between the LTRs. In order to further investigate these discrepancies we designed PCR-based assays and tested for the absence of two human-specific proviral loci; 3q27.2 (AC069420) and HERV-K107 (5q33.3), which, according to their accumulative differences





Note. A, single provirus; B, tandemly repeated provirus; C, solitary LTR.

Table 5. Genomic variation associated with HERV-K(HML-2) loci

	<b>HERV-K</b> locus											
	$K113^a$			$K115^a$			$K103^b$			$K106^b$		
Population	n	$(+)$ Frequency $h^{c}$		$\boldsymbol{n}$	$(+)$ Frequency h		$\boldsymbol{n}$	$(+)$ Frequency h		n	$(+)$ Frequency h	
Africa	25	0.2	0.32		$25 \t 0.2$	0.32		25 0.04	0.08		$25 \quad 0.1$	0.18
Asia	28	0.107	0.19	28	0.0	0.0		28 0.0	0.0	27	0.074	0.1
Europe	22	0.0	0.0		22 0.0	0.0		22 0.0	0.0	21	0.071	0.09
Papua New Guinea	26	0.231	0.36	34	0.088	0.18	15	0.0	0.0	28	0.071	0.13
Average		0.134	0.217		0.072	0.125		0.01	0.02		0.079	0.125
Total	101	0.138	0.216	109	0.073	0.126	90	0.011	0.019	101	0.069	0.126
$F_{\rm st}$		0.069			0.098			0.03			0.006	

a Provirus insertion.

<sup>b</sup>Solitary LTR.

<sup>c</sup>Heterozygosity.

(three and two), appear to have integrated into the human germ line relatively recently.

## PCR Analysis of HML-2 Loci

HERV-K polymorphisms serve as ideal genetic markers for examining human evolution, as they are stable and identical by descent and the ancestral state is known to be the absence of the insertion. We developed a PCR-based assay to examine the allelic variation associated with seven HML-2 proviral loci— HERV-K113 (19p12), HERV-K115 (8p23.1), HERV-K103 (10p12.1), HERV-K106 (3q13.3), HERV-K108 (7p22.1), 3q27.2 (AC069420), and HERV-K107 (5q33.3)—and determined their geographical distribution by amplifying for their presence in 109 DNA samples from four diverse human populations. A schematic diagram of our PCR-based strategy and the predicted outcomes of intraelement homologous recombination are depicted in Fig. 1. Unique 5' and 3' flanking region primers were designed in order to detect the preintegration site sequence or solitary LTRat each of the selected loci. The absence of PCR product indicated either the deletion or the presence of a HML-2 provirus. This was evaluated by amplifying for a complete proviral sequence using the unique 5<sup> $\prime$ </sup> flanking primer and universal gag primer. Detection of the allelic variation present at the HERV-K108 loci, which can contain a tandemly repeated provirus (Reus et al. 2001a), initially involved conformational screening for the presence of proviral sequence at that locus, using the unique 5<sup>'</sup> flanking region primer and universal gag primer. The presence of at least one copy of the tandemly repeated provirus was analyzed by amplifying with the universal primers gag and env. Computational screening within the human genome databases for the potential combinations of the universal primers gag and env indicated that the predicted amplicon was unique to the HERV-K108 on chromosome 7.

The first polymorphic HML-2 locus that we examined was HERV-K108 on chromosome 7. As we did not perform amplification reactions that spanned the entire length of the HERV-K108 loci, we were unable to distinguish between individuals who were heterozygous in possessing one copy of the ancestral single proviral allele (A) and a copy of the tandemly repeated provirus (B) from individuals who were homozygous for the tandemly repeated provirus (BB) (Table 4). However, in performing conformational amplification for the presence of the HERV-K108 insertion, we were able to determine the number of individuals who were homozygous in possessing the ancestral copy of the provirus (AA). Interelement recombination leading to the production of a tandemly repeated provirus is also expected to generate a solitary LTR. We detected such a solitary LTR in a single individual, indicative of an allele frequency of 0.02 within the African population and a worldwide frequency of 0.005.

The human genomic variation associated with the remaining six HML-2 loci indicated that four of the loci were dimorphic and two loci were monomorphic, consistent with the data retrieved from the human genome databases (Table 5). Allele frequencies for the bi-allelic loci ranged from 0.231 for the HERV-K113 provirus in the Papua New Guinean population to 0.00 for all loci in a number of cases. Interestingly, the allele frequencies for the solitary LTR at the HERV-K103 locus ranged from 0.04 in the African population to zero in all other populations, perhaps suggesting that the solitary LTR has arisen relatively recently. The average heterozygosity values for each locus also varied, from 0.217 for the HERV-K113 locus to 0.00 for the monomorphic loci HERV-K107 and 3q27.2. Only one significant departure from Hardy–Weinberg equilibrium was observed in 24 individual tests; this was for the HERV-K106 solitary LTR in the Papua New Guinean population (data not shown). As 1 of 20 tests are expected to be significant at the 5% level by chance alone, this departure may be due to random statistical fluctuation. The between-population differentiation values for each bi-allelic locus ranged from 0.098 for the HERV-K115 to 0.006 for the HERV-K106 solitary LTR and were all significant by contingency analysis (data not shown). This implies that 90.2 to 99.4% of the genetic variation associated with the polymorphic HML-2 loci is within a population, supporting a recent demographic expansion of contemporary human populations.

# **Discussion**

HERV elements make up a significant proportion of the human genome (8%) and have been proposed to be pacemakers in the evolution of primates (Sverdlov 2000). Determining the structure and cytogenetic location of HML-2 sequences that are unique to humans can be regarded as a starting point for studies investigating their impact, perhaps in regulating the expression of cellular genes or in remodeling the human genome. Here we have reported the structure and cytogenetic location of 74 human-specific HML-2 sequences, of which 15 are complete proviruses and 3 sequences represent near-complete proviral sequences which have lost one of their LTRs (Turner et al. 2001; Barbulescu et al. 1999; Costas 2001; Hughes and Coffin 2001; Sugimoto et al. 2001; Tonjes et al. 1999; Reus et al. 2001a). A single SVA retrotransposon was also characterized, which is located at Xq26.3. Intraelement homologous recombination between the 5<sup>'</sup>- and the 3<sup>'</sup>-LTRs of a provirus

results in the excision of the retrovirus-like sequence and leaves behind a solitary LTR(Mager and Goodchild 1989). In this study we also describe 49 solitary LTRs, all of which are unique to humans (Mamedov et al. 2002; Medstrand and Mager 1998; Buzdin et al. 2002, 2003; Lebedev et al. 2000; Kurdyukov et al. 2001). A further six sequences have lost the  $5'$  or  $3'$  end of their LTR sequence, so we are unable to determine if they were solitary LTRs or complete proviral elements prior to sequence loss. Within this study we have not considered HML-2 sequences which subsist solely as gag, pol, or env genes, although the human genome is likely to contain a significant number of such sequences (Mayer et al. 1997a,b), many of which could be unique to humans.

# Copy Number of HML-2 LTRs

The higher proportion of solitary LTRs within the human lineage indicates that the recombination events which led to the loss of structural genes occurred at a faster rate than the retrotransposition, integration, and fixation of novel proviral sequences within the germ line. Further implications are that the solitary LTRs are more genetically stable and/or less deleterious than a full-length provirus and that the recombination events leading to their production are occurring in quick succession after proviral integration. As three of the seven polymorphisms identified within this study (HERV-K103 HERV-K106, and HERV-K108) originate from humanspecific proviruses and are generated through intraelement recombination, this observation is confirmed. Interestingly, only 1 individual possessed the HERV-K108 solitary LTR, whereas 46 individuals possessed at least one copy of the reciprocal tandem repeat, perhaps suggesting that the tandem provirus is more genetically stable than the solitary LTR. The increase in HERV-K copy number within the human lineage may also be attributed to complex and recurrent DNA arrangements such as duplication (Medstrand and Mager 1998; Nadezhdin et al. 2001) and is exemplified by the solitary LTRat 6p21.32 (Z80898), which is reported to have arisen through the duplication of the MHC complex (Horton et al. 1998).

## Interlocus Recombination

In addition to operating as insertional mutagens, retroelements also serve as substrates for gene conversion and recombination, which has led to a variety of human diseases (Stankiewicz and Lupski 2002; Deininger and Batzer 2002; Ostertag et al. 2003). With the exception of a recombination event between two HERV15 proviruses that flank the AZFa region on the human Y chromosome (Sun et al. 2000; Bosch and Jobling 2003), interelement/interlocus recombination between HERVs is not a frequent cause of human mutation. Despite this, HERV sequences are highly recombingenic (Johnson and Coffin 1999; Hughes and Coffin 2001). The recently recharacterized family of retrotransposons, SVA (SINE, VNTR, and Alu), is derived from SINE and HERV-K(HML-2) elements (Zhu et al. 1994; Ostertag et al. 2003) and a chimeric HERV-H/HERV-K retroelement transposed onto chromosomes 10, 19, and Y before the divergence of the human/chimpanzee gorilla lineages (Lapuk et al. 1999). Recombination or gene conversion has led to the concerted evolution of the HERV-H family (Mager and Freeman 1995) and has also resulted in the homogenization of the LTRs of the RTVL-1a and HERV-K(HML-2) K110/K18 proviral loci (Johnson and Coffin 1999).

Disparate target site duplications are proposed to serve as a signature of involvement of a HERV proviral sequence in interelement/interlocus recombination events. As at least 16% of the HERV-K(HML-2) proviruses that are present within the human genome are estimated to have been involved in such events, they may have had a major impact in primate genome evolution by mediating large-scale chromosomal rearrangements (Hughes and Coffin 2001). We analyzed the direct repeats of all human-specific HML-2 sequences that could be determined to have arisen through the retrotransposition of a HML-2 proviral genome, in order to assess their effect upon the plasticity of the hominid genome. Of the 63 elements that could be considered, two solitary LTRs had disparate target site duplications. PCRamplification and sequencing of their respective preintegration sites in nonhuman primates revealed that neither of these HML-2 loci had been involved in interlocus recombination events. The most parsimonious explanation for the flanking sequence duplication of the solitary LTRat 7p21.2 (Fig. 2A) and the provirus at 21q21.1 (Fig. 2B) is that unequal crossover occurred within a common ancestor who was heterozygous in possessing an allele of the preintegration site sequence and a second allele containing the integrated provirus. If such an event did occur, then the reciprocal sequence would be expected to appear as a preintegration site sequence with a deletion immediately upstream of the site of integration. In the case of the provirus at 21q21.1, in addition to a 450-bp deletion of host chromosomal DNA, the reciprocal would also contain the last 712 bp of the 3'-LTR. We also screened the human genome databases for the expected reciprocal products of unequal crossover and did not detect any such sequences, indicating either that they were not present in the representative individuals sequenced by the human genome projects or that they

Sequence analysis of the preintegration site of the solitary LTR at 17q22 revealed that the downstream direct repeat was 4 bp shorter than the upstream (Fig. 3). The downstream target site duplication could either have lost 4 bp through deletion or during integration when an incomplete target site duplication of only 2 bp was generated. As our results show that disparate direct repeats do not always reflect interlocus recombination events and that at least 3% (2 of 63) of HERV-K(HML-2) sequences, which arose through the retrotransposition of a proviral genome, are a result of unequal crossover, the prediction that at least 16% of HML-2 proviruses have been involved in interlocus recombination events during primate genome evolution may be an overestimate. However, it should be considered that the genomic retroviral elements that exist today represent only a small fraction of total germ line integration and subsequent recombination events that have occurred, namely, those that were not detrimental to the host and that also became fixed in the genome of common ancestors.

## Gene Conversion of HML-2 Proviral Loci

Mobile element families are expected to evolve following a ''master gene'' model of retrotransposition, whereby a few "master" elements give rise to the vast majority of novel sequences with subfamilies evolving either through the accumulation of mutations within the master genes or by the successive replacement of master genes by novel ones. Sequence exchange or gene conversion between different subfamilies of elements can confuse the expected topology, resulting in the apparent accelerated or decelerated evolution of a family (Shih et al. 1991; Mager and Freeman 1995; Kass et al. 1995; Roy-Engel et al. 2002). The phylogeny of HERV-K(HML-2) LTRs presented in this study suggests that a high degree of gene conversion has occurred within the human lineage (Fig. 4). First, the distribution of Type I proviral genomes is not monophyletic, as would be expected if these novel insertions arose from the clonal expansion of a master proviral genome which carried a 292-bp deletion at the *pol–env* boundary. We also observed similar topology for the gag, pol, and env structural genes (data not shown), indicating that sequence exchange was not restricted to the LTRs. This suggests that the diagnostic 292-bp deletion has been exchanged several times within recent evolutionary time scale between the Type I and the Type II genomes, leading to the production of mosaic proviruses (Costas 2001). Second, the classification of HML-2 LTRs into the subtypes Hs-a and Hs-b (Buzdin et al. 2003) is also not consistent with clonal expansion, as the subtypes group independently of the Type I and

Type II proviral genomes. During sequence analysis we also observed that none of the human-specific LTRs contained the diagnostic 8- and 23-bp insertions that are present within the LTRs of the HML-2 ancestor sequences, HERV-K(OLD) (Reus et al. 2001b), indicative of sequence exchange exclusively between highly homologous and recently retrotransposed sequences. The divergent LTRs of the HERV-K115 provirus support this view, as the element is predicted to have entered the human gene pool relatively recently (Turner et al. 2001). However, if gene conversion has occurred as frequently as the Type I/Type II phylogeny suggests, then additional HERV-K(HML-2) proviruses would also be expected to possess highly divergent LTRs. Gene conversion leading to the homogenization of the LTRs within a provirus would counteract this effect and is likely to have occurred regularly within the human lineage as exemplified by the provirus at 12q14.1. This phenomenon has previously been observed within the HERV-K(HML-2) K110/K18 and RTVL-1a proviral loci (Johnson and Coffin 1999). A major consequence of such gene conversion events would be that the accumulative nucleotide differences between the two LTRs of a provirus do not accurately reflect the relative age of the provirus, as demonstrated by the provirus at 11q23.2, which represents an underestimate of time since integration.

# $HERV-K(HML-2)$  Polymorphisms for the Study of Human Evolution

HERV insertional or structural mutations leading to the production of a solitary LTR offer several advantages for examining human genomic diversity. First, large numbers of DNA samples can be rapidly typed using PCR-based assays. Second, as with LINE and SINE retroelements, the de novo insertion of a HERV sequence within the germ line represents a unique event in human genome evolution. The large number of potential target sites within the human genome and the random nature of retroviral integration denote that homoplasy is highly unlikely. Third, HERV sequences are stable, as there are no known mechanisms for completely removing them without deleting host chromosomal DNA or leaving behind a solitary LTR. Accordingly, the directionality of the insertion and the formation of a solitary LTR can unambiguously be assigned to a specific lineage, as individual loci containing the same HERV sequence are identical by descent. Fourth, the ancestral state of a HERV sequence is ultimately its absence and is represented by a preintegration site sequence. HERV sequences that are unique to humans can be determined through PCR analysis of the orthologous region in nonhuman primates. This information can be used to root trees of population

relationships derived from analysis of HERV polymorphisms. Finally, as the process of reverse transcription generates two LTR sequences that are identical at the time of HERV sequence insertion, the accumulative nucleotide differences between them can serve as a molecular clock (Dangel et al. 1995). However, this measure will be invalidated if a HERV sequence has been subject to recombination or gene conversion after integration.

To ascertain the utility of HERV polymorphisms for examining human evolution, we screened each of the 74 human-specific sequences reported within this study for polymorphism within the human genome databases and determined that seven HML-2 elements were dimorphic. Two of these were solitary LTRs which were polymorphic for insertion. The first is located at 6p21.32 and is reported to have arisen through the duplication of the MHC complex (Horton et al. 1998); the second is located at 9q12. As this chromosomal region is highly repetitive, it is impossible to confirm through PCR amplification the allelic variation of the loci.

In order to examine the genomic variation associated with the remaining five polymorphic HML-2 loci, we developed a PCR-based assay to determine the allelic variation associated with each of them in four diverse human populations. Three bi-allelic loci, HERV-K113, HERV-K115, and HERV-K108, showed geographical distributions that were consistent with previous reports (Reus et al. 2001a; Turner et al. 2001). The two remaining loci, HERV-K103 and HERV-K106, were dimorphic for a solitary LTR and complete copy of the provirus. The HERV-K106 solitary LTR had an average allele frequency of 0.079 and was present in all populations, whereas the HERV-K103 solitary LTR was only present in the heterozygous state in two African individuals. This indicates that the HERV-K103 solitary LTR may be a structural mutation that has arisen relatively recently or that it was unfixed at the time of human expansion from Africa.

Computational screening for the detection of novel retroelement insertion has previously been observed to be subject to bias (Myers et al. 2002). This dictates that high-frequency polymorphisms are lost in the screening process and low-frequency polymorphisms are underrepresented in the human genome databases. We surveyed the genomic variation associated with a further two human-specific HML-2 proviral loci, HERV-K107 and 3q27.2, in order to assess if polymorphism was present. According to the accumulative nucleotide divergence of their respective LTRs, both of these proviruses entered the human gene pool recently and so were likely candidates for insertional or solitary LTRallelic variation. Our results indicated that each of the loci was monomorphic, in accordance with the human genome databases. These results

further emphasize that for recent evolutionary events, the accumulative nucleotide differences of the LTRs of a HML-2 provirus do not serve as an accurate measure of time since insertion.

The debate over recent human origins has focused on two models (reviewed by Stringer 2002). The ''multiregional model'' proposes that over the last 1.5 million years, modern humans arose independently in different regions of the world but remained a single species through worldwide gene flow. In contrast, the "recent replacement model," or "Out of Africa 2," suggests that a single population of modern humans migrated from Africa approximately 100,000 to 200,000 years ago and replaced archaic human populations throughout the world. Our survey of the human genomic diversity of HML-2 loci indicates that the genetic diversity of the African population is far higher than non-African populations and that 90.2 to 99.4% of this genetic variability is within a population, supporting a recent demographic expansion of modern humans from Africa.

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