

Evolutionary Pattern of Angiosperm bZIP Factors Homologous to the Maize Opaque2 Regulatory Protein

Michel Vincentz,^{1,2} Claudia Bandeira-Kobarg,¹ Luciane Gauer,¹ Paulo Schlögl,¹ Adilson Leite¹

¹ Centro de Biologia Molecular e Engenharia Genética, Universidade Estadual de Campinas, Cidade Universitária “Zeferino Vaz,” Distrito Barão Geraldo, 13081-970, Campinas, SP, Brazil

² Departamento de Genética e Evolução, IB, Universidade Estadual de Campinas, Cidade Universitária “Zeferino Vaz,” Distrito Barão Geraldo, 13081-970, Campinas, SP, Brazil

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Abstract. Opaque2 (O2) is a bZIP transcriptional regulatory factor involved in the control of seed storage proteins synthesis as well as carbon and nitrogen metabolism during maize seed development. Phylogenetic analysis of a possible complete and nonredundant collection of angiosperm bZIP factors resulted in the identification of 20 angiosperm O2-homologues that defined what we call the O2 gene family. Members of the family share a highly conserved bZIP DNA binding domain and several other motifs which define important functional features. The O2 family was enriched by the identification of 25 new putative angiosperm O2 homologous genes in EST databases and in the rice genome. Based on parsimony analysis, the collection of O2 homologues was organized into one eudicot–monocot and three monocot groups of orthologous genes and two groups of eudicot genes. These results support a model of the evolution of the O2 family that involves two O2 homologous gene duplications before the separation of monocots and eudicots. Further expansion of O2 homologues resulted in at least three and one gene duplications in the monocot and eudicot lineages, respectively. O2 appears to have been the result of a monocot-specific gene duplication event, and the possibility that O2 represents a func-

tional specialization restricted to monocots is suggested.

Key words: Angiosperm — *Arabidopsis thaliana* — bZIP factors — Opaque2 — Phylogeny

Introduction

Transcriptional regulatory factors of the basic leucine zipper (bZIP) class have been described in all eukaryotes (Wingender et al. 2000). These factors bind DNA as dimers through a conserved DNA binding domain. This domain is formed by a region rich in basic amino acids that interact with the DNA target site and by a zipper of leucines that consists of several heptad repeats of hydrophobic residues that promote dimerization (Hurst 1995). In angiosperms (flowering plants), Opaque2 (O2) is one of the best-characterized bZIP transcriptional regulators. O2 is an important regulatory locus of seed endosperm development of the monocot species *Zea mays* (maize) (Schmidt 1993). O2 specifically accumulates in the developing endosperm, where it activates the expression of α - and β -prolamin storage protein genes (Schmidt et al. 1992; Vicente-Carbajosa et al. 1997; Cord Neto et al. 1995), the b-32 albumin genes (Lohmer et al. 1991), and the gene for the cytoplasmic pyruvate orthophosphate dikinase (Gallusci et al. 1996). O2 is also involved in the control of lysine accumulation (Kemper et al. 1999) and threonine metabolism

(Damerval and Le Guilloux 1998). Overall, these results point to a role for *O2* in the coordinated regulation of storage protein synthesis as well as carbon and nitrogen metabolism during seed development. The recent suggestion that the diurnal modulation of *O2* DNA binding activity by phosphorylation/dephosphorylation is regulated by diurnal metabolic fluxes is in line with the latter view (Ciceri et al. 1999).

Identifying *O2*-related bZIP factors among angiosperms and understanding their evolution are of interest for two reasons: first, because *O2* plays an important role in seed development and, second, because *O2* has been extensively studied. A phylogenetic analysis using the amino acid sequences of the highly conserved bZIP domain of 50 angiosperm bZIP proteins identified a cluster of 8 monocot and eudicot *O2*-related proteins, which may form a gene family (Vettore et al. 1998). Although the probable *O2* orthologues from the close relatives of maize, sorghum and *Coix*, are known, the orthologous/paralogous relationships among all the *O2*-related proteins which are included in this putative family are unclear. More precisely, the existence of *O2* orthologues in eudicotyledonous plants has not been established.

The purpose of this work is to get a detailed picture of the evolution of *O2*-related proteins in angiosperms, with the additional objective of defining the conditions for a broader analysis of the evolutionary history of angiosperm bZIP factors. To this end, we characterized four cDNAs that represent the complete set of *O2* homologues in the model eudicot plant *Arabidopsis thaliana* (*Arabidopsis*). Additionally, we identified a set of 41 higher-plant *O2* homologous genes, 24 of which were detected in EST databases. This set of genes defines what we call the *O2* gene family. Phylogenetic analysis revealed that the evolution of the *O2* family could be explained by monocot- and eudicot-specific gene duplication events from three ancestral genes. The possibility that *O2* is restricted to monocot species is also discussed.

Materials and Methods

Cloning of bZIP cDNAs by 3' Amplification of cDNA Ends, cDNA Library Screening, and DNA Sequencing

The 3' ends of bZIP cDNAs were amplified in two steps using two pairs of nested degenerated primers and the M13 reverse primer as a 3'-anchor in the cloning vector λ ZAP II. The first pair of nested primers is based on the sequence SNRESARRS, which is conserved in the basic domain of several plant bZIP proteins. The primers are BC5 (5'-TCHAA YMGD GARTCWGC-3'), which corresponds to the peptide SNRESA, and BC6 (5'-aaggaattcGARTCWGCHAGRAGGTC-3'), which corresponds to the peptide ESARRS. The second pair of nested primers is based on the sequence (V/A)KV K M (A/G)E(D/E), which is conserved in the leucine zipper of *O2*-related proteins. The degenerated primers are ZC3.1 (5'-

GYNAAGGTRAAGATGG-3'), which corresponds to the peptide (V/A)KV K M (A/G), and ZC3.2 (5'-aaggaattcGTRAAGATGGSN GARG-3'), which corresponds to the peptide KV K M (A/G)E(D/E). *EcoRI* sites were included in primers BC6 and ZC3.2 to facilitate subsequent cloning.

A cDNA library from *Arabidopsis thaliana* (ecotype Columbia) green siliques, which was constructed in λ ZAP II (Giraudat et al. 1992), was used as the template for the first round of amplification. Approximately $3 \cdot 10^8$ phages were amplified with 10 pmol of M13 reverse primer and 150 pmol of either BC5 or ZC3.1 in a 100- μ l reaction mixture containing 1.5 mM MgCl₂, 200 μ M deoxynucleotide triphosphate, and 3 U of *Taq* DNA polymerase in the buffer supplied by the manufacturer (BRL). Amplification conditions were 94°C for 4 min followed by 35 cycles (94°C 1 min, 42°C for 2 min, and 72°C for 2 min) and an extension step of 10 min at 72°C. The PCR products were then purified on a QIAquick PCR purification kit (Quiagen) to eliminate unincorporated primers and nucleotides. An aliquot of 3 μ l of the purified product was then used in the second round of amplification, which also included 10 pmol of M13 reverse primer and 75 pmol of either primer BC6 or primer ZC3.2. The total reaction volume was 50 μ l containing 1.5 mM MgCl₂, 200 μ M deoxynucleotide triphosphate, and 3 U of *Taq* DNA polymerase (BRL). An initial denaturation step at 94°C for 4 min was followed by 36 cycles: 94°C for 1 min, 50°C (first three cycles) and 56°C (the remaining 33 cycles) for 2 min, 72°C for 2 min, and an extension step of 10 min at 72°C. PCR products larger than 200 bp were gel purified, digested with *EcoRI*, and cloned into pBluescript SK⁺ (Stratagene) for sequencing, which was done by the dideoxy dye terminator method (Perkin Elmer).

Amplification products encoding polypeptides similar to known bZIP proteins were used as probe to isolate the corresponding full-length cDNAs from the seed cDNA library using standard protocols (Sambrook et al. 1989) and starting with $8 \cdot 10^5$ recombinant phages. After four plaque purification steps, positive phages were converted to pBluescript SK⁻ phagemid clones by in vivo excision using the R408 helper phage according to the manufacturer's instructions (Stratagene) except that the last growth step was at 42°C. The complete sequence of the full-length *BZO2H1* and *BZO2H4* (EST T22560; Table 1) cDNAs required the subcloning of an internal *HindIII/BamHI* fragment of 700 bp (in pBluescript SK⁺) and an *EcoRI* fragment of 900 bp (in pUC18), respectively. Standard protocols were used for cloning (Sambrook et al. 1989).

Construction of a Nonredundant Data Set of Angiosperm bZIP Factors and Identification of Tentative Unique Genes from EST Collections

Construction of a nonredundant set of *Arabidopsis* bZIP (Vincenz et al. 2001) and of other angiosperm bZIP proteins was achieved through iterated searches of GenBank protein databases at the National Center for Biotechnology Information (NCBI; <http://www.ncbi.nlm.nih.gov>) and the MATDB (Munich Information Center for Protein sequences *Arabidopsis thaliana* Database; <http://www.mips.biochem.mpg.de/proj/thal/>; v211200) using different known and distantly related bZIP as query sequences and the blastp and tblastn programs (Altschul et al. 1990) at the NCBI (<http://www.ncbi.nlm.nih.gov/BLAST/>) and MATDB servers (http://mips.gsf.de/proj/thal/db/search/search_frame.html). Additionally, key word searches were also performed at the NCBI and MATDB. Editing of exon sequences encoding some of the bZIP domains involved modifications of exon/introns junctions that were guided by amino acid sequence alignments and by the presence of donor (GT) and acceptor (AG) intron splice sites. ESTs related to *O2* and its homologous sequences were selected from the GenBank dbEST (<http://www.ncbi.nlm.nih.gov/>) using tblastn program and protein sequences of *O2* homologues (Table 1) as query sequences at the NCBI blast server. Selected ESTs were

Table 1. Opaque2 homologous proteins

Protein	Protein	Accession No.	
		Genomic	cDNA
Eudicot			
BZO2H1 <i>At</i>	(N) AAC78255 (M) At4g02640	CRM IV AC002330	AF3 10222
BZO2H2 <i>At</i>	(M) At5g24800	CRM V AF069716	AF3 10223
BZO2H3 <i>At</i>	(N) AAF67360 (M) At5g28770	CRM V AF262041	AF310224 <u>AA042606</u>
BZO2H4 <i>At</i>	(N) CAB77582 (M) At3g54620	CRM III AL138656	AY057509 <u>T22560</u>
BZI-1 <i>Nt</i>	AAL27150	—	AY061648
CPRF2 <i>Pc</i>	Q99090	—	X58577
Monocot			
O2 <i>Clj</i>	S42493	X78287	X78286
BLZ1 <i>Hv</i>	T04477	X80068	—
BLZ2 <i>Hv</i>	CAA71795	—	Y10834
REB <i>Os</i>	BAA36492	ABO21736	—
RISBZ1 <i>Os</i>	BAB39173	ABO53475	ABO53472
RISBZ4 <i>Os</i>	BAB39174	AAAA10058 68 ^a	ABO53473
RISBZ5 <i>Os</i>	BAB39175	OSJNBb0065 C04 ^b	ABO53474
RITA1 <i>Os</i>	T03990	AJ001267 AAAA01000 425 ^a	L34551
O2 <i>Sb</i>	CAA50642	X71636	—
SPA <i>Ta</i>	T06767	—	Y09013
O2 <i>Zm</i>	AAA33489	X15544	M29411
OHP1 <i>Zm</i>	JQ2147	—	L00623
OHP1b <i>Zm</i>	AAC49533	—	U35063
OHP2 <i>Zm</i>	JQ2148	—	L06478

Note. (M) MATDB Arabidopsis database (<http://www.mips.biochem.mpg.de/proj/thal/>), (N) NCBI (<http://www.ncbi.nlm.nih.gov/>). The underlined accession numbers are from the ESTs we refer to in the text. BZO2H1, -H2, and -H3 and the cDNA accession numbers are our own submissions. Species abbreviations: *At*, *Arabidopsis thaliana*; *Clj*, *Coix lacryma-jobi*; *Hv*, *Hordeum vulgare*; *Nt*, *Nicotiana tabacum*; *Os*, *Oryza sativa*; *Pc*, *Petroselinum crispum*; *Sb*, *Sorghum bicolor*; *Ta*, *Triticum aestivum*; *Zm*, *Zea mays*.

^a *Oryza sativa* ssp. *indica* (NCBI).

^b *Oryza sativa* ssp. *japonica* (MATDB).

compared pairwise with the blastn program using default parameters, and overlapping sequences that share at least 98% identity over 150 nucleotides or 100% identity over 100 nucleotides were assembled into a consensus sequence (CS) that defines tentative unique genes (TUGs). Care was taken to avoid probable unspliced variants. A second dbEST search was performed with the TUGs CS to complete our analysis. Finally, an additional assembly step was performed using the PHRAP program (Green 1994). To build a final TUG CS, the CS derived from PHRAP was compared with Clustal X (Thompson et al. 1997) to the TUGs CS obtained with blastn. Rice genomic sequences (ssp. *indica* and *japonicum*) were searched for O2 homologues at the NCBI and MATDB blast servers.

Phylogentic Analysis, Computer Programs, and Web Servers

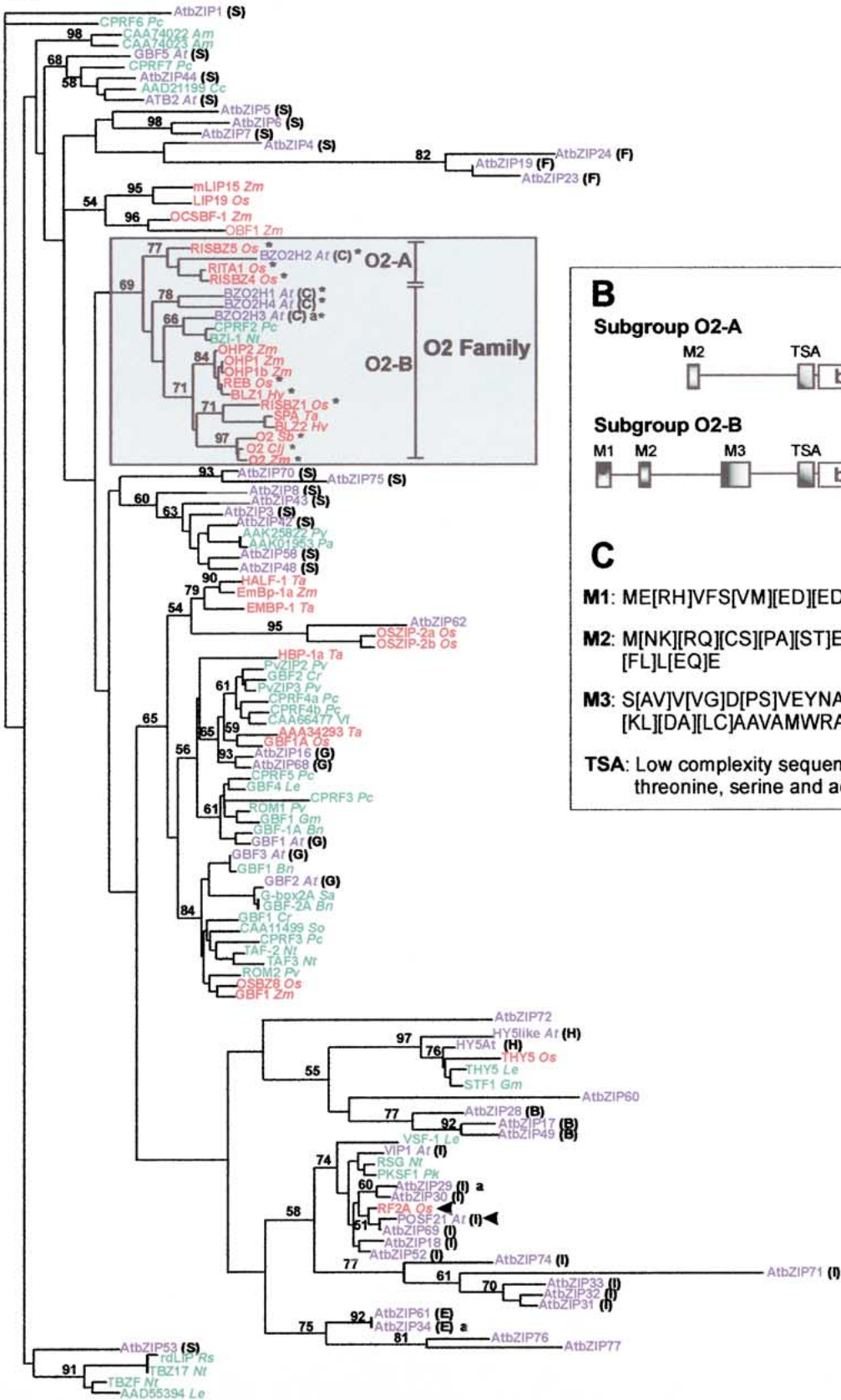
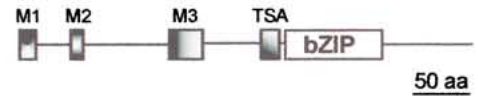
Comparison of protein sequences with the blastp program (Altschul et al. 1990) was done using default parameters but without

filtering. Standard unweighted maximum parsimony analysis was performed on nucleotide sequences by heuristic search using the branch swapping nearest-neighbor interchanges algorithm (search level, 3; initial tree search by random addition option with 100 replications) implemented in the Molecular Evolutionary Genetic Analysis (MEGA) package v 2.1 (Kumar et al. 2000). Neighbor-joining analyses of nucleotide sequence data were performed using different methods of distance estimation that are provided in the program MEGA package v 2.1 (Kumar et al. 2000). Maximum parsimony analysis of amino acid sequences was conducted with the PROTPAR program [PHYLIP, Phylogeny Inference Package version 3.57c (Felsenstein 1993)] and distance method analyses of amino acid sequences data were done with the NEIGHBOR program [PHYLIP, Phylogeny Inference Package version 3.57c (Felsenstein 1993)] using PAM distances (Dayhoff et al. 1978), which were obtained with the PROTDIST program (PHYLIP). The number of synonymous differences per synonymous site (d_s) and the number of nonsynonymous differences per nonsynonymous site (d_n) were calculated based on the method of Pamilo-Bianchi-Li (Pamilo and Bianchi 1993; Li 1993) as implemented in MEGA v 2.1. Relative rate test analysis was conducted by the method of Tajima (1993) as implemented in MEGA v 2.1 and using *Arabidopsis BZO2H3* as an outgroup. DNA sequence analysis was carried out with the DNASIS program (Pharmacia). Sequences were aligned with Clustal X (Thompson et al. 1997). Prediction of protein secondary structure was obtained through the predictprotein server (<http://www.emblheidelberg.de/predictprotein/>) (Rost 1996). Identification of conserved protein motifs was performed with the motif discovering tool MEME (Bailey and Elkan 1994; <http://meme.sdsc.edu/meme/website/>).

Results

Definition of the Angiosperm O2 Family and of the Modular Protein Structure of Its Members

We identified four *Arabidopsis* cDNAs whose predicted polypeptides were found to be highly similar to O2 based on blastp comparisons. These *Arabidopsis* O2-related proteins were named BZO2H1, BZO2H2, BZO2H3, and BZO2H4 (basic leucine zipper O2 homologous) (Table 1). *BZO2H1* and *BZO2H2* full-length cDNAs were isolated from a seed cDNA library (see Materials and methods). The full-length cDNA sequence of BZO2H3 was reconstructed by joining a partial cDNA that we had isolated from a seed cDNA library and covers the 5'-end mRNA sequence with the overlapping sequence of an EST (accession No. AA042666) that covers the 3' end of the mRNA sequence. The full-length cDNA sequence of BZO2H4 was obtained from an EST (accession No. T22560). To define the group of monocot and eudicot O2 homologues we performed a phylogenetic analysis of a possibly complete and nonredundant repertoire of 168 angiosperm bZIP factors (ABZ data set) that we constructed from sequences available in public databases. From the complete sequence of the *Arabidopsis* genome (The Arabidopsis Genome Initiative 2000), it was possible to include in the ABZ data set a possible complete and nonredundant set of 76 *Arabidopsis* bZIP proteins. This set of *Arabidopsis*

A**B****Subgroup O2-A****Subgroup O2-B****C**

- M1:** ME[RH]VFS[VM][ED][ED][PSL][DG]PFW
M2: M[NK][RQ][CS][PA][ST]EW[ATY]F[EQ][RK][FL]L[EQ]E
M3: S[AV]V[VG]D[PS]VEYNA[M]LK[RQS]KL[ED][KL][DA][LC]AAVAMWRA[ST][GS]AIP
TSA: Low complexity sequence rich in threonine, serine and acidic amino acids

bZIP factors was obtained by the integration of our own data (Vincentz et al. 2001) and those of The bZIP Research Group (Jakoby et al. 2002) and is slightly smaller than the set of 81 bZIP proteins described earlier (Riechmann et al. 2000).

In agreement with previous results (Vettore et al. 1998), significant amino acid sequence similarity among all these angiosperm bZIP proteins was found to be restricted to the minimum bZIP DNA binding domain, which consists of the basic motif and three leucine repeats (of 44 amino acids, which correspond to positions 228 to 271 in the maize O2 sequence: Fig. 2). A neighbor-joining analysis of the minimum bZIP domain (amino acid sequences) of the ABZ data set revealed the existence of two large clusters of proteins with significant bootstrap support (results not shown). These two clusters include all *Arabidopsis* bZIP factors of family III/group A (51% bootstrap support) and family II/group D (98% bootstrap support) of Vincentz et al. (2001) and Jakoby et al. (2002), respectively. As these two clusters did not contain O2 and were found to be responsible for the phylogenetic analysis of the ABZ data set being restricted to the minimal bZIP domain, their members were excluded from the ABZ collection to create a subset of the ABZ collection (SABZ data set) that includes 122 bZIP proteins. The length of the sequences that could be aligned from the SABZ data set was increased by two leucine repeats (16 amino acids) compared to the minimal bZIP domain. This, in turn, allowed an improvement in the resolution of the evolutionary relationships among the SABZ set of

proteins. The unrooted tree inferred from a neighbor-joining analysis of the bZIP domain amino acid sequences of the SABZ data set is shown in Fig. 1A. This tree identifies a well-supported group of proteins, which most likely are O2 homologues, and was defined as the O2 family (Fig. 1A). This family is formed by 6 eudicot (including the complete set of *Arabidopsis* O2 homologues BZO2H1, BZO2H2, BZO2H3, and BZO2H4) and 14 monocot proteins (Table 1). The gene structure of 13 members of the O2 family is available and was compared. For all of them, 78% of the bZIP domain is encoded by exons 4 and 5, whose size and positions are conserved (Fig. 2). Furthermore, this feature appears to be specific to members of the O2 family as judged by the analysis of the complete set of *Arabidopsis* genes encoding bZIP factors (data not shown). These results indicate that this set of 13 genes of the O2 family are homologous and support the notion that the set of 20 angiosperm bZIP factors that are included in the O2 family are indeed O2 homologous proteins.

The proteins of the O2 family share a highly conserved bZIP domain which has the double function of DNA binding and nuclear localization (Varogona and Raikel 1994). Prediction of coiled-coil structures by the Coil program (Lupas 1996) indicated that up to nine leucine (hydrophobic residues) heptad repeats may be involved in the leucine zipper dimerization domain of the O2-related proteins (Fig. 2). Additionally, a set of conserved motifs which may define important functional sequences was identified (Fig. 1B). Motifs M1, M2, and TSA participate in

Fig. 1. A Phylogenetic tree defining the O2 family. The unrooted tree was inferred by the neighbor-joining method using PAM distances of bZIP-domain amino acid sequences (basic motif plus five leucines of the leucine zipper; positions 228 to 287 of the maize O2 protein in Fig. 2) of approximately two-thirds of the complete and nonredundant set of angiosperm bZIP factors that are available in databases. Bootstrap values of 500 replicates are indicated as percentages along the branches. The O2 family is boxed in gray. Known gene structures of members of the O2 family are marked with an asterisk. *Arabidopsis*, other eudicot, and monocot proteins are shown in blue, green, and red, respectively. The bZIP-domain amino acid sequences of proteins marked with an *a* were edited (see Materials and Methods). AtbZIP76 (accession No. AAG50695) and AtbZIP77 (accession No. NP_564460) are putative new *Arabidopsis* proteins. AtbZIP73 (MATDB accession No. At2g13130) presents a stop codon in the leucine zipper coding part and was therefore not included in our data set. The classification of *Arabidopsis* bZIPs established by The bZIP Research Group (Jakoby et al. 2002) is indicated in parentheses (B, C, E, F, G, H, I, and S). The two arrows point to the outgroup used in the parsimony analysis in Fig. 3. Species abbreviations and accession numbers for the O2 family members are as in Table 1. Accession numbers of *Arabidopsis* proteins are those of The bZIP Research Group (Jakoby et al. 2002). Other accession numbers for eudicot proteins are as follows: rdLIP *Rs*, BAA34938; TBZF *Nt*, BAB13719; CPRF6 *Pc*, CAC00657; CPRF7 *Pc*, CAC00658; TBZ17 *Nt*, BAA22204; GBF1 *Cr*, AAD42937; GBF2 *Cr*, AAD42938; PvZIP2 *Pv*, AAK39130;

PvZIP3 *Pv*, AAK39131; CPRF5 *Pc*, CAC00656; GBF1 *Gm*, AAB00096; G-box2A *Sa*, T10472; GBF-1A *Bn*, CAA58774; GBF1 *Bn*, AAB03379; GBF-2A *Bn*, CAA58772; ROM2 *Pv*, AAC49474; ROM1 *Pv*, AAB36514; CPRF3 *Pc*, CAA41452; GBF4 *Le*, CAA52896; CPRF4a *Pc*, CAA71768; CPRF4b *Pc*, CAA71770; TAF-2 *Nt*, CAA88492; TAF-3 *Nt*, CAA88493; THY5 *Le*, CAC57979; STF1 *Gm*, AAC05017; RSG *Nt*, BAA97100; PKSFI *pk*, AAC04862; VSF-1 *Le*, CAA05898. For monocot proteins accession numbers are as follows: mLIP15 *Zm*, BAA05117; Lip19 *Os*, CAA40596; OCSBF-1 *Zm*, CAA44607; OBF1 *Zm*, JQ0984; HBP-1a *Ta*, BAA02304; HALF-1 *Ta*, BAA10928; EMBP-1 *Ta*, AAA68428; GBF1A *Os*, T03241; OSBZ8 *Os*, AAB40291; OSZIP2a *Os*, AAC49557; OSZIP2b *Os*, AAC49558; GBF1 *Zm*, AAA80169; EMBP-1a *Zm*, CAB62402; THY5 *Os*, BAB62558; RF2a *Os*, AAC49832. Species abbreviations not listed in Table 1 are as follows: *Am*, *Antirrhinum majus*; *Bn*, *Brassica napus*; *Cc*, *Capsicum chinense*; *Cr*, *Catharanthus roseus*; *Gm*, *Glycine max*; *Le*, *Lycopersicon esculentum*; *Pa*, *Phaseolus acutifolius*; *Pk*, *Paulownia kawakamii*; *Pv*, *Phaseolus vulgaris*; *Rs*, *Raphanus sativus*; *Sa*, *Sinapsis alba*; *So*, *Spinacia oleracea*; *Vf*, *Vicia faba*. The scale bar corresponds to 0.1 estimated amino acid substitution per site. **B** Modular organization of O2 homologous proteins. The distribution of the conserved motifs M1, M2, M3, and TSA along the protein sequence is schematized. These motifs were defined with the help of the MEME tool (Bailey and Elkan 1994). bZIP, basic leucine zipper DNA binding domain shown in Fig. 2. **C** Multilevel consensus sequences (as defined by MEME) of the conserved motifs shown in B.

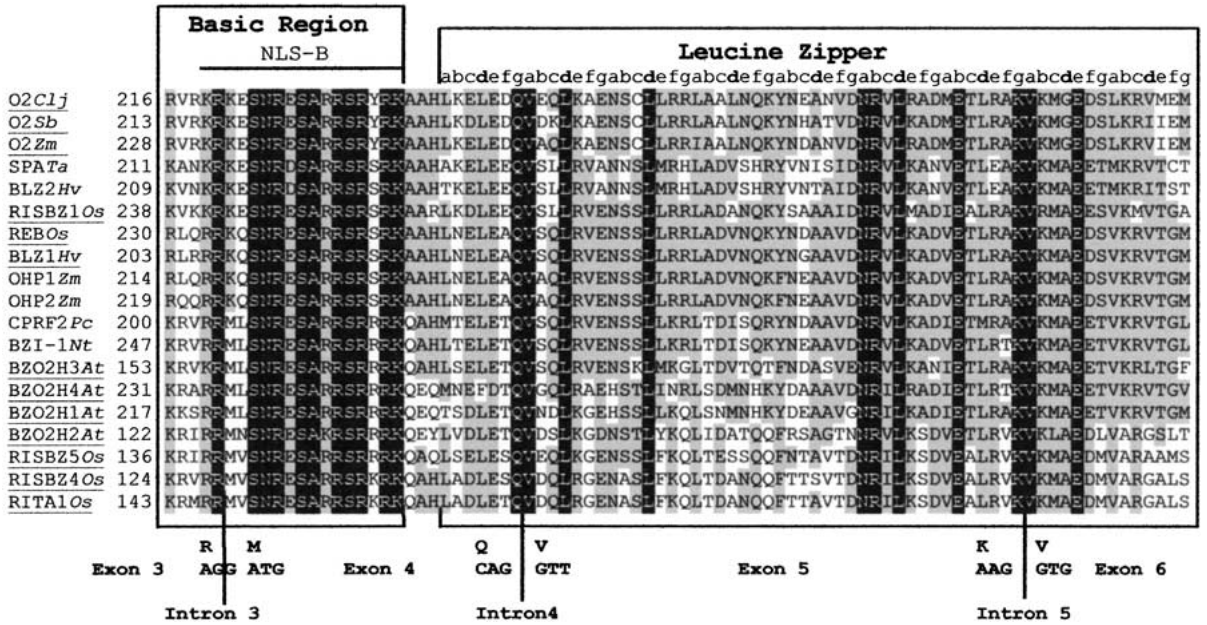


Fig. 2. Alignment of bZIP amino acid sequences of angiosperm O2 homologous proteins. The basic region includes a nuclear localization signal (NLS). The position of the amino acids in each heptad repeat of the leucine zipper is indicated (a to g) and the position (d) of leucines (hydrophobic residues) is shown in *bold face*. Identical and conserved amino acids are *boxed in gray* when

present in at least 50% of the proteins. Strictly conserved residues are highlighted in *black*. Proteins whose gene structures are known are *underlined* and the positions of introns 3, 4, and 5 are shown. Species abbreviations and accession numbers are as in Table 1. The sequence of OHP1b is 97% identical to OHP1 and was therefore not included.

transcriptional activation (Schmitz et al. 1997; Vincente-Carbajosa et al. 1998; Onodera et al. 2001), and the control of nucleocytoplasmic distribution partly involves the TSA and M3 motifs (Varagona and Raikhel 1994; Kircher et al. 1999).

These motifs can be considered as informative shared derived characters that were used to classify members of the O2 family further into the two subgroups (clades) O2-A and O2-B, which are distinguished by the presence/absence of motifs M2 and M3 (Fig. 1B). This classification is consistent with the phylogenetic analysis where members of subgroup O2-A form a well-supported cluster of proteins (Fig. 1A).

Evolution of the O2 Gene Family

Our main objective in initiating a detailed analysis of the evolution of the O2 family was to establish orthologous relationships among members of the family through the identification of groups of orthologous genes (GO). A GO consists of individual orthologous genes or orthologous groups of paralogues from several lineages (Tatusov et al. 1997). Orthologous and paralogous genes are homologous genes that result from a speciation event and from a duplication event within a lineage, respectively (Tatusov et al. 1997; Fitch 2000; Thornton and DeSalle 2000). An important aspect of defining GOs is that it should facilitate the identification of ancestral

genes and should be useful to rationalize the systematic analysis of yet uncharacterized proteins (Thornton and DeSalle 2000).

In the first step, to improve the phylogenetic analysis of the angiosperm O2 family, we searched for new O2 homologues that would be represented in plant EST databases. ESTs selected through iterated searches in the GenBank plant dbEST were assembled to form a consensus sequence (CS) that represents tentative unique genes (TUGs). The polypeptides corresponding to each TUG were confirmed to belong to the O2 family if they had the best blastp match with one of the O2 homologues defined here (Table 1). Based on the 96.5% amino acid identity that was observed between the coding sequences of the two maize recent paralogues, OHP1 and OHP1b (Pysh and Schmidt, 1996), only those CSs whose deduced amino acid sequence showed less than 97% identity over their full-length sequence with one of the O2 homologues were considered to represent new genes. Our scheme resulted in the identification of 11 monocot and 13 eudicot putative new O2 homologous genes. We noticed, however, that in some cases, nonoverlapping CSs whose deduced polypeptides show a higher similarity to the same O2 homologue might actually identify the same gene. Additionally, we identified in the recently published rice genome (Yu et al. 2002) a new O2 homologous gene (*RBZO2H*). This new O2 homologous rice gene and nine of the TUGs which

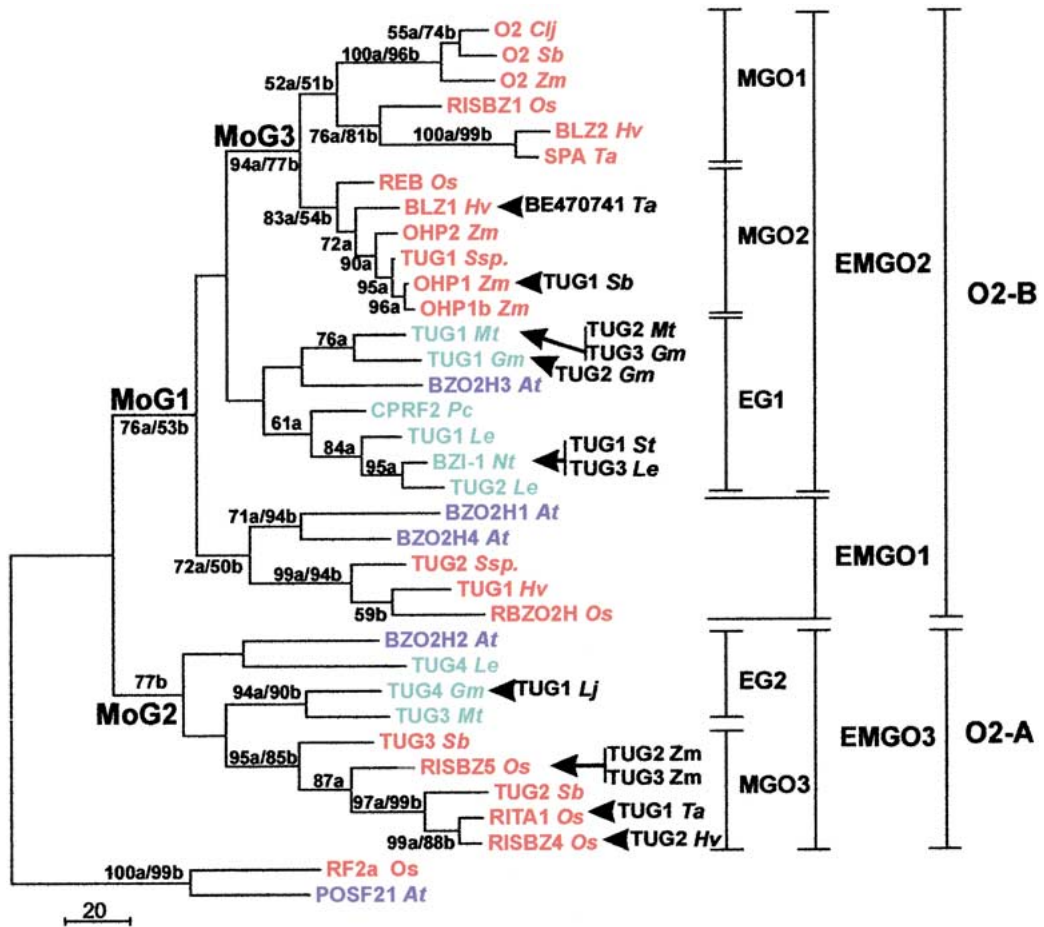


Fig. 3. Phylogeny of the *O2* homologous genes. A representative maximum parsimony rooted tree is shown. Parsimony analysis of bZIP-domain (positions 228 to 313 of maize *O2*; Fig. 2) DNA sequences of *O2* homologues was obtained by the nearest-neighbor interchange (branch swapping) algorithm included in the MEGA 2 package v 2.1 (Kumar et al. 2000). Bootstrap support over 50% obtained from an analysis including all three sites of each codon (a) or obtained from an analysis including the first two nucleotides of each codon (b) are shown along the branches. For the analysis with three sites, the number of informative sites was 204/258; the tree length, 1241; the informative site consistency index, 0.36; the informative site retention index, 0.6; and the informative site rescaled consistency index, 0.22. For the analysis with two sites, the number of informative sites was 119/172; the tree length, 477; the informative site consistency index, 0.49; the informative site retention index, 0.72; and the informative site rescaled consistency index, 0.35. *Arabidopsis*, other eudicot, and monocot proteins are shown

in blue, green, and red, respectively. The GenBank accession number of the genomic sequence (*Oryza sativa* ssp. *indica*) encoding RBZO2H is AAAA01005225. Tentative unique genes (TUGs) that were not included in the phylogenetic analysis point to their closest related *O2* homologue in the tree (arrow). Highest similarity of the TUGs that were not included in the parsimony analysis to one of the *O2* homologues was defined by protein distances that were measured with the PRODIST program (PHYLIP) on aligned amino acid sequences that were obtained with Clustal X using default parameters. MoG, monophyletic group of proteins; MGO, monocot group of orthologues; EG, eudicot group of genes; EMGO, eudicot-monocot group of orthologues. O2-A and O2-B refer to the two subgroups defined in Fig. 1. Species abbreviations are as in the legend to Fig. 1, and *Ssp.* stands for *Saccharum* sp. The scale bar represents 20 substitutions (obtained from an analysis including the three nucleotides of codons).

encoded a complete bZIP domain were included in the phylogenetic analysis of the *O2* family presented hereafter.

The evolutionary history of the *O2* family was estimated from the bZIP DNA binding domain, which is the unique well-defined structural and functional domain present in all *O2* homologues. The phylogeny of the *O2* family was evaluated by a maximum parsimony analysis of nucleotide sequences of the bZIP domain shown in Fig. 2. This analysis included a pair of outgroup sequences

formed by the monocot RF2a gene from rice and by the POSF21 gene from *Arabidopsis* (Fig. 1). These sequences were chosen as outgroups based on the shared position of an intron in the bZIP domain with *O2* homologues, indicating that these outgroups and the *O2* family most likely derive from a common ancestor (result not shown). The rooted tree inferred from the parsimony analysis is shown in Fig. 3. Parsimony analysis of bZIP domain amino acid sequences as well as distance methods (neighbor joining) applied to nucleotide and amino acids sequences

of the bZIP domain data set gave essentially the same results (data not shown).

To interpret the tree shown in Fig. 3, we considered the following three criteria. First, bootstrap support over 50% was retained for the branching pattern. Second, assuming that the complete set of *Arabidopsis* and rice *O2* homologues was identified and no selective gene loss occurred, each group of eudicot orthologues should include at least one *Arabidopsis* gene, each group of monocot orthologues should include at least one rice gene, and each group of eudicot–monocot orthologues should include at least one *Arabidopsis* and one rice gene. Third, the inferred gene phylogeny should be consistent with the known species phylogeny. Accordingly, the tree in Fig. 3 was organized into two main monophyletic groups, MoG1 and MoG2. The consistency between the composition of these two monophyletic groups and the composition of the two subgroups O2-A and O2-B, which were defined by different means (Fig. 1), provides additional support for the definition of MoGO1 and MoG2. MoG1 was further divided into MoG3, which is formed by the two monocot groups of orthologous genes MGO1 (includes O2) and MGO2, the eudicot group of proteins EG1, and the eudicot–monocot group of orthologues EMGO1 (Fig. 3). MoG2 was resolved into the eudicot group of genes EG2 and the monocot group of orthologues MGO3 (Fig. 3). This general organization was supported by the observation that the polypeptides encoded by the six monocot and six eudicot TUGs, which were not included in our phylogenetic analysis because they do not cover a complete bZIP domain, are more closely related to the monocot and eudicot O2 homologues, respectively (Fig. 3). The relationships among the eudicot genes in EG1 and EG2 (Fig. 3) could not be resolved clearly, essentially because the two *Arabidopsis* genes *BZO2H3* (EG1) and *BZO2H2* (EG2) do not cluster significantly with any of the other eudicot genes of these groups. However, considering that EG1 and EG2 fulfill the second and third criteria used earlier to interpret our tree (see above), they are likely to represent eudicot groups of orthologues. We also noticed that the two *Arabidopsis* genes in EMGO1, *BZO2H1* on chromosome IV and *BZO2H4* on chromosome III, are part of two conserved and collinear segments formed by two matching genes (result not shown). These data indicate that *BZO2H1* and *BZO2H4* are two paralogues that probably arose with one of the large-scale duplications that formed the *Arabidopsis* genome (Vision et al. 2001). Finally, the orthologous relationship among members of MGO1, which includes O2 (Fig. 3), was further supported by their shared seed-specific expression, which is a characteristic restricted to this group of genes (Schmidt et al. 1992; Albani et al. 1997; Yunes et al. 1998; Oñate et al. 1999; Onodera

Table 2. d_s and d_n among members of the monocot group of orthologues MGO1 and monocot group of orthologues MGO2

	d_s (\pm SE)	d_n (\pm SE)
MGO1: <i>O2 Zm-O2 Sb</i>	0.408 (0.108)	0.049 (0.017)
MGO2: <i>OHP1 Zm-TUG2 Sb</i>	0.086 (0.039)	0

Note. d_s and d_n were estimated over 234 nucleotides that encode 91% of the bZIP domain (positions 236 to 313 for the maize O2 factor: Fig. 2). Species abbreviations are as in Table 1, Note.

et al. 2001; our unpublished data). Together these data suggest that the O2 family can be organized into three eudicot–monocot groups of orthologous genes (EMGO1, -2, and -3; Fig. 3).

Our phylogenetic analysis also indicates that the monocot MGO1 and MGO2 emerged as the result of a gene duplication that must have occurred after the separation of monocots and eudicots and some time before the radiation of grasses (Fig. 3). To characterize this duplication event further, we decided to define d_s and d_n for pairs of orthologues in each of these two MGOs. To obtain reliable estimations for d_s ($d_s < 0.5$), we used the maize and sorghum *O2* sequences in MGO1 and their corresponding paralogues, the maize *OHP1* and the sorghum *TUG1* sequences in MGO2 (Fig. 3). We also verified the homogeneity of substitution rates among these two groups of orthologues by applying Tajima's (1993) relative rate test. No rate heterogeneity was observed among the maize and the sorghum *O2* (MGO1) or among the maize *OHP1* and the sorghum *TUG2* orthologues (MGO2). However, these two pairs of orthologues evolved at a significantly different rate (result not shown). Estimations of d_s and d_n were then calculated for 91% of the bZIP sequence, which is the limit imposed by the sorghum *TUG2*. As shown in Table 2, d_s and d_n were higher in MGO1 than in MGO2. The same trend was observed for the rice *RISBZ1* and the barley *BLZ2* genes (MGO1) and the corresponding paralogues in MGO2, the rice *REB* and the barley *BLZ1* genes (result not shown). Our data suggest that genes in MGO1 (*O2* orthologues) evolved more rapidly than their corresponding paralogues in MGO2.

Discussion

As the first step toward a broad analysis of bZIP factor evolution in angiosperms, we choose to focus our analysis on the origin of the maize *O2* regulatory locus, which is one of the best-characterized bZIP proteins (see Introduction). Additionally, our interest was to identify *O2* orthologues in eudicot species. To this end, we developed a two-step phylogenetic approach. First, from the analysis of the possible complete and nonredundant set of known angio-

sperm bZIP proteins (ABZ data set), we defined a subset of bZIP factors (SABZ data set) that allowed us, in the second step, to identify a group of 20 O2 homologous proteins (the O2 family; Fig. 1). Our analysis also allowed us to identify several other clusters of proteins with significant bootstrap support (Fig. 1) and this grouping was found to be consistent with classification schemes described earlier for the *Arabidopsis* bZIP proteins (Vincentz et al. 2001; Jakoby et al. 2002). Taken together, our results indicate that the ABZ and SABZ data sets should be useful to improve our knowledge about the evolution of angiosperm bZIP factors.

We further characterized the O2 homologous genes by determining the modular structure of the corresponding proteins. The functionally essential bZIP DNA binding domain is highly conserved and includes a leucine zipper that is possibly formed by nine leucines (hydrophobic residues). Conserved motifs involved in the control of nuclear translocation or transcriptional activation were also identified (Fig. 1B). Such functional motifs can be considered as shared derived characters and allowed us to divide the O2 family into the two evolutionary distinct subgroups O2-A and O2-B (Fig. 1). Finally, we notice that more than half of the protein sequence of all O2 homologues is poorly conserved, which could reflect either weak functional constraints or functional diversification as has been suggested in the case of the R family of basic helix-loop-helix regulatory genes (Purugganan and Wessler 1994). These observations indicate that an accurate phylogenetic analysis of the O2 family should rely mainly on the sequence of the bZIP domain, and this seems to be true for the majority of the others bZIP families (Fig. 1 and unpublished results).

The interpretation of the parsimony analysis of the bZIP domain of O2 homologues relied on (1) bootstrap support over 50%, (2) the assumption that the complete set of *Arabidopsis* and rice O2 homologues was identified, and (3) congruence between the inferred gene phylogeny and the known species phylogeny. Following these criteria, the examination of the tree inferred from parsimony analysis led to the identification of three eudicot/monocot groups of orthologues (EMGO1, -2, and -3 in Fig. 3). An effort was made to incorporate information extracted from EST databases into the phylogenetic analysis. This approach was shown here to improve the reliability of the organization of homologous genes into groups of orthologues.

The monocot species in our analysis are represented exclusively by members of the grass family, which diverged approximately 60 million years ago (MYA) (Kellog 2001). Identifying groups of orthologues among grasses should therefore be facilitated by the fact that they form a compact group of species.

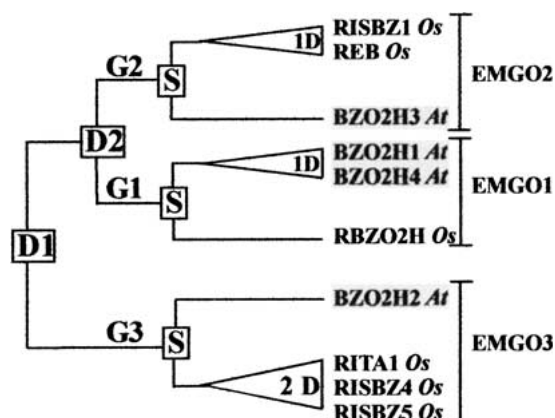


Fig. 4. Models of O2 family evolution. In the ancestral lineage of angiosperms, two duplications, D1 and D2, produced the three O2 homologues G1, G2, and G3 (ancestral functions). Following the separation (S) of monocots and eudicots, lineage-specific duplications (D) occurred. Representative eudicot genes were from *Arabidopsis* (*At*) and are boxed in gray, and representative monocot genes are from rice (*Os*).

However, whenever groups of orthologues evolve at different rates, such as in the case of the MGO1 and MGO2 genes (Fig. 3), additional information such as expression pattern, functional hints, or map position may be required to support orthology. On the other hand, the difficulty of establishing the relationships among eudicot genes, as in the case of the genes included in EG1 and EG2 (Fig. 3), is due partly to the early radiation of eudicot species, such as tomato (*Lycopersicon esculentum*) and *Arabidopsis*, which diverged about 112–156 MYA (Yang et al. 1999). The general strategy presented here sets the conditions for a broader analysis of the evolutionary relationship among angiosperm bZIP factors and the recent publication of the rice genome (Goff et al. 2002; Yu et al. 2002) should significantly improve such an analysis.

The phylogenetic analysis of the O2 family (Fig. 3) suggests a single model to explain the evolutionary history of the angiosperm O2 homologues (Fig. 4). In this model, three O2 homologous genes were present in the angiosperm ancestral lineage. After the separation of eudicots and monocots, lineage-specific gene duplications further shaped the O2 family. This model underscores the potential for functional diversification or innovation from the lineage-specific gene duplication events (Ohno 1970; Walsh 1995; Hughes 1994; Nadeau and Sankoff 1997; Zhang et al. 1998; Gu 1999; Lynch and Conery 2000; Lynch and Force 2000; Ohta 2000; Wendel 2000). For instance, the monocot–dicot EMGO3 (Fig. 3) is formed by one eudicot gene, which is likely to represent an ancestral function, and up to three monocot genes, which have diverged from each other and consequently provided the opportunity to acquire new functional specificity.

Not much is known about the possible functions of the factors included in EMGO3 (but see Onodera et al. 2001). Reverse genetic approaches aimed at inactivating the unique *Arabidopsis* gene *BZO2H2* that is included in EMGO3 is an obvious approach to learn more about EMGO3 genes.

A more informative example is provided by MGO1 (*O2* orthologues) and MGO2. These two MGOs were produced by a gene duplication that is restricted to monocots and that must have happened some time before the diversification of grasses (Fig. 3). The large differences in d_s and d_n between MGO1 and MGO2 genes (Table 2) most likely reflects altered functional constraints between these two MGOs and supports functional change between them (Zhang et al. 1998; Gu 1999; Graur and Li 2000). As this conclusion is based on the analysis of the bZIP domain, functional divergence between MGO1 and MGO2 genes may concern DNA binding and/or dimerization specificity. Functional divergence between MGO1 and MGO2 genes is further supported by molecular data gained from the maize *O2* gene (MGO1) and its paralogue *OHP1* (MGO2). For instance, the *in vitro* interaction of *O2* with a member of the Dof class of plant Cys₂-Cys₂ zinc-finger DNA binding protein is not shared by *OHP1* (Vicente-Carbajosa et al. 1997). Additionally, the expression patterns of *O2* and *OHP1* are different. *O2* is specifically expressed in the endosperm and is under the control of a circadian clock, while *OHP1* is expressed in the endosperm, root, shoots, leaves, and embryos and is not regulated by an endogenous clock (Ciceri et al. 1999; Pysh et al. 1993). Changes in the coding and regulatory sequences seem, therefore, to have contributed to the functional divergence between MGO1 and MGO2 genes (Wendel 2000). *O2* orthologues (MGO1) may have evolved toward the function of integrating the synthesis of prolamine seed storage proteins with carbon and nitrogen metabolism during endosperm development. The fact that those prolamines are considered to have appeared specifically in grasses (Shewry et al. 1995) raises the interesting possibility of a coordinated evolution of prolamines and *O2*. An implicit consequence of this model is that *O2* is a function that has been recently acquired, while MGO2 genes, which seem to be under stronger selective constraints (Table 2), represent a conserved ancestral function possibly involved in the control of some aspect of carbon and nitrogen metabolism. One predominant adaptive event in this model may have been the acquisition of endosperm-specific expression by *O2*. It remains to be determined if neofunctionalization (Walsh 1995) or subfunctionalization (Lynch and Force 2000) is responsible for the preservation of MGO1 and MGO2 genes.

O2 controls lysine degradation during maize endosperm development by regulating the expression of

the gene encoding lysine-ketoglutarate reductase/saccharopine dehydrogenase (*LKR/SDH*) (Kemper et al. 1999), and it was recently shown that knockout *Arabidopsis* *LKR/SDH* mutants accumulate lysine during seed development (Zhu et al. 2001). It appears, therefore, that control of lysine catabolism as a means of regulating its accumulation in seeds is conserved among angiosperms. It will be interesting to see if the *Arabidopsis* bZIP factor *BZO2H3*, which is more closely related to the monocot MGO1 and MGO2 genes (Fig. 3), is involved in this regulatory process.

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