# DIAGNOSTIC NEURORADIOLOGY

# Diffusion tensor MR imaging of white matter integrity in HIV-positive patients with planning deficit

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#### Abstract

*Introduction* The aim of this study was to evaluate whether normal controls and human immunodeficiency virus (HIV) patients with and without planning deficits differ on white matter integrity.

*Methods* A total of 34 HIV-positive patients with planning deficits were compared with 13 HIV-positive patients without planning deficits and 19 gender-, age-, and education-matched control subjects. Diffusion tensor imaging (DTI) was performed along 30 noncolinear directions in a 1.5-T scanner. For tract-based spatial statistics analysis, a white matter skeleton was created, and a permutation-based inference with 5000 permutations with a threshold of p < 0.05 was used to identify abnormalities in fractional anisotropy (FA). The median, radial, and axial diffusivities were also projected onto the mean FA skeleton.

Results Compared with controls, HIV-positive patients with planning deficits had decreased FA in bilateral anterior tha-

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N. Zimmermann · R. P. Fonseca Department of Psychology, Pontifical Catholic University of Rio Grande do Sul, Rio Grande do Sul, Brazil lamic radiations, bilateral inferior fronto-occiptal fasciculi, genu and splenium of the corpus callosum, bilateral superior longitudinal fascicule, and bilateral uncinate fasciculi. Compared to HIV-positive patients without planning deficits, patients with planning deficits had decreased FA in bilateral anterior thalamic radiations, bilateral inferior fronto-occiptal fasciculi, genu of the corpus callosum, bilateral superior longitudinal fascicule, and right uncinate fascicule.

*Conclusion* DTI can detect extensive white matter abnormalities in the normal-appearing white matter of HIV-positive patients with planning deficits compared with controls and HIV-positive patients without planning deficits.

**Keywords** Human immunodeficiency virus · Human immunodeficiency virus-associated neurocognitive disorders · Magnetic resonance imaging · Diffusion tensor imaging

# Introduction

More than one third of human immunodeficiency virus (HIV)positive patients will manifest neurological disorders during the course of the disease. However, conventional magnetic resonance imaging (MRI) sequences show nonspecific changes in late-stage HIV-associated neurocognitive disorders (HAND) [1]. Diffusion tensor imaging (DTI) is an imaging modality that measures, through the amount of anisotropy, the directional nature of water diffusion, which is given by cellular structures, such as myelin and white matter tracts, assessing differences in diffusion of water molecules between

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normal and pathological tissue [2]. Despite some controversial results [3], most previous studies found a decrease in fractional anisotropy (FA) and an increase in mean diffusivity (MD) and radial diffusivity (RD) values in different white matter regions of HIV-infected patients [4–12].

According to the classification of HAND, the following cognitive domains should be examined, ideally by standardized neuropsychological tests: verbal/language, attention/ working memory, abstraction/executive function, memory (learning, recall), speed of information processing, and sensory-perceptual and motor skills [13]. Executive function can be defined as complex cognitive processing, which requires the coordination of several subprocesses to perform complex tasks or goal-directed behaviors. It involves complex cognitive abilities of goal formation, planning, carrying-out goaldirected plans, and effective performance, mainly dependent on the frontal lobes [14, 15]. Planning refers to the ability to identify and organize steps and elements required to achieve a goal, a multifaceted activity that requires complex cognitive demands [15], involving the identification, organization, and completion of sequential behaviors toward the accomplishment of an objective [16].

HIV infection is associated with executive dysfunction [17–19], including planning capacity [16], which is related to impairments in daily functioning, such as inability to plan goals and step-by-step activities. However, no studies have used neuroimaging to correlate planning deficits with the degree of white matter injury in HIV. Understanding the pathophysiology underlying planning deficits in HIV-positive patients will facilitate diagnosis and interventions for this population.

This study used DTI to investigate the integrity of white matter in patients with HIV infection with and without deficits in planning, a subcomponent of executive function. Our hypothesis was that HIV patients with planning deficits would have extensive areas with abnormal FA, predominantly in the frontal lobes, compared with controls and HIV patients without planning deficits.

#### Materials and methods

#### Subjects

The Institutional Review Board approved this prospective study, and all participants signed informed consent. Between September 2009 and December 2012, 47 patients with HIV infection, confirmed by enzyme-linked immunosorbant assay and Western blot and lasting at least 5 years, were studied. HIV-positive patients were divided into two groups, based on the presence or absence of planning deficits, assessed using the Wisconsin Card Sorting Test (WCST).

The HIV-positive group with planning deficits included 34 patients (26 men and 8 women), the HIV-positive group

without planning deficits included 13 participants (10 men and 3 women) and the control group had 19 healthy volunteers (10 men and 9 women). The three groups were matched for age, sex, years of education, mean Mini-Mental State Examination score, and the HIV-positive patients groups were also matched for years of known infection (Table 1). Years of education were defined as the amount of years that the participant went to school or college, excluding the years of repetition. During the week of the MRI, the HIV-positive with planning deficits group had a mean CD4 count of 693.42 cells/µL; 32 patients had undetectable viral load, one had 717 copies/µL, and one had 580 copies/µL, and all patients were using highly active antiretroviral therapy (HAART); the HIV-positive patients without planning deficits had a mean CD4 count of 606.25 cells/µL, and all had an undetectable viral load in plasma and were using HAART. Based on the Memorial Sloan Kettering (MSK) Ratio Scale [13], 15 HIV-positive patients with planning deficits were rated 0.0, 11 were rated 0.5, and eight were rated 1.0. Eight HIV-positive patients without planning deficits were rated 0.0, three were rated 0.5, and two were rated 1.0.

Exclusion criteria were the following: declared illicit drug use within the past year, neurological disorders, such as current or past CNS infection, MRI contraindications, and abnormal brain MRI findings on conventional fluid attenuation inversion recovery (FLAIR) and sagittal 3D T1-weighted sequences. All MRIs were reviewed by an experienced neuroradiologist (ELG, 15 years of experience).

# Neuropsychological assessment

Planning cognitive score was calculated based on two WCST (128 cards) [20, 21] raw scores, which were converted into Z scores (patient score minus normative mean divided by normative standard deviation) [22]. Participants were considered to have planning impairments if they had Z scores  $\leq$ 1.5 on Learning to Learn score (percent error difference score of consecutive pairs categories) and/or Completed Categories score (number of completed categories trials, maximum of six).

The WCST is one of the most widely used tools to evaluate executive functions. This test requires strategic planning, organization, cognitive flexibility, inhibition, and setting of goal-oriented behavior. Adaptation, validation, and normative studies of WCST for the Brazilian population were published by Cunha et al. [21].

In order to not have our findings biased by other critical cognitive functions involved in planning ability, as suggested by Antinori et al. [13], we assessed and compared our groups on: attention/working memory, memory (learning and recall), speed of information processing, and sensory–perceptual and motor skills domains. Table 2 shows the variables for each cognitive domain and the neuropsychological tests used. Mean Z scores showed no significant differences on performance

#### Table 1 Sociodemographic and clinical data of HIV and controls participants

	Groups	Mean	Standard deviation	df	F	p value
Age in years <sup>a</sup>	Controls	50.84	10.29	2.63	0.40	0.66
	HIV positive with planning deficit	52.47	7.44			
	HIV positive without planning deficit	50.46	5.76			
Years of known HIV infection <sup>b</sup>	Controls	_	4.52	43	0.70	0.72
	HIV positive with planning deficit	13.06	5.23			
	HIV positive without planning deficit	12.50				
Years of education <sup>a</sup>	Controls	11.26	4.87	2.63	2.70	0.08
	HIV positive with planning deficit	9.35	4.82			
	HIV positive without planning deficit	12.54	2.66			
Sex <sup>c</sup>	Controls	10 M/9 W	_	2.64	_	0.15
	HIV positive with planning deficit	26 M/8 W				
	HIV positive without planning deficit	10 M/3 W				
Mini-Mental State Examination score <sup>a</sup>	Controls	27.74	2.02	2.63	2.60	0.08
	HIV positive with planning deficit	26.35	2.25			
	HIV positive without planning deficit	27.00	1.91			
CD4 T lymphocyte count at the time of MRI $(\text{cells}/\mu L)^b$	Controls	_	-	43	0.76	0.99
	HIV positive with planning deficit	693.42	351.59			
	HIV positive without planning deficit	606.25	305.03			

M men, W women

<sup>a</sup> ANOVA with post hoc Bonferroni

<sup>b</sup> *t* test for equality of means

<sup>c</sup> Chi-square tests

across the groups for all dimensions, except planning (ANOVA with post hoc Bonferroni), as shown in Table 3. All neuropsychological tests were performed on the same day the MRI was acquired, by one neuropsychologist with specific training on cognitive tests and 8 years of experience.

# MRI acquisition

The MRI was acquired on a 1.5-T scanner (Avanto, Siemens, Erlangen, Germany), using an eight-channel phased-array head coil. The conventional MRI protocol included axial FLAIR [repetition time (TR), 9000 ms; echo time (TE),

83 ms; field of view (FOV), 230 mm; matrix,  $244 \times 256$ ; section thickness, 4.5 mm with a 10 % gap; flip angle,  $180^{\circ}$ ; inversion time, 2500 ms] and sagittal T1 3D magnetization prepared rapid gradient echo (MPRAGE) weighted image (TR, 2730 ms; TE, 3.26 ms; inversion time, 1000 ms; FOV, 256 mm; matrix,  $192 \times 256$ ; 1.3 mm section thickness, flip angle, 7°; voxel size, 1.3 mm×1.0 mm×1.3 mm), and axial diffusion tensor single-shot echo-planar imaging was acquired using bipolar diffusion gradients applied along 30 noncolinear directions ( $b_0=0$  and  $b_1=900$  s/mm<sup>2</sup>) (TR, 10,100 ms; TE, 94 ms; FOV, 256 mm; matrix,  $122 \times 120$ ; 65 slices with 2.1 mm thickness and no gap). Subjects' heads were stabilized

Table 2 Cognitive domains tested (excluding planning), with the tests used and the considered variables in each test

Cognitive domains (excluding planning)	Neuropsychological tests	Variables
Attention/working memory	Bells cancellation test [23]	Omissions (time 1)
	Hayling test [24] and trail making test [25]	Errors (Parts A)
	Wechsler adult intelligence scale – III [26]	Digits and letter–number sequencing tasks
Memory (learning, recall)	Rey auditory verbal learning test [27]	Mean of A1 and B1 trials; mean of A7, B1 and A5 trials
Sensory–perceptual and motor skills domains	Brazilian brief neuropsychological assessment battery NEUPSILIN [28]	Constructive praxis task
Processing speed	Bells cancellation test [23]	Time 1
	Hayling test [24] and trail making test [25]	Times parts A
Verbal language	Montreal communication evaluation battery [29]	Semantic and phonemic verbal fluency tasks

Cognitive domains	Groups	Mean Z score	Standard deviation	df	F	p value
Attention/working memory	Controls	-0.01	0.65	2.61	2.36	0.10
	HIV positive with planning deficit	-0.43	0.83			
	HIV positive without planning deficit	-0.48	0.58			
Memory (learning, recall)	Controls	-0.14	0.49	2.63	2.84	0.65
	HIV positive with planning deficit	-0.86	1.26			
	HIV positive without planning deficit	-0.62	1.05			
Sensory-perceptual and motor skills domains	Controls	-0.16	1.14	2.57	0.15	0.85
	HIV positive with planning deficit	-0.35	1.18			
	HIV positive without planning deficit	-0.21	1.23			
Processing speed	Controls	-1.02	1.52	2.55	1.19	0.31
	HIV positive with planning deficit	-1.25	1.19			
	HIV positive without planning deficit	-0.59	0.93			
Verbal language	Controls	-0.16	0.87	2.62	1.29	0.28
	HIV positive with planning deficit	-0.53	0.83			
	HIV positive without planning deficit	-0.51	0.80			

 Table 3
 Comparative analysis among groups on cognitive domains Z scores

There were no statistically significant differences in the cognitive domains tested (planning not included here). Statistical analysis used: ANOVA with post hoc Bonferroni

with tape across the forehead and padding around the sides. All MRIs were reviewed by an experienced neuroradiologist (ELG, 15 years of experience) and were of good quality for post-processing.

Post-processing white matter integrity evaluation and statistical analysis

For voxelwise diffusion modeling, diffusion data were analyzed using FMRIB's Diffusion Toolbox within FSL 5.0 (http://www.fmrib.ox.ac.uk/fsl) [30]. After performing eddy current correction and brain extraction, FA images for all subjects were created by fitting a tensor model onto the raw diffusion data. Voxelwise statistical analysis of the FA data was carried out using Tract-Based Spatial Statistics (TBSS) [31], part of FSL. FA data for all subjects were aligned in a common space using the nonlinear registration tool FNIRT, which uses a b-spline representation of the registration warp field. Next, the mean FA image was created and thinned to create a mean FA skeleton, which represents the centers of all tracts common to the group. Aligned FA data for each subject were then projected onto this skeleton, and the resulting data were fed into voxelwise cross-subject statistics for all voxels with FA≥0.30 to exclude peripheral tracts with significant inter-subject variability and/or partial volume effects with gray matter. By applying the original nonlinear registration of each subject's FA to standard space, the RD, MD, and axial diffusivity (AD) were also projected onto the mean FA skeleton.

For all diffusion parameters, statistical voxelwise analysis was done using permutation-based inference (5000 permutations), corrected for multiple comparisons (controlling the family wise error) with a threshold-free cluster enhancement (TFCE) and significance level of p < 0.05.

Corrected TFCE p value images were computed to enable identification of differences in FA between pairs of groups: (1) HIV-positive patients with planning deficits versus control subjects, (2) HIV-positive patients with versus without planning deficits, and (3) HIV-positive patients without planning deficits versus control subjects. White matter tracts were then identified using the Johns Hopkins University white matter tractography atlas and the International Consortium for Brain Mapping DTI-81 white matter labels atlas (JHU ICBM DTI-81), available within FSL.

# Results

White matter integrity evaluation

#### HIV-positive patients with planning deficits versus controls

In the voxelwise-based group comparison, based on the JHU ICBM-DTI-81 white matter labels atlas, compared with control subjects, HIV patients with planning deficits had significantly decreased FA (p<0.05) predominantly in frontal lobes. Such areas of differences in FA were seen in bilateral anterior thalamic radiations, bilateral inferior fronto-occiptal fasciculi (including bilateral anterior corona radiata and external capsule and posterior thalamic radiation), genu and splenium of the corpus callosum, bilateral superior longitudinal fascicule, and bilateral uncinate fasciculi (Fig. 1).

The mean RD and MD mean values of the HIV-positive group with planning deficit, compared with controls, were increased almost in the same regions where the FA values were



**Fig. 1** Corrected P maps showing the voxels with significantly lower FA values in the brain of HIV-positive patients with planning deficit, compared with control subjects, in red (p<0.05), in the axial (**a**), sagittal (**b**), and coronal (**c**) planes. It is also shown the lower FA values in the brain of HIV-positive patients with planning deficit, compared with HIV-positive patients without planning deficit subjects, in blue (p<0.05), in the axial (**d**), sagittal (**e**), and coronal (**f**) planes. Note the predominance

reduced (Fig. 2). On the other hand, much less widespread abnormalities were seem on the AD values, being restricted to the left anterior thalamic radiation, left inferior fronto-occiptal, and left superior longitudinal fasciculi, which showed increased AD values in the HIV-positive group with planning deficit.

# *HIV-positive patients with planning deficits versus HIV-positive patients without planning deficits*

Similar to the comparison between HIV-positive patients with planning deficits and controls, HIV-positive patients with planning deficits also had significantly decreased FA values (p<0.05) in relation to those without that deficit in bilateral anterior thalamic radiations, bilateral inferior fronto-occiptal fasciculi (in the anterior corona radiata), genu of the corpus callosum, bilateral superior longitudinal fasciculi, and right uncinate fascicule (Fig. 1), also predominating in frontal lobes. The remaining areas that differed in the previous comparison also had lower FA in HIV-positive patients with planning deficit versus those without this deficit (splenium of the corpus callosum, left uncinate fascicule, remaining of bilateral

of FA value differences in the frontal lobes and genu of the corpus callosum and the similarities between the two comparisons, but with a greater extent in the comparison between HIV positive with planning deficits and control groups. There were no significant differences in mean FA values between HIV-positive patients without planning deficits and controls (not shown)

inferior fronto-occiptal fasciculi, and posterior right thalamic radiation), with a trend of significance (p=0.07).

The regions with decreased FA values above showed increased RD and MD mean values. In addition, the regions with a trend of significance of the FA analysis showed increased RD and MD mean values (Fig. 2). No significant AD mean values abnormalities were seen between these groups.

# *HIV-positive patients without planning deficits versus controls*

There were no significant differences in mean FA, MD, RD, and AD values of white matter tracts between HIV-positive patients without planning deficits and controls.

#### Discussion

In this study, we used a voxelwise-based method to assess DTI of white matter tracts in 34 HIV patients with planning deficits, 13 patients without planning deficits, and 19 control



**Fig. 2** Corrected P maps showing the voxels with significantly increased RD values in the brain of HIV positive patients with planning deficit, compared with control subjects in yellow (p < 0.05), in the axial (**a**), sagittal (**b**), and coronal (**c**) planes. It is also shown the increased RD values in the brain of HIV positive patients with planning deficit,

compared with HIV-positive patients without planning deficit subjects in brown (p<0.05), in the axial (**d**), sagittal (**e**), and coronal (**f**) planes. Note the similarity of RD values differences in the two comparisons, including the splenium of the corpus callosum, left uncinate fascicule, and bilateral inferior fronto-occiptal fasciculi

subjects. Our results showed no differences in FA, MD, RD, and AD values between HIV-positive patients without planning deficit and the control group. However, we showed significant lower FA and increased MD and RD values in the HIV-positive patients with planning deficit versus HIVpositive patients without this deficit and also versus controls, predominantly in the frontal lobes, and a difference in AD values, only when comparing the HIV-positive group with deficit with the control group.

The differences found among the groups were expected, since the executive functions are mediated primarily by the frontal lobes [15], which develop, select, and execute plans. Planning is a fundamental subcomponent of executive functions that involves a multifaceted process of identification, organization, and integration of the steps required to meet a particular goal [16], but is challenging to operationalize because it requires several component processes like attention, abstract thinking, temporal sequencing, and reasoning [32]. WCST is a standard instrument for executive impairment measures, including planning, although not considered specific for executive and planning dysfunctions, being influenced by other functions such as working memory [33]. We have

applied more specific tests to assess other cognitive dysfunctions, in addition to planning, to make the matching of the groups. Therefore, the only significant cognitive difference between the three groups in our study was the planning impairment, detected by the WCST.

Executive dysfunction remains highly prevalent in HIVpositive patients, being detected in approximately 50 % of the patients with neurocognitive impairment. Clinically, less accurate and efficient planners are more likely to be unemployed and to report declines in other instrumental activities of daily living, including medication management [16]. Cattie et al. [16] observed that individuals with HAND show difficulties in various aspects of planning with decreased accuracy and efficiency, slower problem resolution, and less flexibility in problem-solving strategies. Although the frontal lobes have a predominant role in executive function [34], recent studies have implicated a network of brain structures beyond the frontal lobes, and patients without frontal damage often have executive deficits, including planning capacity [35]. Our study found similar results to these neuropsychological studies, since it shows alterations in diffusivity values, predominantly, but not exclusively, in the frontal lobes and the HIV-positive group without planning deficit behaving very similar to the control group.

Previous authors had also assessed in vivo white matter integrity in HIV-positive patients. Most of these studies used regions of interest (ROI) manually positioned approaches and showed decreased FA values in the splenium [4, 5] and genu [3, 4] of the corpus callosum, frontal white matter [6], in the FA value of the whole brain [7], or no significant differences [8], and an increased MD value in the genu [3] and splenium [5] of the corpus callosum, in HIV-positive patients, compared to controls. However, ROI-based techniques are susceptible to errors in positioning and do not assess all white matter tracts. More recent studies, which used a voxelwise-based analysis, showed that HIV-positive patients have decreased FA in the body of corpus callosum [9], right posterior limb of the internal capsule, right inferior longitudinal fasciculus, and right optic radiation [10] and in all major white matter regions, mainly distributed in frontal, parietal, and temporal white matter regions [11]. The studies that evaluated the other diffusivity parameters found increased RD and MD values in the body of the corpus callosum, left posterior corona radiata [9], and in all major white matter regions [11], in HIV-positive patients, and increased AD values in the left superior corona radiata [9] and in some white matter regions, but to a lesser extent, in relation to the other diffusivity parameters. These results, similarly to ours, demonstrate a predominance of RD abnormal values over the AD values, on the areas of decreased FA, suggesting that demyelination could play a role on the HIV central nervous system physiopathology [36, 37]. HIVpositive patients with nonspecific macrostructural lesions had substantial FA reduction adjacent to the injured areas, encompassing several white matter tracts, whereas HIVpositive patients without macrostrutural lesions had reduced FA in similar white matter regions, but these effects were not as pronounced [12]. Cognitively impaired HIV-positive patients had significantly decreased FA in the genu and body of the corpus callosum [10], compared with cognitively normal HIV-positive patients. Some authors have found correlations between lower FA values in the whole brain with dementia severity [7], in the splenium of the corpus callosum with dementia severity and motor speed deficits [5], in the basal ganglia with visual and working memory deficits and in centrum semiovale with visuoperceptual deficits [8], and a correlation between an increased MD values in the splenium of the corpus callosum with motor velocity dysfunction [8], in the putamen, with verbal memory deficit, and in the centrum semiovale and visual memory deficit [7]. Similarly, in the present study, HIV-positive patients with cognitive deficits showed widespread white matter abnormalities in FA, RD, and MD values when compared with patients without deficits and controls. These results support the hypothesis that DTI could play a significant role in the evaluation of the normalappearing white matter of HIV-positive patients and could also be considered as a potential biomarker for cognitive impairment assessment in these patients.

Although our study used a relatively small sample, we assessed a very specific cognitive function, and it was enough to demonstrate abnormalities in the normal-appearing white matter that could indicate an early sign of cognitive impairment. Additionally, all patients were on HAART; thus, we could not compare the differences in DTI of patients treated and untreated. Although, our sample of HIV patients was heterogeneous in terms of viral load, all patients were neurologically asymptomatic, and there were no significant differences in age, sex, education, years of known HIV infection, other cognitive functions, and Mini Mental State Examination; the only difference was the presence or absence of planning deficits. While participants reported that they had not used illicit drugs for at least the previous year, this was not verified by blood tests. Finally, because our study was designed as a cross-sectional examination of DTI in HIVpositive patients, and all patients were on HAART, we could not examine longitudinal effects of treatment, lymphocyte CD4 counts, or viral load.

#### Conclusion

Using a voxelwise-based technique for analysis of DTI, we found significant abnormalities in the white matter of HIV patients with planning deficits, mainly in the frontal lobes and genu of the corpus callosum. The current study suggests that diffusion tensor MR imaging might play a significant role as a biomarker for the cognitive evaluation of HIV-positive patients associated to specific executive function impairments. These results should be replicated in other studies and extended to other cognitive functions.

**Ethical standards and patient consent** We declare that all human and animals studies have been approved by the Ethical Review Board of the Clementino Fraga Filho University Hospital and have therefore been performed in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki and its later amendments. We declare that all patients gave informed consent prior to inclusion in this study.

Conflict of interest We declare that we have no conflict of interest.

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482

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