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# 2-Nilpotent co-Higgs structures

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**Abstract.** A co-Higgs sheaf on a smooth complex projective variety X is a pair of a torsion-free coherent sheaf  $\mathcal{E}$  and a global section of  $\mathcal{E}nd(\mathcal{E})\otimes T_X$  with  $T_X$  the tangent bundle. We construct 2-nilpotent co-Higgs sheaves of rank two for some rational surfaces and of rank three for  $\mathbb{P}^3$ , using the Hartshorne-Serre correspondence. Then we investigate the non-existence, especially over projective spaces.

#### 1. Introduction

Let X be a smooth projective variety with tangent bundle  $T_X$ . A co-Higgs bundle, i.e. a pair of an holomorphic bundle  $\mathcal{E}$  and a morphism  $\Phi: \mathcal{E} \to \mathcal{E} \otimes T_X$  with  $\Phi \wedge \Phi = 0$  called the *co-Higgs field*, is a generalized holomorphic bundle over a smooth complex projective variety X, considered as a generalized complex manifold [11, 14]. It is observed that the existence of a stable co-Higgs bundle gives a constraint on the position of X in the Kodaira spectrum. Indeed, there are no stable co-Higgs bundles with non-zero co-Higgs field on curves of genus at least two, K3 surfaces and surfaces of general type [20,21]. With the same philosophy, M. Corrêa has shown in [8] that the existence of stable co-Higgs bundle of rank two with a nontrivial nilpotent co-Higgs field, forces the base surface to be uniruled up to finite étale cover. In [1] we investigate the surfaces with  $H^0(T_X) = H^0(S^2T_X) = 0$ , which implies that co-Higgs fields are automatically nilpotent. The natural definition of stable co-Higgs bundles allows one to study their moduli and there have been several recent works on the description of the moduli spaces over projective spaces and a smooth quadric surface; see [6, 19,21].

In this article our main concern is the existence and non-existence of a co-Higgs sheaf with a nilpotent co-Higgs field. The Hartshorne-Serre correspondence

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states that the construction of vector bundles of rank at least two is closely related with the structure of two-codimensional locally complete intersection subschemes. Using the correspondence we produce a nilpotent co-Higgs structure on bundles satisfying a certain condition over various varieties; see Condition 2.2. Assuming  $\operatorname{Pic}(X) = \mathbb{Z}\langle \mathcal{O}_X(1)\rangle$  for a very ample line bundle  $\mathcal{O}_X(1)$ , we define  $x_{\mathcal{E}}$  for a reflexive sheaf  $\mathcal{E}$  of rank two to be the maximal integer x such that  $H^0(\mathcal{E}(-x)) \neq 0$ , to measure its instability. Then we observe that any nilpotent map associated to  $\mathcal{E}$  is trivial if  $x_{\mathcal{E}}$  is low. In case  $X = \mathbb{P}^n$  and rank two, we get the following:

**Theorem 1.1.** The set of nilpotent co-Higgs fields on a fixed stable reflexive sheaf  $\mathcal{E}$  of rank two on  $\mathbb{P}^n$  is identified with the total space of  $\mathcal{O}_{\mathbb{P}^n}(-1)^{\oplus h^0(\mathcal{E}(1))}$ , with the zero section blown down to a point corresponding to the trivial field, only if  $c_1(\mathcal{E}) + 2x_{\mathcal{E}} = -3$ . In the other cases the set is trivial.

All co-Higgs structures on  $T_{\mathbb{P}^2}(t)$  are described in [19, Case r=2 of 5.5] and [21, Theorem 5.9]. In case  $X=\mathbb{P}^3$  we show the existence of some nilpotent co-Higgs structures on some rank three semistable bundles with trivial first Chern class.

**Theorem 1.2.** For each positive integer  $c_2$ , there exist both strictly semistable indecomposable bundle and stable bundle of rank three on  $\mathbb{P}^3$  with trivial first Chern class, on which there are nilpotent co-Higgs structures  $\Phi$  with  $\ker(\Phi) = \mathcal{O}_{\mathbb{P}^3}^{\oplus 2}$ .

We have examples of rank two semistable co-Higgs bundles of several Chern classes on some rational surfaces and the three-dimensional projective space with respect to various polarizations in Sect. 2. In Example 2.11 we show the existence of semistable co-Higgs bundles of rank two with nilpotent co-Higgs fields over the variety with no global tangent vector fields. In Example 2.13 we produce nilpotent co-Higgs structures over a smooth quadric surface and in particular we derive the existence part of [6, Theorem in page 2].

Then we turn our attention to the non-existence of nilpotent co-Higgs structures. As observed in Lemma 3.5, the existence of non-semistable reflexive sheaf of rank two with semistable co-Higgs structures forces X to be a projective space. From Proposition 3.7 any reflexive sheaf of rank two with high stability and extra condition involving new invariant  $y_{\mathcal{E}}$  turns out to have no non-trivial trace-free co-Higgs structures. So we are driven to focus on projective spaces, especially  $\mathbb{P}^2$  and  $\mathbb{P}^3$ . Using Theorem 1.2 we show the existence of both of strictly semistable indecomposable reflexive sheaf and stable reflexive sheaf of rank two with nilpotent co-Higgs structures for each Chern numbers from the Bogomolov inequality; see Corollaries 3.12 and 3.13. On the other hand, this existence are not expected to hold for vector bundles due to the following:

**Proposition 1.3.** If  $\mathcal{E}$  is a non-splitting and strictly semistable bundle of rank two on  $\mathbb{P}^3$  with the Chern numbers  $(c_1, c_2)$  with a non-trivial nilpotent co-Higgs structure, then we have  $4c_2 - c_1^2 > 32$ .

We also get similar result for stable vector bundles of rank two with the condition  $4c_2 - c_1^2 > 28$ ; see Proposition 3.15. In case of  $\mathbb{P}^2$  a general stable rank two bundle has no non-zero trace zero co-Higgs structures, except for very few integers  $c_1^2 - 4c_2$ . Indeed, we prove the following result.

**Theorem 1.4.** If  $\mathcal{E}$  is a general element in the moduli of stable sheaves of rank two on  $\mathbb{P}^2$  with  $c_1(\mathcal{E}) \in \{-1,0\}$ , equipped with a non-trivial trace-free co-Higgs structure, then we have  $c_2(\mathcal{E}) < 5(c_1(\mathcal{E}) + 5)$ .

Then we suggest a condition to insure the non-existence of non-trivial trace-free co-Higgs structure on a reflexive sheaf of rank two on non-projective spaces in Proposition 4.2, using another newly introduced invariant  $z_{\mathcal{E}}$ .

Let us summarize here the structure of this article. In Sect. 2 we introduce the definition of semistable co-Higgs sheaves and suggest a condition to construct a nilpotent co-Higgs structure, using the Hartshorne-Serre correspondence. Then we play this construction over several rational surfaces and three-dimensional projective space. In Sect. 3, we introduce two invariants  $x_{\mathcal{E}}$  and  $y_{\mathcal{E}}$  associated to a rank two reflexive sheaf, with which we collect the criterion for the existence and non-existence of non-trivial nilpotent co-Higgs structures. We finish the article in Sect. 4 by dealing with a criterion of non-existence over non-projective spaces.

### 2. Definitions and examples

Throughout the article our base field is the field  $\mathbb{C}$  of complex numbers. We will always assume that X is a smooth projective variety of dimension n with tangent bundle  $T_X$ . For a fixed ample line bundle  $\mathcal{O}_X(1)$  and a coherent sheaf  $\mathcal{E}$  on X, we denote  $\mathcal{E} \otimes \mathcal{O}_X(t)$  by  $\mathcal{E}(t)$  for  $t \in \mathbb{Z}$ . The dimension of cohomology group  $H^i(X,\mathcal{E})$  is denoted by  $h^i(X,\mathcal{E})$  and we will skip X in the notation, if there is no confusion.

**Definition 2.1.** A *co-Higgs* sheaf on X is a pair  $(\mathcal{E}, \Phi)$  where  $\mathcal{E}$  is a torsion-free coherent sheaf on X and  $\Phi \in H^0(\mathcal{E}nd(\mathcal{E}) \otimes T_X)$  for which  $\Phi \wedge \Phi = 0$  as an element of  $H^0(\mathcal{E}nd(\mathcal{E}) \otimes \wedge^2 T_X)$ . Here  $\Phi$  is called the *co-Higgs field* of  $(\mathcal{E}, \Phi)$  and the condition  $\Phi \wedge \Phi = 0$  is an integrability condition originating in the work of Simpson [22].

Let  $\mathcal{E}$  be a torsion-free sheaf on X and  $\Phi: \mathcal{E} \to \mathcal{E} \otimes T_X$  be a map of  $\mathcal{O}_X$ -sheaves. We say that  $\Phi$  is 2-nilpotent if  $\Phi$  is non-trivial and  $\Phi \circ \Phi = 0$ . Note that any 2-nilpotent map  $\Phi: \mathcal{E} \to \mathcal{E} \otimes T_X$  satisfies  $\Phi \wedge \Phi = 0$  and so it is a non-zero co-Higgs structure on  $\mathcal{E}$ , i.e. a nilpotent co-Higgs structure.

**Condition 2.2.** For a fixed integer  $r \geq 2$ , a two-codimensional locally complete intersection  $Z \subset X$  and  $A \in Pic(X)$ , we consider the following two conditions:

- (i)  $H^0(T_X \otimes \mathcal{A}^{\vee}) \neq 0$ ;
- (ii) the general sheaf fitting into the following exact sequence is locally free,

$$0 \to \mathcal{O}_X^{\oplus (r-1)} \stackrel{u}{\to} \mathcal{E} \stackrel{v}{\to} \mathcal{I}_Z \otimes \mathcal{A} \to 0. \tag{1}$$

Our main object of interest is the middle term  $\mathcal{E}$  in (1) with the additional property that it is reflexive. If X is a smooth surface, then reflexivity is equivalent to local-freeness and in the Examples 2.8, 2.9, 2.10, 2.11, 2.12 and 2.13 we produce vector bundles. If n is at least 3, there are many reflexive, but non-locally free sheaves of rank two. In Example 2.14 we produce such sheaves.

Remark 2.3. By [23] any smooth projective variety X of dimension n satisfying  $H^0(T_X(-1)) \neq 0$  is isomorphic to  $\mathbb{P}^n$ . So Condition 2.2(i) with  $\mathcal{A} \cong \mathcal{O}_X(1)$  implies that  $X = \mathbb{P}^n$ . Note that we always have  $H^0(T_X(-2)) = 0$ , except when  $X = \mathbb{P}^1$ .

**Definition 2.4.** For a fixed ample line bundle  $\mathcal{H}$  on X, a co-Higgs sheaf  $(\mathcal{E}, \Phi)$  is  $\mathcal{H}$ -semistable (resp.  $\mathcal{H}$ -stable) if

$$\frac{\det(\mathcal{F}) \cdot \mathcal{H}^{n-1}}{\operatorname{rank} \mathcal{F}} \leq (\operatorname{resp.} <) \frac{\det(\mathcal{E}) \cdot \mathcal{H}^{n-1}}{\operatorname{rank} \mathcal{E}}$$

for every coherent subsheaf  $0 \subsetneq \mathcal{F} \subsetneq \mathcal{E}$  with  $\Phi(\mathcal{F}) \subset \mathcal{F} \otimes T_X$ . In case  $\mathcal{H} \cong \mathcal{O}_X(1)$  we will simply call it semistable (resp. stable) without specifying  $\mathcal{H}$ .

Remark 2.5. Take any torsion-free sheaf  $\mathcal{E}$  fitting into (1) with  $Z=\emptyset$  and  $\mathcal{A}$  any numerically trivial line bundle. Then  $\mathcal{E}$  is  $\mathcal{H}$ -semistable with respect to any polarization  $\mathcal{H}$ . By Lemma 2.6,  $\mathcal{E}$  has a nonzero 2-nilpotent co-Higgs field.

**Lemma 2.6.** Fix a torsion-free sheaf  $\mathcal{E}$  fitting into (1) and assume Condition 2.2(i). Then there exists a 2-nilpotent co-Higgs structure on  $\mathcal{E}$  with  $\ker(\Phi) \cong \mathcal{O}_{\chi}^{\oplus (r-1)}$ .

*Proof.* Any non-zero section  $\sigma \in H^0(T_X \otimes \mathcal{A}^{\vee})$  induces a non-zero map  $h: \mathcal{I}_Z \otimes \mathcal{A} \to T_X$ . Then we may define  $\Phi$  to be the following composite:

$$\mathcal{E} \stackrel{v}{\to} \mathcal{I}_Z \otimes \mathcal{A} \stackrel{h}{\to} T_X \stackrel{g}{\to} \mathcal{O}_X^{\oplus (r-1)} \otimes T_X \stackrel{u \otimes \mathrm{id}}{\to} \mathcal{E} \otimes T_X,$$

where the map g is induced by an inclusion  $\mathcal{O}_X \to \mathcal{O}_X^{\oplus (r-1)}$ .

Note that the way of constructing a 2-nilpotent co-Higgs structure, used in Lemma 2.6, will be used throughout the whole article, specially when we prove the existence of a non-trivial co-Higgs structure.

Example 2.7. Take  $n = \dim(X) \geq 3$  and assume  $H^0(T_X(-D)) \neq 0$  for some effective divisor D. Lemma 2.6 with  $\mathcal{A} \cong \mathcal{O}_X(D)$  gives pairs  $(\mathcal{E}, \Phi)$ , where  $\mathcal{E}$  is a torsion-free sheaf and  $\Phi$  is nonzero with  $\Phi \circ \Phi = 0$ . Note that  $(\mathcal{E}, \Phi)$  is stable for any polarization on X. We take as Z a smooth two-codimensional subvariety, not necessarily connected. By [13, Theorem 4.1] it is sufficient that  $\omega_Z \otimes \omega_X(D)$  is globally generated. We may take as Z a disjoint union of smooth complete intersections of an element of  $|\mathcal{O}_X(a)|$  and an element of  $|\mathcal{O}_X(b)|$  with  $\omega_X(a+b)$  globally generated. In particular, there are plenty of non-locally free examples. Among the examples we may take as X the Segre variety  $\mathbb{P}^{n_1} \times \cdots \times \mathbb{P}^{n_k}$  with as D the pull-back of  $\mathcal{O}_{\mathbb{P}^{n_i}}(1)$  by the projection  $\pi_i: X \to \mathbb{P}^{n_i}$  on the i-th factor.

*Example 2.8.* Let X be a smooth and connected projective surface with  $H^0(T_X) \neq 0$ . Fix an integer  $r \geq 2$ . In Lemma 2.6 we take  $\mathcal{A} \cong \mathcal{O}_X$  and a general subset Z of X with cardinality  $s \geq r - 1 + h^0(\omega_X)$ . Since Z is general and  $s > h^0(\omega_X)$ , we have  $h^0(\omega_X \otimes \mathcal{I}_{S\setminus \{p\}}) = 0$  for each  $p \in Z$  and so the Cayley–Bacharach condition is satisfied. Thus the middle term  $\mathcal{E}$  in the general extension (1) is locally free. We have  $\det(\mathcal{E}) \cong \mathcal{O}_X$  and  $\mathcal{E}$  is strictly semistable for any polarization of X. Since

 $H^0(T_X \otimes \mathcal{A}^{\vee}) > 0$ , Lemma 2.6 gives the existence of a non-trivial 2-nilpotent  $\Phi : \mathcal{E} \to \mathcal{E} \otimes T_X$ . From the long exact sequence of cohomology of

$$0 \to \mathcal{I}_Z \otimes \omega_X \to \omega_X \to \omega_X \otimes \mathcal{O}_Z \to 0$$
,

we get  $h^1(\mathcal{I}_Z \otimes \omega_X) \geq s - h^0(\omega_X) \geq r - 1$  and so dim  $\operatorname{Ext}^1(\mathcal{I}_Z, \mathcal{O}_X) \geq r - 1$ . Hence there is  $\mathcal{E}$  with no trivial factor. Now we check that any locally free  $\mathcal{E}$  with no trivial factor is indecomposable. Assume  $\mathcal{E} \cong \mathcal{E}_1 \oplus \mathcal{E}_2$  with  $k = \operatorname{rank}(\mathcal{E}_1)$  and  $1 \leq k \leq r - 1$ . Let  $\mathcal{G}_i \subseteq \mathcal{E}_i$  for i = 1, 2, be the image of the evaluation map  $H^0(\mathcal{E}_i) \otimes \mathcal{O}_X \to \mathcal{E}_i$ . Since Z is not empty, we have  $h^0(\mathcal{E}) = r - 1$  and  $\mathcal{G} := u(\mathcal{O}_X^{\oplus (r-1)})$  is the image of the evaluation map  $H^0(\mathcal{E}) \otimes \mathcal{O}_X \to \mathcal{E}$ . Since  $\mathcal{G}_1 \oplus \mathcal{G}_2 \cong \mathcal{G} \cong \mathcal{O}_X^{\oplus (r-1)}$  and  $\mathcal{G}$  is saturated in  $\mathcal{E}, \mathcal{G}_i$  is locally free and saturated in  $\mathcal{E}_i$  for each i. Since  $\operatorname{rank}(\mathcal{G}_1) + \operatorname{rank}(\mathcal{G}_2) + 1 = \operatorname{rank}(\mathcal{E}_1) + \operatorname{rank}(\mathcal{E}_2)$ , there exists i with  $\mathcal{E}_i = \mathcal{G}_i$  and so  $\mathcal{E}$  has a trivial factor, contradicting our assumptions.

In Condition 2.2, if  $\mathcal{A}$  is negative with respect to a polarization  $\mathcal{H}$ , then the co-Higgs bundle  $(\mathcal{E}, \Phi)$  in Lemma 2.6 is not  $\mathcal{H}$ -semistable, because  $\ker(\Phi) = \mathcal{O}_X^{\oplus (r-1)}$ . Note that if  $\mathcal{E}$  is (semi)stable with respect to  $\mathcal{H}$ , then each co-Higgs structure on  $\mathcal{F}$  has the same property. Thus it is necessary to check when  $\mathcal{E}$  is (semi)stable and we will focus on the sheaves in Condition 2.2(ii) for a few cases such as

- Blow-ups of  $\mathbb{P}^2$  at a finite set of points;
- A smooth quadric surface;
- The three-dimensional projective space  $\mathbb{P}^3$ .

Example 2.9. Let  $X=\mathbb{P}^2$  and take  $\mathcal{A}\cong\mathcal{O}_{\mathbb{P}^2}(1)$ . Note that the Cayley–Bacharach condition is satisfied for any locally complete intersection zero-dimensional subscheme, or a finite set, Z to get a locally free sheaf  $\mathcal{E}$  with  $c_1(\mathcal{E})=1$  and  $c_2(\mathcal{E})=\deg(Z)$ . For  $\mathcal{E}$  to have no trivial factor, it is sufficient to have  $\deg(Z)\geq r-1$  and that the extension is general. If r=2 and (1) does not split, then  $\mathcal{E}$  is stable. Note that (1) does not split if  $Z\neq\emptyset$  and  $\mathcal{E}$  is locally free.

Now assume  $r \geq 3$ . Note that  $\mathcal{E}$  is semistable if and only if it is stable i.e. there is no subsheaf  $\mathcal{G} \subset \mathcal{E}$  with positive degree and rank less than r. We assume that  $\mathcal{E}$  is locally free. Since  $h^1(\mathcal{O}_{\mathbb{P}^2}) = 0$ , we have  $h^0(\mathcal{E}) = r - 1 + h^0(\mathcal{I}_Z(1))$ . Assume that  $\mathcal{E}$  is not semistable and so the existence of a subsheaf  $\mathcal{G} \subset \mathcal{E}$  of rank s < r with maximal positive degree among all subsheaves. Then  $\mathcal{G}$  is saturated in  $\mathcal{E}$ , i.e.  $\mathcal{E}/\mathcal{G}$  has no torsion. We take s maximal with the previous properties, i.e. if  $s \leq r-2$  we assume that no subsheaf of  $\mathcal{E}$  with rank  $\{s+1,\ldots,r-1\}$  has positive degree. Since  $\deg(\mathcal{G} \cap u(\mathcal{O}_{\mathbb{P}^2}^{\oplus (r-1)})) \leq 0$ , we have  $v(\mathcal{G}) \neq 0$  and  $\deg(v(\mathcal{G})) > 0$ . Thus we have  $v(\mathcal{G}) \cong \mathcal{I}_W(1)$  for some zero-dimensional subscheme  $W \supseteq Z$ . From  $h^0(\mathcal{I}_W(1)) \leq h^0(\mathcal{I}_Z(1))$ , we get

$$h^0(\mathcal{G}) \leq h^0(\mathcal{I}_Z(1)) + h^0(\mathcal{G} \cap u(\mathcal{O}_{\mathbb{P}^2}^{\oplus (r-1)})).$$

Since  $v(\mathcal{G})$  is not trivial, we have

$$\begin{cases} \operatorname{rank}(\mathcal{G} \cap u(\mathcal{O}_{\mathbb{P}^2}^{\oplus (r-1)})) = s-1, \text{ if } s > 1; \\ \mathcal{G} \cap u(\mathcal{O}_{\mathbb{P}^2}^{\oplus (r-1)}) = 0, & \text{if } s = 1. \end{cases}$$

Since  $\mathcal{G} \cap u(\mathcal{O}_{\mathbb{P}^2}^{\oplus (r-1)})$  is a subsheaf of a trivial vector bundle, we have

$$\deg(\mathcal{G}\cap u(\mathcal{O}_{\mathbb{P}^2}^{\oplus (r-1)}))\leq 0\;,\;h^0(\mathcal{G}\cap u(\mathcal{O}_{\mathbb{P}^2}^{\oplus (r-1)}))\leq s-1.$$

It implies that  $h^0(\mathcal{E}/\mathcal{G}) > 0$  and so take a trivial subsheaf  $\mathcal{O}_{\mathbb{P}^2} \subseteq \mathcal{E}/\mathcal{G}$ . Let  $\pi: \mathcal{E} \to \mathcal{E}/\mathcal{G}$  be the quotient map. If  $s \leq r-2$ , then  $\pi^{-1}(\mathcal{O}_{\mathbb{P}^2})$  contradicts the maximality of s. Now assume s = r-1. Since  $\mathcal{E}/\mathcal{G}$  is a torsion-free sheaf of rank one with a non-zero section, we have  $\mathcal{E}/\mathcal{G} \cong \mathcal{O}_{\mathbb{P}^2}$ . Since  $h^0(\mathcal{E}/\mathcal{G}) = 1$ , we get  $h^0(\mathcal{G} \cap u(\mathcal{O}_{\mathbb{P}^2}^{\oplus (r-1)})) = s-1 = r-2$ . Then any element in

$$H^0\left(u\left(\mathcal{O}_{\mathbb{P}^2}^{\oplus (r-1)}\right)\right)\setminus H^0\left(\mathcal{G}\cap u\left(\mathcal{O}_{\mathbb{P}^2}^{\oplus (r-1)}\right)\right)$$

induces a splitting of the surjection  $\mathcal{E} \to \mathcal{E}/\mathcal{G} \cong \mathcal{O}_{\mathbb{P}^2}$ , contrary to the assumption that  $\mathcal{E}$  has no trivial factor.

Example 2.10. Let  $\pi: X \to \mathbb{P}^2$  be the blow-up at two points, say  $p_1$  and  $p_2$ . Setting  $D_i := \pi^{-1}(p_i)$  for i = 1, 2 and writing

$$\mathcal{O}_X(a;0,0) := \pi^* \mathcal{O}_{\mathbb{D}^2}(a), \mathcal{O}_X(0;b,0) := \mathcal{O}_X(bD_1), \mathcal{O}_X(0;0,c) := \mathcal{O}_X(cD_2),$$

we have  $\omega_X \cong \mathcal{O}_X(-3; 1, 1)$ . Let  $D \subset X$  be the strict transform of the line through  $p_1$  and  $p_2$  and then we have  $\{D\} = |\mathcal{O}_X(1; -1, -1)|$ . Recall that for any smooth projective variety Y the vector space  $H^0(T_Y)$  is the tangent space at the identity of the scheme  $\operatorname{Aut}(Y)$ . So we have  $h^0(T_X) = 4$ ,  $h^0(T_X(-D_1)) = h^0(T_X(-D_2)) = 6$  and  $h^0(T_X(-D_1 - D_2)) = h^0(T_X(-D_1 - D_2 - D)) = 4$ . Set

$$S := \{ \mathcal{O}_X, \mathcal{O}_X(D_1), \mathcal{O}_X(D_2), \mathcal{O}_X(D), \mathcal{O}_X(D_1 + D_2), \mathcal{O}_X(D_1 + D_2 + D) \};$$
  
$$S_1 := \{ \mathcal{O}_X(B_1 + B_2 - B_3), \mathcal{O}_X(B_1 - B_2) \mid \{ B_1, B_2, B_3 \} = \{ D_1, D_2, D \} \}.$$

If we take as  $\mathcal{A}$  any element of  $\mathcal{S} \cup \mathcal{S}_1$ , then we have  $h^0(T_X \otimes \mathcal{A}^{\vee}) > 0$ . Note that  $h^0(\mathcal{A}) = 1$  if  $\mathcal{A} \in \mathcal{S}$  and  $h^0(\mathcal{A}) = 0$  if  $\mathcal{A} \in \mathcal{S}_1$ . Now fix an integer  $r \geq 2$  and take as Z a general subset of X with cardinality s in Condition 2.2(ii). Assume for the moment that the middle term  $\mathcal{E}$  of (1) is locally free. If  $\mathcal{A} \cong \mathcal{O}_X$ , then  $\mathcal{E}$  is strictly semistable for any polarization of X.

Assume  $A \in \mathcal{S} \setminus \{\mathcal{O}_X\}$  and fix a polarization  $\mathcal{H}$  of X. If  $\mathcal{L} \subset \mathcal{E}$  is a saturated subsheaf of rank one with positive  $\mathcal{H}$ -slope, then it is a line bundle. Since  $\mathcal{L} \cdot \mathcal{H} > 0$ , we have  $\mathcal{L} \nsubseteq u(\mathcal{O}_X)$ . Since  $\operatorname{Im}(\Phi) \subseteq u(\mathcal{O}_X) \otimes T_X$ , we have  $\Phi(\mathcal{L}) \nsubseteq \mathcal{L} \otimes T_X$ . Thus  $(\mathcal{E}, \Phi)$  is  $\mathcal{H}$ -stable.

Now we check a criterion for s with which  $\mathcal{E}$  is locally free; moreover if r>2, we also want s so that  $\mathcal{E}$  has no trivial factor. In the case s=0,  $\mathcal{E}$  is decomposable and so we may assume s>0. First assume r=2. In this case we only need to check the Cayley–Bacharach condition. Indeed this condition is satisfied, because  $H^0(\omega_X)=0$ . Now assume r>2 and then by the case r=2 a general  $\mathcal{E}$  fitting into (1) is locally free. To check that it has no trivial factor it is sufficient to have  $\dim \operatorname{Ext}^1(\mathcal{I}_Z \otimes \mathcal{A}, \mathcal{O}_X) \geq r-1$ , because (1) is induced by r-1 elements of  $\operatorname{Ext}^1(\mathcal{I}_Z \otimes \mathcal{A}, \mathcal{O}_X)$  and a trivial factor of  $\mathcal{E}$  would be a factor of the subsheaf  $u(\mathcal{O}_X^{\oplus (r-1)})$  of  $\mathcal{E}$ , since we have  $h^0(\mathcal{I}_Z \otimes \mathcal{A})=0$  due to generality of Z. Now for any  $\mathcal{A} \in \mathcal{S}$ , we have  $\operatorname{Ext}^1(\mathcal{I}_Z \otimes \mathcal{A}, \mathcal{O}_X) \cong H^1(\mathcal{I}_Z \otimes \mathcal{A} \otimes \omega_X)$  whose dimension is always s and so we may choose s at least s the subsheaf s and so we may choose s at least s the subsheaf s and so we may choose s at least s the subsheaf s and so we may choose s at least s the subsheaf s and so we may choose s at least s the subsheaf s and so we may choose s at least s the subsheaf s and so we may choose s at least s the subsheaf s and so we may choose s at least s the subsheaf s and subsheaf s a

Example 2.11. Let  $\pi: X \to \mathbb{P}^2$  be the blow-up at three non-collinear points  $p_1$ ,  $p_2$  and  $p_3$ . Set  $D_i := \pi^{-1}(p_i)$  for i = 1, 2, 3 and writing  $\mathcal{O}_X(a; 0, 0, 0) := \pi^* \mathcal{O}_{\mathbb{P}^2}(a)$ ,

$$\mathcal{O}_X(0; b, 0, 0) := \mathcal{O}_X(bD_1), \mathcal{O}_X(0; 0, c, 0) := \mathcal{O}_X(cD_2),$$
  
 $\mathcal{O}_X(0; 0, 0, d) := \mathcal{O}_X(dD_3),$ 

we have  $\omega_X \cong \mathcal{O}_X(-3; 1, 1, 1)$ . For any  $h \in \{1, 2, 3\}$ , let  $T_h \subset X$  be the strict transform of the line through  $p_i$  and  $p_j$  with  $\{h, i, j\} = \{1, 2, 3\}$ . We have  $\{T_1\} = |\mathcal{O}_X(1; 0, -1, -1)|$  and similar formulas hold for  $T_2$  and  $T_3$ . As in Example 2.10 we have  $h^0(T_X) = h^0(T_X(-D_1 - D_2 - D_3 - T_1 - T_2 - T_3)) = 2$ .

Let  $\mathcal{Z}$  be the collection of the line bundles  $\mathcal{O}_X(D)$  with D>0 and  $D\subseteq D_1\cup D_2\cup D_3\cup T_1\cup T_2\cup T_3$ . As in Example 2.10, if  $\mathcal{A}\cong\mathcal{O}_X$ , then  $\mathcal{E}$  is stable for any polarization, and if  $\mathcal{A}\in\mathcal{Z}$ , then  $(\mathcal{E},\Phi)$  is stable for any polarization. We may also take as  $\mathcal{A}$  a line bundle  $\mathcal{O}_X(B)$  with  $B\neq 0$ , B a sum of some of the divisors  $D_i$  and  $T_j$  with sign. In this case  $(\mathcal{E},\Phi)$  is (semi)stable for some polarization, but not for all polarizations. Note that in any case we have  $h^0(T_X\otimes\mathcal{A}^\vee)>0$ .

*Example 2.12.* Fix an integer  $k \geq 3$  and a line  $\ell \subset \mathbb{P}^2$ . Let  $\pi : X \to \mathbb{P}^2$  be the blow-up at k points  $p_1, \ldots, p_k \in \ell$ . Set  $D_i := \pi^{-1}(p_i)$  for  $i = 1, \ldots, k$  and let  $D \subset X$  be the strict transform of  $\ell$ . Then we have

$$(\pi^* \mathcal{O}_{\mathbb{P}^2}(1))(-D_1 - \dots - D_k) \cong \mathcal{O}_X(D), \ \omega_X \cong (\pi^* \mathcal{O}_{\mathbb{P}^2}(-3))(D_1 + \dots + D_k).$$

We also have  $h^0(T_X) = h^0(T_X(-D_1 - \dots - D_k)) > 0$ .

Let  $\mathcal{Z}$  be the collection of the line bundles  $\mathcal{O}_X(T)$  with T>0 and  $T\subseteq D\cup D_1\cup\cdots\cup D_k$ . As in Examples 2.10 and 2.11, if  $\mathcal{A}\cong\mathcal{O}_X$ , then  $\mathcal{E}$  is stable for any polarization, and if  $\mathcal{A}\in\mathcal{Z}$ , then  $(\mathcal{E},\Phi)$  is stable for any polarization. We may also take as  $\mathcal{A}$  a line bundle  $\mathcal{O}_X(B)$  with  $B\neq 0$ , B a sum of some of the irreducible components of  $D\cup D_1\cup\cdots\cup D_k$  with sign. In this case  $(\mathcal{E},\Phi)$  is (semi)stable for some polarization, but not for all polarizations. Again in any case we have  $h^0(T_X\otimes\mathcal{A}^\vee)>0$ .

Example 2.13. Let X be a smooth quadric surface and take A from

$$\{\mathcal{O}_X, \mathcal{O}_X(1,0), \mathcal{O}_X(2,0), \mathcal{O}_X(0,1), \mathcal{O}_X(0,2)\}$$

In each case the Cayley–Bacharach condition is satisfied. If  $\mathcal{A} \cong \mathcal{O}_X$ , then for any  $r \geq 2$  and integer  $\deg(Z) \geq 0$  we get vector bundles which are strictly semistable for any polarization (see Example 2.9). Now assume  $\mathcal{A} \ncong \mathcal{O}_X$  and let  $\mathcal{H}$  be any polarization on X. We claim that  $(\mathcal{E}, \Phi)$  is  $\mathcal{H}$ -stable. Take an integer  $s \in \{1, \ldots, r-1\}$  and a subsheaf  $\mathcal{G} \subset \mathcal{E}$  of rank s with maximal  $\mathcal{H}$ -slope and with  $\Phi(\mathcal{G}) \subset \mathcal{G} \otimes T_X$ . We have  $\operatorname{Im}(\Phi) \subset \mathcal{O}_X \otimes T_X$  and  $\operatorname{ker}(\Phi) \cong \mathcal{O}_X^{\oplus (r-1)}$ . Thus the  $\mathcal{H}$ -slope of  $\mathcal{G} \cap \operatorname{ker}(\Phi)$  is at most zero. We have  $\Phi(\mathcal{G}) \subset \mathcal{O}_X \otimes T_X$  and so  $\mathcal{G} \subset \mathcal{O}_X^{\oplus (r-1)}$ . In particular, we have  $\deg_{\mathcal{H}}(\mathcal{G}) \leq 0$  and so  $(\mathcal{E}, \Phi)$  is stable for any polarization. In many cases even  $\mathcal{E}$  is stable for some or most polarizations.

Assume  $A \cong \mathcal{O}_X(1,0)$ . If  $Z = \emptyset$ , then  $\mathcal{E} \cong \mathcal{O}_X \oplus \mathcal{O}_X(1,0)$  and so  $\mathcal{E}$  is not semistable for any polarization. Assume  $Z \neq \emptyset$  and that  $(\mathcal{E}, \Phi)$  is not stable

with respect to a polarization  $\mathcal{H} \cong \mathcal{O}_X(a,b)$  with b < 2a. There is a saturated subsheaf  $\mathcal{L} = \mathcal{O}_X(u,v) \subset \mathcal{E}$  of rank one with  $av + bu \geq b/2$ . In particular, at least one of the integers u and v is positive. Write  $\mathcal{E}/\mathcal{L} \cong \mathcal{I}_W(1-u,0-v)$  for some zero-dimensional scheme  $W \subset X$ . We have  $c_2(\mathcal{E}) = \deg(W) + v(1-u) - uv$ . Composing the inclusion  $\mathcal{L} \subset \mathcal{E}$  with the surjection v in (1), we get a non-zero map  $f: \mathcal{O}_X(u,v) \to \mathcal{I}_Z(1,0)$ , and so we get  $v \leq 0$  and u = 1.

First assume v < 0 and then we have  $h^0(\mathcal{L}) = 0$ . Since  $H^0(\mathcal{E}) \neq 0$ , we get  $h^0(\mathcal{I}_W(0, -v)) > 0$ . Since b > 0 and 0 < a < 2b, we get av + b < b/2, a contradiction.

Now assume v=0 and we get  $h^0(\mathcal{L})=2$ . Then we have  $h^0(\mathcal{E})\geq 2$ . Since Z is not empty, (1) implies that Z is a single point and so  $c_2(\mathcal{E})=1$ . From  $\mathcal{E}/\mathcal{L}\cong \mathcal{I}_W$ , we get  $c_2(\mathcal{E})=\deg(W)$  and so W is a single point. The map u in (1) and the inclusion  $\mathcal{L}\subset \mathcal{E}$  induce an injective map  $j:\mathcal{O}_X(1,0)\oplus \mathcal{O}_X\to \mathcal{E}$ . Since j is an injective map between vector bundles with the same rank and isomorphic determinant, it is an isomorphism. Thus we have  $c_2(\mathcal{E})=0$ , a contradiction. The same proof works for the case  $\mathcal{A}\cong \mathcal{O}_X(0,1)$  for the polarization  $\mathcal{H}\cong \mathcal{O}_X(a,b)$  with a<2b.

For r=2 we recover most of the existence part in part (1) of [7, Theorem in page 2]. The advantage of the current argument is that we prove stability simultaneously with respect to many polarizations  $\mathcal{H} \cong \mathcal{O}_X(a,b)$  and that we explicitly state that our co-Higgs fields are nilpotent. To be in the framework of part (2) of [7, Theorem in page 2] we need to modify the general set-up. Instead of vector bundles  $\mathcal{E}$  fitting into the exact sequence (1) with  $\mathcal{A}$  as above, we take vector bundles fitting into the exact sequence with  $\mathcal{A} \cong \mathcal{O}_X(1,-1)$ ,

$$0 \to \mathcal{O}_X \to \mathcal{E} \to \mathcal{I}_Z(1, -1) \to 0 \tag{2}$$

with Z a zero-dimensional scheme, where we have  $\det(\mathcal{E}) \cong \mathcal{O}_X(1, -1)$ . By taking a twist by some  $\mathcal{O}_X(\alpha, \beta)$  we get vector bundles of rank two with an arbitrary determinant  $\mathcal{O}_X(\gamma, \delta)$  with both  $\gamma$  and  $\delta$  odd. But the twist may destroy the stability with respect to certain polarizations.

*Example 2.14.* Let  $X = \mathbb{P}^3$  and take  $A \cong \mathcal{O}_{\mathbb{P}^3}(1)$ . Then we have either

- $\omega_Z(3)$  is spanned, if  $r \geq 3$ ;
- $\omega_Z \cong \mathcal{O}_Z(-3)$ , if r = 2.

In case of r=2, we get curvilinear reflexive sheaves  $\mathcal{E}$  with  $c_2(\mathcal{E})=\deg(Z)$  and  $c_3(\mathcal{E})=\deg(\omega_Z)+3\deg(Z)$ ; see [4]. We always assume  $Z\neq\emptyset$ , so that  $\mathcal{E}$  is indecomposable. We claim that  $\mathcal{E}$  is stable. Assume the existence of a line bundle  $\mathcal{O}_X(t)\subset\mathcal{E}$  with t>0. Composing with the surjection  $v:\mathcal{E}\to\mathcal{I}_Z(1)$  we get the zero map, because t>0 and  $Z\neq\emptyset$ . Thus we get  $\mathcal{O}_X(t)\subseteq\mathcal{O}_X$ , a contradiction.

Now we take  $r \geq 3$  and Z a non-empty disjoint union of smooth curves. Assume that  $\mathcal{E}$  has no trivial factor, e.g. if Z is large, and that  $h^0(\mathcal{I}_Z(1)) = 0$ , i.e. Z is not planar. If  $(\mathcal{E}, \Phi)$  is not stable, then there is a subsheaf  $\mathcal{G} \subset \mathcal{E}$  of rank  $s \in \{1, \ldots, r-1\}$  with  $\deg(\mathcal{G}) > 0$  such that  $\Phi(\mathcal{G}) \subset \mathcal{G} \otimes T_X$  and s is the minimum among all subsheaves of  $\mathcal{E}$  with the other properties. Since  $\operatorname{Im}(\Phi) \subset T_X$  has rank one, so we get  $\operatorname{Im}(\Phi)^{\vee\vee} \cong \mathcal{O}_X(1)$ , i.e.  $\operatorname{Im}(\Phi) \cong \mathcal{I}_W(1)$  for some  $W \subset \mathbb{P}^3$  with

 $\dim(W) \leq 1$ .  $\mathcal{G}$  is saturated in  $\mathcal{E}$ , i.e.  $\mathcal{E}/\mathcal{G}$  is torsion-free, and so  $\mathcal{G}$  is a reflexive sheaf. Since  $\mathcal{E}$  is assumed to be locally free, in the case s = 1 we get  $\mathcal{G} \cong \mathcal{O}_X(1)$ . We exclude this case, because  $\mathcal{O}_X(1) \nsubseteq \mathcal{E}$ .

Now assume r=3 and s=2. The map  $\mathcal{G}\to\mathcal{I}_Z(1)$  induced by the surjection in (1) must be non-zero. Due to s=2, we get  $\mathcal{G}\ncong\mathcal{O}_X^{\oplus 2}$  and  $\mathcal{O}_X^{\oplus 2}$  is the image of the evaluation map  $H^0(\mathcal{E})\otimes\mathcal{O}_X\to\mathcal{E}$ , we have  $h^0(\mathcal{G})\le 1$  and so  $h^0(\mathcal{E}/\mathcal{G})>0$ . Since  $\mathcal{E}/\mathcal{G}$  is a torsion-free sheaf of rank one, we get  $\mathcal{E}/\mathcal{G}\cong\mathcal{O}_X$ . Since  $h^0(\mathcal{E})>h^0(\mathcal{G})$ , there is  $\sigma\in H^0(\mathcal{E})$  whose image in  $\mathcal{E}/\mathcal{G}\cong\mathcal{O}_X$ . The map  $1\mapsto\sigma$  shows that  $\mathcal{O}_X$  is a factor of  $\mathcal{E}$ , contradicting our assumption.

Now we assume r=3 and list several Z for which the middle term  $\mathcal{E}$  of a general extension (1) with  $\mathcal{A} \cong \mathcal{O}_X(1)$  has not  $\mathcal{O}_X$  as a factor; in each case we certainly need that  $\omega_Z(3)$  is spanned and that  $h^0(\omega_Z(3)) \geq 2$ . Assume  $\mathcal{E} \cong \mathcal{O}_X \oplus \mathcal{G}$ . Since  $\mathcal{E}$  is locally free, so is  $\mathcal{G}$ . Since  $h^0(\mathcal{G}) = 1$  and  $h^0(\mathcal{G}(-1)) = h^0(\mathcal{E}(-1)) = 0$ ,  $\mathcal{G}$  fits in an exact sequence

$$0 \to \mathcal{O}_X \to \mathcal{G} \to \mathcal{I}_W(1) \to 0, \tag{3}$$

where W is a locally complete curve with  $\omega_W(3) \cong \mathcal{O}_W$  and  $h^0(\mathcal{I}_W(1)) = 0$ . We obviously have that W is not reduced. From  $H^0(\mathcal{G}(-1)) = 0$ , we get that  $\mathcal{G}$  is a stable vector bundle of rank two on  $\mathbb{P}^3$  with  $c_1(\mathcal{G}) = 1$  and  $c_2(\mathcal{G}) = \deg(W)$ . The subsheaf  $\mathcal{O}_X$  of  $\mathcal{G}$  is the image of the evaluation map  $H^0(\mathcal{G}) \otimes \mathcal{O}_X \to \mathcal{G}$ . So the surjective maps in (1) and (3) induce a non-zero map  $\mathcal{I}_W(1) \to \mathcal{I}_Z(1)$  and so we get  $W \supseteq Z$ . Since  $c_2(\mathcal{F}) = c_2(\mathcal{G})$ , we have  $\deg(Z) = \deg(W)$  and so Z = W, which gives a contradiction each time we chose Z with  $\omega_Z \ncong \mathcal{O}_Z(-3)$ , e.g. each time we chose as Z a disjoint union of d lines.

#### 3. Existence and non-existence of co-Higgs structures

Let X be a smooth projective variety of dimension n with  $\operatorname{Pic}(X) \cong \mathbb{Z}$ , where the ample generator  $\mathcal{O}_X(1)$  is very ample. We keep this assumption until Theorem 3.16, where we assume  $\operatorname{Num}(X) \cong \mathbb{Z}$ . Set  $\delta := \deg(X)$  with respect to  $\mathcal{O}_X(1)$ . For any reflexive sheaf  $\mathcal{E}$  of rank two on X, define  $x_{\mathcal{E}}$  to be

$$\max\{x \in \mathbb{Z} \mid h^0(\mathcal{E}(-x)) > 0\}. \tag{4}$$

Then  $\mathcal{E}$  fits into an exact sequence for a subscheme Z with pure codimension two,

$$0 \to \mathcal{O}_X(x_{\mathcal{E}}) \to \mathcal{E} \to \mathcal{I}_Z(c_1 - x_{\mathcal{E}}) \to 0, \tag{5}$$

where  $c_1 = c_1(\mathcal{E})$  and  $c_2(\mathcal{E}) = \deg(Z) + x_{\mathcal{E}}(c_1 - x_{\mathcal{E}})\delta$ . Note that we have  $h^0(\mathcal{I}_Z(c_1 - x_{\mathcal{E}} - 1)) = 0$  by definition of  $x_{\mathcal{E}}$ .

**Proposition 3.1.** Let  $\mathcal{E}$  be a reflexive sheaf of rank two on X with  $c_1(\mathcal{E}) \in \{-1, 0\}$  and  $x_{\mathcal{E}} \leq -2$ . Then any nilpotent map  $\Phi : \mathcal{E} \to \mathcal{E} \otimes T_X$  is trivial.

*Proof.* If  $\Phi \neq 0$ , then we have  $\ker(\Phi) \cong \mathcal{O}_X(t)$  for some  $t \leq x_{\mathcal{E}} \leq -2$ . Since  $\operatorname{Im}(\Phi)$  has rank one with no torsion, we have  $\operatorname{Im}(\Phi) \cong \mathcal{I}_B(-t+c_1)$  for some closed scheme  $B \subset X$  with  $\dim(B) \leq n-2$ . Since  $\Omega^1_X(2)$  is globally generated and  $\operatorname{Im}(\Phi)$  is a subsheaf of  $\mathcal{E} \otimes T_X$ , we may consider  $\operatorname{Im}(\Phi)$  as a subsheaf of  $\mathcal{E}(2)^{\oplus N}$  for some N > 0. In particular, we get  $-t + c_1 - 2 \leq x_{\mathcal{E}}$ , a contradiction.

**Proposition 3.2.** Assume  $X \neq \mathbb{P}^n$ . If  $\mathcal{E}$  is a reflexive sheaf of rank two on X with  $c_1(\mathcal{E}) + 2x_{\mathcal{E}} = -3$ , then any nilpotent map  $\Phi : \mathcal{E} \to \mathcal{E} \otimes T_X$  is trivial.

*Proof.* Up to a twist we may assume  $c_1(\mathcal{E}) = -1$ . Assume the existence of a non-zero nilpotent  $\Phi: \mathcal{E} \to \mathcal{E} \otimes T_X$ . We have  $\ker(\Phi) \cong \mathcal{O}_X(t)$  for some t < 0. By Proposition 3.1 we have t = -1. Since  $\operatorname{Im}(\Phi)$  has rank one with no torsion, we have  $\operatorname{Im}(\Phi) \cong \mathcal{I}_B$  for some closed scheme  $B \subset X$  with  $\dim(B) \leq \dim(X) - 2$ . Since  $\operatorname{Im}(\Phi) \subset \ker(\Phi) \otimes T_X$ , we get  $H^0(T_X(-1)) \neq 0$ , and so  $X = \mathbb{P}^n$  by [23], a contradiction.

Remark 3.3. Let  $\mathcal{E}$  be a stable reflexive sheaf of rank two on X with  $c_1(\mathcal{E}) = -1$ . By the stability of  $\mathcal{E}$ , we have  $x_{\mathcal{E}} \leq -1$ . If  $x_{\mathcal{E}} \leq -2$ , then any nilpotent map  $\Phi: \mathcal{E} \to \mathcal{E} \otimes T_X$  is trivial by Proposition 3.1. As an example, we may take as  $\mathcal{E}$  the Horrocks–Mumford bundle;  $X = \mathbb{P}^4$ ,  $c_1 = -1$  and  $c_2 = 4$ . If  $x_{\mathcal{E}} = -1$ , then  $\mathcal{E}$  fits in an exact sequence

$$0 \to \mathcal{O}_X \to \mathcal{E}(1) \to \mathcal{I}_Z(1) \to 0$$

for some 2-codimensional scheme  $Z \subset X$ . Assume  $H^0(T_X(-1)) \neq 0$  and so  $X = \mathbb{P}^n$  by [23]. Then by Lemma 2.6 there exists a non-trivial nilpotent map  $\Phi : \mathcal{E} \to \mathcal{E} \otimes T_{\mathbb{P}^n}$  with  $\ker(\Phi) = \mathcal{O}_{\mathbb{P}^n}$ .

**Proposition 3.4.** Let  $\mathcal{E}$  be a stable reflexive sheaf of rank two on  $\mathbb{P}^n$  with  $c_1(\mathcal{E}) = 0$ . Then there exists no non-trivial nilpotent map  $\Phi : \mathcal{E} \to \mathcal{E} \otimes T_{\mathbb{P}^n}$ .

*Proof.* Since  $\mathcal{E}$  is stable, we have  $\ker(\Phi) \cong \mathcal{O}_{\mathbb{P}^n}(t)$  for some  $t \leq -1$  and the proof of Proposition 3.1 gives t = -1. Since  $\operatorname{Im}(\Phi)$  has rank one with no torsion, we have  $\operatorname{Im}(\Phi) \cong \mathcal{I}_B(1)$  for some closed subscheme  $B \subsetneq \mathbb{P}^n$ .

First assume dim  $B \le n-2$ . Since  $\Phi \circ \Phi = 0$ , we have  $\operatorname{Im}(\Phi) \subset \ker(\Phi) \otimes T_{\mathbb{P}^n} \cong T_{\mathbb{P}^n}(-1)$ . In particular, we get a nonzero map  $h: \mathcal{I}_B(1) \to T_{\mathbb{P}^n}(-1)$ . Since  $T_{\mathbb{P}^n}(-2)$  is locally free and dim  $B \le n-2$ , we have

$$H^0(\mathbb{P}^n \setminus B, T_{\mathbb{P}^n}(-2))_{|\mathbb{P}^n \setminus B}) = H^0(\mathbb{P}^n, T_{\mathbb{P}^n}(-2))$$

by [13, Proposition 1.6], which is trivial. But the map h gives  $H^0(T_{\mathbb{P}^n}(-2)) \neq 0$ , a contradiction.

Now assume that B contains a hypersurface of degree e. We get  $\operatorname{Im}(\Phi) \cong \mathcal{I}_Z(1-e)$  for some closed subscheme Z with dim  $Z \leq n-2$ . Since  $c_1(\mathcal{E})=0$  and e>0,  $\mathcal{E}$  is not stable, a contradiction.

*Proof of Theorem 1.1:.* Denote by S the set of all nilpotent maps and up to a twist we may assume  $c_1(\mathcal{E}) \in \{-1, 0\}$ . By Proposition 3.4 we can consider only the case of  $c_1(\mathcal{E}) = -1$ . By Proposition 3.1 we have  $S = \{0\}$ , unless  $x_{\mathcal{E}} = -1$ . Thus we may assume  $x_{\mathcal{E}} = -1$  and so  $\mathcal{E}$  fits into an exact sequence

$$0 \to \mathcal{O}_{\mathbb{P}^n}(-1) \stackrel{\sigma}{\to} \mathcal{E} \to \mathcal{I}_Z \to 0 \tag{6}$$

with Z of codimension 2. By Lemma 2.6 a cheap way to get a non-trivial  $\Phi$  is to take the composition of the surjection in (6) with the inclusion  $\mathcal{I}_Z \to \mathcal{O}_{\mathbb{P}^n}(-1) \otimes T_{\mathbb{P}^n}$ . In this way we get an (n+1)-dimensional vector space contained in  $\mathcal{S}$ , isomorphic to  $H^0(T_{\mathbb{P}^n}(-1))$ . Conversely, choose any arbitrary nonzero map  $\Phi \in \mathcal{S}$ . The proof of Proposition 3.1 gives  $\ker(\Phi) \cong \mathcal{O}_{\mathbb{P}^n}(-1)$  and so  $\operatorname{Im}(\Phi) \cong \mathcal{I}_B$  for some closed subscheme  $B \subsetneq \mathbb{P}^n$  of codimension two. Since  $\Phi \circ \Phi = 0$ , we have  $\operatorname{Im}(\Phi) \subset \ker(\Phi) \otimes T_{\mathbb{P}^n} \cong T_{\mathbb{P}^n}(-1)$ , and thus  $\Phi$  is also obtain by the same way as in Lemma 2.6. Thus any such nilpotent map is represented by an element in  $H^0(\mathcal{E}(1)) \times H^0(T_{\mathbb{P}^n}(-1))$  with an action of  $\mathbb{C}^*$  defined by  $c \cdot (\sigma, s) = (c\sigma, c^{-1}s)$ . Thus the set of nilpotent maps is parametrized by

$$H^0(\mathcal{E}(1)) \times H^0(T_{\mathbb{P}^n}(-1)) /\!\!/ \mathbb{C}^*,$$

which is the total space of  $\mathcal{O}_{\mathbb{P}^n}(-1)^{\oplus a}$  with  $a=h^0(\mathcal{E}(1))$ ; see [21, Theorem 5.7] for a similar description. Now the assertion follows from the observation that non-proportional sections of  $\mathcal{E}(1)$  have different zeros as in [13, Theorem 4.1] and that if  $\sigma$  of s is trivial, then the pair  $(\sigma, s)$  corresponds to the trivial nilpotent map.  $\square$ 

We still assume that X is a smooth projective variety with  $\operatorname{Pic}(X) \cong \mathbb{Z}$  generated by an ample line bundle  $\mathcal{O}_X(1)$  and  $H^0(T_X(-2)) = 0$ , which excludes the case  $X \cong \mathbb{P}^1$  by [23]. Let  $\mathcal{E}$  be a non-semistable reflexive sheaf of rank two on X such that  $(\mathcal{E}, \Phi)$  is semistable for a map  $\Phi : \mathcal{E} \to \mathcal{E} \otimes T_X$ . Without loss of generality we assume that  $\mathcal{E}$  is initialized, i.e.  $H^0(\mathcal{E}) \neq 0$  and  $H^0(\mathcal{E}(-1)) = 0$ . Since  $\mathcal{E}$  is not semistable, we have an exact sequence

$$0 \to \mathcal{O}_X \to \mathcal{E} \to \mathcal{I}_Z(-b) \to 0 \tag{7}$$

with b > 0 and  $\dim(Z) \le \dim(X) - 2$ .

**Lemma 3.5.** Let  $\mathcal{E}$  be a non-semistable reflexive sheaf of rank two on X with  $(\mathcal{E}, \Phi)$  semistable. Then we have  $X \cong \mathbb{P}^n$  with  $n \geq 2$  and b = 1. Also we have either

- $\mathcal{E} \cong \mathcal{O}_{\mathbb{P}^n} \oplus \mathcal{O}_{\mathbb{P}^n}(-1)$ , or
- n = 2 and Z is a point of  $\mathbb{P}^2$ .

*Proof.* Since  $\mathcal{E}$  is reflexive, either  $Z = \emptyset$  or Z has pure codimension 2. From (7) we get an exact sequence

$$0 \to \mathcal{O}_X \otimes T_X \to \mathcal{E} \otimes T_X \stackrel{v}{\to} \mathcal{I}_Z \otimes T_X(-b) \to 0. \tag{8}$$

Since  $(\mathcal{E}, \Phi)$  is semistable, we have  $\Phi(\mathcal{O}_X) \nsubseteq \mathcal{O}_X \otimes T_X$  and so  $v \circ \Phi : \mathcal{O}_X \to \mathcal{I}_Z \otimes T_X(-b)$  is a non-zero map. Since  $X \ncong \mathbb{P}^1$  by [23], we have  $X \cong \mathbb{P}^n$  with  $n \geq 2$  and b = 1. We also get  $h^0(\mathcal{I}_Z \otimes T_X) > 0$ . The zero-locus of each non-zero section of  $T_X(-1)$  is a single point. Hence we have either  $Z = \emptyset$ , or n = 2 and Z is a single point. If  $Z = \emptyset$ , then (7) gives  $\mathcal{E} \cong \mathcal{O}_{\mathbb{P}^n} \oplus \mathcal{O}_{\mathbb{P}^n}(-1)$ .

Recall that  $x_{\mathcal{E}}$  depends only on the isomorphism class of  $\mathcal{E}$ ; see (4). For any  $\mathcal{E}$  fitting into (5) with Z satisfying  $h^0(\mathcal{I}_Z(c_1-x_{\mathcal{E}}-1))=0$ , we know that  $\mathcal{E}$  is stable (resp. semistable) if and only if  $2x_{\mathcal{E}} < c_1$  (resp.  $2x_{\mathcal{E}} \le c_1$ ). For a fixed  $\mathcal{E}$ , the same subscheme  $Z \subset X$  may occur only by proportional sections in  $H^0(\mathcal{E}(-x))$  by [12, Proposition 1.3]. Define  $y_{\mathcal{E}}$  to be

$$\min\{y \ge 0 \mid h^0(\mathcal{I}_Z(c_1 - x_{\mathcal{E}} + y)) > 0\}.$$

Note that  $y_{\mathcal{E}} = 0$  if and only if  $\mathcal{E}$  has at least two non-proportional maps  $\mathcal{O}_X(x) \to \mathcal{E}$  and so fits in at least two non-proportional sequences (5), with different subschemes Z. Thus in all cases the integer  $y_{\mathcal{E}}$  is well-defined.

**Lemma 3.6.** Let  $\mathcal{E}$  be a reflexive sheaf of rank two on X with  $c_1 - 2x_{\mathcal{E}} > 0$ . Then we have  $h^0(\mathcal{E}nd(\mathcal{E})(z)) = h^0(\mathcal{O}_X(z))$  for  $0 \le z < \min\{x_{\mathcal{E}} + y_{\mathcal{E}}, c_1 - 2x_{\mathcal{E}}\}$ .

*Proof.* Set  $x:=x_{\mathcal{E}}$  and  $y:=y_{\mathcal{E}}$ , and assume that  $\mathcal{E}$  fits in (5) for some Z. Fix  $f\in \operatorname{Hom}(\mathcal{E},\mathcal{E}(z))$  and let  $f_1:\mathcal{E}\to \mathcal{I}_Z(c_1-x+z)$  be the map obtained by composing f with the map  $\mathcal{E}(z)\to \mathcal{I}_Z(c_1-x+z)$  twisted from (5) with  $\mathcal{O}_X(z)$ . From the assumption z< x+y, we have  $f_1(\mathcal{O}_X(x))=0$  and so f induces  $f_2:\mathcal{I}_Z(c_1-x)\to \mathcal{I}_Z(c_1-x+z)$ . Now take  $g\in H^0(\mathcal{O}_X(z))$  inducing  $f_2$  and let  $\gamma:\mathcal{E}\to\mathcal{E}(z)$  be obtained by the multiplication by g. Our claim is that  $f=\gamma$ . Taking  $f-\gamma$  instead of f we reduce to the case g=0 and in this case we need to prove that f=0, when we have  $f(\mathcal{E})\subseteq\mathcal{O}_X(x+z)$ . Since  $\mathcal{E}$  is reflexive of rank two, we have  $\mathcal{E}^\vee\cong\mathcal{E}(-c_1)$ . Thus  $f:\mathcal{E}\to\mathcal{O}_X(x+z)$  is induced by a unique  $g\in H^0(\mathcal{E}(x+z-c_1))$ . Since  $g\in \mathcal{E}(-c_1)$  and so  $g\in \mathcal{E}(-c_1)$ .

**Proposition 3.7.** If  $\mathcal{E}$  is a reflexive sheaf of rank two on X with

$$\min\{x_{\mathcal{E}} + y_{\mathcal{E}}, c_1(\mathcal{E}) - 2x_{\mathcal{E}}\} > 3,$$

then it has no non-zero trace-free co-Higgs field, not even a non-integrable one.

*Proof.* Take any map  $\Phi: \mathcal{E} \to \mathcal{E} \otimes T_X$ . Since  $\mathcal{O}_X(1)$  is very ample,  $\Omega_X^1(2)$  is spanned and so  $T_X$  is a subsheaf of  $\mathcal{O}_X(2)^{\oplus N}$ , where  $N = h^0(\Omega_X^1(2))$ . Thus  $\Phi$  induces N elements  $\Phi_i: \mathcal{E} \to \mathcal{E}(2)$  with i = 1, ..., N. By Lemma 3.6 each  $\Phi_i$  is induced by  $f_i \in H^0(\mathcal{O}_X(2))$ . Composing the trace map  $\text{Tr}(\Phi): \mathcal{O}_X \to T_X$  of  $\Phi$  with the inclusion  $T_X \subset \mathcal{O}_X(2)^{\oplus N}$ , we also get N elements  $g_i \in H^0(\mathcal{O}_X(2))$ . Note that we have  $2f_i = g_i$  for all i. If  $\Phi$  is trace-free, then we get  $g_i = 0$  and so  $f_i = 0$  for all i. Thus  $\Phi$  is trivial.

## 3.1. Case $X = \mathbb{P}^2$

For  $(c_1, c_2) \in \mathbb{Z}^{\oplus 2}$ , let  $\mathbf{M}_{\mathbb{P}^2}(c_1, c_2)$  denote the moduli space of stable vector bundles of rank two on  $\mathbb{P}^2$  with Chern numbers  $(c_1, c_2)$ . Schwarzenberger proved that  $\mathbf{M}_{\mathbb{P}^2}(c_1, c_2)$  is non-empty if and only if  $-4 \neq c_1^2 - 4c_2 < 0$ ; see [12,

Lemma 3.2]. When non-empty,  $\mathbf{M}_{\mathbb{P}^2}(c_1, c_2)$  is irreducible; see [2,15,17,18]. For  $\mathcal{E} \in \mathbf{M}_{\mathbb{P}^2}(c_1, c_2)$  and any  $t \in \mathbb{Z}$ , we have

$$c_1(\mathcal{E}(t)) = c_1 + 2t,$$

$$c_2(\mathcal{E}(t)) = c_2 + t^2 + tc_1,$$

$$\chi(\mathcal{E}(t)) = (c_1 + 2t + 2)(c_1 + 2t + 1)/2 + 1 - c_2 - t^2 - t(c_1 + t)$$

$$= c_1(c_1 + 2t + 3)/2 + (t + 1)(t + 2) - c_2;$$

see [5, page 469]. Up to a twist we may assume that  $c_1 \in \{-1, 0\}$ . Since  $\mathcal{E}$  is stable, we have  $h^0(\mathcal{E}) = 0$  and so  $x_{\mathcal{E}} < 0$ . Define an integer  $\alpha(c_1, c_2)$  as

$$\alpha(c_1, c_2) := \min\{t \in \mathbb{Z}_{>0} \mid c_1(c_1 + 2t + 3)/2 + (t+1)(t+2) > c_2\}.$$

For any  $\mathcal{E} \in \mathbf{M}_{\mathbb{P}^2}(c_1, c_2)$ , we have  $\chi(\mathcal{E}(a)) > 0$  for all  $a \geq \alpha(c_1, c_2)$ , and  $\alpha(c_1, c_2)$  is the minimal positive integer with this property; see [12, Proposition 7.1]. By [5, Theorem 5.1], a general bundle  $\mathcal{E} \in \mathbf{M}_{\mathbb{P}^2}(c_1, c_2)$  has  $x_{\mathcal{E}} = -\alpha(c_1, c_2)$  and  $h^1(\mathcal{E}(t)) = 0$  for all  $t \geq \alpha(c_1, c_2)$ . By Proposition 3.4, if  $c_1$  is even, no bundle  $\mathcal{E} \in \mathbf{M}_{\mathbb{P}^2}(c_1, c_2)$  has a non-zero nilpotent map  $\Phi : \mathcal{E} \to \mathcal{E} \otimes T_X$ . If  $c_1$  is odd, we have the following.

**Proposition 3.8.** Let  $\mathcal{E}$  be s general element of  $\mathbf{M}_{\mathbb{P}^2}(-1, c_2)$  with  $c_2 \geq 4$ . If  $\Phi: \mathcal{E} \to \mathcal{E} \otimes T_{\mathbb{P}^2}$  is a nilpotent map, then we have  $\Phi = 0$ .

*Proof.* By Proposition 3.1 it is sufficient to prove that  $x_{\mathcal{E}} \leq -2$ , i.e.  $h^0(\mathcal{E}(1)) = 0$ . Note that  $\chi(\mathcal{E}(1)) = 4 - c_2 \leq 0$  and so we may apply [5, Theorem 5.1].

For any  $x \in \mathbb{Z}$ , let  $\mathbf{M}_{\mathbb{P}^2}(c_1, c_2, x)$  denote the set of all  $\mathcal{E} \in \mathbf{M}_{\mathbb{P}^2}(c_1, c_2)$  with  $x_{\mathcal{E}} = x$ . It is an irreducible family and we have a description of the nilpotent co-Higgs fields on each bundle in  $\mathbf{M}_{\mathbb{P}^2}(c_1, c_2, x)$ ; see Theorem 1.1.

Remark 3.9. Any  $\mathcal{E} \in \mathbf{M}_{\mathbb{P}^2}(-1, c_2)$  with  $\mathcal{E}(-1)$  as in Lemma 2.6 and (1) for  $\mathcal{A} \cong \mathcal{O}_{\mathbb{P}^2}(1)$  occurs in an exact sequence

$$0 \to \mathcal{O}_{\mathbb{D}^2}(-1) \to \mathcal{E} \to \mathcal{I}_7 \to 0 \tag{9}$$

with Z a locally complete intersection scheme  $Z \subset \mathbb{P}^2$  with  $\deg(Z) = c_2(\mathcal{E})$ , using that  $\deg(Z) = c_2(\mathcal{E}(1))$  by [13, Corollary 2.2]. Since Z is not empty, every vector bundle fitting into (9) is stable and so we have  $x_{\mathcal{E}} = -1$ . The general element of  $\mathbf{M}_{\mathbb{P}^2}(-1, c_2, -1)$  admits an extension (9) with as Z the general subset of  $\mathbb{P}^2$  with cardinality  $c_2$ .

For a general stable vector bundle of rank two on  $\mathbb{P}^2$ , we have  $y_{\mathcal{E}} \leq 1$  by [5] and so we cannot use Proposition 3.7 for it. We prove Theorem 1.4 using the following key observation.

Remark 3.10. Take an irreducible family  $\Gamma$  of reflexive sheaves of rank two on X. Let  $\mathcal{G}$  denote the general element of  $\Gamma$ . Assume the existence of some  $\mathcal{E} \in \Gamma$  with  $c_1(\mathcal{E}) - 2x_{\mathcal{E}} \geq 3$  and  $y_{\mathcal{E}} + x_{\mathcal{E}} \geq 3$ . By Lemma 3.6 we have  $h^0(\mathcal{E}nd(\mathcal{E})(2)) =$ 

 $h^0(\mathcal{O}_X(2))$ , which is the minimum possibility for  $h^0(\mathcal{E}nd(\mathcal{G})(2))$  with  $\mathcal{G}$  reflexive of rank two on X, i.e.  $H^0(\mathcal{E}nd(\mathcal{E})(2))$  has the minimal dimension among all reflexive sheaves of rank two on X. By the semicontinuity theorem we have  $h^0(\mathcal{E}nd(\mathcal{G})(2)) = h^0(\mathcal{O}_X(2))$ . Thus we may apply the proof of Proposition 3.7 to  $\mathcal{G}$ , even when  $\mathcal{G}$  does not satisfy the assumptions of Proposition 3.7.

*Proof of Theorem 1.4:*. The proof of Proposition 3.7 shows that it is enough to prove  $h^0(\mathcal{E}nd(\mathcal{E})(2)) = 6$ . And by semicontinuity it is also sufficient to prove that  $h^0(\mathcal{E}nd(\mathcal{G})(2)) = 6$  for some  $\mathcal{G} \in \mathbf{M}_{\mathbb{P}^2}(c_1, c_2)$ . Furthermore, by Lemma 3.6 it is sufficient to find  $\mathcal{G} \in \mathbf{M}_{\mathbb{P}^2}(c_1, c_2)$  with  $x_{\mathcal{G}} = -2$  and  $y_{\mathcal{G}} \geq 5$ .

Now take a general  $S \subset \mathbb{P}^2$  with  $\sharp(S) = c_2 + 4 + 2c_1$  and let  $\mathcal{G}$  be a general sheaf fitting into

$$0 \to \mathcal{O}_{\mathbb{P}^2}(-2) \to \mathcal{G} \to \mathcal{I}_S(c_1+2) \to 0.$$

By Bogomolov inequality we have  $4c_2 > c_1^2$ . We have  $h^0(\mathcal{I}_{S\setminus \{p\}}(c_1+1)) = 0$  for  $p \in S$  and so the Cayley–Bacharach condition is satisfied. Thus  $\mathcal{G}$  is locally free. We also have  $h^0(\mathcal{G}(1)) = 0$  from  $h^0(\mathcal{I}_S(c_1+1)) = 0$ , and so we have  $x_{\mathcal{G}} = -2$ . On the other hand, we have  $\sharp(S) > \binom{c_1+8}{2}$ , we have  $h^0(\mathcal{I}_S(c_1+6)) = 0$  and so  $y_{\mathcal{G}} \geq 5$ . Now we may use Remark 3.10 and the irreducibility of  $\mathbf{M}_{\mathbb{P}^2}(c_1, c_2)$ .  $\square$ 

# 3.2. Case $X = \mathbb{P}^3$ and r > 3

We look at locally free sheaves  $\mathcal E$  of rank at least three on  $\mathbb P^3$  fitting into (1) with either  $\mathcal A\cong\mathcal O_{\mathbb P^3}$  or  $\mathcal A\cong\mathcal O_{\mathbb P^3}(1)$ . By Lemma 2.6 any such a sheaf  $\mathcal E$  has a 2-nilpotent  $\Phi:\mathcal E\to\mathcal E\otimes\mathcal T_{\mathbb P^3}$  with  $\ker(\Phi)\cong\mathcal O_{\mathbb P^3}^{\oplus (r-1)}$ . If  $\mathcal A\cong\mathcal O_{\mathbb P^3}$ , then any torsion-free  $\mathcal E$  fitting into (1) is strictly slope-semistable. Note also that if Z is empty in (1), then  $\mathcal E\cong\mathcal O_{\mathbb P^3}^{\oplus (r-1)}\oplus\mathcal A$  and that  $\deg(Z)=c_2(\mathcal E)$ . In particular if  $\mathcal E$  is not a direct sum of line bundles, then we have  $c_2(\mathcal E)>0$ .

**Lemma 3.11.** Let  $\mathcal{E}$  be a reflexive sheaf of rank three fitting into (1) with  $\mathcal{A} \cong \mathcal{O}_{\mathbb{P}^3}(1)$ . Then the followings are equivalent.

- (i)  $\mathcal{E}$  is slope-semistable;
- (ii)  $\mathcal{E}$  is slope-stable;
- (iii)  $\mathcal{E}$  has no trivial factor.

*Proof.* Assume that  $\mathcal{E}$  has a saturated subsheaf  $\mathcal{G}$  of rank s < 3 with  $\deg(\mathcal{G})/s \ge 1/3$ .

If s=1, then we have  $\mathcal{G}\cong\mathcal{O}_{\mathbb{P}^3}(t)$  for some t>0, because  $\mathcal{E}$  is reflexive and  $\mathcal{E}/\mathcal{G}$  has no torsion (see [13, Propositions 1.1 and 1.9]). Then we have  $\mathcal{G}\nsubseteq u(\mathcal{O}_{\mathbb{P}^3}^{\oplus 2})$  and so  $v(\mathcal{O}_{\mathbb{P}^3}(t))$  is a non-zero subsheaf of  $\mathcal{I}_Z(1)$ . In particular, we get t=1 and  $Z=\emptyset$ . Thus we have  $\mathcal{E}\cong\mathcal{O}_{\mathbb{P}^3}(1)\oplus\mathcal{O}_{\mathbb{P}^3}^{\oplus 2}$ .

Now assume s=2. Again  $v(\mathcal{G})$  is a non-zero subsheaf of  $\mathcal{I}_Z(1)$  and so we get  $\deg(\mathcal{G})=1$  and that  $\mathcal{G}$  is an extension of some  $\mathcal{I}_W(1)$  with  $W\supseteq Z$  by  $\mathcal{O}_{\mathbb{P}^3}$ . It implies that the torsion-free sheaf  $\mathcal{E}/\mathcal{G}$  is a rank one sheaf of degree zero with  $h^0(\mathcal{E}/\mathcal{G})>0$ . Thus we have  $\mathcal{E}/\mathcal{G}\cong\mathcal{O}_{\mathbb{P}^3}$  and the map  $u(\mathcal{O}_{\mathbb{P}^3}^{\oplus 2})\to\mathcal{E}/\mathcal{G}$  is surjective.

Taking a section of  $u(\mathcal{O}_{\mathbb{P}^3}^{\oplus 2})$  with  $1 \in H^0(\mathcal{E}/\mathcal{G})$  as its image, we get a map  $\mathcal{E}/\mathcal{G} \to \mathcal{E}$  inducing a splitting  $\mathcal{E} \cong \mathcal{G} \oplus \mathcal{O}_{\mathbb{P}^3}$ . Thus (iii) implies (ii). Clearly (ii) implies (i). Now assume that  $\mathcal{E}$  has a trivial factor, i.e.  $\mathcal{E} \cong \mathcal{O}_{\mathbb{P}^3} \oplus \mathcal{F}$  with  $\mathcal{F}$  a bundle of rank two. Then the slope of  $\mathcal{F}$  is 1/2, which is greater than the slope of  $\mathcal{E}$ . Thus (i) implies (iii).

*Proof of Theorem 1.2:.* For the strictly semistable bundle, we apply Lemma 2.6 with  $A \cong \mathcal{O}_{\mathbb{P}^3}$ . Except the indecomposability, it is sufficient to find a locally Cohen-Macaulay curve  $Z \subset \mathbb{P}^3$  of  $\deg(Z) = c_2$  such that  $\omega_Z(4)$  is spanned and there is a 2-dimensional linear subspace  $V \subseteq H^0(\omega_Z(4))$  spanning  $\omega_Z(4)$  at each point of  $Z_{\text{red}}$ . We may even take a smooth Z. Note that for every smooth and connected curve  $Z \subset \mathbb{P}^3$ ,  $\omega_Z(4)$  is spanned and non-trivial, and so we get  $h^0(\omega_Z(4)) \geq 2$ . Since  $\omega_Z(4)$  is a line bundle on a curve Z, a general 2-dimensional linear subspace of  $H^0(\omega_Z(4))$  spans  $\omega_Z(4)$ .

Assume now that  $\mathcal{E}$  is decomposable. Using the same argument in the proof of Lemma 3.11 to show that (iii) implies (ii), we get  $\mathcal{E} \cong \mathcal{O}_{\mathbb{P}^3} \oplus \mathcal{G}$  for some vector bundle  $\mathcal{G}$  of rank two. Since Z is not empty, we have  $\mathcal{G} \ncong \mathcal{O}_{\mathbb{P}^3}^{\oplus 2}$  and we see that  $\mathcal{G}$  fits in (1) with the same Z above and r=2. Thus we get  $\omega_Z \cong \mathcal{O}_Z(-4)$  by [12, Theorem 1.1], contradicting the assumption that Z is a reduced curve.

For the stable bundle, we follow the argument above with  $\omega_Z(3)$  instead of  $\omega_Z(4)$ .

For any reflexive sheaf  $\mathcal{G}$  of rank two on  $\mathbb{P}^3$  we have  $c_1(\mathcal{G}(t))^2 - 4c_2(\mathcal{G}(t)) = c_1(\mathcal{G})^2 - 4c_2(\mathcal{G})$  for all  $t \in \mathbb{Z}$ . Take  $\mathcal{E}$  produced by Theorem 1.2 and consider its quotient by a subsheaf  $\mathcal{O}_X \subset \mathcal{E}$ , or use (1) for r = 2 and the Hartshorne-Serre correspondence in [13, Theorem 4.1]. Then we get the following results (for the "only if" part use [13, Corollary 3.3]).

**Corollary 3.12.** For a fixed pair of integers  $(c_1, c_2)$  with  $c_1$  even, there are an indecomposable and strictly semistable reflexive sheaf  $\mathcal{E}$  of rank two on  $\mathbb{P}^3$  with  $c_1(\mathcal{E}) = c_1$  and  $c_2(\mathcal{E}) = c_2$ , and a non-trivial nilpotent map  $\Phi : \mathcal{E} \to \mathcal{E} \otimes T_{\mathbb{P}^3}$  if and only if  $c_1^2 - 4c_2 < 0$ .

**Corollary 3.13.** For a fixed pair of integers  $(c_1, c_2)$  with  $c_1$  odd, there is a stable reflexive sheaf  $\mathcal{E}$  of rank two on  $\mathbb{P}^3$  with  $c_1(\mathcal{E}) = c_1$  and  $c_2(\mathcal{E}) = c_2$ , equipped with a non-trivial nilpotent map  $\Phi : \mathcal{E} \to \mathcal{E} \otimes T_{\mathbb{P}^3}$  if and only if  $c_1^2 - 4c_2 < 0$ .

*Remark 3.14.* The interested reader may state and prove statements similar to Theorem 1.2 and Corollary 3.12 that involve Lemma 2.6 with  $\mathcal{A} \cong \mathcal{O}_{\mathbb{P}^3}$ , when X is the three-dimensional smooth quadric  $Q_3 \subset \mathbb{P}^4$ , using  $\omega_Z(-3)$  instead of  $\omega_Z(-4)$ .

Proof of Proposition 1.3:. Since  $4c_2(\mathcal{E}(t)) - c_1(\mathcal{E}(t))^2$  is a constant function on t, we may reduce to the case  $c_1 = 0$ . By [9], [10] and [16, Appendix C], we see that  $\mathcal{E}$  must be as in Lemma 2.6 and (1) with r = 2 and  $\mathcal{A} \cong \mathcal{O}_{\mathbb{P}^3}$ . Since we have  $d := \deg(Z) = c_2(\mathcal{E})$ , so we get  $c_2(\mathcal{E}) = 0$  if and only if  $Z = \emptyset$ , i.e.  $\mathcal{E} \cong \mathcal{O}_{\mathbb{P}^3}^{\oplus 2}$ . For the conclusion, it is sufficient to exclude the Chern numbers  $c_2$  with  $1 \le c_2 \le 8$ . If such  $\mathcal{E}$  exists, then Z is a locally complete intersection and  $\omega_Z \cong \mathcal{O}_Z(-4)$ . By the duality we have  $2\chi(\mathcal{O}_Z) = \deg(\omega_Z) = -4d$ , i.e.  $\chi(\mathcal{O}_Z) = -2d$ .

Macaulay proved that a polynomial q(t) is the Hilbert function of a curve of degree d in some  $\mathbb{P}^n$ , not necessarily locally a complete intersection, if and only if there is a non-negative integer  $\alpha$  such that

$$q(t) = \sum_{i=0}^{d-1} (t+i-i) + \alpha = dt - (d-2)(d-3)/2 + \alpha;$$

see [9,10,16]; for locally Cohen-Macaulay space curves, one can also use [3]. If p(t) is the Hilbert polynomial of the scheme Z, then we have  $\chi(\mathcal{O}_Z) = p(0)$  and so  $-(d-2)(d-3)/2 \le -2d$ , i.e.  $(d-2)(d-3) \ge 4d$ . But it is false if  $1 \le d \le 8$ .

**Proposition 3.15.** For a fixed pair of integers  $(c_1, c_2)$  with  $c_1$  odd and  $4c_2-c_1^2 \le 28$ , there is no pair  $(\mathcal{E}, \Phi)$ , where  $\mathcal{E}$  is a stable vector bundle of rank two on  $\mathbb{P}^3$  with  $c_1(\mathcal{E}) = c_1$  and  $c_2(\mathcal{E}) = c_2$ , and  $\Phi : \mathcal{E} \to \mathcal{E} \otimes T_{\mathbb{P}^3}$  is a non-trivial nilpotent map.

*Proof.* Since  $c_1$  is odd, we get that  $c_2$  is even by [12, Corollary 2.2]. As in the proof of Proposition 1.3 we first reduce to the case  $c_1 = 1$  and then use that  $\omega_Z \cong \mathcal{O}_Z(-3)$ , implying  $2\chi(\mathcal{O}_X) = 3c_2$ , to exclude the cases  $c_2 \in \{2, 4, 6\}$  by the inequality  $(c_2 - 2)(c_2 - 3) \ge 3c_2$ .

### 3.3. Case Num(X) $\cong \mathbb{Z}$

Now we drop the main assumption on Pic(X); let Num(X) be the quotient of Pic(X) by numerical equivalence. Note that if  $Num(X) \cong \mathbb{Z}$ , then the notion of (semi)stability does not depend on the choice of a polarization. For  $\mathcal{L} \in Pic(X)$  we call  $deg(\mathcal{L})$  the numerical class of  $\mathcal{L}$ .

**Theorem 3.16.** Assume that  $\operatorname{Num}(X) \cong \mathbb{Z}$  and that  $X \neq \mathbb{P}^n$ . If  $\Phi : \mathcal{E} \to \mathcal{E} \otimes T_X$  is a nilpotent map for a stable reflexive sheaf of rank two on X, then we have  $\Phi = 0$ .

*Proof.* Assume  $\Phi \neq 0$  and then  $\mathcal{L} := \ker(\Phi)$  is a rank one saturated subsheaf of  $\mathcal{E}$ . Set  $\mathcal{F} := \mathcal{E} \otimes \mathcal{L}^{\vee}$ . Since  $\mathcal{L}$  is saturated in  $\mathcal{E}$ ,  $\mathcal{F}$  fits in an exact sequence (1) with r = 2. Since  $\mathcal{E}$  is stable, we have  $\deg(\mathcal{A}) > 0$  and so  $\mathcal{A}$  is ample. Call  $\Psi : \mathcal{F} \to \mathcal{F} \otimes T_X$  the non-zero nilpotent map obtained from  $\Phi$ . Since  $\Psi \circ \Psi = 0$ , we have  $\Psi(\mathcal{F}) \subset u(\mathcal{O}_X) \otimes T_X \cong T_X$ . Thus  $\Psi$  induces a non-zero map  $\mathcal{A} \to T_X$ . Since  $\mathcal{A}$  is ample, we have  $X = \mathbb{P}^n$  by [23], a contradiction.

### 4. Arbitrary Picard groups

Now we drop the assumption  $\text{Pic}(X) \cong \mathbb{Z}$ , but we fix a very ample line bundle  $\mathcal{H} \cong \mathcal{O}_X(1)$  on X and we use  $\mathcal{H}$  to check the slope-(semi)stability of sheaves on X. We use that  $\mathcal{O}_X(1)$  is very ample only to guarantee that  $\Omega_X^1(2)$  is spanned. For any torsion-free sheaf of rank two on X, define  $z_{\mathcal{E}} = z_{\mathcal{E},\mathcal{H}}$  to be

 $\max\{z \in \mathbb{Z} \mid H^0(\mathcal{E}(-z)) \text{ has a section not vanishing on a divisor of } X\}.$ 

Then we have an exact sequence

$$0 \to \mathcal{O}_X(z) \to \mathcal{E} \to \mathcal{I}_Z \otimes \det(\mathcal{E})(-z) \to 0 \tag{10}$$

with  $z = z_{\mathcal{E},\mathcal{H}}$  and  $Z \subset X$  of codimension 2. The integer  $\rho_{2,\mathcal{H}}(\mathcal{E})$  is the minimal integer t such that  $h^0(\mathcal{I}_Z \otimes \det(\mathcal{E})(t-z)) > 0$  for some (10). Recall that  $x_{\mathcal{E}}$  or  $x_{\mathcal{E},\mathcal{H}}$  was defined to be the only integer x such that  $H^0(\mathcal{E}(-x)) \neq 0$  and  $H^0(\mathcal{E}(-x-1)) = 0$ . The following result is an adaptation of Lemma 3.6.

**Lemma 4.1.** Let  $\mathcal{E}$  be a reflexive sheaf of rank two on X. For  $a \in \mathbb{Z}$  such that

$$a < \min\{\rho_{2,\mathcal{H}}(\mathcal{E}) - z_{\mathcal{E}}, \max\{-x_{\mathcal{E}^{\vee}} - z_{\mathcal{E}}, -x_{\mathcal{E}} - x_{\det(\mathcal{E})} - z_{\mathcal{E}} - 1\}\},\$$

we have  $h^0(\mathcal{E}nd(\mathcal{E})(a)) = h^0(\mathcal{O}_X(a)).$ 

*Proof.* Since  $\mathcal{O}_X$  is a factor of  $\mathcal{E}nd(\mathcal{E})$ , we have  $h^0(\mathcal{E}nd(\mathcal{E})(a)) \ge h^0(\mathcal{O}_X(a))$  and so it is sufficient to prove the inequality  $h^0(\mathcal{E}nd(\mathcal{E})(a)) \le h^0(\mathcal{O}_X(a))$ .

Set  $z := z_{\mathcal{E},\mathcal{H}}$  and assume that  $\mathcal{E}$  fits in the exact sequence (10) computing the integer  $\rho_{2,\mathcal{H}}(\mathcal{E})$ . For a fixed  $f \in \text{Hom}(\mathcal{E},\mathcal{E}(a))$ , let

$$f_1: \mathcal{E} \to \mathcal{I}_Z \otimes \det(\mathcal{E})(-z+a)$$

be the map obtained by composing f with the map  $\mathcal{E}(a) \to \mathcal{I}_Z \otimes \det(\mathcal{E})(-z+a)$  twisted from (10) with  $\mathcal{O}_X(a)$ . Since  $a < \rho_{2,\mathcal{H}}(\mathcal{E}) - z_{\mathcal{E}}$ , we have  $f_1(\mathcal{O}_X(z)) = 0$  and so f induces

$$f_2: \mathcal{I}_Z \otimes \det(\mathcal{E})(-z) \to \mathcal{I}_Z \otimes \det(\mathcal{E})(-z+a).$$

Now take  $g \in H^0(\mathcal{O}_X(a))$  inducing  $f_2$  and let  $\gamma: \mathcal{E} \to \mathcal{E}(a)$  be the map obtained by the multiplication by g. Then it is enough to prove that  $f = \gamma$ . Taking  $f - \gamma$  instead of f, we reduce to the case g = 0 and in this case we need to prove that f = 0. From the assumption that g = 0, we have  $f(\mathcal{E}) \subseteq \mathcal{O}_X(z+a)$ , and so f = 0 if  $-x_{\mathcal{E}^\vee} > z + a$ . Note that  $\mathcal{E}$  is reflexive of rank two and so we have  $\mathcal{E}^\vee \cong \mathcal{E} \otimes \det(\mathcal{E})^\vee$ . Thus  $f: \mathcal{E} \to \mathcal{O}_X(z+a)$  is induced by a unique  $b \in H^0(\mathcal{E}(z+a) \otimes \det(\mathcal{E})^\vee)$ . If  $z+a < -x_{\mathcal{E}} - x_{\det(\mathcal{E})^\vee} - 1$ , we have b = 0, because  $h^0(\mathcal{E}(-x_{\mathcal{E}}-1)) = 0$  and  $h^0(\det(\mathcal{E})^\vee(-x_{\det(\mathcal{E})^\vee}-1)) = 0$ .

**Proposition 4.2.** Let  $\mathcal{E}$  be a reflexive sheaf of rank two on  $X \neq \mathbb{P}^n$  with  $\rho_{2,\mathcal{H}}(\mathcal{E}) \geq 3$  and either  $-x_{\mathcal{E}^{\vee}} - z_{\mathcal{E}}$  or  $-x_{\det(\mathcal{E})} + 1$  at least two. Then any trace-zero co-Higgs field for  $\mathcal{E}$  is identically zero.

*Proof.* Basically the same argument in the proof of Proposition 3.7 works with Lemma 3.6 replaced by Lemma 4.1. Since  $T_X$  is a subsheaf of  $\mathcal{O}_X(2)^{\oplus N}$  for  $N=h^0(\Omega_X^1(2))$ , any map  $\Phi: \mathcal{E} \to \mathcal{E} \otimes T_X$  induces N elements  $\Phi_i: \mathcal{E} \to \mathcal{E}(2)$  with  $i=1,\ldots,N$ . Then by Lemma 4.1 each  $\Phi_i$  is induced by  $f_i \in H^0(\mathcal{O}_X(2))$ . Now by composing the trace map of  $\Phi$  with the inclusion  $T_X \subset \mathcal{O}_X(2)^{\oplus N}$ , we also get N elements  $g_i \in H^0(\mathcal{O}_X(2))$ . We know that  $2f_i = g_i$  for each i. If  $\Phi$  is trace-free, then we get  $g_i = 0$  and so  $f_i = 0$  for each i. Thus  $\Phi$  is trivial.

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