RESEARCH ARTICLE

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Population differentiation of the Atlantic spotted dolphin (*Stenella frontalis*) in the western North Atlantic, including the Gulf of Mexico

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Abstract Information about the genetic population structure of the Atlantic spotted dolphin [Stenella frontalis (G. Cuvier 1829)] in the western North Atlantic would greatly improve conservation and management of this species in USA waters. To this end, mitochondrial control region sequences and five nuclear microsatellite loci were used to test for genetic differentiation of Atlantic spotted dolphins in the western North Atlantic, including the Gulf of Mexico $(n=199)$. Skin tissue samples were collected from 1994–2000. Significant heterozygote deficiencies in three microsatellite loci within samples collected off the eastern USA coast prompted investigation of a possible Wahlund effect, resulting in evidence for previously unsuspected population subdivision in this region. In subsequent analyses including three putative populations, two in the western North Atlantic ($n=38$, $n=85$) and one in the Gulf of Mexico $(n=76)$, significant genetic differentiation was detected for both nuclear DNA $(R_{ST}=0.096,$ $P \le 0.0001$) and mitochondrial DNA ($\Phi_{ST} = 0.215$, $P \leq 0.0001$, as well as for all pair-wise population comparisons for both markers. This genetic evidence for population differentiation coupled to known biogeographic transition zones at Cape Hatteras, North Carolina and Cape Canaveral, Florida, USA, evidence of female philopatry, and preliminary support for signifi-

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cant genetic differences between previously documented morphotypes of Atlantic spotted dolphins in coastal and offshore waters all indicate that the biology and life history of this species is more complex than previously assumed. Assumptions of large, panmictic populations might not be accurate in other areas where *S. frontalis* is continuously distributed (e.g., eastern Atlantic), and could have a detrimental effect on long-term viability and maintenance of genetic diversity in this species in regions where incidental human-induced mortality occurs.

Introduction

The Atlantic spotted dolphin, Stenella frontalis, is a small delphinid that is endemic to the Atlantic Ocean. In the western North Atlantic, its distribution includes warm temperate and tropical waters from northern New England to the Gulf of Mexico and the Caribbean, and southward to the coast of Venezuela (Perrin et al. [1987\)](#page-9-0). Two distinct morphotypes of the Atlantic spotted dolphin have been described within this range: a larger, more heavily spotted form found over continental shelf waters, and a smaller, less spotted form found in more pelagic offshore waters, the Caribbean, and around oceanic islands (Perrin et al. [1987](#page-9-0)). Although Atlantic spotted dolphins are common in the Gulf of Mexico and in western North Atlantic waters off the eastern coast of the USA, little is known of the life history, migratory patterns, or population dynamics of this species.

The Marine Mammal Protection act (MMPA) requires annual assessments of the status of S. frontalis stocks in USA waters. In this context, ''stock'' is analogous to the commonly used definition of the biological population and is defined by the MMPA as a ''group of the same species or smaller taxa in a common spatial arrangement that interbreed when mature'' [16 USC 1362 § 3 (11) (1972)]. Currently, Atlantic spotted dolphins in USA waters are managed as two separate units

Fig. 1 Stenella frontalis. Map of sample collection locations in the Mid-Atlantic Bight (MAB, filled triangles), South Atlantic Bight (SAB, filled circles), and Gulf of Mexico (GOM, filled squares). Samples were separated into Mid-Atlantic Bight and South Atlantic Bight populations at 35° . *n* number of individuals sampled in a region. Isobaths plotted from 20 to 100 m in 20-m increments, and at 200 m

designated as Gulf of Mexico and western North Atlantic stocks, respectively (Waring et al. [2000](#page-10-0)), although these delineations were not based on direct knowledge of population structure, but rather were developed to simplify management because these two areas support very different commercial fisheries (G. Waring, personal communication).

Population structure hypotheses for Atlantic spotted dolphins in the western North Atlantic and Gulf of Mexico would be most biologically meaningful if they were formulated based on identifiable barriers to gene flow among dolphin populations. However, well-known physical oceanographic barriers to gene flow (e.g., currents, physio-chemical water properties) that affect many marine species are unlikely to affect movements of highly mobile cetaceans. Instead, population-level differences in cetaceans have historically been attributed to a variety of life history characteristics and behavioral differences, including feeding behavior (Hoelzel [1994\)](#page-9-0), sex-related dispersal (Palumbi and Baker [1994](#page-9-0); Lyrholm et al. [1999](#page-9-0)), and separate breeding seasons (Valsecchi et al. [1997](#page-10-0)), among other factors. Although some knowledge of S. frontalis life-history characteristics was obtained from a long-term study of free-ranging Atlantic spotted dolphins in the northern Bahamas (Herzing [1997](#page-9-0)), no comparable information is available for spotted dolphins in the Gulf of Mexico or other areas of the western North Atlantic.

Genetic population structure studies have played an increasingly integral role in conservation and management of cetacean species (Rosel et al. [1994](#page-10-0); Rosel et al. [1995](#page-10-0); Baker et al. [1998;](#page-9-0) García-Martínez et al. [1999\)](#page-9-0). Microsatellite DNA markers and mitochondrial DNA (mtDNA) sequences have been particularly useful in population-level studies of cetaceans (e.g., Baker et al.

[1994;](#page-9-0) Valsecchi et al. [1997](#page-10-0); Brown Gladden et al. [1999](#page-9-0); Rosel et al. [1999a](#page-10-0); Escorza-Treviño and Dizon [2000\)](#page-9-0). When used in tandem, these non-coding, hypervariable markers provide insight into both biparental and maternal inheritance patterns.

The present study uses nuclear and mtDNA markers to test the null hypothesis of one panmictic population of *S. frontalis* in western North Atlantic waters¹ and the Gulf of Mexico, with the alternative hypothesis that these areas are genetically distinct, as was suggested for coastal bottlenose dolphin [Tursiops truncatus (Montagu 1821)] populations (Dowling and Brown [1993\)](#page-9-0). Ultimately, the results of genetic analyses could be used in combination with information on abundance and incidental fishery-related spotted dolphin mortality to assess the status of Atlantic spotted dolphin populations, and, in turn, to provide a biological basis for future management of this species. In this way, the evolutionary potential of each identified population can be conserved.

Materials and methods

Sample collection and DNA extraction

Skin tissue samples were collected from 199 individual spotted dolphins, *S. frontalis* (G. Cuvier 1829), from 1994–2000 (Fig. 1). Of these, 123 were collected in

¹In this manuscript, the term "western North Atlantic" refers to oceanic waters off the eastern coast of North America excluding the Gulf of Mexico, Caribbean Sea, and other associated partially enclosed bodies of water, in an attempt to remain consistent with Atlantic spotted dolphin stock boundaries in the western North Atlantic

[waters off the eastern coast of the USA in the western](#page-1-0) [North Atlantic and 76 were collected in the Gulf of](#page-1-0) [Mexico. Tissue was collected from live dolphins in the](#page-1-0) field $(n=193)$ using pole spears, cross bows or biopsy [dart guns, and from beach-cast individuals on the east](#page-1-0) coast $(n=4)$ and Gulf of Mexico coast $(n=2)$. Skin [tissues were preserved in either 20% dimethyl sulfoxide](#page-1-0) [saturated with NaCl or frozen at](#page-1-0) -80° [C until extraction.](#page-1-0)

Total genomic DNA was extracted from approximately 25 mg of tissue using standard proteinase K digestion and phenol–chloroform organic extraction protocols followed by ethanol precipitation, as described by Rosel and Block ([1996](#page-10-0)). Phase lock gel tubes (Eppendorf) were used for organic phase extraction. DNA quality was assessed through visualization on a 1% agarose gel stained with ethidium bromide, and the DNA concentration was quantified using a fluorometer.

Sex determination

To investigate sex-related differences in dispersal, the sex of biopsied individuals was determined using a multiplex polymerase chain reaction (PCR) protocol modified from Bérubé and Palsbøll ([1996](#page-9-0)). This protocol uses primers (ZFYX0582F, ZFY0767R, and ZFX0923R) specific to the ZFX and ZFY genes on the sex-determining chromosomes, producing two amplification bands for male samples and one for female samples when visualized on an agarose gel (Bérubé and Palsbøll [1996](#page-9-0)). The cycling profile consisted of initial denaturation at 92° C for 30 s, followed by 35 cycles of 92° C, 50° C and 72° C for 45 s each. For most samples, a pitstop PCR approach (Lopes et al. [1999\)](#page-9-0) was used to prevent preferential amplification of the ZFX band by adding the reverse primer ZFX0923R after the sixth amplification cycle. All PCR products were visualized on a 2.0% agarose gel stained with ethidium bromide, and compared to a positive male control.

Microsatellites

Amplification of five dinucleotide repeat microsatellite loci (Ttr04, Ttr11, Ttr19, Ttr34 and Ttr48; Rosel et al. 2005) was accomplished via PCR in 25 μ l reaction volumes. Each reaction contained 50–100 ng DNA (samples with mostly low molecular weight DNA were an exception, where 130–350 ng DNA were used), and the following reagent concentrations: 20 mM Tris–HCl (pH 8.4), 50 mM KCl, 1.5 mM $MgCl_2$, 0.3 µM forward and reverse primers, 0.25 mM dNTPs, and 1.25 U Taq DNA polymerase (Invitrogen Life Technologies). Primer concentrations and/or Taq polymerase concentrations were doubled for samples that yielded poor quality, low molecular weight DNA. Locus Ttr04 was amplified with an initial denaturation at 94° C for 30 s, followed by 30 cycles of 94° C for 20 s, 62 $^{\circ}$ C for 20 s, and 72 $\rm{°C}$ for 40 s, with a final extension step of 72 $\rm{°C}$ for

10 min. Loci Ttr11 and Ttr19 were co-amplified with a cycling profile that differed from that of Ttr04 only by a 2-C decrease in annealing temperature. Loci Ttr34 and Ttr48 were also co-amplified with the following cycling profile: initial denaturation at 94°C for 30 s, followed by 27 cycles of 94° C, 58° C, and 72° C for 30 s each with a final extension step at 72° C for 10 min.

Microsatellite data were collected on an ABI Prism 310 Genetic Analyzer (Applied Biosystems, Inc.) and scored by GeneScan version 3.1 fragment analysis software using the internal lane size standard GS-500 (Applied Biosystems, Inc.). Allele sizes were binned using a graphical approach described by Rosel et al. [\(1999a\)](#page-10-0) and with a microsatellite binning program provided by B. Amos (personal communication). For each putative population, genotypic linkage disequilibrium tests for independent assortment of microsatellite loci and tests of deviation from Hardy-Weinberg equilibrium were run using the Markov chain method for P value estimation with 10,000 dememorization steps, 1,000 batches and 10,000 iterations per batch as implemented by GenePop version 3.2a (Raymond and Rousset [1995\)](#page-9-0). Microsatellite diversity estimates were calculated as average heterozygosity (Arlequin version 2.0, Schneider et al. [2000\)](#page-10-0) and total number of alleles per population (GenePop version 3.2a, Raymond and Rousset [1995\)](#page-9-0).

Microsatellite population differentiation was estimated as F_{ST} using Arlequin version 2.0 (Schneider et al. [2000\)](#page-10-0), which considers allele frequency differences between populations, and R_{ST} using R_{ST} Calc version 2.2 (Goodman [1997](#page-9-0)), which uses both frequency differences as well as a microsatellite mutation model to estimate population differentiation. R_{ST} was calculated by averaging variance components over loci. The significance of each statistic's deviation from zero was tested via 10,000 random permutations of the data as implemented in Arlequin or R_{ST} Calc. Sequential Bonferroni corrections (Holm [1979\)](#page-9-0) for multiple tests were applied using an initial α value of 0.05.

Based on the Hardy-Weinberg test results, evidence for inbreeding and further population subdivision within the western North Atlantic was investigated. Wright's inbreeding coefficient F_{IS} was calculated using Arlequin version 2.0 with 10,000 permutations (Schneider et al. [2000\)](#page-10-0). Two approaches were used to test for population subdivision. First, western North Atlantic samples were iteratively separated into two groups divided north and south of each degree of latitude from 29° N to 40° N, and then allele frequencies of each population pair were compared to Hardy-Weinberg expectations. Measures of F_{ST} (population subdivision) and F_{IS} (apparent population inbreeding) were also calculated for all iteratively separated population pairs using Arlequin version 2.0 (Schneider et al. [2000\)](#page-10-0). Secondly, evidence for differentiation between putative morphotypes was examined using sampling depth as a proxy for morphotype, where biopsies from continental shelf waters $(\leq 200 \text{ m})$ were designated as "coastal" and those from deeper waters beyond the shelf break were designated as ''offshore'' (Perrin et al. [1994](#page-9-0)). Depths were obtained from field data (when available) or from bathymetric depth grids from the Coastal Relief Model, volume 2, provided by the NOAA National Geophysical Data Center (Boulder, CO, USA) for use in Arcview, version 8.0 (ESRI). Coastal and offshore allele frequencies were compared to Hardy-Weinberg expectations, and measures of population subdivision were calculated as F_{ST} using Arlequin version 2.0 (Schneider et al. [2000\)](#page-10-0).

MtDNA

A 430-base pair (bp) fragment of mtDNA, including 65 bases of flanking tRNA and a 365-bp portion of the variable 5' end of the control region, was amplified by PCR using primers L15824 and H16265 (Rosel et al. [1999b](#page-10-0)). Each 50-ul reaction contained: 20 mM Tris–HCl (pH 8.4), 50 mM KCl, 1.5 mM $MgCl_2$, 0.3 µM forward and reverse primers, 0.15 mM dNTPs, 2.5 U Taq DNA polymerase (Invitrogen Life Technologies), and 40– 100 ng DNA (samples with mostly low molecular weight DNA were an exception, where 100–250 ng DNA was added). Some amplification reactions also contained 8 lg bovine serum albumin (no. A4503, Sigma Chemical) to facilitate amplification of extractions yielding poor DNA quality. The cycling profile consisted of initial denaturation at 94° C for 30 s, followed by 30 cycles of 94° C, 55° C, and 72° C for 30 s each. After amplification, 5 μ l of PCR product was visualized on a 1% agarose gel stained with ethidium bromide. The remaining PCR products were then purified by PCR band extraction from 0.8% SeaPlaque GTG low melting point agarose gels (FMC) stained with ethidium bromide. The gel bands were melted for 10 min at 65°C and then digested overnight at 40° C with approximately 0.075 U of agarase (Sigma Chemical) μl^{-1} of PCR product.

All PCR products were cycle sequenced in both forward and reverse directions and purified by ethanol precipitation following sequencing protocols from Applied Biosystems, Inc. for use with the ABI Prism Big-Dye Terminator Ready-reaction Mix version 2.0, with two exceptions: BigDye reaction mix concentration was 0.5 \times , and 2.5 \times cycle sequencing buffer (200 mM Tris– HCl, 5 mM $MgCl₂$, pH 9.0) was used in place of water. Sequence data were collected on an ABI 310 Genetic Analyzer (Applied Biosystems, Inc.), edited in Sequence Navigator version 1.0.1 (Applied Biosystems, Inc.), and aligned by eye using SeqPup version 0.6f (Gilbert [1996\)](#page-9-0). A consensus sequence of the forward and reverse sequence for each sample was used for mtDNA analyses.

MtDNA diversity measures included nucleotide diversity (π) and haplotype diversity (h) (Nei [1987](#page-9-0)) calculated using Arlequin version 2.0 (Schneider et al. [2000](#page-10-0)). The Tukey-Kramer procedure (Dunnet [1980\)](#page-9-0) was applied to test for significant differences in mitochondrial diversity between populations with α =0.05. Population differentiation was tested using an analysis of molecular variance (AMOVA) (Excoffier et al. [1992](#page-9-0)) to compute F_{ST} using allele frequencies, and Φ_{ST} using the Tamura–Nei distance algorithm (Tamura and Nei [1993\)](#page-10-0) and a gamma correction with $\alpha=0.5$. The significance of both F_{ST} and Φ_{ST} was tested against a null distribution constructed by 10,000 random permutations of the data (Schneider et al. [2000](#page-10-0)). As with microsatellite comparisons, sequential Bonferroni corrections for multiple tests (Holm [1979\)](#page-9-0) were applied using an initial α value of 0.05. A median-joining network of all unique haplotypes was constructed to visualize phylogeographic relationships among haplotypes and populations using Network version 3.1.1.1 (Bandelt et al. [1999\)](#page-9-0).

Results

Microsatellite DNA

All microsatellite loci conformed to Hardy-Weinberg expectations in the Gulf of Mexico, but in the western North Atlantic sample, two loci differed significantly from expected genotype frequencies ($P \le 0.001$, Ta-ble [2\). Subsequent tests for heterozygote deficiency re](#page-5-0)[vealed that these two loci, as well as another \(Ttr19\),](#page-5-0) [were deficient in the number of expected heterozygotes.](#page-5-0) [To help explain these deviations from Hardy-Weinberg](#page-5-0) [expectations, we tested for evidence of further popula](#page-5-0)[tion subdivision \(i.e., a Wahlund effect\) and for](#page-5-0) [inbreeding within the western North Atlantic \(see dis](#page-5-0)[cussion\).](#page-5-0)

Iterative separation of western North Atlantic samples by latitude revealed that all microsatellite loci conformed to Hardy-Weinberg equilibrium expectations when the western North Atlantic samples were separated into two groups at either 35° or 36° N (Fig. [2\). Popula](#page-4-0)[tions separated at these two points also exhibited the](#page-4-0) [highest levels of population subdivision and lowest](#page-4-0) F_{IS} [values \(Fig.](#page-4-0) 2). Because F_{ST} [and](#page-4-0) F_{IS} [values were com](#page-4-0)parable for populations separated at 35° and 36° N, the [former was chosen as a point of differentiation based on](#page-4-0) [its proximity to a documented biological transition zone](#page-4-0) [at Cape Hatteras, North Carolina \(Briggs](#page-9-0) 1974). This point separates the western North Atlantic into two putative populations, hereafter referred to as Mid-Atlantic Bight² ($n=38$), which includes waters from Georges Bank to Cape Hatteras, North Carolina, and South Atlantic Bight² ($n=86$), which extends from Cape Hatteras, NC to the southern tip of Florida.

When western North Atlantic samples were partitioned according to depth, the number of microsatellite loci that were deficient in the expected number of heterozygotes was reduced from three to one ($P \le 0.0002$).

²Although "Mid-Atlantic Bight" and "South Atlantic Bight" are not officially recognized oceanographic terms (see Richards 1999), their conventional use closely approximates the distribution of Atlantic spotted dolphins in coastal USA waters of the western North Atlantic

An AMOVA including all five loci revealed that individuals sampled in continental shelf waters (\leq 111 m) were significantly different than those collected in waters beyond the shelf break $(\geq 306 \text{ m})$; no samples were collected between 111 and 306 m depth) for both microsatellite data (F_{ST} =0.1444, $P < 0.0001$) and mtDNA sequences $(F_{ST}=0.0622, P \le 0.0399)$. However, all offshore samples ($n = 24$) were collected north of 35°N (i.e., in the Mid-Atlantic Bight). Although subsequent partitioning of Mid-Atlantic Bight samples into coastal and offshore groups at the same depths as above greatly reduced the size of the coastal sample $(n=12)$, significant differentiation remained for both microsatellites $(F_{ST}=0.1082, P<0.0001)$, and mtDNA sequences $(F_{ST}=0.0617, P \le 0.0001).$

Wright's inbreeding coefficient (F_{IS}) was significant for the western North Atlantic as a whole $(F_{IS}=0.0885,$ $P \leq 0.001$). When western North Atlantic samples were partitioned according to latitude and depth, significant inbreeding was detected in the offshore Mid-Atlantic Bight population only $(F_{IS}=0.0563, P \le 0.0271)$, but this estimate was not significant after corrections for multiple tests.

Based on patterns revealed by these analyses, our alternative hypothesis changed, and we tested for population subdivision among three putative populations: two within the western North Atlantic, designated Mid-Atlantic Bight and South Atlantic Bight, and one in the Gulf of Mexico. Microsatellite linkage tests for the three putative populations were not significant after sequential Bonferroni corrections for multiple tests and, as mentioned above, all loci were in Hardy-Weinberg equilibrium.

Sample composition

The sex of 187 of 193 biopsied dolphins was successfully determined using the multiplex pit-stop PCR protocol, giving a total of 193 samples of known sex when including the six strandings whose sex was determined using morphological techniques ($n=116$ female, $n=77$

male; Table 1). The total number of samples was significantly biased towards females ($P \le 0.0050$) at 60.1%; this percentage was consistent within putative populations except the Mid-Atlantic Bight, where females constituted 55.6% of the individuals.

Two sample pairs had identical microsatellite genotypes across all five loci. One pair was identified as the same sex, shared identical mtDNA haplotypes, and both samples were collected from the same group in the field; one of these duplicate samples was removed from all analyses. The other comparison revealed identical microsatellite genotypes at all five loci shared by a sample from the South Atlantic Bight and a sample from the Gulf of Mexico, but the sex and mitochondrial haplotype of the two samples differed. Therefore, both samples in the latter comparison were retained in the data set.

Genetic diversity

All five loci were used to genotype 196 S. *frontalis* samples from the western North Atlantic and Gulf of Mexico, with the exception of two samples that could not be amplified at locus Ttr19, and one sample that was not amplified at locus Ttr11. The number of alleles in all samples at each microsatellite locus ranged from 7 to 15, with an average of 11 alleles per locus. On average, levels

Table 1 Stenella frontalis. Sexes of beach-cast and biopsied individuals from each area

	MAB	SAB	GOM	All
Samples				
Total	38	85	76	199
Males	16	33	28	
Females	20	52	44	116
Unknown				

MAB Mid-Atlantic Bight, SAB South Atlantic Bight, GOM Gulf of Mexico

Table 2 Stenella frontalis. Departures from expectations under the Hardy-Weinberg equilibrium model (P values and SE) and microsatellite diversity estimates for each locus in each population tested. Significant probability values after sequential Bonferroni corrections for multiple tests shown in bold

ATL Locus P		MAB				SAB			GOM								
		SE.	P	SЕ	\boldsymbol{A}	H_{Ω}	$H_{\rm E}$	\overline{P}	SЕ	\boldsymbol{A}	$H_{\rm O}$	$H_{\rm E}$	\overline{P}	SE	\boldsymbol{A}	H_{Ω}	$H_{\rm E}$
Ttr04	0.111	0.003	0.188	0.003	-13	0.842	0.836	0.308	0.003	- 11	0.859	0.835	0.129	$0.002 \quad 9$		0.658	0.683
Ttr11	< 0.001	0.001	0.607	0.003	10	0.684	0.770	0.105	$0.001 \quad 6$		0.400	0.424	0.253	$0.003 \quad 8$		0.562	0.593
Ttr19	0.136	0.003	0.211	0.003	- 11	0.632	0.781	0.351	0.004	-13	0.718	0.792	0.610	0.003	-11	0.699	0.799
Ttr34	0.090	0.001	0.574	0.002	7	0.711	0.754	0.366	$0.002 \quad 6$		0.400	0.418	0.213	0.002	-6	0.342	0.426
Ttr48	$<$ 0.001 $\,$	0.000	0.400	0.001	$\overline{7}$	0.737	0.829	0.355	$0.002 \quad 7$		0.553	0.558	0.607	$0.003 \quad 8$		0.452	0.464
Average						9.6 0.721					8.6 0.586					8.6 0.543	

A number of alleles, H_O observed heterozygosity, H_E expected heterozygosity, ATL all western North Atlantic, MAB Mid-Atlantic Bight, SAB South Atlantic Bight, GOM Gulf of Mexico

Table 3 Stenella frontalis. Genetic variability estimates in mtDNA control region sequences including mean haplotype diversity (h) and nucleotide diversity (π)

	N	Н	h (SE)	π (SE)
All	196	34	0.9007(0.0120)	0.0147(0.0080)
MAB	38	21	0.9644(0.0124)	0.0147(0.0081)
SAB	83	11	0.7291(0.0438)	0.0129(0.0072)
GOM	75	15	0.8919(0.0167)	0.0110(0.0063)

N sample size, H number of haplotypes, SE standard error, MAB Mid-Atlantic Bight, SAB South Atlantic Bight, GOM Gulf of Mexico

of observed heterozygosity (H_0) were highest in the Mid-Atlantic Bight samples and lowest in the Gulf of Mexico samples (Table 2).

Polymerase chain reaction amplification and sequencing was accomplished for 196 Atlantic spotted dolphin samples, resulting in a 329-bp alignment of the variable 5' end of the mitochondrial control region. The alignment contained 30 transitions and 1 transversion substitution. Thirty-four S. frontalis haplotypes were identified (Genbank accession no's DQ060054-DQ060064). Of the 34 total haplotypes, 13 were unique to the Mid-Atlantic Bight samples, 5 were found only in the South Atlantic Bight, and 7 were unique to the Gulf of Mexico samples, leaving only 9 haplotypes that were shared by two or more putative populations.

For all sequences from all three populations combined, the mean number of pair-wise differences between sequences was 4.246 (SE \pm 2.127), haplotype diversity was 0.9007 ($SE \pm 0.0120$), and nucleotide diversity was 0.0147 ($SE \pm 0.0080$) (Table 3). According to Tukey-Kramer tests, the estimated haplotype diversity for the Mid-Atlantic Bight population was significantly higher than the South Atlantic Bight and Gulf of Mexico estimates; haplotype diversity within the South Atlantic Bight was significantly lower than estimates for the other two populations (Table 3). Nucleotide diversity estimates were significantly higher in the Mid-Atlantic Bight as compared to the Gulf of Mexico; all other comparisons were non-significant.

Population differentiation

Significant genetic differentiation was revealed overall among the three groups for both microsatellite DNA $(F_{ST}=0.0578, P \le 0.0001; R_{ST}=0.0964, P \le 0.0001),$ and mtDNA $(F_{ST} = 0.1070, P \le 0.0001; \Phi_{ST} = 0.2147,$ $P \leq 0.0001$, as well as for each pair-wise population comparison (Table [4\). Generally, mtDNA differentia](#page-6-0)[tion estimates were higher than microsatellite esti](#page-6-0)[mates. However, mtDNA and microsatellite DNA](#page-6-0) [markers differed with respect to the relative levels of](#page-6-0) [specific pair-wise population differentiation compari](#page-6-0)[sons. For example, the South Atlantic Bight and Gulf](#page-6-0) [of Mexico were the most differentiated populations](#page-6-0) [according to mtDNA results, and least differentiated](#page-6-0) [according to microsatellite population differentiation](#page-6-0) [estimates.](#page-6-0)

When mtDNA control region sequences were analyzed separately by sex, some F_{ST} and Φ_{ST} values were no longer significant after corrections for multiple tests. Generally, mtDNA population differentiation estimates for females were about twice as large as corresponding male comparisons (Table [4\). In con](#page-6-0)[trast, microsatellite population differentiation estimates](#page-6-0) [were comparable for males and females when analyzed](#page-6-0) [separately.](#page-6-0)

Generally, haplotypes in the median-joining network that are most common and shared by all three populations occupy more internal positions within the network, while haplotypes unique to one population typically occupy more external positions (Fig. [3\). Al](#page-6-0)[though haplotypes from each population extend](#page-6-0) [throughout the network, there is a subtle shift in po](#page-6-0)[sition related to geography, with most Mid-Atlantic](#page-6-0) [Bight haplotypes occurring on the left side of the net](#page-6-0)[work \(50% of all Mid-Atlantic Bight haplotypes are](#page-6-0) [within two mutations of node A\), Gulf of Mexico](#page-6-0) [haplotypes extending primarily from the center \(73%](#page-6-0) [of all Gulf of Mexico haplotypes are within two](#page-6-0) [mutations of node B\), and South Atlantic Bight hapl](#page-6-0)[otypes concentrated on the right side of the diagram](#page-6-0) [\(61% of all South Atlantic Bight haplotypes are within](#page-6-0) [two mutations of node C\).](#page-6-0)

Table 4 Stenella frontalis. Population differentiation estimates from five microsatellite loci and mitochondrial sequence data, calculated for all samples combined and separated by sex

Comparison	Microsatellite DNA		Mitochondrial DNA			
	$R_{\rm ST}$	F_{ST}	$\Phi_{\rm ST}$	F_{ST}		
MAB/ SAB/ GOM Male Female MAB/SAB Male Female MAB/GOM Male Female SAB/GOM Male Female	0.0964 (≤ 0.0001) 0.0882 (< 0.0001) 0.0976 (< 0.0001) 0.1723 (< 0.0001) 0.1559 (< 0.0001) 0.1879 (< 0.0001 0.1079 (< 0.0001) $0.0880~(\leq 0.0025)$ $0.1170 \le \theta.0001$ 0.0401 (< 0.0001) 0.0419 (≤ 0.0075) 0.0366 (≤ 0.0012)	0.0578 (< 0.0001) 0.0589 (< 0.0001) 0.0562 (< 0.0001) $0.0877 (< 0.0001$) 0.0732 (< 0.0001) 0.1023 (< 0.0001) 0.0901 (< 0.0001) 0.0913 (< 0.0001) 0.0810 (< 0.0001) 0.0234 (< 0.0001) 0.0322 (≤ 0.0006) 0.0198 (≤ 0.0002)	0.2147 (< 0.0001) $0.1187~(\leq 0.0009)$ 0.2979 (< 0.0001 0.1981 (< 0.0001) 0.0680 (≤ 0.0604) 0.3341 (≤ 0.0001) 0.0512 (≤ 0.0035) 0.0343 (≤ 0.1014) 0.0581 (≤ 0.0218) 0.2829 (< 0.0001) 0.1864 (≤ 0.0001) 0.3487 (≤ 0.0001)	0.1070 (< 0.0001) 0.0726 (< 0.0001) 0.1337 (< 0.0001) 0.1223 (0.0001) $0.0874~(~\leq 0.0044)$ 0.1657 (< 0.0001) 0.0330 (≤ 0.0024) 0.0349 (≤ 0.0371) 0.0229 (≤ 0.0700) 0.1350 (< 0.0001) 0.0841 (≤ 0.0011) 0.1655 (< 0.0001)		

Significant comparisons after sequential Bonferroni corrections for multiple tests shown in bold; P values in italics. MAB Mid-Atlantic Bight, GOM Gulf of Mexico, SAB South Atlantic Bight

Discussion and conclusions

Here, we provide the first data on genetic population structure of S. frontalis in the western North Atlantic and the Gulf of Mexico. The AMOVA analyses for both mtDNA and microsatellite data confirm significant genetic differentiation between Gulf of Mexico and western North Atlantic populations of Atlantic spotted dolphins. These data also illustrate the importance of testing the assumptions upon which population genetics analyses are based, and how these tests can reveal clues to the presence of cryptic population structure.

Heterozygote deficiencies found in three microsatellite loci within the western North Atlantic population prompted us to consider alternate population structure hypotheses within this region.

Several factors can cause heterozygote deficiencies, including non-random mating, selection, null alleles, inbreeding, and population substructure (a Wahlund effect). Although we cannot rule out non-random mating or selection in this study, three independent loci with significant heterozygote deficiencies found only in the western North Atlantic makes the null allele hypothesis unlikely. Instead, this pattern is more likely explained by

Fig. 3 S. frontalis. Medianjoining network of Atlantic spotted dolphin mtDNA haplotypes. Circle diameter is proportional to haplotype frequencies, and proportion of each population within each haplotype is shaded: white Mid-Atlantic Bight; gray South Atlantic Bight; black Gulf of Mexico. Length of lines is proportional to number of mutations between haplotypes, with *hatch* marks indicating total number of mutations on lines where more than one mutation is present. Nodes labeled a, b , and c refer to positions discussed in text. Stars indicate missing intermediate haplotypes

inbreeding or the Wahlund effect, a phenomenon which causes a reduction in the observed frequency of heterozygotes when two or more isolated subpopulations are tested as one panmictic population (Wahlund [1928](#page-10-0)).

Iterative separation by latitude tests support a Wahlund effect as the most likely explanation of heterozygote deficiency, with evidence of population differentiation at Cape Hatteras, NC. While inbreeding could not be eliminated as a possible cause of heterozygote deficiency in the western North Atlantic, evidence for inbreeding may in fact be an artifact of population substructure, as revealed by a general decreasing trend in the inbreeding estimate as the point of population differentiation approaches Cape Hatteras, NC in iterative tests (Fig. [2\). Although preliminary evidence suggests](#page-4-0) [further subdivision of the Mid-Atlantic Bight samples](#page-4-0) [into coastal and offshore groups, this pattern was not](#page-4-0) [considered in further analyses for several reasons. Het](#page-4-0)[erozygote deficiency remains when samples are parti](#page-4-0)[tioned by depth, and increased sample sizes within the](#page-4-0) [mid-Atlantic Bight and offshore samples from the South](#page-4-0) [Atlantic Bight are needed to obtain reliable estimates of](#page-4-0) [genetic variance as related to depth and morphotype.](#page-4-0) [Unfortunately, the absence of offshore samples in the](#page-4-0) [South Atlantic Bight makes depth a confounding vari](#page-4-0)[able for tests that simultaneously attempt to partition](#page-4-0) [the total genetic variance within the western North](#page-4-0) [Atlantic into depth and latitudinal components.](#page-4-0)

Genetic diversity, population structure and phylogeographic inference

Overall, mtDNA and nuclear genetic diversity estimates in the Mid-Atlantic Bight, South Atlantic Bight, and Gulf of Mexico were within the range of genetic diversity values for other cetaceans (Baker et al. [1994;](#page-9-0) Rosel et al. [1994](#page-10-0); Lyrholm et al. [1999](#page-9-0); Rosel et al. [1999a;](#page-10-0) Escorza-Treviño and Dizon [2000](#page-9-0)). Interestingly, both mtDNA and nuclear diversity estimates were highest in the Mid-Atlantic Bight population, despite the small sample size $(n=38)$. In fact, although approximately one-third as many samples were collected in the Mid-Atlantic Bight when compared to the South Atlantic Bight, this group harbored nearly twice as many mtDNA haplotypes, the majority of which were unique to this population. In addition, all haplotypes within the Mid-Atlantic Bight were relatively rare, belonging to four or fewer individuals. This high genetic variability within a small sample size suggests an inadequate survey of genetic variability in this region.

Both mtDNA and microsatellite DNA data reveal significant genetic differentiation among Mid-Atlantic Bight, South Atlantic Bight, and Gulf of Mexico populations. Generally, population differentiation estimates that take both frequency differences and distance measures into account (Φ_{ST} and R_{ST}) were higher than those based solely on frequency differences between populations (F_{ST}) , suggesting that populations have been

separated long enough for mutational processes to contribute to genetic differentiation, in addition to genetic drift. This conclusion is further supported by the median-joining network analysis, which reveals a complex picture of interrelatedness among the three populations. Numerous reticulations among mtDNA haplotypes shared by all three populations in more internal positions reveal a high degree of homoplasy and interrelatedness among several ancestral haplotypes. The emergence of new haplotypes unique to each of the three populations from each of these shared ancestral haplotypes reflects a recent expansion and rapid divergence from the ancestral population (Slatkin and Hudson [1991\)](#page-10-0), a theory supported by the star-like phylogeny of rare haplotypes extending from nodes A and C. However, the shift in population contribution to haplotypes across the network suggests that these populations have been separated long enough to acquire not only haplotype frequency differences, but phylogeographic differences as well.

In this study, data from maternally inherited mtDNA sequences generally revealed higher levels of population differentiation than biparentally inherited microsatellites. This could be partially explained by the mode of inheritance of these markers. The haploid nature and matrilineal inheritance pattern of mtDNA reduce the effective population size to one-fourth that of nuclear genes (Birkey et al. [1983](#page-9-0)), allowing changes in population allele frequencies to accumulate faster in mtDNA than in nuclear gene lines, resulting in higher mtDNA population differentiation estimates. In addition, a pattern of female philopatry coupled with male dispersal could amplify the differences in the amount of genetic differentiation detected in this study by nuclear and mtDNA markers. This pattern has been documented in several cetaceans (Baker et al. [1998](#page-9-0); Lyrholm et al. [1999;](#page-9-0) Rosel et al. [1999a;](#page-10-0) Escorza-Treviño and Dizon [2000\)](#page-9-0), and is supported for Atlantic spotted dolphins when mtDNA population results are separated by sex: F_{ST} estimates for females were about twice those of males for all significant population comparisons. In contrast, overall microsatellite F_{ST} values for males $(F_{ST}=0.0589)$ and females $(F_{ST}=0.0562)$ differed by only 0.27% when sexes were analyzed separately, perhaps reflecting the homogenizing effects of males that migrate and breed with neighboring populations. These results suggest that *S. frontalis* exhibits some degree of sex-biased dispersal.

Mitochondrial DNA sequences revealed that the South Atlantic Bight and Gulf of Mexico groups were most differentiated, while microsatellite DNA data showed that these groups were least differentiated. Even more perplexing, the Mid-Atlantic Bight and Gulf of Mexico samples were least differentiated according to mtDNA data. Intuitively, the pattern revealed by the microsatellite data makes the most biological sense. The amount of differentiation between two populations is affected by many factors, including the distance between the populations and barrier permeability, both of which affect the amount of migration between populations. It is expected that as a result of their proximity, more individuals would be exchanged between the South Atlantic Bight and Gulf of Mexico than between the Mid-Atlantic Bight and the Gulf of Mexico, ultimately allowing more gene flow between the South Atlantic Bight and Gulf of Mexico groups. Although this expectation was not supported by the single mtDNA marker, it was supported by the five independent microsatellite markers, even when each locus was analyzed separately. These incongruencies could simply reflect the random nature of lineage sorting of individual genes. Regardless of which populations are most closely related, all three are significantly divergent.

Genetic isolation mechanisms

Although dispersal of cetacean species is not likely to be restricted by oceanographic currents or other hydrological barriers, Dowling and Brown [\(1993\)](#page-9-0) hypothesized that prey distribution might influence bottlenose dolphin distribution in the western North Atlantic and Gulf of Mexico, whereby physical barriers to prey dispersal have an indirect effect on gene flow among genetically differentiated coastal bottlenose dolphin populations. Hoelzel [\(1994\)](#page-9-0) supported this hypothesis, stating that the distribution of cetaceans in tropical and temperate waters is often dictated by prey distribution.

Atlantic spotted dolphins feed on cephalopods, benthic invertebrates, and a wide range of small fish from several taxonomic groups (Perrin et al. [1987](#page-9-0), [1994](#page-9-0)). There are two well-known biogeographic barriers in the transitional areas separating the three putative populations of Atlantic spotted dolphins (e.g., Schwartz [1989\)](#page-10-0). Morphological and life history differences have been found in fish species north and south of Cape Hatteras, North Carolina (White and Chittenden [1977](#page-10-0); Wilk et al. [1980](#page-10-0); Ross [1988\)](#page-10-0), and genetic differences have been documented in fish and invertebrate species separated at Cape Canaveral, Florida (Bert [1986;](#page-9-0) Saunders et al. [1986;](#page-10-0) Avise et al. [1987](#page-9-0); Bowen and Avise [1990](#page-9-0); Reeb and Avise [1990](#page-10-0); Staton and Felder [1995](#page-10-0)). Both points mark the northern- and southern-most distribution limits for several marine species (Briggs [1974;](#page-9-0) Schwartz [1989\)](#page-10-0). The biogeographic patterns observed at Cape Hatteras and Cape Canaveral reflect contemporary barriers of temperature and hydrography (Schwartz [1989\)](#page-10-0), while faunal differences at Cape Canaveral could also be explained by historical climatic changes leading sea level fluctuation and hydrographic shifts during the Pleistocene glaciation (Avise [1992\)](#page-9-0) or earlier glacial episodes (Bert [1986\)](#page-9-0). These disjunctions suggest that both modern and historical barriers to dispersal of fish and invertebrates species could create differences in prey composition and availability for the putative populations of Atlantic spotted dolphins.

Management implications

Analyses of variation within mtDNA and nuclear DNA give strong genetic support for independent management of western North Atlantic and Gulf of Mexico stocks of S. frontalis. In addition, mtDNA and microsatellite data also provide evidence for genetic separation of dolphins within the western North Atlantic into two stocks with a provisional point of differentiation near Cape Hatteras, which should be considered in future management of Atlantic spotted dolphins. Evidence for population subdivision is particularly relevant for the Mid-Atlantic Bight population, which is not recognized as a distinct management stock, yet historically has suffered the highest incidental mortality rates in the western North Atlantic (Waring et al. [2000](#page-10-0)).

Because morphological data were not available for the individuals sampled in this study, we could not verify whether the western North Atlantic samples came from the coastal or offshore form (Perrin et al. [1987\)](#page-9-0) (the offshore form is not known to occur in the Gulf of Mexico). But preliminary results using water depth as a proxy for morphotype suggest that genetic differences between coastal and offshore Atlantic spotted dolphins in the western North Atlantic might exist, and should be investigated more thoroughly. Interestingly, sampling depths and geographic locations revealed that all western North Atlantic biopsies designated as the offshore morphotype (samples in depths ≥ 306 m) were from the Mid-Atlantic Bight (although the offshore form of S. frontalis is seen in deeper waters of the South Atlantic Bight, these waters have not yet been sampled). Given this data set, we cannot determine the relative contribution of sampling depth (i.e., morphotype) to the genetic differentiation found between Mid-Atlantic Bight and South Atlantic Bight populations. However, coastal Mid-Atlantic Bight samples are still significantly differentiated from the (coastal) South Atlantic Bight population (microsatellites $F_{ST} = 0.1082$, $P \le 0.0001$; mtDNA $F_{ST} = 0.0622$, $P \le 0.0399$), indicating there are potentially both morphotypic and biogeographical components to genetic structure of S. frontalis in the western North Atlantic.

Stratification by depth results are somewhat speculative, given the small sample size of both groups from the Mid-Atlantic Bight. Additionally, sampling depth might not be strictly correlated with morphotype. Yet genetic support for these morphotypic differences is not unlikely; genetic differences have been found between morphologically different nearshore and offshore populations of two other delphinid species: the bottlenose dolphin (Tursiops truncatus) (Hoelzel et al. [1998](#page-9-0)), and common dolphin (Delphinus delphis) (Rosel et al. [1994\)](#page-10-0), the latter of which is now considered two species (Rice [1998\)](#page-10-0). Further investigation of the genetic differences between coastal and offshore morphotypes and variability within offshore morphotypes of Atlantic spotted dolphins throughout the western North Atlantic are critical for accurate stock delineation in this region.

The unexpected within-ocean basin structure found in this study suggests that we must revise our expectations of large, panmictic populations of this species. Since Atlantic spotted dolphins are common in the eastern Atlantic as well, and are often caught incidentally in purse-seine fisheries in this region (Perrin et al. 1994), studies of population structure in the eastern Atlantic should also be undertaken to ensure diversity within the species is not lost.

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