




Weak Completeness Notions for Exponential Time

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Abstract

Lutz (SIAM J. Comput. **24**(6), 1170–1189, 1995) proposed the following generalization of hardness: While a problem A is hard for a complexity class C if all problems in C can be reduced to A , Lutz calls a problem weakly hard if a nonnegligible part of the problems in C can be reduced to A . For the linear exponential time class $E = \text{DTIME}(2^{lin})$, Lutz formalized these ideas by introducing a resource-bounded (pseudo) measure on this class and by saying that a subclass of E is negligible if it has measure 0 in E . In this paper we introduce two new weak hardness notions for E – E -nontriviality and *strongly* E -nontriviality. They generalize Lutz’s weak hardness notion for E , but are much simpler conceptually. Namely, a set A is E -nontrivial if, for any $k \geq 1$, there is a set $B_k \in E$ which can be reduced to A (by a polynomial time many-one reduction) and which cannot be computed in time $O(2^{kn})$, and a set A is strongly E -nontrivial if the set B_k can be chosen to be *almost everywhere* $O(2^{kn})$ -complex, i.e. if B_k can be chosen such that any algorithm that computes B_k runs for more than $2^{k|x|}$ steps on all but finitely many inputs x .

Keywords Exponential time · Completeness · Weak completeness · Resource-bounded measure · Almost everywhere complexity

1 Introduction

The standard way for proving a problem to be intractable is to show that the problem is hard or complete for one of the standard complexity classes containing intractable problems. Lutz [18] proposed a generalization of this approach by introducing more

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general *weak* hardness notions which still imply intractability. While a set A is hard for a class C if *all* problems in C can be reduced to A (by a polynomial-time-bounded many-one reduction) and complete if it is hard and a member of C , Lutz proposed to call a set A weakly hard if a *nonnegligible* part of C can be reduced to A and to call A weakly complete if in addition $A \in C$. For the exponential time classes $E = \text{DTIME}(2^{\text{lin}})$ and $\text{EXP} = \text{DTIME}(2^{\text{poly}})$, Lutz formalized these ideas by resource-bounded (Lebesgue) measures on these classes. He called a subclass of E negligible if it has an (effective) measure 0 in E . A variant of these concepts, based on resource-bounded Baire category in place of measure, was introduced by Ambos-Spies [4] where a class is declared to be negligible if it is meager in the corresponding resource-bounded sense.

A certain drawback of these weak hardness notions in the literature, called measure-hardness and category-hardness in the following, is that they are based on the somewhat technical concepts of resource-bounded measure and resource-bounded category, respectively. So here we introduce some alternative weak hardness notions which are conceptually much simpler and are solely based on the basic concepts of computational complexity theory.

While the weak hardness notions in the literature implicitly used the fact that, by the time-hierarchy theorem, the class E has a proper hierarchy of time complexity classes, where the individual levels are the deterministic-time classes $E_k = \text{DTIME}(2^{kn})$ ($k \geq 1$), our primary new weak hardness notion for E , called *E-nontriviality*, is based on this observation explicitly. We consider a subclass of E to be negligible, if it is contained in a fixed level E_k of the linear exponential time hierarchy. In other words, a set A is *E-nontrivial* if it has predecessors (under p - m -reducibility) from arbitrarily high levels of this hierarchy.

Since any level E_k of E has measure 0 in E and is meager in E , *E-nontriviality* generalizes the previously introduced weak hardness notions for E . On the other hand, since $P \subset E_1$, *E-nontriviality* still guarantees intractability. In fact, we may argue that *E-nontriviality* is the most general weak hardness notion for E if for such a notion we do not only require that it generalizes *E-hardness* and implies intractability but that it also reflects the internal, hierarchical structure of E hence considers finite parts of the linear exponential time hierarchy to be negligible.

The second new weak hardness notion we will consider, called *strong E-nontriviality*, is strictly between the weak notion of *E-nontriviality* and the stronger notions of measure- and category-hardness. A strongly *E-nontrivial* set does not only have predecessors from arbitrarily high levels $E \setminus E_k$ of the hierarchy E but, for any given $k \geq 1$, it has a predecessor in E which is *almost-everywhere* complex for the k th level of the linear exponential time hierarchy, i.e., which is E_k -bi-immune. Note that measure- and category-hardness can be defined in a similar fashion as shown in [10] and [4], respectively: a set A is measure- (category-) hard for E if, for any $k \geq 1$ there is a predecessor of A in E which is random (generic) relative to E_k . Intuitively, bi-immune, generic and random sets characterize certain types of diagonalizations. So the weak hardness concepts induced by these notions can be classified by the types of diagonalizations which can be performed below the corresponding weakly hard sets.

The outline of the paper is as follows.

After formally introducing our new weak hardness notions for E - E -nontriviality and strong E -nontriviality - in Section 2, in Section 3 we give some examples of intractable but still E -trivial sets in E thereby showing that E -nontriviality is a strict refinement of intractability. First we observe that sets of sufficiently low hyper-polynomial complexity are E -trivial. Though this observation is not surprising, it gives us some first nontrivial facts on the distribution of the E -trivial and the E -nontrivial sets in E . For instance it implies that the only sets which code all E -trivial sets in E (i.e., more formally, the only sets to which all E -trivial sets in E are p - m -reducible) are the E -hard sets. We then show (what might be more surprising) that there are E -trivial sets in E of arbitrarily high complexity, i.e., that, for any $k \geq 1$, there is an E -trivial set in $E \setminus E_k$. In fact, by generalizing a result of Buhrman and Mayordomo [13] for measure hardness, we obtain some natural examples of such sets by showing that the sets of the Kolmogorov-random strings w.r.t. exponential-time-bounded computations are E -trivial.

In Section 4 we give some examples of (strongly) E -nontrivial sets and give a separation theorem for the weak E -completeness notions mentioned before. In order to show that there are strongly E -nontrivial sets in E which are not category and measure complete for E and that there are E -nontrivial sets which are not strongly E -nontrivial we analyse the minimum density of the complete sets under the various weak hardness notions considered here. In particular, we show that there are tally strongly E -nontrivial sets in E whereas no category complete (hence no measure complete) set for E has this property, and that there are exptally E -nontrivial sets in E whereas no strongly E -nontrivial set in E has this property.

In Section 5 we analyse the information content of weakly complete sets (i.e., more formally the p - m -degrees of the weakly complete sets) thereby giving some more structural differences among the complete sets under the various weak hardness notions. For instance we show, that the effective disjoint union of two E -trivial sets is E -trivial again, and that E -trivial sets *don't help*. The latter means that if an E -hard set H can be reduced to the effective disjoint union of sets A and B where A is E -trivial then H can be reduced to B already, i.e., B is E -hard. In other words, if we decompose an E -complete set into two incomplete parts then both parts are E -nontrivial. For the other weak hardness notions the corresponding facts fail. In fact, any set $A \in E$ can be split into two sets which are not strongly E -non-trivial.

Finally, in Section 6 we give a short summary of results on some other aspects of our new weak hardness notions which will be presented in more detail elsewhere.

We conclude this section by introducing some notation and by summarizing some basic definitions and facts related to the exponential time classes to be used in the following.

Our notation is standard. Unexplained notations can be found in the monographs of Balcázar et al. [11] and [12]. We let $\Sigma^* = \{0, 1\}^*$ be the set of all (finite binary) strings. A *set* or *problem* or *language* is a subset of Σ^* , a *class* is a set of sets, i.e., a subset of the power set of Σ^* . Strings will be denoted by lower case letters from the end of the alphabet (u, \dots, z, u_n, \dots), sets by italic capital letters ($A, B, C, \dots, A_n, \dots$), and classes by straight capital letters ($A, B, C, \dots, A_n, \dots$). The $(n + 1)$ th string with respect to the length-lexicographical ordering \leq is denoted by z_n , i.e., $z_0 = \lambda, z_1 = 0, z_2 = 1, z_3 = 00, \dots$. Note that $|z_n| \approx \log(n)$ where $|x|$ denotes the

length of x . For a set A and string x we write $A(x) = 1$ if $x \in A$ and $A(x) = 0$ if $x \notin A$.

The exponential time classes we will deal with are the classes

$$E = \bigcup_{k \geq 1} \text{DTIME}(2^{kn}) \quad (\text{Linear Exponential Time}) \tag{1}$$

$$\text{EXP} = \bigcup_{k \geq 1} \text{DTIME}(2^{n^k}) \quad (\text{Polynomial Exponential Time}) \tag{2}$$

where we will use the following abbreviations for the individual levels of these classes:

$$E_k = \text{DTIME}(2^{kn}) \text{ and } \text{EXP}_k = \text{DTIME}(2^{n^k}).$$

Note that, by the time-hierarchy theorem, the hierarchies of the linear exponential time classes and of the polynomial exponential time classes are proper, and that

$$E_1 = \text{EXP}_1 \subset E \subset \text{EXP}_2.$$

For comparing the complexity of problems we use the polynomial-time-bounded version of many-one reducibility (p - m -reducibility for short) where a set B is p - m -reducible to a set A ($B \leq_m^p A$) via f if f is a polynomial-time computable function $f : \Sigma^* \rightarrow \Sigma^*$ and $B(x) = A(f(x))$ holds for all strings x . Note that \leq_m^p is a pre-ordering, i.e., reflexive and transitive. So p - m -equivalence is an equivalence relation where sets A and B are p - m -equivalent ($A \equiv_m^p B$) if $A \leq_m^p B$ and $B \leq_m^p A$ hold.

We call B a predecessor of A (and A a successor of B) if B is p - m -reducible to A , and we let $P_m(A) = \{B : B \leq_m^p A\}$ denote the class of predecessors of A under p - m -reducibility. A set A is hard for a class C (or C -hard for short) if $C \subseteq P_m(A)$, i.e., if any set in C is p - m -reducible to A , and A is complete for a class C (or C -complete for short) if A is a member of C and A is C -hard.

The (downward) closure of a class C under p - m -reducibility is the class of the predecessors of the members of C ,

$$P_m(C) = \bigcup_{A \in C} P_m(A),$$

and a class C is closed under p - m -reducibility if $P_m(C) = C$ holds.

Note that EXP is closed under p - m -reducibility, i.e., $P_m(\text{EXP}) = \text{EXP}$. The other exponential classes E, E_k, EXP_k , however, are not closed under p - m -reducibility. This is a consequence of the well known *Padding Lemma*.

Lemma 1 (Padding Lemma) *For any set $A \in E_k$, the set $A_k = \{0^{k \cdot |x|} 1x : x \in A\}$ is in E_1 and p - m -equivalent to A , and, for any set $A \in \text{EXP}_k$, the set $\hat{A}_k = \{0^{|x|^k} 1x : x \in A\}$ is in E_1 and p - m -equivalent to A .*

So, by closure of EXP under p - m -reducibility and by the trivial relations among the exponential time classes,

$$P_m(E_k) = P_m(E) = P_m(\text{EXP}_k) = P_m(\text{EXP}) = \text{EXP}$$

(for all $k \geq 1$). Though E is not closed under p - m -reducibility, we will use the observation that E is closed under p - m -reductions of linearly bounded size.

Lemma 2 Assume that $A \in E_k$ and $B \leq_m^p A$ via f where $|f(x)| \leq k' \cdot |x| + k''$ for all x ($k, k' \geq 1, k'' \geq 0$). Then $B \in E_{k \cdot k'}$.

Proof Given a string x of length n , $B(x)$ can be computed using the identity $B(x) = A(f(x))$ where, by $|f(x)| \leq k' \cdot n + k''$ and by $A \in \text{DTIME}(2^{kn})$, $A(f(x))$ can be computed in $O(2^{k \cdot (k' \cdot n + k'')}) \leq O(2^{k \cdot k'})$ steps. \square

2 E-Nontriviality and Strong E-Nontriviality

We now introduce the two new central notions which we will study in this paper. As pointed out in the introduction these notions have been inspired by Lutz's idea of generalizing hardness and completeness for a complexity class $C \supset P$ in such a way that hardness still guarantees intractability. Following Lutz [18], we call a problem A weakly hard for a complexity class C if a *nonnegligible* part of the problems in C can be p - m -reduced to A , and we call A *weakly complete* if $A \in C$ and A is weakly hard for C . In order to guarantee that such a weak hardness notion meets its goals, the family of the nonnegligible subclasses of C must have the following properties:

- (i) The class C itself has to be nonnegligible thereby guaranteeing that any hard (complete) set for C (under p - m -reducibility) is weakly hard (weakly complete).
- (ii) The class P of the polynomial time computable sets has to be negligible thereby guaranteeing that weakly hard problems for C are intractable.

Moreover, it is natural to require that subclasses of negligible classes are negligible again and that finite unions of negligible classes remain negligible:

- (iii) For any subclasses C', C'' of C such that $C' \subseteq C''$ and C'' is negligible, C' is negligible too.
- (iv) For negligible subclasses C', C'' of C , $C' \cup C''$ is negligible too.

Finally, the definition of negligibility should reflect the structure of C .

For the linear exponential time class $E = \text{DTIME}(2^{lin})$ (and for other sufficiently closed complexity classes like the polynomial exponential time class EXP), Lutz formalized these ideas by introducing a resource-bounded (pseudo) measure on this class and by saying that a subclass of E is negligible if it has measure 0 in E . He then showed that there are weakly complete sets for E which are not complete and that the above conditions (i) - (iv) are satisfied whence the corresponding weak hardness and completeness notions are meaningful. He also showed that his negligibility notion reflects the hierarchical structure of E as follows.

- (v) For any $k \geq 1$, E_k is negligible.

I.e. a class which intersects only finitely many of the infinitely many levels of $E = \bigcup_{k \geq 1} E_k$ is negligible.

In the following we refer to the weak hardness (completeness) notion of Lutz [18] which is based on the measure on E as *measure hardness (measure completeness) for E* or *E -measure hardness (E-measure completeness)* for short.

Following Lutz [18], Ambos-Spies [4] introduced alternative weak hardness notions for E which are based on Baire category in place of measure. Correspondingly, the negligible subclasses of E are now those which are meager in E . In fact, there are several Baire category concepts for E discussed in [4], but one of the concepts - called AFH-category there - proved to be of particular interest since it is compatible with measure, namely AFH-meager subclasses of E have measure 0 in E while the converse in general fails. So the corresponding weak hardness concept is strictly more general than Lutz’s measure hardness.

In the following we refer to the weak hardness (completeness) notion of Ambos-Spies [4] based on AFH-category on E as *category hardness (category completeness) for E* or *E -category hardness (E-category completeness)* for short.

Though the weak hardness and completeness notions of Lutz [18] and Ambos-Spies [4] are very intuitive in being based on two of the classical systems for measuring the size of a class, namely Lebesgue measure and Baire category, a certain drawback of these notions is that the underlying resource-bounded measure and category notions are somewhat technical. (For this reason we also do not introduce these concepts here formally.) So here we propose alternative weak hardness notions for E which are solely based on standard concepts of complexity theory.

By our above analysis, the most general negligibility notion for E which reflects the structure of E (i.e., satisfies (v) above) is obtained by calling a subclass of E negligible if it is contained in one of the levels E_k of E . (As one can easily check, this notion of negligibility satisfies the other above conditions (i) to (iv) too.) In other word, a subclass C of E is nonnegligible if it has members from arbitrary high levels of the linear exponential hierarchy E . We call the corresponding weak hardness notion *E -nontriviality*.

Definition 1 A set A is *trivial for E* (or *E -trivial* for short) if

$$\exists k \geq 1 (P_m(A) \cap E \subseteq E_k) \tag{3}$$

holds, and A is *nontrivial for E* (or *E -nontrivial* for short) otherwise, i.e., if

$$\forall k \geq 1 \exists B \in E (B \leq_m^p A \ \& \ B \notin E_k) \tag{4}$$

holds.

The second concept we will consider here is a strengthening of E -nontriviality and is called *strong E -nontriviality*. While, for an E -nontrivial set A , we require that, for any $k \geq 1$, there is a set B in E which can be p - m -reduced to A and which is *infinitely often 2^{kn} -complex* (i.e., any algorithm computing B requires more than $2^{k|x|}$ steps for infinitely many inputs x), for a strongly E -nontrivial set A we require that there is such a set B which is *almost-everywhere 2^{kn} -complex* (i.e., any algorithm computing B requires more than $2^{k|x|}$ steps for all but finitely many inputs x). Since almost everywhere complexity coincides with bi-immunity, i.e., since a set A is a.e.

$t(n)$ -complex if and only if A is $\text{DTIME}(t(n))$ -bi-immune (see Balcázar et al. [12]), we formally define strong E-nontriviality in terms of bi-immunity. Recall that a set A is C -bi-immune for a class C if there is no infinite set $B \in C$ such that $B \subseteq A$ or $B \cap A = \emptyset$.

Definition 2 A set A is *strongly nontrivial for E* (or *strongly E-nontrivial for short*) if

$$\forall k \geq 1 \exists B \in E (B \leq_m^P A \ \& \ B \text{ is } E_k\text{-bi-immune}) \quad (5)$$

holds; and A is *weakly trivial for E* (or *weakly E-trivial for short*) otherwise.

Note that, for any E_k -bi-immune set B , $B \notin E_k$. So, any strongly E-nontrivial set A is E-nontrivial. Also note that strong E-nontriviality may be viewed as weak hardness for E if we call a subclass C of E nonnegligible if it contains E_k -bi-immune sets for all $k \geq 1$. Obviously, this negligibility notion satisfies the conditions (ii) - (v) above. That it satisfies condition (i) too follows for instance from the time-hierarchy theorem for a.e. complexity by Geske et al. [14] which implies that there are E_k -bi-immune sets in E_{k+1} (for any $k \geq 1$). In fact, as shown by Ambos-Spies [4], any E-category hard set has predecessors in E which are E_k -bi-immune (for all $k \geq 1$). So E-category hard sets hence E-measure hard sets are strongly E-nontrivial. So we obtain the following relations among the weak hardness notions for E.

Lemma 3 For any set A the following hold.

$$\begin{array}{c} A \text{ E-hard} \\ \Downarrow \\ A \text{ E-measure hard} \\ \Downarrow \\ A \text{ E-category hard} \\ \Downarrow \\ A \text{ strongly E-nontrivial} \\ \Downarrow \\ A \text{ E-nontrivial} \\ \Downarrow \\ A \text{ intractable} \end{array} \quad (6)$$

Proof The first implication (from top) has been shown in Lutz [18], while, as pointed out before, the second and third implications have been shown in Ambos-Spies [4]. The fourth implication follows from the fact that no set in E_k is E_k -bi-immune. Finally, the last implication follows from the fact that $P \subset E_1$. \square

Lutz [18] and Ambos-Spies [4] have shown that the first two implications in Lemma 3 are strict, even if we consider only sets in E (i.e., the corresponding

weak completeness notions). In the following sections we will show that the other implications are strict too. Namely, in Section 3 we give examples of intractable sets in E which are E -trivial, and in Section 4 we show that there are E -nontrivial sets which are weakly E -trivial and that there are strongly E -nontrivial sets which are not E -category hard. The last two of these results are obtained by analysing the densities of the different types of weakly hard sets.

We conclude this section with some simple observations on the E -nontrivial and strongly E -nontrivial sets.

Note that the class of the (strongly) E -nontrivial sets is closed upwards under \leq_m^p hence, in particular, closed under p - m -equivalence. By definition, an E -nontrivial set A has predecessors in infinitely many levels $E_{k+1} \setminus E_k$ of the linear exponential hierarchy E . In fact, an E -nontrivial set has predecessors in all of these levels:

Lemma 4 *Let A be E -nontrivial. Then*

$$\forall k \geq 1 \exists B \in E_{k+1} \setminus E_k (B \leq_m^p A) \tag{7}$$

holds.

Lemma 4 directly follows from the following variation of the Padding Lemma which is obtained by calibrating the amount of padding.

Lemma 5 (Second Padding Lemma) *Let A and $k \geq 1$ be given such that $A \in E_{k+1} \setminus E_k$. Then, for any $k' \leq k$ (with $k' \geq 1$), there is a set $A' \in E_{k'+1} \setminus E_{k'}$ such that $A' \equiv_m^p A$.*

Proof (Sketch) Given $k \geq 2$ and $A \in E_{k+1} \setminus E_k$, let $A' = \{0^{f(|x|)} 1x : x \in A\}$ for $f(n) = \lfloor \frac{n}{k} \rfloor$. Then, as one can easily show, $A' \equiv_m^p A$ and $A' \in E_k \setminus E_{k-1}$. The claim follows by induction. \square

For strongly E -nontrivial sets we can make the observation corresponding to Lemma 4 too. By definition, for a strongly E -nontrivial set A there are infinitely many k such that A has a predecessor $B \in E$ which is E_k -bi-immune but not E_{k+1} -bi-immune. By applying the Second Padding Lemma above one may argue that this is in fact true for all k :

$$\forall k \geq 1 \exists B \in E \cap P_m(A) (B \text{ is } E_k\text{-bi-immune} \& B \text{ is not } E_{k+1}\text{-bi-immune}) \tag{8}$$

We omit the proof and rather give another alternative characterization of strong E -nontriviality that is of greater technical interest.

Theorem 1 (Characterization Theorem for Strong Nontriviality) *A set A is strongly E -nontrivial if and only if there is an E_1 -bi-immune set $B \in E$ such that $B \leq_m^p A$.*

The nontrivial direction of Theorem 1 follows from the following lemma by considering the sets B_k there for a given E_1 -bi-immune predecessor B of A in E .

Lemma 6 *Let B be E_1 -bi-immune. Then, for any $k \geq 1$, there is an E_k -bi-immune set B_k and an EXP_k -bi-immune set B'_k such that $B_k, B'_k \in P_m(B)$. If moreover $B \in E$ then the set B_k can be chosen such that $B_k \in E$ too.*

Proof The idea is taken from Ambos-Spies et al. [10] where a similar lemma for randomness in place of bi-immunity is proven. So we only sketch the proof.

Let $B_k = \{x : 0^{k|x|}1x \in B\}$ and $B'_k = \{x : 0^{|x|^k}1x \in B\}$. Then $B_k \leq_m^P B$ via $f(x) = 0^{k|x|}1x$ and $B'_k \leq_m^P B$ via $g(x) = 0^{|x|^k}1x$. Moreover, if $B \in E$ then, by Lemma 2, $B_k \in E$ too since f is linearly bounded.

It remains to show that B_k is E_k -bi-immune and B'_k is EXP_k -bi-immune. We will prove the former, the proof of the latter is similar.

For a contradiction assume that B_k is not E_k -bi-immune. Then, by symmetry, we may assume that there is an infinite set $C' \subseteq B_k$ such that $C' \in E_k$. Let $C = \{0^{k|x|}1x : x \in C'\}$. Then, by infinity of C' , C is infinite, and, by $C' \subseteq B_k$ and by definition of B_k , $C \subseteq B$. Moreover $C \in E_1$, since, for a string y we can decide whether $y \in C$ by first checking (in polynomial time) whether there is a string x such that $y = 0^{k|x|}1x$ and, if so, by checking in $O(2^{k|x|}) \leq O(2^{|y|})$ steps whether $x \in C'$. So B is not E_1 -bi-immune contrary to assumption. \square

3 Some Examples of E-Trivial Sets in E

In order to show that, for sets in E , E -nontriviality does not coincide with intractability, here we give some examples of intractable but E -trivial sets in E . As one might expect, sets of sufficiently low time complexity are E -trivial. As we will also show, however, E -trivial sets can be found at all levels of the linear-exponential hierarchy. Moreover, the sets of random strings under time bounded Kolmogorov complexity provide examples of intractable E -trivial sets.

We obtain our first examples of intractable E -trivial sets by the following observation on sets of low complexity.

Lemma 7 *Let t be a nondecreasing, time constructible function such that, for some number $k \geq 1$,*

$$t(p(n)) \leq_{a.e.} 2^{kn} \tag{9}$$

for all polynomials p . Then any set $A \in DTIME(t(n))$ is E -trivial.

Proof Given $A \in DTIME(t(n))$ it suffices to show that $P_m(A) \subseteq E_k$. So fix $B \in P_m(A)$, let f be a polynomial time computable function f such that $B \leq_m^P A$ via f , and let p be a polynomial time bound for f . Then $B(x)$ can be computed by using the identity $B(x) = A(f(x))$ in $O(p(|x|) + t(p(|x|)))$ steps since it takes at most $p(|x|)$ steps to compute $f(x)$ and, by $A \in DTIME(t(n))$ and by $|f(x)| \leq p(|x|)$, it takes at most $t(p(|x|))$ steps to compute $A(f(x))$ for the given string $f(x)$. So, by (9), $B(x)$ can be computed in $O(2^{kn})$ steps, i.e., $B \in E_k$. \square

Theorem 2 *There is an E-trivial set $A \in E \setminus P$.*

Proof By Lemma 7 it suffices to show that there is a nondecreasing time constructible function t such that $P \subset DTIME(t(n))$ and such that $t(p(n)) \leq_{a.e.} 2^n$ for all polynomials p .

Note that, for any polynomial p ,

$$p(n) \leq_{a.e.} 2^{(\log n)^2} \leq_{a.e.} 2^{(\log n)^4} \text{ and } 2^{(\log n)^4} \notin O(2^{(\log n)^2} \cdot \log(2^{(\log n)^2})).$$

So $P \subseteq DTIME(2^{(\log n)^2}) \subset DTIME(2^{(\log n)^4})$ where strictness of the latter inclusion holds by the time-hierarchy theorem. Moreover, $2^{(\log p(n))^4} \leq_{a.e.} 2^n$ for all polynomials p . So the nondecreasing and time constructible function $t(n) = 2^{(\log n)^4}$ has the required properties. □

Theorem 2 can be strengthened by using some results on the p - m -degrees of hyperpolynomial shifts proven in Ambos-Spies [3]. Here a set $A_h = \{1^{h(|x|)}0x : x \in A\}$ is called a *hyperpolynomial shift* of A if h is a time constructible, nondecreasing function $h : \mathbb{N} \rightarrow \mathbb{N}$ such that h dominates all polynomials. Note that, for any hyperpolynomial shift A_h of a set $A \in EXP$, $A_h \in DTIME(t(n))$ for a function $t(n)$ as in Lemma 7 whence A_h is E-trivial. Moreover, in [3] it has been shown that,

- (i) for any set $A \notin P$ and for any hyperpolynomial shift A_h of A , A_h is a strict predecessor of A , i.e., $A_h <_m^p A$ and
- (ii) for any computable sets A and B such that $A \not\leq_m^p B$ there is a hyperpolynomial shift A_h of A such that $A_h \not\leq_m^p B$.

These results imply the following facts on the distribution of the E-trivial sets in E w.r.t. p - m -reducibility.

- Theorem 3** (a) *For any set $A \in E \setminus P$ there is an E-trivial set $T \in E \setminus P$ such that $T <_m^p A$.*
 (b) *For any computable set B which is not E-hard there is an E-trivial set $T \in E$ such that $T \not\leq_m^p B$.*

Proof As observed above, for any set $A \in E$ and any hyperpolynomial shift A_h of A , $A_h \in E$, A_h is E-trivial, and (by (i)) $A_h <_m^p A$. So for a proof of (a) it suffices to show that for given $A \in E \setminus P$ there is a hyperpolynomial shift A_h of A such that $A_h \notin P$. But this follows from (ii) above by letting B be any polynomial time computable set. Similarly, for a proof of (b) it suffices to show that there is a set $A \in E$ which possesses a hyperpolynomial shift A_h such that $A_h \not\leq_m^p B$. But this follows from (ii) too by letting A be any E-complete set. □

Note that, by part (a) of Theorem 3 any intractable set in E bounds an intractable E-trivial set in E while, by part (b), the only sets in E which bound all the E-trivial sets in E are the E-complete sets.

Having given examples of intractable E-trivial sets of low hyperpolynomial complexity, we now show that there are E-trivial sets at arbitrarily high levels $E \setminus E_k$ of the E-hierarchy. (So, by Lemma 5, there are E-trivial sets at all levels $E_{k+1} \setminus E_k$ of the E-hierarchy.)

Theorem 4 *For any $k \geq 1$ there is an E-trivial set A in $E \setminus E_k$.*

The idea of the proof is as follows. Given $k \geq 1$, by a diagonalization argument we construct a set $A \in E_{k+2} \setminus E_k$ such that any set B which is p - m -reducible to A will be p - m -reducible to A via a polynomial-time computable function f such that $|f(x)| \leq 2|x|$. Then, by Lemma 2, $P_m(A) \subseteq E_{2(k+2)}$. So A is E-trivial.

To be more precise, we will apply the following lemma generalizing Lemma 2.

Lemma 8 (Boundedness Lemma) *Let A and B be sets and let f be a polynomial time computable function such that $A \in E_k, B \subseteq_m^p A$ via f , and*

$$\forall x (|f(x)| \leq k' \cdot |x| + k'' \text{ or } f(x) \notin A) \tag{10}$$

(for some $k, k' \geq 1, k'' \geq 0$). Then $B \in E_{k' \cdot k}$.

Proof Using the identity $B(x) = A(f(x))$ we can compute $B(x)$ for a given string x of length n in $O(2^{(k' \cdot k)n})$ steps as follows. First, in $poly(n)$ steps, compute $f(x)$. Then, again in $poly(n)$ steps, check whether $|f(x)| \leq k' \cdot n + k''$ or not. If not, then $B(x) = A(f(x)) = 0$. If so, by $A \in E_k$, compute $B(x) = A(f(x))$ in $O(2^{k \cdot |f(x)|}) \leq O(2^{k \cdot (k' \cdot n + k'')}) = O(2^{(k' \cdot k)n})$ steps. □

Proof of Theorem 4 Fix $k \geq 1$ and let $\{E_e^k : e \geq 0\}$ and $\{f_e : e \geq 0\}$ be enumerations of E_k and of the class of the polynomial time computable functions, respectively, such that $E_e^k(x)$ can be computed in time $O(2^{(k+1)max(e,|x|)})$ and $f_e(x)$ can be computed in time $O(2^{max(e,|x|)})$ (uniformly in e and x).

By a diagonal argument we define a set $A \in E_{k+2}$ which meets the requirements

$$\mathfrak{R}_{2e} : A \neq E_e^k$$

and

$$\mathfrak{R}_{2e+1} : \forall x \in \Sigma^* (|f_e(x)| > |x| + e + 1 \Rightarrow f_e(x) \notin A)$$

for $e \geq 0$.

Obviously, the requirements with even indices ensure that $A \notin E_k$. Similarly, by $A \in E_{k+2}$, the requirements with odd indices ensure that A is E-trivial since, by Lemma 8, $P_m(A) \subseteq E_{k+2}$.

For the definition of A , call a string y *forbidden* if $y = f_e(x)$ for some number e and some string x such that $|x| + e + 1 < |y|$, and let F be the set of forbidden strings. Then, in order to meet the requirements \mathfrak{R}_{2e+1} ($e \geq 0$) it suffices to ensure that no forbidden string is put into A , i.e., to ensure that $A \cap F = \emptyset$.

Note that the number $f(n)$ of pairs (x, e) such that $|x| + e + 1 < n$ is less than 2^n . Namely, for $n \leq 1$, $f(n) = 0$ and, for $n \geq 2$,

$$\begin{aligned} f(n) &= |\{(x, e) : |x| + e + 1 < n\}| \\ &= \sum_{e=0}^{n-2} |\{x : |x| < n - (e + 1)\}| \\ &= \sum_{e=0}^{n-2} (2^{n-(e+1)} - 1) \\ &= \sum_{e=1}^{n-1} (2^e - 1) \\ &< \sum_{e=0}^{n-1} 2^e \\ &= 2^n - 1. \end{aligned}$$

So the question of whether a string y of length n is forbidden can be decided in $O(2^{2n})$ steps since it suffices to compute for each of the $f(n) < 2^n$ pairs (x, e) satisfying $|x| + e + 1 < n$ the value of $f_e(x)$ which, by choice of the functions f_e , can be done in $O(2^n)$ steps. So $F \in E_2$. Moreover, since $f(n)$ is a bound on the number of forbidden strings of length n , at least one of the 2^n strings of length n is not forbidden, i.e, $\overline{F} \cap \{0, 1\}^n \neq \emptyset$ for all $n \geq 0$.

So if we let $A = \{y_e : y_e \notin E_e^k\}$ where y_e is the least string of length e which is not forbidden then the even-index requirements \mathfrak{R}_{2e} are met by $A(y_e) \neq E_e^k(y_e)$ and the odd-index requirements are met since $A \cap F = \emptyset$. Finally, $A \in E_{k+2}$. Namely, by $F \in E_2$, the string y_e of length e can be found in $O(2^{3e}) \leq O(2^{(k+2)e})$ steps, and, by choice of the enumeration of the sets $E_e^k, E_e^k(y_e)$ can be computed in $O(2^{(k+1)e})$ steps. □

Alternatively we can obtain E-trivial sets of high complexity in E by refining a result of Buhman and Mayordomo [13] on sets of random strings in the setting of time-bounded Kolmogorov complexity.

For a Turing machine M and a time bound t , the t -bounded M -Kolmogorov complexity of a string x is defined as the length of the shortest input y on which M outputs x within $t(|x|)$ steps:

$$C_M^t(x) = \min\{|y| : M(y) = x \text{ in time } t(|x|)\}$$

By the Time-Bounded Invariance Theorem (see Li and Vitanyi [17], Theorem 7.1), there is a universal Turing machine U such that for any Turing machine M there is a constant c_M such that, for any computable time bound t ,

$$\forall x (C_U^{c_M \cdot t \cdot \log(t)}(x) \leq C_M^t(x) + c_M). \tag{11}$$

Then $C^t(x) = C_U^t(x)$ is called the t -bounded Kolmogorov complexity of x , and the string x is called t - K -random if x cannot be compressed by U in time $t(|x|)$, i.e., if $C^t(x) \geq |x|$. Finally, the set of all t - K -random strings is denoted by R^t :

$$R^t = \{x : C^t(x) \geq |x|\}$$

As Buhrman and Mayordomo [13] have shown, for $t_k(n) = 2^{kn}$ ($k \geq 2$), $R^{t_k} \notin P$, $R^{t_k} \in E_{k+2}$, and R^{t_k} is not weakly measure hard for E. In the following we strengthen the latter by showing that R^{t_k} is E-trivial.

Theorem 5 For $t_k(n) = 2^{kn}$ ($k \geq 2$), the set R^{t_k} of the t_k -K-random strings is E-trivial.

Proof Since $R^{t_k} \in E$, by Lemma 8 it suffices to show that, for any set A and any polynomial time computable function f such that $A \leq_m^p R^{t_k}$ via f ,

$$\forall^\infty x (|f(x)| > 2|x| \Rightarrow f(x) \notin R^{t_k}) \tag{12}$$

holds. Intuitively, this must be true, since for x such that $|f(x)| > 2|x|$ the string $f(x)$ is compressible since $f(x)$ can be computed in polynomial time from the shorter string x .

More formally, let M be a Turing machine which computes $f(x)$ and let p be a polynomial bound for M . Then $C_M^p(f(x)) \leq |x|$ for all x . So, by (11),

$$C^{c_M \cdot p \cdot \log(p)}(f(x)) \leq |x| + c_M.$$

It follows that, for n_0 such that $c_M \cdot p(n) \cdot \log(p(n)) < 2^n$ and $n + c_M < 2n$ for $n \geq n_0$,

$$C^{t_k}(f(x)) < 2|x|$$

for all strings x of length $\geq n_0$. So (12) holds for all strings x of length $\geq n_0$. Namely for such a string x such that $|f(x)| > 2|x|$, $C^{t_k}(f(x)) < 2|x| < |f(x)|$ whence $f(x) \notin R^{t_k}$. □

Remark 6 1. While for an E-trivial set A we only require that $P_m(A) \cap E \subseteq E_k$ for some $k \geq 1$, all of the E-trivial sets A obtained in this section have a stronger property, namely, satisfy $P_m(A) \subseteq E_k$ for some $k \geq 1$. We may call sets with this stronger property *strictly E-trivial*. Note that any strictly E-trivial set is in E (since $A \in P_m(A)$). So E-trivial sets outside of E cannot have this stronger property. An example of an E-trivial set A in E which is not strictly E-trivial is given in [8]. In fact the set $A \in E$ given there has predecessors from all levels $EXP_{k+1} \setminus EXP_k$ of the polynomial exponential time hierarchy EXP but it has predecessors only from finitely many levels $E_{k+1} \setminus E_k$ of the linear exponential time hierarchy E.

2. In this paper we only look at E-(non)trivial sets in E, i.e., investigate (strong) E-nontriviality as a weak completeness notion, and do not consider the corresponding, more general weak hardness notion. So we only remark here that outside of E we can find computable E-trivial sets of arbitrarily high time complexity. Moreover, there are noncomputable E-trivial sets. In fact, the class of E-trivial sets has (classical) Lebesgue measure 1.

These observations immediately follow from results about p - m -minimal pairs in the literature. (Sets $A \notin P$ and $B \notin P$ form a p - m -minimal pair if, for any set C such that $C \leq_m^p A$ and $C \leq_m^p B$, it holds that $C \in P$.) It suffices to observe that, for sets A and B such that B is $DTIME(t(n))$ -hard for any computable function $t(n)$

dominating the functions 2^{kn} for $k \geq 1$ and such that A and B form a minimal pair, $A \notin \text{DTIME}(t(n))$ and A is E-trivial. So the existence of computable E-trivial sets of arbitrarily high time complexity follows from Ambos-Spies [2] where it has been shown that, for any computable set $B \notin P$ there is computable set A such that A and B form a minimal pair. Similarly, the fact that the class of E-trivial sets has measure 1 follows from the observation in [1] that, for any set $C \notin P$, the upper cone $\{D : C \leq_m^p D\}$ of C has measure 0, which - by countable additivity of the measure - implies that for $B \notin P$ the class of sets A forming a minimal pair with B has measure 1 (since A and B are a minimal pair if and only if A is not in the upper cone of any of the countable many sets $C \notin P$ which are p - m -reducible to B).

4 On the Density of E-Nontrivial and Strongly E-Nontrivial Sets

In this section we will separate the different weak completeness notions for E by looking at the possible densities of the weakly complete sets. For the previously introduced weak completeness notions, E-measure completeness and E-category completeness, such density results can be found in the literature or can be easily derived from results there.

Theorem 7 (Lutz and Mayordomo [19]) *Let A be E-measure complete. Then A is exponentially dense.*

Theorem 8 (a) *There is an E-category complete set A which is sparse.*
 (b) *No E-category complete set is tally.*

Here a set A is *exponentially dense* if there is a real $\epsilon > 0$ such that, for almost all n ,

$$|A^{\leq n}| = |A \cap \{x : |x| \leq n\}| > 2^{n^\epsilon};$$

A is *sparse* if there is a polynomial p such that $|A^{\leq n}| \leq p(n)$; and A is *tally* if $A \subseteq \{0\}^*$. (Note that tally sets are sparse while exponentially dense sets are not sparse.)

The proof of Theorem 8 uses some relations between E-category completeness and resource-bounded genericity together with some properties of these notions which can be found in the literature. Since the notions themselves are not required for the proof, we omit the quite technical definitions and refer the interested reader to Ambos-Spies [4] for a detailed discussion of these concepts.

Proof (of Theorem 8) For a proof of (a) it suffices to note that any n^2 -generic set in E is E-category complete (see Ambos-Spies [4]) and that there are sparse n^2 -generic sets in E (see Ambos-Spies, Neis, Terwijn [10]).

For a proof of (b) let A be E-category complete and, for a contradiction, assume that A is tally. By the former, there is an n^2 -generic set $B \in E$ such that $B \leq_m^p A$.

Fix a polynomial time computable function f such that $B \leq_m^p A$ via f where, by A being tally, w.l.o.g. we may assume that $f(x) = 1$ for all x such that $f(x) \notin \{0\}^*$. Now, as shown in Ambos-Spies [4], any n^2 -generic set is incompressible under p - m -reductions. So the function f is almost one-to-one, i.e., there are only finitely many pairs (x, y) of strings such that $x \neq y$ and $f(x) = f(y)$. So we may fix n_0 such that f is one-to-one on the strings of length $\geq n_0$, where we can choose n_0 so that $f(x) \neq 1$ (hence $f(x) \in \{0\}^*$) for any string x of length $\geq n_0$ and that $p(n) < 2^n$ for $n \geq n_0$ where p is a polynomial time bound for f (hence $|f(x)| < p(|x|)$). But then, for $n \geq n_0$, $|\Sigma^n| = |f(\Sigma^n)|$ and $f(\Sigma^n) \subseteq \{0^m : m < p(n)\}$ hence

$$2^n = |\Sigma^n| = |f(\Sigma^n)| \leq |\{0^m : m < p(n)\}| = p(n) < 2^n,$$

which gives the desired contradiction. □

By Theorem 8, in order to distinguish strong E-nontriviality from E-category completeness (and E-measure completeness), it suffices to show that there is a tally strongly E-nontrivial set in E. To do so we use the following observation on E_{k+1} -bi-immune sets.

Lemma 9 *Let $A \in E$ be E_{k+1} -bi-immune ($k \geq 1$) and let \hat{A} be the length language $\hat{A} = \{x : 0^{|x|} \in A\}$. Then $\hat{A} \in E$, $\hat{A} \leq_m^p A$, and \hat{A} is E_k -bi-immune.*

Proof Obviously, $\hat{A} \leq_m^p A$ via $f(x) = 0^{|x|}$. Since $|f(x)| = |x|$ and $A \in E$ it follows, by Lemma 2, that $\hat{A} \in E$ too. It remains to show that \hat{A} is E_k -bi-immune. By symmetry, it suffices to show that there is no infinite set $B \in E_k$ such that $B \subseteq \hat{A}$. For a contradiction assume that such a set B exists. Then, for $\tilde{B} = \{0^n : \exists x \in B (|x| = n)\}$, $\tilde{B} \in E_{k+1}$, \tilde{B} is infinite and $\tilde{B} \subseteq A$. So A is not E_{k+1} -bi-immune contrary to assumption. □

Theorem 9 *There is a tally set $A \in E$ which is strongly E-nontrivial.*

Proof Since there are E_2 -bi-immune sets in E, it follows from Lemma 9 that there is a length language $A_1 \in E$ which is E_1 -bi-immune. By Theorem 1, A_1 is strongly E-nontrivial. Since, for the tally set $A = A_1 \cap \{0\}^*$, A is in E and A is p - m -equivalent to A_1 , it follows from p - m -invariance of strong E-nontriviality that A has the desired properties. □

It remains to separate E-nontriviality from strong E-nontriviality. We do this by showing that there are E-nontrivial sets of very low density whereas strongly E-nontrivial sets in E do not have (sufficiently easily recognizable) exponential gaps. These observations will allow us to argue that there are exptally sets in E which are E-nontrivial whereas no such set is strongly E-nontrivial.

In order to prove the existence of E-nontrivial sets of very low density in E, we observe that any sufficiently complex tally set in E is E-nontrivial.

Theorem 10 *Let $A \in E \setminus E_1$ be tally. Then A is E-nontrivial.*

Proof Given $k \geq 1$, it suffices to give a set B_k such that $B_k \leq_m^p A$ and $B_k \in E \setminus E_k$. The set B_k is defined as a compressed version of A as follows. For $n \geq 0$ let

$$y_n = 0^{\lfloor \frac{n}{k+1} \rfloor} 1 z_n$$

where z_n in the n th binary string with respect to the canonical ordering, and let

$$B_k = \{y_n : n \geq 0 \ \& \ 0^n \in A\}.$$

In order to show that B_k has the required properties, first note that the strings y_n have the following properties.

- (i) For almost all n , $\frac{n}{k+1} \leq |y_n| \leq \frac{n}{k}$ (since $\frac{n}{k+1} \leq 0^{\lfloor \frac{n}{k+1} \rfloor} 1 \leq \frac{n}{k+1} + 1$ and $|z_n| \approx \log(n)$),
- (ii) for a given number n , y_n can be computed in $poly(n)$ steps, and
- (iii) for a given string x , in $poly(|x|)$ steps we can tell whether $x = y_n$ for some n and if so compute the unary representation 0^n of the unique n with this property.

Now, since $y_n \in B_k$ if and only if $0^n \in A$, (iii) implies that $B_k \leq_m^p A$ via f where $f(x) = 0^n$ if $x = y_n$ and $f(x) = 1 \notin A$ if x is not among the strings $y_n, n \geq 0$. Moreover, by the first inequality in (i), $|f(x)| \leq (k + 1) \cdot |x|$ for almost all x whence, by $A \in E$ and by Lemma 2, $B_k \in E$ too. Finally, $B_k \notin E_k$. Namely, otherwise, for sufficiently large n , $A(0^n)$ can be computed in $O(2^n)$ steps (contrary to $A \notin E_1$) by first computing y_n (which, by (ii), can be done in $poly(n)$ steps) and then computing $B_k(y_n)$ (which, by assumption and by the second inequality in (i), can be done in $O(2^{k \cdot |y_n|}) \leq O(2^n)$ steps). □

Corollary 1 *Let B be an infinite tally set such that $B \in E$. There is an E-nontrivial set A in E such that $A \subseteq B$.*

Corollary 1 is a direct consequence of Theorem 10 and the following observation.

Lemma 10 *Let B be any infinite set in E and let $k \geq 1$. There is a subset A of B such that $A \in E \setminus E_k$.*

Proof This can be shown by a straightforward diagonalization. Alternatively, we can use the fact that, for any $k' \geq 1$, there is an $E_{k'}$ -bi-immune set in E . Namely, given $k' \geq k$ such that $B \in E_{k'}$, let $A = B \cap C$ where C is any $E_{k'}$ -bi-immune set in E . Then, obviously, $A \subseteq B$ and, by closure of E under intersection, $A \in E$. Finally, by $E_{k'}$ -bi-immunity of C and by choice of B , $A = B \cap C \notin E_{k'}$. So, by $k' \geq k$, $A \notin E_k$. □

Corollary 1 directly implies that there are exptally E-nontrivial sets in E . Here a set A is *exptally* if $A \subseteq \{0^{\delta(n)} : n \geq 0\}$ where $\delta : \mathbb{N} \rightarrow \mathbb{N}$ is the *iterated exponential function* inductively defined by $\delta(0) = 0$ and $\delta(n + 1) = 2^{\delta(n)}$. (Intuitively, an exptally set may be viewed as the unary encoding of a tally set or as the unary encoding of the unary encoding of an arbitrary set.)

Corollary 2 *There is an E-nontrivial set A in E which is exptally.*

Proof Since $\{0^{\delta(n)} : n \geq 0\} \in P$, this is immediate by Corollary 1. □

Remark 11 1. It might be of interest to note that Theorem 10 and Corollary 1 in general fail for sparse sets in place of tally sets. A counter example provides the E-trivial set $A \in E$ constructed in the proof of Theorem 4 which is sparse (in fact contains at most one string of each length). Moreover, the set A constructed there satisfies the condition

$$\forall^\infty x (|f(x)| \leq 2 \cdot |x| \text{ or } f(x) \notin A)$$

for all polynomial-time computable functions f . Since this property is inherited by any subset of A , it follows by the Boundedness Lemma (Lemma 8) that all subsets of A in the class E are E-trivial too.

2. Similarly, in Theorem 10 and Corollary 1 the assumptions that $A \in E$ and $B \in E$, respectively, are necessary. The former follows from the observations in the second part of Remark 6. Namely, given a computable time bound $t(n)$ dominating the linear exponential functions 2^{kn} ($k \geq 1$) and sets A and B such that B is $DTIME(t(n))$ -hard and (A, B) is a p - m -minimal pair, it holds that $A \notin E$ and A is E-trivial. Now, given such a pair (A, B) , it suffices to let $\hat{A} = \{0^n : z_n \in A\}$ be the unary representation of A . Then $\hat{A} \leq_m^p A$ and, by $A \notin E$, $\hat{A} \notin P$. Hence (\hat{A}, B) is a p - m -minimal pair again. So, by the above observation, the tally set \hat{A} is not in E (hence not in E_1) and is E-trivial.

In order to give a counterexample to the generalization of Corollary 1 where the assumption that B is in E is dropped, it suffices to give an infinite tally set B such that

$$\forall A (A \subseteq B \Rightarrow A \text{ is E-trivial}) \tag{13}$$

holds. Such a set B can be obtained by a rather straightforward finite extension argument. In order to guarantee (13) it suffices to meet the requirements

$$\mathfrak{R}_{2e} : \text{If } f_{e_1}(E_{e_0}) \cap \{0\}^* \text{ is infinite then } f_{e_1}(E_{e_0}) \not\subseteq B. \quad (e = \langle e_0, e_1 \rangle)$$

for $e \geq 0$ where $\{E_e\}_{e \geq 0}$ and $\{f_e\}_{e \geq 0}$ are computable enumerations of the class E and the class of the polynomial time computable functions, respectively. Note that the requirements \mathfrak{R}_{2e} , $e \geq 0$, guarantee that, for any subset A of B and for any $C \in E$ such that $C \leq_m^p A$, the set C is polynomial time computable, hence A is E-trivial. (Namely, given f such that $C \leq_m^p A$ via f , $f(C) \subseteq A \subseteq B \subseteq \{0\}^*$. So, for indices e_0 and e_1 such that $C = E_{e_0}$ and $f = f_{e_1}$, requirement $\mathfrak{R}_{2\langle e_0, e_1 \rangle}$ guarantees that $f(C)$ is finite hence $C \in P$.) On the other hand, in order to ensure that B is infinite, it suffices to meet the requirements

$$\mathfrak{R}_{2e+1} : \exists n \geq e (0^n \in B)$$

for $e \geq 0$.

The construction of B is as follows. At stage $s + 1$ - where $l(s) \geq 0$ and $B \upharpoonright l(s) = B \cap \Sigma^{<l(s)}$ have been defined previously (where $l(0) = 0$) - $l(s + 1) > l(s)$ and the finite extension $B \upharpoonright l(s + 1)$ of $B \upharpoonright l(s)$ are defined as follows thereby guaranteeing that requirement \mathfrak{R}_s is met. If $s = 2\langle e_0, e_1 \rangle$ then let $l(s + 1) = |x| + 1$ for the least

$x \in f_{e_1}(E_{e_0}) \cap \{0\}^*$ such that $l(s) \leq |x|$ if there is such an x , let $l(s + 1) = l(s) + 1$ otherwise, and - in either case - let $B \upharpoonright l(s + 1) = B \upharpoonright l(s)$. If $s = 2e + 1$ then let $l(s + 1) = l(s) + 1$ and let $B \upharpoonright l(s + 1) = (B \upharpoonright l(s)) \cup \{0^{l(s)}\}$.

Note that the above given construction of B is not effective, hence B is not computable. A computable set B with the above properties can be obtained by a more sophisticated diagonalization argument using the speed-up technique of [2].

In order to show that Corollary 2 fails if we replace E-nontriviality by strong E-nontriviality we prove a stronger result, namely we show that a strongly E-nontrivial set in E does not have infinitely many E-recognizable gaps of exponential size.

Theorem 12 *Let A and B be sets in E such that $B \subseteq \{0\}^*$, B is infinite, and*

$$\forall n (0^n \in B \Rightarrow A \cap \{x : n < |x| < 2^n\} = \emptyset) \tag{14}$$

holds. Then A is not strongly E-nontrivial.

Proof By $A, B \in E$ fix $k \geq 1$ such that $A, B \in E_k$. It suffices to show that there is no E_k -bi-immune set (in E) which can be p - m -reduced to A . So, given any set $D \in P_m(A)$, in order to show that D is not E_k -bi-immune fix f such that $D \leq_m^p A$ via f and, by polynomial time computability of f , fix n_0 such that $|f(x)| < 2^{|x|}$ for all strings x of length $> n_0$. Since the tally set B is infinite and in E_k , it suffices to show that, for $n > n_0$ such that $0^n \in B$, $D(0^n)$ can be computed in $O(2^{kn})$ steps. (Namely, if so, $D \cap B$ and $\overline{D} \cap B$ are in E_k . So, by infinity of B , $D \cap B$ is an infinite E_k -subset of D or $\overline{D} \cap B$ is an infinite E_k -subset of \overline{D} , and either implies that D is not E_k -bi-immune.) Since f is polynomial time computable and since $D(0^n) = A(f(0^n))$, for n such that $|f(0^n)| \leq n$, this follows from $A \in E_k$, while, for n such that $|f(0^n)| > n$, $A(f(0^n)) = 0$ by (14). □

Since, for any exptally set A , (14) holds for the polynomial time computable set $B = \{0^{\delta(n)} : n \geq 0\}$, it follows from Theorem 11 that no exptally set in E is strongly E-nontrivial.

Corollary 3 *Let $A \in E$ be exptally. Then A is not strongly E-nontrivial.*

Theorem 11 implies that many constructions (of sets in E) in the theory of the polynomial-time degrees which are based on so-called gap languages (see e.g. Section 3 of [5]) yield sets which are not strongly E-nontrivial. We will come back to this in the next section.

Note that, by a straightforward diagonalization, there is an exptally set $A \in E \setminus E_1$. So, by Corollaries 2 and 3, there is an E-nontrivial set in E which is not strongly E-nontrivial:

Corollary 4 *There is an E-nontrivial set A in E which is weakly E-trivial.*

The above density results give the desired separations of the weak completeness notions.

Theorem 13 *For any set A the following hold.*

$$\begin{array}{l}
 A \text{ E-hard} \\
 \Downarrow \\
 A \text{ E-measure hard} \\
 \Downarrow \\
 A \text{ E-category hard} \\
 \Downarrow \\
 A \text{ strongly E-nontrivial} \\
 \Downarrow \\
 A \text{ E-nontrivial} \\
 \Downarrow \\
 A \text{ intractable}
 \end{array} \tag{15}$$

Moreover all implications are strict and witness sets A for strictness can be found in E .

Proof By Lemma 3 it suffices to give witness sets $A \in E$ for the strictness of the implications.

Strictness of the first implication (from top) holds by Lutz [18] where it has been shown that there are E -measure complete sets which are not E -complete while strictness of the fifth implication follows from the existence of intractable E -trivial sets in E which we have established in Section 3.

Strictness of the second implication follows from the fact that E -measure complete sets are exponentially dense (Theorem 7 due to Mayordomo and Lutz) whereas there are sparse E -category complete sets (Theorem 8).

Strictness of the third implication follows from the fact that no E -category complete set is tally (Theorem 8) whereas there are tally strongly E -nontrivial sets (Theorem 9).

Finally, strictness of the fourth implication follows from the fact that no strongly E -nontrivial set is exptally (Theorem 3) whereas there are exptally E -nontrivial sets (Corollary 2). \square

5 On the Information Content of E -Nontrivial and Strongly E -Nontrivial Sets

In the preceding section we have distinguished E -nontriviality from the stronger weak completeness notions for E by analysing the possible densities of sets with these properties. Here we present another difference in the sense of information content. We look at the following question: If we split a weakly complete set A into two parts A_0 and A_1 , is at least one of the parts weakly complete again? As we will show, for E -nontriviality the answer is YES whereas for the other weak completeness notions

the answer is NO. Moreover, if we split a complete set into two parts where one of the parts is E-trivial then the other part is complete.

In order to make our question more precise we need the following notion. A splitting of a set A into two disjoint sets A_0 and A_1 is a p -splitting if there is a set $B \in P$ such that $A_0 = A \cap B$ and $A_1 = A \cap \overline{B}$. Note that, for a p -splitting (A_0, A_1) of A , $A_0, A_1 \leq_m^p A$ and $A \equiv_m^p A_0 \oplus A_1$ where $A_0 \oplus A_1$ is the effective disjoint union $\{0x : x \in A_0\} \cup \{1y : y \in A_1\}$ of A_0 and A_1 . So, intuitively, a p -splitting decomposes a problem A into two subproblems A_0 and A_1 so that for solving A it suffices to solve A_0 and A_1 .

Now Ladner [16] has shown that any computable intractable set A can be p -split into two lesser intractable problems, i.e., into problems $A_0, A_1 \notin P$ such that $A_0, A_1 <_m^p A$. So, in particular, any E-complete set A can be p -split into two incomplete sets. In fact, by analysing Ladner’s proof, the set $B \in P$ defining the p -splitting (A_0, A_1) of A is a gap language, i.e., B and \overline{B} have infinitely many polynomial time recognizable gaps of exponential length. Hence, by Theorem 11, we obtain the following stronger result from Ladner’s proof.

Theorem 14 *Let $A \in E \setminus P$. There is a p -splitting of A into sets $A_0, A_1 \notin P$ such that A_0 and A_1 are weakly E-trivial.*

So, in particular, any E-complete (E-measure complete, E-category complete, strongly E-nontrivial) set A has a p -splitting into sets A_0 and A_1 which are not E-complete (not E-measure complete, not E-category complete, weakly E-trivial, respectively). For E-nontriviality, however, the corresponding fact fails.

Theorem 15 *Let A be E-nontrivial and let (A_0, A_1) be a p -splitting of A . Then A_0 is E-nontrivial or A_1 is E-nontrivial (or both).*

Proof The key to the proof is the trivial observation that, for a p -splitting (C_0, C_1) of a set $C \notin E_k$, $C_0 \notin E_k$ or $C_1 \notin E_k$.

Fix $B \in P$ such that $A_0 = A \cap B$ and $A_1 = A \cap \overline{B}$, and, for a contradiction, assume that A_0 and A_1 are E-trivial. By the latter, we may fix a number k such that

$$\forall i \leq 1 [P_m(A_i) \cap E \subseteq E_k]. \tag{16}$$

On the other hand, by E-nontriviality of A , we may fix a set $C \in E \setminus E_k$ such that $C \leq_m^p A$ and a polynomial time computable function f such that $C \leq_m^p A$ via f .

Then, for $D = \{x : f(x) \in B\}$, $D \in P$. So we may consider the p -splitting (C_0, C_1) given by D , i.e., $C_0 = C \cap D$ and $C_1 = C \cap \overline{D}$. By $C \in E$ and $D \in P$, it holds that $C_0, C_1 \in E$. Moreover, $C_0 \leq_m^p A_0$ and $C_1 \leq_m^p A_1$ via f_0 and f_1 , respectively, where

$$f_0(x) = \begin{cases} f(x) & \text{if } x \in D \\ y_0 & \text{otherwise} \end{cases} \quad \text{and} \quad f_1(x) = \begin{cases} f(x) & \text{if } x \notin D \\ y_0 & \text{otherwise} \end{cases}$$

for a fixed string $y_0 \notin A$. So $C_i \in P_m(A_i) \cap E$ ($i = 0, 1$), hence $C_0, C_1 \in E_k$ by (16). But this contradicts the observation on p -splittings preceding the proof, namely that, by $C \notin E_k$, $C_0 \notin E_k$ or $C_1 \notin E_k$. □

For an E-complete set A we obtain the following strengthening of Theorem 15. For any p -splitting (A_0, A_1) of A such that A_0 is E-trivial, the splitting cannot be proper, i.e., A_1 will be E-complete. (So E-trivial sets do not help for computing E-complete sets.) Note that this is immediate by the following slightly more general result.

Theorem 16 *Let A and B be computable sets such that $A \in E$ is E-trivial and B is not E-hard. Then $A \oplus B$ is not E-hard.*

The proof of Theorem 16 is based on the following observation on the distribution of the E_k -bi-immune sets in E which might be of interest for its own sake.

Lemma 11 *Let $k \geq 1$ and let A be any computable set such that A is not E-hard. Then there is an E_k -bi-immune set B in E such that $B \not\leq_m^p A$ and $A \oplus B$ is not E-hard.*

Proof By a delayed diagonalization we will construct a set B with the required properties in stages where at stage s of the construction the value $B(z_s)$ is determined.

Fix some E-complete set C in E_1 , let $\{f_e\}_{e \geq 0}$ be a computable enumeration of the class of the polynomial time computable functions such that $f_e(x)$ can be uniformly computed in time $2^{\max(e, |x|)}$, and let $\{E_e^k\}_{e \geq 0}$ be a computable enumeration of the class E_k such that $E_e^k(x)$ can be uniformly computed in time $2^{(k+2) \max(e, |x|)}$.

Then it suffices to ensure that $B \in E_{k+3}$ and that B meets the requirements

$$\begin{aligned} \mathfrak{R}_{4e} &: B \text{ is not } p - m - \text{ reducible to } A \text{ via } f_e \\ \mathfrak{R}_{4e+1} &: C \text{ is not } p - m - \text{ reducible to } A \oplus B \text{ via } f_e \\ \mathfrak{R}_{4e+2} &: E_e^k \text{ is infinite } \Rightarrow B \cap E_e^k \neq \emptyset \\ \mathfrak{R}_{4e+3} &: E_e^k \text{ is infinite } \Rightarrow \bar{B} \cap E_e^k \neq \emptyset \end{aligned}$$

for all $e \geq 0$. Namely the requirements \mathfrak{R}_{4e} ensure that that B is not p - m -reducible to A , the requirements \mathfrak{R}_{4e+1} ensure that $A \oplus B$ is not E-hard, and the requirements \mathfrak{R}_{4e+2} and \mathfrak{R}_{4e+3} ensure that B is E_k -bi-immune.

The idea for meeting requirement \mathfrak{R}_{4e} is to let B look like C on a sufficiently large interval where the interval is only closed when by looking back a disagreement $B(x) \neq A(f_e(x))$ is found. (Note that eventually there must be such a disagreement since otherwise $B \leq_m^p A$ via f_e and $B =^* C$, hence $C \leq_m^p A$ contrary to the assumption that A is not E-hard.) Similarly, the idea for meeting requirement \mathfrak{R}_{4e+1} is to let B look like the empty set on a sufficiently large interval where now the interval is only closed when a disagreement $C(x) \neq A \oplus B(f_e(x))$ is found. (Note that eventually there must be such a disagreement since otherwise $C \leq_m^p A \oplus B$ and B is finite. So, again, $C \leq_m^p A$ contrary to the assumption that A is not E-hard.)

We now describe stage s of the construction at which $B(z_s)$ is defined. We look at the requirements \mathfrak{R}_n with $n \leq s$ where some of these requirements may have been declared satisfied at a previous stage.

For $i \leq 1$ we say that requirement \mathfrak{R}_{4e+i} requires attention at stage s if

- (i) $4e + i \leq s$ and \mathfrak{R}_{4e+i} has not yet been declared satisfied

and, for $2 \leq i \leq 3$, we say that requirement \mathfrak{R}_{4e+i} requires attention at stage s if (i) holds and

(ii) $z_s \in E_e^k$.

Then fix $n = 4e + i$ ($i \leq 3$) minimal such that \mathfrak{R}_n requires attention. (If there is no such n then let $B(z_s) = 0$ and go to stage $s + 1$.) Declare that \mathfrak{R}_n is *active at stage s* .

For the definition of $B(z_s)$ distinguish the following cases:

$$B(z_s) = \begin{cases} C(z_s) & \text{if } i = 0 \\ 0 & \text{if } i = 1 \text{ or } i = 3 \\ 1 & \text{if } i = 2. \end{cases}$$

Finally, if $i \geq 2$ then the active requirement \mathfrak{R}_{4e+i} is declared *satisfied* at stage $s + 1$. If $i = 0$ then, for up to $|z_s|$ steps, try to find out whether there is a string $x < z_s$ such that $B(x) \neq A(f_e(x))$ and $2^{|x|} < |z_s|$ (where the strings x are checked in order); and if such an x is found then declare requirement \mathfrak{R}_{4e} to be *satisfied* at stage s . If $i = 1$ then for up to $|z_s|$ steps try to find out whether there is a string $x < z_s$ such that $C(x) \neq A \oplus B(f_e(x))$, $2^{|x|} < |z_s|$ and $f_e(x) < z_s$; and if such an x is found then declare requirement \mathfrak{R}_{4e+1} to be *satisfied* at stage s .

This completes the construction.

In order to show that the set B has the required properties, first note that, given s , $B(z_0), \dots, B(z_{s-1})$, and the indices of the requirements $\mathfrak{R}_{n'}$ which have been declared satisfied prior to stage s , in a total of $O(2^{(k+2)|z_s|})$ steps we can 1) compute the index n of the requirement \mathfrak{R}_n which becomes active at stage s (if any), 2) compute $B(z_s)$, and 3) decide whether requirement \mathfrak{R}_n is declared satisfied at stage s . So, by induction, $B \in E_{k+3}$. Hence it suffices to show that all requirements are met. This is established as follows. □

Claim 1 Requirement \mathfrak{R}_n requires attention at most finitely often.

Proof The proof is by induction on n . By inductive hypothesis, fix a stage $s_0 \geq n$ such that no requirement $\mathfrak{R}_{n'}$ with $n' < n$ requires attention after stage s_0 . Then requirement \mathfrak{R}_n becomes active at any stage $s > s_0$ at which it requires attention. So it suffices to show that \mathfrak{R}_n becomes active during at most finitely many stages $s > s_0$. In order to show this let $n = 4e + i$ ($i \leq 3$) and distinguish the following cases according to the value of i .

If $i \geq 2$ then the claim is immediate since a requirement \mathfrak{R}_{4e+i} of this type which becomes active at a stage s is declared satisfied at stage s hence will not require attention after stage s .

This leaves the cases $i = 0$ and $i = 1$. Here, for a contradiction, assume that requirement \mathfrak{R}_{4e+i} becomes active at infinitely many stages. Then \mathfrak{R}_{4e+i} is never declared satisfied, hence, by construction and by choice of s_0 , \mathfrak{R}_{4e+i} requires attention and becomes active at all stages $s > s_0$.

So, for $i = 0$, $B(z_s) = C(z_s)$ for $s \geq s_0$, and there is no string x such that $B(x) \neq A(f_e(x))$ since otherwise, at a sufficiently large stage $s > s_0$, the least such x will be found and requirement \mathfrak{R}_{4e+i} will be declared satisfied. It follows that $C \equiv^* B$ and $B \leq_m^p A$ via f_e , hence $C \leq_m^p A$. But, by E-completeness of C , this implies that A is E-hard contrary to choice of A .

Similarly, for $i = 1$, $B(z_s) = 0$ for $s \geq s_0$, and we can argue that there is no string x such that $C(x) \neq A \oplus B(f_e(x))$. It follows that B is finite and $C \leq_m^p A \oplus B$ hence $C \leq_m^p A$. So, again, A is E-hard contrary to choice of A . □

Claim 2 Requirement \mathfrak{R}_n is met.

Proof For a contradiction assume that \mathfrak{R}_n is not met. Then, as one can easily show, requirement \mathfrak{R}_n is never satisfied and requires attention infinitely often contrary to Claim 1. We show this for $n = 4e$ and leave the other cases to the reader.

Note that if requirement \mathfrak{R}_{4e} is declared satisfied at some stage s then $B(x) \neq A(f_e(x))$ for some x , hence \mathfrak{R}_{4e} is met. So, by assumption, \mathfrak{R}_{4e} is never declared to be satisfied. But, by construction, this implies that \mathfrak{R}_{4e} requires attention at all stages $s \geq 4e$.

This completes the proof of Lemma 11. □

Proof (of Theorem 16) Given an E-trivial set A in E and a computable set B which is not E-hard, for a contradiction assume that $A \oplus B$ is E-hard. By E-triviality of A , fix $k \geq 1$ such that

$$P_m(A) \cap E \subseteq E_k \tag{17}$$

holds. Then, by Lemma 11 (applied to k and B), there is an E_k -bi-immune set C in E such that $C \not\leq_m^p B$. On the other hand, by E-hardness of $A \oplus B$, $C \leq_m^p A \oplus B$, say via f . Then, for $D = \{x : f(x) \in \Sigma^* \oplus \emptyset\}$, $D \in P$, $C \cap D \in E$, $C \cap \bar{D} \in E$, $C \cap D \leq_m^p A$ and $C \cap \bar{D} \leq_m^p B$.

Now distinguish the following two cases. First assume that $C \cap D$ is infinite. Then, by E_k -bi-immunity of C , $C \cap D \notin E_k$. But, since $C \cap D \in P_m(A) \cap E$, this contradicts (17). This leaves the case that $C \cap D$ is finite. But then $C \equiv_m^p C \cap \bar{D}$, hence $C \leq_m^p B$ contrary to choice of C . □

6 Further Results

We conclude with a short summary of some other results on our new weak completeness notions which appeared somewhere else.

Comparing Weak Hardness for E and EXP. While, by a simple padding argument, E-hardness and EXP-hardness coincide, Juedes and Lutz [15] have shown that E-measure hardness implies EXP-measure hardness whereas the converse in general fails. Moreover, by using similar ideas, the corresponding results have been obtained for category hardness (see [4]).

Now we can easily adapt the concepts of (strong) nontriviality for E to the polynomial-exponential time class EXP by replacing E and E_k in the definitions by EXP and EXP_k , respectively. Then, the arguments of [15] can be easily duplicated to show that strong E-nontriviality implies strong EXP-nontriviality but in general not vice versa.

For clarifying the relations between E-nontriviality and EXP-nontriviality, however, the above arguments fail and new much more sophisticated techniques have to be employed. As it turns out, in contrast to the above results, E-nontriviality and EXP-nontriviality are independent. I.e. neither E-nontriviality implies EXP-nontriviality nor EXP-nontriviality implies E-nontriviality. For details see [8].

Weak Hardness Under Weak Reducibilities. The classical approach to generalize hardness notions is to generalize (weaken) the reducibilities underlying the hardness concepts, i.e., to allow more flexible codings in the reductions. So Watanabe [20] has shown that weaker reducibilities than p - m -reducibility like p - btt -reducibility (bounded truth-table), p - tt -reducibility (truth-table) and p - T -reducibility (Turing) yield more E-complete sets. For measure-completeness and category-completeness similar results have been shown in [9] (for both E and EXP). For strong nontriviality we obtain the corresponding results, i.e., a complete separation of p - m , p - btt , p - tt , and p - T (for both E and EXP), by fairly standard methods. For nontriviality, however, the separations of E-nontriviality under p - m , p - btt , p - tt , and p - T reducibilities require some quite involved and novel speed-up diagonalization technique. The reason why standard methods fail in this setting might be explained by the fact that - in contrast to all of the just mentioned separation results - EXP-nontriviality under p - m , p - btt , and p - tt coincide. See [7] for details.

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