

# Exceptional zero formulae and a conjecture of Perrin-Riou

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**Abstract** Let  $A/\mathbf{Q}$  be an elliptic curve with split multiplicative reduction at a prime  $p$ . We prove (an analogue of) a conjecture of Perrin-Riou, relating  $p$ -adic Beilinson–Kato elements to Heegner points in  $A(\mathbf{Q})$ , and a large part of the rank-one case of the Mazur–Tate–Teitelbaum exceptional zero conjecture for the cyclotomic  $p$ -adic  $L$ -function of  $A$ . More generally, let  $f$  be the weight-two newform associated with  $A$ , let  $f_\infty$  be the Hida family of  $f$ , and let  $L_p(f_\infty, k, s)$  be the Mazur–Kitagawa two-variable  $p$ -adic  $L$ -function attached to  $f_\infty$ . We prove a  $p$ -adic Gross–Zagier formula, expressing the quadratic term of the Taylor expansion of  $L_p(f_\infty, k, s)$  at  $(k, s) = (2, 1)$  as a non-zero rational multiple of the extended height-weight of a Heegner point in  $A(\mathbf{Q})$ .

## 1 Introduction

Let  $A$  be an elliptic curve over  $\mathbf{Q}$  of conductor  $Np$ , with  $p > 3$  a prime of *split* multiplicative reduction. Fix algebraic closures  $\overline{\mathbf{Q}}$  and  $\overline{\mathbf{Q}}_p$  of  $\mathbf{Q}$  and  $\mathbf{Q}_p$  respectively, and an embedding  $i_p: \overline{\mathbf{Q}} \hookrightarrow \overline{\mathbf{Q}}_p$ . Assume throughout this paper that the  $p$ -torsion subgroup  $A_p$  of  $A(\overline{\mathbf{Q}})$  is an irreducible  $\mathbf{F}_p[G_{\mathbf{Q}}]$ -module, where  $G_{\mathbf{Q}} := \text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q})$ .

For every  $n \in \mathbf{N}$ , write  $\mathbf{Q}_n/\mathbf{Q}$  for the cyclic sub-extension of  $\mathbf{Q}(\mu_{p^{n+1}})/\mathbf{Q}$  of degree  $p^n$  and let  $\mathbf{Q}_\infty = \bigcup_{n \in \mathbf{N}} \mathbf{Q}_n$  be the cyclotomic  $\mathbf{Z}_p$ -extension of

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**Q.** Denote by  $G_\infty := \text{Gal}(\mathbf{Q}_\infty/\mathbf{Q})$  the Galois group of  $\mathbf{Q}_\infty$  over  $\mathbf{Q}$  and by  $\Lambda_{\text{cyc}} := \mathbf{Z}_p[[G_\infty]]$  the cyclotomic Iwasawa algebra. Associated with  $A/\mathbf{Q}$  (and  $i_p$ ) there is a  $p$ -adic  $L$ -function

$$L_p(A/\mathbf{Q}) \in \Lambda_{\text{cyc}},$$

interpolating the critical values  $L(A/\mathbf{Q}, \chi, 1)$  of the Hasse–Weil  $L$ -function of  $A/\mathbf{Q}$  twisted by finite order characters  $\chi: G_\infty \rightarrow \overline{\mathbf{Q}}_p^*$ . Thanks to the results of Kato and Coleman–Perrin-Riou, it is known that  $L_p(A/\mathbf{Q})$  arises from an Euler system for the  $p$ -adic Tate module of  $A/\mathbf{Q}$ . More precisely, denote by  $\mathbf{Q}_{p,\infty} = \bigcup_{n \in \mathbf{N}} \mathbf{Q}_{p,n}$  the cyclotomic  $\mathbf{Z}_p$ -extension of  $\mathbf{Q}_p$  (with notations similar to those introduced above), and by  $T_p(A)$  the  $p$ -adic Tate module of  $A$ . For  $K = \mathbf{Q}$  or  $\mathbf{Q}_p$ , let  $H_{\text{Iw}}^1(K_\infty, T_p(A))$  be the inverse limit of the cohomology groups  $H^1(K_n, T_p(A))$ . The work of Coleman–Perrin-Riou yields a *big dual exponential*

$$\mathcal{L}_A: H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, T_p(A)) \longrightarrow \Lambda_{\text{cyc}}.$$

It is a morphism of  $\Lambda_{\text{cyc}}$ -modules, which interpolates the Bloch–Kato dual exponential maps attached to the twists of  $T_p(A)$  by finite order characters  $\chi$  of  $G_\infty$  (see Sect. 3.1 for the precise definition). Kato [16] constructs a cyclotomic Euler system for  $T_p(A)$ , related to  $L_p(A/\mathbf{Q})$  via  $\mathcal{L}_A$ . In particular he constructs an element  $\zeta_\infty^{\text{BK}} = (\zeta_n^{\text{BK}})_{n \in \mathbf{N}} \in H_{\text{Iw}}^1(\mathbf{Q}_\infty, T_p(A))$  such that

$$\mathcal{L}_A(\text{res}_p(\zeta_\infty^{\text{BK}})) = L_p(A/\mathbf{Q}). \tag{1}$$

Kato’s Euler system is built out of Steinberg symbols of certain Siegel modular units, which also appeared in the work of Beilinson. The classes  $\zeta_n^{\text{BK}}$  are then called  *$p$ -adic Beilinson–Kato classes*.

### 1.1 A conjecture of Perrin-Riou

Set  $V_p(A) := T_p(A) \otimes_{\mathbf{Z}_p} \mathbf{Q}_p$  and denote by  $\zeta^{\text{BK}}$  the natural image of the class  $\zeta_0^{\text{BK}} \in H^1(\mathbf{Q}, T_p(A))$  in  $H^1(\mathbf{Q}, V_p(A))$ . We call  $\zeta^{\text{BK}}$  the  *$p$ -adic Beilinson–Kato class* attached to  $A$ . According to Kato’s reciprocity law [16]

$$\exp_A^*(\text{res}_p(\zeta^{\text{BK}})) = \left(1 - \frac{1}{p}\right) \frac{L(A/\mathbf{Q}, 1)}{\Omega_A^+} \in \mathbf{Q}, \tag{2}$$

where  $\Omega_A^+ \in \mathbf{R}^*$  is the real Néron period of  $A$  and  $\exp_A^*: H^1(\mathbf{Q}_p, V_p(A)) \rightarrow \text{Fil}^0 D_{\text{dR}}(V_p(A)) \cong \mathbf{Q}_p$  is the Bloch–Kato dual exponential map (see Sect. 2.6

for the last isomorphism). In particular this implies that the complex Hasse–Weil  $L$ -function  $L(A/\mathbf{Q}, s)$  vanishes at  $s = 1$  precisely if  $\zeta^{\text{BK}}$  is a Selmer class, i.e. if it belongs to the Bloch–Kato Selmer group  $H_f^1(\mathbf{Q}, V_p(A)) \subset H^1(\mathbf{Q}, V_p(A))$  of  $V_p(A)$ .

When  $L(A/\mathbf{Q}, 1) = 0$ , it is natural to ask whether  $\zeta^{\text{BK}}$  is still related to the special values of  $L(A/\mathbf{Q}, s)$ . Perrin-Riou addresses this question in [32] for elliptic curves with *good* reduction at  $p$ . In that setting, she conjectures that the logarithm of the  $p$ -adic Beilinson–Kato class equals the square of the logarithm of a Heegner point on the elliptic curve, up to a non-zero rational factor. In particular, she predicts that the Beilinson–Kato class is non-zero precisely if the Hasse–Weil  $L$ -function has a simple zero at  $s = 1$ . The first aim of this paper is to prove the analogue of Perrin-Riou’s conjecture in our multiplicative setting.

Since  $A/\mathbf{Q}_p$  is split multiplicative, Tate’s theory provides a  $G_{\mathbf{Q}_p}$ -equivariant  $p$ -adic uniformisation

$$\Phi_{\text{Tate}} : \overline{\mathbf{Q}}_p^*/q_A^{\mathbf{Z}} \cong A(\overline{\mathbf{Q}}_p), \tag{3}$$

where  $q_A \in p\mathbf{Z}_p$  is the *Tate period* of  $A/\mathbf{Q}_p$ . Denote by  $\log_{q_A} : \mathbf{Q}_p^*/q_A^{\mathbf{Z}} \rightarrow \mathbf{Q}_p$  the branch of the  $p$ -adic logarithm which vanishes at  $q_A$  and by

$$\log_A = \log_{q_A} \circ \Phi_{\text{Tate}}^{-1} : A(\mathbf{Q}_p) \longrightarrow \mathbf{Q}_p$$

the formal group logarithm on  $A/\mathbf{Q}_p$ . It induces on  $p$ -adic completions an isomorphism  $\log_A : A(\mathbf{Q}_p) \widehat{\otimes} \mathbf{Q}_p \cong \mathbf{Q}_p$ .

**Theorem A** *Assume that  $L(A/\mathbf{Q}, 1) = 0$ , i.e. that  $\zeta^{\text{BK}}$  is a Selmer class.*

1. *There exist a non-zero rational number  $\ell_1 \in \mathbf{Q}^*$  and a rational point  $\mathbf{P} \in A(\mathbf{Q}) \otimes \mathbf{Q}$  such that*

$$\log_A(\text{res}_p(\zeta^{\text{BK}})) = \ell_1 \cdot \log_A^2(\mathbf{P}).$$

2.  *$\mathbf{P}$  is non-zero if and only if  $L(A/\mathbf{Q}, s)$  has a simple zero at  $s = 1$ .*

*In particular:  $\text{res}_p(\zeta^{\text{BK}}) \neq 0$  if and only if  $L(A/\mathbf{Q}, s)$  has a simple zero at  $s = 1$ .*

The point  $\mathbf{P} \in A(\mathbf{Q}) \otimes \mathbf{Q}$  which appears in the statement is a Heegner point, coming from a certain Shimura curve parametrisation of  $A$  (see Sect. 2.5). Theorem A then compares two Euler systems of a different nature: Kato’s Euler system, belonging to the *cyclotomic* Iwasawa theory of  $A$ , and the Euler system of Heegner points, which pertains to the *anticyclotomic* Iwasawa theory of  $A$  (and a suitable quadratic imaginary field).

The proof of Theorem A relies on Hida’s theory of  $p$ -adic families of modular forms. Together with the work of Kato and Coleman–Perrin-Riou mentioned above, the exceptional zero formula proved by Bertolini and Darmon [2], and Nekovář’s theory of Selmer complexes [25] are the key ingredients in our proof.

- Remark 1*
1. Assume that  $L(A/\mathbf{Q}, s)$  has a simple zero at  $s = 1$ . By the theorem of Gross–Zagier–Kolyvagin,  $A(\mathbf{Q})$  has rank one and  $A(\mathbf{Q}) \otimes \mathbf{Q}_p = H_f^1(\mathbf{Q}, V_p(A))$  is generated by  $\mathbf{P}$ . By Theorem A,  $\zeta^{\text{BK}}$  is equal to  $\log_A(\mathbf{P}) \cdot \mathbf{P}$ , up to a non-zero *rational* factor. According to [5, Corollaire 2],  $\log_A(\mathbf{P}) \in \mathbf{Q}_p^*$  is transcendental over  $\mathbf{Q}$ , so that  $\zeta^{\text{BK}} \notin A(\mathbf{Q}) \otimes \overline{\mathbf{Q}}$ . In particular,  $\zeta^{\text{BK}}$  does not come from a rational point in  $A(\mathbf{Q}) \otimes \mathbf{Q}$ .
  2. Bertolini and Darmon have recently announced [3] a proof of Perrin-Riou’s conjecture for elliptic curves with good ordinary reduction at  $p$ . Their approach, based on the  $p$ -adic Beilinson formula proved in *loc. cit.* and the  $p$ -adic Gross–Zagier formula proved in [4], is markedly different from ours.

Combining Theorem A, the results of Kato and Kolyvagin’s method, we deduce the following result.

**Theorem B**  $\zeta^{\text{BK}}$  is non-zero if and only if  $\text{ord}_{s=1} L(A/\mathbf{Q}, s) \leq 1$ .

### 1.2 $p$ -adic Gross–Zagier formulae

Let  $\chi_{\text{cyc}}: G_\infty \cong 1 + p\mathbf{Z}_p$  denote the  $p$ -adic cyclotomic character. For every  $s \in \mathbf{Z}_p$ , set  $L_p(A/\mathbf{Q}, s) := \chi_{\text{cyc}}^{s-1}(L_p(A/\mathbf{Q}))$ . Then  $L_p(A/\mathbf{Q}, s)$  is a  $p$ -adic analytic function on  $\mathbf{Z}_p$ . Since  $A$  has split multiplicative reduction at  $p$ , the phenomenon of exceptional zeros discovered in [23] implies that  $L_p(A/\mathbf{Q}, 1) = 0$  independently of whether  $L(A/\mathbf{Q}, s)$  vanishes or not at  $s = 1$ . The *exceptional zero conjecture* formulated in *loc. cit.* states that  $\text{ord}_{s=1} L_p(A/\mathbf{Q}, s) = \text{ord}_{s=1} L(A/\mathbf{Q}, s) + 1$ , and that the leading term in the Taylor expansion of  $L_p(A/\mathbf{Q}, s)$  at  $s = 1$  equals, up to a non-zero rational factor, the determinant of the lattice  $A^\dagger(\mathbf{Q})/\text{torsion}$ , computed with respect to the extended cyclotomic  $p$ -adic height pairing. Here  $A^\dagger(\mathbf{Q})$  is the extended Mordell–Weil group, whose elements are pairs  $(P, y_P) \in A(\mathbf{Q}) \times \mathbf{Q}_p^*$  such that  $\Phi_{\text{Tate}}(y_P) = P$ ; it is an extension of  $A(\mathbf{Q})$  by the  $\mathbf{Z}$ -module generated by the Tate period  $q_A = (0, q_A) \in A^\dagger(\mathbf{Q})$ . When  $L(A/\mathbf{Q}, 1) \neq 0$  the conjecture predicts

$$\frac{d}{ds} L_p(A/\mathbf{Q}, s)_{s=1} = \mathcal{L}_p(A) \frac{L(A/\mathbf{Q}, 1)}{\Omega_A^+},$$

where  $\mathcal{L}_p(A) = \log_p(q_A)/\text{ord}_p(q_A)$  is the  $\mathcal{L}$ -invariant of  $A/\mathbf{Q}_p$ . This formula was proved by Greenberg and Stevens [10]. (We give a slightly different proof of it in Theorem 5.2 below.)

Our second aim in this paper is to prove (a large part of) the above exceptional zero conjecture when  $\text{ord}_{s=1}L(A/\mathbf{Q}, s) = 1$  and, more generally, a two-variable  $p$ -adic Gross–Zagier formula for the Mazur–Kitagawa  $p$ -adic  $L$ -function of the Hida family attached to  $A/\mathbf{Q}$ . Let  $f \in S_2(\Gamma_0(Np), \mathbf{Z})$  be the weight-two newform associated with  $A/\mathbf{Q}$  by the modularity theorem, and let  $f_\infty = \sum_{n=1}^\infty a_n(k) \cdot q^n \in \mathcal{A}_U[[q]]$  be the Hida family passing through  $f$ . Here  $U \subset \mathbf{Z}_p$  is a  $p$ -adic disc centred at 2, and  $\mathcal{A}_U \subset \mathbf{Q}_p[[k - 2]]$  is the subring of power series in the variable  $k - 2$  which converge for  $k \in U$ . For every  $k \in U \cap \mathbf{Z}^{\geq 2}$ , the  $q$ -expansion  $f_k := \sum_{n=1}^\infty a_n(k) \cdot q^n \in S_k(\Gamma_1(Np), \mathbf{Z}_p)$  is an  $N$ -new  $p$ -ordinary Hecke eigenform of weight  $k$ , and  $f_2 = f$  (cf. Sect. 2.4). Thanks to the work of Mazur and Kitagawa [17] and Greenberg and Stevens [10], the  $p$ -adic  $L$ -functions of the forms  $f_k$ , for  $k \in U \cap \mathbf{Z}^{\geq 2}$ , can be packaged into a single two-variable  $p$ -adic  $L$ -function  $L_p(f_\infty, k, s) \in \mathcal{A}$ , where  $\mathcal{A} \subset \mathbf{Q}_p[[k - 2, s - 1]]$  is the ring of formal power series converging for every  $(k, s) \in U \times \mathbf{Z}_p$  (cf. Sect. 2.4). In particular one has  $L_p(f_\infty, 2, s) = L_p(A/\mathbf{Q}, s)$  and the exceptional zero phenomenon implies that  $L_p(f_\infty, k, s) \in \mathcal{J}$ , where  $\mathcal{J} \subset \mathcal{A}$  is the ideal of functions vanishing at  $(k, s) = (2, 1)$ .

Let  $\tilde{H}_f^1(\mathbf{Q}, V_p(A))$  be Nekovář’s extended Selmer group. It is a  $\mathbf{Q}_p$ -module, equipped with a natural inclusion  $A^\dagger(\mathbf{Q}) \otimes \mathbf{Q}_p \hookrightarrow \tilde{H}_f^1(\mathbf{Q}, V_p(A))$ , which is an isomorphism precisely when the  $p$ -primary part of the Tate–Shafarevich group of  $A/\mathbf{Q}$  is finite. In general  $\tilde{H}_f^1(\mathbf{Q}, V_p(A))$  is canonically isomorphic to the direct sum of the Bloch–Kato Selmer group  $H_f^1(\mathbf{Q}, V_p(A))$  and the 1-dimensional vector space  $\mathbf{Q}_p \cdot q_A$  generated by the Tate period of  $A/\mathbf{Q}_p$  (see Sect. 4.2). Using Nekovář’s results and ideas (especially [25, Section 11]), we introduce in Sect. 4 a canonical  $\mathbf{Q}_p$ -bilinear form

$$\langle -, - \rangle_p : \tilde{H}_f^1(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} \tilde{H}_f^1(\mathbf{Q}, V_p(A)) \longrightarrow \mathcal{J} / \mathcal{J}^2,$$

called the *cyclotomic height-weight pairing*. One can write

$$\langle -, - \rangle_p = \langle -, - \rangle_p^{\text{cyc}} \cdot \{s - 1\} + \langle -, - \rangle_p^{\text{wt}} \cdot \{k - 2\},$$

where  $\langle -, - \rangle_p^{\text{cyc}}$  and  $\langle -, - \rangle_p^{\text{wt}}$  are canonical  $\mathbf{Q}_p$ -valued pairings defined on  $\tilde{H}_f^1(\mathbf{Q}, V_p(A))$  and  $\{\cdot\} : \mathcal{J} \rightarrow \mathcal{J} / \mathcal{J}^2$  denotes the projection. It turns out that the restriction

$$\langle -, - \rangle_p^{\text{cyc}} : H_f^1(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} H_f^1(\mathbf{Q}, V_p(A)) \longrightarrow \mathbf{Q}_p$$

of  $\langle -, - \rangle_p^{\text{cyc}}$  to the Bloch–Kato Selmer group is the cyclotomic canonical  $p$ -adic height pairing, as defined, e.g. in [24, Section 7] (see Sect. 4.3.3 for more details). On the other hand, the *weight pairing*  $\langle -, - \rangle_p^{\text{wt}}$  is intrinsically associated with Hida’s  $p$ -ordinary deformation of  $T_p(A)$  (cf. Sect. 2.2). For every Selmer class  $x \in H_f^1(\mathbf{Q}, V_p(A))$ , define its *extended  $p$ -adic height-weight*

$$\tilde{h}_p(x) := \det \begin{pmatrix} \langle q_A, q_A \rangle_p & \langle q_A, x \rangle_p \\ \langle x, q_A \rangle_p & \langle x, x \rangle_p \end{pmatrix} \in \mathcal{J}^2 / \mathcal{J}^3. \tag{4}$$

Let  $\text{sign}(A/\mathbf{Q}) \in \{\pm 1\}$  be the sign in the functional equation of  $L(A/\mathbf{Q}, s)$ , and consider the condition

**(Loc)**  $L(A/\mathbf{Q}, 1) = 0$  and the restriction map  $\text{res}_p : H_f^1(\mathbf{Q}, V_p(A)) \rightarrow A(\mathbf{Q}_p) \widehat{\otimes} \mathbf{Q}_p$  is non-zero.

The work of Gross–Zagier–Kolyvagin guarantees that this condition is satisfied when  $A(\mathbf{Q})$  is infinite and (in particular) when  $L(A/\mathbf{Q}, s)$  has a simple zero at  $s = 1$ . We can finally state the two-variable  $p$ -adic Gross–Zagier formula mentioned above.

**Theorem C** *Assume that  $\text{sign}(A/\mathbf{Q}) = -1$  and that (Loc) holds true. Let  $\mathbf{P} \in A(\mathbf{Q}) \otimes \mathbf{Q}$  be as in Theorem A. Then  $L_p(f_\infty, k, s) \in \mathcal{J}^2$  and there exists a non-zero rational number  $\ell_2 \in \mathbf{Q}^*$  such that*

$$L_p(f_\infty, k, s) \pmod{\mathcal{J}^3} = \ell_2 \cdot \tilde{h}_p(\mathbf{P}).$$

Moreover,  $L_p(f_\infty, k, s) \in \mathcal{J}^3$  if and only if  $\mathbf{P} = 0$  (i.e.  $L(A/\mathbf{Q}, s)$  vanishes to order greater than one at  $s = 1$ ).

### 1.2.1 Application to the exceptional zero conjecture

Recalling that  $\log_p(q_A) \neq 0$  by [7], define the *Schneider height*

$$\langle -, - \rangle_p^{\text{Sch}} : H_f^1(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} H_f^1(\mathbf{Q}, V_p(A)) \longrightarrow \mathbf{Q}_p$$

as the symmetric,  $\mathbf{Q}_p$ -bilinear form which for  $x, y \in H_f^1(\mathbf{Q}, V_p(A))$  is given by the formula

$$\langle x, y \rangle_p^{\text{Sch}} := \langle x, y \rangle_p^{\text{cyc}} - \frac{\log_A(\text{res}_p(x)) \cdot \log_A(\text{res}_p(y))}{\log_p(q_A)}.$$

The terminology is justified by the fact that  $\langle -, - \rangle_p^{\text{Sch}}$  is the norm-adapted height constructed in [38] (cf. Section 7.14 of [25] and Chapter II, §6 of

[23]). As a consequence of Theorem C and the properties of  $\langle -, - \rangle_p$ , one deduces the following  $p$ -adic Gross–Zagier formula for  $L_p(A/\mathbf{Q}, s)$ , predicted by *Conjecture BSD( $p$ )-exceptional case* in [23, Chapter II, §10].

**Theorem D** *Assume that (Loc) holds true and let  $\mathbf{P} \in A(\mathbf{Q}) \otimes \mathbf{Q}$  be as in Theorem A. Then  $L_p(A/\mathbf{Q}, s)$  vanishes to order at least 2 at  $s = 1$ , and there exists a non-zero rational number  $\ell_3 \in \mathbf{Q}^*$  such that*

$$\frac{d^2}{ds^2} L_p(A/\mathbf{Q}, s)_{s=1} = \ell_3 \cdot \mathcal{L}_p(A) \cdot \langle \mathbf{P}, \mathbf{P} \rangle_p^{\text{Sch}}.$$

The preceding result enriches our repertoire of  $p$ -adic Gross–Zagier formulae for cyclotomic and anticyclotomic  $p$ -adic  $L$ -functions of elliptic curves, which already includes the main results of [1, 18, 30].

As  $\mathcal{L}_p(A) \neq 0$ , Theorem D implies that  $\text{ord}_{s=1} L_p(A/\mathbf{Q}, s) = 2$  precisely if  $\text{ord}_{s=1} L(A/\mathbf{Q}, s) = 1$  and  $\langle -, - \rangle_p^{\text{Sch}}$  is non-zero. On the other hand, it is not known that the Schneider height is non-zero when  $L(A/\mathbf{Q}, s)$  has a simple zero at  $s = 1$ .

### 1.2.2 The derivative of the improved $p$ -adic $L$ -function

As explained in [10], the restriction of  $L_p(f_\infty, k, s)$  to the vertical line  $s = 1$  admits a factorisation  $L_p(f_\infty, k, 1) = (1 - a_p(k)^{-1}) \cdot L_p^*(f_\infty, k)$  in  $\mathcal{A}_U$ . The results of [7, 10] imply that the function  $1 - a_p(k)^{-1}$  has a simple zero at  $k = 2$ . The following  $p$ -adic Gross–Zagier formula for the *improved  $p$ -adic  $L$ -function*  $L_p^*(f_\infty, k)$  is again a consequence of Theorem C and the properties of the height-weight pairing.

**Theorem E** *Assume that hypothesis (Loc) holds and that  $\text{sign}(A/\mathbf{Q}) = -1$ . Let  $\mathbf{P} \in A(\mathbf{Q}) \otimes \mathbf{Q}$  be as in Theorem A. Then  $L_p^*(f_\infty, 2) = 0$  and there exists a non-zero rational number  $\ell_4 \in \mathbf{Q}^*$  such that*

$$-\ell_4 \cdot \langle \mathbf{P}, \mathbf{P} \rangle_p^{\text{cyc}} = \frac{d}{dk} L_p^*(f_\infty, k)_{k=2} = 2\ell_4 \cdot \langle \mathbf{P}, \mathbf{P} \rangle_p^{\text{wt}}.$$

### 1.3 Outline of the proofs

We briefly sketch the strategy of the proofs of Theorems A and C, assuming for simplicity that  $L(A/\mathbf{Q}, s)$  has a simple zero at  $s = 1$ .

Denote by  $L_p^{\text{cc}}(f_\infty, k) := L_p(f_\infty, k, k/2) \in \mathcal{A}_U$  the restriction of  $L_p(f_\infty, k, s)$  to the *central critical line*  $s = k/2$ . According to the exceptional zero formula proved by Bertolini and Darmon [2],  $L_p^{\text{cc}}(f_\infty, k)$  has order

of vanishing 2 at  $k = 2$  and

$$\frac{d^2}{dk^2} L_p^{cc}(f_\infty, k)_{k=2} = \ell \cdot \log_A^2(\mathbf{P}), \tag{5}$$

where  $\ell \in \mathbf{Q}^*$  and  $\mathbf{P} \in A(\mathbf{Q}) \otimes \mathbf{Q}$  is a Heegner point. (See Sect. 2.5 for more details).

On the algebraic side, write  $\tilde{h}_p^{cc}: H_f^1(\mathbf{Q}, V_p(A)) \rightarrow \mathbf{Q}_p$  for the composition of the extended height-weight  $\tilde{h}_p$  with the morphism  $\mathcal{J}^2/\mathcal{J}^3 \rightarrow \mathbf{Q}_p$  which on the class of  $\alpha(k, s) \in \mathcal{J}^2$  takes the value  $\frac{d^2}{dk^2}\alpha(k, k/2)_{k=2}$ . The properties satisfied by the height-weight pairing (cf. Theorem 4.2) yield

$$\tilde{h}_p^{cc}(x) = \frac{1}{2} \log_A^2(\text{res}_p(x)), \tag{6}$$

for every Selmer class  $x$ . Equation (5) can then be rephrased as the  $p$ -adic Gross–Zagier formula

$$\frac{d^2}{dk^2} L_p^{cc}(f_\infty, k)_{k=2} = 2\ell \cdot \tilde{h}_p^{cc}(\mathbf{P}). \tag{7}$$

This shows that the formula displayed in Theorem C holds true, once one restricts both  $L_p(f_\infty, k, s)$  and  $\tilde{h}_p(\mathbf{P})$  to the central critical line  $s = k/2$ . Instead of trying to extend (7) to the  $(k, s)$ -plane directly, we first prove an analogue of Theorem C, in which the Heegner point  $\mathbf{P}$  is replaced by the Beilinson–Kato class  $\zeta^{\text{BK}}$ . Precisely, making use of the work of Kato and Ochiai, we prove in Sect. 5 the equality in  $\mathcal{J}^2/\mathcal{J}^3$ :

$$\log_A(\text{res}_p(\zeta^{\text{BK}})) \cdot L_p(f_\infty, k, s) \pmod{\mathcal{J}^3} = \frac{-1}{\text{ord}_p(q_A)} \left(1 - \frac{1}{p}\right)^{-1} \cdot \tilde{h}_p(\zeta^{\text{BK}}). \tag{8}$$

Combined with (5) and (6), this gives

$$\log_A^2(\text{res}_p(\zeta^{\text{BK}})) = \ell_1 \cdot \log_A^2(\mathbf{P}) \cdot \log_A(\text{res}_p(\zeta^{\text{BK}})),$$

where  $\ell_1 := -2\ell \cdot \text{ord}_p(q_A)(1 - p^{-1})$ . We then show that  $\text{res}_p(\zeta^{\text{BK}}) \neq 0$  and deduce Theorem A. Now, thanks to the theorem of Gross–Zagier–Kolyvagin, one has  $\zeta^{\text{BK}} = \lambda \cdot \mathbf{P}$ , with  $\lambda = \log_A(\text{res}_p(\zeta^{\text{BK}}))/\log_A(\mathbf{P}) \in \mathbf{Q}_p^*$ . Then  $\tilde{h}_p(\zeta^{\text{BK}}) = \lambda^2 \cdot \tilde{h}_p(\mathbf{P})$ . If one sets  $\ell_2 := 2\ell$ , Theorem A and Eq. (8) yield Theorem C, namely

$$L_p(f_\infty, k, s) \pmod{\mathcal{J}^3} = \ell_2 \cdot \tilde{h}_p(\mathbf{P}).$$



*Organisation of the paper.* Section 2 recalls the known results needed in the rest of the paper. This includes some basic facts from Hida’s theory, the main result of [2] mentioned above, Ochiai’s construction of a *two variable big dual exponential* and a general version of Kato’s reciprocity law. In Sect. 3 we compute the *derivative* of Ochiai’s big dual exponential. Section 4 introduces the height-weight pairing  $\langle\langle -, - \rangle\rangle_p$  and discusses its basic properties. In Sect. 5, we use the computations carried out in Sect. 3 to prove certain *exceptional zero Rubin’s formulae*, relating the big dual exponential and the height-weight pairing. Combining these formulae with Kato’s work, we are able to prove a variant of the main result of [10] and to prove the key equality (8) appearing above. Finally, in Sect. 6 we prove the results stated above.

## 2 Hida families, exceptional zeros and Euler systems

### 2.1 The Hida family

Set  $\Gamma := 1 + p\mathbf{Z}_p$  and  $\Lambda := \mathbf{Z}_p[[\Gamma]]$ . Let  $C$  be a finite, flat  $\Lambda$ -algebra. A continuous  $\mathbf{Z}_p$ -algebra morphism  $v: C \rightarrow \overline{\mathbf{Q}}_p$  is an *arithmetic point of weight  $k$*  and *character  $\chi$*  if its restriction to  $\Gamma$  under the structural morphism is of the form  $\gamma \mapsto \gamma^{k-2} \cdot \chi(\gamma)$ , for an integer  $k \geq 2$  and a character  $\chi: \Gamma \rightarrow \overline{\mathbf{Q}}_p^*$  of finite order. Denote by  $\mathcal{X}^{\text{arith}}(C)$  the set of arithmetic points of  $C$ .

Let  $f = \sum_{n=1}^{\infty} a_n(A) \cdot q^n \in S_2(\Gamma_0(Np), \mathbf{Z})$  be the weight-two newform attached to  $A/\mathbf{Q}$  by the modularity theorem of Wiles, Taylor–Wiles *et alii*. According to the work of Hida [13, 14] there exists an *R-adic eigenform* of tame level  $N$ :

$$\mathbf{f} = \sum_{n=1}^{\infty} \mathbf{a}_n \cdot q^n \in R[[q]]$$

passing through  $f$ . Here  $R = R_f$  is a *normal* local Noetherian domain, finite and flat over  $\Lambda$ , and  $\mathbf{f}$  is a formal power series with coefficients in  $R$  satisfying the following properties. For every arithmetic point  $v \in \mathcal{X}^{\text{arith}}(R)$  of weight  $k \geq 2$  and character  $\chi$ , the *v-specialisation*

$$f_v := \sum_{n=1}^{\infty} v(\mathbf{a}_n) \cdot q^n \in S_k(\Gamma_0(Np^r), \chi\omega^{2-k})$$

is the  $q$ -expansion of an  $N$ -new  $p$ -ordinary Hecke eigenform of level  $Np^r$ , weight  $k$ , and character  $\chi \cdot \omega^{2-k}$ . Here  $r$  is the smallest positive integer such that  $1 + p^r\mathbf{Z}_p \subset \ker(\chi)$  and  $\omega$  is the Teichmüller character. Moreover, there exists a distinguished arithmetic point  $\psi = v_f \in \mathcal{X}^{\text{arith}}(R)$  of weight 2 and trivial character such that

$$f = f_\psi.$$

With the notations of Section 1 of [13], let  $h^o(N; \mathbf{Z}_p)$  be the universal  $p$ -ordinary Hecke algebra of tame level  $N$ . Diamond operators give a morphism of  $\mathbf{Z}_p$ -algebras  $[\cdot]: \Lambda \rightarrow h^o(N; \mathbf{Z}_p)$ , making  $h^o(N; \mathbf{Z}_p)$  a free, finitely generated  $\Lambda$ -module [14, Theorem 3.1]. (We assume here that  $[\cdot]$  is normalised as in Section 1.4 of [26].) The ring  $R$ , denoted  $\mathcal{I}(\mathcal{K})$  in [13], is the integral closure of  $\Lambda$  in the primitive component  $\mathcal{K} = \mathcal{K}_f$  of  $h^o(N; \mathbf{Z}_p) \otimes_\Lambda \text{Frac}(\Lambda)$  to which  $f$  belongs [13, Corollary 1.3].

Let  $\nu \in \mathcal{X}^{\text{arith}}(R)$ . By [13, Corollary 1.4] the localisation of  $R$  at the kernel of  $\nu$  is a discrete valuation ring, unramified over the localisation of  $\Lambda$  at  $\Lambda \cap \ker(\nu)$ . In particular, fix a topological generator  $\gamma_0 \in \Gamma$ , let  $\varpi := \gamma_0 - 1 \in \Lambda$  and write  $\mathfrak{p} = \mathfrak{p}_\psi := \ker(\psi)$ . Then

$$\mathfrak{p}R_{\mathfrak{p}} = \varpi \cdot R_{\mathfrak{p}}, \tag{9}$$

i.e.  $\varpi$  is a prime element of  $R_{\mathfrak{p}}$ .

### 2.2 Hida’s $R$ -adic representation

Let  $\mathbb{T} = \mathbb{T}_{\mathbf{f}}$  be the  $p$ -ordinary  $R$ -adic representation attached by Hida to  $\mathbf{f}$  in [13, Theorem 2.1]. More precisely, let  $J_\infty^o[p^\infty]$  be the ‘big’  $p$ -divisible group appearing in Section 8 of [13], which is a  $h^o(N; \mathbf{Z}_p)$ -module of cofinite rank. We define  $\mathbb{T} := \text{Hom}_{\mathbf{Z}_p}(J_\infty^o[p^\infty], \mu_{p^\infty}) \otimes_{h^o(N; \mathbf{Z}_p)} R$ . It is a rank-two  $R$ -module, equipped with a continuous  $R$ -linear action of  $G_{\mathbf{Q}}$ , which is unramified at every rational prime  $l \nmid Np$ . According to Théorème 7 of [22] our assumption on the irreducibility of  $A_p$  implies that  $\mathbb{T}$  is a free  $R$ -module of rank two and that

$$\text{Trace}(\text{Frob}_l | \mathbb{T}) = \mathbf{a}_l; \quad \det(\text{Frob}_l | \mathbb{T}) = l[l]$$

for every  $l \nmid Np$ , where  $\text{Frob}_l$  is an arithmetic Frobenius at  $l$ ,  $[\cdot]: \Gamma \subset \Lambda \rightarrow R$  is the structural morphism, and  $\langle \cdot \rangle: \mathbf{Z}_p^* \rightarrow \Gamma$  is the projection to principal units.<sup>1</sup>

#### 2.2.1 Ramification at $p$

Let  $G_p := G_{\mathbf{Q}_p} \hookrightarrow G_{\mathbf{Q}}$  be the decomposition group determined by our choice of  $i_p: \overline{\mathbf{Q}} \hookrightarrow \overline{\mathbf{Q}}_p$  and let  $I_p := I_{\mathbf{Q}_p}$  be its inertia subgroup. By *loc. cit.* (see also [26, Section 1.5]) there exists an exact sequence of  $R[G_p]$ -modules

<sup>1</sup> Théorème 7 of [22] proves these facts assuming that the residual Galois representation  $\overline{\rho}_{\mathbf{f}}$  of  $\mathbb{T}$  is absolutely irreducible. As pointed out to us by J. Nekovář, *loc. cit.* also requires  $\overline{\rho}_{\mathbf{f}}$  to be  $p$ -distinguished (see [9]). As  $\overline{\rho}_{\mathbf{f}} \cong A_p$  and  $p \neq 2$ , this hypothesis is automatically satisfied in our case, by Tate’s theory of  $p$ -adic uniformisation.

$$0 \longrightarrow \mathbb{T}^+ \xrightarrow{i^+} \mathbb{T} \xrightarrow{p^-} \mathbb{T}^- \longrightarrow 0, \tag{10}$$

where  $\mathbb{T}^+$  and  $\mathbb{T}^-$  are free  $R$ -modules of rank 1 and  $\mathbb{T}^-$  is unramified. Moreover, write  $\tilde{\mathbf{a}}_p: G_p \rightarrow G_p/I_p \rightarrow R^*$  for the unramified character sending the arithmetic Frobenius  $\text{Frob}_p \in G_p/I_p$  to the  $p$ -th Hecke operator  $\mathbf{a}_p$ . Then  $G_p$  acts on  $\mathbb{T}^-$  via  $\tilde{\mathbf{a}}_p$  and on  $\mathbb{T}^+$  via  $\tilde{\mathbf{a}}_p^{-1} \chi_{\text{cyc}} [\kappa_{\text{cyc}}]$ , i.e.

$$\mathbb{T}^+ \cong R(\chi_{\text{cyc}}[\kappa_{\text{cyc}}] \tilde{\mathbf{a}}_p^{-1}); \quad \mathbb{T}^- \cong R(\tilde{\mathbf{a}}_p). \tag{11}$$

As in the introduction,  $\chi_{\text{cyc}}: G_{\mathbf{Q}} \rightarrow \mathbf{Z}_p^*$  is the  $p$ -adic cyclotomic character, and  $\kappa_{\text{cyc}}: G_{\mathbf{Q}} \rightarrow \Gamma$  is the composition of  $\chi_{\text{cyc}}$  with the projection to principal units.

### 2.2.2 Specialisations

Let  $\nu \in \mathcal{X}^{\text{arith}}(R)$ , let  $K_\nu := \text{Frac}(\nu(R))$  and let  $V_\nu$  be the contragredient of the  $K_\nu$ -adic Deligne representation of  $G_{\mathbf{Q}}$  attached to the eigenform  $f_\nu$ . It follows from [29, Theorem 1.4.3] that the representation  $\mathbb{T}_\nu := \mathbb{T} \otimes_{R,\nu} \nu(R)$  is canonically isomorphic to a Galois-stable  $\nu(R)$ -lattice in  $V_\nu$ ; in particular there is a natural isomorphism

$$\mathbb{T}_\nu \otimes_{\mathbf{Z}_p} \mathbf{Q}_p \cong V_\nu. \tag{12}$$

We identify from now on  $\mathbb{T}_\nu$  with a Galois-stable  $\nu(R)$ -lattice in  $V_\nu$ .

Considering the arithmetic point  $\psi \in \mathcal{X}^{\text{arith}}(R)$  corresponding to  $f$ , one has  $\mathbb{T}_\psi \otimes_{\mathbf{Z}_p} \mathbf{Q}_p \cong V_p(A)$ . Indeed, the irreducibility of  $A_p$  implies that  $\psi$  induces a canonical isomorphism of  $\mathbf{Z}_p[G_{\mathbf{Q}}]$ -modules

$$\pi_f: \mathbb{T}_\psi \cong T_p(A). \tag{13}$$

Recall the Tate parametrisation  $\Phi_{\text{Tate}}$  introduced in (3). As  $q_A$  has positive valuation,  $\Phi_{\text{Tate}}$  induces on the  $p$ -adic Tate modules a short exact sequence of  $\mathbf{Z}_p[G_p]$ -modules

$$0 \longrightarrow \mathbf{Z}_p(1) \xrightarrow{i^+} T_p(A) \xrightarrow{p^-} \mathbf{Z}_p \longrightarrow 0. \tag{14}$$

We also write  $T_p(A)^+ := \mathbf{Z}_p(1)$  and  $T_p(A)^- := \mathbf{Z}_p$ . By (11) there are isomorphisms of  $G_p$ -modules

$$\pi_f^+: \mathbb{T}_\psi^+ := \mathbb{T}^+ \otimes_{R,\psi} \mathbf{Z}_p \cong \mathbf{Z}_p(1); \quad \pi_f^-: \mathbb{T}_\psi^- := \mathbb{T}^- \otimes_{R,\psi} \mathbf{Z}_p \cong \mathbf{Z}_p. \tag{15}$$

We can, and will, normalise  $\pi_f^\pm$  in such a way that they are compatible with  $\pi_f$ .

### 2.3 $p$ -adic $L$ -functions

Let  $G_\infty$  and  $\Lambda_{\text{cyc}}$  be as in the introduction, and define  $\overline{R} := R[[G_\infty]] = R \widehat{\otimes}_{\mathbf{Z}_p} \Lambda_{\text{cyc}}$ . Under our assumptions Section 3.4 of [9] (using ideas from [10, 17]) attaches to  $\mathbf{f}$  an element

$$L_p(\mathbf{f}) \in \overline{R},$$

unique up to multiplication by units in  $R$ , which interpolates the Mazur–Tate–Teitelbaum  $p$ -adic  $L$ -functions of the arithmetic specialisations of  $\mathbf{f}$ . More precisely, given  $\nu \in \mathcal{X}^{\text{arith}}(R)$ , let  $\overline{R}_\nu := \nu(R)[[G_\infty]]$  and write again  $\nu: \overline{R} \rightarrow \overline{R}_\nu$  for the morphism of  $\Lambda_{\text{cyc}}$ -algebras induced by  $\nu$ . Fix also a canonical Shimura period  $\Omega_\nu \in \mathbb{C}^*$  for  $f_\nu$  (see [9, Sec. 3.1]). Then, for every  $\nu \in \mathcal{X}^{\text{arith}}(R)$ , there exists a scalar  $\lambda_\nu \in \nu(R)^*$  such that

$$\nu(L_p(\mathbf{f})) = \lambda_\nu \cdot L_p(f_\nu) \in \overline{R}_\nu,$$

where  $L_p(f_\nu) = L_{p, \Omega_\nu}(f_\nu)$  is the Mazur–Tate–Teitelbaum  $p$ -adic  $L$ -function attached in [23] to  $f_\nu$ , normalised with respect to  $\Omega_\nu$  (see also [10, Section 4]). It is characterised by the following interpolation property: let  $k_\nu$  be the weight of  $\nu$ . Then for every finite order character  $\chi: G_\infty \rightarrow \overline{\mathbf{Q}}_p^*$  and every integer  $0 < s_0 < k_\nu$

$$\chi \cdot \chi_{\text{cyc}}^{s_0-1}(L_p(f_\nu)) = \nu(\mathbf{a}_p)^{-m} \left( 1 - \frac{\chi \omega^{1-s_0}(p) \cdot p^{s_0-1}}{\nu(\mathbf{a}_p)} \right) L^{\text{alg}}(f_\nu, \chi \omega^{1-s_0}, s_0), \tag{16}$$

where  $m$  is the  $p$ -adic valuation of the conductor of  $\chi$  and

$$L^{\text{alg}}(f_\nu, \chi \omega^{1-s_0}, s_0) := \tau(\chi \omega^{1-s_0}) p^{m(s_0-1)} (s_0 - 1)! \frac{L(f_\nu, \chi^{-1} \omega^{s_0-1}, s_0)}{(2\pi i)^{s_0-1} \Omega_\nu} \in \overline{\mathbf{Q}}.$$

For a Dirichlet character  $\mu$ ,  $\tau(\mu)$  is the Gauss sum of  $\mu$  and  $L(f_\nu, \mu, s)$  is the Hecke  $L$ -function of  $f_\nu$  twisted by  $\mu$ .

According to [11, Sec. 3], under our assumptions we can choose  $\Omega_\psi = \Omega_A^+$  as the real Néron period of  $A/\mathbf{Q}$ , so that  $L_p(A/\mathbf{Q}) := L_p(f_\psi)$  is the  $p$ -adic  $L$ -function of  $A/\mathbf{Q}$ . Here we insist to make this choice and to normalise  $L_p(\mathbf{f})$  by requiring  $\lambda_\psi = 1$ , i.e.

$$\psi(L_p(\mathbf{f})) = L_p(A/\mathbf{Q}). \tag{17}$$

Then  $L_p(\mathbf{f})$  is a well-defined element of  $\overline{R}$  up to multiplication by units  $\alpha \in R^*$  such that  $\psi(\alpha) = 1$ .

### 2.3.1 Exceptional zeros

The  $p$ -adic multiplier

$$E_p(v, \chi \cdot \chi_{\text{cyc}}^j) := \left( 1 - \frac{\chi \omega^{-j}(p) \cdot p^j}{v(\mathbf{a}_p)} \right)$$

which appears in the interpolation formula (16) is responsible for the phenomenon of *exceptional zeros* mentioned in the introduction (cf. [23]). Indeed  $\psi(\mathbf{a}_p) = a_p(A) = +1$  in our setting, and  $E_p(\psi, 1) = 0$ . In particular, let  $I = I_{\text{cyc}}$  be the augmentation ideal of  $\Lambda_{\text{cyc}}$  and let  $\overline{\mathfrak{p}} = (\mathfrak{p}, I)$  be the ideal of  $\overline{R}$  generated by  $I$  and  $\mathfrak{p}$ . Then

$$L_p(\mathbf{f}) \in \overline{\mathfrak{p}}; \quad L_p(A/\mathbf{Q}) \in I. \tag{18}$$

### 2.3.2 The improved $p$ -adic $L$ -function

Let  $\varepsilon : \overline{R} \rightarrow R$  be the augmentation map. By [9, Remark 3.4.5] (generalising a result of [10]) there is a factorisation

$$\varepsilon(L_p(\mathbf{f})) = \left( 1 - \mathbf{a}_p^{-1} \right) \cdot L_p^*(\mathbf{f}), \tag{19}$$

for an element  $L_p^*(\mathbf{f}) \in R$  called the *improved  $p$ -adic  $L$ -function* of  $\mathbf{f}$ .

## 2.4 The analytic Mellin transform

As explained in [10, Section 2.6] (see also [26, Section 1.4.7]), there exist a disc  $U \subset \mathbf{Z}_p$  centred at 2 and a unique morphism of  $\Lambda$ -algebras

$$\mathbb{M} = \mathbb{M}_f : R \longrightarrow \mathcal{A}_U$$

such that  $\mathbb{M}(r)|_{k=2} = \psi(r)$  for every  $r \in R$ . Here  $\mathcal{A}_U \subset \mathbf{Q}_p[[k-2]]$  (see Sect. 1.2) is endowed with the structure of a  $\Lambda$ -algebra via the character  $\Gamma \rightarrow \mathcal{A}_U$  which sends  $\gamma \in \Gamma$  to the power series  $\gamma^{k-2} := \exp_p((k-2) \cdot \log_p(\gamma))$ . The morphism  $\mathbb{M}$  is called the *Mellin transform centred at  $k = 2$* . For every  $n \in \mathbf{N}$ , set  $a_n(k) := \mathbb{M}(\mathbf{a}_n)$  and define

$$f_\infty := \sum_{n=1}^{\infty} a_n(k) \cdot q^n \in \mathcal{A}_U[[q]].$$

Let  $\mathcal{A} \subset \mathbf{Q}_p[[k - 2, s - 1]]$  and  $\mathcal{J} \subset \mathcal{A}$  be as in Sect. 1.2. Then  $\mathcal{A}$  has a structure of  $\Lambda_{\text{cyc}}$ -algebra, induced by the character  $G_\infty \rightarrow \mathcal{A}$  mapping  $g \in G_\infty$  to  $\chi_{\text{cyc}}(g)^{s-1} := \exp_p((s - 1) \cdot \log_p(\chi_{\text{cyc}}(g)))$ . Moreover there exists a unique morphism of  $\Lambda_{\text{cyc}}$ -algebras

$$\overline{\mathbb{M}} = \overline{\mathbb{M}}_f : \overline{R} \longrightarrow \mathcal{A}$$

whose restriction to  $R$  equals  $\mathbb{M}$ , called the *Mellin transform centred at*  $(k, s) = (2, 1)$ . Define the *Mazur–Kitagawa  $p$ -adic  $L$ -function of*  $f_\infty$ :

$$L_p(f_\infty, k, s) := \overline{\mathbb{M}}(L_p(\mathbf{f})) \in \mathcal{J} \tag{20}$$

as the Mellin transform of  $L_p(\mathbf{f}) \in \overline{R}$ . More precisely, it is a well-defined element of  $\mathcal{A}$  up to multiplication by a nowhere-vanishing function  $\alpha(k) \in \mathcal{A}_U$  such that  $\alpha(2) = 1$ , and belongs to  $\mathcal{J}$  by Eq. (18). In the introduction we defined  $L_p(A/\mathbf{Q}, s) := \chi_{\text{cyc}}^{s-1}(L_p(A/\mathbf{Q})) = \overline{\mathbb{M}}(L_p(A/\mathbf{Q}))$ , so that Eq. (17) gives

$$L_p(f_\infty, 2, s) = L_p(A/\mathbf{Q}, s). \tag{21}$$

According to Theorem 5.15 of [10]  $L_p(f_\infty, k, s)$  satisfies the functional equation

$$\Lambda_p(f_\infty, k, s) = -\text{sign}(A/\mathbf{Q}) \cdot \Lambda_p(f_\infty, k, k - s), \tag{22}$$

where  $\Lambda_p(f_\infty, k, s) := \langle N \rangle^{s/2} \cdot L_p(f_\infty, k, s)$ ,  $\langle \cdot \rangle : \mathbf{Z}_p^* \twoheadrightarrow 1 + p\mathbf{Z}_p$  denotes the projection to principal units and  $\text{sign}(A/\mathbf{Q}) \in \{\pm 1\}$  is the sign in the functional equation satisfied by the Hasse–Weil  $L$ -function of  $A/\mathbf{Q}$ . Note that the *central critical line*  $s = k/2$  is the ‘centre of symmetry’ of the functional equation. In particular, when  $\text{sign}(A/\mathbf{Q}) = +1$ ,  $L_p(f_\infty, k, k/2)$  vanishes identically.

Write  $L_p^*(f_\infty, k) := \mathbb{M}(L_p^*(\mathbf{f})) \in \mathcal{A}_U$ . As  $\mathbb{M} \circ \varepsilon = \overline{\mathbb{M}}(\cdot)|_{s=1}$ , Eq. (19) gives a factorisation in  $\mathcal{A}_U$ :

$$L_p(f_\infty, k, 1) = (1 - a_p(k)^{-1}) \cdot L_p^*(f_\infty, k). \tag{23}$$

The function  $L_p^*(f_\infty, k)$  is called the *improved  $p$ -adic  $L$ -function of*  $f_\infty$ .

### 2.5 The Bertolini–Darmon exceptional zero formula

The following result has been proved in [2], assuming a mild technical condition subsequently removed in [21, Section 6]. Denote by  $L_p^{\text{cc}}(f_\infty, k) \in \mathcal{A}_U$  the restriction of  $L_p(f_\infty, k, s)$  to the central critical line  $s = k/2$ .

**Theorem 2.1** *There exist a non-zero rational number  $\ell \in \mathbf{Q}^*$  and a rational point  $\mathbf{P} \in A(\mathbf{Q}) \otimes \mathbf{Q}$  such that*

$$\frac{d^2}{dk^2} L_p^{cc}(f_\infty, k)_{k=2} = \ell \cdot \log_A^2(\mathbf{P}).$$

Moreover,  $\mathbf{P}$  is non-zero if and only if  $L(A/\mathbf{Q}, s)$  has a simple zero at  $s = 1$ .

*Remark 2.2* Assume for simplicity that  $\text{sign}(A/\mathbf{Q}) = -1$  and that  $N \neq 1$  is not square-full (see [21] for the general case). As explained in [2], the definitions of  $\mathbf{P}$  and  $\ell$  rest on the choice of an auxiliary imaginary quadratic field  $K/\mathbf{Q}$  satisfying the following conditions. Let  $D_K$  and  $\epsilon_K : (\mathbf{Z}/D_K\mathbf{Z})^* \rightarrow \{\pm 1\}$  denote the discriminant and the quadratic character of  $K$  respectively.

- ( $\alpha$ )  $(D_K, Np) = 1$  and there is a factorisation  $Np = pN^+N^-$ , such that  $pN^-$  is square-free and a prime divisor of  $Np$  divides  $pN^-$  if and only if it is inert in  $K$ .
- ( $\beta$ ) The special value  $L(A/\mathbf{Q}, \epsilon_K, 1)$  is non-zero.

Then  $\mathbf{P}$  is defined as the trace to  $\mathbf{Q}$  of a Heegner point in  $A(K) \otimes \mathbf{Q}$ , coming from a parametrisation of  $A/\mathbf{Q}$  by the Shimura curve  $X_{N^+, pN^-}$  associated with an Eichler order of level  $N^+$  in the indefinite quaternion algebra of discriminant  $pN^-$ . The rational number  $\ell$  is defined by the relation

$$2\ell^{-1} = \eta_f \cdot \sqrt{D_K} \cdot \frac{L(A/\mathbf{Q}, \epsilon_K, 1)}{\Omega_A^-} \in \mathbf{Q}^*.$$

Here  $\Omega_A^- \in i\mathbf{R}^*$  is such that  $\Omega_A^+ \cdot \Omega_A^-$  is the Petersson norm of  $f$ . The constant  $\eta_f := \langle \phi_f, \phi_f \rangle \in \mathbf{Q}^*$  is the Petersson norm of a (suitably normalised) Jacquet–Langlands lift of  $f$  to an eigenform  $\phi_f$  on the definite quaternion algebra of discriminant  $N^- \infty$  (cf. Sections 2.2 and 2.3 of [2]). Note that both  $\mathbf{P}$  and  $\ell$  depend on the choice of  $K/\mathbf{Q}$ , while the product  $\ell \cdot \log_A^2(\mathbf{P})$  does not.

## 2.6 Ochiai’s big dual exponential

We recall here the definition of Ochiai’s *two-variable big dual exponential* for  $\mathbb{T}$ , constructed in [27] using previous work of Coleman–Perrin-Riou.

### 2.6.1 Notations

For every  $n \in \mathbf{N} \cup \{\infty\}$ , let  $\mathbf{Q}_{p,n}$  be as in the introduction. The Galois group of  $\mathbf{Q}_{p,\infty}/\mathbf{Q}_p$  is naturally identified with  $G_\infty = \text{Gal}(\mathbf{Q}_\infty/\mathbf{Q})$ , via the unique prime of  $\mathbf{Q}_\infty$  dividing  $p$ .

Given  $n \in \mathbf{N}$  and a  $p$ -adic representation  $V$  of  $G_p = G_{\mathbf{Q}_p}$ , let  $D_{\text{dR},n}(V) := H^0(\mathbf{Q}_{p,n}, V \otimes_{\mathbf{Q}_p} B_{\text{dR}})$ , where  $B_{\text{dR}}$  is Fontaine’s field of periods. It is equipped with a complete and separated decreasing filtration  $\text{Fil}^\bullet D_{\text{dR},n}(V)$ , arising from the filtration  $\{\text{Fil}^n B_{\text{dR}} := t^n B_{\text{dR}}^+\}_{n \in \mathbf{Z}}$ , where  $B_{\text{dR}}^+$  is the ring of integers of  $B_{\text{dR}}$  and  $t := \log(\zeta_\infty)$ , for a fixed generator  $\zeta_\infty \in \mathbf{Z}_p(1)$ . Denote by  $\text{tg}_n(V) := D_{\text{dR},n}(V)/\text{Fil}^0$  the tangent space of the  $G_{\mathbf{Q}_{p,n}}$ -representation  $V$ . If  $n = 0$ , it will be omitted from the notations (e.g.  $D_{\text{dR}}(V) = D_{\text{dR},0}(V)$ ). If  $V$  is a de Rham representation of  $G_p$ , there is a natural  $\text{Gal}(\mathbf{Q}_{p,n}/\mathbf{Q}_p)$ -equivariant isomorphism of filtered modules  $D_{\text{dR},n}(V) = D_{\text{dR}}(V) \otimes_{\mathbf{Q}_p} \mathbf{Q}_{p,n}$ .

Let  $S$  be a complete, local Noetherian ring with finite residue field of characteristic  $p$  and let  $\mathbb{X}$  be a free  $S$ -module of finite rank, equipped with a continuous  $S$ -linear action of  $G_p$ . Define

$$H_{\text{Iw}}^q(\mathbf{Q}_{p,\infty}, \mathbb{X}) := \varprojlim_{n \in \mathbf{N}} H^q(\mathbf{Q}_{p,n}, \mathbb{X}),$$

where the limit is taken with respect to the corestriction maps in Galois cohomology. Galois conjugation equips  $H_{\text{Iw}}^q(\mathbf{Q}_{p,\infty}, \mathbb{X})$  with the structure of a module over the completed group algebra  $\overline{S} := S[[G_\infty]]$ .

For every  $R$ -module  $\mathbb{M}$  and every  $\nu \in \mathcal{X}^{\text{arith}}(R)$ , write  $\mathbb{M}_\nu := \mathbb{M} \otimes_{R,\nu} \nu(R)$ .

### 2.6.2 de Rham modules

Set  $\check{\mathbb{T}} := \text{Hom}_R(\mathbb{T}, R)$  and  $\check{\mathbb{T}}^\pm := \text{Hom}_R(\mathbb{T}^\mp, R)$ . Let  $\nu \in \mathcal{X}^{\text{arith}}(R)$ . Since  $\mathbb{T}_\nu$  is a Galois-stable lattice in  $V_\nu$  by (12),  $\check{\mathbb{T}}_\nu$  is a Galois-stable lattice in the Deligne representation  $\check{V}_\nu = \text{Hom}_{K_\nu}(V_\nu, K_\nu)$  of  $f_\nu$ , where  $K_\nu := \text{Frac}(\nu(R))$ . Define  $V_\nu^\pm := \mathbb{T}_\nu^\pm \otimes_{\mathbf{Z}_p} \mathbf{Q}_p$  and  $\check{V}_\nu^\pm := \check{\mathbb{T}}_\nu^\pm \otimes_{\mathbf{Z}_p} \mathbf{Q}_p$ . According to (10), for  $M_\nu \in \{V_\nu, \check{V}_\nu\}$  there is a short exact sequence of  $K_\nu[G_p]$ -modules

$$0 \longrightarrow M_\nu^+ \xrightarrow{i^+} M_\nu \xrightarrow{p^-} M_\nu^- \longrightarrow 0.$$

The representation  $\check{V}_\nu$  is known to be de Rham, and then so is  $V_\nu$ . In addition,  $\text{Fil}^0 D_{\text{dR}}(\check{V}_\nu) = D_{\text{dR}}(\check{V}_\nu)$  and  $\text{Fil}^m D_{\text{dR}}(\check{V}_\nu)$  is 1-dimensional over  $K_\nu$  (resp., zero) for every  $1 \leq m \leq k_\nu - 1$  (resp.,  $m \geq k_\nu$ ), where  $k_\nu \geq 2$  is the weight of  $\nu$ . It follows easily from (11) that  $p^- : V_\nu \twoheadrightarrow V_\nu^-$  and  $i^+ : \check{V}_\nu^+ \hookrightarrow \check{V}_\nu$  induce isomorphisms of  $K_\nu$ -modules

$$\text{Fil}^0 D_{\text{dR},n}(V_\nu) \cong D_{\text{dR},n}(V_\nu^-); \quad D_{\text{dR},n}(\check{V}_\nu^+(1)) \cong \text{tg}_n(\check{V}_\nu(1)) \tag{24}$$

for every  $n \in \mathbf{N}$ , which we consider as equalities in what follows.



For every  $n \in \mathbf{N}$  the duality  $V_v \times \check{V}_v(1) \rightarrow K_v(1)$  induces a  $K_v$ -bilinear form

$$\langle -, - \rangle_{\text{dR}} = \langle -, - \rangle_{\text{dR},n} : \text{Fil}^0 D_{\text{dR},n}(V_v) \times \text{tg}_n(\check{V}_v(1)) \xrightarrow{\cup} D_{\text{dR},n}(K_v(1)) = \mathbf{Q}_{p,n} \otimes_{\mathbf{Q}_p} K_v.$$

Under the isomorphisms  $D_{\text{dR},n}(M) = D_{\text{dR}}(M) \otimes_{\mathbf{Q}_p} \mathbf{Q}_{p,n}$ , for  $M = V_v, \check{V}_v(1)$ , the pairing  $\langle -, - \rangle_{\text{dR},n}$  is identified with the  $\mathbf{Q}_{p,n}$ -base change of  $\langle -, - \rangle_{\text{dR},0}$ . Denote also by  $\langle -, - \rangle_{\text{dR}} : \text{Fil}^0 D_{\text{dR},n}(V_v) \times \text{tg}_n(\check{V}_v(1)) \rightarrow K_v(\mu_{p^{n+1}})$  the bilinear form defined by composing  $\langle -, - \rangle_{\text{dR}}$  with the multiplication map  $K_v \otimes_{\mathbf{Q}_p} \mathbf{Q}_{p,n} \rightarrow K_v(\mu_{p^{n+1}})$ .

### 2.6.3 Variation of periods

Let  $\mathbf{Q}_p^{\text{un}}$  be the maximal unramified extension of  $\mathbf{Q}_p$  and let  $\widehat{\mathbf{Z}}_p^{\text{un}}$  be the  $p$ -adic completion of its ring of integers. Following [27, Section 3], define the  $R$ -module

$$\mathcal{D} := H^0(\mathbf{Q}_p, \widehat{\mathbf{Z}}_p^{\text{un}} \widehat{\otimes}_{\mathbf{Z}_p} \check{\mathbb{T}}^+).$$

By (10) and (11), the  $G_p$ -module  $\check{\mathbb{T}}^+$  is unramified and free of rank one as an  $R$ -module. Then  $\mathcal{D}$  is also a free  $R$ -module of rank one, by Lemma 3.3 of [27]. As  $H^0(\mathbf{Q}_p^{\text{un}}, B_{\text{dR}}) = \widehat{\mathbf{Z}}_p^{\text{un}} \otimes_{\mathbf{Z}_p} \mathbf{Q}_p$ , this easily implies (cf. *loc. cit.*) that for every  $v \in \mathcal{X}^{\text{arith}}(R)$  there is a natural isomorphism of  $K_v$ -modules  $\mathcal{D}_v \otimes_{\mathbf{Z}_p} \mathbf{Q}_p \cong D_{\text{dR}}(\check{V}_v^+)$ . This induces a natural  $v$ -specialisation map

$$\mathcal{D} \longrightarrow D_{\text{dR}}(\check{V}_v^+).$$

For every  $X \in \mathcal{D}$ , denote by  $X_v$  the  $v$ -specialisation of  $X$ .

Fix a generator  $\mathcal{U}$  of the  $R$ -module  $\mathcal{D}$ , which also fixes a  $K_v$ -basis

$$\mathcal{U}_v(1) := \mathcal{U}_v \otimes \zeta_{\text{dR}} \in \text{tg}(\check{V}_v(1)).$$

Here  $\zeta_{\text{dR}} := \zeta_{\infty} \otimes \log(\zeta_{\infty})^{-1} \in D_{\text{dR}}(\mathbf{Q}_p(1))$  is the canonical  $\mathbf{Q}_p$ -basis associated to a generator  $\zeta_{\infty} \in \mathbf{Z}_p(1)$  and  $\cdot \otimes \zeta_{\text{dR}}$  is the natural isomorphism  $D_{\text{dR}}(\check{V}_v^+) \cong D_{\text{dR}}(\check{V}_v^+) \otimes_{\mathbf{Q}_p} D_{\text{dR}}(\mathbf{Q}_p(1)) = D_{\text{dR}}(\check{V}_v^+(1))$ .

By (13) and (15) one has  $\mathbb{T}_{\psi} \cong T_p(A)$  and  $\mathbb{T}_{\psi}^{-} \cong \mathbf{Z}_p$  respectively. Then  $\check{V}_{\psi}(1)$  and  $\check{V}_{\psi}^+(1)$  are identified with  $\check{V}_p(A)(1)$  and  $\mathbf{Q}_p(1)$  respectively, where  $\check{V}_p(A) := \text{Hom}_{\mathbf{Q}_p}(V_p(A), \mathbf{Q}_p)$ . In particular  $\zeta_{\text{dR}}$  can be identified with an element of  $\text{tg}(\check{V}_p(A)(1))$  (cf. Eq. (24)). After possibly multiplying  $\mathcal{U}$  by a unit in  $R$ , we can assume

$$\mathcal{U}_\psi(1) = \zeta_{\text{dR}} \in \text{tg}(\check{V}_p(A)(1)). \tag{25}$$

2.6.4 *Ochiai's two-variable big dual exponential*

For every  $v \in \mathcal{X}^{\text{arith}}(R)$  and every finite order character  $\chi : G_\infty \rightarrow \overline{\mathbf{Q}}_p^*$  write  $v \times \chi : \overline{R} \rightarrow \overline{\mathbf{Q}}_p$  for the unique morphism of  $\mathbf{Z}_p$ -algebras whose restriction to  $R$  (resp.,  $G_\infty$ ) equals  $v$  (resp.,  $\chi$ ). Let  $\mathbb{T}^?$  denote either  $\mathbb{T}$  or  $\mathbb{T}^\pm$ . For every  $\mathfrak{Z}_n \in H^q(\mathbf{Q}_{p,n}, \mathbb{T}^?)$  let  $\mathfrak{Z}_{n,v} \in H^q(\mathbf{Q}_{p,n}, V_v^?)$  be the image of  $\mathfrak{Z}_n$  under the morphism induced in cohomology by  $\mathbb{T}^? \rightarrow \mathbb{T}_v^? \subset V_v^?$ . Finally, for every  $n \in \mathbf{N}$ , write

$$\text{exp}^* = \text{exp}_{V_v^-}^* : H^1(\mathbf{Q}_{p,n}, V_v^-) \longrightarrow D_{\text{dR},n}(V_v^-) \cong \text{Fil}^0 D_{\text{dR},n}(V_v)$$

for the Bloch–Kato dual exponential map defined in [15, Chapter II].

The following proposition is proved in Section 5 of [27] (see in particular Proposition 5.1) building on previous work of Coleman [8] and Perrin-Riou [33].

**Proposition 2.3** *There exists a unique morphism of  $\overline{R}$ -modules*

$$\mathcal{L}_{\mathbb{T}} := \mathcal{L}_{\mathbb{T},\mathcal{U}} : H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbb{T}^-) \longrightarrow \overline{\mathfrak{p}} \subset \overline{R}$$

such that: for every  $\mathfrak{Z} = (\mathfrak{Z}_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbb{T}^-)$ , every weight-two arithmetic point  $v \in \mathcal{X}^{\text{arith}}(R)$  and every character  $\chi : \text{Gal}(\mathbf{Q}_{p,n}/\mathbf{Q}_p) \rightarrow \overline{\mathbf{Q}}_p^*$  of conductor  $p^m \leq p^{n+1}$

$$v \times \chi(\mathcal{L}_{\mathbb{T}}(\mathfrak{Z})) = \mathcal{E}(v, \chi) \sum_{\sigma \in \text{Gal}(\mathbf{Q}_{p,n}/\mathbf{Q}_p)} \chi(\sigma)^{-1} \cdot \langle \text{exp}^*(\mathfrak{Z}_{n,v}^\sigma), \mathcal{U}_v(1) \rangle_{\text{dR}},$$

where

$$\mathcal{E}(v, \chi) := \tau(\chi)v(\mathbf{a}_p)^{-m} \left( 1 - \frac{\chi(p)v(\mathbf{a}_p)}{p} \right)^{-1} \left( 1 - \frac{\chi(p)}{v(\mathbf{a}_p)} \right).$$

With a slight abuse of notation, write again

$$\mathcal{L}_{\mathbb{T}} : H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbb{T}) \longrightarrow \overline{\mathfrak{p}}$$

for the composition of  $\mathcal{L}_{\mathbb{T}}$  with the morphism  $H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbb{T}) \rightarrow H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbb{T}^-)$  induced by  $p^- : \mathbb{T} \rightarrow \mathbb{T}^-$ .

### 2.7 Beilinson–Kato elements and Kato’s reciprocity law

We now state a general version of Kato’s reciprocity law, following Section 6 of [28] (see in particular Corollary 6.17).

Denote by  $\mathbf{Q}(Np)/\mathbf{Q}$  the maximal algebraic extension of  $\mathbf{Q}$  which is unramified at every finite prime  $l \nmid Np$ , and set  $\mathfrak{G}_n := \text{Gal}(\mathbf{Q}(Np)/\mathbf{Q}_n)$ . Let  $S$  be a local complete Noetherian ring with finite residue field of characteristic  $p$  and let  $\mathbb{X}$  be a free  $S$ -module of finite rank, equipped with a continuous  $S$ -linear action of  $\mathfrak{G}_0$ . Define

$$H_{\text{Iw}}^q(\mathbf{Q}_\infty, \mathbb{X}) := \varprojlim_{n \in \mathbb{N}} H^q(\mathfrak{G}_n, \mathbb{X}),$$

where the limit is taken with respect to the corestriction maps. According to [37, Corollary B.3.6], if  $q = 1$  and  $S = \mathbf{Z}_p$ , the  $\Lambda_{\text{cyc}}$ -module  $H_{\text{Iw}}^1(\mathbf{Q}_\infty, \mathbb{X})$  is isomorphic to the inverse limit of the cohomology groups  $H^1(\mathbf{Q}_n, \mathbb{X})$ . In particular the definition of  $H_{\text{Iw}}^1(\mathbf{Q}_\infty, T_p(A))$  given here agrees with the one given in the introduction.

**Theorem 2.4** *There exists  $\mathfrak{Z}_\infty^{\text{BK}} = (\mathfrak{Z}_n^{\text{BK}})_{n \in \mathbb{N}} \in H_{\text{Iw}}^1(\mathbf{Q}_\infty, \mathbb{T})$  such that*

$$\mathcal{L}_{\mathbb{T}}(\text{res}_p(\mathfrak{Z}_\infty^{\text{BK}})) = L_p(\mathbf{f}).$$

*Remark 2.5* The preceding theorem comes principally from the work of Kato [16]. For every arithmetic point  $v \in \mathcal{X}^{\text{arith}}(R)$ , Kato [16] attaches to  $f_v$  a cyclotomic Euler system for  $\mathbb{T}_v$ , using *Beilinson–Kato elements* in the  $K_2$  of modular curves. In particular this gives a class  $\zeta_{\infty, v}^{\text{BK}} \in H_{\text{Iw}}^1(\mathbf{Q}_\infty, \mathbb{T}_v)$ , related to the  $p$ -adic  $L$ -function  $L_p(f_v)$  via the Perrin-Riou big dual exponential (see in particular Theorem 16.6 of [16]). According to Theorem 6.11 of [28], the classes  $\{\zeta_{\infty, v}^{\text{BK}}\}_v$  can be interpolated by a *two-variable Beilinson–Kato class*  $\mathfrak{Z}_\infty^{\text{BK}} \in H_{\text{Iw}}^1(\mathbf{Q}_\infty, \mathbb{T})$ , satisfying the conclusions of the theorem.

### 3 The derivative of Ochiai’s big dual exponential

Consider the morphism of  $\overline{R}$ -modules

$$\mathcal{L}_{\mathbb{T}}(\cdot, k, s) := \overline{\mathbf{M}} \circ \mathcal{L}_{\mathbb{T}}: H_{\text{Iw}}^1(\mathbf{Q}_{p, \infty}, \mathbb{T}^-) \longrightarrow \mathcal{J} \subset \mathcal{A},$$

defined as the composition of Ochiai’s big dual exponential  $\mathcal{L}_{\mathbb{T}}$  with the Mellin transform  $\overline{\mathbf{M}}$ ; note that  $\mathcal{L}_{\mathbb{T}}(\cdot, k, s)$  takes values in  $\mathcal{J} \subset \mathcal{A}$  since  $\overline{\mathbf{M}}$  maps by construction the ideal  $\overline{\mathfrak{p}}$  into  $\mathcal{J}$ . With a slight abuse of notation, denote again by  $\mathcal{L}_{\mathbb{T}}(\cdot, k, s): H_{\text{Iw}}^1(\mathbf{Q}_{p, \infty}, \mathbb{T}) \longrightarrow \mathcal{J}$  the composition of  $\mathcal{L}_{\mathbb{T}}(\cdot, k, s)$  with the morphism induced by the projection  $p^-: \mathbb{T} \rightarrow \mathbb{T}^-$ . The aim of this section is

to prove Theorem 3.1 below, which gives a simple expression for the derivative of  $\mathcal{L}_{\mathbb{T}}(\cdot, k, s)$ .

Denote by  $\text{rec}_p: \mathbf{Q}_p^* \rightarrow G_p^{\text{ab}} := G_{\mathbf{Q}_p}^{\text{ab}}$  the local reciprocity map, normalised so that  $\text{rec}_p(p^{-1})$  is an arithmetic Frobenius. It induces an isomorphism  $\text{rec}_p: \mathbf{Q}_p^* \widehat{\otimes} \mathbf{Q}_p \cong G_p^{\text{ab}} \widehat{\otimes} \mathbf{Q}_p$ , where  $G \widehat{\otimes} \mathbf{Q}_p := (\varprojlim_{n \in \mathbb{N}} G/p^n G) \otimes_{\mathbf{Z}_p} \mathbf{Q}_p$  for every abelian group  $G$ . This yields an isomorphism of  $\mathbf{Q}_p$ -vector spaces

$$\begin{aligned} H^1(\mathbf{Q}_p, \mathbf{Q}_p) &= \text{Hom}_{\text{cont}}(G_p^{\text{ab}} \widehat{\otimes} \mathbf{Q}_p, \mathbf{Q}_p) \cong \text{Hom}_{\text{cont}}(\mathbf{Q}_p^* \widehat{\otimes} \mathbf{Q}_p, \mathbf{Q}_p) \\ &= \text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p), \end{aligned}$$

which we consider as an equality. For every  $\mathfrak{z} = (\mathfrak{z}_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbb{T}^-)$ , the class  $\mathfrak{z}_{0,\psi} \in H^1(\mathbf{Q}_p, \mathbf{Q}_p)$  is then identified with a continuous morphism on  $\mathbf{Q}_p^*$  (see Sect. 2.6.4 for the notations). Let

$$\text{exp}_A^*: H^1(\mathbf{Q}_p, V_p(A)) \rightarrow \text{Fil}^0 D_{\text{dR}}(V_p(A)) \cong \mathbf{Q}_p$$

be the Bloch–Kato dual exponential map (cf. (24)). Finally, set

$$e(1) := (1 + p) \widehat{\otimes} \log_p(1 + p)^{-1} \in \mathbf{Z}_p^* \widehat{\otimes} \mathbf{Q}_p.$$

**Theorem 3.1** 1. Let  $\mathfrak{z} = (\mathfrak{z}_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbb{T}^-)$  and let  $\mathfrak{z} := \mathfrak{z}_{0,\psi} \in \text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p)$ . Then

$$\begin{aligned} &\left(1 - \frac{1}{p}\right) \mathcal{L}_{\mathbb{T}}(\mathfrak{z}, k, s) \\ &\equiv \mathfrak{z}(p^{-1}) \cdot (s - 1) - \frac{1}{2} \mathcal{L}_p(A) \cdot \mathfrak{z}(e(1)) \cdot (k - 2) \pmod{\mathcal{I}^2}. \end{aligned}$$

2. Let  $\mathfrak{z} = (\mathfrak{z}_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbb{T})$  and let  $\mathfrak{z} := \mathfrak{z}_{0,\psi} \in H^1(\mathbf{Q}_p, V_p(A))$ . Then

$$\left(1 - \frac{1}{p}\right) \mathcal{L}_{\mathbb{T}}(\mathfrak{z}, k, s) \equiv \mathcal{L}_p(A) \cdot \text{exp}_A^*(\mathfrak{z}) \cdot (s - k/2) \pmod{\mathcal{I}^2}.$$

The proof of Theorem 3.1 is given in Sect. 3.3. We consider separately the partial derivatives of  $\mathcal{L}_{\mathbb{T}}(\cdot, k, s)$  with respect to the cyclotomic variable  $s$  and the weight variable  $k$ . In order to compute the derivative in the cyclotomic direction, we make use of the work of Wiles [44] and Coleman [8]. To compute the derivative in the weight direction, we prove the existence of an *improved big dual exponential*, and then invoke a formula of Greenberg–Stevens which relates the derivative of the  $p$ -th Fourier coefficient of  $f_{\infty}$  to the  $\mathcal{L}$ -invariant  $\mathcal{L}_p(A)$  [10].

### 3.1 The Coleman map

In this section we first recall, following [36], the definition of the cyclotomic big dual exponential  $\mathcal{L}_A := \mathcal{L}_{T_p(A)}$  for the  $p$ -adic Tate module of  $A$ , called the *Coleman map*. In our exceptional zero situation, it is a morphism of  $\Lambda_{\text{cyc}}$ -modules

$$\mathcal{L}_A : H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, T_p(A)) \longrightarrow I,$$

factoring through the Iwasawa cohomology of  $\mathbf{Z}_p = T_p(A)^-$ , where  $I = I_{\text{cyc}}$  is the augmentation ideal of  $\Lambda_{\text{cyc}}$ . We then prove in Proposition 3.6 a simple formula for its derivative at the augmentation ideal. While versions of Proposition 3.6 already appear in the literature (e.g. it follows from Proposition A.3.1 of [20]), we give here a proof in our setting for the convenience of the reader.

#### 3.1.1 Definition of $\mathcal{L}_A$

For every  $n \in \mathbf{N} \cup \{\infty\}$ , identify  $G_n := \text{Gal}(\mathbf{Q}_n/\mathbf{Q})$  with the Galois group of  $\mathbf{Q}_{p,n}/\mathbf{Q}_p$  via the unique prime of  $\mathbf{Q}_\infty$  dividing  $p$ . Then  $\Lambda_{\text{cyc}} = \mathbf{Z}_p[[G_\infty]]$  is identified with the Iwasawa algebra of the cyclotomic  $\mathbf{Z}_p$ -extension  $\mathbf{Q}_{p,\infty}/\mathbf{Q}_p$ . Let  $\mathbf{Z}_{p,n}$  and  $\mathfrak{m}_n$  be the ring of integers of  $\mathbf{Q}_{p,n}$  and its maximal ideal respectively, and let  $N_{m,n} : \mathbf{Q}_{p,m}^* \rightarrow \mathbf{Q}_{p,n}^*$  be the norm map, for  $m \geq n$ .

Fix a generator  $\zeta_\infty = (\zeta_{p^n})_{n \in \mathbf{N}} \in \mathbf{Z}_p(1)$ . As in [36, Appendix], define for every  $n \in \mathbf{N}$ :

$$x_n := p + \text{Trace}_{\mathbf{Q}_p(\mu_{p^{n+1}})/\mathbf{Q}_{p,n}} \left( \sum_{k=0}^n \frac{\zeta_{p^{n+1-k}} - 1}{p^k} \right) \in \mathbf{Q}_{p,n}.$$

A simple computation shows that these elements are compatible with respect to the trace maps. The following key lemma is due to Coleman (cf. Theorem 24 of [8]).

**Lemma 3.2** *There exists a unique principal unit  $g(X) \in 1 + (p, X) \cdot \mathbf{Z}_p[[X]]$  such that:*

1.  $\log_p(g(0)) = p$ ;
2.  $\mathfrak{C}_n := g(\zeta_{p^{n+1}} - 1) \in 1 + \mathfrak{m}_n$  and  $\log_p(\mathfrak{C}_n) = x_n$  for every  $n \in \mathbf{N}$ ;
3.  $N_{m,n}(\mathfrak{C}_m) = \mathfrak{C}_n$  for every  $m \geq n \geq 0$ .

*Proof* See [36, Appendix] or [37, Appendix D]. □

Identify  $H^1(\mathbf{Q}_{p,n}, \mathbf{Z}_p(1)) = \mathbf{Q}_{p,n}^* \widehat{\otimes} \mathbf{Z}_p$  by Kummer theory. The preceding lemma allows us to define

$$\mathfrak{C} := (\mathfrak{C}_n \widehat{\otimes} 1)_{n \in \mathbf{N}} \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1)).$$

Fix a topological generator  $\sigma_0 \in G_\infty$ , and write  $\zeta := \sigma_0 - 1 \in I$  for the corresponding generator of  $I \subset \Lambda_{\text{cyc}}$ .

**Lemma 3.3** *There exists a unique  $\mathfrak{C}' := \mathfrak{C}'_\zeta \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1))$  such that  $\mathfrak{C} = \zeta \cdot \mathfrak{C}'$ .*

*Proof* The corestriction map induces an injective map:  $H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1))/\zeta \hookrightarrow H^1(\mathbf{Q}_p, \mathbf{Z}_p(1))$ , and the  $\zeta$ -torsion submodule  $H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1))[\zeta]$  is trivial (being a quotient of  $H^0(\mathbf{Q}_p, \mathbf{Z}_p(1))$ ). It is then sufficient to prove that the principal unit  $\mathfrak{C}_0$  is equal to 1. Note that  $x_0 = 0$ , as  $\text{Trace}_{\mathbf{Q}_p(\mu_p)/\mathbf{Q}_p}(\zeta_p - 1) = -p$ . By Lemma 3.2(2) this implies  $\log_p(\mathfrak{C}_0) = 0$ , i.e.  $\mathfrak{C}_0 = 1$  (as  $p \neq 2$ ).  $\square$

By local Tate duality, there is a natural morphism of  $\Lambda_{\text{cyc}}$ -modules

$$\langle -, - \rangle_\infty : H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p) \otimes_{\Lambda_{\text{cyc}}} H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1))^\iota \longrightarrow \Lambda_{\text{cyc}}.$$

Here  $\iota$  is Iwasawa’s main involution on  $\Lambda_{\text{cyc}}$ , i.e. the isomorphism of  $\mathbf{Z}_p$ -algebras which acts as inversion on  $G_\infty$ , and  $H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1))^\iota$  denotes the  $\mathbf{Z}_p$ -module  $H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1))$ , with  $\Lambda_{\text{cyc}}$ -action obtained by twisting the original action by  $\iota$ . (See, e.g. Section 2.1.5 of [31] for the definition of  $\langle -, - \rangle_\infty$ ). Define

$$\mathcal{L}_A := - \langle \cdot, \mathfrak{C} \rangle_\infty : H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p) \longrightarrow I.$$

The fact that  $\mathcal{L}_A$  takes values in the augmentation ideal follows from Lemma 3.3 (as  $\iota(\zeta) = -\sigma_0^{-1}\zeta \in I$ ). The following proposition is a version of the Coleman–Wiles explicit reciprocity law [8, 44]; we refer to [36, Appendix] (or [42, Section 13.2]) for a proof in our setting.

**Proposition 3.4** *For every  $z = (z_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p)$  and every non-trivial character  $\chi$  of  $G_n$ :*

$$\chi(\mathcal{L}_A(z)) = \tau(\chi) \sum_{\sigma \in G_n} \chi(\sigma)^{-1} \cdot \exp_n^*(z_n^\sigma),$$

where  $\exp_n^* : H^1(\mathbf{Q}_{p,n}, \mathbf{Q}_p) \rightarrow D_{\text{dR},n}(\mathbf{Q}_p) = \mathbf{Q}_{p,n}$  is the Bloch–Kato dual exponential map.

With a slight abuse of notation, denote again by  $\mathcal{L}_A : H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, T_p(A)) \rightarrow I$  the composition of  $\mathcal{L}_A$  with the map induced by the projection  $p^- : T_p(A) \twoheadrightarrow \mathbf{Z}_p$  (see (14)). Note the following corollary.

**Corollary 3.5** *Let  $\mathbb{T}^?$  denote either  $\mathbb{T}^-$  or  $\mathbb{T}$ . For every  $\mathfrak{Z} \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbb{T}^?)$ :*

$$\psi(\mathcal{L}_{\mathbb{T}}(\mathfrak{Z})) = \mathcal{L}_A(\mathfrak{Z}_\psi),$$

where  $\mathfrak{Z}_\psi \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, T_p(A)^?)$  is the image of  $\mathfrak{Z}$  under the morphism induced by  $\mathbb{T}^? \rightarrow \mathbb{T}_\psi^? \cong T_p(A)^?$ .

*Proof* As  $\psi(\mathbf{a}_p) = 1$ , this follows from (25) and the interpolation properties of  $\psi \circ \mathcal{L}_{\mathbb{T}}$  and  $\mathcal{L}_A$ . □

### 3.1.2 The derivative of $\mathcal{L}_A$

If  $M$  denotes either  $T_p(A)$  or  $\mathbf{Z}_p$ , define the derivative of  $\mathcal{L}_A$ :

$$\mathcal{L}'_A : H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, M) \rightarrow I/I^2$$

as the composition of  $\mathcal{L}_A$  with the projection  $\{\cdot\} : I \rightarrow I/I^2$ . Denote by  $\log_p(\zeta)$  the  $p$ -adic logarithm of  $\chi_{\text{cyc}}(\sigma_0)$ , and define

$$l_\zeta := \log_p(\zeta) \cdot (1 - p^{-1}) \in \mathbf{Z}_p^*,$$

where  $\zeta = \sigma_0 - 1$  is our fixed generator of  $I$ . As in Sect. 3, the cohomology group  $H^1(\mathbf{Q}_p, \mathbf{Z}_p)$  is identified with  $\text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Z}_p)$  via the local reciprocity map.

**Proposition 3.6** *Let  $z = (z_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p)$ . Then*

$$l_\zeta \cdot \mathcal{L}'_A(z) = z_0 (p^{-1}) \{\zeta\}.$$

Before giving the proof of Proposition 3.6, we deduce the following corollary.

**Corollary 3.7** *Let  $z = (z_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, T_p(A))$ . Then*

$$l_\zeta \cdot \mathcal{L}'_A(z) = \mathcal{L}_p(A) \cdot \exp_A^*(z_0) \{\zeta\}.$$

*In particular  $\mathcal{L}_A(z) \in I^2$  if and only if  $z_0 \in H_f^1(\mathbf{Q}_p, V_p(A)) \cong A(\mathbf{Q}_p) \widehat{\otimes} \mathbf{Q}_p$ .*

*Proof* Consider the exact sequence

$$\begin{CD} H^1(\mathbf{Q}_p, \mathbf{Q}_p(1)) @>i^+>> H^1(\mathbf{Q}_p, V_p(A)) @>p^->> \text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p) \\ @. @. @VVV \\ @. @. H^2(\mathbf{Q}_p, \mathbf{Q}_p(1)) @>\text{inv}_p>> \mathbf{Q}_p \end{CD}$$

arising from the exact sequence (14), where  $\text{inv}_p$  is the invariant map of local class field theory. A direct computation shows that  $\delta(\cdot) = \text{inv}_p(\cdot \cup q_A \widehat{\otimes} 1)$ , where  $\cup: H^1(\mathbf{Q}_p, \mathbf{Q}_p) \times H^1(\mathbf{Q}_p, \mathbf{Q}_p(1)) \rightarrow H^2(\mathbf{Q}_p, \mathbf{Q}_p(1))$  is the natural cup-product pairing and we identify as above  $H^1(\mathbf{Q}_p, \mathbf{Q}_p(1)) = \mathbf{Q}_p^* \widehat{\otimes} \mathbf{Q}_p$ . It then follows by local class field theory [39] that  $\delta(\phi) = -\phi(q_A)$  for every  $\phi \in \text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p)$ , so that the image of  $p^-$  is equal to the space of morphisms  $\phi$  such that  $\phi(q_A) = 0$ . As  $\log_p$  and  $\text{ord}_p$  form a  $\mathbf{Q}_p$ -basis of  $\text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p)$ , this implies

$$\text{Im}(p^-) = \mathbf{Q}_p \cdot \log_{q_A},$$

where  $\log_{q_A} = \log_p - \mathcal{L}_p(A) \cdot \text{ord}_p$  is the branch of the  $p$ -adic logarithm which vanishes on  $q_A \in p\mathbf{Z}_p$ .

Let  $z = (z_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, T_p(A))$ , and write  $p^-(z_0) = \alpha \cdot \log_{q_A} \in \text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p)$ , for some  $\alpha \in \mathbf{Q}_p$ . Then  $\exp_A^*(z_0) = \exp^*(\alpha \cdot \log_{q_A}) = \alpha$ , where  $\exp^* = \exp_0^*$  is the Bloch–Kato dual exponential for  $\mathbf{Q}_p$ . Indeed, by its very definition (see Chapter II of [15]),  $\exp^*(\log_p) = 1$  and  $\exp^*(\text{ord}_p) = 0$ . According to Proposition 3.6

$$l_{\mathcal{L}} \cdot \mathcal{L}'_A(z) = \alpha \log_{q_A}(p^{-1}) \cdot \{\zeta\} = \mathcal{L}_p(A) \cdot \exp_A^*(z_0) \cdot \{\zeta\}.$$

The last assertion in the statement follows from the non-vanishing of the  $\mathcal{L}$ -invariant [7] and the fact that the finite part  $H_f^1(\mathbf{Q}_p, V_p(A)) \cong A(\mathbf{Q}_p) \widehat{\otimes} \mathbf{Q}_p$  [6] of the local cohomology group  $H^1(\mathbf{Q}_p, V_p(A))$  is the kernel of the dual exponential. Indeed, the preceding discussion shows that an element of  $H^1(\mathbf{Q}_p, V_p(A))$  belongs to the kernel of  $\exp_A^*$  if and only if it is in the image of  $i^+: H^1(\mathbf{Q}_p, \mathbf{Q}_p(1)) \rightarrow H^1(\mathbf{Q}_p, V_p(A))$ , and the latter equals  $H_f^1(\mathbf{Q}_p, V_p(A))$ , as follows easily from Kummer theory and the surjectivity of the Tate parametrisation (3).  $\square$

*Proof of Proposition 3.6* For every  $n \in \mathbf{N}$ , let  $\pi_n := \text{Norm}_{\mathbf{Q}_p(\mu_{p^{n+1}})/\mathbf{Q}_p,n}(\zeta_{p^{n+1}} - 1)$ ; this is a uniformiser of  $\mathbf{Z}_{p,n}$ . Since  $\mathbf{Q}_{p,n}^*$  has no non-trivial  $p$ -torsion, one has a decomposition

$$H^1(\mathbf{Q}_{p,n}, \mathbf{Z}_p(1)) = \mathbf{Q}_{p,n}^* \widehat{\otimes} \mathbf{Z}_p = \widehat{\pi}_n \oplus 1 + \mathfrak{m}_n,$$

where  $\widehat{\pi}_n$  is the  $p$ -adic completion of  $\pi_n^{\mathbf{Z}}$ . Given  $\alpha_n \in H^1(\mathbf{Q}_{p,n}, \mathbf{Z}_p(1))$ , let  $\kappa_n(\alpha_n) \in 1 + \mathfrak{m}_n$  be its projection to principal units, and  $\text{ord}_n(\alpha_n) \in \mathbf{Z}_p$  its  $\pi_n$ -adic valuation. Since  $N_{m,n}(\pi_m) = \pi_n$  for every integers  $m \geq n$ , if  $\alpha = (\alpha_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1))$  then  $\text{ord}(\alpha) := \text{ord}_n(\alpha_n)$  is independent of  $n \in \mathbf{N}$ , and  $\kappa(\alpha) := (\kappa_n(\alpha_n))_{n \in \mathbf{N}}$  is a compatible sequence with respect to the norm maps. One can then define maps



$$\text{ord} : H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1)) \rightarrow \mathbf{Z}_p; \quad \kappa : H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1)) \rightarrow U_\infty^1,$$

where  $U_\infty^1$  denotes the inverse limit of the groups  $1 + \mathfrak{m}_n$ . Write  $\pi_\infty := (\pi_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1))$ . By construction  $\alpha = \pi_\infty^{\text{ord}(\alpha)} + \kappa(\alpha)$  for every  $\alpha = (\alpha_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1))$ . Moreover, one has

$$\alpha_0 = p^{\text{ord}(\alpha)} \in H^1(\mathbf{Q}_p, \mathbf{Z}_p(1)). \tag{26}$$

Indeed, local class field theory tells us that the image of the injective map  $H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1))/\zeta \hookrightarrow H^1(\mathbf{Q}_p, \mathbf{Z}_p(1))$  induced by the corestriction equals  $\widehat{\rho} = \widehat{\pi}_0$ . Then  $U_\infty^1 \subset \zeta \cdot H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p(1))$  and Eq. (26) follows.

Let us now consider the element  $\mathfrak{C}' = \mathfrak{C}'_\zeta$  appearing in Lemma 3.3. For every  $z = (z_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbf{Z}_p)$

$$\mathcal{L}'_A(z) = z_0(p^{-1}) \cdot \text{ord}(\mathfrak{C}') \cdot \{\zeta\}. \tag{27}$$

Indeed, let  $\langle -, - \rangle : H^1(\mathbf{Q}_p, \mathbf{Z}_p) \times H^1(\mathbf{Q}_p, \mathbf{Z}_p(1)) \rightarrow \mathbf{Z}_p$  be the local Tate pairing. Then  $\langle z_0, \mathfrak{C}'_0 \rangle = \varepsilon(\langle z, \mathfrak{C}'_\infty \rangle)$ , where  $\varepsilon$  is the augmentation map and we write  $\mathfrak{C}' = (\mathfrak{C}'_n)$ . This implies

$$\mathcal{L}'_A(z) = -\langle z, \zeta \cdot \mathfrak{C}'_\infty \rangle = \langle z_0, \mathfrak{C}'_0 \rangle \cdot \{\zeta\}. \tag{28}$$

(Note that  $\iota(\zeta) \equiv -\zeta \pmod{I^2}$ .) Since  $\langle z_0, x \rangle = z_0(x^{-1})$  for every  $x \in \mathbf{Q}_p^* \widehat{\otimes} \mathbf{Z}_p$  by local class field theory [39], Eq. (27) follows by combining Eqs. (28) and (26).

Thanks to (27), the proposition will follow once we prove the claim

$$\text{ord}(\mathfrak{C}') = l_\zeta^{-1} \in \mathbf{Z}_p^*. \tag{29}$$

Write  $V_\infty$  for the inverse limit of the groups  $\mathbf{Z}_p[\zeta_{p^{m+1}}]^*$ , for  $m \in \mathbf{N}$ . According to Theorem A of [8], for every  $v = (v_n) \in V_\infty$  there exists a unique power series  $f_v(T) \in \mathbf{Z}_p[[T]]^*$  such that  $f_v(\zeta_{p^{n+1}} - 1) = v_n$  for every  $n \in \mathbf{N}$ . The association  $v \mapsto f_v(T)$  is a morphism of  $\mathbf{Z}_p[[\text{Gal}(\mathbf{Q}_p(\mu_{p^\infty})/\mathbf{Q}_p)]]$ -modules (see [8] for details). Note that, with the notations of Lemma 3.2,  $g(T) = f_{\mathfrak{C}}(T)$ . As  $\mathfrak{C} = \zeta \cdot \mathfrak{C}'$  and  $\mathfrak{C}' = \kappa(\mathfrak{C}') + \pi_\infty^{\text{ord}(\mathfrak{C}' )}$ , one then finds

$$g(T) = \frac{f_{\kappa(\mathfrak{C}')}((1+T)^{\chi_{\text{cyc}}(\sigma_0)} - 1)}{f_{\kappa(\mathfrak{C}')} (T)} \cdot \left( \prod_{\mu \in \mu_{p-1}} \frac{(1+T)^{\mu \cdot \chi_{\text{cyc}}(\sigma_0)} - 1}{(1+T)^\mu - 1} \right)^{\text{ord}(\mathfrak{C}' )}.$$

Evaluating this equality at  $T = 0$  and then applying the  $p$ -adic logarithm, we easily obtain

$$\log_p(g(0)) = (p - 1) \cdot \text{ord}(\mathcal{C}') \cdot \log_p(\chi_{\text{cyc}}(\sigma_0)) = p \cdot \text{ord}(\mathcal{C}') \cdot l_{\mathcal{C}'}$$

Since  $\log_p(g(0)) = p$  by Lemma 3.2(1), the claim (29) follows.

### 3.2 The improved big dual exponential

The aim of this section is to construct an *improved big dual exponential*  $\mathcal{L}_{\mathbb{T}}^* : H^1(\mathbf{Q}_p, \mathbb{T}^-) \rightarrow R[1/p]$ . To do this we follow the techniques of [27, Section 5].

**Proposition 3.8** *There exists a unique morphism of  $R$ -modules*

$$\mathcal{L}_{\mathbb{T}}^* = \mathcal{L}_{\mathbb{T}, \mathbb{U}}^* : H^1(\mathbf{Q}_p, \mathbb{T}^-) \longrightarrow R \otimes_{\mathbf{Z}_p} \mathbf{Q}_p$$

such that: for every  $\mathfrak{z} \in H^1(\mathbf{Q}_p, \mathbb{T}^-)$  and every  $v \in \mathcal{X}^{\text{arith}}(R)$

$$v(\mathcal{L}_{\mathbb{T}}^*(\mathfrak{z})) = \left(1 - \frac{v(\mathbf{a}_p)}{p}\right)^{-1} \langle \exp^*(\mathfrak{z}_v), \mathbb{U}_v(1) \rangle_{\text{dR}},$$

where  $\exp^* : H^1(\mathbf{Q}_p, V_v^-) \rightarrow D_{\text{dR}}(V_v^-) = \text{Fil}^0 D_{\text{dR}}(V_v)$  is the Bloch–Kato dual exponential map.

Before giving the proof of Proposition 3.8, we note the following corollary (cf. Sect. 2.3.2).

**Corollary 3.9** *Let  $\varepsilon : \overline{R} \twoheadrightarrow R$  be the augmentation map, and let  $\mathfrak{z} = (\mathfrak{z}_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbb{T}^-)$ . Then*

$$\varepsilon(\mathcal{L}_{\mathbb{T}}(\mathfrak{z})) = \left(1 - \mathbf{a}_p^{-1}\right) \cdot \mathcal{L}_{\mathbb{T}}^*(\mathfrak{z}_0).$$

*Proof* Taking  $\chi$  as the trivial character of  $G_{\infty}$  in Proposition 2.3, one has

$$v \circ \varepsilon(\mathcal{L}_{\mathbb{T}}(\mathfrak{z})) = (1 - v(\mathbf{a}_p)^{-1}) \cdot v(\mathcal{L}_{\mathbb{T}}^*(\mathfrak{z}_0)),$$

for every weight-two arithmetic point  $v \in \mathcal{X}^{\text{arith}}(R)$ . Since such points (or better their kernels) form a dense subset of  $\text{Spec}(R)$ , the corollary follows.  $\square$

*Proof of Proposition 3.8* Let  $K$  be a complete subfield of  $\widehat{\mathbf{Q}}_p^{\text{un}}$  and let  $V$  be a  $p$ -adic representation of  $G_K$ . Denote by  $D_{\text{dR},K}(V) := H^0(K, V \otimes_{\mathbf{Q}_p} B_{\text{dR}})$ ,

and by  $\exp: D_{\text{dR},K}(V) \rightarrow H^1(K, V)$  the Bloch–Kato exponential map [6]. For  $V = \mathbf{Q}_p(1)$ , it is described by the composition

$$\exp_p: D_{\text{dR},K}(\mathbf{Q}_p(1)) = K \longrightarrow K^* \widehat{\otimes} \mathbf{Q}_p = H^1(K, \mathbf{Q}_p(1)),$$

where the first equality refers to the canonical identification  $D_{\text{dR},K}(\mathbf{Q}_p(1)) = K \cdot \zeta_{\text{dR}} \cong K$  (see Sect. 2.6.3), the arrow is given by the usual  $p$ -adic exponential and the last equality is the Kummer isomorphism. As  $K$  is unramified,  $\exp_p$  maps the ring of integers of  $K$  into  $\frac{1}{p}H^1(K, \mathbf{Z}_p(1)) \subset H^1(K, \mathbf{Q}_p(1))$ .

Set  $G_p := G_{\mathbf{Q}_p}$ ,  $I_p := I_{\mathbf{Q}_p}$  and  $G_p^{\text{un}} := G_p/I_p$ . With the notations of Sect. 2.6, consider the morphism of  $R[G_p^{\text{un}}]$ -modules

$$\begin{aligned} \exp_p \widehat{\otimes} \text{id}: \widehat{\mathbf{Z}}_p^{\text{un}} \widehat{\otimes}_{\mathbf{Z}_p} \check{\mathbb{T}}^+ &\rightarrow (H^1(I_p, \mathbf{Z}_p(1)) \widehat{\otimes}_{\mathbf{Z}_p} \check{\mathbb{T}}^+) \otimes_{\mathbf{Z}_p} \mathbf{Q}_p \\ &= H^1(I_p, \check{\mathbb{T}}^+(1)) \otimes_{\mathbf{Z}_p} \mathbf{Q}_p \end{aligned} \tag{30}$$

(recall that  $\check{\mathbb{T}}^+$  is unramified). As  $H^0(I_p, \check{\mathbb{T}}^+(1)) = 0$ , restriction gives an isomorphism between  $H^1(\mathbf{Q}_p, \check{\mathbb{T}}^+(1))$  and  $H^0(G_p^{\text{un}}, H^1(I_p, \check{\mathbb{T}}^+(1)))$ . Taking  $G_p^{\text{un}}$ -invariants in (30) then yields a morphism of  $R$ -modules

$$\exp_{\mathbb{T}}: \mathcal{D} \longrightarrow H^1(\mathbf{Q}_p, \check{\mathbb{T}}^+(1)) \otimes_{\mathbf{Z}_p} \mathbf{Q}_p.$$

We claim that for every arithmetic point  $v \in \mathcal{X}^{\text{arith}}(R)$

$$v_*(\exp_{\mathbb{T}}(\mathcal{U})) = \exp(\mathcal{U}_v(1)), \tag{31}$$

where  $v_*: H^1(\mathbf{Q}_p, \check{\mathbb{T}}^+(1)) \rightarrow H^1(\mathbf{Q}_p, \check{V}_v^+(1))$  is the morphism induced by  $\check{\mathbb{T}}^+ \twoheadrightarrow \check{\mathbb{T}}_v^+ \subset \check{V}_v^+$ , and  $\exp$  is the exponential on  $D_{\text{dR}}(\check{V}_v^+(1))$ . As above, the restriction map gives an isomorphism between  $H^1(\mathbf{Q}_p, \check{V}_v^+(1))$  and the  $G_p^{\text{un}}$ -invariants of  $H^1(I_p, \check{V}_v^+(1))$ . It follows that the exponential  $\exp: D_{\text{dR}}(\check{V}_v^+(1)) \rightarrow H^1(\mathbf{Q}_p, \check{V}_v^+(1))$  is identified with the restriction of

$$\exp_p \otimes \text{id}: \widehat{\mathbf{Q}}_p^{\text{un}} \otimes_{\mathbf{Q}_p} \check{V}_v^+ \longrightarrow H^1(I_p, \mathbf{Q}_p(1)) \otimes_{\mathbf{Q}_p} \check{V}_v^+ = H^1(I_p, \check{V}_v^+(1))$$

to the  $G_p^{\text{un}}$ -invariants. Equation (31) then follows from the definitions of  $\exp_{\mathbb{T}}$  and  $\mathcal{U}_v(1)$ .

Let  $\langle -, - \rangle_R: H^1(\mathbf{Q}_p, \mathbb{T}^-) \otimes_R H^1(\mathbf{Q}_p, \check{\mathbb{T}}^+(1)) \rightarrow R$  be the  $R$ -adic local Tate pairing and define

$$\exp_{\mathbb{T}}^* = \exp_{\mathbb{T}, \mathcal{U}}^* := \langle \cdot, \exp_{\mathbb{T}}(\mathcal{U}) \rangle_R: H^1(\mathbf{Q}_p, \mathbb{T}^-) \longrightarrow R \otimes_{\mathbf{Z}_p} \mathbf{Q}_p.$$

By (31) one obtains: for every  $\mathfrak{z} \in H^1(\mathbf{Q}_p, \mathbb{T}^-)$  and every  $v \in \mathcal{X}^{\text{arith}}(R)$

$$v(\exp_{\mathbb{T}}^*(\mathfrak{z})) = \langle \mathfrak{z}_v, v_*(\exp_{\mathbb{T}}(\mathfrak{U})) \rangle_v = \langle \mathfrak{z}_v, \exp(\mathfrak{U}_v(1)) \rangle_v = \langle \exp^*(\mathfrak{z}_v), \mathfrak{U}_v(1) \rangle_{\text{dR}}. \tag{32}$$

Here  $\langle -, - \rangle_v : H^1(\mathbf{Q}_p, V_v^-) \times H^1(\mathbf{Q}_p, \check{V}_v^+(1)) \rightarrow K_v$  is the local Tate pairing and  $\exp^*$  is the Bloch–Kato dual exponential map on  $H^1(\mathbf{Q}_p, V_v^-)$ ; the first equality follows from the functoriality of the local Tate duality, while the last equality is [15, Chapter II, Theorem 1.4.1]. Define

$$\mathcal{L}_{\mathbb{T}}^* := \left(1 - \frac{\mathfrak{a}_p}{p}\right)^{-1} \exp_{\mathbb{T}}^* : H^1(\mathbf{Q}_p, \mathbb{T}^-) \longrightarrow R \otimes_{\mathbf{Z}_p} \mathbf{Q}_p.$$

According to (32), the morphism  $\mathcal{L}_{\mathbb{T}}^*$  satisfies the desired interpolation property, which characterises it uniquely (as the kernels of the arithmetic points are dense in  $\text{Spec}(R)$ ).

### 3.3 Proof of Theorem 3.1

Write  $\mathcal{R}$  for the localisation of  $\bar{R}$  at  $\bar{\mathfrak{p}}$ , and  $\mathcal{P}$  for its maximal ideal. Then  $\mathcal{P} = (\varpi, \zeta) \cdot \mathcal{R}$ , where  $\varpi = \gamma_0 - 1$  (resp.,  $\zeta = \sigma_0 - 1$ ) is the generator of  $\mathfrak{p}R_{\mathfrak{p}}$  (resp.,  $I$ ) fixed in (9) (resp., Sect. 3.1.1). Moreover the  $\mathbf{Q}_p$ -module  $\mathcal{P}/\mathcal{P}^2$  is isomorphic to  $(I/I^2 \otimes_{\mathbf{Z}_p} \mathbf{Q}_p) \oplus (\mathfrak{p}R_{\mathfrak{p}}/\mathfrak{p}^2R_{\mathfrak{p}})$ .

Let  $\mathfrak{z} = (\mathfrak{z}_n) \in H_{I_w}^1(\mathbf{Q}_{p,\infty}, \mathbb{T}^-)$  and  $\mathfrak{z} := \mathfrak{z}_{0,\psi} \in \text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p)$ . According to Theorem 3.18 of [10]

$$1 - \mathfrak{a}_p^{-1} \equiv - \frac{\mathcal{L}_p(A)}{2 \log_p(\varpi)} \cdot \varpi \pmod{\mathfrak{p}^2 R_{\mathfrak{p}}},$$

where  $\log_p(\varpi) := \log_p(\gamma_0)$ . Corollaries 3.5 and 3.9 then yield the equality in  $\mathcal{P}/\mathcal{P}^2$ :

$$\mathcal{L}_{\mathbb{T}}(\mathfrak{z}) \pmod{\mathcal{P}^2} = \mathcal{L}'_A(\mathfrak{z}_{\psi}) - \frac{\mathcal{L}_p(A)}{2 \log_p(\varpi)} \cdot \psi(\mathcal{L}_{\mathbb{T}}^*(\mathfrak{z}_0)) \cdot \{\varpi\},$$

where as usual  $\{\cdot\} : \mathcal{P} \rightarrow \mathcal{P}/\mathcal{P}^2$  denotes the projection. Thanks to Propositions 3.6 and 3.8, the last congruence can be rewritten as

$$(1 - p^{-1}) \cdot \mathcal{L}_{\mathbb{T}}(\mathfrak{z}) \pmod{\mathcal{P}^2} = \frac{\mathfrak{z}(p^{-1})}{\log_p(\zeta)} \cdot \{\zeta\} - \frac{\mathcal{L}_p(A)}{2 \log_p(\varpi)} \cdot \mathfrak{z}(e(1)) \cdot \{\varpi\}.$$

Here we used that  $\psi(\mathfrak{a}_p) = a_p(A) = 1$  and the equality  $\langle \exp^*(\mathfrak{z}), \mathfrak{U}_{\psi}(1) \rangle_{\text{dR}} = \mathfrak{z}(e(1))$ . The latter follows from the definition of  $\exp^* : H^1(\mathbf{Q}_p, \mathbf{Q}_p) \rightarrow D_{\text{dR}}$

$(\mathbf{Q}_p) = \mathbf{Q}_p$  (see the proof of Corollary 3.7) and our normalisation (25) of  $\bar{U}_\psi(1)$ . Applying  $\bar{M}$  to both sides of the last equation, one obtains the formula displayed in Part 1 of Theorem 3.1. (Strictly speaking, the Mellin transform is defined on  $\bar{R}$ , but it extends to a morphism  $\bar{M}: \mathcal{R} \rightarrow \mathcal{M}^{\text{reg}}$ , where  $\mathcal{M}^{\text{reg}}$  is the localisation of  $\mathcal{A}$  at the multiplicative subset  $\{g(k, s) \in \mathcal{A} : g(2, 1) \neq 0\}$ .)

To prove Part 2 of the theorem, let  $\mathfrak{z} = (\mathfrak{z}_n) \in H_{\text{Iw}}^1(\mathbf{Q}_{p,\infty}, \mathbb{T})$  and let  $\mathfrak{z} := \mathfrak{z}_{0,\psi} \in H^1(\mathbf{Q}_p, V_p(A))$ . Since  $\exp_A^*(\mathfrak{z})$  is equal to  $p^-(\mathfrak{z})(e(1))$ , using Corollary 3.7 in place of Proposition 3.6, the same argument as above yields

$$(1 - p^{-1}) \cdot \bar{M} \circ \mathcal{L}_{\mathbb{T}}(\mathfrak{z}) \equiv \mathcal{L}_p(A) \cdot \exp_A^*(\mathfrak{z}) \cdot (s - 1) - \frac{1}{2} \mathcal{L}_p(A) \cdot \exp_A^*(\mathfrak{z}) \cdot (k - 2) \pmod{\mathcal{I}^2},$$

thus concluding the proof of Theorem 3.1.

### 4 Selmer complexes and the height-weight pairing

Inspired by Nekovář’s formalism of height pairings [25, Section 11], we define the *height-weight pairing* mentioned in the introduction. We then summarise its main properties, referring to [25, 43] for the proofs.

#### 4.1 Selmer complexes

With the notations of Sect. 2.7, set  $\mathfrak{G} := \mathfrak{G}_0$ . Let  $S$  be a complete, local Noetherian ring with finite residue field of characteristic  $p$ , and let  $\mathcal{S}$  be a localisation of  $S$ . Let  $M = (M, M^+)$  be an  $\mathcal{S}$ -adic, nearly-ordinary representation of  $\mathfrak{G}$ . More precisely,  $M = \mathbb{M} \otimes_S \mathcal{S}$  and  $M^+ = \mathbb{M}^+ \otimes_S \mathcal{S}$ , where  $\mathbb{M}$  is a finitely generated, free  $S$ -module, equipped with a continuous,  $S$ -linear action of  $\mathfrak{G}$ , and  $\mathbb{M}^+ \subset \mathbb{M}$  is an  $S$ -direct summand of  $\mathbb{M}$ , which is stable for the action of the decomposition group  $G_p := G_{\mathbf{Q}_p} \hookrightarrow G_{\mathbf{Q}}$  determined by the embedding  $i_p: \bar{\mathbf{Q}} \hookrightarrow \bar{\mathbf{Q}}_p$ .

For every prime  $q|N$ , fix an embedding  $i_q: \bar{\mathbf{Q}} \hookrightarrow \bar{\mathbf{Q}}_q$ , and write  $G_q := G_{\mathbf{Q}_q} \hookrightarrow G_{\mathbf{Q}}$  for the corresponding decomposition group at  $q$ . Following [25], define *Nekovář’s Selmer complex of  $M$*  as the complex of  $S$ -modules:

$$\tilde{C}_f^\bullet(\mathfrak{G}, M) := \text{Cone} \left( C_{\text{cont}}^\bullet(\mathfrak{G}, M) \oplus C_{\text{cont}}^\bullet(\mathbf{Q}_p, M^+) \xrightarrow{\text{res}_{Np^{-i^+}}} \bigoplus_{l|Np} C_{\text{cont}}^\bullet(\mathbf{Q}_l, M) \right) [-1],$$

where the notations are as follows. For  $G = \mathfrak{G}$  or  $G = G_l (l|Np)$ ,  $C_{\text{cont}}^\bullet(G, \star)$  is the complex of continuous (non-homogeneous) cochains of  $G$  with values in  $\star$  and  $C_{\text{cont}}^\bullet(\mathbf{Q}_l, \star) := C_{\text{cont}}^\bullet(G_l, \star)$  (see Section 3 of [25]).  $i^+ : C_{\text{cont}}^\bullet(\mathbf{Q}_p, M^+) \rightarrow C_{\text{cont}}^\bullet(\mathbf{Q}_p, M)$  is the morphism induced by  $M^+ \subset M$ . Finally, for every prime  $l|Np$ ,  $\text{res}_l : C_{\text{cont}}^\bullet(\mathfrak{G}, M) \rightarrow C_{\text{cont}}^\bullet(\mathbf{Q}_l, M)$  is the restriction morphism associated with the decomposition group  $G_l \hookrightarrow G_{\mathbf{Q}}$  and  $\text{res}_{Np}$  is the direct sum of the morphisms  $\text{res}_l$ , for  $l|Np$ .

Denote by  $D(\mathcal{S})$  the derived category of complexes of  $\mathcal{S}$ -modules and by  $D(\mathcal{S})_{\text{ft}}^b \subset D(\mathcal{S})$  the subcategory of cohomologically bounded complexes with cohomology of finite type over  $\mathcal{S}$ . Write

$$\widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, M) \in D(\mathcal{S})_{\text{ft}}^b; \quad \widetilde{H}_f^*(\mathbf{Q}, M) := H^*(\widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, M))$$

for the image of  $\widetilde{C}_f^\bullet(\mathfrak{G}, M)$  in  $D(\mathcal{S})_{\text{ft}}^b$  and its cohomology respectively.

By construction, there is an exact triangle in  $D(\mathcal{S})_{\text{ft}}^b$  (cf. Sect. 6 of [25]):

$$\widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, M) \longrightarrow \mathbf{R}\Gamma_{\text{cont}}(\mathfrak{G}, M) \longrightarrow \mathbf{R}\Gamma_{\text{cont}}(\mathbf{Q}_p, M^-) \oplus \bigoplus_{l|N} \mathbf{R}\Gamma_{\text{cont}}(\mathbf{Q}_l, M),$$

which gives rise to a long exact cohomology sequence of  $\mathcal{S}$ -modules

$$\begin{aligned} \dots &\rightarrow H^{q-1}(\mathbf{Q}_p, M^-) \oplus H_N^{q-1}(M) \rightarrow \widetilde{H}_f^q(\mathbf{Q}, M) \rightarrow H^q(\mathfrak{G}, M) \\ &\rightarrow H^q(\mathbf{Q}_p, M^-) \oplus H_N^q(M) \rightarrow \dots \end{aligned} \tag{33}$$

Here  $M^- := M/M^+ = \mathbb{M}/\mathbb{M}^+ \otimes_{\mathcal{S}} \mathcal{S}$ ,  $\mathbf{R}\Gamma_{\text{cont}}(G, \star) \in D(\mathcal{S})_{\text{ft}}^b$  is the image of  $C_{\text{cont}}^\bullet(G, \star)$  in the derived category, and we write for simplicity  $H_N^q(M) := \bigoplus_{l|N} H^q(\mathbf{Q}_l, M)$ .

### 4.2 The extended Selmer group

Let  $\mathcal{S} = \mathbf{Q}_p$  and  $M = V_p(A)$ , with the nearly-ordinary structure  $i^+ : \mathbf{Q}_p(1) \hookrightarrow V_p(A)$  given in (14). By [25, 12.5.9.2], one can extract from (33) a short exact sequence of  $\mathbf{Q}_p$ -modules

$$0 \rightarrow \mathbf{Q}_p \rightarrow \widetilde{H}_f^1(\mathbf{Q}, V_p(A)) \rightarrow H_f^1(\mathbf{Q}, V_p(A)) \rightarrow 0, \tag{34}$$

where the left-most term arises as  $H^0(\mathbf{Q}_p, \mathbf{Q}_p) = H^0(\mathbf{Q}_p, V_p(A)^-)$  and  $H_f^1(\mathbf{Q}, V_p(A)) \subset H^1(\mathfrak{G}, V_p(A))$  is the Bloch–Kato Selmer group of  $V_p(A)$  [6]. In addition the projection in (34) admits a natural splitting

$$\sigma^{u-r} : H_f^1(\mathbf{Q}, V_p(A)) \longrightarrow \widetilde{H}_f^1(\mathbf{Q}, V_p(A)),$$

characterised by the following property. Let  $\wp^+ : \widetilde{H}_f^1(\mathbf{Q}, V_p(A)) \rightarrow H^1(\mathbf{Q}_p, \mathbf{Q}_p(1)) = \mathbf{Q}_p^* \widehat{\otimes} \mathbf{Q}_p$  be the morphism induced by the natural projection  $\overline{\mathbf{R}\Gamma}_f(\mathbf{Q}, V_p(A)) \rightarrow \mathbf{R}\Gamma_{\text{cont}}(\mathbf{Q}_p, \mathbf{Q}_p(1))$ . Then

$$\wp^+ \circ \sigma^{u\text{-r}}(H_f^1(\mathbf{Q}, V_p(A))) \subset H_f^1(\mathbf{Q}_p, \mathbf{Q}_p(1)) = \mathbf{Z}_p^* \widehat{\otimes} \mathbf{Q}_p. \tag{35}$$

This follows from Section 11.4 of [25], thanks to the fact that  $\mathcal{L}_p(A) \neq 0$  by [7]. We use the section  $\sigma^{u\text{-r}}$  to obtain the identification  $\widetilde{H}_f^1(\mathbf{Q}, V_p(A)) \cong \mathbf{Q}_p \oplus H_f^1(\mathbf{Q}, V_p(A))$ . Moreover, we identify the Tate period  $q_A$  with the canonical generator of  $\mathbf{Q}_p \subset \widetilde{H}_f^1(\mathbf{Q}, V_p(A))$ . In other words, from now on

$$\widetilde{H}_f^1(\mathbf{Q}, V_p(A)) = \mathbf{Q}_p \cdot q_A \oplus H_f^1(\mathbf{Q}, V_p(A)). \tag{36}$$

### 4.3 The height-weight pairing

As in Sect. 3.3, let  $\mathcal{R}$  be the localisation of  $\overline{R} = R[[G_\infty]]$  at  $\overline{\mathfrak{p}} = (\mathfrak{p}, I)$  and let  $\mathcal{P} = (\varpi, \zeta) \cdot \mathcal{R}$  be its maximal ideal. Let  $\mathcal{M}^{\text{reg}} \subset \text{Frac}(\mathcal{A})$  be the localisation of  $\mathcal{A}$  at the multiplicative subset consisting of elements  $g(k, s) \in \mathcal{A}$  such that  $g(2, 1) \neq 0$ , and write again  $\mathcal{J} \subset \mathcal{M}^{\text{reg}}$  for the ideal of functions vanishing at  $(2, 1)$ . The Mellin transform extends to a morphism  $\overline{\mathbf{M}} : \mathcal{R} \rightarrow \mathcal{M}^{\text{reg}}$  mapping  $\mathcal{P}$  into  $\mathcal{J}$  and then induces a morphism of  $\mathbf{Q}_p$ -modules  $\overline{\mathbf{M}} : \mathcal{P} / \mathcal{P}^2 \rightarrow \mathcal{J} / \mathcal{J}^2$ .

Denote by  $\chi_\infty : \mathfrak{G} \rightarrow G_\infty \subset \overline{R}^*$  the tautological representation of  $\mathfrak{G}$  and define

$$\overline{\mathbb{T}} := \mathbb{T} \otimes_R \overline{R}(\chi_\infty^{-1}) \in \overline{R}[\mathfrak{G}]\text{Mod}; \quad T := \overline{\mathbb{T}} \otimes_{\overline{R}} \mathcal{R} \in \mathcal{R}[\mathfrak{G}]\text{Mod}.$$

Similarly, define the  $\overline{R}[G_p]$ -modules  $\overline{\mathbb{T}}^\pm := \mathbb{T}^\pm \otimes_R \overline{R}(\chi_\infty^{-1})$  and the  $\mathcal{R}[G_p]$ -modules  $T^\pm := \overline{\mathbb{T}}^\pm \otimes_R \mathcal{R}$ . Then  $\overline{\mathbb{T}}^\pm$  are free  $\overline{R}$ -modules of rank one, so that  $T = (T, T^+)$  is a nearly-ordinary  $\mathcal{R}$ -adic representation of  $\mathfrak{G}$ . In particular, there is a short exact sequence of  $\mathcal{R}[G_p]$ -modules

$$0 \longrightarrow T^+ \xrightarrow{i^+} T \xrightarrow{p^-} T^- \longrightarrow 0 \tag{37}$$

and the Selmer complex  $\overline{\mathbf{R}\Gamma}_f(\mathbf{Q}, T) \in D(\mathcal{R})_{\text{ft}}^b$  is defined.

Denote by  $\xi : \mathcal{R} \rightarrow \mathbf{Q}_p$  the composition of  $\psi : R_p \twoheadrightarrow \mathbf{Q}_p$  with the augmentation map  $\varepsilon : \mathcal{R} \rightarrow R_p$ . Since  $\varepsilon \circ \chi_\infty$  is the trivial character, Eq. (13) induces a natural isomorphism of  $\mathbf{Q}_p[\mathfrak{G}]$ -modules

$$T_\xi := T \otimes_{\mathcal{R}, \xi} \mathbf{Q}_p \cong V_p(A). \tag{38}$$

Similarly  $T_\xi^+ := T^+ \otimes_{\mathcal{R}, \xi} \mathbf{Q}_p \cong \mathbf{Q}_p(1)$  and  $T_\xi^- := T^- \otimes_{\mathcal{R}, \xi} \mathbf{Q}_p \cong \mathbf{Q}_p$  as  $\mathbf{Q}_p[G_p]$ -modules, and (38) extends to an isomorphism between the  $\xi$ -base change of (37) and the tensor product of (14) with  $\mathbf{Q}_p$ . This induces a canonical isomorphism of complexes of  $\mathbf{Q}_p$ -modules

$$\widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, T_\xi) \cong \widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, V_p(A)). \tag{39}$$

### 4.3.1 The Bockstein map

By the general behaviour of Selmer complexes under base change,  $\widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, T_\xi)$  is isomorphic to the derived base change  $\widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, T) \otimes_{\mathcal{R}, \xi}^{\mathbf{L}} \mathbf{Q}_p$ . This yields via (39) natural isomorphisms in  $D(\mathcal{R})_{\text{fit}}^b$ :

$$\begin{aligned} \widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, T) \otimes_{\mathcal{R}, \xi}^{\mathbf{L}} \mathbf{Q}_p &\cong \widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, V_p(A)); \\ \widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, T) \otimes_{\mathcal{R}}^{\mathbf{L}} \mathcal{P} / \mathcal{P}^2 &\cong \widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} \mathcal{P} / \mathcal{P}^2. \end{aligned} \tag{40}$$

(For the details see the proof of Lemma 5.5 below; see also the proof of Proposition 8.10.1 of [25].) Applying the functor  $\widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, T) \otimes_{\mathcal{R}}^{\mathbf{L}} -$  to the exact triangle

$$\mathcal{P} / \mathcal{P}^2 \longrightarrow \mathcal{R} / \mathcal{P}^2 \xrightarrow{\xi} \mathbf{Q}_p \xrightarrow{\partial_\xi} \mathcal{P} / \mathcal{P}^2[1] \tag{41}$$

then induces a morphism in  $D(\mathcal{R})_{\text{fit}}^b$ :

$$\widetilde{\beta}_p : \widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, V_p(A)) \longrightarrow \widetilde{\mathbf{R}\Gamma}_f(\mathbf{Q}, V_p(A))[1] \otimes_{\mathbf{Q}_p} \mathcal{P} / \mathcal{P}^2,$$

called the *derived Bockstein map*. It induces in cohomology the *Bockstein map*

$$\widetilde{\beta}_p := H^1(\widetilde{\beta}_p) : \widetilde{H}_f^1(\mathbf{Q}, V_p(A)) \longrightarrow \widetilde{H}_f^2(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} \mathcal{P} / \mathcal{P}^2.$$

### 4.3.2 Definition of the pairing

Nekovář’s generalisation of Poitou-Tate duality attaches to the Weil pairing on  $V_p(A)$  a perfect, global cup-product pairing [25, Section 6]

$$\langle -, - \rangle_{\text{Nek}} : \widetilde{H}_f^2(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} \widetilde{H}_f^1(\mathbf{Q}, V_p(A)) \longrightarrow H_{c, \text{cont}}^3(\mathbf{Q}, \mathbf{Q}_p(1)) \cong \mathbf{Q}_p,$$

where  $H_{c, \text{cont}}^*(\mathbf{Q}, -)$  denotes the compactly supported cohomology and the last *trace isomorphism* comes from global class field theory [25, Section 5]. (See in particular Sections 5.3.1.3, 5.4.1 and 6.3 of [25].)



We define the (cyclotomic) height-weight pairing

$$\langle\langle -, - \rangle\rangle_p : \tilde{H}_f^1(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} \tilde{H}_f^1(\mathbf{Q}, V_p(A)) \longrightarrow \mathcal{I} / \mathcal{I}^2$$

as the composition of

$$\begin{aligned} &\tilde{\beta}_p \otimes \text{id} : \tilde{H}_f^1(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} \tilde{H}_f^1(\mathbf{Q}, V_p(A)) \\ &\longrightarrow \tilde{H}_f^2(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} \tilde{H}_f^1(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} \mathcal{P} / \mathcal{P}^2 \end{aligned}$$

with

$$\langle -, - \rangle_{\text{Nek}} \otimes \bar{M} : \tilde{H}_f^2(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} \tilde{H}_f^1(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} \mathcal{P} / \mathcal{P}^2 \longrightarrow \mathcal{I} / \mathcal{I}^2.$$

We also write  $\langle\langle -, - \rangle\rangle_p(k, s) := \langle\langle -, - \rangle\rangle_p$  when we want to emphasise the dependence of  $\langle\langle -, - \rangle\rangle_p$  on the variables  $(k, s)$ . If  $F : \mathcal{M}^{\text{reg}} \rightarrow \mathcal{M}^{\text{reg}}$  is a morphism of  $\mathbf{Q}_p$ -algebras s.t.  $F(\mathcal{I}) \subset \mathcal{I}$ , then  $\langle\langle -, - \rangle\rangle_p(F(k, s)) := F \circ \langle\langle -, - \rangle\rangle_p$ .

*Remark 4.1* Let  $W : V_p(A) \otimes_{\mathbf{Q}_p} V_p(A) \rightarrow \mathbf{Q}_p(1)$  be the Weil pairing, normalised as in [40, Chapter III]. In order to define  $\langle\langle -, - \rangle\rangle_p$  without ambiguities, one has to fix the Tate parametrisation  $\Phi_{\text{Tate}}$  introduced in (3), which is unique up to sign. We do this by requiring:  $W(a, i^+(b)) = p^-(a) \cdot b$  for every  $a \in V_p(A)$  and  $b \in \mathbf{Q}_p(1)$ .

### 4.3.3 Basic properties

In this section we discuss the basic properties satisfied by the height-weight pairing, referring to [25, Section 11] and [43] for the proofs.

Section 7 of [24] defines a symmetric (cyclotomic) canonical height pairing

$$\langle -, - \rangle_p^{\text{cyc}} : H_f^1(\mathbf{Q}, V_p(A)) \otimes_{\mathbf{Q}_p} H_f^1(\mathbf{Q}, V_p(A)) \longrightarrow \mathbf{Q}_p,$$

denoted  $h^{\text{can}}$  in [24]. More precisely, after identifying  $V_p(A)$  with its Kummer dual under the Weil pairing, the definition of  $h^{\text{can}}$  rests on the choices of a continuous morphism  $\lambda_p : \mathbb{A}_{\mathbf{Q}}^* / \mathbf{Q}^* \rightarrow \mathbf{Q}_p$  (where  $\mathbb{A}_{\mathbf{Q}}^*$  is the group of ideles of  $\mathbf{Q}$ ) and a splitting  $\text{sp} : D_{\text{dR}}(V_p(A)) \twoheadrightarrow \text{Fil}^0 D_{\text{dR}}(V_p(A))$  of the natural filtration. In the definition of  $\langle -, - \rangle_p^{\text{cyc}}$ ,  $\lambda_p$  is the composition of the Artin map  $\mathbb{A}_{\mathbf{Q}}^* / \mathbf{Q}^* \rightarrow G_{\mathbf{Q}}^{\text{ab}}$  with  $\log_p \circ \chi_{\text{cyc}} : G_{\mathbf{Q}}^{\text{ab}} \rightarrow \mathbf{Q}_p$ , and  $\text{sp}$  is the splitting induced by (24). Let  $\{\cdot\} : \mathcal{I} \rightarrow \mathcal{I} / \mathcal{I}^2$  denote the projection. Given  $g(k, s) = a \cdot \{s - 1\} + b \cdot \{k - 2\} \in \mathcal{I} / \mathcal{I}^2$ , write  $\frac{d}{ds} g(2, s)_{s=1} := a$  and  $\frac{d}{dk} g(k, 1)_{k=2} := b$ .

**Theorem 4.2** *The  $\mathbf{Q}_p$ -bilinear form  $\langle\langle -, - \rangle\rangle_p$  enjoys the following properties.*

1. (Cyclotomic specialisation) For every  $x, y \in H_f^1(\mathbf{Q}, V_p(A))$ :

$$\frac{d}{ds} (\langle\langle x, y \rangle\rangle_p(2, s))_{s=1} = \langle x, y \rangle_p^{\text{cyc}}.$$

2. (Exceptional zero formulae) For every  $z \in H_f^1(\mathbf{Q}, V_p(A))$ :

$$\langle\langle q_A, q_A \rangle\rangle_p = \log_p(q_A) \cdot \{s - k/2\}; \quad \langle\langle q_A, z \rangle\rangle_p = \log_A(\text{res}_p(z)) \cdot \{s - 1\},$$

where  $\log_A = \log_{q_A} \circ \Phi_{\text{Tate}}^{-1} : H_f^1(\mathbf{Q}_p, V_p(A)) \cong A(\mathbf{Q}_p) \widehat{\otimes} \mathbf{Q}_p \rightarrow \mathbf{Q}_p$  is the formal group logarithm.

3. (Functional equation) For every  $x, y \in \widetilde{H}_f^1(\mathbf{Q}, V_p(A))$ :

$$\langle\langle y, x \rangle\rangle_p(k, s) = -\langle\langle x, y \rangle\rangle_p(k, k - s).$$

*Proof* Part 1 is proved in [25, Corollary 11.4.7]. Part 2 and Part 3 are proved in [43]. □

### 5 Exceptional zero formulae à la Rubin

Recall the extended height-weight  $\widetilde{h}_p : H_f^1(\mathbf{Q}, V_p(A)) \rightarrow \mathcal{J}^2 / \mathcal{J}^3$  introduced in (4). For every global class  $\mathfrak{z} = (\mathfrak{z}_n) \in H_{\text{Iw}}^1(\mathbf{Q}_\infty, \mathbb{T})$ , write  $\mathfrak{z}_{n,\psi} \in H^1(\mathfrak{G}_n, V_p(A))$  for the image of  $\mathfrak{z}_n$  under the morphism induced by  $\mathbb{T} \rightarrow \mathbb{T}_\psi \subset V_p(A)$ . The aim of this section is to prove the following theorem, reminiscent of the *Rubin formulae* proved by Rubin [35] and Perrin-Riou [32, Section 2.3] in a different setting (see also [25, Sec. 11]).

**Theorem 5.1** *Let  $\mathfrak{z} = (\mathfrak{z}_n) \in H_{\text{Iw}}^1(\mathbf{Q}_\infty, \mathbb{T})$  and let  $\mathfrak{z} := \mathfrak{z}_{0,\psi} \in H^1(\mathfrak{G}, V_p(A))$ .*

1. We have the equality in  $\mathcal{J} / \mathcal{J}^2$ :

$$\mathcal{L}_{\mathbb{T}}(\text{res}_p(\mathfrak{z}), k, s) \text{ mod } \mathcal{J}^2 = \frac{1}{\text{ord}_p(q_A)} \left(1 - \frac{1}{p}\right)^{-1} \exp_A^*(\text{res}_p(\mathfrak{z})) \cdot \langle\langle q_A, q_A \rangle\rangle_p.$$

*In particular:*  $\mathcal{L}_{\mathbb{T}}(\text{res}_p(\mathfrak{z}), k, s) \in \mathcal{J}^2$  if and only if  $\mathfrak{z} \in H_f^1(\mathbf{Q}, V_p(A))$ .

2. If  $\mathfrak{z} \in H_f^1(\mathbf{Q}, V_p(A))$ , we have the equality in  $\mathcal{J}^2 / \mathcal{J}^3$ :

$$\log_A(\text{res}_p(\mathfrak{z})) \cdot \mathcal{L}_{\mathbb{T}}(\text{res}_p(\mathfrak{z}), k, s) \text{ mod } \mathcal{J}^3 = \frac{-1}{\text{ord}_p(q_A)} \left(1 - \frac{1}{p}\right)^{-1} \cdot \widetilde{h}_p(\mathfrak{z}).$$

This result, whose proof is given in Sect. 5.2 below, becomes particularly relevant when combined with the work of Kato. Recall the class  $\mathfrak{Z}_\infty^{\text{BK}} \in H^1_{\text{Iw}}(\mathbf{Q}_\infty, \mathbb{T})$  appearing in Theorem 2.4. By *loc. cit.* and Eq. (20)

$$\mathcal{L}_{\mathbb{T}}(\text{res}_p(\mathfrak{Z}_\infty^{\text{BK}}), k, s) = L_p(f_\infty, k, s). \tag{42}$$

With the notations of the introduction, we set

$$\zeta_\infty^{\text{BK}} := \mathfrak{Z}_{\infty, \psi}^{\text{BK}} \in H^1_{\text{Iw}}(\mathbf{Q}_\infty, T_p(A)); \quad \zeta^{\text{BK}} = \mathfrak{Z}_{0, \psi}^{\text{BK}} \in H^1(\mathfrak{G}, V_p(A)).$$

By Corollary 3.5 and Eq. (17),  $\mathcal{L}_A(\text{res}_p(\zeta_\infty^{\text{BK}})) = L_p(A/\mathbf{Q})$ ; this is Eq. (1) in the introduction.

Equation (42) and Theorem 5.1(1) yield the following result, which in light of Kato’s reciprocity law (2) and Theorem 4.2(2) can be seen as a variant of the main result of [10].

**Theorem 5.2** *We have the equality in  $\mathcal{I} / \mathcal{I}^2$ :*

$$L_p(f_\infty, k, s) \text{ mod } \mathcal{I}^2 = \frac{1}{\text{ord}_p(q_A)} \left(1 - \frac{1}{p}\right)^{-1} \exp_A^*(\text{res}_p(\zeta^{\text{BK}})) \cdot \langle q_A, q_A \rangle_p.$$

*In particular,  $L_p(f_\infty, k, s) \in \mathcal{I}^2$  if and only if  $\zeta^{\text{BK}}$  is a Selmer class.*

Theorem 5.1(2) and (42) combine to give the following theorem (cf. Sect. 1.3).

**Theorem 5.3** *Assume that  $\zeta^{\text{BK}} \in H^1_f(\mathbf{Q}, V_p(A))$ . Then we have the equality in  $\mathcal{I}^2 / \mathcal{I}^3$ :*

$$\log_A(\text{res}_p(\zeta^{\text{BK}})) \cdot L_p(f_\infty, k, s) \text{ mod } \mathcal{I}^3 = \frac{-1}{\text{ord}_p(q_A)} \left(1 - \frac{1}{p}\right)^{-1} \cdot \tilde{h}_p(\zeta^{\text{BK}}).$$

### 5.1 Derivatives of cohomology classes

With the notations of Sect. 4.3, Shapiro’s lemma gives a natural isomorphism of  $\mathcal{R}$ -modules

$$H^1(\mathbf{Q}_p, T^-) \cong H^1_{\text{Iw}}(\mathbf{Q}_{p, \infty}, \mathbb{T}^-) \otimes_{\bar{\mathcal{R}}} \mathcal{R},$$

under which the morphism  $\xi_*: H^1(\mathbf{Q}_p, T^-) \rightarrow H^1(\mathbf{Q}_p, \mathbf{Q}_p)$  induced by  $T^- \rightarrow T_{\xi}^- \cong \mathbf{Q}_p$  (see (38)) corresponds to the  $\mathcal{R}$ -base change of  $H^1_{\text{Iw}}(\mathbf{Q}_{p, \infty}, \mathbb{T}^-) \rightarrow H^1(\mathbf{Q}_p, \mathbf{Q}_p)$ ;  $(\mathfrak{Z}_n) \mapsto \mathfrak{Z}_{0, \psi}$ . Under this isomorphism,

$\mathcal{L}_{\mathbb{T}}(\cdot, k, s)$  gives rise to a morphism of  $\mathcal{R}$ -modules (denoted again by the same symbol)

$$\mathcal{L}_{\mathbb{T}}(\cdot, k, s): H^1(\mathbf{Q}_p, T^-) \longrightarrow \mathcal{I} \subset \mathcal{M}^{\text{reg}}.$$

As usual, one writes  $\mathcal{L}_{\mathbb{T}}(\cdot, k, s): H^1(\mathbf{Q}_p, T) \rightarrow \mathcal{I}$  also for the morphism induced by the projection  $p^-: T \twoheadrightarrow T^-$ .

Denote by  $H^1(\mathbf{Q}_p, T)^o \subset H^1(\mathbf{Q}_p, T)$  the submodule consisting of classes  $\mathfrak{Y}$  such that  $p^-(\mathfrak{Y}) \in \mathcal{P} \cdot H^1(\mathbf{Q}_p, T^-)$ . Given  $\mathfrak{Y} \in H^1(\mathbf{Q}_p, T)^o$ , choose  $\mathfrak{Y}_{\varpi}, \mathfrak{Y}_{\zeta} \in H^1(\mathbf{Q}_p, T^-)$  such that  $p^-(\mathfrak{Y}) = \varpi \cdot \mathfrak{Y}_{\varpi} + \zeta \cdot \mathfrak{Y}_{\zeta}$ , write  $\eta_{\varpi}, \eta_{\zeta} \in \text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p)$  for the images of  $\mathfrak{Y}_{\varpi}, \mathfrak{Y}_{\zeta}$  under  $\xi_*$  and define

$$\begin{aligned} \text{Der}_{\text{wt}}(\mathfrak{Y}) &:= \log_p(\varpi) \cdot \eta_{\varpi}(e(1)); & \text{Der}_{\text{cyc}}(\mathfrak{Y}) &:= \log_p(\zeta) \cdot \eta_{\zeta}(p^{-1}); \\ \text{Der}_{\dagger}(\mathfrak{Y}) &:= \log_p(\varpi) \cdot \eta_{\varpi}(p^{-1}) - \frac{1}{2} \log_p(\zeta) \cdot \mathcal{L}_p(A) \cdot \eta_{\zeta}(e(1)), \end{aligned}$$

where  $\log_p(\varpi) := \log_p(\gamma_0)$  and  $\log_p(\zeta) := \log_p(\chi_{\text{cyc}}(\sigma_0))$ . Note that, for  $* \in \{\text{wt}, \text{cyc}, \dagger\}$ , the definition of  $\text{Der}_*(\mathfrak{Y})$  depends a priori on the choice of the classes  $\mathfrak{Y}_{\varpi}$  and  $\mathfrak{Y}_{\zeta}$ . That it is indeed independent of this choice is a consequence of the following corollary of Theorem 3.1 and the non-vanishing of  $\mathcal{L}_p(A)$ .

**Corollary 5.4** *For every  $\mathfrak{Y} \in H^1(\mathbf{Q}_p, T)^o$ , we have*

$$\begin{aligned} \left(1 - \frac{1}{p}\right) \mathcal{L}_{\mathbb{T}}(\mathfrak{Y}, k, s) &\equiv \text{Der}_{\text{cyc}}(\mathfrak{Y}) \cdot (s - 1)^2 + \text{Der}_{\dagger}(\mathfrak{Y}) \cdot (s - 1)(k - 2) \\ &\quad - \frac{1}{2} \mathcal{L}_p(A) \cdot \text{Der}_{\text{wt}}(\mathfrak{Y}) \cdot (k - 2)^2 \pmod{\mathcal{I}^3}. \end{aligned}$$

*Proof* As  $\log_p(\varpi)(k - 2)$  and  $\log_p(\zeta)(s - 1)$  are the linear terms of  $\overline{\mathbb{M}}(\varpi)$  and  $\overline{\mathbb{M}}(\zeta)$  respectively, and  $\mathcal{L}_{\mathbb{T}}(\cdot, k, s)$  factorises through an  $\mathcal{R}$ -linear map on  $H^1(\mathbf{Q}_p, T^-)$ , this is a direct consequence of Theorem 3.1(1). □

### 5.2 Proof of Theorem 5.1

Part 1 of the theorem follows by combining Theorem 3.1(2) with Theorem 4.2(2). We then concentrate on the proof of Part 2 in the rest of this section.

#### Notations

With the notations of Sect. 4.1, set  $\widetilde{C}_f^{\bullet}(M) := \widetilde{C}_f^{\bullet}(\mathfrak{G}, M)$ . Write  $\tilde{x} = (x, x^+, y)$  for an  $n$ -cochain of  $\widetilde{C}_f^{\bullet}(M)$ , where  $x \in C_{\text{cont}}^n(\mathfrak{G}, M)$ ,  $x^+ \in$

$C_{\text{cont}}^n(\mathbf{Q}_p, M^+)$  and  $y = (y_l)_{l|Np} \in \bigoplus_{l|Np} C_{\text{cont}}^{n-1}(\mathbf{Q}_l, M)$ . Denote by  $\tilde{d}$  the differentials of  $\tilde{C}_f^\bullet(M)$ , so that  $\tilde{d}(\tilde{x}) = (d(x), d(x^+), i^+(x^+) - \text{res}_{Np}(x) - d(y))$ , where the  $d$ 's are the differentials of  $C_{\text{cont}}^\bullet(-, -)$ . Write  $\xi_*: \tilde{C}_f^\bullet(T) \rightarrow \tilde{C}_f^\bullet(V_p(A))$ ,  $\xi_*: C_{\text{cont}}^\bullet(\mathfrak{G}, T) \rightarrow C_{\text{cont}}^\bullet(\mathfrak{G}, V_p(A))$  and  $\xi_*: C_{\text{cont}}^\bullet(\mathbf{Q}_p, T^?) \rightarrow C_{\text{cont}}^\bullet(\mathbf{Q}_p, V_p(A)^?)$  (with  $? \in \{\emptyset, \pm\}$ ) to denote the morphisms induced on cochains by  $T \rightarrow T_\xi \cong V_p(A)$  (see Eq. (38)). Finally, write  $\mathbf{R}\Gamma_f(M) := \mathbf{R}\Gamma_f(\mathbf{Q}, M)$  and  $\tilde{H}_f^*(M) := \tilde{H}_f^*(\mathbf{Q}, M)$ .

5.2.1 A description of  $\tilde{\beta}_p$

In order to prove the theorem, we need a more concrete description of the Bockstein map  $\tilde{\beta}_p$ . This is addressed in the following lemma.

**Lemma 5.5** *Let  $\tilde{x} \in \tilde{C}_f^1(V_p(A))$  be a 1-cocycle, and let  $\tilde{X} \in \tilde{C}_f^1(T)$  and  $\tilde{Y}_\varpi, \tilde{Y}_\zeta \in \tilde{C}_f^2(T)$  be cochains such that:*

- (a)  $\xi_*(\tilde{X}) = \tilde{x}$ ;
- (b)  $\tilde{d}(\tilde{X}) = \varpi \cdot \tilde{Y}_\varpi + \zeta \cdot \tilde{Y}_\zeta$ .

Then  $\tilde{y}_\varpi := \xi_*(\tilde{Y}_\varpi)$  and  $\tilde{y}_\zeta := \xi_*(\tilde{Y}_\zeta)$  are 2-cocycles of  $\tilde{C}_f^\bullet(V_p(A))$  and

$$-\tilde{\beta}_p([\tilde{x}]) = [\tilde{y}_\varpi] \otimes \{\varpi\} + [\tilde{y}_\zeta] \otimes \{\zeta\} \in \tilde{H}_f^2(V_p(A)) \otimes_{\mathbf{Q}_p} \mathcal{P} / \mathcal{P}^2$$

(where  $[\star]$  denotes the cohomology class of  $\star$ , and  $\{\cdot\}: \mathcal{P} \rightarrow \mathcal{P} / \mathcal{P}^2$  the projection).

*Proof* Consider the complex of  $\mathcal{R}$ -modules, concentrated in degrees  $(-2, 0)$ :

$$K_\bullet := K_\bullet(\varpi, \zeta): \mathcal{R} \xrightarrow{d_2} \mathcal{R} \oplus \mathcal{R} \xrightarrow{d_1} \mathcal{R},$$

where  $d_2(r) = (-r\zeta, r\varpi)$  and  $d_1(r, s) = r\varpi + s\zeta$ . It is the Koszul complex of the  $\mathcal{R}$ -sequence  $(\varpi, \zeta)$  generating  $\mathcal{P}$ . Note that the morphism  $\xi$  in degree zero defines a quasi-isomorphism  $\xi: K_\bullet \rightarrow \mathbf{Q}_p$ . Similarly, one has a quasi-isomorphism  $\xi': K_\bullet^2 \rightarrow \mathbf{Q}_p^2 \cong \mathcal{P} / \mathcal{P}^2$ , defined in degree zero by  $\xi'(r, s) = \xi(r)\{\varpi\} + \xi(s)\{\zeta\}$ . It is then easily verified that there is a commutative diagram in  $D(\mathcal{R})$ :

$$\begin{CD} K_\bullet @>\hat{\partial}_\xi>> K_\bullet^2[1] \\ @V\xi VV @VV\xi'[1]V \\ \mathbf{Q}_p @>\partial_\xi>> \mathcal{P} / \mathcal{P}^2[1], \end{CD} \tag{43}$$

where  $\partial_\xi$  is the morphism which appears in the exact triangle (41) and  $\widehat{\partial}_\xi$  is the morphism of complexes

$$\begin{array}{ccccc}
 & & \mathcal{R} & \xrightarrow{d_2} & \mathcal{R}^2 & \xrightarrow{d_1} & \mathcal{R} \\
 & & \downarrow \mu & & \parallel & & \\
 \mathcal{R}^2 & \xrightarrow{-d_2 \oplus -d_2} & \mathcal{R}^4 & \xrightarrow{-d_1 \oplus -d_1} & \mathcal{R}^2 & & 
 \end{array}$$

with  $\mu(r) := (0, r, -r, 0)$  for every  $r \in \mathcal{R}$ .

As  $K_\bullet \cong \mathbf{Q}_p$  in  $D(\mathcal{R})$  and  $K_\bullet$  is a complex of free  $\mathcal{R}$ -modules, there are functorial isomorphisms in  $D(\mathcal{R})$ :

$$C \otimes_{\mathcal{R}} K_\bullet \cong C \otimes_{\mathcal{R}, \xi}^L \mathbf{Q}_p; \quad C \otimes_{\mathcal{R}} K_\bullet^2 \cong C \otimes_{\mathcal{R}}^L \mathcal{P} / \mathcal{P}^2 \tag{44}$$

for every cohomologically bounded complex  $C \in D(\mathcal{R})^b$ . Since  $T$  and  $T^\pm$  are free  $\mathcal{R}$ -modules, the natural projection  $K_\bullet \rightarrow \mathcal{R} / \mathcal{P}$  (in degree zero) induces a quasi-isomorphism

$$\widetilde{C}_f^\bullet(T) \otimes_{\mathcal{R}} K_\bullet \xrightarrow{\text{qis}} \widetilde{C}_f^\bullet(T) \otimes_{\mathcal{R}} \mathcal{R} / \mathcal{P}. \tag{45}$$

The complex on the right is isomorphic to  $\widetilde{C}_f^\bullet(T_\xi) \cong \widetilde{C}_f^\bullet(V_p(A))$ , as follows from [25, Proposition 3.4.2]. Then  $\xi_*: \widetilde{C}_f^\bullet(T) \rightarrow \widetilde{C}_f^\bullet(V_p(A))$  and (45) define a quasi-isomorphism

$$\Xi: \widetilde{C}_f^\bullet(T) \otimes_{\mathcal{R}} K_\bullet \xrightarrow{\text{qis}} \widetilde{C}_f^\bullet(V_p(A)),$$

inducing via (44) the first isomorphism in (40). Similarly, consider the quasi-isomorphism

$$\Xi': \widetilde{C}_f^\bullet(T) \otimes_{\mathcal{R}} K_\bullet^2 \xrightarrow{\Xi^2} \widetilde{C}_f^\bullet(V_p(A)) \otimes_{\mathbf{Q}_p} \mathbf{Q}_p^2 \cong \widetilde{C}_f^\bullet(V_p(A)) \otimes_{\mathbf{Q}_p} \mathcal{P} / \mathcal{P}^2.$$

The second isomorphism displayed in (40) is then induced by  $\Xi'$  via (44).

Together with (43), the preceding discussion describes the morphism  $\widetilde{\beta}_p$  as the composition

$$\begin{aligned}
 \widetilde{\beta}_p: \widetilde{H}_f^1(V_p(A)) &\xrightarrow{\Xi_1^{-1}} H^1\left(\widetilde{C}_f^\bullet(T) \otimes_{\mathcal{R}} K_\bullet\right) \xrightarrow{(\text{id} \otimes \widehat{\partial}_\xi)_1} \\
 H^2\left(\widetilde{C}_f^\bullet(T) \otimes_{\mathcal{R}} K_\bullet^2\right) &\xrightarrow{\Xi'_2} \widetilde{H}_f^2(V_p(A)) \otimes_{\mathbf{Q}_p} \mathcal{P} / \mathcal{P}^2, \tag{46}
 \end{aligned}$$

where  $(\cdot)_n := H^n(\cdot)$ . Take now  $\tilde{x}, \tilde{X}, \tilde{Y}_\varpi$  and  $\tilde{Y}_\zeta$  as in the statement. The relation (b) gives  $\varpi \cdot \tilde{d}(\tilde{Y}_\varpi) = -\zeta \cdot \tilde{d}(\tilde{Y}_\zeta)$ . This easily implies that  $\tilde{d}(\tilde{Y}_\varpi) = \zeta \cdot \tilde{U}$  and  $\tilde{d}(\tilde{Y}_\zeta) = -\varpi \cdot \tilde{U}$ , for a 3-cocycle  $\tilde{U} \in \tilde{C}_f^3(T)$ . Then (b) tells us that

$$\mathbf{X} := (\tilde{U}, (-\tilde{Y}_\varpi, -\tilde{Y}_\zeta), \tilde{X}) \in \tilde{C}_f^3(T) \oplus \tilde{C}_f^2(T)^2 \oplus \tilde{C}_f^1(T) = (\tilde{C}_f^\bullet(T) \otimes_{\mathcal{R}} K_\bullet)^1$$

is a 1-cocycle, and by (a):  $\Xi_1([\mathbf{X}]) = [\xi_*(\tilde{X})] = [\tilde{x}]$ . Applying  $(\text{id} \otimes \widehat{\partial}_\xi)_1$  to  $\mathbf{X}$  we obtain the 2-cocycle

$$\mathbf{Y} := ((0, \tilde{U}, -\tilde{U}, 0), (-\tilde{Y}_\varpi, -\tilde{Y}_\zeta)) \in \tilde{C}_f^3(T)^4 \oplus \tilde{C}_f^2(T)^2 \subset (\tilde{C}_f^\bullet(T) \otimes_{\mathcal{R}} K_\bullet^2)^2.$$

By Eq. (46) one has

$$\tilde{\beta}_p([\tilde{x}]) = \Xi'_2([\mathbf{Y}]) = [\xi_*(-\tilde{Y}_\varpi)] \otimes \{\varpi\} + [\xi_*(-\tilde{Y}_\zeta)] \otimes \{\zeta\},$$

as was to be shown. □

### 5.2.2 Proof of Part 2 of Theorem 5.1

Let us begin with two simple lemmas.

**Lemma 5.6** 1. *The natural projections induce isomorphisms*

$$H^1(\mathbf{Q}_p, T^-)/\varpi \cong H^1(\mathbf{Q}_p, T^-/\varpi); \quad H^1(\mathbf{Q}_p, T^-)/\zeta \cong H^1(\mathbf{Q}_p, T^-/\zeta).$$

2.  $\xi_*$  induces an isomorphism  $H^1(\mathbf{Q}_p, T^-) \otimes_{\mathcal{R}} \mathcal{R}/\mathcal{P} \cong H^1(\mathbf{Q}_p, \mathbf{Q}_p)$ .

*Proof* 1. We prove the first isomorphism, the other being similar. Since  $(T^-/\varpi)/\zeta = T^-/\mathcal{P} \cong \mathbf{Q}_p$ ,  $H^2(\mathbf{Q}_p, T^-/\varpi)/\zeta$  is a submodule of  $H^2(\mathbf{Q}_p, \mathbf{Q}_p) = 0$ , hence  $H^2(\mathbf{Q}_p, T^-/\varpi) = 0$  by Nakayama’s lemma. We have short exact sequences

$$0 \longrightarrow H^q(\mathbf{Q}_p, T^-)/\varpi \longrightarrow H^q(\mathbf{Q}_p, T^-/\varpi) \longrightarrow H^{q+1}(\mathbf{Q}_p, T^-)[\varpi] \longrightarrow 0.$$

Taking  $q = 2$  yields  $H^2(\mathbf{Q}_p, T^-)/\varpi = 0$ , and then  $H^2(\mathbf{Q}_p, T^-) = 0$  by another application of Nakayama’s lemma. Taking now  $q = 1$  in the exact sequence above, one finds  $H^1(\mathbf{Q}_p, T^-)/\varpi \cong H^1(\mathbf{Q}_p, T^-/\varpi)$ .

2. By an argument similar to that proving Part 1, the vanishing of  $H^2(\mathbf{Q}_p, \mathbf{Q}_p)$  implies that  $H^1(\mathbf{Q}_p, T^-/\varpi)/\zeta$  is isomorphic to  $H^1(\mathbf{Q}_p, \mathbf{Q}_p)$ . Together with Part 1 this concludes the proof. □

Taking  $\mathcal{S} = \mathbf{Q}_p$  and  $M = V_p(A)$  in Eq. (33) (so that  $M^- = \mathbf{Q}_p$ ), one can extract from the long exact sequence a morphism

$$J : \text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p) = H^1(\mathbf{Q}_p, \mathbf{Q}_p) \rightarrow \tilde{H}_f^2(V_p(A)).$$

We recall also the morphism  $\wp^+ : \tilde{H}_f^1(V_p(A)) \rightarrow H^1(\mathbf{Q}_p, \mathbf{Q}_p(1)) = \mathbf{Q}_p^* \widehat{\otimes} \mathbf{Q}_p$  introduced in Sect. 4.2.

**Lemma 5.7** *For every  $x \in \tilde{H}_f^1(V_p(A))$  and every  $\kappa \in H^1(\mathbf{Q}_p, \mathbf{Q}_p)$ :*

$$\langle J(\kappa), x \rangle_{\text{Nek}} = -\kappa(\wp^+(x)).$$

*Proof* Let  $\hat{\kappa} \in C_{\text{cont}}^1(\mathbf{Q}_p, V_p(A))$  be a 1-cochain lifting  $\kappa$  under the map  $p_*^- : C_{\text{cont}}^\bullet(\mathbf{Q}_p, V_p(A)) \rightarrow C_{\text{cont}}^\bullet(\mathbf{Q}_p, \mathbf{Q}_p)$  and let  $d\hat{\kappa} = i^+(c(\kappa)^+)$ , for a 2-cocycle  $c(\kappa)^+ \in C_{\text{cont}}^2(\mathbf{Q}_p, \mathbf{Q}_p(1))$ . By construction

$$J(\kappa) = [(0, c(\kappa)^+, \hat{\kappa})] \in \tilde{H}_f^2(V_p(A)). \tag{47}$$

Let  $(x, x^+, y) \in \tilde{C}_f^1(V_p(A))$  be a 1-cocycle representing  $x$ , so  $\wp^+(x)$  is represented by  $x^+ \in C_{\text{cont}}^1(\mathbf{Q}_p, \mathbf{Q}_p(1))$ . The definition of  $\langle -, - \rangle_{\text{Nek}}$  in [25, Section 6.3] yields

$$\langle J(\kappa), x \rangle_{\text{Nek}} = \text{inv}_p([\hat{\kappa} \cup_W i^+(x^+)]) = \text{inv}_p(\kappa \cup \wp^+(x)) = -\kappa(\wp^+(x)).$$

Here  $\cup_W : C_{\text{cont}}^\bullet(\mathbf{Q}_p, V_p(A)) \otimes_{\mathbf{Q}_p} C_{\text{cont}}^\bullet(\mathbf{Q}_p, V_p(A)) \rightarrow C_{\text{cont}}^\bullet(\mathbf{Q}_p, \mathbf{Q}_p(1))$  is the cup-product induced by the Weil pairing  $W$ , and  $\text{inv}_p : H^2(\mathbf{Q}_p, \mathbf{Q}_p(1)) \cong \mathbf{Q}_p$  is the invariant map. The second equality follows from Remark 4.1, while the last equality is a consequence of local class field theory [39].  $\square$

We are now ready to begin the actual proof of Part 2 of Theorem 5.1. Let  $\mathfrak{z} = (\mathfrak{z}_n) \in H_{\text{Iw}}^1(\mathbf{Q}_\infty, \mathbb{T})$ , let  $\mathfrak{z} := \mathfrak{z}_{0,\psi}$  and assume that  $\mathfrak{z} \in H_f^1(\mathbf{Q}, V_p(A))$ . As in Sect. 5.1, Shapiro’s lemma gives a natural isomorphism

$$H^1(\mathfrak{G}, T) \cong H_{\text{Iw}}^1(\mathbf{Q}_\infty, \mathbb{T}) \otimes_{\overline{\mathbb{R}}} \mathcal{R}.$$

Write again  $\mathfrak{z} \in H^1(\mathfrak{G}, T)$  for the class corresponding to  $\mathfrak{z} \otimes 1 \in H_{\text{Iw}}^1(\mathbf{Q}_\infty, \mathbb{T}) \otimes_{\overline{\mathbb{R}}} \mathcal{R}$  under this isomorphism, which satisfies  $\mathfrak{z} = \xi_*(\mathfrak{z})$ . Choose a 1-cocycle  $Z \in C_{\text{cont}}^1(\mathfrak{G}, T)$  representing  $\mathfrak{z}$ , and a 1-cochain

$$\tilde{Z} = (Z, \dagger, \ddagger) \in \tilde{C}_f^1(T) \quad \text{such that } [\xi_*(\tilde{Z})] = \mathfrak{z} \in \tilde{H}_f^1(V_p(A)).$$

(The shape of  $\dagger \in C_{\text{cont}}^1(\mathbf{Q}_p, T^+)$  and  $\ddagger \in \bigoplus_{l|Np} C_{\text{cont}}^0(\mathbf{Q}_l, T)$  will not be relevant, and we use Eq. (36) to identify  $H_f^1(\mathbf{Q}, V_p(A))$  with a submodule of  $\tilde{H}_f^1(V_p(A))$ .) As  $\xi_*(\tilde{d}(\tilde{Z})) = 0$ , there exist  $\tilde{Y}_\varpi, \tilde{Y}_\zeta \in \tilde{C}_f^2(T)$  such that

$$\tilde{d}(\tilde{Z}) = \varpi \cdot \tilde{Y}_\varpi + \zeta \cdot \tilde{Y}_\zeta. \tag{48}$$



Write  $\tilde{y}_\varpi := \xi_*(\tilde{Y}_\varpi)$  and  $\tilde{y}_\zeta := \xi_*(\tilde{Y}_\zeta)$ . Lemma 5.5 yields

$$-\tilde{\beta}_p(\mathfrak{z}) = [\tilde{y}_\varpi] \otimes \{\varpi\} + [\tilde{y}_\zeta] \otimes \{\zeta\} \in \tilde{H}_f^2(V_p(A)) \otimes_{\mathbf{Q}_p} \mathcal{P}/\mathcal{P}^2. \tag{49}$$

For  $? \in \{\varpi, \zeta\}$ , write  $\tilde{Y}_? = (Y_?, Y_?^+, \hat{K}_? + \hat{L}_?)$ , where

$$Y_? \in C_{\text{cont}}^2(\mathfrak{O}, T); \quad Y_?^+ \in C_{\text{cont}}^2(\mathbf{Q}_p, T^+);$$

$$\hat{K}_? \in C_{\text{cont}}^1(\mathbf{Q}_p, T); \quad \hat{L}_? \in \bigoplus_{l|N} C_{\text{cont}}^1(\mathbf{Q}_l, T).$$

Since  $d(Z) = 0$ , (48) gives  $\varpi \cdot Y_\varpi = -\zeta \cdot Y_\zeta$  and this implies  $\xi_*(Y_?) = 0$ , as  $T$  and  $T^+$  are free  $\mathcal{R}$ -modules. Define

$$y_?^+ := \xi_*(Y_?^+) \in C_{\text{cont}}^2(\mathbf{Q}_p, \mathbf{Q}_p(1)); \quad \hat{k}_? := \xi_*(\hat{K}_?) \in C_{\text{cont}}^1(\mathbf{Q}_p, V_p(A)).$$

Since  $\mathbf{R}\Gamma_{\text{cont}}(\mathbf{Q}_l, V_p(A)) \cong 0$  for every prime  $l \neq p$  one deduces

$$[\tilde{y}_?] = [(0, y_?^+, \hat{k}_?)] = J(\kappa_?); \quad \kappa_? := p_*^-(\hat{k}_?) \in H^1(\mathbf{Q}_p, \mathbf{Q}_p)$$

(see Eq. (47)). Lemma 5.7 and (49) then give: for every  $\mathbf{x} \in \tilde{H}_f^1(V_p(A))$

$$\langle \mathfrak{z}, \mathbf{x} \rangle_p = \langle -, - \rangle_{\text{Nek}} \otimes \bar{M}(\tilde{\beta}_p(\mathfrak{z}) \otimes \mathbf{x})$$

$$= \log_p(\varpi) \cdot \kappa_\varpi(\wp^+(\mathbf{x})) \cdot \{k - 2\} + \log_p(\zeta) \cdot \kappa_\zeta(\wp^+(\mathbf{x})) \cdot \{s - 1\}. \tag{50}$$

**Lemma 5.8** *The class  $\text{res}_p(\mathfrak{z})$  belongs to  $H^1(\mathbf{Q}_p, T)^o$  and we have*

$$\log_p(\zeta) \cdot \kappa_\zeta(p^{-1}) = -\text{Der}_{\text{cyc}}(\text{res}_p(\mathfrak{z}));$$

$$\log_p(\varpi) \cdot \kappa_\varpi(e(1)) = -\text{Der}_{\text{wt}}(\text{res}_p(\mathfrak{z}));$$

$$\log_p(\varpi) \cdot \kappa_\varpi(p^{-1}) - \frac{1}{2} \log_p(\zeta) \cdot \mathcal{L}_p(A) \cdot \kappa_\zeta(e(1)) = -\text{Der}_\dagger(\text{res}_p(\mathfrak{z})).$$

*Proof* Since  $\mathfrak{z}$  is a Selmer class,  $p^-(\text{res}_p(\mathfrak{z}))$  is in the kernel of the morphism  $\xi_*: H^1(\mathbf{Q}_p, T^-) \rightarrow H^1(\mathbf{Q}_p, \mathbf{Q}_p)$  (see Eq. (34)). Lemma 5.6(2) then implies that  $\text{res}_p(\mathfrak{z}) \in H^1(\mathbf{Q}_p, T)^o$ .

Write  $K_? := p_*^-(\hat{K}_?)$ , so that  $\xi_*(K_?) = \kappa_?$ . By Eq. (48)

$$-p_*^-(\text{res}_p(Z)) \approx \varpi \cdot K_\varpi + \zeta \cdot K_\zeta,$$

where  $\approx$  denotes equality up to coboundaries. In particular the sum in the R.H.S. is a 1-cocycle in  $C_{\text{cont}}^1(\mathbf{Q}_p, T^-)$ . Then  $\varpi \cdot (K_\varpi \bmod \zeta) \in C_{\text{cont}}^1(\mathbf{Q}_p, T^-/\zeta)$  is a 1-cocycle, as  $T^-/\zeta$

is free over  $\mathcal{R}/\zeta$ . Similarly,  $(K_\zeta \bmod \varpi) \in C^1_{\text{cont}}(\mathbf{Q}_p, T^-/\varpi)$  is a 1-cocycle. Lemma 5.6 then implies the existence of 1-cocycles  $A_\varpi, A_\zeta \in C^1_{\text{cont}}(\mathbf{Q}_p, T^-)$  and 1-cochains  $B_\varpi, B_\zeta \in C^1_{\text{cont}}(\mathbf{Q}_p, T^-)$  such that

$$A_\varpi \approx K_\varpi + \zeta \cdot B_\varpi; \quad A_\zeta \approx K_\zeta + \varpi \cdot B_\zeta.$$

Note that  $\varpi \zeta \cdot (B_\varpi + B_\zeta) \in C^1_{\text{cont}}(\mathbf{Q}_p, T^-)$  is a 1-cocycle; using again the fact that  $T^-$  is  $\mathcal{R}$ -free, this implies that  $B_\varpi + B_\zeta$  itself is a 1-cocycle. We then deduce the congruence

$$-p^-(\text{res}_p(\mathfrak{z})) = [\varpi \cdot K_\varpi + \zeta \cdot K_\zeta] \equiv \varpi \cdot [A_\varpi] + \zeta \cdot [A_\zeta] \pmod{\mathcal{P}^2 \cdot H^1(\mathbf{Q}_p, T^-)}.$$

Since  $\kappa_\varpi = \xi_*([A_\varpi])$  and  $\kappa_\zeta = \xi_*([A_\zeta])$ , the lemma follows from the definition of the derivatives of  $\text{res}_p(\mathfrak{z})$ . □

Coming back to our proof, since the  $p$ -adic logarithm  $\log_p$  and the  $p$ -adic valuation  $\text{ord}_p$  give a  $\mathbf{Q}_p$ -basis of  $\text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p)$ , Lemma 5.8 allows us to write

$$\begin{aligned} -\log_p(\zeta) \cdot \kappa_\zeta &:= a(\zeta) \cdot \log_p -\text{Der}_{\text{cyc}}(\text{res}_p(\mathfrak{z})) \cdot \text{ord}_p; \\ -\log_p(\varpi) \cdot \kappa_\varpi &= \text{Der}_{\text{wt}}(\text{res}_p(\mathfrak{z})) \cdot \log_p + b(\varpi) \cdot \text{ord}_p, \end{aligned} \tag{51}$$

for (unique) constants  $a(\zeta), b(\varpi) \in \mathbf{Q}_p$  which satisfy

$$b(\varpi) + \frac{1}{2} \mathcal{L}_p(A) \cdot a(\zeta) = -\text{Der}_\dagger(\text{res}_p(\mathfrak{z})). \tag{52}$$

Since  $\wp^+(\mathfrak{z}) \in \mathbf{Z}_p^* \widehat{\otimes} \mathbf{Q}_p$  by Eq. (35) and  $\wp^+(q_A) = q_A \widehat{\otimes} 1$  (cf. Eq. (36)), Eqs. (50) and (51) yield

$$-\langle\langle \mathfrak{z}, \mathfrak{z} \rangle\rangle_p = a(\zeta) \cdot \log_A(\text{res}_p(\mathfrak{z})) \cdot \{s-1\} + \text{Der}_{\text{wt}}(\text{res}_p(\mathfrak{z})) \cdot \log_A(\text{res}_p(\mathfrak{z})) \cdot \{k-2\}, \tag{53}$$

and

$$\begin{aligned} -\langle\langle \mathfrak{z}, q_A \rangle\rangle_p &= (a(\zeta) \cdot \log_p(q_A) - \text{Der}_{\text{cyc}}(\text{res}_p(\mathfrak{z})) \cdot \text{ord}_p(q_A)) \cdot \{s-1\} \\ &\quad + (\text{Der}_{\text{wt}}(\text{res}_p(\mathfrak{z})) \cdot \log_p(q_A) + b(\varpi) \cdot \text{ord}_p(q_A)) \cdot \{k-2\} \end{aligned} \tag{54}$$

(where we have used the formula  $\log_p \circ \wp^+(\mathfrak{z}) = \log_A(\text{res}_p(\mathfrak{z}))$ , which follows immediately retracing the definitions of  $\log_A$  and  $\wp^+$ ). Moreover, the exceptional zero formulae displayed in Theorem 4.2(2) give the identities

$$\langle\langle q_A, q_A \rangle\rangle_p = \log_p(q_A) \cdot \{s-k/2\}; \quad \langle\langle q_A, \mathfrak{z} \rangle\rangle_p = \log_A(\text{res}_p(\mathfrak{z})) \cdot \{s-1\}. \tag{55}$$

Using Eqs. (52)–(55) and writing for simplicity  $\text{Der}_\gamma(\mathfrak{z}) := \text{Der}_\gamma(\text{res}_p(\mathfrak{z}))$ , we compute

$$\frac{-\tilde{h}_p(\mathfrak{z})}{\text{ord}_p(q_A)} = \log_A(\text{res}_p(\mathfrak{z})) \times \left( \text{Der}_{\text{cyc}}(\mathfrak{z}) \cdot \{s - 1\}^2 + \text{Der}_\dagger(\mathfrak{z}) \cdot \{s - 1\}\{k - 2\} - \frac{1}{2} \mathcal{L}_p(A) \cdot \text{Der}_{\text{wt}}(\mathfrak{z}) \cdot \{k - 2\}^2 \right)$$

in  $\mathcal{J}^2 / \mathcal{J}^3$ . Part 2 of Theorem 5.1 follows by combining the last equation with Corollary 5.4.

### 6 Proofs of the main results

In this section we prove the results stated in the introduction.

#### 6.1 Proof of Theorem A

As in Sect. 1.3, let  $L_p^{\text{cc}}(f_\infty, k) := L_p(f_\infty, k, k/2) \in \mathcal{A}_U$  and let

$$\tilde{h}_p^{\text{cc}} : H_f^1(\mathbf{Q}, V_p(A)) \rightarrow \mathbf{Q}_p$$

be the composition of  $\tilde{h}_p$  with the morphism  $\mathcal{J}^2 / \mathcal{J}^3 \rightarrow \mathbf{Q}_p$  sending  $\alpha(k, s) \in \mathcal{J}^2$  to  $\frac{d^2}{dk^2} \alpha(k, k/2)_{k=2}$ . By the functional equation for  $\langle\langle -, - \rangle\rangle_p(k, s)$  stated in Theorem 4.2(3),  $\langle\langle -, - \rangle\rangle_p(k, k/2)$  is a skew-symmetric pairing on  $\tilde{H}_f^1(\mathbf{Q}, V_p(A))$ . Together with Theorem 4.2(2) this gives

$$\begin{aligned} \tilde{h}_p^{\text{cc}}(x) &= \frac{d^2}{dk^2} \det \begin{pmatrix} 0 & \frac{1}{2} \log_A(\text{res}_p(x)) \cdot (k - 2) \\ -\frac{1}{2} \log_A(\text{res}_p(x)) \cdot (k - 2) & 0 \end{pmatrix} \Big|_{k=2} \\ &= \frac{1}{2} \log_A^2(\text{res}_p(x)), \end{aligned} \tag{56}$$

for every Selmer class  $x \in H_f^1(\mathbf{Q}, V_p(A))$ .

Assume that  $L(A/\mathbf{Q}, 1) = 0$ , i.e. that  $\zeta^{\text{BK}}$  is a Selmer class by Kato’s reciprocity (2). Combining the Bertolini–Darmon exceptional zero formula of Theorem 2.1, Theorem 5.3 and Eq. (56), one obtains the identity

$$\log_A(\text{res}_p(\zeta^{\text{BK}})) \cdot 2\ell \cdot \log_A^2(\mathbf{P}) = \frac{-1}{\text{ord}_p(q_A)} \left(1 - \frac{1}{p}\right)^{-1} \cdot \log_A^2(\text{res}_p(\zeta^{\text{BK}})), \tag{57}$$

for a non-zero rational number  $\ell \in \mathbf{Q}^*$  and a rational point  $\mathbf{P} \in A(\mathbf{Q}) \otimes \mathbf{Q}$ . Moreover,  $\mathbf{P} \neq 0$  precisely if  $L(A/\mathbf{Q}, s)$  has a simple zero at  $s = 1$ . In order to conclude the proof of Theorem A, we need the following lemma. For every  $\mathfrak{z} \in H^1(\mathfrak{G}, T)$ , write  $\mathcal{L}_{\mathbb{T}}^{\text{cc}}(\text{res}_p(\mathfrak{z}), k)$  for the restriction of  $\mathcal{L}_{\mathbb{T}}(\text{res}_p(\mathfrak{z}), k, s)$  to the central critical line  $s = k/2$ , and let  $\xi_*: H^1(\mathfrak{G}, T) \rightarrow H^1(\mathfrak{G}, V_p(A))$  be the morphism induced by (38).

**Lemma 6.1** *Let  $\mathfrak{z} \in H^1(\mathfrak{G}, T)$  be such that  $\xi_*(\mathfrak{z}) \in H_f^1(\mathbf{Q}, V_p(A))$ . The following statements are equivalent:*

- (a)  $\xi_*(\mathfrak{z})$  is in the kernel of  $\text{res}_p: H_f^1(\mathbf{Q}, V_p(A)) \rightarrow A(\mathbf{Q}_p) \widehat{\otimes} \mathbf{Q}_p$ .
- (b)  $\mathcal{L}_{\mathbb{T}}^{\text{cc}}(\text{res}_p(\mathfrak{z}), k)$  vanishes to order (strictly) greater than 2 at  $k = 2$ .

*Proof* Write  $\mathfrak{z} := \xi_*(\mathfrak{z})$ . Theorem 5.1(2) and Eq. (56) yield

$$\log_A(\text{res}_p(\mathfrak{z})) \cdot \frac{d^2}{dk^2} \mathcal{L}_{\mathbb{T}}^{\text{cc}}(\text{res}_p(\mathfrak{z}), k)_{k=2} \stackrel{\cdot}{=} \log_A^2(\text{res}_p(\mathfrak{z})),$$

where  $\stackrel{\cdot}{=}$  denotes equality up to a non-zero rational factor. Since the formal group logarithm  $\log_A: A(\mathbf{Q}_p) \widehat{\otimes} \mathbf{Q}_p \cong \mathbf{Q}_p$  is an isomorphism, this shows that (b) implies (a).

Assume now that (a) holds. Since  $0 = \text{res}_p(\xi_*(\mathfrak{z})) = \xi_*(\text{res}_p(\mathfrak{z}))$ , one can write  $\text{res}_p(\mathfrak{z}) = \zeta \cdot \mathfrak{z}_{\zeta} + \varpi \cdot \mathfrak{z}_{\varpi}$ , for classes  $\mathfrak{z}_{\zeta}, \mathfrak{z}_{\varpi} \in H^1(\mathbf{Q}_p, T)$ . (Indeed, as  $H^2(\mathbf{Q}_p, V_p(A)) = 0$ , an argument similar to the one appearing in the proof of Lemma 5.6(2) proves that  $H^1(\mathbf{Q}_p, T) \otimes_{\mathcal{R}, \xi} \mathbf{Q}_p \cong H^1(\mathbf{Q}_p, V_p(A))$ .) By Theorem 3.1(2)

$$\begin{aligned} \mathcal{L}_{\mathbb{T}}(\text{res}_p(\mathfrak{z}), k, s) &\equiv \mathcal{L}_p(A) \cdot (\exp_A^*(\mathfrak{z}_{\zeta}) \cdot (s - 1) + \exp_A^*(\mathfrak{z}_{\varpi}) \cdot (k - 2)) \\ &\quad \cdot (s - k/2) \pmod{\mathcal{I}^3}, \end{aligned}$$

where  $\mathfrak{z}_{\varpi} := \log_p(\varpi) \cdot \xi_*(\mathfrak{z}_{\varpi})$ ,  $\mathfrak{z}_{\zeta} := \log_p(\zeta) \cdot \xi_*(\mathfrak{z}_{\zeta}) \in H^1(\mathbf{Q}_p, V_p(A))$ . This shows that (a) implies (b), thus concluding the proof of the lemma.  $\square$

Coming back to our proof, the preceding lemma, applied to  $\mathfrak{z} = \mathfrak{z}_{\infty}^{\text{BK}}$ , tells us that  $\text{res}_p(\zeta^{\text{BK}}) = 0$  (or equivalently  $\log_A(\text{res}_p(\zeta^{\text{BK}})) = 0$ ) if and only if  $L_p^{\text{cc}}(f_{\infty}, k)$  vanishes to order greater than 2 at  $k = 2$ . In addition, Theorem 2.1 tells us that the latter condition is equivalent to  $\mathbf{P} = 0$ . To sum up:  $\text{res}_p(\zeta^{\text{BK}})$  is non-zero if and only if  $\mathbf{P}$  is non-zero. Defining

$$\ell_1 := -2\ell \cdot \text{ord}_p(q_A) \cdot (1 - p^{-1}) \in \mathbf{Q}^*,$$

Eq. (57) then gives

$$\log_A(\text{res}_p(\zeta^{\text{BK}})) = \ell_1 \cdot \log_A^2(\mathbf{P}),$$

concluding the proof of Theorem A.

### 6.2 Proof of Theorem B

Write  $r_{\text{an}} := \text{ord}_{s=1} L(A/\mathbf{Q}, s)$ . That  $r_{\text{an}} \leq 1$  implies  $\zeta^{\text{BK}} \neq 0$  follows from Kato’s reciprocity law (2) (if  $r_{\text{an}} = 0$ ) and Theorem A (if  $r_{\text{an}} = 1$ ).

Conversely, assume that  $\zeta^{\text{BK}}$  is non-zero. The method of Kolyvagin, applied to the Euler system constructed by Kato [16], then tells us that the strict Selmer group

$$\{x \in H^1(\mathfrak{G}, V_p(A)) : \text{res}_p(x) = 0\} \subset H_f^1(\mathbf{Q}, V_p(A))$$

is trivial. For a proof of this result, see Theorem 2.3 and Chapter III, Section 5 of [37]. (Note that  $A$  does not have complex multiplication, since  $\text{ord}_p(j_A) = -\text{ord}_p(q_A) < 0$  [41, Theorem 6.1]. This implies that the hypotheses of [37, Theorem 2.3] are satisfied.) Then the restriction  $\text{res}_p(\zeta^{\text{BK}})$  is non-zero. Using again Theorem A (resp., Eq. (2)), one deduces that  $r_{\text{an}} = 1$  (resp.,  $r_{\text{an}} = 0$ ) if  $\zeta^{\text{BK}}$  is (resp., is not) a Selmer class.

### 6.3 An interlude

In the proofs of Theorems C–E, we need the following lemma.

**Lemma 6.2** *Assume that (Loc) holds and that  $\text{ord}_{s=1} L_p(A/\mathbf{Q}, s) = 2$ . Then  $\zeta^{\text{BK}} \neq 0$ .*

*Proof* We have short exact sequences of  $\mathbf{Q}_p$ -modules (easily deduced from Shapiro’s lemma):

$$0 \rightarrow H_{\text{Iw}}^q(\mathbf{Q}_\infty, V_p(A))/\zeta \rightarrow H^q(\mathfrak{G}, V_p(A)) \rightarrow H_{\text{Iw}}^{q+1}(\mathbf{Q}_\infty, V_p(A))[\zeta] \rightarrow 0,$$

where  $H_{\text{Iw}}^q(\mathbf{Q}_\infty, V_p(A)) := H_{\text{Iw}}^q(\mathbf{Q}_\infty, T_p(A)) \otimes_{\mathbf{Z}_p} \mathbf{Q}_p$ . Since  $H^0(\mathfrak{G}, V_p(A)) = 0$ ,  $H_{\text{Iw}}^1(\mathbf{Q}_\infty, V_p(A))$  has no non-trivial  $\zeta$ -torsion. Moreover a theorem of Rohrlich [34] states that  $L_p(A/\mathbf{Q}) \neq 0$ , so in particular  $\zeta_\infty^{\text{BK}} \neq 0$  by (1). There exist then a unique class  $z_\infty^{\text{BK}} = (z_n^{\text{BK}}) \in H_{\text{Iw}}^1(\mathbf{Q}_\infty, V_p(A))$  and a unique integer  $\rho = \rho_{\text{BK}} \geq 0$  such that

$$\zeta_\infty^{\text{BK}} = \zeta^\rho \cdot z_\infty^{\text{BK}}; \quad 0 \neq z_0^{\text{BK}} \in H^1(\mathfrak{G}, V_p(A)).$$

By Poitou–Tate duality and hypothesis (Loc) one has  $H_f^1(\mathbf{Q}, V_p(A)) = H^1(\mathfrak{G}, V_p(A))$  (see Lemme 2.3.9 of [32]). In particular  $z_0^{\text{BK}} \in H_f^1(\mathbf{Q}, V_p(A))$ , so that

$$\mathcal{L}_A(\text{res}_p(z_\infty^{\text{BK}})) \in \zeta^2 \cdot \Lambda_{\text{cyc}}$$

by Corollary 3.7. This yields

$$L_p(A/\mathbf{Q}) = \mathcal{L}_A(\text{res}_p(\zeta_\infty^{\text{BK}})) \in \zeta^{\rho+2} \cdot \Lambda_{\text{cyc}},$$

i.e.  $\text{ord}_{s=1} L_p(A/\mathbf{Q}, s) \geq \rho + 2$ . Our assumption then forces  $\rho = 0$  and  $\zeta^{\text{BK}} = z_0^{\text{BK}} \neq 0$ , as was to be shown. □

### 6.4 Proof of Theorem C

Assume that  $\text{sign}(A/\mathbf{Q}) = -1$  and that hypothesis **(Loc)** is satisfied. Given  $x \in H_f^1(\mathbf{Q}, V_p(A))$ , write for simplicity  $\log_A(x) = \log_A(\text{res}_p(x))$ .

#### 6.4.1 Step I

Assume that  $\mathbf{P}$  is non-zero, i.e. that  $\text{ord}_{s=1} L(A/\mathbf{Q}, s) = 1$ . Thanks to the work of Gross and Zagier [12] and Kolyvagin [19],  $A(\mathbf{Q})$  has rank one and  $A(\mathbf{Q}) \otimes \mathbf{Q}_p \cong H_f^1(\mathbf{Q}, V_p(A))$ . One can then write  $\zeta^{\text{BK}} = \lambda \cdot \mathbf{P}$ , where  $\lambda = \log_A(\zeta^{\text{BK}})/\log_A(\mathbf{P})$ , so that  $\tilde{h}_p(\zeta^{\text{BK}}) = \lambda^2 \cdot \tilde{h}_p(\mathbf{P})$ . Setting  $\ell_2 := 2\ell$ , Theorems A and 5.3 combine to give the identity

$$L_p(f_\infty, k, s) \pmod{\mathcal{J}^3} = \ell_2 \cdot \tilde{h}_p(\mathbf{P}).$$

#### 6.4.2 Step II

Assume that  $\mathbf{P} = 0$ . We claim that

$$L_p(f_\infty, k, s) \in \mathcal{J}^3. \tag{58}$$

Indeed  $\text{ord}_{s=1} L(A/\mathbf{Q}, s) > 1$  under our assumptions, so that  $\zeta^{\text{BK}} = 0$  by Theorem B. Lemma 6.2 then yields

$$\left. \frac{\partial^2}{\partial s^2} L_p(f_\infty, k, s) \right|_{(k,s)=(2,1)} = \frac{d^2}{ds^2} L_p(A/\mathbf{Q}, s)_{s=1} = 0.$$

Moreover, by the functional equation (22) and Theorem 2.1

$$\left( \frac{\partial^2}{\partial k^2} - \frac{1}{4} \frac{\partial^2}{\partial s^2} \right) L_p(f_\infty, k, s) \Big|_{(k,s)=(2,1)} = \frac{d^2}{dk^2} L_p^{\text{cc}}(f_\infty, k)_{k=2} = 0.$$

Since  $L_p(f_\infty, k, s) \in \mathcal{J}^2$  by Theorem 5.2, the claim (58) follows from the preceding two equations.

### 6.4.3 Step III (conclusions)

We now prove Theorem C. First of all,  $L_p(f_\infty, k, s) \in \mathcal{J}^2$  by Eq. (2) and Theorem 5.2. The  $p$ -adic Gross–Zagier formula which appears in the statement follows from Steps I and II. Finally, the last assertion in the statement is a direct consequence of Theorem 2.1 and Step II.

### 6.5 Proof of Theorem D

Assume that **(Loc)** holds.

If  $\text{sign}(A/\mathbf{Q}) = +1$ , then  $\mathbf{P} = 0$  and the order of vanishing of  $L_p(A/\mathbf{Q}, s)$  at  $s = 1$  is odd by Eq. (22). Moreover  $\frac{d}{ds}L_p(A/\mathbf{Q}, s)_{s=1} = 0$ , as follows from Eq. (2) and Theorem 5.2. Theorem D follows in this case.

Assume now that  $\text{sign}(A/\mathbf{Q}) = -1$ . As above, one easily proves that  $\text{ord}_{s=1}L_p(A/\mathbf{Q}, s) \geq 2$ . Moreover, writing  $\tilde{h}_p(\mathbf{P}; k, s) = \tilde{h}_p(\mathbf{P})$ , Theorem 4.2 yields

$$\begin{aligned} \tilde{h}_p(\mathbf{P}; k, s)|_{k=2} &= \det \begin{pmatrix} \log_p(q_A) & \log_A(\mathbf{P}) \\ \log_A(\mathbf{P}) & \langle \mathbf{P}, \mathbf{P} \rangle_p^{\text{cyc}} \end{pmatrix} \cdot \{s - 1\}^2 \\ &= \log_p(q_A) \cdot \langle \mathbf{P}, \mathbf{P} \rangle_p^{\text{Sch}} \cdot \{s - 1\}^2. \end{aligned}$$

Setting  $\ell_3 := 2\ell_2 \cdot \text{ord}_p(q_A)^{-1}$  and recalling that  $L_p(A/\mathbf{Q}, s) = L_p(f_\infty, 2, s)$  by Eq. (17), Theorem D follows by restricting the formula displayed in Theorem C to the cyclotomic line  $k = 2$ .

### 6.6 Proof of Theorem E

Assume that  $\text{sign}(A/\mathbf{Q}) = -1$  and that **(Loc)** holds.

Writing as above  $\tilde{h}_p(\cdot; k, s) = \tilde{h}_p(\cdot)$ , Theorem 4.2 gives

$$\frac{d^2}{dk^2} \tilde{h}_p(\mathbf{P}; k, 1)_{k=2} = 2 \det \begin{pmatrix} -\frac{1}{2} \log_p(q_A) & 0 \\ -\log_A(\mathbf{P}) & \langle \mathbf{P}, \mathbf{P} \rangle_p^{\text{wt}} \end{pmatrix} = -\log_p(q_A) \cdot \langle \mathbf{P}, \mathbf{P} \rangle_p^{\text{wt}}.$$

On the other hand, by Eq. (23) and Theorem 3.18 of [10]

$$\begin{aligned} \frac{1}{2} \frac{d^2}{dk^2} L_p(f_\infty, k, 1)_{k=2} &= \frac{d}{dk} (1 - a_p(k)^{-1})_{k=2} \cdot \frac{d}{dk} L_p^*(f_\infty, k)_{k=2} \\ &= -\frac{1}{2} \mathcal{L}_p(A) \cdot \frac{d}{dk} L_p^*(f_\infty, k)_{k=2}. \end{aligned}$$

Since  $\mathcal{L}_p(A) \neq 0$  [7], Theorem C and the preceding two equations yield the identity

$$\frac{d}{dk} L_p^*(f_\infty, k)_{k=2} = 2\ell_4 \cdot \langle \mathbf{P}, \mathbf{P} \rangle_p^{\text{wt}}; \quad \ell_4 := \ell_2 \cdot \text{ord}_p(q_A).$$

To conclude the proof, it remains to show that  $2 \langle \mathbf{P}, \mathbf{P} \rangle_p^{\text{wt}} = - \langle \mathbf{P}, \mathbf{P} \rangle_p^{\text{cyc}}$ . This follows from Theorem 4.2(3).

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