RESEARCH NOTES

# Michael J. Koval · Kristen A. Ford · Stefan Everling Effect of stimulus probability on anti-saccade error rates

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Abstract. Subjects sometimes fail to suppress a reflexive saccade towards the flashed stimulus in an anti-saccade task. Here, we studied how error rates in the anti-saccade task varied as a function of saccadic probability. Ten subjects performed 200 anti-saccade trials for each of three saccade-direction probability conditions (20%, 50%, and 80%). We found that as the likelihood of a saccade in a given direction increased, the percentage of pro-saccade errors also increased for stimulus presentations in this direction. These results provide support for the hypothesis that errors in the anti-saccade task are the result of an increased level of motor preparation.

Keywords Eye movements  $\cdot$  Motor preparation  $\cdot$ Antisaccade . Inhibition

## Introduction

The ability to suppress reflexive responses in favour of voluntary behaviour is a hallmark of executive control. It is often tested by the anti-saccade task which requires subjects to look away from a suddenly appearing periph-

M. J. Koval . S. Everling Department of Physiology and Pharmacology, University of Western Ontario, London, Ontario, N6A 5C1, Canada

S. Everling  $(\boxtimes)$ The Centre for Brain and Mind, Robarts Research Institute, 100 Perth Drive, London, Ontario , N6A 5K8, Canada e-mail: severlin@uwo.ca Tel.: +1-519-6858500 ext. 34359 Fax: +1-519-6613961

K. A. Ford . S. Everling Department of Psychology, University of Western Ontario, London, Ontario, N6A 5C2, Canada

K. A. Ford . S. Everling Graduate Program in Neuroscience, University of Western Ontario, London, Ontario, N6G 2V4, Canada

eral stimulus and towards its mirror position in the opposite visual field (Hallett [1978;](#page-4-0) Hallett and Adams [1980](#page-4-0); Munoz and Everling [2004a](#page-4-0)). Successful performance of this task requires suppression of the visual grasp reflex, an orienting eye movement towards a flashed visual stimulus in the periphery (Hess et al. [1946](#page-4-0)). Errors in the anti-saccade task occur more frequently when the initial fixation stimulus is removed 200 ms (gap period) prior to the presentation of the peripheral stimulus (Forbes and Klein [1996;](#page-4-0) Fischer and Weber [1997\)](#page-4-0).

The superior colliculus (SC) and frontal eye fields (FEF) contain discrete populations of fixation and saccade neurons (Munoz and Everling [2004b](#page-4-0)) that modulate their activity during the gap period. Fixation neurons are tonically active during visual fixation, decrease their activity during the gap period, and pause for saccades (Dorris and Munoz [1995](#page-4-0); Everling et al. [1998b](#page-4-0)). Reciprocally, saccade neurons are inactive during fixation, display a low-frequency increase in activity during the gap period (Dorris et al. [1997](#page-4-0)), and burst for saccades into their response field. Both types of neurons are thought to be responsible for reflexive short-latency saccades, as predicted by the fixation disengagement (Reuter-Lorenz et al. [1991](#page-4-0); Sommer [1994](#page-4-0); Dorris and Munoz [1995\)](#page-4-0) and oculomotor preparation (Pare and Munoz [1996](#page-4-0); Dorris et al. [1997](#page-4-0)) hypotheses. The fixation disengagement hypothesis contends that a gap-related disengagement of ocular fixation facilitates preparatory motor processes which lead to a general reduction in saccadic reaction times. The oculomotor preparation hypothesis proposes that these preparatory processes are spatially localized on the saccadic motor map and that short-latency saccades occur when the phasic visual response that is initiated by the visual stimulus cumulates with preparatory activity and reaches the threshold for generation of a reflexive saccade (Sommer [1994](#page-4-0); Edelman and Keller [1996;](#page-4-0) Dorris et al. [1997](#page-4-0)). In the anti-saccade task, these short-latency saccades are errors because they are directed towards the stimulus instead of away from it.

Single neuron recording in monkeys have demonstrated that pro-saccade errors in the anti-saccade task are <span id="page-1-0"></span>preceded by a high level of preparatory activity of saccade neurons in the SC (Everling et al. [1998a](#page-4-0)) and FEF (Everling and Munoz [2000](#page-4-0)). It has further been demonstrated that the level of saccade preparation in the SC is positively correlated with the probability of saccade direction (Basso and Wurtz [1998;](#page-4-0) Dorris and Munoz [1998](#page-4-0)). Based on these findings, we hypothesized that error rates would be higher in the anti-saccade task when the stimulus was presented at the location of high saccade probability (Fig. 1A, B). This finding would provide support for the hypothesis that errors in the anti-saccade task are the result of an increase in motor preparation (Munoz and Everling [2004](#page-4-0)a).

## Materials and methods

#### Subjects

Ten participants (seven male, three female), aged 20– 57 years (mean  $\pm$  SD, 26.3 $\pm$ 11.0), were paid for their participation as subjects in this experiment and gave written, informed consent prior to their inclusion in this study. All subjects had normal or corrected-to-normal vision. None of the subjects reported any neurological or psychiatric disorders. The procedures in this study were approved by the University Research Ethics Board for Health Sciences Research and have therefore been performed in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki.

A

Electrophysiological recording procedure

Horizontal eye movements were recorded using an electrooculogram (EOG) in a bipolar montage by placing Ag-AgCl skin electrodes at the outer canthi of both eyes. A ground electrode was placed just above the eyebrows in the centre of the forehead. Subjects wore the electrodes for approximately 10 min before the start of the first task condition in order to minimize EOG drift. Eye position signals were sampled at 1,000 Hz, low-pass filtered at 30 Hz and stored for off-line analysis by the Neuroscan 4.2 (Neurosoft Inc.) system.

#### Behavioural task

80% leftward

Participants were seated comfortably in a dark room at a distance of 80 cm from a 21-inch computer monitor. Two Pentium PCs running the CORTEX program (Dr Robert Desimone, NIMH) were used to control the experiment. All experimental stimuli were presented on a computer monitor situated directly in front of the subject. Each trial began with the presentation of a white fixation point (0.2°) in the centre of the dark monitor screen. The fixation point remained on the screen for a randomized period of 1,000– 1,500 ms, followed immediately by a period of 200 ms when the screen was blank (Fig. 1A). This was followed by the presentation of a white stimulus (filled circle, 1°), either 8° to the left or 8° to the right of the central fixation point (Fig. 1A), for 500 ms. Probability of left-right stimulus presentation was 20–80%, 50–50%, 80–20%;

80% rightward

Fig. 1 Schematic of the three saccade-direction probability conditions (A) and hypothesized associated levels of preparatory neural activity contralateral to the stimulus (B). A The visual fixation period was randomized between 1,000 and 1,500 m, and the gap duration was 200 ms. Three different anti-saccade probability conditions were tested. B A high probability of saccade direction leads to a higher level of preparatory activity. This allows the phasic visual activity to pass the saccade trigger threshold



<span id="page-2-0"></span>tested in three separate blocks of trials. Subjects were instructed to maintain fixation during the fixation and gap periods, and to look away from the peripheral stimulus when it appeared to the mirror location in the opposite visual field as quickly as possible (Fig. [1A](#page-1-0)). The central fixation point reappeared 1,000 ms following removal of the stimulus, indicating the start of the next trial. Subjects performed 200 trials for each of the 3 saccade direction conditions, for a total of 600 trials per subject. The order of conditions was pseudo-randomized between subjects to include all possible permutations in this study. Subjects were not explicitly informed of the saccade direction probability in each condition. They were, however, informed that saccade-direction probability would vary across trial blocks. Subjects were given several minutes of rest between each condition.

## Data analysis

In an off-line analysis, saccade onsets were automatically identified by a custom-written computer program using MATLAB (Mathworks). The onset of a saccade was identified as the time when horizontal eye velocity first exceeded 30°/s following stimulus presentation. Trials with saccadic reaction times (SRTs) <80 ms were excluded as anticipations and trials with SRTs >400 ms were excluded as no-response trials. Additionally all trials were visually inspected for blinks, which were also excluded from further analysis, and correct identification of onset of the saccade.

Statistical comparisons for error rates and SRTs were conducted using repeated measures analysis of variance (ANOVA) followed by multiple Bonferroni-corrected paired t -tests. All values are reported as means  $\pm$  standard error of the mean.

## **Results**

## Saccadic reaction times

To investigate the effect of saccade-direction probability on the reaction times of correct anti-saccades, we performed a two-way repeated measures ANOVA with the factors probability (20%, 50%, or 80%) and saccade direction (left or right). The analysis revealed a significant main effect for probability ( $F_{(2,9)}$ =11.29,  $P$  <0.001) but not for saccade direction ( $F_{(1,9)}=0.13, P=0.73$ ) or for the interaction ( $F_{(2,9)}=0.20$ ,  $P=0.82$ ). We therefore combined leftward and right anti-saccades (Fig. 2A). Across subjects, mean SRT of correct anti-saccades was 244.4  $\pm$ 9.8 ms, 231.3 $\pm$ 11.6 ms, and 223.2 $\pm$ 10.6 ms in the 20%, 50%, and 80% saccade direction probability condition, respectively. Paired comparisons between the three conditions using Bonferroni-corrected  $t$  -tests demonstrated significant effects between the 20% and 50% probability condition (  $t_{(9)}=2.89$ ,  $P \le 0.05$ ) and the 20% and 80% probability condition (  $t_{(9)}=4.47$ ,  $P < 0.001$ ). This finding

demonstrates an effect of saccade-direction probability on the SRTs of anti-saccades.

One might expect from the motor preparation hypothesis that the reaction time of pro-saccade errors would also depend on the saccade direction probability. We tested this prediction initially by averaging all leftward and rightward pro-saccade errors for each subject. We then compared the effect of saccade-direction probability on the reaction times of pro-saccade errors using a one-way repeated measures ANOVA with the factor probability (20%, 50%, or 80%). The ANOVA showed no differences for the reaction times of pro-saccade errors between the three probability conditions  $(F_{(2,8)}=1.29, P = 0.3)$ . The mean SRT of pro-saccade errors in the 20%, 50%, and 80% condition was 123.4±14.0 ms, 140.9±6.3 ms, and 143.3  $\pm 6.8$  ms, respectively. Pairwise Bonferroni-correct t -tests also showed no differences  $(P>0.05)$ . In a second analysis, we averaged all leftward and rightward saccades from all ten subjects for the three saccade probability conditions. Figure [3](#page-3-0) shows the cumulative distribution of pro-saccade errors obtained from the ten subjects. This analysis also did not show any significant differences between the three probability conditions when we included all reaction times in the analysis (ANOVA,  $F_{(2,496)} = 1.97$ ,  $P = 0.3$ ). A closer



Fig. 2 Anti-saccadic reaction times (A) and error rates (B) for all subjects (*crosses*) and the sample average (*bars*). Reaction times were shorter for anti-saccades towards the high saccade-probability direction (A) but subjects generated more errors when the stimulus was presented at the side of high saccade-probability (B)

<span id="page-3-0"></span>inspection of the distribution revealed, however, that subjects generated pro-saccade errors slightly faster in the 80% probability condition when we look at short-latency saccades with reaction times under 160 ms (insert in Fig. 3). This range of reaction times corresponds to the rising part of the cumulative SRT distribution and represents about 80% of all pro-saccade errors in each condition. An ANOVA with the factor probability (20%, 50%, or 80%) was significant for these reaction times  $(F_{(2,416)}=6.36, P=0.002)$ . Paired post-hoc comparisons between the three conditions using Bonferroni-corrected  $t$  -tests demonstrated significant effects between the  $20\%$ and 50% probability condition  $(t_{(9)}=3.53, P=0.001)$  and the 20% and 80% probability condition  $(t_{(9)}=2.53)$ ,  $P<0.05$ ). A cutoff of 140 ms that is commonly used to classify express saccades in humans (Munoz et al. [1998\)](#page-4-0) also showed significant differences  $(F_{(2,331)}=4.29)$ ,  $P=0.015$ ). This finding shows a slight reduction for short-latency pro-saccade error reaction times in the 80% saccade direction probability condition.

## Error rates

We compared the effects of saccade-direction probability on the proportion of pro-saccade errors by computing a two-way ANOVA with the factors saccade-direction probability (20%, 50%, or 80%) and direction (left or right). The analysis yielded a main effect for probability  $(F_{(2,9)}=20.93, P \le 0.001)$  but not for direction  $(F_{(1,9)}=2.20,$  $P = 0.19$  or for the interaction  $(F_{(2,9)} = 3.32, P = 0.06)$ . We therefore combined leftward and rightward saccades. Figure [2B](#page-2-0) shows the percentage of errors from individual subjects *(crosses)* and across subjects *(bars)* for the three saccade-direction probability conditions. Error rates increased with increasing saccade-direction probability (4.4



Fig. 3 Cumulative distribution of reaction times of all pro-saccade errors times in the three probability conditions (20%,  $n = 165$ ; 50%,  $n = 204$ ; 80%,  $n = 130$ ). The *insert* shows the cumulative distribution for short-latency saccades with reaction times <160 ms ( shaded area)

 $\pm 1.4\%$  for 20% probability, 10.9 $\pm 3.1\%$  for 50% probability, and 23.2±4.5% for 80% probability). Post-hoc paired comparisons (Bonferroni-corrected  $t$  -tests) showed significant differences between the 20% and 50% probability condition  $(t_{(9)}=6.58, P \le 0.001)$  and between the 20% and 80% probability condition  $(t_{(9)}=4.3, P \le 0.001)$ .

Finally, we computed a one-way ANOVA with the factor experimental block (first, second, or third experimental block) to test for a possible order effect on the percentage of pro-saccade errors, irrespective of saccadedirection probability, and found this to be non-significant  $(F_{(2,9)}=2.26, P=0.13).$ 

## **Discussion**

We have shown that the rate of pro-saccade errors in the anti-saccade task is modulated by saccade-direction probability. Stimulus presentations at the side of high saccadedirection probability evoked significantly more pro-saccade errors than stimulus presentation at the side of low saccade-direction probability.

Previous research has focused on the influence of the status of fixation on performance of the anti-saccade task. A robust finding is that the introduction of a temporal gap of 200 ms between disappearance of the initial fixation stimulus and peripheral stimulus presentation leads to significantly more errors in the task (Fischer and Weber [1997](#page-4-0); Bell et al. [2000](#page-4-0)). In the present study, the gap period was identical and thus reduction of the fixation signal was equal across the three saccade-direction probability conditions. Therefore a reduced fixation signal cannot be solely responsible for errors in the anti-saccade task.

The motor preparation hypothesis contends that prosaccade errors in the anti-saccade task result from an increased level of preparatory activity which renders the phasic stimulus-related burst more likely to reach threshold (Munoz and Everling [2004](#page-4-0)a). Here, we modulated the level of preparatory activity by varying the probability that subjects had to generate a saccade to either the left or right side. The effect of this increased saccade motor preparation was evident in the reduction of anti-saccade reaction times and the slight reduction of short-latency pro-saccade errors with increasing saccade-direction probability. Conversely, the percentage of pro-saccade errors increased with increasing saccade-direction probability. The present results support the hypothesis that the level of preparatory activity is crucial for the performance of the anti-saccade task.

How relevant is our finding for the interpretation of prosaccade errors in the anti-saccade task in clinical populations? The well-documented deficit of patients with frontal lobe lesions or schizophrenia in performing the anti-saccade task (for reviews see Everling and Fischer [1998](#page-4-0); Broerse et al. [2001\)](#page-4-0) is usually interpreted as the result of a disturbance in their oculomotor fixation system and not as a result of an increased saccade preparation. However, Walker et al. [\(1998](#page-4-0)) reported that a patient with a prefrontal lesion, who was completely unable to suppress

<span id="page-4-0"></span>pro-saccade errors on anti-saccade trials, never made these glances towards the stimuli under identical conditions when he was simply required to maintain fixation. This finding raises the possibility that the problem of frontal lobe patients in suppressing pro-saccade errors in the antisaccade task may not be the result of a generalized loss of ocular fixation but rather an abnormally increased level of preparatory saccade activity in tasks that require both the suppression of reflexive saccades and the generation of voluntary saccades.

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