#### **RESEARCH PAPER**



# **Diagnosis accuracy of Raman spectroscopy in the diagnosis of breast cancer: a meta‑analysis**

**Mei‑Huan Wang1 · Xiao Liu1 · Qian Wang1,2 · Hua‑Wei Zhang1,2**

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### **Abstract**

To investigate the diagnostic efficiency of Raman spectroscopy for the diagnosis of breast cancer, we searched PubMed, Web of Science, Cochrane Library, and Embase for articles published from the database establishment to May 20, 2022. Pooled sensitivity, specifcity, diagnostic odds ratio, and area under the receiver pooled operating characteristic curve were derived for the included studies as outcome measures. The methodological quality was assessed according to the questionnaires and criteria suggested by the Diagnostic Accuracy Research Quality Assessment-2 tool. Sixteen studies were included in this meta-analysis. The pooled sensitivity and specifcity of Raman spectroscopy for breast cancer diagnosis were 0.97 (95% CI, [0.92–0.99]) and 0.96 (95% CI, [0.91–0.98]). The diagnostic odds ratio was 720.89 (95% CI, [135.73–3828.88]) and the area under the curve of summary receiver operating characteristic curves was 0.99 (95% CI, [0.98–1]). Subgroup analysis revealed that all subgroup types in our analysis, including diferent races, sample types, diagnostic algorithms, number of spectra, instrument types, and laser wavelengths, turned out to have a sensitivity and specifcity greater than 0.9. Signifcant heterogeneity was found between studies. Deeks' funnel plot demonstrated that publication bias was acceptable. This metaanalysis suggests that Raman spectroscopy may be an efective and accurate tool to diferentiate breast cancer from normal breast tissue, which will help us diagnose and treat breast cancer.

Keywords Raman spectroscopy · Breast cancer · Meta-analysis · Diagnostic efficiency

# **Introduction**

According to statistics, the number of new breast cancer (BC) patients in 2020 has reached 2.26 million, which indicates that BC has become the highest incidence of cancer [\[1\]](#page-9-0). Mammography and ultrasonography are currently the main methods of screening for BC. Although highly efective in reducing BC mortality due to early diagnosis and treatment, mammography still suffers from some limitations,

 $\boxtimes$  Qian Wang wangqian122411@126.com

 $\boxtimes$  Hua-Wei Zhang slyyzhw@163.com

<sup>1</sup> Department of Ultrasound, Shandong Provincial Hospital affiliated to Shandong First Medical University, No. 324 Jing 5 Rd, Shandong Provincial Hospital, Jinan, Shandong 250021, People's Republic of China

<sup>2</sup> Department of Ultrasound, Shandong Provincial Hospital, Cheeloo College of Medicine, Shandong University, Jinan 250021, Shandong, China

such as lower sensitivity in screening patients with dense breasts [[2](#page-9-1)], frequent false positive alarm [[3](#page-9-2)], and ionizing radiation risk. Correspondingly, ultrasonography is safe for pregnant women because it does not use ionizing radiation and performs better than mammography for dense breasts. However, it is not sensitive to microcalcifcations and the detection rate is dependent on the experience level of the examining physician. Therefore, the development of a rapid, non-invasive, convenient, and sensitive method for BC detection is imminently required in clinical practice.

As a powerful spectroscopic technique, Raman spectroscopy (RS) has been widely used in biological detection [[4,](#page-9-3) [5](#page-9-4)] based on the principle of providing molecular information about chemical bonds related to molecular vibration and rotation, which helps detect tiny changes in the structure of biomolecules composed of lipids, proteins, and nucleic acids during the development of cancer [[6–](#page-9-5)[8\]](#page-9-6). In terms of preliminary fndings, RS showed high accuracy in diagnosing cancers such as bladder [\[9\]](#page-9-7), kidney [[10](#page-9-8)], and skin cancer  $[11]$ , with both sensitivity and specificity exceeding 0.9. In addition, RS has relatively loose requirements on the

morphology of the tested samples, such as solid tissue strips, pathological tissue sections, and even liquid samples that can be used for Raman analysis [[12](#page-9-10), [13](#page-9-11)].

Surface-enhanced Raman spectroscopy (SERS) is a technique for enhancing the Raman signal of biomolecules by using precious metal nanoparticles (gold, silver, and copper) as substrates. The electromagnetic mechanism (EM) and chemical efect (CT) of metal nanoparticles are central to the principle [[14\]](#page-9-12). The EM is caused primarily by the coupling of the incident electromagnetic feld in the gap between nanoscale metallic materials and can be explained as a contribution to the enhancement of the incident feld and the enhancement of the Stokes scattering of molecular re-emission at a specifc point on the surface where the sample is located. The CT mechanism is primarily derived from nanoscale metal particle structure and charge transfer between molecules, which is accomplished through the formation of new analyte-metal surface complexes. These two processes take place simultaneously and work together to increase the Raman spectrum intensity [[15\]](#page-9-13). SERS is currently widely used in the detection of biological fuids such as serum  $[16]$  $[16]$ , tears  $[17]$  $[17]$ , and urine  $[18]$  $[18]$  because it can generate signifcantly enhanced Raman signals, even at the single-molecule level in some cases [[19](#page-10-2)].

Given the benefts of RS, some studies on its use in the diagnosis of breast cancer have been reported [[20](#page-10-3), [21](#page-10-4)]. However, the outcomes of diferent studies difer. This can be explained by the fact that diferent studies have diferent sample sizes and diagnostic algorithms. As a result, we conducted this meta-analysis to determine the clinical value of RS to comprehensively analyze the exact efect of RS in diagnosing BC.

# **Methods**

### **Literature search**

We searched the relevant articles published in PubMed, Embase, Web of Science, and Cochrane Library from the establishment of the database to May 20, 2022. The search terms were as follows: (1) [("Breast cancer" OR "Breast tumor" OR "Breast neoplasm" OR "Mammary cancer") AND ("Raman spectroscopy" OR "RS" OR "efficacy" OR "sensitivity" OR "specificity")]. No language or study type restrictions were applied when conducting the initial literature search.

### **Inclusion criteria**

Studies according to all of the following criteria were included: (1) studies involved RS of two parts of normal breast tissue and BC. (2) BC samples in the studies were derived from patients confrmed by pathology or purchased standard BC cell lines. (3) Studies provided true positives (TP), true negatives (TN), false positives (FP), and false negatives (FN) directly or indirectly to construct a  $2 \times 2$  table. (4) The studies were reported in original articles.

### **Exclusion criteria**

The following exclusion criteria were applied: (1) research involving non-human subjects. (2) Other types of study: review articles, letters, case reports, and comments. (3) Patient and data overlapping studies.

#### **Data extraction**

Two investigators independently extracted data, and differences were resolved by consensus. Overall, a total of 6 important diagnostic efficiency-related parameters were extracted, including diagnostic sensitivity, specifcity, TP, TN, FP, and FN. In addition, methodological and technical data refecting the baseline characteristics of the studies such as frst author name, publication year, geographic location, number of patients, number of spectra, sample type, diagnostic algorithm, and laser wavelength were also carefully extracted.

### **Literature quality assessment**

The standard quality assessment of each study is based on the tool Quality Assessment of Diagnostic Accuracy Studies (QUADAS-2) [\[22](#page-10-5)]. It consists of four parts: patient selection, index test, reference standard, fow, and time. The levels of bias risk and applicability concerns were rated as low risk, high risk, and unclear risk. The QUADAS-2 assessment was performed by Review Manager 5.3

### **Statistical analysis**

The accuracy of RS in diagnosing BC was assessed by pooling TP, TN, FP, and FN data to calculate sensitivity, specificity, positive likelihood ratio (PLR), negative likelihood ratio (NLR) values, corresponding 95% confdence intervals (CI), and diagnostic odds ratio (DOR). Moreover, summary receiver operator characteristics (SROC) [\[23\]](#page-10-6) were generated to assess the relationship between sensitivity and specificity, and the area under the curve (AUC) was calculated to determine the overall performance of RS. Diagnostic tools are considered excellent when the AUC value is more signifcant than 0.8. To further explore potential sources of heterogeneity, the inconsistency index  $(I^2)$  statistic and chisquare test were used for subgroup analysis  $[24]$  $[24]$  $[24]$ .  $I^2$  > 50% and  $P$ -value < 0.05 were considered significant for heterogeneity meanwhile a random-efects model was applied. We

also conducted Deeks' funnel plot asymmetry test to investigate publication bias [[25](#page-10-8)]. All the above statistical analyses were performed using Stata 16.0.

# **Results**

# **Study selection**

The study screening procedure is presented in a PRISMA fowchart (Fig. [1\)](#page-2-0). After an initial literature search, a total of 2798 articles were identifed, which were reduced to 712 after the removal of duplicates. Then, 656 articles were excluded by a manual screening of the titles and abstracts, and 40 articles were removed by reading the full text and reviewing the data. Finally, sixteen articles [\[26–](#page-10-9)[41\]](#page-10-10) were enrolled in this meta-analysis according to the inclusion criteria and exclusion criteria. Since the experimental sample population origins were distinct from each other, 2 studies conducted by the same author were both included [[31,](#page-10-11) [32\]](#page-10-12).

# **Study characteristics**

A total of 58,144 spectra from 16 articles were included in this meta-analysis. Most studies were conducted in China  $(n=7)$ , Pakistan  $(n=3)$ , and the USA  $(n=3)$ . Others were conducted in South Korea (*n*=1), Japan (*n*=1), and the UK  $(n=1)$ . Sample types were breast tissue  $(n=9)$ , standard cell lines  $(n=1)$ , serum  $(n=4)$ , whole blood  $(n=1)$ , and saliva  $(n=1)$ . Among the 16 recruited studies, 11 studies used RS, 4 studies used SERS, and 1 study used Raman confocal spectroscopy (RCS). All of the above studies were performed in vitro with pathological diagnosis as the gold standard. The detailed information of each study we included is shown in Table [1.](#page-3-0)

### **Assessment of study quality and publication bias**

The assessment of the risk of bias and concerns about the suitability of the included studies according to the QUA-DAS-2 tool [\[42](#page-10-13)] are shown in Fig. [2.](#page-4-0) Deeks' tests for publication bias yielded *p* values of 0.53 which revealed that no signifcant publication bias was shown in the pooled analysis of the included studies (Fig. [3](#page-5-0)).

### **Overall analysis**

We measured overall diagnostic accuracy by calculating sensitivity, specificity, PLR, NLR, and diagnostic odds ratio (DOR). The pooled sensitivity and specifcity of RS were 0.97 (95% CI, 0.92–0.99) and 0.96 (95% CI, 0.91–0.98), respectively (Fig. [4\)](#page-5-1). The pooled PLR and NLR were 21.98 (95% CI, 10.08–47.96) and 0.03 (95% CI, 0.01–0.09), respectively (Fig. [5](#page-6-0)). The DOR of RS demonstrated high accuracy (721; [95% CI, 136–3829]). The AUC of the SROC curve was 0.99 (95% CI, 0.98–1) (Fig. [6\)](#page-6-1). Heterogeneity was significant across all pooled studies  $(l^2 > 50\%, p < 0.05)$ .

### **Subgroup analysis**

To investigate the effects of different races, sample types, instrument types, numbers of spectra, diode laser

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representation and discrimination feature; *SMLR*, sparse multinomial logistic regression; *TP*, true positives; *FP*, false positives; *FN*, false negatives; *TN*, true negatives

<span id="page-4-0"></span>**Fig. 2** The graphical display of the evaluation of the risk of bias and concerns regarding the applicability of the selected studies





wavelengths, and diagnostic algorithms on the accuracy of Raman spectroscopy in distinguishing BC, we performed relevant subgroup analyses, whose results are shown in Table [2.](#page-7-0) The subgroup of Asian samples, serum samples, PCA algorithm, the numbers of sample spectra more than 200, laser = 785 nm, and RS showed extremely high DOR (1093.98; [95% CI, 133.31–8977.81]), (4247.05; [95% CI, 236.48–76,273.26]), (281,444.30; [95% CI, 293.11–2,700,000]), (2027.29; [95% CI, 196.92–20,871.04]), 779.76 (102.65–5923.19), and (841.31; [95% CI, 89.20–7934.57]), respectively. Moreover, we found that the subgroup of diagnostic algorithm based on PCA



<span id="page-5-0"></span>**Fig. 3** Deeks' funnel plot asymmetry test of RS in the diagnosis of breast cancer

outperformed other subgroups in various evaluation indicators, with sensitivity being 1 (95% CI, 0.93–1.00), specifcity being 0.98 (95% CI, 0.93–1.00), PLR being 61.60 (95% CI, 14.14–268.46), and NLR being 0 (95% CI, 0.00–0.08).

# **Discussion**

RS has been extensively researched as a new technology that has become widely used in the biomedical feld in recent years [[42](#page-10-13)[–44](#page-10-21)]. By analyzing 58,144 spectra from 16 studies, we confirmed the superiority and high diagnostic efficiency of RS in diagnosing BC by combining recent fndings to systematically investigate the diagnostic performance of RS for BC.

We discovered that the general pooled diagnostic sensitivity and specificity of RS for BC were 0.97 (95% CI, 0.92–0.99) and 0.96 (95% CI, 0.91–0.98), respectively. Both the sensitivity and specifcity were over 0.9, indicating that RS had a high identifcation of BC samples and can distinguish them from normal samples respectively with a low omission diagnostic rate. Furthermore, the randomefects model yielded a pooled DOR of 720.89 (95% CI,



<span id="page-5-1"></span>Fig. 4 Forest plot of the pooled sensitivity and specificity of RS for breast cancer





<span id="page-6-0"></span>**Fig. 5** Forest plot of the pooled positive likelihood ratio (PLR) and negative likelihood ratio (NLR)



<span id="page-6-1"></span>**Fig. 6** The area under the curve (AUC) of SROC (summary receiver operating characteristic) curves

135.73–3828.88). Since a DOR exceeding 1 indicates a high discriminative efect and the discriminative efect increases with the DOR value, the DOR of RS in diagnosing BC has a reliable diagnostic efect. In the SROC curve analysis, the AUC was 0.99 (95% CI, 0.98–1), suggesting an excellent performance for detecting breast cancer samples by using RS. Diagnostic efficiency is considered excellent according to the SROC's standard grading system.

A series of subgroup analyses were performed to further clarify the optimal conditions for the diagnosis of BC by RS. According to the subgroup analysis results, all subgroups performed well, with sensitivity and specifcity greater than 0.9. Despite having a lower DOR than the Asian group, the PLR and NLR were comparable in both groups, indicating that RS is capable of screening for BC in all races. Furthermore, our study discovered that RS performed better in serum samples than in breast tissue samples, possibly due to interference from normal breast tissue in breast cancer biopsy samples. Numerous normal spectra can still be collected in a single malignant tissue in our included studies using breast tissue as samples because operators frequently



<span id="page-7-0"></span>Table 2 The results of subgroup analysis of all studies in our meta-analysis **Table 2** The results of subgroup analysis of all studies in our meta-analysis

collect dozens of spectra in a single sample, which are thought to be homogeneous. These misclassifed spectra are the primary cause of the low diagnostic performance of breast samples. At the same time, the extremely high DOR of the serum sample group also suggests that serum samples may one day be used for BC screening for RS. In terms of sample size for diagnostic results, the pooled DOR decreased signifcantly when studies with fewer than 200 spectra were included in the subgroup. The explanation for this could be that the multivariate analysis algorithm's training set was created with mistakes due to the short number of samples, resulting in poor performance in detecting BC and normal tissue. In addition, with the innovation and development of Raman spectroscopy for tumor diagnosis, technologies such as Raman spectroscopy identifcation and diagnosis based on machine learning are emerging. Our subgroup analysis revealed that RS in conjunction with the PCA algorithm had an excellent diagnostic efect, with a DOR of 28,144.30. Due to a lack of data for the other diagnostic algorithms included, we were unable to analyze them. Besides, subgroup analysis of both the RS and SERS instruments was performed to clarify the impact of diferent Raman instruments on the experimental results. We discovered that both types of Raman instruments achieved good sensitivity and specifcity, despite the fact that the DOR values in the RS group were higher than in the SERS group (841.31 vs 495.98), but this may be due to the signifcantly smaller number of spectra in the SERS group, and cannot be used to justify RS being better than SERS. It is worth noting that the adsorption of molecules on metal colloidal particles and rough metal surfaces in the SERS principle can increase the spectral intensity of the sample by 10^4–10^6 times, making it suitable for detecting BC in combination with serum samples. We already know that the fourth power of the excitation wavelength has an inverse relationship with the Raman scattering efficiency. It is crucial to select the proper excitation wavelength in order to maximize scattering efectiveness while minimizing fuorescence interference. Our fndings indicate that the DOR at wavelength=785 nm is comparable to the overall DOR, but we were unable to investigate wavelengths above and below 785 nm due to a lack of data. Overall, based on the fndings of our subgroup analysis, we have reason to believe that RS combined with the PCA algorithm using serum samples will become an efective method for BC screening in the future.

The inelastic scattering of photons from molecular surfaces causes Raman scattering, and the scattering spectrum is infuenced by the energy exchange between the sample molecules and the photons. The sample's biological makeup can be determined by examining the relative position and strength of each distinctive peak in the Raman spectrum [[45\]](#page-11-0). When compared to normal breast tissue, BC tissue's lipid and carotenoid contents reduced due to the

development of cancer, while its protein content considerably rose. As a result, it was discovered that while the peaks at 853 cm−1 (protein) were greatly enhanced, the peaks at 719 cm<sup>-1</sup> (lipid) and 1159 cm<sup>-1</sup> (carotenoid) were significantly reduced in RS [\[46](#page-11-1)]. RS distinguishes between BC and normal breast tissue in this manner.

RS has the potential to distinguish diferent stages of BC development in addition to serving as a screening tool for the disease. Han et al. [\[27\]](#page-10-17) used RS to accurately distinguish four types of breast tissue (normal breast tissue, atypical ductal hyperplasia (ADH), ductal carcinoma in situ (DCIS), and invasive ductal carcinoma (IDC)). The experimental results revealed that the spectrum of the ADH sample had a signifcant decrease in the peaks representing lipid characteristic peaks (1300 cm−1 represents CH3 deformation frequencies in lipid and 1656 cm−1 represents the C–C stretch of phospholipids) and a signifcant increase in the peaks representing DNA (1096 cm<sup>-1</sup>) and protein characteristic peaks  $(1267 \text{ cm}^{-1})$  represents the C-N stretching mode of protein). Although the overall accuracy could be improved, it is still clinically useful for ADH and DCIS diagnosis. According to Wellings and Jensen's [[47\]](#page-11-2) model of breast cancer development, normal cells in the terminal ductal lobular unit frst develop into AH, then DCIS, and fnally IDC. Early detection and intervention in the AH stage of breast cancer can reduce the occurrence of cancer [[48](#page-11-3)]. As a result, detecting breast AH by RS is critical for protecting women's health. RS enables the identifcation and discovery of cancer cells at an early stage by quantifying changes in the chemical structure and content of substances in breast tissue, which is currently unattainable by other cancer screening methods. We hope to improve the diagnostic performance of RS in the future, making it the primary method of early screening for BC.

Although studies on the application of RS in vivo were excluded due to a lack of TN, TP, FN, and FP, which are required to calculate sensitivity, specificity, and so on, their role in the diagnosis and treatment of BC during surgery cannot be underestimated. Lizio et al. [[49](#page-11-4)]. have developed a novel technique for measuring BC specimens on an intraoperative timescale (20 min) for rapid assessment of BC during surgery. Wen et al. [[50\]](#page-11-5) used an AU nanostar-based photoacoustic, surface-enhanced Raman spectroscopy, and thermosurgical probe to create a "threein-one" therapeutic nanoprobe for residual microtumors in orthotopic BC. Following the treatment strategy for residual microcarcinoma, mouse experiments confirmed that the tumor did not recur after residual tumor eradication. These results show that RS can be employed in clinical settings, particularly given its quick and nondestructive advantages, which can be used to identify tumor boundaries and even remove any remaining cancer following surgery.

The present meta-analysis also has several limitations. First, despite our subgroup analysis, our study's fundamental drawback is significant heterogeneity, which cannot be minimized. Second, the patient size in each study was small, and the number of spectra varied greatly among the included studies, potentially influencing the results. Third, due to a lack of available data, we were unable to conduct a meta-analysis of various breast cancer pathological subtypes, which may have impacted the accuracy of our findings. We cannot demonstrate that our results accurately separate BC of different subtypes because BC types are complex and diverse. Fourth, standard procedures and protocols for RS diagnosis have not been established, making it difficult to standardize procedures for RS.

# **Conclusion**

As an emerging optical diagnostic technique, RS has great potential in detecting malignant breast lesions. At the same time, it has the advantages of non-invasiveness, real-time, and ease of use. However, before considering real-time use in clinical settings, larger sample size studies are required to determine whether RS can distinguish between diferent BC subtypes. Meanwhile, the RS's performance must be further examined and normalized.

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**Author contribution** Mei-Huan Wang and Qian Wang conceived the idea for the article. Mei-Huan Wang and Xiao Liu conducted data collection and analysis. Qian Wang managed and drafted the manuscript. Hua-Wei Zhang approved the fnal version of the manuscript. All authors contributed to the article and approved the submitted version.

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**Data availability** The data that support the fndings of this study are available on request from the corresponding author. The data are not publicly available due to privacy or ethical restrictions.

# **Declarations**

**Ethics approval and consent to participate** Not applicable.

**Consent for publication** Written informed consent for publication of their clinical details and clinical images was obtained from the patient.

**Conflict of interest** The authors declare no competing interests.

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