PAPER IN FOREFRONT

Novel workflow for combining Raman spectroscopy and MALDI-MSI for tissue based studies

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Abstract Molecular heterogeneity of cancer is a major obstacle in tumor diagnosis and treatment. To deal with this heterogeneity, a multidisciplinary combination of different analysis techniques is of urgent need because a combination enables the creation of a multimodal image of a tumor. Here, we develop a computational workflow in order to combine matrixassisted laser desorption/ionization mass spectrometric (MALDI-MS) imaging and Raman microspectroscopic imaging for tissue based studies. The computational workflow can be used to confirm a spectral histopathology (SHP) based on one technique with another technique. In this contribution, we confirmed a Raman spectroscopic based SHP with MALDI-

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imaging. Owing to this combination, we could demonstrate, for a larynx carcinoma sample, that tissue types and different metabolic states could be extracted from the Raman spectra. Further investigations with the help of MALDI spectra yield a better characterization of variable epithelial differentiation and a better understanding of ongoing dysplastic alterations.

Keywords Raman spectroscopic imaging . MALDI-MS imaging . Multimodal imaging . Cancer

Introduction

According to the World Health Organization, 14.1 million new cancer cases occurred in 2012 and 32.6 million people are living with cancer worldwide. In addition, different cancer types are among the leading causes of death worldwide. It is expected that the annual diagnosed cancer cases will rise to 22 million within the next two decades [\[1\]](#page-5-0). This development must be faced by strong research efforts aiming for an improved cancer diagnosis as well as a treatment with increased curing rates. Thereby, the enormous degree of heterogeneity within one cancer type or even within one tumor has to be taken into account [[2](#page-5-0)–[4\]](#page-5-0).

Many analytical methods exist allowing for molecular diagnostics, e.g., polymerase chain reaction (PCR), real-time-PCR, fluorescence in situ hybridization (FISH), and expressional proteomics [[5,](#page-5-0) [6](#page-5-0)]. Furthermore, mass spectrometric techniques, especially desorption electrospray ionization, are used for modern tissue and tumor analysis $[7-10]$ $[7-10]$ $[7-10]$. New research fields, e.g., multidisciplinary molecular pathologic epidemiology [[4\]](#page-5-0) or the combination of molecular profiling and multi-parametric in situ analysis [[2\]](#page-5-0), recognize the need to combine different analysis methods for an optimal outcome and understanding. In addition, modern imaging techniques, namely Raman spectroscopic and matrix-assisted laser

desorption/ionization (MALDI) mass spectrometric imaging (MSI), were successfully applied for (cancerous) tissue analysis. Nevertheless, up till now a lack of reliable tumor markers exist that can be used in clinical practice to tackle the problem of the inherent molecular heterogeneity of the disease and the uniqueness of each patient. As a consequence, there is a need for multidisciplinary research. Here, we describe a possible workflow by combining Raman spectroscopy and MALDI-MS as a potential tool to gain insights into the variable epithelial differentiation as an eventually first step of carcinogenesis.

Matrix-assisted laser desorption/ionization (MALDI) mass spectrometry imaging (MSI) has been demonstrated to be useful for multiple molecular profiling of solid tumors [[11](#page-6-0)] enabling among others the classification of cancer subtypes by lipid MALDI-MS-profiles [\[12\]](#page-6-0). Recently, Krasny et al. demonstrated the high potential of MALDI-Fourier-transform ion cyclotron resonance (FT-ICR)-MSI to provide spatial segmentation maps for the lipid analysis of head and neck tumor tissue, which can reveal new insights into the metabolic organization of the carcinoma tissue [\[13\]](#page-6-0). Although MSI features a high molecular specificity, it is destructive and requires the application of a complex matrix, which is only specific for lipid or protein profiling. These drawbacks can be compensated by a combination with Raman imaging, which is a noninvasive optical spectroscopic approach and does not require any labels. Raman spectroscopy probes inherent molecular vibrations that provide a highly specific molecular fingerprint of the biochemical composition of, e.g., biological cells, tis-sues etc. [[14](#page-6-0)]. An objective evaluation of the chemically specific Raman maps for a disease diagnosis, e.g., for cancer, requires a processing via mathematical approaches [[15](#page-6-0)]. However, a direct molecular interpretation of tissue Raman spectra is still a great challenge. As a consequence, the strength of MALDI-MS imaging with its high molecular specificity can eventually help to interpret the Raman spectra.

In order to use both kinds of information together, a complex computational workflow or data pipeline has to be developed and demonstrated. Here, we report a workflow for combining MALDI-MSI with Raman-spectroscopic imaging in order to check the tissue prediction of one of these techniques. The general workflow for combining Raman spectroscopy and MALDI imaging was recently demonstrated by Fagerer et al. [\[16\]](#page-6-0), Bocklitz et al. [\[17\]](#page-6-0) and Ahlf et al. [[18](#page-6-0)]. We applied a similar registration procedure as described in our previous work [\[17](#page-6-0)], but combine the approach with hematoxylin and eosin (H&E) staining, the gold standard of pathologic cancer diagnosis. This workflow allows the identification of certain tissue areas by a pathologist's annotation based on the H&E image followed by a Raman-spectroscopic and MALDI-Mspectrometric characterization. As a proof-of-principle, we demonstrate for a larynx carcinoma tissue section that the origin of Raman spectral signatures can be interpreted by using mass spectrometric information.

Materials and methods

Sample collection and preparation

A tissue sample from a patient with a larynx carcinoma was provided by the Department of Otorhinolaryngology of the Jena University Hospital. The local Ethics Committee granted the necessary approval under no. $3008-12/10$. A 10 μ m thick section of the human tissue sample was cut at -20 °C using a Leica CM1860 cryomicrotome (Leica Biosystems Nussloch GmbH, Germany) and placed on an indium tin oxide (ITO) coated slide (Bruker Daltonik GmbH, Bremen, Germany). To prevent an alteration of the cryosection, the sample was dried on the slide and directly measured via Raman spectroscopy followed by MALDI imaging.

Raman imaging

For the Raman spectroscopic measurements a confocal Raman microscope (WITec GmbH, Ulm, Germany) equipped with a continuous wave diode-pumped solidstate laser operating at 514 nm (Cobolt) with a laser power of 15 mW before the microscope objective was used. The tissue sample was measured with a $50 \times /NA$ 0.8 objective (Zeiss, Jena,Germany). The back scattered light was spectrally separated with a 600 lines/mm grating and detected by using a charge-coupled device (CCD) camera with 1024×127 pixels, thermo-electric cooled down to -70 °C.

An area of 2×3 mm of the tissue sample was measured with the "Large Area" point-by-point scanning mode with a step size of 12.5 μm and 241 measured lines per image and 161 measured points per line. An acquisition time of 0.5 s per spectrum was applied. Thus, the total acquisition time took 21 h.

MALDI imaging

The MALDI analysis applying a matrix sensitive for lipids was directly started after the Raman-spectroscopic characterization of the tissue sample. Therefore, the ImagePrep device (Bruker Daltonik GmbH, Germany) was used to apply the matrix consisting of alpha-cyano 4-hydroxy cinnamic acid (5 mg/mL) in 50 % acetonitrile and 0.2 % trifluoroacetic acid onto the tissue sample following the standard protocol. MALDI-TOF analysis was performed on the UltrafleXtreme MALDI-TOF/TOF mass spectrometer (Bruker Daltonik GmbH, Germany) equipped with a 2000 Hz smartbeam laser detecting positive ions in the reflective mode. The FlashDetector combined with a minimum of 4 GHz digitizer allows for a mass resolving power up to 40,000 and 1 ppm mass accuracy. Spectra were acquired for the m/z range 200 to

1500. The MALDI imaging raster size was set to 25 μm and 200 laser shots were accumulated per raster spot. This value does not reflect the resolution of the MALDI scan, which depends on the applied matrix. If a higher resolution is needed, a matrix optimization has to be performed, e.g., utilizing a different matrix or changing the application to the matrix sublimation method. However, such a matrix optimization lies beyond the scope of our article. Here, the MALDI imaging step size was set twice as high as the Raman imaging step size. After averaging two adjacent Raman spectra, a spot size of similar to the MALDIimages was obtained. The acquisition time of the entire tissue slice was in the range of 20 h. For spectral acquisition, the FlexImaging 3.0 software (Bruker Daltonik GmbH, Germany) was used.

Hematoxylin and eosin staining

After the MALDI imaging, the tissue slice was stained with hematoxylin and eosin (H&E). For removing the matrix, the slide was washed two times with 100 % ethanol. Afterwards, the sample was stained according to a standard protocol. The slide was scanned using the Panoramic DESK (Sysmex Deutschland GmbH, Norderstedt, Germany) slide scanner and was histologically analyzed by an experienced histologist/pathologist.

Data analysis

All computations were done in the statistical language R [[19\]](#page-6-0). The utilized packages where "SPATSTAT" [[20](#page-6-0)], "readBrukerFlexData" [[21\]](#page-6-0), MASS [\[22](#page-6-0)], and "Peaks" [[23](#page-6-0)]. The analysis started with importing both kinds of spectra (i.e., MALDI and Raman spectra) and the H&E image. Pretreatment of the Raman spectra included spatial averaging, background correction using the Sensitive Nonlinear-Iterative-Peak-Clipping (SNIP) algorithm, vector normalization, and a principal-component-analysis (PCA) for dimension reduction [[24](#page-6-0)]. The MALDI spectra were vector normalized and a PCA dimension reduction was carried out. All Raman spectra and the H&E stained image were coregistered with the MALDI scan by means of a recently reported rigid registration procedure [\[17](#page-6-0)], so all images and scans could be handled with respect to the MALDIcoordinate system. As the annotation of an experienced pathologist was done on the H&E stained image, all areas could be described by four values: the RGB value of the H&E stain, the class label of the annotation, the MALDI spectrum, and the Raman spectrum. The spectral histopathology (SHP) [[25,](#page-6-0) [26](#page-6-0)] was implemented using a linear-discriminant-analysis (LDA) [\[22\]](#page-6-0).

Results and discussion

Co-registration and characterization

The combination of the two imaging methods Raman spectroscopy and MALDI spectrometry starts with the coregistration of all relevant images or scans [\[17\]](#page-6-0). For this purpose, we decided to use the MALDI coordinate system as reference and transformed the H&E stained image and the (preprocessed) Raman scan with respect to this reference grid. This transformation is visualized in Fig. [1](#page-3-0). Here, Fig. [1A](#page-3-0) displays a MALDI score plot, where the first three scores are color coded in red, green, and blue. The corresponding image of the loadings can be found in the Supporting Information section (see Electronic Supplementary Material (ESM) Fig. S1). Next to this MALDI score plot the co-registered H&E image is depicted together with the annotations performed by an experienced pathologist (Fig. [1B\)](#page-3-0). The pixelation of the H&E results from the co-registration onto the pixel size of the MALDI scan. The pathologist's annotation (Fig. [1B\)](#page-3-0), however, was performed on the original high resolution H&E image (ESM Fig. S2) and yielded the following five groups: "benign squamous epithelium," "epithelial dysplasia," "muscle tissue," "grandular tissue," and "adipose tissue." In the following and throughout the article, the groups "benign squamous epithelium" and "epithelial dysplasia" are abbreviated by SE and ED, respectively. Based on the co-registration of the MALDI-scan, the Raman scan and the H&E stained image, mean MALDIspectra and mean Raman spectra of the different tissue types (i.e., of the above mentioned pathologist's annotation) could be calculated (Fig. [1C and D](#page-3-0)). These mean spectra will be interpreted in the following.

For a detailed interpretation of the mean MALDI spectra, it is particularly interesting to analyze the mean spectrum of the ED annotated region (Fig. [1C](#page-3-0)). Therefore, we compared m/z peaks found within our mass spectra with values found in the literature about cancer tissue MALDI characterization. We were not aiming for identifying new m/z cancer markers. Several m/z peaks, which were recently identified as tumor markers, show an increased intensity compared with the mean MALDI spectrum assigned to SE area. The m/z 741.6 peak originating from the $K⁺$ adduct of sphingomyelin (SM) (34:1), which tends to promote tumorigenesis [\[27](#page-6-0)], was found to be overexpressed in thyroid [[27](#page-6-0), [28](#page-6-0)] and HER2-positive breast cancer samples compared with adjacent normal tissue [\[11](#page-6-0)]. The observed phosphatidylcholine (PC) 36:0 H+ adduct (m/z value 756.6) was characterized to be significantly higher in cancer regions of oral squamous cell carcinoma than in the stromal region [[29](#page-6-0)]. Furthermore, the K+ adduct of phosphatidylcholine (PC) 36:0 $(m/z$ value 772.6) was detected and proofed to be overexpressed in thyroid [[28](#page-6-0)] and breast cancer [\[11](#page-6-0)]. Additionally, the m/z 798.6 peak, which can be assigned to the K+ adduct of PC 34:1 $[11, 27, 28]$ $[11, 27, 28]$ $[11, 27, 28]$ $[11, 27, 28]$ $[11, 27, 28]$ $[11, 27, 28]$, was also found to be

Fig. 1 (A) First three scores of a PCA from the MALDI data are visualized in false-colors (red/green/blue). See ESM Fig. S1 for the corresponding loadings plot. (B) H&E image with the overlaid annotation by a pathologist ("benign squamous epithelium," "epithelial dysplasia," "muscle tissue," "grandular tissue," and "adipose tissue") is given. The image shows pixelation attributable to the registration, while the original H&E stained image is given in ESM Fig. S2. The black

rectangle indicates the Raman measured region. (C) Mean MALDI spectra of the pathologist's annotation (only Raman measured region) was plotted, where the mean MALDI spectrum of the whole data set was subtracted for clarity. (D) The mean Raman spectra of the pathologist's annotation are depicted. The scale bar represents 1 mm in (A) and (B) , while the legend applies to panel (B) , (C) , and (D) . See text for further details

overexpressed. In addition, the K+ adduct of PC 36:2 (m/z) 824.6), which showed high intensities in cancer regions [\[11\]](#page-6-0), is also present. Recently, Krasny et al. reported a high glycerophospholipids (GP) content inside head and neck tumor regions [\[13\]](#page-6-0). This is in accordance with our findings of several m/z values assigned to GPs inside the ED annotated region: m/z 772.6, 784.6, 796.6, 799.6, 804.7, 806.6, 808.7, 820.6.

The mean Raman spectra (Fig. 1D) are composed of two spectral regions, the fingerprint region from 700 to 1800 cm^{-1} and the CH–stretch region between 2800 and 3000 cm^{-1} , which shows the highest Raman peak intensities of the tissue spectra attributable to stretch vibration of the $CH₂$ and $CH₃$ groups present in all molecular tissue constituents like lipids, proteins, as well as nucleic acids [[30\]](#page-6-0). The strong Raman signal at 1087 cm^{-1} present in all mean Raman spectra originates from the used ITO-coated cover slides. Thus, the prominent Raman peak of the symmetric ring breathing mode of phenylalanine at 1008 cm^{-1} is only visible as a small shoulder within the ITO Raman band. In contrast, the Raman peak of phenylalanine at 1588 cm^{-1} [\[31\]](#page-6-0) is clearly visible and exhibits the highest intensity in the mean Raman spectra of the region annotated with muscle tissue. Further Raman peaks assigned to aromatic amino acids like tryptophan (754 cm⁻¹ and

1554 cm−¹) and tyrosine 853 cm−¹ occur in the mean Raman spectrum of muscle tissue since proteins are the main component of muscles [\[32\]](#page-6-0). In addition several Raman bands associated with collagen are visible at 1342 cm⁻¹, 1450 cm⁻¹, and as shoulders at 853 cm⁻¹ and 1230 cm⁻¹ in the mean Raman spectra of muscle tissue [\[31](#page-6-0)].

The mean Raman spectrum of the region annotated with adipose tissue is characterized by numerous prominent lipid Raman peaks, e.g., the shoulder at 3007 cm^{-1} [[33](#page-6-0)], the peak at 2926 cm⁻¹ [\[31](#page-6-0), [33](#page-6-0)] and the shoulder at 2853 cm⁻¹ [33, [34\]](#page-6-0) can be assigned to unsaturated =CH, symmetric stretching vibration $CH₂$, and symmetric stretching vibration $CH₃$ originating from the acyl chains of fatty acids. Lipid Raman bands in the fingerprint region can be found at 1741 cm⁻¹ (C=O stretch) [\[34](#page-6-0)], 1301 cm⁻¹ (CH₂ twist) [[31](#page-6-0)], and 1270 cm⁻¹ (unsaturated fatty acid) [\[33](#page-6-0)]. The characteristic Raman peak at 1658 cm^{-1} has contributions of lipids (C=C stretch) as well as of the amide I vibration [[30](#page-6-0)] typical for proteins. The mean Raman spectra of the regions annotated with SE and ED are very similar and display only small variations in intensity and wavenumber position of their Raman peaks, e.g., at 1658 cm⁻¹ (amide I and lipids), 1588 cm⁻¹ (phenylalanine), 1448 cm⁻¹ (CH₂ deformation assigned to aliphatic amino

acids [[31\]](#page-6-0)) pointing towards structural changes mainly in the protein constitution during an ongoing dysplastic process.

Combining MALDI spectrometry and Raman spectroscopy

In order to check if the pathologist's annotation is reflected in the Raman spectra, we applied a spectral histopathology workflow recently reported by Diem et al. [\[25\]](#page-6-0). For this purpose, a PCA-LDA model was trained for the tissue-groups annotated by the pathologist within the Raman measured region. We introduced an additional "rest" group in order to account for regions that could not be annotated by the pathologist. The result of this training phase is displayed in Fig. 2A. A comparison of this training plot with the pathologist's annotation (Fig. [1B](#page-3-0)) shows a general agreement. In particular, the groups "muscle tissue," "glandular tissue," and "adipose tissue" coincide well. The main difference occurs in the prediction of SE and ED. Here, only the outer layer of the epithelium and the inner layer (basal layer) can be distinguished based on Raman spectroscopy. This may result from the fact

that the inner layer is the growing layer and the different metabolism is reflected in the Raman spectra.

This spectral histopathology Raman analysis reveals that Raman spectroscopy only allows for discriminating between the epithelial regions, which are proliferating, and other regions, which do not. The pathologist's annotation is more precise in this respect. As a consequence, we investigated the differences reflected within the MALDI spectra. For this purpose, we calculated the mean MALDI spectra of the following four regions: correctly Raman predicted as SE and ED, Raman predicted as SE but annotated by the pathologist as ED, and vice versa. The corresponding mean MALDI spectra of these four groups are highlighted in Fig. 2B, where the mean MALDI spectrum of the whole data set was subtracted for clarity.

Figure 2B shows that there are several m/z values exhibiting intensity differences for the four regions mentioned above. The intensities for the m/z 656 peak and the m/z 782 peak (H+ adduct of PC 36:4) [\[27](#page-6-0)] are lowest for the correctly Raman-predicted ED region and increase in the following order: region predicted as SE but annotated as ED, correctly predicted SE region, and region predicted as ED but annotated as SE. Therefore, the

Fig. 2 (A1/A2) The PCA-LDA prediction of the Raman data is overlaid with H&E stain of the section, while the legend of Fig. 2 A2 applies. The Raman prediction unravels two layers, which may differ in their proliferation and thus metabolic status. These distinct layers can be interpreted as basal epithelial and differentiated epithelial layer. (B1/B2) The differences of the pathologist's annotation (Fig. [1B](#page-3-0)) and the Raman prediction (Fig. 2 A1/A2) are depicted for the groups "benign squamous epithelium" and "epithelial dysplasia." (C) The pathologist's annotation (Fig. [1B](#page-3-0)) was zoomed and displayed for comparison. (D) The

corresponding mean MALDI-spectra (with subtracted mean MALDI spectrum of the whole data set) for the four groups (false negative, true negative, false positive, and true positive) are depicted. It is clear that beside the Raman spectra not featuring strong differences, certain peaks in the mean MALDI spectra differ. These differences can be used to proof the origin of the Raman signatures. In the present case, normal epithelial growth can be distinguished from changed growth. The legend in (C) applies to $(B1/B2)$ as well, whereas the legend of $(A2)$ applies also to panel (C) and $(A1)$. See text for details

intensity differences of these m/z values can help to distinguish between normal proliferating epithelial tissue and an ongoing dysplasia. The intensities for the m/z values at 461, 477, and 688 are similar for the region predicted as SE but annotated as ED, the correctly predicted SE region, and the region predicted as ED but annotated as SE, while they increase for the region correctly predicted as ED. Also for the m/z value 672 the highest intensity is observed for the region correctly predicted as ED and the intensity decreases in the following order: region predicted as ED but annotated as SE, correctly predicted SE region, and region predicted as SE but annotated as ED.

Conclusion

Here, we present a computational workflow for the combination of MALDI spectrometric imaging and Raman imaging. We demonstrated this combination workflow for a tissue sample from a patient with a larynx carcinoma. The combination started by co-registering the Raman scan and the H&E stained image to the MALDI grid as reference. Owing to this registration workflow the MALDI spectra and the Raman spectra could be analyzed together. In a first step, a spectral histopathology (SHP) analysis of the Raman spectra was carried out (Fig. [2\)](#page-4-0). This SHP prediction was subsequently compared with the pathologist's annotation and diagnosis. The Raman based tissue classification yields a separation of the epithelial region into two layers differing in their metabolic state, which may be caused by a difference in proliferation and may coincide with the basal and differentiated epithelial layer.

In order to gain more insight, mean MALDI spectra of the dysplastic and benign epithelial regions were calculated dependent on if they were correctly Raman predicted or not. These four mean MALDI spectra of false-negative, true-negative, false-positive, and true-positive regions are given in Fig. [2C](#page-4-0). The interpretation of these mean MALDI spectra can be used to unravel the prediction based on the Raman spectra. Therefore, the Raman spectra can be interpreted on a molecular level. Here, we applied a specific MALDI matrix for lipids, which allows us to understand which lipids are present in the four above-mentioned regions. Our present study shows that several m/z values, e.g., m/z 782 or m/z 672, correlate with the Raman based prediction as ED. With these m/z values, healthy growth in the basal layer can be distinguished from an altered growth leading to ED.

The methodology developed in this contribution enables a cross-check of either the SHP prediction based on MALDI spectrometry or the SHP prediction based on Raman spectroscopy with the other technique, respectively. Here, we demonstrate the cross-check of Raman spectroscopy based SHP. While Raman spectroscopic imaging can be utilized to

determine the tissue type and the growth status of the epithelial layer, MALDI imaging probes the lipidome as we applied a matrix-specific for lipids. By this combination, one method (Raman spectroscopy) can be used to determine the tissue type and the other method (MALDI imaging) can be used to investigate changes occurring in this tissue type. In our present example, the combination allows for a better characterization of variable epithelial differentiation and yields a better understanding of ongoing dysplastic alterations. As an outlook, this computational workflow for combining different imaging methods may lead to a better understanding of the sample under investigation and may lead to markers for diseases or tissue types by combining the information from different analysis approaches. Such marker combinations applying different imaging methodologies may be the appropriate way to deal with the heterogeneity of tumors [2].

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Conflict of interest The authors declare that no conflict of interest exists.

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