



# Unveiling [3 + 2] cycloaddition reactions of benzonitrile oxide and diphenyl diazomethane to cyclopentene and norbornene: a molecular electron density theory perspective

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## Abstract

A molecular electron density theory (MEDT) study is performed for the [3 + 2] cycloaddition (32CA) reactions of benzonitrile oxide (BNO) and diphenyldiazomethane (DPDM) to cyclopentene (CP) and norbornene (NBN) with the objective to analyse the experimentally observed acceleration in NBN reactions relative to the CP ones. The activation enthalpy of the 32CA reaction of NBN with BNO is lowered than that of CP by 2.1–2.9 kcal mol<sup>-1</sup> in gas phase, DMSO, acetonitrile and THF, while the corresponding differences are 1.3–1.8 kcal mol<sup>-1</sup> with DPDM. The 32CA reactions of DPDM show lower activation parameters compared to that of BNO consistent with the respective *pseudo(mono)radical* and zwitterionic type characters of DPDM and BNO. The *syn* diastereofacial approach of NBN is energetically feasible compared to the *anti* one. The global electron density transfer (GEDT) is identified to anticipate the minimal electron density flux at the TS entity, which is otherwise not accounted by the global electrophilicities of the separated reagents. This MEDT study allows comprehending that for these non-polar reactions, the acceleration in NBN cycloadditions compared to the CP ones is due to the relatively lower energy cost demanded for the depopulation and subsequent rupture of C–C double bond of NBN followed by sequential bonding changes along the reaction paths, rather than the “predistorted geometry towards the TSs” as previously proposed in the 32CA reactions of norbornene derivatives.

**Keywords** Molecular electron density theory · Norbornene · Benzonitrile oxide · Conceptual DFT · Electron localisation function

## 1 Introduction

Norbornene (NBN) (bicyclo [2.2.1] hept-2-ene) and its derivatives have established their promising practical applications in polymer science, solar energy converters, medicinal and agricultural chemistry over more than 60 years [1]. Very recently, the copolymerisation of NBN and divinyl benzene has been reported for the synthesis of graft polymers [2], while NBN derivatives have also found several current

applications in bioorthogonal reactions [3, 4]. The unique angularly strained structural geometry of NBN fosters its synthetic applicability as an attractive candidate in organic synthesis [1, 5–10]. The behaviour of NBN derivatives in ring opening metathesis polymerisation [5, 6], cycloaddition reactions [7], Wagner–Meerwein rearrangement [8], Prins reaction [9] and photochemical excitation [10] are well documented for synthetic applications.

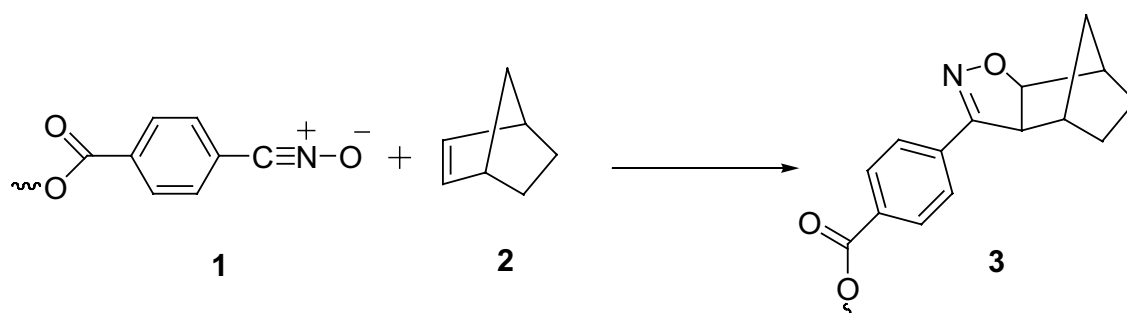
In 2015, Truong et al. [7] reported the first application of the [3 + 2] cycloaddition (32CA) reaction of nitrile oxide **1** with NBN **2** to prepare hydrogels (Scheme 1). In 2016, Zhang et al. [11] performed the polyaddition of azide-containing NBN-based monomer for polymer resin industries. Several other applications [1] have placed the 32CA reactions of NBN derivatives in the top-shelf priority of applied chemistry and consequently invited chemists to explore the possibilities, design new strategies and analyse these reactions.

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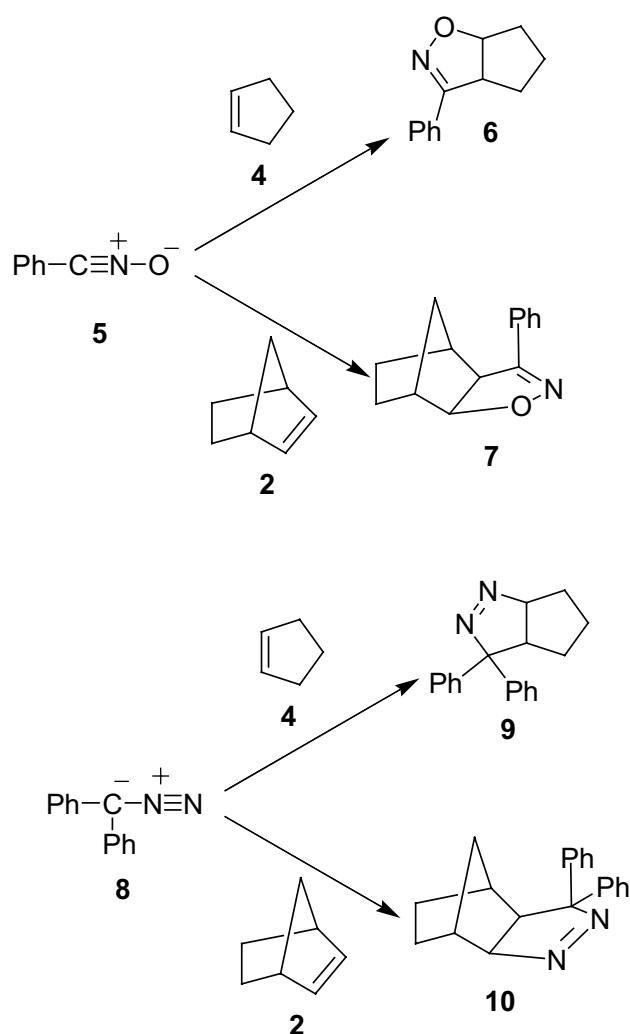
**Scheme 1** 32CA reaction of nitrile oxide **1** with NBN **2** as a cross-linking step in the preparation of hydrogels

In the late 1950s, the 32CA reactions of diazoalkanes and aryl azides with NBN derivatives attracted attention for the first time [12] while in 1965, the 32CA reactions of aryl azides with NBN **2** were reported [13]. The proposed mechanism involving 1,5-zwitterions [12] was thereafter questioned to keep pace with these experimental outcomes, subsequently proposing the concerted mechanism without intermediates [12, 14].

Huisgen [14] compared the reactivities of cyclopentene CP **4** and NBN **2** towards benzonitrile oxide BNO **5**, respectively, leading to the products **6** and **7** (Scheme 2) and towards diphenyl diazomethane DPDM **8** leading to the products **9** and **10**, respectively (Scheme 2). In both cases, the 32CA reaction of NBN **2** showed faster reaction compared to that of CP **4** [14].

Theoretically, the 32CA reactions of azides and norbornene NBN **2** have been analysed in terms of distortion–interaction theory, in which the activation energy is partitioned into “distortion” and “interaction” terms [15]. Recently, we have proposed the theoretical alternative to distortion–interaction theory for the analysis of strain-promoted azide–alkyne cycloaddition (SPAAC) reactions [16] based on the molecular electron density theory (MEDT) perspective proposed by Domingo in 2016 [17–19]. The decisive role of electron density changes for easy depopulation of the alkyne triple bond region along the SPAAC reactions rather than the distorted transition geometry model was thus identified [16]. MEDT studies for 32CA reactions of acetonitrile oxide and 7-oxanorbornen-5-en-2-ones were reported in 2017 [20], and very recently, we have applied MEDT to explain the unexpected reactivity of electrophilic diazoalkanes towards norbornadiene [21]. In addition to strain promotion, the selectivities and catalytic effects can be successfully comprehended within the MEDT framework [22–28].

Although norbornadiene selectivity has been addressed using the MEDT concept, the experimentally observed acceleration in norbornene NBN **2** cycloadditions relative to cyclopentene has not been accounted so far, while the distortion–interaction theory has been applied to study the



**Scheme 2** 32CA reactions of BNO **5** and DPDM **8** with CP **4** and NBN **2**

azide–norbornene cycloadditions [15]. Herein, we present the MEDT study for the 32CA reactions of BNO **5** and DPDM **8** with CP **4** and NBN **2** (Scheme 2) experimentally

realised by Huisgen [14] to analyse the observed acceleration in NBN cycloadditions. The use of MPWB1K functional with the 6-311G(d,p) basis set has been recently recommended as a useful and precise computational model for the analysis of 32CA reactions [22] justifying its applicability for the present study. (1) In Sect. 2.1, the electron localisation function [29, 30] (ELF) of the reagents was studied to classify the three atom components (TACs) and correlate the electronic structure with molecular reactivity [22]. (2) In Sect. 2.2, the conceptual density functional theory [31–34] (CDFT) reactivity descriptors were analysed to predict the polar character. (3) In Sect. 2.3, the potential energy surface (PES) along the feasible reaction paths was followed to obtain the energy profile (4) In Sect. 2.4, the ELF study of the intrinsic reaction coordinate [35] (IRC) points along the energetically favoured reaction paths is analysed to identify the catastrophe [36] and thereafter structure the plausible mechanism (5) In Sect. 2.5, the ELF at the TSs is analysed (6) In Sect. 2.6, the intermolecular interaction at the TSs is analysed by quantum theory of atoms-in-molecules (QTAIM [37–39]) parameters.

## 2 Computational methods

The reactants, TSs and products were optimised using MPWB1K functional [40] in conjunction with the 6-311G(d,p) basis set [41]. The Berny's analytical gradient optimisation method [42] was applied for the optimisation of reactants, transition states and products. Frequency calculations were performed to characterise and verify the optimised stationary points as minima or TSs. The minimum energy reaction path connecting the optimised minima through the located TSs was verified by intrinsic reaction coordinate [35] (IRC) calculations using Gonzales–Schlegel integration method [43, 44].

The conceptual density functional theory [31, 32] (CDFT) indices, electronic chemical potential [45] ( $\mu$ ), global hardness [46] ( $\eta$ ), electrophilicity [33] ( $\omega$ ) and nucleophilicity [34] ( $N$ ) indices were calculated using Eqs. (1–4).

$$\mu \approx (E_{\text{HOMO}} + E_{\text{LUMO}})/2 \quad (1)$$

$$\eta \approx E_{\text{LUMO}} - E_{\text{HOMO}} \quad (2)$$

$$\omega = \mu^2/2\eta \quad (3)$$

$$N = E_{\text{HOMO}} - E_{\text{HOMO}(\text{tetracyanoethylene})} \quad (4)$$

where  $E_{\text{HOMO}}$  and  $E_{\text{LUMO}}$  are the HOMO and LUMO energies; and  $E_{\text{HOMO}(\text{tetracyanoethylene})}$  considered as the reference for nucleophilicity index [34] represents the HOMO energy

of tetracyanoethylene. Note that the B3LYP/6-31G(d) level was used for CDFT calculations since the standard electrophilicity and nucleophilicity scales are defined at this computational level [33, 34].

The global electron density transfer [47] (GEDT) at the TSs was calculated using Eq. (5). The charges were calculated by natural population analysis [48, 49].

$$\text{GEDT} = \sum q_A \quad (5)$$

The asymmetry index [50]  $\Delta I$  at the interacting centres  $A$  and  $B$  of the forming bonds in the TSs was calculated using Eq. (6)

$$I_{A-B} = 1 - (\{r_{A-B}^{\text{TS}} - r_{A-B}^{\text{P}}\}/r_{A-B}^{\text{P}}) \quad (6)$$

where  $r_{A-B}^{\text{TS}}$  and  $r_{A-B}^{\text{P}}$  are the distances between  $A$  and  $B$  in the TSs and the products, respectively.

Solvent effects in DMSO, acetonitrile and THF were studied using polarisable continuum model [51, 52] (PCM) modelled within the self-consistent reaction field [53–55] (SCRF). All reactants, transition states and products were optimised in the respective solvents at PCM/MPWB1K/6-311G(d,p) level of theory and the minima and TSs were characterised by frequency calculations. The thermodynamic parameters were calculated at 1 atm pressure and 298 K in gas phase, DMSO, acetonitrile and THF.

All optimisations, frequency calculations and IRC studies were performed using Gaussian 16 suite of programs [56].

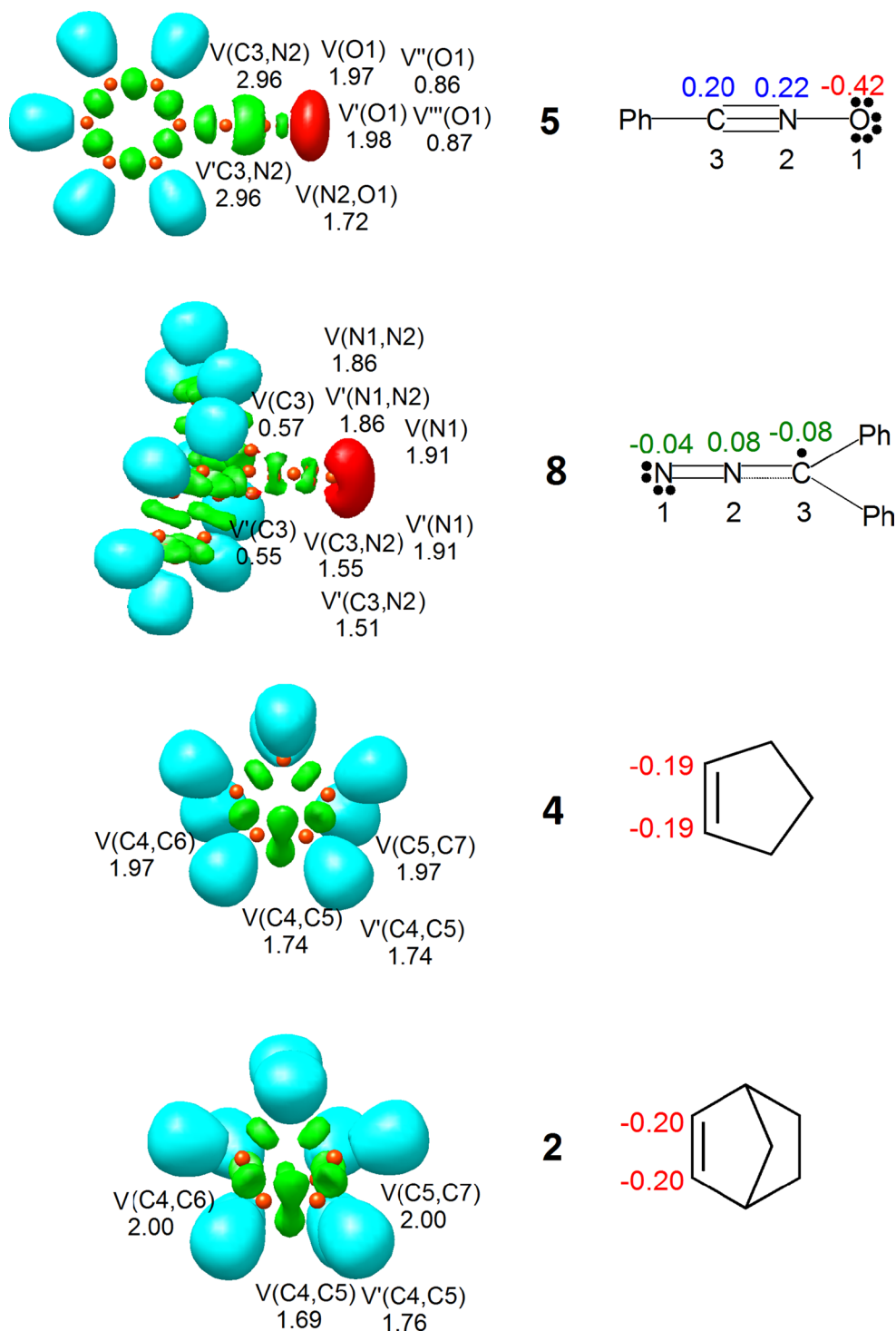
The ELF [29, 30] and QTAIM [37–39] parameters were calculated using Multiwfn software [57] with high-quality grid. The ELF localisation domains were visualised using UCSF Chimera software [58].

### 2.1 ELF Topological analysis of the reactants BNO 5, DPDM 8, CP 4 and NBN 2

In several MEDT studies [17–28], the ELF topological study has allowed a reasonably good correlation of the electronic structures with the molecular reactivity consistent with the standard classification of the TACs into *pseudodiradical* [59], *pseudo(mono)radical* [23], carbenoid [60] and zwitterionic type [22]. Accordingly, the ELF at the ground-state electronic structures of BNO 5 and DPDM 8 is studied to correlate the electronic structure and the reactivity of the reagents. The ELF localisation domains, basin attractor positions and the most significant valence basin populations are given in Fig. 1.

The ELF topological analysis of BNO 5 shows the presence of four monosynaptic basins  $V(\text{O}1)$ ,  $V'(\text{O}1)$ ,  $V''(\text{O}1)$  and  $V'''(\text{O}1)$  integrating a total population of 5.68 e associated with the non-bonding electron density at O1 oxygen; two disynaptic basins  $V(\text{C}3, \text{N}2)$  and  $V'(\text{C}3, \text{N}2)$  integrating 5.92 e associated with the C3-N2 triple bond; one

**Fig. 1** MPWB1K/6-311G(d,p) ELF basin attractor positions of BNO **5**, DPDM **8**, CP **4** and NBN **2** and the proposed Lewis-like structures together with the natural atomic charges in average number of electrons *e*. Negative, negligible and positive charges are shown in red, green and blue colours, respectively



disynaptic basin  $V(N2,O1)$  integrating 1.72 *e* associated with the N2–O1 single bond. The absence of *pseudoradical* or carbenoid centres in BNO **5** allows its classification as a zwitterionic TAC participating in *zw*-type 32CA reactions associated with high activation energy barrier [17–19, 22].

The ELF topological analysis of DPDM **8** shows the presence of two monosynaptic basins  $V(C3)$  and  $V'(C3)$

integrating 1.02 *e*, associated with a *pseudoradical* centre at C3; two disynaptic basins  $V(C3,N2)$  and  $V'(C3,N2)$  integrating 3.06 *e* associated with the C3–N2 double bond; two disynaptic basins  $V(N1,N2)$  and  $V'(N1,N2)$  integrating 3.72 *e* associated with the N1–N2 double bond; two monosynaptic basins  $V(N1)$  and  $V'(N1)$  integrating 3.82 *e* associated with the non-bonding electron density on N1 nitrogen. The

presence of *pseudoradical* centre at C3 classifies DPDM **8** as a *pseudo(mono)radical* TAC [23], participating in *pmr*-type 32CA reactions.

ELF topology of CP **4** and NBN **2** shows the presence of a pair of disynaptic basins  $V(C4,C5)$  and  $V'(C4,C5)$  integrating a total population of 3.48 e and 3.45 e, associated with the C4–C5 double bond.

The proposed Lewis-like structures and the natural atomic charges are given in Fig. 1. Oxygen O1 is negatively charged by  $-0.42$  e while N2 and C3 show almost similar positive charges 0.22 e and 0.20 e in BNO **5**. The *pseudoradical* carbon C3 and the N1 and N2 nitrogen nuclei show negligible charges in DPDM **8**, which is different from the commonly accepted 1,2-zwitterionic concept of the diazoalkanes. The C4 and C5 carbon of CP **4** and NBN **2** are negatively charged by 0.19 e and 0.20 e, respectively.

## 2.2 Analysis of the CDFT indices of reactants BNO 5, DPDM 8, CP 4 and NBN 2

The use of global reactivity descriptors defined within the CDFT [31–34] is a well-established tool to predict the reactivity of reagents participating in 32CA reactions. The B3LYP/6-31G(d) calculated global reactivity indices, namely the electronic chemical potential  $\mu$ , chemical hardness  $\eta$ , electrophilicity  $\omega$  and nucleophilicity  $N$  indices of the reagents, are given in Table 1.

The electronic chemical potential  $\mu$  of BNO **5** ( $\mu = -3.81$  eV) and DPDM **8** ( $\mu = -3.35$  eV) is lower than that of CP **4** ( $\mu = -2.71$  eV) and NBN **2** ( $\mu = -2.79$  eV), suggesting that along a polar process, the electron density will flux from CP **4** and NBN **2** to BNO **5** and DPDM **8** via reverse electron density flux (REDF) reactions [61].

The chemical hardness  $\eta$  of BNO **5** ( $\eta = 5.01$  eV) and DPDM **8** ( $\eta = 3.70$  eV) are lower than that of CP **4** ( $\eta = 7.21$  eV) and NBN **2** ( $\eta = 6.94$  eV). Thus, the TACs, BNO **5** and DPDM **8** are softer and more prone to electron density deformation compared to CP **4** and NBN **2**.

Within the electrophilicity scale [33], BNO **5** ( $\omega = 1.45$  eV) is classified as a moderate electrophile, while DPDM **8** ( $\omega = 1.52$  eV) as a strong electrophile. On the other hand, CP **4** ( $\omega = 0.51$  eV) and NBN **2** ( $\omega = 0.56$  eV) are classified as marginal electrophiles with  $\omega < 0.80$  eV.

The analysis of CDFT indices predicts that along a polar process, BNO **5** and DPDM **8** will behave as the electrophilic

species, while CP **4** and NBN **2** as the nucleophilic ones. The global hardness of BNO **5** and DPDM **8** show lower values, indicating more resistance to exchange electron density compared to CP **4** and NBN **2**. Note that CP **4** and NBN **2** show higher electronic chemical potentials predicting higher propensity to exchange electron density compared to BNO **5** and DPDM **8**.

Although CP **4** and NBN **2** show marginal electrophilicity, they are classified as the moderate nucleophiles on the nucleophilicity scale similar to BNO **5**, while DPDM **8** is classified as a strong nucleophile. Note that although B3LYP/6-31G(d) and MPWB1K/6-311G(d,p) reactivity indices (given in Supplementary Material) show different values, similar trends of reactivity are predicted.

## 2.3 Analysis of the energy profile of the 32CA reactions of BNO 5 and DPDM 8 with CP 4 and NBN 2

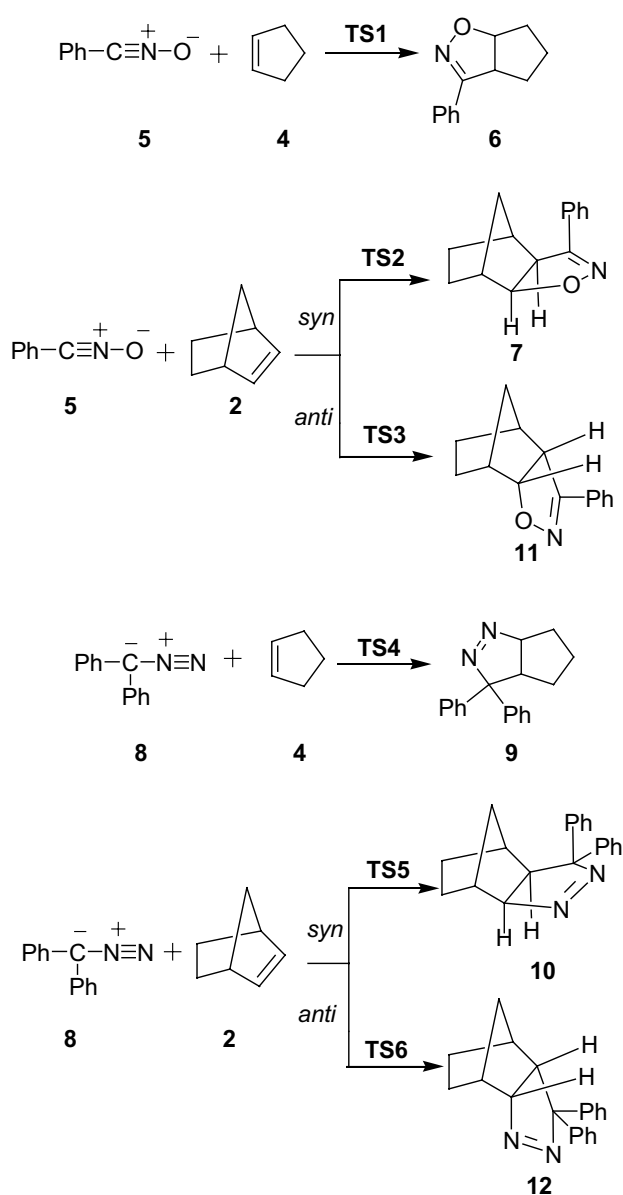
Due to the molecular symmetry of CP **4**, only one reaction path is feasible for the 32CA reactions with BNO **5** and DPDM **8**. These two reactions follow one step mechanism, allowing location of the TSs **TS1** and **TS4** leading to isoxazoline **6** and pyrazoline **9**, respectively (Scheme 3).

Two competitive stereoisomeric reaction paths are feasible for the 32CA reactions of NBN **2** with BNO **5** and DPDM **8**. They are related to the *syn* and *anti* approach modes of BNO **5** and DPDM **8** along the two stereoisomeric faces of the C–C double bond of NBN **2**. For the 32CA reaction of BNO **5** with NBN **2**, the *syn* and *anti* reaction paths lead to two diastereomeric isoxazolines **7** and **11**, respectively, via **TS2** and **TS3** while for the 32CA reaction of DPDM **8** with NBN **2**, the *syn* and *anti* approaches lead to two diastereomeric isoxazolines **10** and **12**, respectively, via **TS5** and **TS6** (Scheme 3). The relative electronic energies, enthalpies, entropies and Gibbs free energies of the TSs and the cycloadducts are given in Table 2.

A series of appealing conclusions can be proposed from the energy profile. (1) The 32CA reactions of BNO **5** and DPDM **8** with CP **4** and NBN **2** show the reaction Gibbs free energies between  $-24.0$  (**9**) and  $-40.0$  (**7**) kcal mol $^{-1}$  in gas phase,  $-25.7$  (**9**) and  $-40.5$  (**7**) kcal mol $^{-1}$  in DMSO and acetonitrile and  $-25.3$  (**9**) and  $-40.4$  (**7**) kcal mol $^{-1}$  in THF, suggesting highly exergonic reactions which makes them irreversible. (2) The activation energy of **TS4** is lowered than that of **TS1** by 3.6 kcal mol $^{-1}$  in gas phase and 4.1 kcal mol $^{-1}$  in DMSO, acetonitrile and THF. The higher activation energy of the *zw*-type reaction of BNO **5** than that of the *pmr*-type reaction of DPDM **8** is the expected molecular reactivity in agreement with the TAC characterisation [17–19, 21, 23]. (3) For the 32CA reaction of BNO **5** with NBN **2**, the *syn* approach mode is energetically favoured than the *anti* one with the activation energy of

**Table 1** B3LYP/6-31G(d) electronic chemical potential  $\mu$ , chemical hardness  $\eta$ , electrophilicity  $\omega$  and nucleophilicity  $N$  indices in eV of BNO **5**, DPDM **8**, CP **4** and NBN **2**

	$\mu$	$\eta$	$\omega$	$N$
<b>5</b>	-3.81	5.01	1.45	2.80
<b>8</b>	-3.35	3.70	1.52	3.92
<b>4</b>	-2.71	7.21	0.51	2.80
<b>2</b>	-2.79	6.94	0.56	2.86



**Scheme 3** 32CA reactions of BNO **5** and DPDM **8** with CP **4** and NBN **2**

**TS2** lowered than that of **TS3** by 6.0 kcal mol<sup>-1</sup> in gas phase, 6.2 kcal mol<sup>-1</sup> in DMSO and acetonitrile and 6.1 kcal mol<sup>-1</sup> in THF. Similarly, the 32CA reaction of DPDM **8** with NBN **2** also prefers the *syn* approach mode, than the *anti* one with the activation energy of **TS5** lowered than that of **TS6** by 5.6 kcal mol<sup>-1</sup> in gas phase and 5.7 kcal mol<sup>-1</sup> in DMSO, acetonitrile and THF. The free energy profiles in gas phase are shown in Fig. 2. Inclusion of solvent effects shows minimal impact on the energy results in each case.

(4) The activation energy of **TS2** is lowered than that of **TS1** by 2.1 kcal mol<sup>-1</sup> in gas phase, 2.4 kcal mol<sup>-1</sup> in DMSO and acetonitrile and 2.3 kcal mol<sup>-1</sup> in THF. The activation

energy of **TS4** is lowered than that of **TS5** by 1.4 kcal mol<sup>-1</sup> in gas phase and 1.6 kcal mol<sup>-1</sup> in DMSO, acetonitrile and THF. These differences account for the experimentally observed [14] faster 32CA reactions of BNO **5** and DPDM **8** with NBN **2** compared to the analogous reactions with CP **4**. The free energy profile in gas phase is shown in Fig. 3.

(5) Thermodynamic corrections to the activation energies at 298 K increase the activation enthalpies between 0.3 and 1.9 kcal mol<sup>-1</sup>, while the reaction enthalpies are decreased between 3.1 and 4.8 kcal mol<sup>-1</sup>. Inclusion of entropies to the enthalpies rises the activation free energies between 12.1 and 13.6 kcal mol<sup>-1</sup> in gas phase, 12.7 and 15.2 kcal mol<sup>-1</sup> in DMSO and acetonitrile and 11.4 and 14.9 kcal mol<sup>-1</sup> in THF, while the reaction free energies are decreased between 13.2 and 15.4 kcal mol<sup>-1</sup> in gas phase, 11.6 and 14.9 kcal mol<sup>-1</sup> in DMSO, 12.0 and 15.2 kcal mol<sup>-1</sup> in acetonitrile and 12.5 and 15.3 kcal mol<sup>-1</sup> in THF. The gas-phase activation free energies for 32CA reaction of BNO **5** with CP **4** and NBN **2** are 29.0 and 27.4 kcal mol<sup>-1</sup>, while that for the 32CA reaction of DPDM **8** with CP **4** and NBN **2** is 25.9 and 24.6, respectively (Fig. 3).

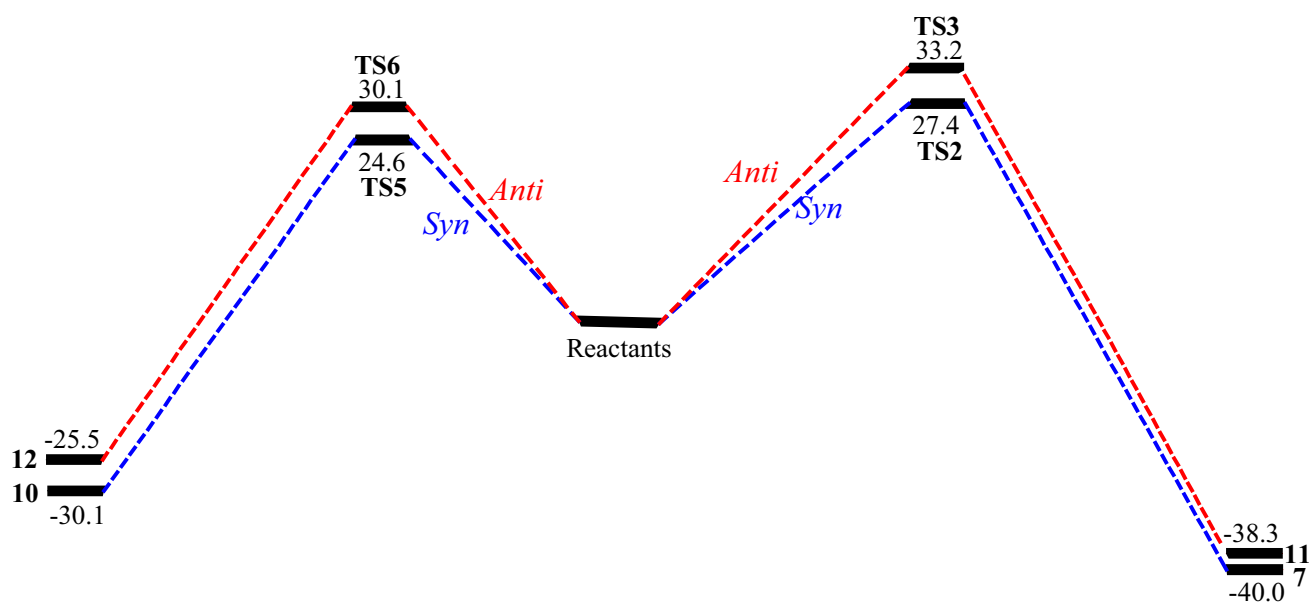
The gas-phase-optimised geometries of **TS1–TS6** are given in Fig. 4, while the bond lengths and asymmetry indices  $\Delta l$  are listed in Table 3. (1) For the 32CA reactions of BNO **5**, the C3–C4 forming bond length is longer than that of the forming O1–C5 bond in **TS1**, **TS2** and **TS3**. However, the respective asymmetry index [50]  $\Delta l$  values 0.122, 0.142 and 0.157 suggest earlier C–C bond formation than the O–C one in each case (Table 3). The bond order predicted earlier C–C bond formation complies with the BET analysis (Sect. 2.4). For the 32CA reactions of DPDM **8**, the C–C bond formation is slightly earlier than the N–C one with  $\Delta l$  values of 0.038 and 0.011, respectively, for **TS4** and **TS6**, suggesting a hardly asynchronous process. Inclusion of solvent effects does not considerably modify the gas-phase geometries.

Now considering that the C–C bond formation begins at 2.0–1.9 Å [18] and the O–C/N–C bond formation at 1.9–1.8 Å [18], it is evident that the C–C, O–C and N–C covalent bond formation has not started at the TSs showing bond distances greater than 2.0 Å, in agreement with the ELF study (Sect. 2.5) and QTAIM analysis (Sect. 2.6) at the TSs.

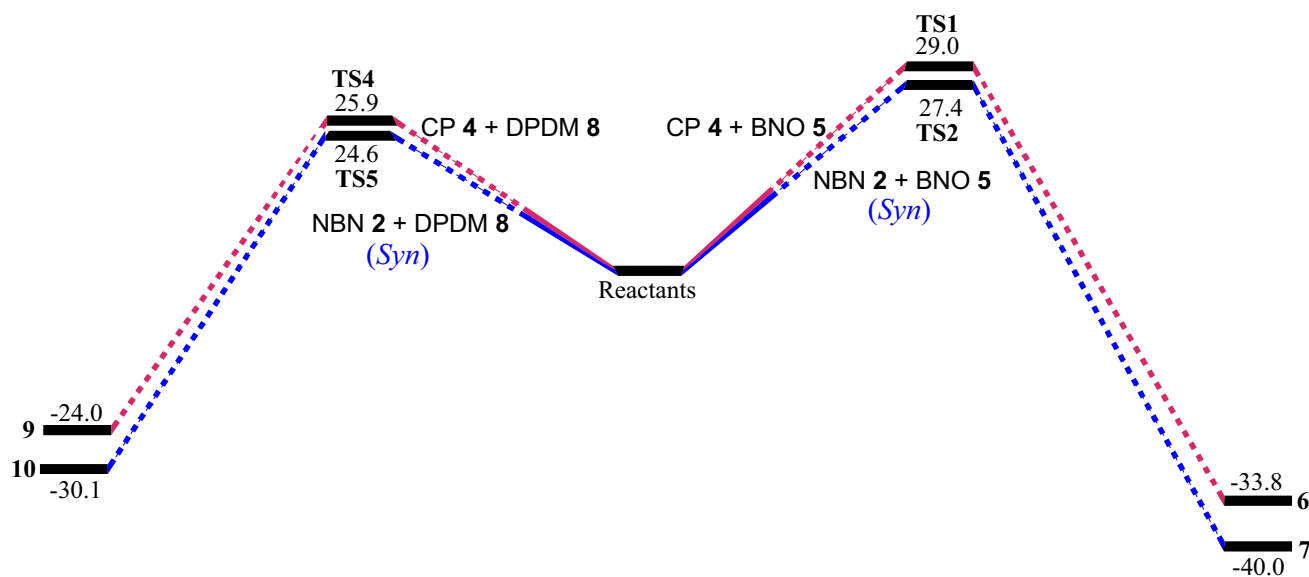
In 1999 [62], Domingo performed DFT studies for Diels–Alder (DA) reactions of nitroethylenes and observed decrease in the activation energies with the nucleophilicity of the ethylene derivatives. Subsequently, the influence of polarity on the reaction feasibility was quantitatively established in 2003 from the correlation of the activation parameters with global electrophilicity  $\omega$  index for the DA reactions of cyclopentadiene and cyanoethylenes [63]. A reasonable good correlation ( $R^2=0.99$ ) between the charge transfer (CT) at the TSs and the experimental rate constants

**Table 2** MPWB1K/6-311G(d,p) relative energies ( $\Delta E$ , kcal mol<sup>-1</sup>), enthalpies ( $\Delta H$ , kcal mol<sup>-1</sup>), entropies ( $\Delta S$ , Cal mol<sup>-1</sup> K<sup>-1</sup>), Gibbs free energies ( $\Delta G$ , kcal mol<sup>-1</sup>) of TSs and products for the 32CA reactions of BNO **5** and DPDM **8** with CP **4** and NBN **2**

Entry	TS/P	Medium	$\Delta E$	$\Delta H$	$\Delta S$	$\Delta G$
1	<b>TS1</b>	Gas phase	15.5	15.9	-44	29.0
2	<b>6</b>	Gas phase	-52.4	-49.2	-51.6	-33.8
3	<b>TS2</b>	Gas phase	13.4	13.8	-45.5	27.4
4	<b>7</b>	Gas phase	-58.7	-55.4	-51.6	-40.0
5	<b>TS3</b>	Gas phase	19.4	19.7	-45	33.2
6	<b>11</b>	Gas phase	-56.9	-53.7	-51.6	-38.3
7	<b>TS4</b>	Gas phase	11.9	13.7	-40.5	25.9
8	<b>9</b>	Gas phase	-42	-37.3	-44.5	-24.0
9	<b>TS5</b>	Gas phase	10.5	12.4	-40.9	24.6
10	<b>10</b>	Gas phase	-47.9	-43.3	-44.4	-30.1
11	<b>TS6</b>	Gas phase	16.1	17.8	-41.2	30.1
12	<b>12</b>	Gas phase	-45.0	-40.9	-51.3	-25.5
13	<b>TS1</b>	DMSO	17.2	17.4	-42.8	30.1
14	<b>6</b>	DMSO	-52.2	-49.1	-49.8	-34.2
15	<b>TS2</b>	DMSO	14.8	14.5	-50	29.4
16	<b>7</b>	DMSO	-58.5	-55.3	-49.7	-40.5
17	<b>TS3</b>	DMSO	21.0	20.7	-51	35.9
18	<b>11</b>	DMSO	-56.6	-53.3	-49.9	-38.5
19	<b>TS4</b>	DMSO	13.1	15	-39.4	26.7
20	<b>9</b>	DMSO	-42.7	-38.1	-41.4	-25.7
21	<b>TS5</b>	DMSO	11.5	13.2	-37.8	24.5
22	<b>10</b>	DMSO	-48.9	-44.1	-39.1	-32.4
23	<b>TS6</b>	DMSO	17.2	19	-39.4	30.7
24	<b>12</b>	DMSO	-45.6	-41.3	-51	-26.1
25	<b>TS1</b>	Acetonitrile	17.2	17.3	-42.9	30.1
26	<b>6</b>	Acetonitrile	-52.2	-49.1	-49.9	-34.2
27	<b>TS2</b>	Acetonitrile	14.8	14.5	-50.1	29.4
28	<b>7</b>	Acetonitrile	-58.5	-55.3	-49.7	-40.5
29	<b>TS3</b>	Acetonitrile	21.0	20.7	-51	35.9
30	<b>11</b>	Acetonitrile	-58.5	-55.3	-49.7	-40.5
31	<b>TS4</b>	Acetonitrile	13.1	14.9	-39.4	26.7
32	<b>9</b>	Acetonitrile	-42.7	-38	-41.5	-25.7
33	<b>TS5</b>	Acetonitrile	11.5	13.2	-37.8	24.5
34	<b>10</b>	Acetonitrile	-48.8	-44.1	-40.1	-32.1
35	<b>TS6</b>	Acetonitrile	17.2	18.9	-39.5	30.7
36	<b>12</b>	Acetonitrile	-45.5	-41.3	-51	-26.0
37	<b>TS1</b>	THF	17.0	17.1	-43.6	30.1
38	<b>6</b>	THF	-52.1	-49	-50.2	-34.1
39	<b>TS2</b>	THF	14.7	14.3	-50.1	29.2
40	<b>7</b>	THF	-58.4	-55.2	-49.9	-40.4
41	<b>TS3</b>	THF	20.8	21.1	-42.5	33.8
42	<b>11</b>	THF	-56.5	-53.2	-50	-38.3
43	<b>TS4</b>	THF	12.9	14.8	-39.8	26.6
44	<b>9</b>	THF	-42.5	-37.8	-42.2	-25.3
45	<b>TS5</b>	THF	11.3	13.1	-38.4	24.5
46	<b>10</b>	THF	-48.7	-43.9	-42.7	-31.1
47	<b>TS6</b>	THF	17.0	18.7	-39.8	30.6
48	<b>12</b>	THF	-45.3	-41.1	-51.1	-25.9



**Fig. 2** Relative free energies ( $\text{kcal mol}^{-1}$ ) of gas-phase-optimised reactants, TSs and products along the *syn* and *anti* reaction paths for the 32CA reactions of NBN **2** with BNO **5** and DPDM **8**



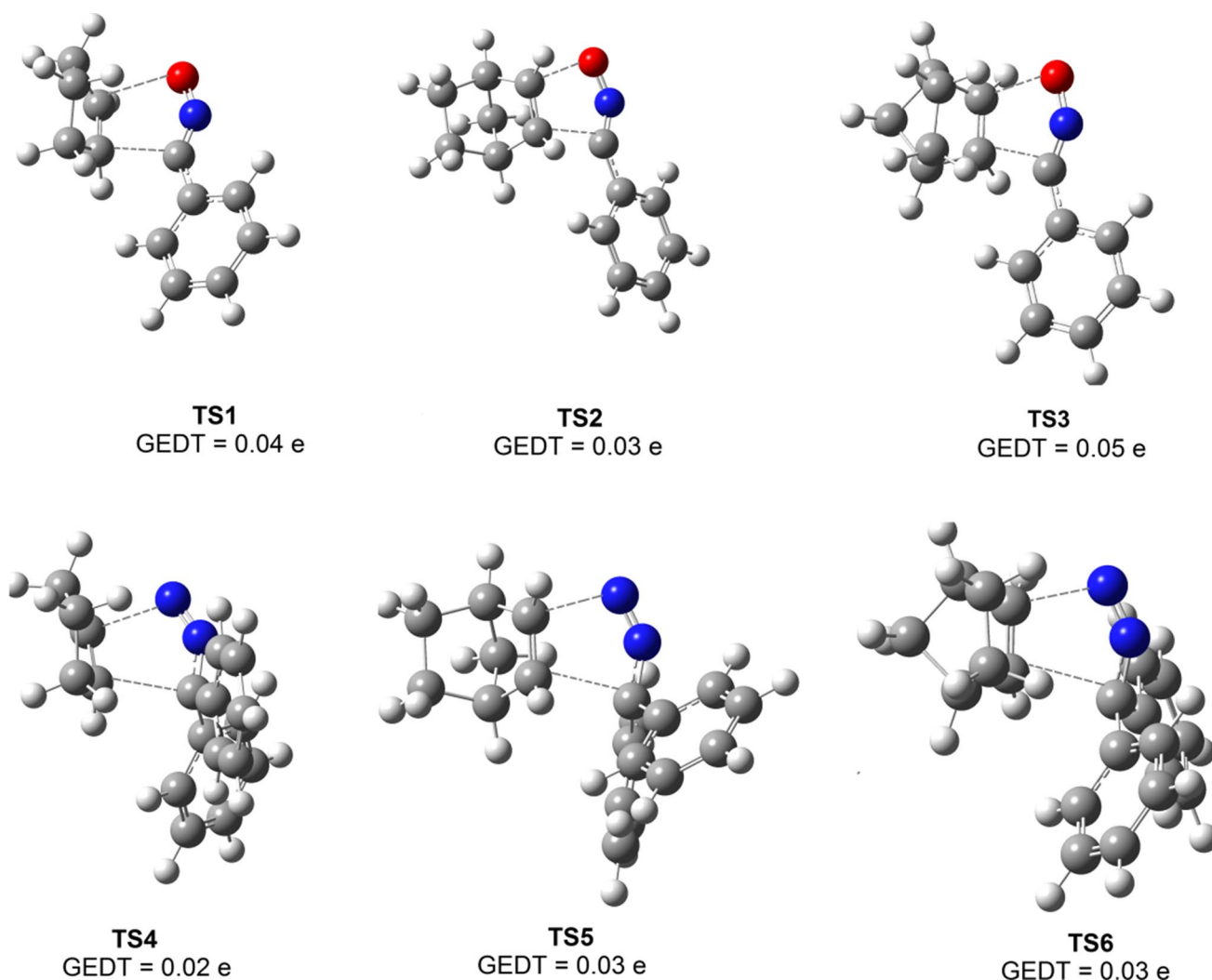
**Fig. 3** Relative free energies ( $\text{kcal mol}^{-1}$ ) of gas-phase-optimised reactants, TSs and products for the 32CA reactions of CP **4** and NBN **2** (*syn* path) with BNO **5** and DPDM **8**

was finally reported by Domingo in 2009 [64], revealing the predominant role of electron density flux at the TSs, termed as the global electron density transfer [47] (GEDT) in 2014. Polar reactions are characterised by GEDT values above 0.20 e, while non-polar reactions show values less than 0.10 e. Higher GEDT values are indicative of more polarity and hence faster reactions. In 2017, Domingo [65] reported that the higher GEDT values lead to depopulation

of the C–C double bond and easy rupture of C–C bonds in cycloaddition reactions resulting in the lower activation parameters of polar CAs relative to the non-polar ones.

The calculated GEDT at the TSs are given in Fig. 4. The GEDT values are found between 0.02 and 0.05 e, suggesting non-polar reactions and are classified as null electron density flux [61] (NEDF). Interestingly, the minimal electron density flux predicted by GEDT is contrary to the CDFT predicted





**Fig. 4** MPWB1K/6-311G(d,p) optimised geometries (gas phase) of **TS1–TS6** associated with the 32CA reactions of BNO **5** and DPDM **8** with CP **4** and NBN **2**

strong electrophilicities of BNO **5** and DPDM **2** and marginal electrophilicities of CP **4** and NBN **2** (Table 1). Similar prediction has also been observed recently for the 32CA reactions of substituted diazoalkanes (DAAs) to norbornadiene [21], where the 32CA reaction is experimentally decelerated due to the introduction of electron-withdrawing substituents in the simplest diazoalkane, although the DAAs were predicted as the electrophilic counterpart to norbornadiene by CDFT indices, while the GEDT predictions agree well with the experiments. Thus, for the 32CA reactions of BNO **5** and DPDM **8** to CP **4** and NBN **2**, it seems that the electron density fluxes minimally between the reacting counterparts at the TS entity and this local phenomenon is not anticipated in the global reactivity indices defined within the CDFT calculated for the separated reagents. On the other hand, GEDT predicts this local electron density flux at the TS entity, classifying the reactions as the non-polar ones. From the BET study (Sect. 2.4), it is further

evident that the electronic flux between reacting counterparts differ minimally for NBN and CP reactions at each nuclear configuration along the reaction path, and the experimentally observed acceleration in NBN ones is the outcome of the relatively lower energy cost (EC) demanded for the C–C double bond rupture, as suggested by Domingo for non-polar CAs [21, 65], thus complying with the GEDT prediction. Note that the calculated high activation energies (Table 2) also characterise these non-polar reactions and the QTAIM analysis (Sect. 2.5) shows non-covalent interactions at the TSs.

#### 2.4 BET study for the 32CA reactions of BNO **5** and DPDM **8** with CP **4** and NBN **2**

Bonding evolution theory (BET) proposed by Krokoidis [66] allows structuring the plausible mechanism of chemical reactions [67]. Within the MEDT framework,

**Table 3** MPWB1K/6-311G(d,p) geometrical parameters of the gas-phase TSs involved in the 32CA reactions of BNO **5** and DPDM **8** with CP **4** and NBN **2**

	$r(\text{\AA})$		$l$		$\Delta l$
	C3–C4	O1/N1–C5	$l_{\text{C3-C4}}$	$l_{\text{O1/N1-C5}}$	
<b>TS1</b>	2.204	2.284	0.528	0.406	0.122
<b>6</b>	1.497	1.433			
<b>TS2</b>	2.228	2.33	0.510	0.368	0.142
<b>7</b>	1.495	1.428			
<b>TS3</b>	2.197	2.315	0.528	0.371	0.157
<b>11</b>	1.493	1.421			
<b>TS4</b>	2.291	2.118	0.515	0.553	0.038
<b>9</b>	1.543	1.464			
<b>TS5</b>	2.297	2.179	0.507	0.507	0.000
<b>10</b>	1.539	1.459			
<b>TS6</b>	2.275	2.185	0.517	0.506	0.011
<b>12</b>	1.534	1.463			

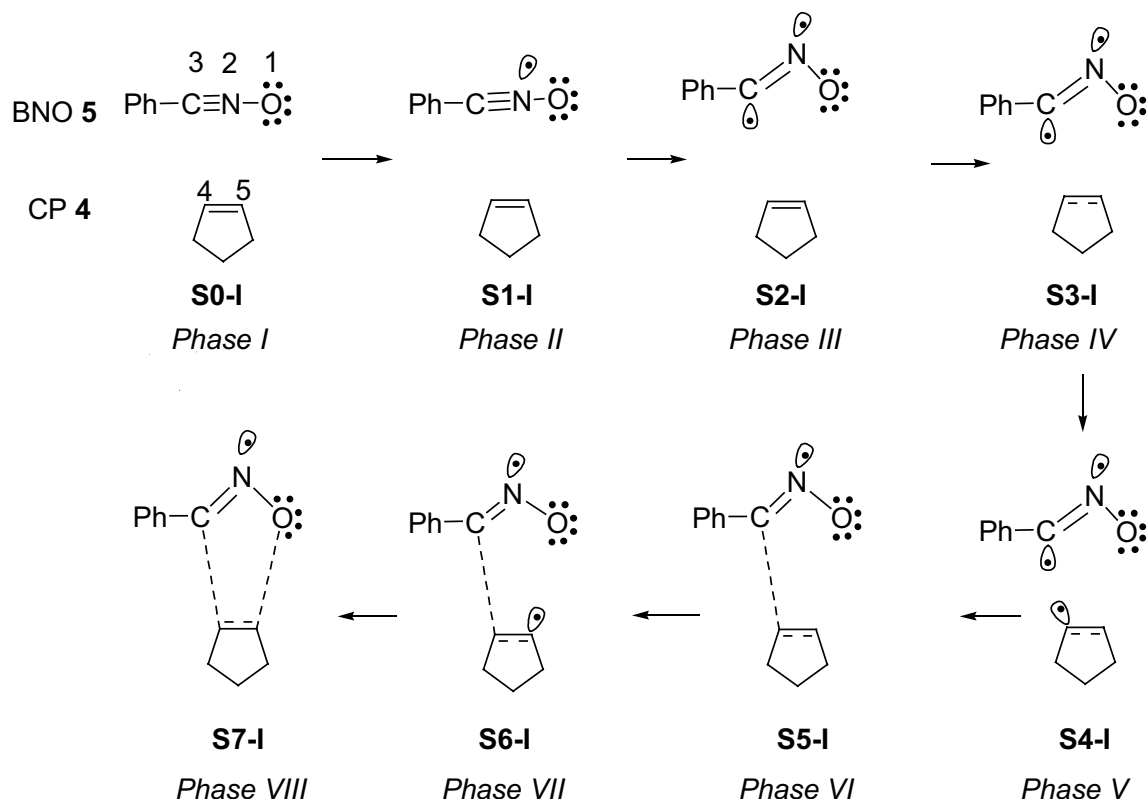
BET allows to study the electron density changes along the reaction path. Accordingly, the BETs for the 32CA reactions of BNO **5** and DPDM **8** with CP **4** and NBN **2** were studied. For the 32CA reactions of DPDM **8**, the

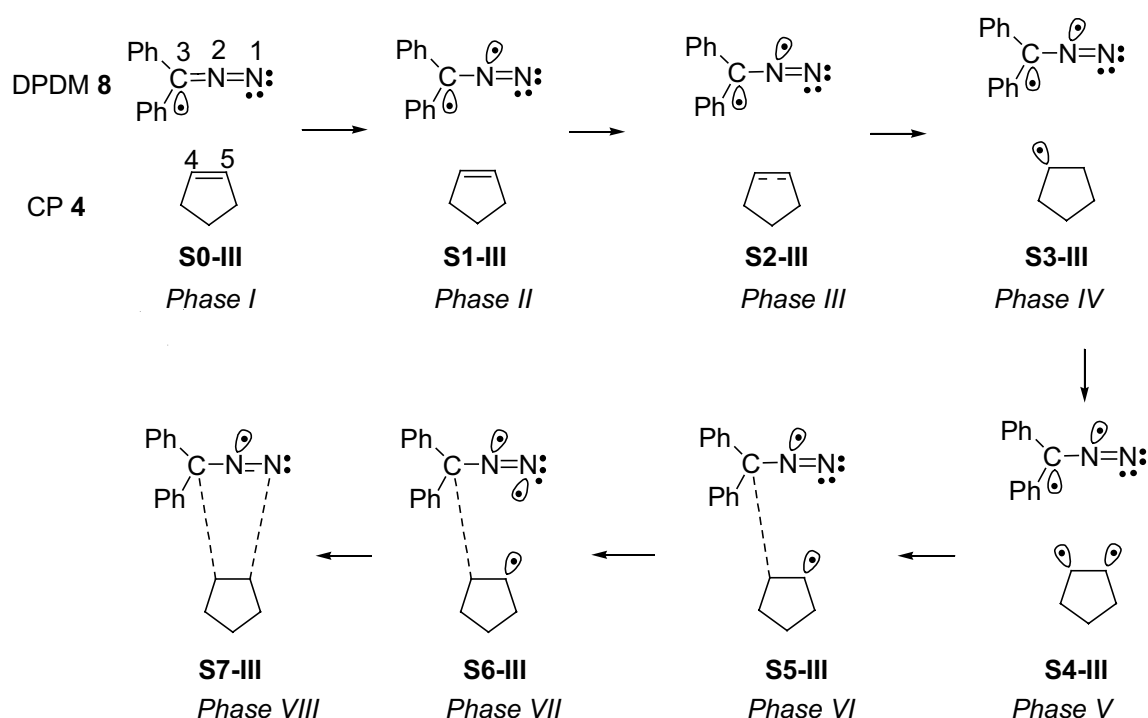
energetically preferred *syn* approach mode was selected for the study. Detailed BET studies are given in Sections S1–S4 of Supplementary Material.

The 32CA reactions of BNO **5** and DPDM **8** with CP **4** and NBN **2** can be differentiated into eight ELF topological *phases* with the representative IRC points **S0–S7** characterising the beginning of each *phase*. The IRC points are denoted with numerals I and II to designate 32CA reactions of BNO **5** with CP **4** and NBN **2**, respectively, while III and IV numerals indicate the 32CA reactions of DPDM **8** with CP **4** and NBN **2**, respectively. The simple representations of the molecular mechanisms for the 32CA reactions of CP **4** with BNO **5** and DPDM **8** are given in Schemes 4 and 5.

The ELF of the starting points **S0-I**, **S0-II**, **S0-III** and **S0-IV** of *Phase I* is similar to that of the separated reagents (Fig. 1).

*Phase II* of the 32CA reactions is identified with the formation of monosynaptic basin V(N2) associated with the non-bonding electron density at N2 nitrogen. Note that the energy cost (EC) to reach *phase II* are 11.5 and 10.4 kcal mol<sup>-1</sup> for the 32CA reaction of BNO **5** with CP **4** and NBN **2**, respectively, while the corresponding ECs are 8.5 and 8.8 kcal mol<sup>-1</sup>, respectively, for the 32CA reactions of DPDM **8**.

**Scheme 4** Simple representation of the molecular mechanism of the 32CA reaction of BNO **5** with CP **4**



**Scheme 5** Simple representation of the molecular mechanism of the 32CA reaction of DPDM 8 with CP 4

*Phase III* of the 32CA reactions of BNO 5 with CP 4 and NBN 2 is associated with the formation of *pseudoradical* centre at C3 carbon, which demands EC of 13.8 and 12.2 kcal mol<sup>-1</sup>, respectively. Owing to the *pseudo(mono)radical* character of DPDM 8, the *pseudoradical* centre at C3 carbon already exists in the separated reagent, which consequently demands no extra EC to form along the reaction path.

*Phase IV* for the 32CA reactions of BNO 5 with CP 4 and NBN 2 is associated with the rupture of the C4–C5 double bond demanding EC of 15.2 and 13.3 kcal mol<sup>-1</sup>, respectively, while the similar bonding change is observed in *phase III* for the 32CA reactions of DPDM 8 demanding EC of 11.0 and 9.8 kcal mol<sup>-1</sup> for CP 2 and NBN 2. **TS1** and **TS2** belong to *phase IV*, while **TS4** and **TS5** belong to *phase III* of the respective 32CA reaction. Thus, the activation energies for 32CA reactions of BNO 5 are associated with the formation of non-bonding electron density at N2 nitrogen, *pseudoradical* centre at C3 and rupture of the C4–C5 double bond, while those of DPDM 8 are associated with the formation of non-bonding electron density of N2 and rupture of the C4–C5 double bond. This explains the lower EC calculated for the 32CA reactions of DPDM 8 compared to that of BNO 5. Note that the EC demanded for the rupture of C–C double bond in the 32CA reactions of BNO 5 and DPDM 8 with CP 4 are higher than that with NBN 2 by 1.9 and 1.2 kcal mol<sup>-1</sup>, respectively, leading to accelerated NBN reactions, as observed experimentally.

In the later phases along the reaction path, the rupture of C4–C5 double bonds of CP 4 and NBN 2 is followed by the formation of *pseudoradical* centres at C4 and C5 carbons and the coupling of the *pseudoradical* centres at C3 and C4 carbons to form the first C3–C4 single bond in all four reactions. Subsequently, the *pseudoradical* centre at C5 couples with the part of non-bonding electron density of O1 oxygen of BNO 5 and N1 nitrogen of DPDM 8 to form the second O1–C5 and N1–C5 covalent bonds in the respective reactions.

Note that the earlier C3–C4 bond formation is predicted in complete agreement with the asymmetry index calculations at the TSs (Table 3). For 32CA reactions of BNO 5 with CP 4 and NBN 2, the formation of the second covalent O1–C5 bond begins when the first C3–C4 bond has been 86% and 88% completed, suggesting a highly asynchronous process of bond formation. For 32CA reactions of DPDM 8 with CP 4 and NBN 2, the formation of the second covalent N1–C5 bond begins when the first C3–C4 bond has been 75% and 81% completed, suggesting a comparatively less asynchronous bond formation. The minimal electronic flux between the reacting counterparts along the reaction paths suggests null electron density flux [61] (NEDF), classifying the non-polar character of these 32CA reactions.

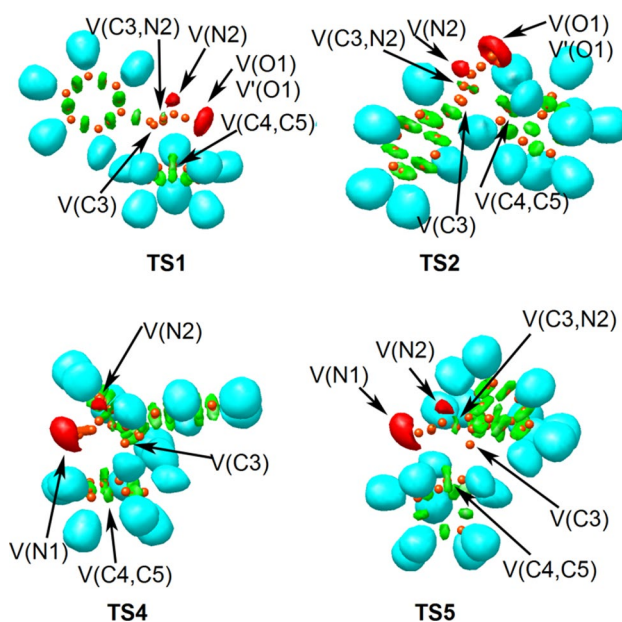
## 2.5 ELF topological analysis at the TSs associated with the 32CA reactions of BNO 5 and DPDM 8 with CP 4 and NBN 2

ELF topological study at the TSs associated with the 32CA reactions of BNO 5 and DPDM 8 with CP 4 and NBN 2 was performed to comprehend the electronic structure. The ELF valence basin populations at **TS1–TS6** are given in Table 4, while the ELF localisation domains and basin attractor positions of **TS1**, **TS2**, **TS4** and **TS5** are represented in Fig. 5.

**TS1**, **TS2** and **TS3** associated with the 32CA reactions of BNO 5 show monosynaptic basins  $V(O1)$ ,  $V'(O1)$  and  $V''(O1)$  (in **TS2**) integrating total population of 5.62–5.63 e associated with the non-bonding electron density at O1 oxygen. **TS4**, **TS5** and **TS6** associated with the 32CA reactions of DPDM 8 show monosynaptic basin  $V(N1)$  integrating total population of 3.63–3.65 e associated with the non-bonding electron density at N1 nitrogen. **TS1–TS6** show the presence of monosynaptic basin  $V(N2)$  integrating 1.92–2.05 e associated with the non-bonding electron density at N2 nitrogen, which is not present in BNO 5 and DPDM 8.

**TS1**, **TS2** and **TS3** show the presence of monosynaptic basin  $V(C3)$  integrating 0.81 e, 0.79 e and 0.37 e, respectively, associated with the *pseudoradical* centre at C3 carbon, which is not present in BNO 5. On the other hand, the two monosynaptic basins  $V(C3)$  and  $V'(C3)$  present in DPDM 8 have merged into one monosynaptic basin  $V(C3)$  integrating 0.83 e, 0.84 e and 0.82 e, respectively, in **TS4**, **TS5** and **TS6** associated with the *pseudoradical* centre at C3.

**TS1–TS6** show the presence of  $V(C3,N2)$  disynaptic basin associated with the C3–N2 bonding region. Note that the C3–N2 bonding region is depopulated from 5.92 e in BNO 5 to 1.56 e in **TS1** and **TS2**, and 1.94 e in **TS3** to create *pseudoradical* centre at C3 carbon and non-bonding



**Fig. 5** ELF localisation domains (isovalue=0.84) and basin attractor positions of the **TS1**, **TS2**, **TS4** and **TS5**

electron density at N2 nitrogen. The C3–N2 bonding region in DPDM 8 is depopulated from 3.06 to 1.96 e in **TS4** and 1.98 e in **TS5** and **TS6** to create non-bonding electron density at N2 nitrogen.

**TS1–TS6** show the presence of disynaptic basin  $V(C4,C5)$  integrating 3.18–3.23 e associated with the C4–C5 bonding region. Note that the two disynaptic basins  $V(C4,C5)$  and  $V'(C4,C5)$  integrating 3.48 e and 3.45 e in CP 4 and NBN 2 have merged into one disynaptic basin  $V(C4,C5)$  indicating rupture of the C4–C5 double bond at the TSs.

Finally, the TSs do not show the presence of disynaptic basins associated with the formation of new single bonds suggesting that the formation of C3–C4 or O1/N1–C5

**Table 4** ELF valence basin populations at the MPWB1K/6-311G(d,p) gas-phase-optimised TSs associated with the 32CA reactions of BNO 5 and DPDM 8 with CP 4 and NBN 2

	<b>TS1</b>	<b>TS2</b>	<b>TS3</b>	<b>TS4</b>	<b>TS5</b>	<b>TS6</b>
$V(N1)$				3.63	3.63	3.65
$V(N2)$	2.02	1.98	2.05	2.01	1.92	2.00
$V(N1,N2)$				3.03	1.62	1.69
$V'(N1,N2)$					1.46	1.32
$V(O1)$	2.68	3.00	2.87			
$V'(O1)$	2.94	2.58	2.76			
$V''(O1)$		0.05				
$V(N2,O1)$	1.51	1.51	1.50			
$V(C3)$	0.81	0.79	0.37	0.83	0.84	0.82
$V(C3,N2)$	1.56	1.56	1.94	1.96	1.98	1.98
$V'(C3,N2)$				2.03	2.07	2.06
$V(C4,C5)$	3.21	3.20	3.18	3.23	3.23	3.22

**Table 5** Total electron density,  $\rho$  (a.u.) and Laplacian of electron density  $\nabla^2\rho(r_c)$  (a.u.) at the BCPs CP1 (C3–C4) and CP2 (O1/N1–C5) in **TS1–TS6**

	CP1 (C3–C4)		CP2 (O1–C5)		CP2 (N1–C5)	
	$\rho$	$\nabla^2\rho(r_c)$	$\rho$	$\nabla^2\rho(r_c)$	$\rho$	$\nabla^2\rho(r_c)$
TS1	0.056	0.052	0.020	0.099		
TS2	0.054	0.054	0.037	0.092		
TS3	0.058	0.051	0.038	0.097		
TS4	0.053	0.044			0.065	0.092
TS5	0.052	0.046			0.057	0.090
TS6	0.055	0.045			0.058	0.087

covalent bonds has not been started, consistent with the forming bond distances greater than 2 Å (Table 3).

## 2.6 Analysis of interatomic interactions at the TSs associated with the 32CA reactions of BNO 5 and DPDM 8 with CP 4 and NBN 2

The nature of interatomic interactions can be quantitatively assessed from the calculation of QTAIM parameters [37–39]. For the present study, CP1 and CP2 indicate the bond critical points (BCPs) of the forming C3–C4 and O1/N1–C5 bonds in the TSs. The total electron density  $\rho$  and the Laplacian of electron density  $\nabla^2\rho(r_c)$  at CP1 and CP2 in the TSs are given in Table 5.

The calculated total electron density  $\rho$  is less than 0.1 a.u. in each case (0.052–0.058 e at CP1 and 0.020–0.065 e at CP2) suggesting rather non-covalent interactions evident from the positive Laplacian of electron density  $\nabla^2\rho(r_c)$  (0.044–0.054 e at CP1 and 0.087–0.099 e at CP2). The formation of new C3–C4 and O1/N1–C5 covalent bonds has not been started at the TSs, consistent with the ELF study at the TSs discussed (Sect. 2.5).

## 3 Conclusion

This MEDT report analyses the experimentally observed acceleration observed in the 32CA reactions of norbornene NBN 2 to benzonitrile oxide BNO 5 and diphenyldiazomethane DPDM 8 relative to that of cyclopentene CP 4.

The 32CA reactions were highly exergonic and hence irreversible. The *pseudo(mono)radical* type 32CA reactions of DPDM 8 show lower activation energies compared to that observed in the zwitterionic type 32CA reactions of BNO 5, owing to the presence of *pseudoradical* centre at C3 of DPDM 8.

The 32CA reactions of NBN 2 with BNO 5 and DPDM 8 along the *syn* diastereofacial mode are energetically favoured by 6.0 and 5.6 kcal mol<sup>-1</sup> in gas phase compared to the *anti* one. Similar reactivity trend is also observed in DMSO, acetonitrile and THF.

The activation energies for the 32CA reactions of NBN 2 with BNO 5 and DPDM 8 are lowered by 2.1 kcal mol<sup>-1</sup> and 1.4 kcal mol<sup>-1</sup> in gas phase compared to that of CP 4 complying with the experimentally observed acceleration in NBN cycloadditions. Interestingly, the minimal GEDT at the TSs predicts non-polar character, contrary to the strong electrophilic character of the TACs BNO 5 and DPDM 8 and the marginal electrophilicity of CP 4 and NBN 2. The non-polar character is also evident from the BET study showing minimal electronic flux along the reaction path, while the experimentally observed acceleration of NBN reactions is due to the lower EC demanded for the rupture of the C–C double bond of NBN compared to that of CP. It therefore seems that the local electronic flux at the TS entity is predicted by GEDT, but cannot be accounted by the global electrophilicities and electronic chemical potentials of the separated reagents.

**Supplementary Information** The online version contains supplementary material available at <https://doi.org/10.1007/s00214-021-02811-3>.

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