



# MPTP-induced dopaminergic neurotoxicity in mouse brain is attenuated after subsequent intranasal administration of (*R*)-ketamine: a role of TrkB signaling

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## Abstract

**Rationale** Parkinson's disease (PD) is characterized as a chronic and progressive neurodegenerative disorder, and PD patients have non-motor features such as depressive symptoms. Although there are several available medications to treat PD symptoms, these medications do not prevent the progression of the disease.

**Objective** (*R*)-ketamine has greater and longer-lasting antidepressant effects than (*S*)-ketamine in animal models of depression. This study was undertaken to investigate whether two enantiomers of ketamine and its metabolite norketamine shows neuroprotective effects in an animal model of PD.

**Methods** Effects of (*R*)-ketamine, (*S*)-ketamine, and their metabolites on MPTP (1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine)-induced reduction of dopamine transporter (DAT) and tyrosine hydroxylase (TH) in the mouse striatum and substantia nigra (SNr) were examined.

**Results** MPTP-induced reduction of DAT in the striatum was attenuated by subsequent repeated intranasal administration of both enantiomers of ketamine although (*R*)-ketamine was more potent than (*S*)-ketamine. MPTP-induced reduction of TH in the striatum and SNr was attenuated by administration of (*R*)-ketamine, but not (*S*)-ketamine. Interestingly, MPTP-induced reduction of DAT in the striatum was also attenuated by a single intranasal administration of (*R*)-ketamine. In contrast, MPTP-induced reduction of DAT in the striatum was not attenuated by repeated intranasal administration of two enantiomers of norketamine. Furthermore, the pretreatment with TrkB antagonist ANA-12 significantly blocked the neuroprotective effects of (*R*)-ketamine in the MPTP-induced reduction of DAT in the striatum.

**Conclusions** These findings suggest that (*R*)-ketamine can protect against MPTP-induced neurotoxicity in the mouse brain via TrkB activation. Therefore, (*R*)-ketamine could represent a therapeutic drug for neurodegenerative disorders such as PD.

**Keywords** Dopamine transporter · (*R*)-ketamine · Neurotoxicity · Striatum · TrkB

## Introduction

Parkinson's disease (PD) is a common and progressive neurodegenerative disease that affects predominately dopamine-producing neurons in substantia nigra (SNr) (Ascherio and Schwarzschild 2016; Kalia and Lang 2015). Although there are medications available to treat motor symptoms in PD patients, these compounds do not prevent the progression of the disease. There are no compounds with a disease-modifying or

neuroprotective indication for PD (Dehay et al. 2015; Kiebertz et al. 2018). Therefore, the development of new drugs possessing disease-modifying and/or neuroprotective properties is an unmet medical need.

Depressive symptoms are common in patients with PD, and influence many other clinical aspects of the disease (Cummings 1992; Goodarzi et al. 2016; Schapira et al. 2017). Accumulating evidence demonstrated that *N*-methyl-*D*-aspartate receptor (NMDAR) antagonist (*R,S*)-ketamine exhibits rapid-onset and sustained antidepressant effects in treatment-resistant patients with depression (Berman et al. 2000; Hashimoto 2019; Kishimoto et al. 2016; Murrugh et al. 2017; Newport et al. 2015; Zarate et al. 2006; Zhang and Hashimoto 2019). (*R,S*)-ketamine is a racemic mixture containing equal parts of (*R*)-ketamine (arketamine) and (*S*)-

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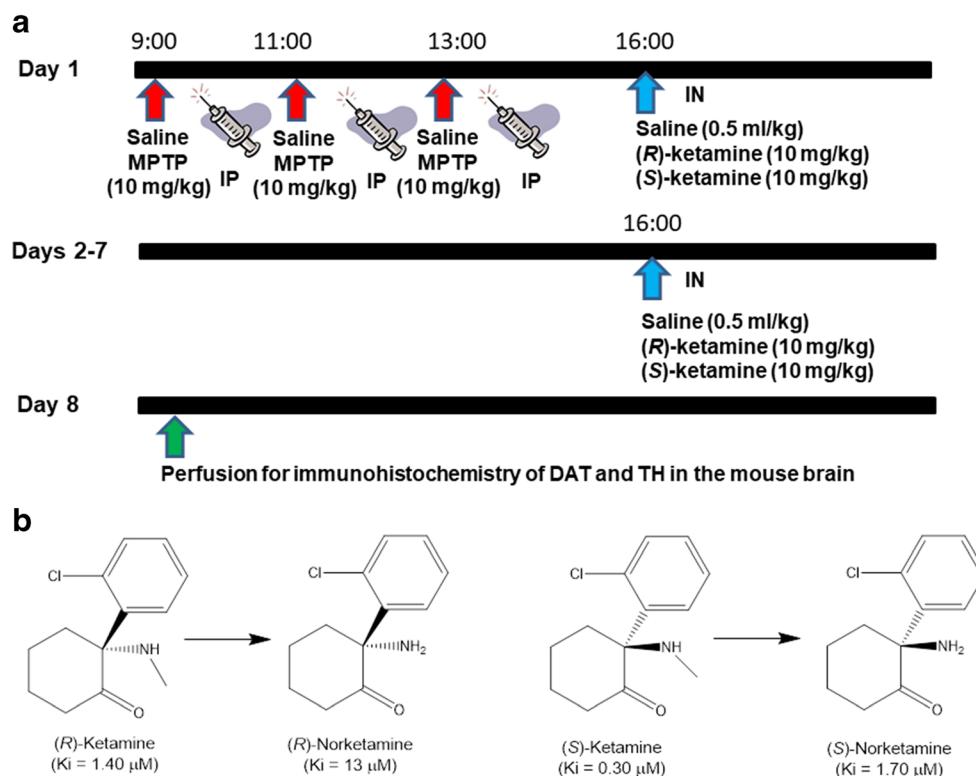
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ketamine (esketamine). (*S*)-ketamine has an approximately 4-fold greater affinity for the NMDAR than (*R*)-ketamine (Ebert et al. 1997) (Fig. 1B). On March 5, 2019, US Food Drug Administration approved (*S*)-ketamine nasal spray for treatment-resistant depression. In contrast, preclinical studies demonstrated that (*R*)-ketamine showed greater potency and longer lasting antidepressant effects than (*S*)-ketamine in different animal models of depression (Fukumoto et al. 2017; Yang et al. 2015, 2017a, b, 2018a; Zanos et al. 2016; Zhang et al. 2014). Interestingly, (*R*)-ketamine induced a more potent beneficial effect on decreased dendritic spine density, brain-derived neurotrophic factor (BDNF)-TrkB signaling and synaptogenesis in the prefrontal cortex (PFC), CA3, and dentate gyrus (DG) of hippocampus from rodents with depression-like phenotype compared with (*S*)-ketamine (Yang et al. 2015). Given the comorbidity of depression in PD, we have a hypothesis that (*R*)-ketamine may have neuroprotective effects in an animal model of PD.

Brain imaging studies demonstrated loss of dopamine transporter (DAT) in the caudate putamen from PD patients (Booij et al. 1997; Innis et al. 1993). Furthermore, biochemical studies on postmortem brain samples from PD patients

showed the reduction of DAT in the striatum and TH in the SNr of PD patients (Miller et al. 1997; Nagatsu and Sawada 2007). Recent meta-analysis of brain imaging studies show that the density of DAT in the caudate putamen from PD patients are significantly lower than those of healthy control subjects (Kaasinen and Vahlberg 2017; Martini et al. 2018). Interestingly, it is likely that the measurement of the density of DAT using brain imaging is a useful imaging biomarker of the severity of PD (Takahashi et al. 2019).

The purpose of this study was to examine the effects of two enantiomers of ketamine in an animal model of PD using the neurotoxin MPTP (1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine) (Jackson-Lewis and Przedborski 2007). First, we examined the effects of (*R*)-ketamine and (*S*)-ketamine in the dopaminergic neurotoxicity in the mouse striatum after repeated administration of MPTP. Second, we examined the effects of (*R*)-norketamine and (*S*)-norketamine, major metabolites of ketamine enantiomers, in the MPTP-induced dopaminergic neurotoxicity in the mouse striatum. Finally, we examined the role of TrkB signaling in the neuroprotective effects of (*R*)-ketamine in MPTP-treated mice since brain-derived neurotrophic factor (BDNF)-TrkB system is shown



**Fig. 1** Schedule of treatment and chemical structure of ketamine and norketamine. **a** Schedule of treatment. Mice were randomly divided into four groups: control group, MPTP + saline group, MPTP + (*R*)-ketamine group, MPTP + (*S*)-ketamine group. MPTP (10 mg/kg × 3, 2-h interval, 9:00, 11:00 and 13:00) or saline (5 ml/kg × 3, 2-h interval) was injected intraperitoneally (i.p.) into mice. Saline (0.5 ml/kg), (*R*)-ketamine (10 mg/kg) or (*S*)-ketamine (10 mg/kg) was administered intranasally 3 h after the final administration of saline or MPTP. Subsequently, saline

(0.5 ml/kg), (*R*)-ketamine (10 mg/kg) or (*S*)-ketamine (10 mg/kg) were injected intranasally at 16:00 for additional 6 days (days 2–7). On day 8, mice were deeply anesthetized with isoflurane and perfused for immunohistochemistry of DAT and TH. IN, intranasal. **b** Chemical structure of two enantiomers of ketamine, its major metabolite norketamine. The values in parentheses are the inhibitor constant values (K<sub>i</sub> values) for NMDAR (Ebert et al. 1997)

to play a role in the antidepressant effects of (*R*)-ketamine (Yang et al. 2015, 2018a).

## Methods and materials

### Animals

Male adult C57BL/6 mice, aged 12 weeks (body weight 25–30 g, Japan SLC, Inc., Hamamatsu, Japan) were used. Animals were housed under controlled temperatures and 12 h light/dark cycles (lights on between 07:00 and 19:00 h), with ad libitum food (CE-2; CLEA Japan, Inc., Tokyo, Japan) and water. This study was carried out in strict accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health, USA. The protocol was approved by the Chiba University Institutional Animal Care and Use Committee (permission number: 29-370 and 30-309). All efforts were made to minimize suffering.

### Drugs

1-Methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP; Tokyo Chemical Industry CO., LTD., Tokyo, Japan) was dissolved in saline. (*R*)-(+)-ketamine hydrochloride and (*S*)-(–)-ketamine hydrochloride were prepared by recrystallization of (*R,S*)-ketamine (Ketalar®, ketamine hydrochloride, Daiichi Sankyo Pharmaceutical Ltd., Tokyo, Japan) and D-(–)-tartaric acid and L-(+)-tartaric acid, respectively (Zhang et al. 2014). (*R*)-norketamine hydrochloride and (*S*)-norketamine hydrochloride were prepared as reported previously (Zanos et al. 2016; Yang et al. 2018b). The antidepressant dose (10 mg/kg as hydrochloride) dissolved in the physiological saline was used as previously reported (Yang et al. 2015, 2016, 2017a, b, 2018a, b). ANA-12 (*N*-[2-[[[Hexahydro-2-oxo-1H-azepin-3-yl]amino]carbonyl]phenyl]-benzo[*b*]thiophene-2-carboxamide; 0.5 mg/kg) (Maybridge, Ltd., Loughborough, Leicestershire, UK) were dissolved in phosphate-buffered saline containing 17% dimethylsulfoxide (DMSO), as previously reported (Cazorla et al. 2011; Ren et al. 2015; Yang et al. 2015; Zhang et al. 2015). Other reagents were purchased commercially.

### MPTP-induced mouse model of PD

MPTP-induced neurotoxicity model was used as previously reported (Ren et al. 2018; Pu et al. 2019). Briefly, mice were randomly divided into four groups: (1): control group, (2): MPTP + saline group, (3): MPTP + (*R*)-ketamine group, (4): MPTP + (*S*)-ketamine group. MPTP (10 mg/kg  $\times$  3, 2-h interval. 9:00, 11:00 and 13:00) or saline (5 ml/kg  $\times$  3, 2-h interval) was injected into mice (Fig. 1A). Saline (0.5 ml/kg), (*R*)-

ketamine (10 mg/kg) or (*S*)-ketamine (10 mg/kg) was administered intranasally 3 h after the final administration of saline or MPTP. Mice were restrained by hand, and saline or ketamine (or norketamine) was administered intranasally into awake mice using Eppendorf micropipette (Eppendorf Japan, Tokyo, Japan). Subsequently, saline, (*R*)-ketamine (10 mg/kg) or (*S*)-ketamine (10 mg/kg) were injected intranasally at 16:00 for additional 6 days (days 2–7) (Fig. 1A). On day 8, mice were deeply anesthetized with isoflurane and perfused transcardially with 10 ml of isotonic saline, followed by 40 ml of ice-cold 4% paraformaldehyde in 0.1 M phosphate buffer (pH 7.4). Brains were removed from the skulls and postfixed overnight at 4 °C, and brain was used for immunohistochemistry.

Second, mice were randomly divided into four groups: (1): control group, (2): MPTP + saline group, (3): MPTP + (*R*)-norketamine group, (4): MPTP + (*S*)-norketamine group. MPTP (10 mg/kg  $\times$  3, 2-h interval. 9:00, 11:00 and 13:00) or saline (5 ml/kg  $\times$  3, 2-h interval) was injected into mice. Saline (0.5 ml/kg), (*R*)-norketamine (10 mg/kg) or (*S*)-norketamine (10 mg/kg) was administered intranasally 3 h after the final administration of saline or MPTP. Subsequently, saline, (*R*)-norketamine (10 mg/kg) or (*S*)-norketamine (10 mg/kg) were injected intranasally at 16:00 for additional 6 days (days 2–7). On day 8, mice were deeply anesthetized with isoflurane and perfused as described above.

Third, mice were randomly divided into five groups: (1): control group, (2): MPTP + vehicle + saline group, (3): MPTP + vehicle + (*R*)-ketamine group, (4): MPTP + ANA-12 (0.5 mg/kg) + (*R*)-ketamine group, (5): MPTP + ANA-12 (0.5 mg/kg) + saline group. MPTP (10 mg/kg  $\times$  3, 2-h interval. 9:00, 11:00 and 13:00) or saline (5 ml/kg  $\times$  3, 2-h interval) was injected into mice. Subsequently, saline (0.5 ml/kg), or (*R*)-ketamine (10 mg/kg) were injected intranasally at 16:00 for additional 6 days (days 2–7). Vehicle (10 ml/kg) or ANA-12 (0.5 mg/kg) was injected intraperitoneally into mice 30 min before intranasal injection of saline or (*R*)-ketamine. On day 8, mice were deeply anesthetized with isoflurane and perfused and perfused as described above.

### Immunohistochemistry of dopamine transporter and tyrosine hydroxylase

Immunohistochemistry of DAT and TH was performed as reported previously (Ren et al. 2014, 2018; Zhang et al. 2006). The mouse brain sections (Bregma 0.86–1.54 mm and –2.92–3.88 mm) were identified according to stereotaxic coordinates in Paxinos and Franklin's Mouse Brain (2002). Free-floating sections were treated with 0.3% H<sub>2</sub>O<sub>2</sub> in 0.05 M Tris-HCl saline (TBS) for 30 min and blocked in TBS containing 0.2% Triton X-100 (TBST) and 1.5% normal serum for 1 h, at room temperature.

Samples were then incubated for 36 h at 4 °C, with rat anti-DAT antibody (1:10,000, Merck Millipore, MA, USA) or rabbit anti-TH antibody (1:500, Sigma-Aldrich, MO, USA). The sections were washed three in TBST, and processed according to the avidin-biotin-peroxidase method (Vectastain Elite ABC, Vector Laboratories, Inc., Burlingame, CA, USA). Sections for DAT were then incubated for 5 min in a solution of 0.15 mg/ml diaminobenzidine, containing 0.06% nickel chloride and 0.01% H<sub>2</sub>O<sub>2</sub>. Sections for TH were then incubated for 5 min in a solution of 0.15 mg/ml diaminobenzidine, containing 0.01% H<sub>2</sub>O<sub>2</sub>. The sections were mounted on gelatinized slides, dehydrated, cleared, and coverslipped under Permount® (Fisher Scientific, Fair Lawn, NJ, USA). The staining intensity of DAT and TH in the anterior regions (0.25 mm<sup>2</sup>) of the striatum were imaged and analyzed using Keyence BZ-9000 Generation microscope (Keyence Co., Ltd., Osaka, Japan) and ImageJ software package. The number of TH-positive cells in the regions (0.36 mm<sup>2</sup>) of SNr was analyzed using Keyence BZ-9000 Generation microscope (Keyence Co., Ltd., Osaka, Japan).

### Statistical analysis

The data show as the mean ± standard error of the mean (S.E.M.). Comparisons between groups were performed using the one-way analysis of variance (ANOVA), followed by Fisher's least significant difference (LSD) test. The *P*-values of less than 0.05 were considered statistically significant.

## Results

### MPTP-induced reduction of DAT in the striatum was attenuated after subsequent repeated administration of (*R*)-ketamine and (*S*)-ketamine

First, we examined the effects of two enantiomers of ketamine on MPTP-induced dopaminergic neurotoxicity in the striatum. After repeated injections of saline or MPTP, saline, (*R*)-ketamine (10 mg/kg/day), or (*S*)-ketamine (10 mg/kg/day) was administered intranasally to mice. Subsequently, saline, (*R*)-ketamine or (*S*)-ketamine was administered intranasally to mice from day 2 to day 7 (Fig. 1A). Repeated administration of MPTP significantly reduced DAT-immunoreactivity in the striatum (Fig. 2A–D). Interestingly, MPTP-induced reduction of DAT in the striatum was significantly attenuated after subsequent repeated administration of both enantiomers of ketamine. Interestingly, efficacy of (*R*)-ketamine was significantly more potent than (*S*)-ketamine (Fig. 2E).

### MPTP-induced reduction of TH in the striatum and SNr was attenuated after subsequent repeated administration of (*R*)-ketamine, but not (*S*)-ketamine

Repeated administration of MPTP significantly reduced TH-immunoreactivity in the striatum (Fig. 3A–D). Interestingly, MPTP-induced reduction of TH-immunoreactivity in the striatum was significantly attenuated after subsequent repeated administration of (*R*)-ketamine, but not (*S*)-ketamine (Fig. 3E). Furthermore, repeated administration of MPTP significantly reduced the number of TH-positive cells in the SNr (Fig. 3F–I). Interestingly, MPTP-induced reduction of the number of TH-positive cells in the SNr was significantly attenuated after subsequent repeated administration of (*R*)-ketamine, but not (*S*)-ketamine (Fig. 3J).

### MPTP-induced reduction of DAT in the striatum was attenuated after subsequent single administration of (*R*)-ketamine

It is reported that (*R*)-ketamine has long-lasting antidepressant effects in rodents with depression-like phenotype (Yang et al. 2015, 2017a, b, 2018a; Shirayama and Hashimoto 2018). Therefore, we examined the effect of a single injection of (*R*)-ketamine on MPTP-induced reduction of DAT in the striatum. A single injection of (*R*)-ketamine significantly attenuated the MPTP-induced reduction of DAT in the striatum (Fig. 4).

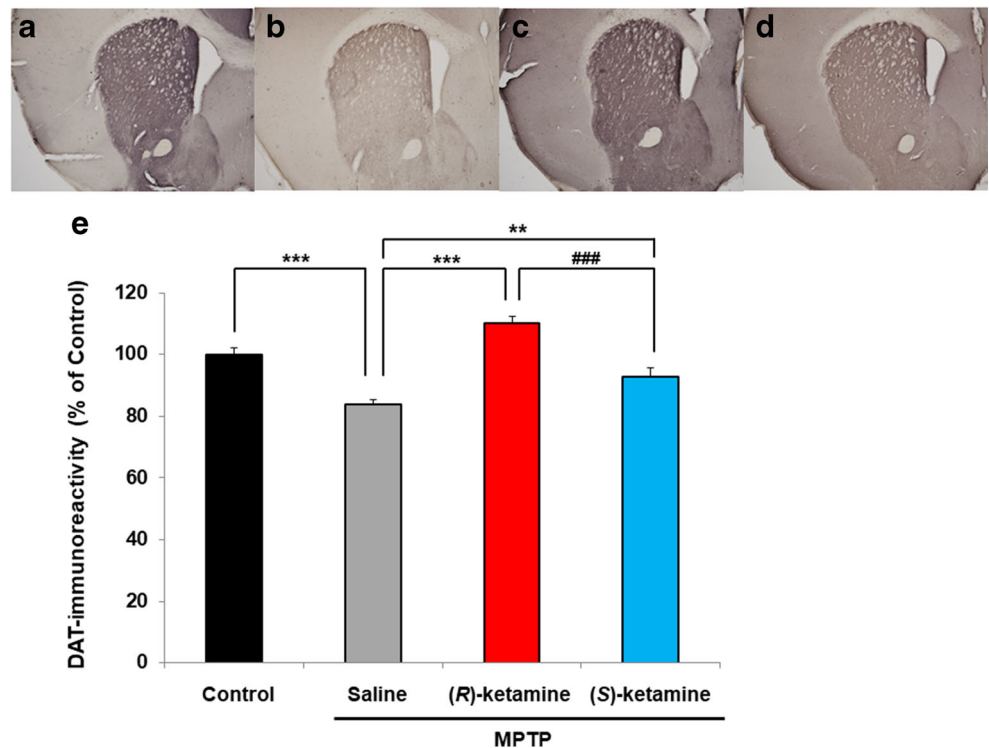
### Lack of two enantiomers of norketamine in MPTP-induced reduction of DAT in the striatum

(*R*)-ketamine and (*S*)-ketamine are metabolized to (*R*)-norketamine and (*S*)-norketamine, respectively (Zanos et al. 2018; Yang et al. 2018b; Zhang and Hashimoto 2019) (Fig. 1B). We examined the effects of two enantiomers of norketamine on MPTP-induced dopaminergic neurotoxicity in the striatum. Both enantiomers of norketamine did not attenuate the MPTP-induced reduction of DAT in the striatum (Fig. 5). These data suggest that (*R*)-ketamine, but not its metabolite, can protect against MPTP-induced neurotoxicity in the striatum.

### Role of TrkB signaling in the neuroprotective effects of (*R*)-ketamine for MPTP-induced neurotoxicity

It is reported that (*R*)-ketamine has rapid and long-lasting antidepressant effects via BDNF-TrkB signaling in the brain (Yang et al. 2015). Therefore, we examined the role of TrkB signaling in the protective effects of (*R*)-ketamine on MPTP-induced reduction of DAT in the striatum. Pretreatment with ANA-12 significantly blocked the protective effects of (*R*)-ketamine in the MPTP-induced neurotoxicity in the striatum.

**Fig. 2** Effects of ketamine enantiomers on MPTP-induced reduction of DAT in the striatum. **a–d** Typical immunohistochemistry of DAT in the striatum. **a** Saline + saline. **b** MPTP + saline. **c** MPTP + (*R*)-ketamine. **d** MPTP + (*S*)-ketamine. **e** The data of DAT immunoreactivity in the striatum (one-way ANOVA  $F_{3,30} = 28.02$ ,  $P < 0.001$ ). Data are shown as mean  $\pm$  S.E.M. ( $n = 8$  or  $10$ ).  $**P < 0.01$ ,  $***P < 0.001$  compared with MPTP + saline group.  $###P < 0.001$  compared to MPTP + (*S*)-ketamine group.



In contrast, ANA-12 alone did not affect MPTP-induced reduction of DAT in the striatum (Fig. 6).

## Discussion

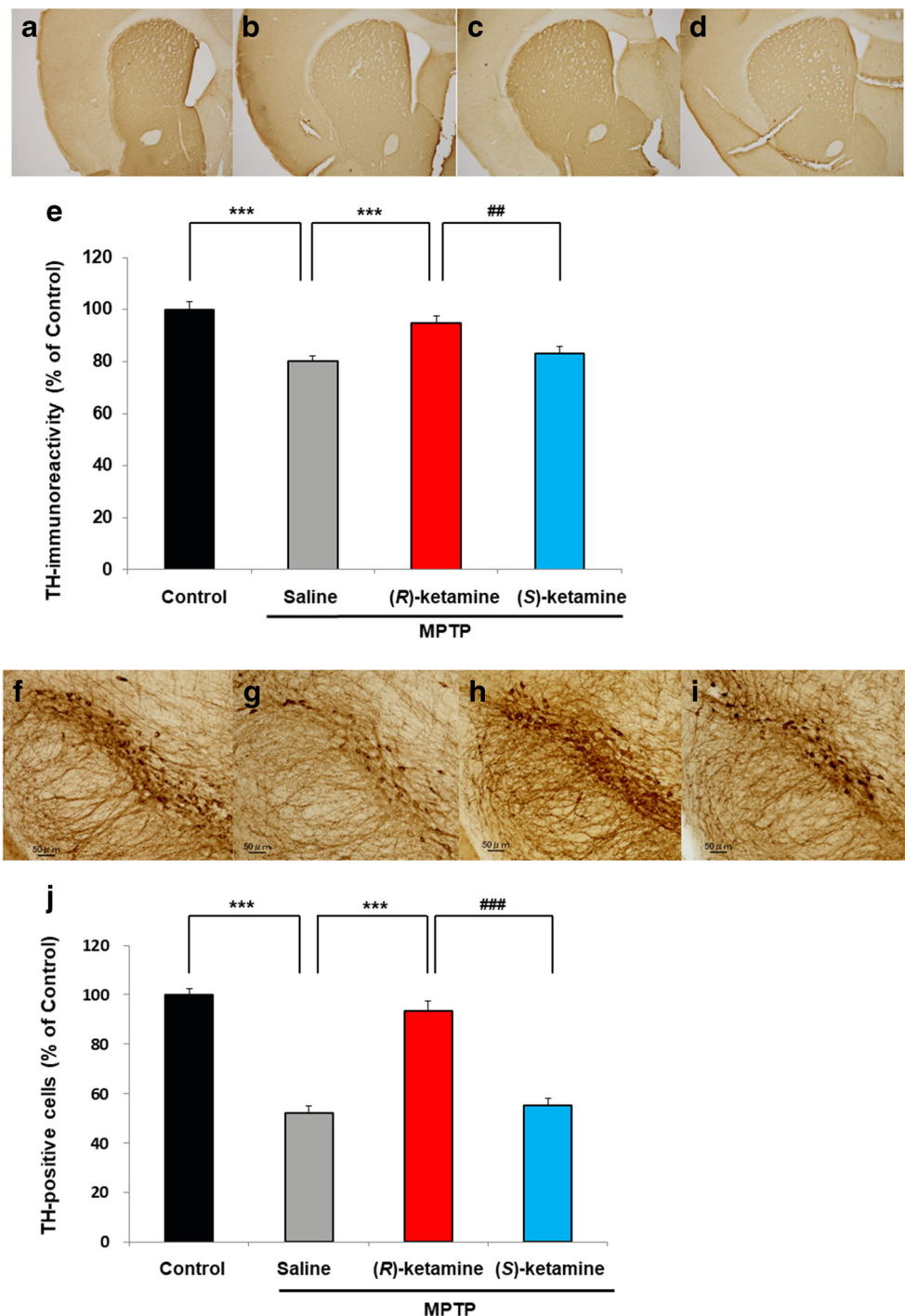
The study suggests that (*R*)-ketamine would be a potential therapeutic drug for PD. The major findings of the present study are as follows: First, MPTP-induced reduction of DAT in the striatum was significantly attenuated by subsequent repeated intranasal administration of (*R*)-ketamine and (*S*)-ketamine although the efficacy of (*R*)-ketamine was significantly more potent than (*S*)-ketamine. Furthermore, MPTP-induced reduction of TH in the striatum and SNr was significantly attenuated by subsequent repeated intranasal administration of (*R*)-ketamine, but not (*S*)-ketamine. Interestingly, MPTP-induced reduction of DAT in the striatum was significantly attenuated by subsequent a single intranasal administration of (*R*)-ketamine, consistent with long-lasting antidepressant effects of (*R*)-ketamine (Shirayama and Hashimoto 2018; Yang et al. 2015, 2017a, b, 2018a). Second, MPTP-induced reduction of DAT in the striatum was not attenuated by subsequent repeated intranasal administration of major metabolites, (*R*)-norketamine or (*S*)-norketamine, suggesting that (*R*)-ketamine itself shows neuroprotective effects. Finally, the pretreatment with TrkB antagonist ANA-12 significantly blocked neuroprotective effects of (*R*)-ketamine in MPTP-induced model. Collectively, these findings suggest that (*R*)-ketamine can protect against MPTP-induced neurotoxicity via TrkB

activation, and that (*R*)-ketamine might prove to be a promising prophylactic or therapeutic drug for PD.

The two enantiomers of ketamine share similar pharmacokinetic profiles (Fukumoto et al. 2017; Zanos et al. 2016), suggesting that the differential protective effects noted here between (*R*)-ketamine and (*S*)-ketamine are not due to differences in their pharmacokinetic profiles. In addition, (*S*)-ketamine ( $K_i = 0.30 \mu\text{M}$ ) has an approximately 4-fold greater affinity for the NMDAR than the (*R*)-ketamine ( $K_i = 1.40 \mu\text{M}$ ) (Fig. 1B) (Ebert et al. 1997). Furthermore, binding affinity of (*S*)-norketamine ( $K_i = 1.70 \mu\text{M}$ ) and (*R*)-ketamine ( $K_i = 1.40 \mu\text{M}$ ) at the NMDAR is similar (Fig. 1B) (Ebert et al. 1997). Although (*S*)-norketamine has antidepressant effects in animal models of depression (Hashimoto and Yang 2019; Yang et al. 2018b), both enantiomers of norketamine did not show protective effects against MPTP-induced neurotoxicity. It is therefore, unlikely that NMDAR plays a major role in the neuroprotective effects of (*R*)-ketamine in MPTP-induced model. To address this, further detailed studies examining the precise mechanisms underlying the neuroprotective effects of (*R*)-ketamine are needed.

It is suggested that DAT density in the caudate putamen from PD patients may be imaging biomarker for the severity of PD (Takahashi et al. 2019). From the current data, it is likely that (*R*)-ketamine might prevent or delay the progression of DAT reduction in PD patients, resulting in improvement of motor function in PD patients. Therefore, it is of great interest to investigate whether (*R*)-ketamine can prevent the progression of DAT reduction and motor function in early-

**Fig. 3** Effects of ketamine enantiomers on MPTP-induced reduction of TH-immunoreactivity in the striatum and SNr. **a–d** Typical immunohistochemistry of TH in the striatum. **a** Saline + saline. **b** MPTP + saline. **c** MPTP + (*R*)-ketamine. **d** MPTP + (*S*)-ketamine. **e** The data of TH immunoreactivity in the striatum (one-way ANOVA  $F_{3,30} = 15.26$ ,  $P < 0.001$ ). **f–i** Typical immunohistochemistry of TH in the SNr. **f** Saline + saline. **g** MPTP + saline. **h** MPTP + (*R*)-ketamine. **i** MPTP + (*S*)-ketamine. **j** The data of TH-positive cells in the SNr (one-way ANOVA  $F_{3,30} = 60.26$ ,  $P < 0.001$ ). Data are shown as mean  $\pm$  S.E.M. ( $n = 8$  or  $10$ ). \*\*\* $P < 0.001$  compared with MPTP + saline group. ## $P < 0.01$ , ### $P < 0.001$  compared with MPTP + (*S*)-ketamine group. Scale bar = 50  $\mu$ m

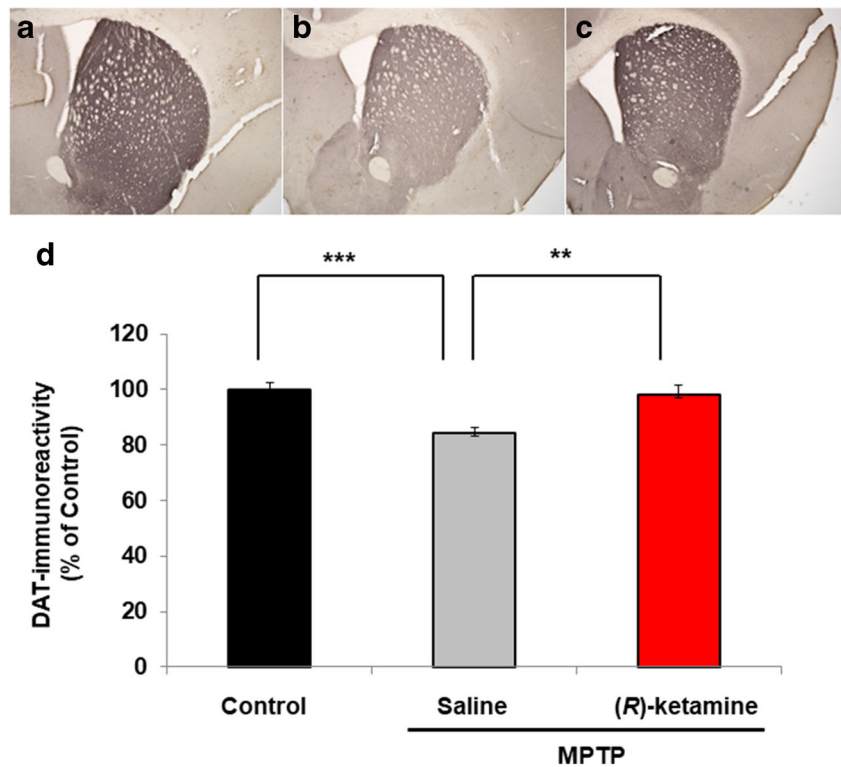


stage PD patients since clinical trial of (*R*)-ketamine in humans is underway (Hashimoto 2019).

Accumulating evidence suggests that BDNF-TrkB signaling plays a key role in depression (Nestler et al. 2002; Hashimoto et al. 2004; Hashimoto 2010; Zhang et al. 2016). Previously, we reported that (*R*)-ketamine significantly attenuated reduced BDNF level in the PFC, CA3 and DG in mice with depression-like phenotype (Yang et al. 2015). Interestingly, ANA-12, a TrkB antagonist, was able to block

the antidepressant effects of (*R*)-ketamine, suggesting a role for BDNF-TrkB signaling in (*R*)-ketamine's long-lasting antidepressant mechanism. In addition, Zhu et al. (2015) reported that repeated administration of MPTP caused the reduction of BDNF in the mouse brain, suggesting that decreased BDNF-TrkB signaling may play a role in the MPTP-induced neurotoxicity. In this study, we found that (*R*)-ketamine can protect against MPTP-induced neurotoxicity via TrkB activation. Furthermore, ANA-12 alone did not affect the DAT density

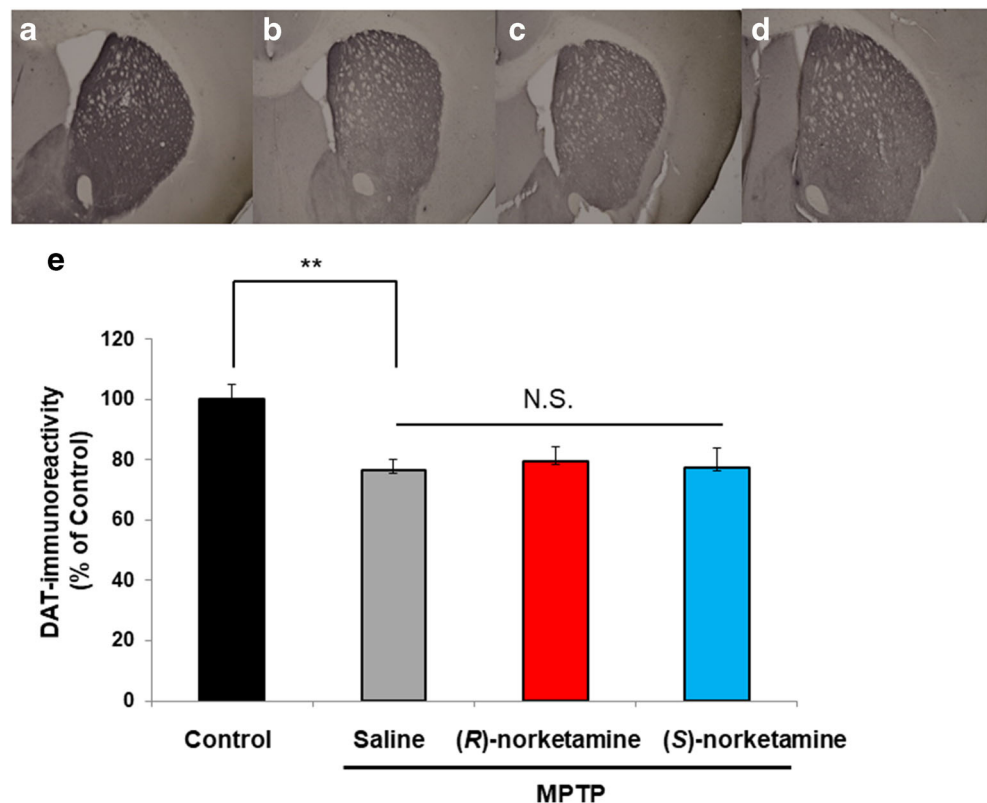
**Fig. 4** Effects of a single dose of (*R*)-ketamine on MPTP-induced reduction of DAT in the mouse striatum. **a** Typical immunohistochemistry of DAT in the striatum from control group. **b** Typical immunohistochemistry of DAT in the striatum from MPTP + saline group. **c** Typical immunohistochemistry of DAT in the striatum from MPTP + (*R*)-ketamine group. **d** The data of DAT immunoreactivity in the striatum (one-way ANOVA  $F_{2,21} = 9.80$ ,  $P = 0.001$ ). Data are shown as mean  $\pm$  S.E.M. ( $n = 8$ ). \*\* $P < 0.01$ , \*\*\* $P < 0.001$  compared with MPTP + saline group

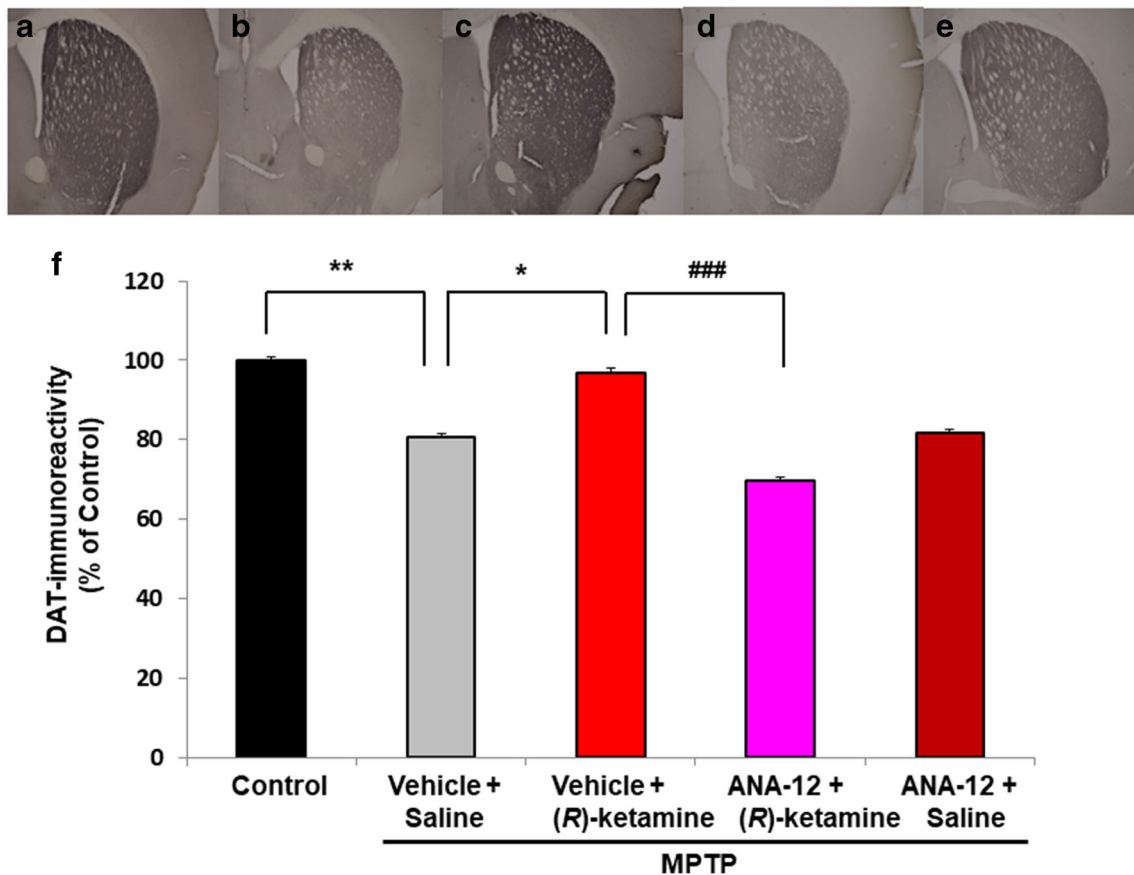


in the striatum of control naïve mice (Ren et al. 2014). Collectively, it is likely that (*R*)-ketamine exerts neuroprotective effects by activating BDNF-TrkB signaling in the striatum

and SNr. Nonetheless, further detailed studies underlying the neuroprotective effects of (*R*)-ketamine in animal models of PD are necessary.

**Fig. 5** Lack of neuroprotective effects of (*R*)-norketamine and (*S*)-norketamine on MPTP-induced reduction of DAT in the mouse striatum. **a** Typical immunohistochemistry of DAT in the striatum from control group. **b** Typical immunohistochemistry of DAT in the striatum from MPTP + saline group. **c** Typical immunohistochemistry of DAT in the striatum from MPTP + (*R*)-norketamine group. **d** Typical immunohistochemistry of DAT in the striatum from MPTP + (*S*)-norketamine group. **e** The data of DAT immunoreactivity in the striatum (one-way ANOVA  $F_{3,19} = 5.85$ ,  $P = 0.005$ ). Data are shown as mean  $\pm$  S.E.M. ( $n = 5$  or 6). \*\* $P < 0.01$  compared with control group





**Fig. 6** Effects of ANA-12 in the neuroprotective effects of (*R*)-ketamine for MPTP-induced reduction of DAT in the mouse striatum. **a** Typical immunohistochemistry of DAT in the striatum from control group. **b** Typical immunohistochemistry of DAT in the striatum from MPTP + vehicle + saline group. **c** Typical immunohistochemistry of DAT in the striatum from MPTP + vehicle + (*R*)-ketamine group. **d** Typical immunohistochemistry of DAT in the striatum from MPTP + ANA-12 + (*R*)-

ketamine group. **e** Typical immunohistochemistry of DAT in the striatum from MPTP + ANA-12 + saline group. **f** The data of DAT immunoreactivity in the striatum (one-way ANOVA  $F_{4,35} = 8.45$ ,  $P < 0.001$ ). Data are shown as mean  $\pm$  S.E.M. ( $n = 6-9$ ). \* $P < 0.05$ , \*\* $P < 0.01$  compared with MPTP + vehicle + saline group. ### $P < 0.001$  compared with MPTP + ANA-12 + (*R*)-ketamine group

The psychotomimetic effects and dissociative symptoms in humans after ketamine infusion are well known (Short et al. 2018; Singh et al. 2017; Sanacora et al. 2017). Unlike (*S*)-ketamine, (*R*)-ketamine might not induce psychotomimetic side effects or exhibit abuse potential in rodents (Chang et al. 2019; Yang et al. 2015, 2016). In addition, unlike (*R,S*)-ketamine and (*S*)-ketamine, (*R*)-ketamine did not cause the expression of heat shock protein HSP-70 (a marker for neuronal injury) in the rat retrosplenial cortex after a single administration (Tian et al. 2018). A positron emission tomography study using conscious monkey showed a marked reduction of dopamine  $D_{2/3}$  receptor binding in the striatum after a single infusion of (*S*)-ketamine, but not (*R*)-ketamine (Hashimoto et al. 2017), suggesting that (*S*)-ketamine-induced dopamine release might be associated with acute psychotomimetic and dissociative side effects in humans (Hashimoto et al. 2017). Interestingly, it is suggested that (*S*)-ketamine contributes to the acute psychotomimetic and dissociative effects of ketamine,

whereas (*R*)-ketamine may not be associated with these side effects (Vollenweider et al. 1997; Zanos et al. 2018). In the conditioned place preference test, abuse liability of (*R*)-ketamine in rodents is lower than (*S*)-ketamine (Yang et al. 2015; Chang et al. 2019). Taken all together, (*R*)-ketamine could be a safer drug in humans than (*R,S*)-ketamine and (*S*)-ketamine (Hashimoto 2016a, b, c, 2019).

In conclusion, this study shows that (*R*)-ketamine, but not (*S*)-ketamine, protects against MPTP-induced neurotoxicity in the mouse brain. Furthermore, TrkB antagonist ANA-12 blocked neuroprotective effects of (*R*)-ketamine in MPTP-induced neurotoxicity, suggesting a role of TrkB in the neuroprotective effects of (*R*)-ketamine. Therefore, (*R*)-ketamine appears to be a new prophylactic or therapeutic drug for neurodegenerative disorders such as PD.

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## Compliance with ethical standards

**Conflict of interest** Dr. Hashimoto is an inventor on a filed patent application on “The use of (*R*)-ketamine in the treatment of psychiatric diseases,” “(*S*)-norketamine and salt thereof as pharmaceutical,” and “The use of (*R*)-ketamine in the treatment of neurodegenerative diseases” by Chiba University. Dr. Hashimoto has received research support from Dainippon-Sumitomo, Otsuka, and Taisho. Other authors declare no conflict of interest.

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