



# Characterization of projective spaces by Seshadri constants

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**Abstract** We prove that an  $n$ -dimensional complex projective variety is isomorphic to  $\mathbb{P}^n$  if the Seshadri constant of the anti-canonical divisor at some smooth point is greater than  $n$ . We also classify complex projective varieties with Seshadri constants equal to  $n$ .

**Keywords** Fano varieties · Projective space · Seshadri constants · Classification

**Mathematics Subject Classification** Primary 14J45; Secondary 14M99

## 1 Introduction

It is believed that the projective space  $\mathbb{P}^n$  has the most positive anti-canonical divisor among complex projective varieties. Various characterizations of  $\mathbb{P}^n$  have been found corresponding to different explanations of the “positivity” of the anti-canonical divisor. Using Kodaira vanishing theorem, Kobayashi and Ochiai [14] proved that if an  $n$ -dimensional projective manifold  $X$  with an ample line bundle  $H$  satisfies  $-K_X \equiv (n+1)H$ , then  $(X, H) \cong (\mathbb{P}^n, \mathcal{O}(1))$ . Kobayashi–Ochiai’s characterization was generalized by Ionescu [11] (in the smooth case) and Fujita [8] (allowing Gorenstein rational singularities) assuming the weaker condition that  $K_X + (n+1)H$  is not ample. Later, Cho, Miyaoka and Shepherd-Barron [5] (simplified by Kebekus in [13]) showed that a Fano manifold is isomorphic to  $\mathbb{P}^n$  if the anti-canonical degree of every curve is at least  $n+1$ . Their proofs rely on deformation of rational curves which still work if we allow isolated local complete intersection quotient singularities (see [4]). Besides, Kachi and Kollár [12] gave characterizations of  $\mathbb{P}^n$  in arbitrary characteristic that generalized [5, 13, 14] with a volume lower bound assumption.

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The purpose of this paper is to provide a characterization of  $\mathbb{P}^n$  among complex  $\mathbb{Q}$ -Fano varieties by the local positivity of the anti-canonical divisor, namely the *Seshadri constants*. Recall that a complex projective variety  $X$  is said to be  *$\mathbb{Q}$ -Fano* if  $X$  has klt singularities and  $-K_X$  is an ample  $\mathbb{Q}$ -Cartier divisor.

**Definition 1** Let  $X$  be a normal projective variety and  $L$  an ample  $\mathbb{Q}$ -Cartier divisor on  $X$ . Let  $p \in X$  be a smooth point. The *Seshadri constant* of  $L$  at  $p$ , denoted by  $\epsilon(L, p)$ , is defined as

$$\epsilon(L, p) := \sup\{x \in \mathbb{R}_{>0} \mid \sigma^*L - xE \text{ is ample}\},$$

where  $\sigma : \text{Bl}_p X \rightarrow X$  is the blow-up of  $X$  at  $p$ , and  $E$  is the exceptional divisor of  $\sigma$ .

It is clear that  $\epsilon(-K_{\mathbb{P}^n}, p) = n + 1$  for any point  $p \in \mathbb{P}^n$ . Our main result characterizes  $\mathbb{P}^n$  as the only  $\mathbb{Q}$ -Fano variety with Seshadri constant greater than  $n$ :

**Theorem 2** *Let  $X$  be a complex  $\mathbb{Q}$ -Fano variety of dimension  $n$ . If there exists a smooth point  $p \in X$  such that  $\epsilon(-K_X, p) > n$ , then  $X \cong \mathbb{P}^n$ .*

Note that Theorem 2 only assumes that  $\epsilon(-K_X, p) > n$  for *some* smooth point  $p$  rather than *any* smooth point (although the existence of such  $p$  immediately implies the same inequality for a general smooth point). We also remark here that when  $X$  is smooth, Theorem 2 was obtained by Bauer and Szemberg in [1, Theorem 1.7] using different methods.

Since the Seshadri constant of a quadric hypersurface in  $\mathbb{P}^{n+1}$  is equal to  $n$ , the lower bound on the Seshadri constant in Theorem 2 is sharp. It turns out that this is not the only  $\mathbb{Q}$ -Fano varieties achieving such lower bound, and the full list is given by the following theorem.

**Theorem 3** *Let  $X$  be a  $n$ -dimensional complex  $\mathbb{Q}$ -Fano variety. Then there exists a smooth point  $p \in X$  with  $\epsilon(-K_X, p) = n$  if and only if  $X$  is one of the following:*

1. a degree  $d + 1$  weighted hypersurface  $X_{d+1} = (x_0 x_{n+1} = f(x_1, \dots, x_n)) \subset \mathbb{P}(1^{n+1}, d)$ ,
2. a quartic weighted hypersurface  $X_4 = (x_{n+1}^2 + x_n h(x_0, \dots, x_{n-1}) = f(x_0, \dots, x_{n-1}))$  ( $h \neq 0$ ) or  $(x_n x_{n+1} = f(x_0, \dots, x_{n-1})) \subseteq \mathbb{P}(1^n, 2, 2)$ ,
3. the blow-up of  $\mathbb{P}^n$  along the complete intersection of a hyperplane and a hypersurface of degree  $d \leq n$ ,
4. the quotient of the quadric  $Q_k = (\sum_{i=0}^k x_i^2 = 0) \subseteq \mathbb{P}^{n+1}$  ( $2 \leq k \leq n + 1$ ) by an involution  $\tau(x_i) = \delta_i x_i$  ( $\delta_i = \pm 1$ ) that is fixed point free in codimension 1 and such that not all the  $\delta_i$  ( $i = 0, \dots, k$ ) are the same,
5. a Gorenstein log del Pezzo surface of degree  $\geq 4$  (for the classification of such surfaces, see [10, §3]).

When  $X$  is smooth, the condition  $\epsilon(-K_X, p) = n$  implies that  $(-K_X \cdot C) \geq n$  for any curve  $C \subset X$  passing through a very general point  $p$ . If in addition  $X$  has dimension at least 3, then by [3, 19]  $X$  is either a quadric hypersurface or the blow-up of  $\mathbb{P}^n$  along a smooth subvariety of codimension 2 and degree  $d \leq n$  contained in a hyperplane. On the other hand, in the surface case some of our results have been proved by [21, Theorem 1.8] under the somewhat restrictive assumption that  $(K_X^2) \in \{4, 5, 6, 7, 8, 9\}$ . Hence the above theorem is a natural generalization of their results to the singular and higher dimensional case, although our proof uses a completely different strategy.

Finally we show that in general the Seshadri constant  $\epsilon(-K_X, p)$  can be any rational number between 0 and  $n$ . This is in sharp contrast with Theorem 2 where we have seen that there is a gap between  $n$  and  $n + 1$  for the possible values of  $\epsilon(-K_X, p)$ .

**Theorem 4** *For any rational number  $0 < c \leq n$ , there exists an  $n$ -dimensional  $\mathbb{Q}$ -Fano variety  $X$  with a smooth point  $p$  such that  $\epsilon(-K_X, p) = c$ .*

The paper is organized as follows. In Sect. 2, we prove Theorem 2. Denote the blow up of  $X$  at  $p$  by  $\sigma : \hat{X} = \text{Bl}_p X \rightarrow X$ , then the divisor  $D := \sigma^*(-K_X) - \epsilon(-K_X, p)E$  is nef by the definition of the Seshadri constant. Under the assumption that  $\epsilon(-K_X, p) > n$ , we use Kawamata–Viehweg vanishing theorem to show that  $D$  is semiample and  $g = g_{|mD|} : \hat{X} \rightarrow Y$  maps  $E$  isomorphically onto its image for sufficiently divisible  $k$ . A simple computation yields that  $(-K_{\hat{X}} \cdot C) = \epsilon(-K_X, p) - (n - 1) > 1$  for any curve  $C$  contracted by  $g$ . We show in Lemma 8 that  $g$  cannot be birational under these assumptions and therefore has to be a morphism of fiber type with target  $Y = g(E) \cong \mathbb{P}^{n-1}$ . Then Lemma 6 implies that  $\hat{X}$  is a  $\mathbb{P}^1$ -bundle over  $\mathbb{P}^{n-1}$ , thus  $X \cong \mathbb{P}^n$ . The proof of Lemma 8 relies on a dimension reduction argument and Lemma 5. As an application of Theorem 2, we show that  $\mathbb{P}^n$  is the only Ding-semistable  $\mathbb{Q}$ -Fano variety of volume at least  $(n + 1)^n$  (see Theorem 10). This improves the equality case of [7, Theorem 1.1] where Fujita proved for Ding-semistable Fano manifolds.

In Sect. 3, we classify all  $\mathbb{Q}$ -Fano varieties with Seshadri constants equal to  $n$ . By the same argument as in the proof of Theorem 2, we still have that  $D$  is semiample. We divide the classification into two parts. In Sect. 3.1, we study the case when  $g$  is birational. We show that  $g|_E$  is a closed embedding,  $-(K_Y + g(E))$  is ample,  $g(E)$  is nef (see Lemmas 11). We classify such pairs  $(Y, g(E))$  in Lemma 13. Then we obtain the partial classification after a detailed study of the structure of the birational morphism  $g$  (see Lemmas 12 and 14). In Sect. 3.2, we study the case when  $g$  is of fiber type. It is not hard to see that every fiber of  $g$  has dimension 1, the general fiber of  $g$  is isomorphic to  $\mathbb{P}^1$ ,  $g|_E : E \rightarrow Y$  is a double cover, and  $-K_{\hat{X}}$  is  $g$ -ample. After pulling back  $g$  to  $E$  and taking the normalization, we obtain a conic bundle  $\tilde{g} : \tilde{X} \rightarrow E \cong \mathbb{P}^{n-1}$  with two sections (see Lemmas 16, 18 and Corollary 17). From the classification of the conic bundle  $\tilde{g}$  and the quotient map  $g|_E$  (see Lemmas 19 and 20), we finish the classification of  $X$  and hence prove Theorem 3. Finally in Sect. 4, we provide examples showing that the Seshadri constant of a  $\mathbb{Q}$ -Fano variety can be any positive rational number less than  $n$ .

## 2 Proof of Theorem 2

**Lemma 5** *Let  $\pi : S \rightarrow T$  be a proper birational morphism between normal surfaces. Let  $C \subset S$  be a  $K_S$ -negative  $\pi$ -exceptional curve. Then  $(-K_S \cdot C) \leq 1$ , with equality if and only if  $S$  has only Du Val singularities along  $C$ . (Since  $K_S$  is not necessarily  $\mathbb{Q}$ -Cartier, we use the intersection theory of Weil divisors on surfaces by Mumford [20].)*

*Proof* Let  $\phi : \tilde{S} \rightarrow S$  be the minimal resolution of  $S$ . Denote the exceptional curves of  $\phi$  by  $E_i$ . Then we have

$$K_{\tilde{S}} + \sum_i a_i E_i \equiv \phi^* K_S, \quad \text{where } a_i \geq 0.$$

Let  $\tilde{C}$  be the birational transform of  $C$  under  $\phi$ . Since  $\pi \circ \phi$  contracts  $\tilde{C}$ , we have  $(\tilde{C}^2) < 0$ . By the assumption that  $C$  is  $K_S$ -negative, we have

$$(K_{\tilde{S}} \cdot \tilde{C}) = (\phi^* K_S \cdot \tilde{C}) - \sum_i a_i (E_i \cdot \tilde{C}) \leq (K_S \cdot C) < 0.$$

Hence  $\tilde{C}$  is a  $(-1)$ -curve on  $\tilde{S}$  and  $(-K_S \cdot C) \leq (-K_{\tilde{S}} \cdot \tilde{C}) = 1$ .

It is clear that  $(-K_S \cdot C) = 1$  if and only if  $\sum_i a_i (E_i \cdot \tilde{C}) = 0$ , i.e.  $a_i = 0$  whenever  $\tilde{C}$  intersects  $E_i$ . By the negativity lemma (cf. [16, Lemma 3.41]), this is equivalent to saying that  $a_i = 0$  whenever  $E_i$  is connected to  $\tilde{C}$  through a chain of  $\phi$ -exceptional curves. Thus the equality holds if and only if  $S$  has Du Val singularities along  $C$ .

**Lemma 6** *Let  $\pi : S \rightarrow T$  be a proper surjective morphism from a normal surface  $S$  to a smooth curve  $T$ . Assume that the general fiber of  $\pi$  is isomorphic to  $\mathbb{P}^1$ , and that all fibers of  $\pi$  are generically reduced and irreducible. Then  $\pi$  is a smooth  $\mathbb{P}^1$ -fibration, i.e.  $S$  is a geometrically ruled surface over  $T$ .*

*Proof* For any closed point  $t \in T$ , denote by  $S_t$  the scheme-theoretic fiber of  $\pi$  at  $t$ . It is clear that  $\pi$  is flat, so  $\chi(S_t, \mathcal{O}_{S_t}) = \chi(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}) = 1$ . Besides,  $S$  being normal implies that the Cartier divisor  $S_t$  on  $S$  has no embedded points. Then  $S_t$  being generically reduced and irreducible yields that  $S_t$  is an integral curve. Therefore,  $S_t \cong \mathbb{P}^1$ .

**7 (Proof of Theorem 2)** Denote by  $\sigma : \hat{X} = \text{Bl}_p X \rightarrow X$  the blow up of  $X$  at  $p$  with exceptional divisor  $E$ . Let  $D := \sigma^*(-K_X) - \epsilon(-K_X, p)E$  be the nef divisor. Since  $-K_{\hat{X}} = \sigma^*(-K_X) - (n - 1)E$ , we know that  $D - K_{\hat{X}}$  is ample. Hence Shokurov’s basepoint-free theorem [16, Theorem 3.3] implies that  $D$  is semiample.

Let  $g : \hat{X} \rightarrow Y$  be the ample model of  $D$  (i.e.  $g$  is the morphism determined by the complete linear system  $|kD|$  for some  $k \gg 0$ ). Let  $m$  be a positive integer such that  $mD$  is Cartier. Notice that  $mD - E - K_{\hat{X}}$  is ample by  $\epsilon(-K_X, p) > n$ , so Kawamata–Viehweg vanishing implies that  $H^1(\hat{X}, mD - E) = 0$ . Hence  $H^0(\hat{X}, mD) \rightarrow H^0(E, mD|_E)$  is surjective for all  $m \in \mathbb{Z}_{>0}$  such that  $mD$  is Cartier. As a result,  $g|_E : E \rightarrow Y$  is a closed embedding. Thus any curve  $C$  contracted by  $g$  is not contained in  $E$ , which implies that  $(C \cdot \sigma^*(-K_X)) > 0$ . Since  $0 = (C \cdot D) = (C \cdot \sigma^*(-K_X)) - \epsilon(-K_X, p)(C \cdot E)$ , we know that  $(C \cdot E) > 0$ .

Suppose  $g$  contracts  $C$  to a point  $y \in Y$ . Consider the scheme-theoretic fiber  $g^{-1}(y)$  of  $g$ . Since  $g|_E$  is a closed embedding, the scheme-theoretic intersection  $E \cap g^{-1}(y)$  is a reduced closed point, say  $q$ . If there is another curve  $C' \neq C$  contained in  $g^{-1}(y)$ , then  $E \cap g^{-1}(y)$  has multiplicity at least 2 at  $q$ , a contradicton! So  $\text{Supp } g^{-1}(y) = C$  and  $g^{-1}(y)$  is smooth and transversal to  $E$  at  $q$ . In particular, we have  $(C \cdot E) = 1$  for any curve  $C$  contracted by  $g$ . Since  $\hat{X}$  has klt singularities, it is Cohen–Macaulay by [16, Theorem 5.22]. In addition we have  $-K_{\hat{X}} \sim_{g, \mathbb{Q}} \lambda E$  where  $\lambda = \epsilon(-K_X, p) - n + 1 > 1$ . Hence by the following lemma,  $g$  cannot be birational.

**Lemma 8** *Let  $g : \hat{X} \rightarrow Y$  be a proper birational morphism between quasi-projective normal varieties and  $E$  a smooth  $g$ -ample Cartier divisor on  $\hat{X}$  such that  $-K_{\hat{X}} \sim_{g, \mathbb{Q}} \lambda E$  for some  $\lambda \geq 1$ . Assume that  $\hat{X}$  is Cohen–Macaulay and  $g|_E : E \rightarrow G = g(E)$  is an isomorphism, then  $\lambda = 1$  and  $Y$  is smooth along  $G$ .*

*Proof* Let  $H$  be a very ample divisor on  $Y$  such that  $H^0(Y, \mathcal{O}_Y(H)) \rightarrow H^0(G, \mathcal{O}_G(H))$  is surjective. Let  $y \in Y$  be a closed point in the exceptional locus of  $g$  and let  $H_1, \dots, H_{n-2}$  be general members of  $|H|$  containing  $y$ . Let  $C = g^{-1}(y)$  and  $S = g^*H_1 \cap \dots \cap g^*H_{n-2}$ . We claim that  $S$  is a normal surface. Since  $E|_C$  is ample and  $g|_E$  is an isomorphism, it is easy to see as above that  $C$  is an irreducible curve and  $E \cap C$  is supported at a single point  $q$ . As  $\hat{X}$  is Cohen–Macaulay,  $S$  is  $S_2$ . By Bertini’s theorem  $S \setminus C$  is smooth in codimension one and  $G \cap H_1 \cap \dots \cap H_{n-2}$  (scheme-theoretic intersection) is smooth at  $y$ . It follows that  $E|_S$  is smooth at  $q$ . Since  $E$  is Cartier, we see that  $S$  is also smooth at  $q \in C$ , hence  $S$  is smooth in codimension one and it is normal.

It is clear that  $g|_S$  is a birational morphism that contracts  $C$ . By adjunction  $K_S = (K_X + g^*H_1 + \dots + g^*H_{n-2})|_S$ , thus  $(-K_S \cdot C) = (-K_{\hat{X}} \cdot C) = \lambda(E \cdot C) = \lambda \geq 1$ . On the other hand by Lemma 5 we have  $(-K_S \cdot C) \leq 1$ . Hence  $\lambda = (-K_S \cdot C) = 1$  and  $S$  has only Du Val singularities along  $C$ . Since contracting a  $(-1)$ -curve (i.e. a curve that has anti-canonical degree 1) from a surface with Du Val singularities produces a smooth point,  $g(S)$  and hence  $Y$  is smooth at  $y$ . Note that  $y$  is arbitrary in the exceptional locus, so  $Y$  is smooth along  $G$ .

*Remark 9* In fact more is true. Under the same assumptions of the lemma,  $\hat{X}$  is indeed the blowup of  $Y$  along a divisor in  $G$ . We postpone its proof to the next section.

Returning to the proof of Theorem 2, we see that  $g$  has to be a fiber type contraction. Since  $g|_E$  is a closed embedding, we know that  $g|_E : E \rightarrow Y$  is in fact an isomorphism. In particular,  $E \cong Y \cong \mathbb{P}^{n-1}$ . Let us define  $S, H_i$  as in the proof of Lemma 8. By the same argument there,  $S$  is a normal surface. Since the singular set of  $\hat{X}$  has codimension at least 2, by generic smoothness we know that the generic fiber of  $g : \hat{X} \rightarrow Y$  is smooth. So the contraction  $g$  being  $K_{\hat{X}}$ -negative implies that the general fiber of  $g$  is a smooth rational curve. In particular, the generic fiber of  $g|_S : S \rightarrow g(S)$  is isomorphic to  $\mathbb{P}^1$ . Hence applying Lemma 6 yields that  $C \cong \mathbb{P}^1$ , which means that  $g : \hat{X} \rightarrow Y$  is a smooth  $\mathbb{P}^1$ -fibration.

It is clear that  $s = g|_E^{-1} : Y \rightarrow E$  gives a section of  $g$ , thus  $\hat{X} = \mathbb{P}_Y(\mathcal{E})$  is a  $\mathbb{P}^1$ -bundle where  $\mathcal{E}$  is a rank 2 vector bundle over  $Y$ . Then the section  $E$  corresponds to a surjection  $\mathcal{E} \twoheadrightarrow \mathcal{N}$  for some line bundle  $\mathcal{N}$  on  $Y$ . Denote the kernel of this surjection by  $\mathcal{M}$ . By the adjunction formula on  $\mathbb{P}^1$ -bundles, we know that  $\mathcal{O}_Y(-1) \cong s^*N_{E/\hat{X}} \cong \mathcal{M}^{-1} \otimes \mathcal{N}$ . For simplicity we may assume  $\mathcal{M} \cong \mathcal{O}_Y$ , then we get  $\mathcal{N} \cong \mathcal{O}_Y(-1)$  and hence a short exact sequence

$$0 \rightarrow \mathcal{O}_Y \rightarrow \mathcal{E} \rightarrow \mathcal{O}_Y(-1) \rightarrow 0.$$

Since  $\text{Ext}^1(\mathcal{O}_Y(-1), \mathcal{O}_Y) \cong H^1(\mathbb{P}^{n-1}, \mathcal{O}(1)) = 0$ , the above exact sequence splits. So  $\mathcal{E} \cong \mathcal{O}_Y \oplus \mathcal{O}_Y(-1)$  and  $E$  corresponds to the second projection  $\mathcal{O}_Y \oplus \mathcal{O}_Y(-1) \twoheadrightarrow \mathcal{O}_Y(-1)$ . As a result,  $\hat{X}$  is isomorphic to the blow up of  $\mathbb{P}^n$  at one point with  $E$  corresponding to the exceptional divisor. Therefore,  $X \cong \mathbb{P}^n$ . □

The following is an application of Theorem 2 to Ding-semistable  $\mathbb{Q}$ -Fano varieties with maximal volume (see [7] or [18] for backgrounds). This improves Fujita’s result on the equality case in [7, Theorem 5.1]. We remark that a different proof is presented in [18, Proof 2 of Theorem 36].

**Theorem 10** *Let  $X$  be a Ding-semistable  $\mathbb{Q}$ -Fano variety of dimension  $n$ . If  $((-K_X)^n) \geq (n + 1)^n$ , then  $X \cong \mathbb{P}^n$ .*

*Proof* Notice that  $((-K_X)^n) \leq (n + 1)^n$  by [7, Corollary 1.3]. Thus we have  $((-K_X)^n) = (n + 1)^n$ . Let  $p \in X$  be a smooth point. From [7, Proof of 5.1], we see that  $\epsilon(-K_X, p) = n + 1$ . Hence  $X \cong \mathbb{P}^n$  by Theorem 2. □

### 3 Equality case

In this section we prove Theorem 3. Let  $X$  be an  $n$ -dimensional  $\mathbb{Q}$ -Fano variety with a smooth point  $p \in X$ . Assume  $\epsilon(-K_X, p) = n$ . Following the proof of Theorem 2, we have that  $D = \sigma^*(-K_X) - nE$  is semiample on  $\hat{X}$  and induces the morphism  $g : \hat{X} \rightarrow Y$ . We now separate into two cases based on different behavior of  $g$ .

### 3.1 $g$ is birational

**Lemma 11** *If  $g : \hat{X} \rightarrow Y$  is birational, then  $g|_E$  is a closed embedding,  $-(K_Y + g(E))$  is ample and  $g(E) \cong \mathbb{P}^{n-1}$  is a nef divisor in the smooth locus of  $Y$ . Moreover,  $Y$  is a  $\mathbb{Q}$ -Fano variety.*

*Proof* We see that  $mD - E - K_{\hat{X}} = (m - 1)D$  is nef and big, so Kawamata–Viehweg vanishing implies that  $g|_E : E \rightarrow Y$  is a closed embedding as in the proof of Theorem 2. Hence  $g(E) \cong E \cong \mathbb{P}^{n-1}$ . By Lemma 8, it lies in the smooth locus of  $Y$ .

Since  $g$  is induced by  $D$ ,  $-(K_Y + g(E)) = \pi_*D$  is ample. To show the nefness of  $g(E)$  we only need to show that  $(L \cdot g(E)) \geq 0$  for a line  $L$  in  $g(E)$ . We may assume  $L$  intersects the exceptional locus of  $g$ . Denote by  $L'$  the strict transform of  $L$  in  $\hat{X}$ . Let  $W = g^*g(E) - E$ , then it is an effective Cartier divisor supported on  $\text{Ex}(g)$ . Since  $-W \sim_{g, \mathbb{Q}} -K_{\hat{X}}$  is  $g$ -ample, we have  $\text{Ex}(g) \subseteq W$ , hence  $(L' \cdot W) \geq 1$  and  $(L \cdot g(E)) = (L' \cdot (E + W)) = -1 + (L' \cdot W) \geq 0$ .

According to Lemma 11, we are now in the situation of Lemma 8 with  $\lambda = 1$ . In order to classify  $X$ , we first need to study the structure of the birational map  $g : \hat{X} \rightarrow Y$  in greater detail. This is accomplished by the following lemma.

**Lemma 12** *Under the same notations and assumptions as in Lemma 8,  $\hat{X}$  is the blowup of  $Y$  along a divisor in  $G$ .*

*Proof* First note that by Lemma 8 and its proof,  $\hat{X}$  has only compound Du Val singularities along  $\text{Ex}(g)$ , hence after shrinking  $\hat{X}$  and  $Y$  we may assume that  $\hat{X}$  has only klt singularities.

Let  $W = g^*G - E$  as above, then  $W$  is  $g$ -exceptional and  $-W$  is a  $g$ -ample Cartier divisor on  $\hat{X}$ , hence we have  $\hat{X} \cong \text{Proj} \bigoplus_{m=0}^{\infty} \mathcal{J}_m$  where  $\mathcal{J}_m = g_*\mathcal{O}_{\hat{X}}(-mW)$  ( $m = 0, 1, \dots$ ). It is clear that each  $\mathcal{J}_m$  is an ideal sheaf on  $Y$ . Let  $\mathcal{J} = \mathcal{J}_1$ , we claim that  $\mathcal{J}$  is the ideal sheaf of a hypersurface in  $g_*E$  and  $\mathcal{J}_m = \mathcal{J}^m$ .

To see this, note that since  $-mW - K_{\hat{X}} \sim_{g, \mathbb{Q}} (m + 1)E$  is  $g$ -ample and  $\hat{X}$  is klt, we have  $R^1g_*\mathcal{O}_{\hat{X}}(-mW) = 0$  for all  $m \geq 0$ . Hence from the pushforward  $g_*$  of

$$0 \rightarrow \mathcal{O}_{\hat{X}}(-g^*G - mW) \rightarrow \mathcal{O}_{\hat{X}}(-(m + 1)W) \rightarrow \mathcal{O}_E(-(m + 1)W) \rightarrow 0$$

we obtain an exact sequence

$$0 \rightarrow \mathcal{J}_m(-G) \rightarrow \mathcal{J}_{m+1} \rightarrow \mathcal{O}_E(-(m + 1)W) \rightarrow 0$$

Taking  $m = 0$ , by Nakayama lemma we see that locally  $\mathcal{J} = (a, b)$  is the ideal sheaf of  $g(W)$  where  $a = 0$  (resp.  $a = b = 0$ ) is the local defining equation of  $G$  (resp.  $g(W)$ ). Note that the restriction of  $g$  to  $E$  is an isomorphism, so  $g(W) \cong W \cap E$  is a divisor (not necessarily irreducible or reduced) in  $G$ . Suppose we have shown  $\mathcal{J}_m = \mathcal{J}^m$  for some  $m \geq 1$  (the case  $m = 1$  being clear), then the above exact sequence tells us that  $\mathcal{J}_{m+1}$  is generated by  $a \cdot \mathcal{J}_m$  and  $b^{m+1}$ , hence  $\mathcal{J}_{m+1} = \mathcal{J}^{m+1}$  as well. The claim then follows by induction on  $m$  and the lemma follows immediately from the claim.

Now we will classify the pairs  $(Y, g(E))$  satisfying the statement of Lemma 11. By abuse of notation, we will simply denote the divisor by  $E$  instead of  $g(E)$ . We remark that Bonavero, Campana and Wiśniewski classified such pairs in [2] when  $Y$  is smooth.

**Lemma 13** *Let  $Y$  be an  $n$ -dimensional  $\mathbb{Q}$ -Fano variety containing a prime divisor  $E \cong \mathbb{P}^{n-1}$  in its smooth locus.*

1. If  $\rho(Y) = 1$ , then either  $Y$  is a weighted projective space  $\mathbb{P}(1^n, d)$  for some  $d \in \mathbb{Z}_{>0}$  and  $E$  is the hyperplane defined by the vanishing of the last coordinate, or  $n = 2$ ,  $Y \cong \mathbb{P}^2$  and  $E$  is a smooth conic;
2. If  $\rho(Y) \geq 2$  and  $-(K_Y + E)$  is ample, then  $Y$  is a  $\mathbb{P}^1$ -bundle  $\mathbb{P}(\mathcal{O} \oplus \mathcal{O}(-d))$  over  $\mathbb{P}^{n-1}$  for some  $d \in \mathbb{Z}_{\geq 0}$  and  $E$  is a section. If  $n \geq 3$  and  $d \geq n$  then  $E$  is the only section with negative normal bundle.

*Proof* Note that in the case  $\rho(Y) = 1$ ,  $E$  is necessarily an ample divisor on  $Y$ . As  $E$  does not intersect the singular locus of  $Y$ ,  $Y$  has only isolated singularities. By adjunction  $-(K_Y + E)|_E = -K_E$  is ample, hence  $-(K_Y + E)$  is ample as well. Let  $Y^\circ$  be the smooth locus of  $Y$  and  $i : E \rightarrow Y^\circ$  the inclusion.

First assume  $\rho(Y) = 1$  and  $n \geq 3$ . By the generalized version of Lefschetz hyperplane theorem [9, Theorem II.1.1],  $H_i(Y^\circ, E, \mathbb{Z}) = H^i(Y^\circ, E, \mathbb{Z}) = 0$  for  $i < n$ , hence by the universal coefficient theorem,  $H^n(Y^\circ, E, \mathbb{Z})$  is torsion free. As  $n \geq 3$ , this implies the restriction map  $i^* : H^2(Y^\circ, \mathbb{Z}) \rightarrow H^2(E, \mathbb{Z})$  is injective and has torsion free cokernel. But  $H^2(E, \mathbb{Z}) \cong \mathbb{Z}$  since  $E \cong \mathbb{P}^{n-1}$ , so  $i^*$  is in fact an isomorphism. As  $Y$  is  $\mathbb{Q}$ -Fano we have  $H^1(Y, \mathcal{O}_Y) = 0$  by Kawamata–Viehweg vanishing and  $Y$  is Cohen–Macaulay by [16, Theorem 5.22]. Since  $Z = \text{Sing}Y$  consists of isolated points and  $n \geq 3$ , by the long exact sequence of cohomology with support

$$\dots \rightarrow H^1_Z(Y, \mathcal{O}_Y) \rightarrow H^1(Y, \mathcal{O}_Y) \rightarrow H^1(Y^\circ, \mathcal{O}_{Y^\circ}) \rightarrow H^2_Z(Y, \mathcal{O}_Y) \rightarrow \dots$$

we get  $H^1(Y^\circ, \mathcal{O}_{Y^\circ}) = 0$ . Combining this with the exponential sequence  $0 \rightarrow \mathbb{Z} \rightarrow \mathcal{O}_{Y^\circ} \rightarrow \mathcal{O}_{Y^\circ}^* \rightarrow 0$ , we see that the restriction  $i^* : \text{Cl}(Y) = \text{Pic}(Y^\circ) \rightarrow \text{Pic}(E) \cong \mathbb{Z}$  is also an isomorphism.

Let  $H$  be the ample generator of  $\text{Cl}(Y)$ , then  $E \sim dH$  for some  $d \in \mathbb{Z}_{>0}$ . Let  $\pi : Y' \rightarrow Y$  be the (normalization of the) cyclic cover of degree  $d$  of  $Y$  ramified at  $E$  and  $E' = \pi^{-1}(E)_{\text{red}}$ . Then  $K_{Y'} + E' = \pi^*(K_Y + E)$  as  $E$  is the only branched divisor, hence  $Y'$  is also  $\mathbb{Q}$ -Fano and  $E'$  satisfies the same assumptions of the lemma. We also have  $\mathcal{O}_{E'}(dE') \cong \mathcal{O}_{E'}(\pi^*E) = \pi^*N_{E/Y} \cong \mathcal{O}_{E'}(d)$ , hence  $N_{E'/Y'} \cong \mathcal{O}_{E'}(1)$  is the hyperplane class. Note that  $E'$  is ample since it's the preimage of the ample divisor  $E$ . It now follows from the long exact sequence

$$0 \rightarrow H^0(Y', \mathcal{O}_{Y'}) \rightarrow H^0(Y', \mathcal{O}_{Y'}(E')) \rightarrow H^0(E', N_{E'/Y'}) \rightarrow H^1(Y', \mathcal{O}_{Y'}) = 0$$

that the linear system  $|E'|$  is base point free, has dimension  $n$  and defines an isomorphism  $Y' \cong \mathbb{P}^n$  such that  $E'$  is mapped to a hyperplane. Our original pair  $(Y, E)$  is then obtained by taking a cyclic quotient of degree  $d$  ramified at  $E'$ , and is easily seen to be as claimed in the statement of the lemma.

Next assume  $\rho(Y) = 1$  and  $n = 2$ . Then  $Y$  has quotient singularity and is  $\mathbb{Q}$ -factorial, hence  $\text{Cl}(Y)$  has rank one. As  $E$  is ample,  $\pi_1(E) \rightarrow \pi_1(Y^\circ)$  is surjective by [9, Theorem II.1.1], but  $\pi_1(E) = \pi_1(\mathbb{P}^1) = 0$ , so  $Y^\circ$  is simply connected as well. In particular,  $\text{Cl}(Y) = \text{Pic}(Y^\circ)$  is torsion-free and thus  $\cong \mathbb{Z}$ . Let  $r$  be the index of  $i^*\text{Cl}(Y)$  in  $\text{Pic}(E)$ . As  $-(K_Y + E)|_E = -K_E$  has degree 2,  $r = 1$  or 2. Let  $H$  be the ample generator of  $\text{Cl}(Y)$ , then  $(H, E) = r$  and  $E \sim dH$  for some  $d \in \mathbb{Z}_{>0}$ . Let  $\pi : Y' \rightarrow Y$  be the corresponding cyclic cover of degree  $d$  and define  $E'$  as before. By the same argument as the  $n \geq 3$  case, we have  $N_{E'/Y'} \cong \mathcal{O}_{E'}(r)$ , and if  $r = 1$ , the linear system  $|E'|$  defines an isomorphism  $(Y', E') \cong (\mathbb{P}^2, \text{hyperplane})$ , while if  $r = 2$ , the linear system  $|E'|$  embeds  $Y'$  into  $\mathbb{P}^3$  as a quadric surface. Taking cyclic quotients, we see that the original  $(Y, E)$  is again as claimed.

Finally assume  $\rho(Y) \geq 2$  and  $-(K_Y + E)$  is ample. Let  $l$  be a line in  $E$ . We claim that there is an extremal ray  $\mathbb{R}_{\geq 0}[\Gamma]$  in  $\overline{NE}(Y)$  generated by an integral curve  $\Gamma$  on  $Y$  such that



$[\Gamma] \notin \mathbb{R}_{\geq 0}[l]$  and  $(E \cdot \Gamma) > 0$ . If  $(E \cdot l) = 0$ , then such  $\Gamma$  exists since  $E$  is not numerically trivial. If  $(E \cdot l) > 0$ , consider the exact sequence

$$0 \rightarrow T_E|_l \rightarrow T_Y|_l \rightarrow N_{E/Y}|_l \rightarrow 0.$$

It is clear that  $T_E|_l$  is ample because  $E \cong \mathbb{P}^{n-1}$ . On the other hand,  $\text{deg } N_{E/Y}|_l = (E \cdot l) > 0$  hence  $N_{E/Y}|_l$  is ample. Therefore,  $T_Y|_l$  is also ample which implies that  $l$  is a very free rational curve in  $Y$ . Since  $\rho(Y) \geq 2$ ,  $\mathbb{R}_{\geq 0}[l]$  cannot be an extremal ray of  $\overline{NE}(Y)$  (otherwise the contraction of  $l$  will contract  $Y$  to a single point), which means that such  $\Gamma$  exists.

Now let  $h : Y \rightarrow Z$  be the contraction of  $\Gamma$ . As we argued in the proof of Theorem 2,  $h|_E : E \rightarrow Y$  is a closed embedding, hence  $(E \cdot \Gamma) = 1$ . Since  $-(K_Y + E)$  is ample, we have  $(-K_Y \cdot \Gamma) > 1$ . Then by the same reasoning as in the proof of Theorem 2, we conclude that  $h$  has to be a fiber type contraction. Hence  $Y$  is a  $\mathbb{P}^1$ -fibration over  $Z \cong \mathbb{P}^{n-1}$  admitting a section  $h|_E^{-1} : Z \rightarrow E$ , so  $Y \cong \mathbb{P}_Z(\mathcal{O} \oplus \mathcal{O}(-d))$  with  $d \geq 0$ . If  $n \geq 3$ , then  $E$  corresponds to either a surjection  $\mathcal{O} \oplus \mathcal{O}(-d) \rightarrow \mathcal{O}$  or a surjection  $\mathcal{O} \oplus \mathcal{O}(-d) \rightarrow \mathcal{O}(-d)$ . If in addition  $d \geq n$ , then  $-(K_Y + E)$  being ample implies that  $E$  is the unique section corresponding to the second projection  $\mathcal{O} \oplus \mathcal{O}(-d) \rightarrow \mathcal{O}(-d)$ .

Combining the last two lemmas we can give a partial classification of  $X$ :

**Lemma 14** *If  $g$  is birational then  $X$  is one of the following:*

1. a degree  $d+1$  weighted hypersurface  $X_{d+1} = (x_0x_{n+1} = f(x_1, \dots, x_n)) \subset \mathbb{P}(1^{n+1}, d)$ ;
2. the blow-up of  $\mathbb{P}^n$  along the complete intersection of a hyperplane and a hypersurface of degree  $d \leq n$ ;
3. a Gorenstein log del Pezzo surface of degree  $\geq 5$ .

*Proof* By Lemma 13, we have the following cases:

(1)  $Y \cong \mathbb{P}(1^n, d)$  with homogeneous coordinate  $[y_0 : \dots : y_n]$  and  $g(E) = (y_n = 0)$ . We have  $N_{g(E)/Y} \cong \mathcal{O}_E(d)$ . By Lemma 12,  $\hat{X}$  is obtained by blowing up a hypersurface  $S = (f = 0)$  in  $g(E)$  where  $f$  is a homogeneous polynomial in  $y_0, \dots, y_{n-1}$ . As  $N_{E/\hat{X}} \cong \mathcal{O}_E(-1)$  we see that  $\text{deg } f = d+1$ . Consider the rational map  $\phi : Y \dashrightarrow \mathbb{P}(1^{n+1}, d)$  given by

$$[y_0 : \dots : y_n] \mapsto [x_0 : \dots : x_{n+1}] = \left[ \frac{f(y_0, \dots, y_{n-1})}{y_n} : y_n : y_0 : \dots : y_{n-1} \right]$$

whose image lies in the weighted hypersurface  $X_{d+1}$  define by  $x_0x_{n+1} = f(x_1, \dots, x_n)$ . It is clear that  $\phi$  is contracts  $g(E)$  to the point  $[1 : 0 : \dots : 0]$  and the indeterminacy locus of  $\phi$  is exactly  $S$ . By inspecting each affine chart  $(x_i \neq 0) \subset Y$  it is easy to see that after blowing up  $S$ ,  $\phi$  extends to a birational morphism  $\hat{X} \rightarrow X_{d+1}$  that contracts  $E$ , hence  $X \cong X_{d+1}$  as in the first case in the statement of the lemma.

(2)  $Y$  is a  $\mathbb{P}^1$ -bundle  $\mathbb{P}(\mathcal{O} \oplus \mathcal{O}(-d))$  over  $\mathbb{P}^{n-1}$  ( $n \geq 3$ ) and  $g(E)$  is a section. Since  $g(E)$  is nef by Lemma 11, we have  $d < n$  by Lemma 13. Going back to the last part of the proof of Lemma 13 we see that the section  $g(E)$  corresponds to a surjection  $\mathcal{O} \oplus \mathcal{O}(-d) \rightarrow \mathcal{O}$  and hence  $N_{g(E)/Y} \cong \mathcal{O}_E(d)$ . By Lemma 12 as in previous case,  $\hat{X}$  is obtained by blowing up a hypersurface  $S$  of degree  $d+1$  in  $g(E)$ . It is straightforward to see that the elementary transformation of  $Y$  with center  $S$  is the  $\mathbb{P}^1$ -bundle  $\mathbb{P}(\mathcal{O} \oplus \mathcal{O}(-1))$  over  $\mathbb{P}^{n-1}$ , which is isomorphic to the blowup of a point  $R$  on  $\mathbb{P}^n$ , such that the strict transform  $E'$  (resp.  $H$ ) of  $g(E)$  (resp. the negative section on  $Y$ ) becomes the exceptional divisor over  $R$  (resp. a hyperplane in  $\mathbb{P}^n$  that is disjoint from  $R$ ). Contracting  $E'$  and reversing this procedure we see that  $X$  is the blowup of  $\mathbb{P}^n$  along a hypersurface of degree  $d+1 \leq n$  in a hyperplane.



(3)  $Y \cong \mathbb{P}^2$  and  $g(E)$  is a smooth conic, or  $Y$  is a ruled surface over  $\mathbb{P}^1$  and  $g(E)$  is a section. In either case  $Y$  is smooth and  $\hat{X}$  is obtained by blowing up subschemes of  $g(E)$ . Locally on  $Y$ , such a subscheme is defined by  $(a = b^k = 0)$  where  $a, b$  are local coordinates such that  $g(E) = (a = 0)$ .  $\hat{X}$  then has local equation  $at = b^k$  or  $a = b^k t$  and it follows that both  $\hat{X}$  and  $X$  have only Du Val singularities of type  $A$ . As  $D = \sigma^*(-K_X) - 2E$  is big and nef and Cartier in this case we have  $(K_{\hat{X}}^2) = (D^2) - 4(E^2) = (D^2) + 4 \geq 5$ , so  $X$  is as described in the third case of the statement of the lemma.  $\square$

### 3.2 $g$ is of fiber type

**Lemma 15** *If  $g$  is of fiber type, then every fiber has dimension 1,  $g|_E : E \rightarrow Y$  is a double cover and  $-K_{\hat{X}} \sim_{g, \mathbb{Q}} E$  is  $g$ -ample.*

*Proof* Since  $\epsilon(-K_X, p) > n - 1$ ,  $\hat{X}$  is  $\mathbb{Q}$ -Fano, so  $-K_{\hat{X}} \sim_{g, \mathbb{Q}} E$  is  $g$ -ample.  $D|_E$  is ample, so  $E \rightarrow Y$  is finite and every fiber of  $g$  has dimension one. Let  $l$  be a general fiber, then  $l \cong \mathbb{P}^1$  and  $(-K_{\hat{X}} \cdot l) = 2 = (E \cdot l)$ , so  $E$  is a double section.

Similar to the previous case, we first analyze the local structure of  $g$  in a slightly more general setting. For ease of notations, we call  $g : \hat{X} \rightarrow Y$  (where  $\hat{X}$  and  $Y$  are normal quasi-projective varieties) a *rational conic bundle* if  $g$  is proper, every fiber of  $g$  has dimension 1 and the general fiber is isomorphic to  $\mathbb{P}^1$ . If in addition  $\hat{X}$  is Cohen–Macaulay and there exists a Cartier divisor  $E$  on  $\hat{X}$  such that  $-K_{\hat{X}} \sim_{g, \mathbb{Q}} E$  is  $g$ -ample, then we say that the rational conic bundle is *Gorenstein*. It is clear that a conic bundle is automatically a Gorenstein rational conic bundle.

**Lemma 16** *Let  $g : S \rightarrow C$  be a Gorenstein rational conic bundle. Assume  $\dim S = 2$ , then  $S$  is a conic bundle and in particular has only Du Val singularities.*

*Proof* Let  $l$  be an irreducible component of a fiber of  $g$ , then  $(-K_S \cdot l) = (E \cdot l)$  is a positive integer since  $E$  is Cartier and  $-K_S$  is  $g$ -ample. On the other hand, if  $F$  is a general fiber of  $g$  then  $(-K_S \cdot F) = 2$ . Hence every fiber of  $g$  has at most two irreducible components (counting multiplicities), so on the minimal resolution of  $S$  (which is a birationally ruled surface over  $C$ ), every fiber over  $C$  has one of the following as its dual graph:

$$\begin{aligned} &(-2) - (-1) - (-2), \\ &(-1) - (-2) - (-2) - \dots - (-2) - (-1), \end{aligned}$$

or

$$\begin{array}{c} (-2) \\ \diagdown \\ (-2) - (-2) - \dots - (-2) - (-1) \\ \diagup \\ (-2) \end{array}$$

As  $S$  is obtained by contracting those  $(-2)$ -curves, it has only Du Val singularities and is a conic bundle.  $\square$

**Corollary 17** *If  $g : \hat{X} \rightarrow Y$  is a Gorenstein rational conic bundle such that  $Y$  is smooth, then  $\hat{X}$  is a conic bundle over  $Y$ . In particular,  $\hat{X}$  is a hypersurface in  $\mathbb{P}(\mathcal{E})$  for some rank 3 vector bundle  $\mathcal{E}$  on  $Y$ .*

*Proof* Let  $y \in Y$  and  $C$  a general complete intersection curve on  $Y$  passing through  $y$ . Let  $S = \hat{X} \times_Y C$ . Since  $\hat{X}$  is Cohen–Macaulay,  $S$  is  $S_2$ . From the proof of Lemma 16 we know that the fiber  $g^{-1}(y)$  has at most 2 irreducible components (counting multiplicities), hence  $S$  is smooth at every generic point of  $g^{-1}(y)$ , for otherwise  $g^{-1}(y)$  contains a component of multiplicity  $\geq 2^2 = 4$ . It follows that  $S$  is normal. By adjunction it is easy to see that  $S$  is a Gorenstein rational conic bundle over  $C$ , so by Lemma 16,  $S$  has only Du Val singularities and is a conic bundle, hence every fiber of  $g$  is isomorphic to a conic and  $\hat{X}$  has cDV singularities which is Gorenstein. The lemma then follows from standard arguments (see e.g. [6, Theorem 7]).

Unfortunately in our classification problem, the Gorenstein rational conic bundle  $g : \hat{X} \rightarrow Y$  does not have a smooth base. Nevertheless, there is a smooth double section  $E$ . Hence we would like to apply Corollary 17 to  $\tilde{g} : \tilde{X} \rightarrow \tilde{Y}$ , where  $\tilde{Y} \cong E$  and  $\tilde{X}$  is the normalization of  $\hat{X} \times_Y \tilde{Y}$ . For this purpose, we need to show that  $\tilde{X}$  is Gorenstein rational conic bundle over  $\tilde{Y}$ . This is given by the following lemma.

**Lemma 18** *Let  $g : \hat{X} \rightarrow Y$  be a Gorenstein rational conic bundle and  $\phi : \tilde{Y} \rightarrow Y$  a finite morphism between normal varieties. Let  $\tilde{X}$  be the normalization of  $\hat{X} \times_Y \tilde{Y}$ . Assume that  $\hat{X}$  has klt singularities and the branch divisor of  $\phi$  is disjoint from the singular locus of  $\tilde{Y}$  and  $Y$ . Then  $\tilde{g} : \tilde{X} \rightarrow \tilde{Y}$  is also a Gorenstein rational conic bundle.*

*Proof* By shrinking  $Y$  we may assume either  $\phi$  is unramified in codimension one or both  $Y$  and  $\tilde{Y}$  are smooth. In the first case  $\tilde{X}$  is also klt by [16, Proposition 5.20] hence is CM, and the other properties of Gorenstein rational conic bundles are preserved by a finite base change that is étale in codimension one. In the second case  $g$  is a conic bundle by Lemma 17, hence the same holds for  $\tilde{g}$ . □

The pullback  $E'$  of  $E$  to  $\tilde{X}$  is then a union of two sections  $E_1$  and  $E_2$ . If they are disjoint, we have a simple description of the conic bundle  $\tilde{g}$ :

**Lemma 19** *Let  $\tilde{g} : \tilde{X} \rightarrow \tilde{Y}$  be a conic bundle with smooth base. Assume that there are two disjoint sections  $E_1$  and  $E_2$  that are Cartier as divisors on  $\tilde{X}$  and such that  $-K_{\tilde{X}} \sim_{g,\mathbb{Q}} E_1 + E_2$ . Then there is a birational morphism  $u : \tilde{X} \rightarrow Z = \mathbb{P}_{\tilde{Y}}(\mathcal{O} \oplus \mathcal{L})$  (where  $\mathcal{L} \cong N_{E_1/\tilde{X}}$ ) sending  $E_1, E_2$  to two disjoint sections  $E'_1, E'_2$  of  $Z$  such that  $\tilde{X}$  is the blow up of  $Z$  along a divisor in  $E_2$ .*

*Proof* If every fiber of  $\tilde{g}$  is an irreducible  $\mathbb{P}^1$  then  $\tilde{X} \cong \mathbb{P}_{\tilde{Y}}(\mathcal{O} \oplus \mathcal{L})$  and there is nothing to prove. So we may assume  $l = l_1 + l_2$  is a reducible fiber. We have  $(E_1 + E_2 \cdot l_j) = (-K_{\tilde{X}} \cdot l_j) = 1$  ( $j = 1, 2$ ). Since the section  $E_i$  is Cartier, we have  $(E_i \cdot l_j) = \delta_{ij}$  after rearranging indices. Let  $u : \tilde{X} \rightarrow Z$  be the contraction of the extremal ray  $\mathbb{R}_+[l_2]$  and let  $E'_1, E'_2$  be strict transform of  $E_1, E_2$ . As  $E_i$  is a section of  $\tilde{g}$  and  $E_i \rightarrow \tilde{Y}$  factors through  $E'_i$ , the restriction  $u|_{E_i}$  is an isomorphism. In addition we have  $-(K_{\tilde{X}} + E_2) \sim_{u,\mathbb{Q}} 0$  since its intersection number with  $l_2$  is zero. Hence the lemma follows by a direct application of Lemma 12. □

Putting everything together and specializing to  $E \cong \mathbb{P}^{n-1}$ , we now finish the second part of the classification of  $X$  with  $\epsilon(-K_X, p) = n$ .

**Lemma 20** *If  $g$  is of fiber type then  $X$  is one of the following:*

1. A Gorenstein log del Pezzo surface of degree 4;

2. *Quotient of a quadric hypersurface in  $\mathbb{P}^{n+1}$  by an involution that is fixed point free in codimension 1;*
3. *A quartic weighted hypersurface in  $\mathbb{P}(1^n, 2^2)$ .*

*Proof* If  $n = \dim X = 2$  then by Lemma 16,  $\hat{X}$  and hence  $X$  has only Du Val singularities. We have  $\sigma^*(-K_{\hat{X}}) - 2E \sim_{g, \mathbb{Q}} 0$ , so  $(K_{\hat{X}})^2 = -4(E^2) = 4$  and we are in case (1). Hence in the remaining part of the proof we assume that  $n \geq 3$ .

We keep using the notations introduced in this subsection. Let  $\tilde{X} \rightarrow \bar{X}$  be the Stein factorization of the composition  $\tilde{X} \rightarrow \hat{X} \rightarrow X$ , then  $\tilde{X} \rightarrow X$  is a double cover. The double cover  $E \rightarrow Y$  is either unramified in codimension one or the quotient  $\mathbb{P}^{n-1} \rightarrow \mathbb{P}(1^{n-1}, 2)$  in which case the branch divisor is a hyperplane on  $\mathbb{P}^{n-1}$ , so the conditions and conclusions of Lemma 18 are satisfied and we see that  $\tilde{g} : \tilde{X} \rightarrow \tilde{Y}$  is a conic bundle over  $\tilde{Y} \cong \mathbb{P}^{n-1}$  by Corollary 17.

If  $h : \bar{X} \rightarrow \hat{X}$  is unramified in codimension one, so is  $\bar{X} \rightarrow X$  and we have  $\text{codim}_{E_1 \cap E_2} E_i \geq 2$ . But since  $\tilde{X}$  is Cohen–Macaulay and  $E' = E_1 + E_2$  is a Cartier divisor,  $E_1 \cup E_2$  is  $S_2$ . It follows that  $E_1$  and  $E_2$  do not intersect at all, hence they are disjoint smooth Cartier divisors in  $\tilde{X}$  with normal bundle  $\mathcal{O}_{\mathbb{P}^{n-1}}(-1)$ . As  $K_{\tilde{X}} + E_1 + E_2 = h^*(K_{\hat{X}} + E) \sim_{g, \mathbb{Q}} 0$ , it follows from Lemma 19 that  $\tilde{X}$  is a blowup of  $Z \cong \mathbb{P}_{\tilde{Y}}(\mathcal{O} \oplus \mathcal{O}(-1)) \cong \text{Bl}_z \mathbb{P}^n$  along a hypersurface in the strict transform of a hyperplane. For the normal bundle to match, it is the blowup of a quadric hypersurface. As  $\bar{X}$  is obtained by contracting  $E_1 \cup E_2$  from  $\tilde{X}$ , it is a quadric hypersurface in  $\mathbb{P}^{n+1}$ , and  $X$  is the quotient of  $\bar{X}$  by an involution that acts fixed point free in codimension one as in case (2).

If  $h : \bar{X} \rightarrow \hat{X}$  is ramified in codimension one, then it is ramified along  $\tilde{g}^*H$  where  $H$  is a hyperplane on  $\tilde{Y}$ . As in the last paragraph  $E_1 \cap E_2$  has pure codimension one, so  $E'$  is a union of two  $\mathbb{P}^{n-1}$  intersecting transversally at a hyperplane. The conic bundle  $\tilde{X}$  is a hypersurface in some  $\mathbb{P}(\mathcal{E})$  over  $\tilde{Y}$ . To compute  $\mathcal{E}$ , first note that  $-(K_{\tilde{X}} + E') = \tilde{g}^*M$  for some  $M \in \text{Pic}(E)$  since it restricts to a trivial bundle on every fiber of  $\tilde{g}$ ; we also have  $-(K_{\tilde{X}} + E')|_{E'} = -K_{E'} = (n - 1)\tilde{g}^*H$ , so  $M \sim (n - 1)H$ . Combining with  $N_{E'/\tilde{X}} \cong \tilde{g}^*\mathcal{O}_{\tilde{Y}}(-H)$  we have  $-K_{\tilde{X}}|_{E'} \cong \tilde{g}^*(n - 2)H$ . Now apply  $\tilde{g}_*$  to the exact sequence

$$0 \rightarrow \mathcal{O}_{\tilde{X}}(-K_{\tilde{X}} - E) \rightarrow \mathcal{O}_{\tilde{X}}(-K_{\tilde{X}}) \rightarrow \mathcal{O}_{E'}(-K_{\tilde{X}}) \rightarrow 0$$

we obtain another exact sequence

$$\begin{aligned} 0 \rightarrow \mathcal{O}_{\tilde{Y}}((n - 1)H) &\rightarrow \tilde{g}_*\mathcal{O}_{\tilde{X}}(-K_{\tilde{X}}) \rightarrow \mathcal{O}_{\tilde{Y}}((n - 2)H) \\ \oplus \mathcal{O}_{\tilde{Y}}((n - 3)H) &\rightarrow R^1\tilde{g}_*\mathcal{O}_{\tilde{X}} \otimes M = 0 \end{aligned}$$

hence  $\tilde{g}_*\mathcal{O}_{\tilde{X}}(-K_{\tilde{X}}) \cong \bigoplus_{k=1}^3 \mathcal{O}_{\tilde{Y}}((n - k)H)$  and we may choose  $\mathcal{E} \cong \bigoplus_{k=0}^2 \mathcal{O}_{\tilde{Y}}(kH)$ . Let  $\pi$  be the projection  $\mathbb{P}(\mathcal{E}) \rightarrow \tilde{Y}$  and  $\mathcal{O}_{\mathbb{P}(\mathcal{E})}(1)$  the relative hyperplane class.  $\tilde{X}$  corresponds to section of  $\mathcal{O}_{\mathbb{P}(\mathcal{E})}(2) \otimes \pi^*\mathcal{O}_{\tilde{Y}}(mH)$  for some  $m \in \mathbb{Z}$  and by adjunction formula we have  $\mathcal{O}_{\tilde{X}}(-K_{\tilde{X}}) \cong \mathcal{O}_{\tilde{X}}(1) \otimes \tilde{g}^*\mathcal{O}_{\tilde{Y}}((n - 3 - m)H)$ , hence  $\tilde{g}_*\mathcal{O}_{\tilde{X}}(-K_{\tilde{X}}) \cong \mathcal{E} \otimes \mathcal{O}_{\tilde{Y}}((n - 3 - m)H)$ . Comparing this to the previous formula for  $\tilde{g}_*\mathcal{O}_{\tilde{X}}(-K_{\tilde{X}})$  we see that  $m = 0$ . The surjection  $\mathcal{E} \rightarrow \mathcal{O}_{\tilde{Y}}$  defines a section  $S$  of  $\mathbb{P}(\mathcal{E}) \rightarrow \tilde{Y}$  that is disjoint with  $\tilde{X}$  (since  $\mathcal{O}_{\mathbb{P}(\mathcal{E})}(2)|_S \cong \mathcal{O}_S$ ) and the linear projection from  $S$  makes  $\tilde{X}$  into a double cover over the  $\mathbb{P}^1$ -bundle  $\mathbb{P}_{\tilde{Y}}(\mathcal{O}(H) \oplus \mathcal{O}(2H))$ , which is also the blowup of a point on  $\mathbb{P}^n$ , such that  $E'$  is mapped to the exceptional divisor and  $\tilde{g}^*H$  to the strict transform of a hyperplane passing through the center of blowup.  $\bar{X}$  is then a double cover of  $\mathbb{P}^n$ , and as  $-(K_{\tilde{X}} + E') \sim (n - 1)\tilde{g}^*H$  we have  $-K_{\tilde{X}} \sim (n - 1)\tau^*H'$  where  $H'$  is a hyperplane on  $\mathbb{P}^n$  and  $\tau : \bar{X} \rightarrow \mathbb{P}^n$  the double cover. It follows that  $\bar{X}$  is a weighted hypersurface of degree 4 in  $\mathbb{P}(1^{n+1}, 2)$ . The original  $X$  is then obtained as the quotient of  $\bar{X}$  by an involution that fixes a hyperplane section (i.e. the strict transform of  $\tilde{g}^*H$ ), hence is a quartic weighted hypersurface in  $\mathbb{P}(1^n, 2^2)$  as in case (3).

**21** (*Proof of Theorem 3*) By Lemmas 14 and 20, we have the following five possibilities for  $X$ . Note that by Theorem 2 it suffices to show that  $\epsilon(-K_X, p) \geq n$  in each case.

(1)  $X \cong X_{d+1} = (x_0x_{n+1} = f(x_1, \dots, x_n)) \subseteq \mathbb{P}(1^{n+1}, d)$ . If  $d = 1$  then  $X$  is a quadric hypersurface and the result is clear (or see case (4)). Otherwise  $d > 1$  and we have  $q = [0 : \dots : 0 : 1] \in X$ . Let  $p$  be a smooth point on  $X$  and let  $\sigma : Z \rightarrow \mathbb{P}(1^{n+1}, d)$  be the blowup of  $\mathbb{P}(1^{n+1}, d)$  at  $p$  with exceptional divisor  $V$ . Let  $H$  be the divisor class  $\mathcal{O}(1)$  on  $\mathbb{P}(1^{n+1}, d)$ , then we have  $\sigma^*(-K_X) - nE = n(\sigma^*H - V)|_{\hat{X}}$ . The base locus of the linear system  $|\sigma^*H - V|$  on  $Z$  is the strict transform of the line  $l$  joining  $p$  and  $q$ . For general choice of  $p$  we have  $l \not\subseteq X$ , hence  $\sigma^*(-K_X) - nE$  is nef on  $\hat{X}$ , yielding  $\epsilon(-K_X, p) \geq n$ .

(2)  $X$  is a quartic hypersurface in  $\mathbb{P}(1^n, 2^2)$ . Up to weighted projective isomorphism we may assume that  $X$  is defined by the equation  $q(x_n, x_{n+1}) + x_n h(x_0, \dots, x_{n-1}) = f(x_0, \dots, x_{n-1})$  where  $\deg q = \deg h = 2, \deg f = 4$  and  $h = 0$  if  $q \neq ax_{n+1}^2$ . Let  $p \in X$  be a smooth point and define  $H, V$  in the similar way as in the first case. We have  $\sigma^*(-K_X) - nE = n(\sigma^*H - V)|_{\hat{X}}$ . The base locus of  $|\sigma^*H - V|$  is the plane  $\Sigma$  spanned by  $p$  and the line  $(x_0 = \dots = x_{n-1} = 0)$ , so  $D$  is nef (i.e.  $\epsilon(-K_X, p) \geq n$ ) if and only if for every curve  $C \subseteq \Sigma \cap X$  we have  $(D \cdot C) \geq 0$ . It is easy to see that  $\frac{1}{n}(D \cdot C) = \frac{1}{4} \deg C - \text{mult}_p C$ . As  $\deg(\Sigma \cap X) \leq 4$  we see that  $(D \cdot C) \geq 0$  if and only if  $\Sigma \cap X$  is an irreducible curve that is smooth at  $p$ . Suppose  $p = [c_0 : \dots : c_{n+1}]$ , then  $\Sigma \cap X$  is given by the equation  $q(y_1, y_2) + h(c_0, \dots, c_{n-1})y_1y_0^2 = f(c_0, \dots, c_{n-1})y_0^4$  in  $\Sigma \cong \mathbb{P}(1, 2, 2)$ . From this it is clear that  $\epsilon(-K_X, p) \geq n$  for general  $p \in X$  if and only if  $q$  is not a square or  $hq \neq 0$ . After another change of variable we see that  $X$  is a quartic hypersurface of the form  $x_nx_{n+1} = f(x_0, \dots, x_{n-1})$  or  $x_{n+1}^2 + x_nh(x_0, \dots, x_{n-1}) = f(x_0, \dots, x_{n-1})$  ( $h \neq 0$ ).

(3)  $X$  is the blowup of a hypersurface  $S$  of degree  $d \leq n$  in a hyperplane of  $\mathbb{P}^n$ . Let  $V$  be the exceptional divisor over  $S, H$  the pullback of  $\mathcal{O}_{\mathbb{P}^n}(1)$  on  $X$  and  $H' \subset X$  the strict transform of the hyperplane containing  $S$ . Let  $p \in X$  be a point outside  $H' \cup V$ . We have  $D = \sigma^*(-K_X) - nE \sim \sigma^*H' + n(\sigma^*H - E)$ . We want to show that  $D$  is nef. Since  $\sigma^*H - E$  is already nef, it remains to show that  $(D \cdot l) > 0$  where  $l$  is a line in  $\sigma^*H'$ . Then a direct computation shows that  $(D \cdot l) = (-K_X \cdot l) = (((n + 1)H - V) \cdot l) = n + 1 - d > 0$ . Thus  $D$  is nef and  $\epsilon(-K_X, p) \geq n$ .

(4)  $X = Q/\tau$  where  $Q$  is a quadric hypersurface and  $\tau \in \text{Aut}(Q)$  an involution that is fixed point free in codimension one. Let  $p_1$  be a smooth point of  $Q$ , let  $p_2 = \tau(p_1)$  and  $p$  be their image in  $X$ . Let  $\psi : \hat{Q} \rightarrow Q$  be the blowup of  $p_1$  and  $p_2$  with exceptional divisors  $E_1$  and  $E_2$ . Since  $h : Q \rightarrow X$  is étale in codimension one, the divisor  $D = \sigma^*(-K_X) - nE$  pulls back to  $D' = \psi^*(-K_Q) - nE_1 - nE_2 = n(\psi^*H - E_1 - E_2)$  where  $H$  is the hyperplane class on  $Q$ . Similar to case (1),  $D'$  is the restriction of a line bundle (also denoted by  $D'$ ) on blowup of  $\mathbb{P}^{n+1}$  at  $p_1, p_2$  whose base locus is the strict transform of the line  $l$  joining  $p_1$  and  $p_2$ . We also have  $(D' \cdot l) = -n < 0$ . Hence  $D$  is nef and  $\epsilon(-K_X, p) \geq n$  if and only if  $l \not\subseteq Q$ . We may diagonalize  $\tau$  and choose homogeneous coordinate  $x_i$  so that  $\tau(x_i) = \delta_i x_i$  where  $\delta_i = \pm 1$ . It is then not hard to verify that  $l \not\subseteq Q$  for general choice of  $p$  if and only if  $Q$  is given by the equation  $\sum_{i=0}^k x_i^2 = 0$  for some  $2 \leq k \leq n + 1$  such that  $\delta_i$  take different values for  $i = 0, \dots, k$ .

(5)  $X$  is a Gorenstein log del Pezzo surface of degree  $(K_X^2) \geq 4$ . We claim that if  $S$  is a Gorenstein log del Pezzo surface of degree  $d \geq 3$ , then there exists an irreducible curve  $C \in |-K_S|$  with a double point  $p$  lying in the smooth locus of  $S$ . After blowing up  $d - 3$  general points on  $S$ , it suffices to prove the claim when  $d = 3$ , in which case  $S$  is a nodal cubic surface in  $\mathbb{P}^3$  by [10, Theorem 4.4]. But then there are only finitely many lines on  $S$  whereas by dimension count there exists  $C \in |-K_X|$  that is singular at any given  $p \in S$ , hence the claim follows immediately. Using such  $C \in |-K_X|$  and take  $p = \text{Sing}(C)$ , we

have  $\sigma^*(-K_X) - 2E \sim C'$  where  $C'$  is the strict transform of  $C$  and  $(C'^2) = (K_X^2) - 4 \geq 0$ , hence  $C'$  is nef and  $\epsilon(-K_X, p) \geq n - 2$ .

It remains to show that all Fano varieties listed in the statement of Theorem 3 have only klt singularities. From the equations there we see that the singularities of  $X$  are always quotients of  $cA$ -type singularities that are étale in codimension 1 (hence are klt by [15, 1.42] and [16, Proposition 5.20]) except when  $X$  is a quartic hypersurface  $x_{n+1}^2 + x_n h = f$  in  $\mathbb{P}(1^n, 2^2)$  and  $x \in (x_n = x_{n+1} = 0) \cap X$  satisfies  $\text{mult}_x h = 2$  and  $\text{mult}_x f \geq 3$ . In the latter case, we may assume  $x = [1 : 0 : \dots : 0]$  and locally  $X$  is a double cover of  $\mathbb{C}^n$  ramified along  $D = (x_n h = f)$ . If  $h$  is not a perfect square, then the pair  $(\mathbb{C}^n, D)$  degenerates to  $(\mathbb{C}^n, D_0)$  where  $D_0 = (x_n h = 0)$  (consider the  $\mathbb{C}^*$ -action  $(x_1, \dots, x_n) \mapsto (t^2 x_1, \dots, t^2 x_{n-1}, t x_n)$  for  $t \neq 0$ ). Clearly  $(\mathbb{C}^n, \frac{1}{2} D_0)$  is klt, so it follows from adjunction that  $(\mathbb{C}^n, \frac{1}{2} D)$  is also klt which implies that  $X$  is klt by [16, Proposition 5.20]. If  $h$  is a perfect square, then by [16, page 168] we know that  $X$  is a cDV singularity which is klt as well.  $\square$

### 4 Seshadri constants below $n$

In this section, we prove Theorem 4 using the following examples.

*Example 22* Let  $X$  be the weighted projective space  $\mathbb{P}(1, a_1, \dots, a_n)$  where  $a_1 \leq \dots \leq a_n$  are positive integers satisfying  $\text{gcd}(a_1, \dots, a_n) = 1$ . Let  $p \in X$  be the smooth point with coordinate  $[1 : 0 : \dots : 0]$ . We claim that the Seshadri constant of  $-K_X$  at  $p$  is  $\epsilon(-K_X, p) = \frac{1}{a_n} (1 + \sum_{i=1}^n a_i)$ . As before let  $\sigma : \hat{X} \rightarrow X$  be the blowup of  $X$  at  $p$  and  $E$  the exceptional divisor. Since  $\hat{X}$  is a toric variety, the torus invariant divisor  $L_x = \sigma^*(-K_X) - xE$  is nef if and only if it has non-negative intersection number with all torus invariant lines, and as  $-K_X$  is ample on  $X$  and  $E$  has ample conormal bundle, it suffices to check  $(L_x \cdot l_i) \geq 0$  where  $l_i$  is the strict transform of the line on  $X$  joining  $p$  and the point whose only nonzero coordinate is at the  $i$ -th entry ( $i > 0$ ). It is straightforward to compute  $(L_x \cdot l_i) = \frac{1}{a_i} (1 + \sum_{i=1}^n a_i) - x$ , so  $\epsilon(-K_X, p) = \frac{1}{a_n} (1 + \sum_{i=1}^n a_i)$ . Taking  $a_1 = \dots = a_{m-1} = 1, a_m = r - m, a_{m+1} = \dots = a_n = s$  where  $1 \leq m < n$  and  $s \geq r > m$  we get  $\epsilon(-K_X, p) = n - m + \frac{r}{s}$ , hence the Seshadri constant  $\epsilon(-K_X, p)$  can be any rational number in the interval  $(1, n]$ .

*Example 23* More generally, let  $X$  be the weighted projective space  $\mathbb{P}(a_0, \dots, a_n)$  where  $a_0 \leq \dots \leq a_n$  have no common factor and  $p \in X$  a smooth point on the line  $l : x_2 = \dots = x_n = 0$  (such  $p$  exists exactly when  $\text{gcd}(a_0, a_1) = 1$ ). We claim that  $\epsilon(-K_X, p)$  is the smaller one of  $\frac{1}{a_n} \sum_{i=0}^n a_i$  and  $\frac{1}{a_0 a_1} \sum_{i=0}^n a_i$ . Indeed, since  $X$  is toric and  $p$  is invariant under an  $(n - 1)$ -dimensional subtorus  $T$ , the Mori cone of  $\hat{X} = \text{Bl}_p X$  is generated by a line in  $E$  and the strict transform  $\hat{C}$  of a curve  $C \subseteq X$  containing  $p$  that is invariant under the action of  $T$ . Hence  $C$  is the line joining  $p$  and a  $T$ -invariant point. For  $D = \sigma^*(-K_X) - \delta E$ , we have  $(D \cdot \hat{C}) = \frac{1}{a_0 a_1} \sum_{i=0}^n a_i - \delta$  if  $C = l$ , otherwise  $(D \cdot \hat{C}) = \frac{1}{a_j} \sum_{i=0}^n a_i - \delta$  for some  $j$ . The claim then follows by setting  $(D \cdot \hat{C}) \geq 0$ . Taking  $a_0 = s - 1, a_1 = \dots = a_{n-1} = s, a_n = (r - 1)(s - 1) - (n - 1)s$  with  $s \geq r \gg 0$  we get  $\epsilon(-K_X, p) = \frac{r}{s}$ , hence the Seshadri constant  $\epsilon(-K_X, p)$  can be any rational number in the interval  $(0, 1]$  as well.

*Remark 24* As the previous examples give some possible values of  $\epsilon(-K_X, p)$ , it is natural to ask whether these are all possible values. When  $\epsilon(-K_X, p) \geq n - 1$ , the Rationality Theorem [16, Theorem 3.5] implies that  $\epsilon(-K_X, p)$  is necessarily a rational number. When  $\epsilon(-K_X, p) < n - 1$ , it is not clear to us whether  $\epsilon(-K_X, p)$  is rational, although there are no known examples of irrational Seshadri constants according to [17, Remark 5.1.13].

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