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# Automorphism groups of compact complex supermanifolds

Hannah Bergner<sup>1</sup> · Matthias Kalus<sup>2</sup>

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Abstract Let  $\mathcal{M}$  be a compact complex supermanifold. We prove that the set  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  of automorphisms of  $\mathcal{M}$  can be endowed with the structure of a complex Lie group acting holomorphically on  $\mathcal{M}$ , so that its Lie algebra is isomorphic to the Lie algebra of even holomorphic super vector fields on  $\mathcal{M}$ . Moreover, we prove the existence of a complex Lie supergroup  $\operatorname{Aut}(\mathcal{M})$  acting holomorphically on  $\mathcal{M}$  and satisfying a universal property. Its underlying Lie group is  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  and its Lie superalgebra is the Lie superalgebra of holomorphic super vector fields on  $\mathcal{M}$ . This generalizes the classical theorem by Bochner and Montgomery that the automorphism group of a compact complex manifold is a complex Lie group. Some examples of automorphism groups of complex supermanifolds over  $\mathbb{P}_1(\mathbb{C})$  are provided.

Keywords Compact complex supermanifold · Automorphism group

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# **1** Introduction

The automorphism group of a compact complex manifold M carries the structure of a complex Lie group which acts holomorphically on M and whose Lie algebra consists of the

Matthias Kalus Matthias.Kalus@rub.de

> Hannah Bergner Hannah.Bergner@math.uni-freiburg.de

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<sup>&</sup>lt;sup>1</sup> Mathematisches Institut, Albert-Ludwigs-Universität Freiburg, Eckerstr. 1, 79104 Freiburg, Germany

<sup>&</sup>lt;sup>2</sup> Fakultät für Mathematik, Ruhr-Universität Bochum, Universitätsstr. 150, 44780 Bochum, Germany

holomorphic vector fields on M (see [6]). In this article, we investigate how this result can be extended to the category of compact complex supermanifolds.

Let  $\mathcal{M}$  be a compact complex supermanifold, i.e. a complex supermanifold whose underlying manifold is compact. An automorphism of  $\mathcal{M}$  is a biholomorphic morphism  $\mathcal{M} \to \mathcal{M}$ . A first candidate for the automorphism group of such a supermanifold is the set of automorphisms, which we denote by  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$ . However, every automorphism  $\varphi$  of a supermanifold  $\mathcal{M}$  (with structure sheaf  $\mathcal{O}_{\mathcal{M}}$ ) is "even" in the sense that its pullback  $\varphi^* : \mathcal{O}_{\mathcal{M}} \to \tilde{\varphi}_*(\mathcal{O}_{\mathcal{M}})$  is a parity-preserving morphism. Therefore, we can (at most) expect this set of automorphisms of  $\mathcal{M}$  to carry the structure of a classical Lie group if we require its action on  $\mathcal{M}$  to be smooth or holomorphic. We cannot obtain a Lie supergroup of positive odd dimension.

We prove that the group  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$ , endowed with an analogue of the compact-open topology, carries the structure of a complex Lie group such that the action on  $\mathcal{M}$  is holomorphic and its Lie algebra is the Lie algebra of even holomorphic super vector fields on  $\mathcal{M}$ . It should be noted that the group  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is in general different from the group  $\operatorname{Aut}(\mathcal{M})$  of automorphisms of the underlying manifold  $\mathcal{M}$ . There is a group homomorphism  $\operatorname{Aut}_{\bar{0}}(\mathcal{M}) \to \operatorname{Aut}(\mathcal{M})$ given by assigning the underlying map to an automorphism of the supermanifold; this group homomorphism is in general neither injective nor surjective.

We define the automorphism group of a compact complex supermanifold  $\mathcal{M}$  to be a complex Lie supergroup which acts holomorphically on  $\mathcal{M}$  and satisfies a universal property. In analogy to the classical case, its Lie superalgebra is the Lie superalgebra of holomorphic super vector fields on  $\mathcal{M}$ , and the underlying Lie group is  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$ , the group of automorphisms of  $\mathcal{M}$ . Using the equivalence of complex Harish-Chandra pairs and complex Lie supergroups (see [24]), we construct the appropriate automorphism Lie supergroup of  $\mathcal{M}$ .

More precisely, the outline of this article is the following: First, we introduce a topology on the set  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  of automorphisms on a compact complex supermanifold  $\mathcal{M}$  (cf. Sect. 3). This topology is an analogue of the compact-open topology in the classical case, which coincides in the case of a compact complex manifold with the topology of uniform convergence. We prove that the topological space  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  with composition and inversion of automorphisms as group operations is a locally compact topological group which satisfies the second axiom of countability.

In Sect. 4, the non-existence of small subgroups of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is proven, which means that there exists a neighbourhood of the identity in  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  with the property that this neighbourhood does not contain any non-trivial subgroup. A result on the existence of Lie group structures on locally compact topological groups without small subgroups (see [25]) then implies that  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  carries the structure of a real Lie group.

In the case of a split compact complex supermanifold  $\mathcal{M}$ , the fact that  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  carries the structure of a Lie group follows more easily as described in Remark 8. In this case it can be proven that  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is the semi-direct product of a finite-dimensional vector space and the automorphism group of the vector bundle corresponding to  $\mathcal{M}$ , which is by [17] a complex Lie group.

Then, continuous one-parameter subgroups of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  and their action on the supermanifold  $\mathcal{M}$  are studied (see Sect. 5). This is done in order to obtain results on the regularity of the  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$ -action on  $\mathcal{M}$  and characterize the Lie algebra of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$ . We prove that the action of each continuous one-parameter subgroup of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  on  $\mathcal{M}$  is analytic. As a corollary we get that the Lie algebra of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is isomorphic to the Lie algebra  $\operatorname{Vec}_{\bar{0}}(\mathcal{M})$  of even holomorphic super vector fields on  $\mathcal{M}$ , and  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  carries the structure of a complex Lie group so that its natural action on  $\mathcal{M}$  is holomorphic.

Next, we show that the Lie superalgebra  $Vec(\mathcal{M})$  of holomorphic super vector fields on a compact complex supermanifold  $\mathcal{M}$  is finite-dimensional (see Sect. 6). Since  $Aut_{\bar{0}}(\mathcal{M})$ 

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carries the structure of a complex Lie group, we already know that  $\operatorname{Vec}_{\bar{0}}(\mathcal{M})$ , the even part of  $\operatorname{Vec}(\mathcal{M})$ , is finite-dimensional. The key point in the proof in the case of a split supermanifold  $\mathcal{M}$  is that the tangent sheaf of  $\mathcal{M}$  is a coherent sheaf of  $\mathcal{O}_M$ -modules on the compact complex manifold  $\mathcal{M}$ , where  $\mathcal{O}_M$  is the sheaf of holomorphic functions on  $\mathcal{M}$ .

Let  $\alpha$  denote the action of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  on the Lie superalgebra  $\operatorname{Vec}(\mathcal{M})$  by conjugation:  $\alpha(\varphi)(X) = \varphi_*(X) = (\varphi^{-1})^* \circ X \circ \varphi^*$  for  $\varphi \in \operatorname{Aut}_{\bar{0}}(\mathcal{M}), X \in \operatorname{Vec}(\mathcal{M})$ . The restriction of this representation  $\alpha$  to  $\operatorname{Vec}_{\bar{0}}(\mathcal{M})$ , the even part of the Lie superalgebra  $\operatorname{Vec}(\mathcal{M})$ , coincides with the adjoint action of the Lie group  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  on its Lie algebra, which is isomorphic to  $\operatorname{Vec}_{\bar{0}}(\mathcal{M})$ . Hence  $\alpha$  defines a Harish-Chandra pair ( $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$ ,  $\operatorname{Vec}(\mathcal{M})$ ). The equivalence between Harish-Chandra pairs and complex Lie supergroups allows us to define the automorphism Lie supergroup of a compact complex supermanifold as follows (see Definition 2):

**Definition** (Automorphism Lie supergroup) Define the automorphism group Aut( $\mathcal{M}$ ) of a compact complex supermanifold to be the unique complex Lie supergroup associated with the Harish-Chandra pair (Aut<sub>0</sub>( $\mathcal{M}$ ), Vec( $\mathcal{M}$ )) with representation  $\alpha$ .

The natural action of the automorphism Lie supergroup Aut( $\mathcal{M}$ ) on  $\mathcal{M}$  is holomorphic, i.e. we have a morphism  $\Psi$ : Aut( $\mathcal{M}$ )  $\times \mathcal{M} \to \mathcal{M}$  of complex supermanifolds. The automorphism Lie supergroup Aut( $\mathcal{M}$ ) satisfies the following universal property (see Theorem 22):

**Theorem** If  $\mathcal{G}$  is a complex Lie supergroup with a holomorphic action  $\Psi_{\mathcal{G}} : \mathcal{G} \times \mathcal{M} \to \mathcal{M}$ on  $\mathcal{M}$ , then there is a unique morphism  $\sigma : \mathcal{G} \to \operatorname{Aut}(\mathcal{M})$  of Lie supergroups such that the diagram



is commutative.

The automorphism Lie supergroup of a compact complex supermanifold is the unique complex Lie supergroup satisfying the preceding universal property.

Using the "functor of points" approach to supermanifolds, an alternative definition of the automorphism group as a functor in analogy to [20,22] is possible, which is studied in Sect. 8. If  $\mathcal{M}$  is a compact complex supermanifold, this functor from the category of supermanifolds to the category of sets can be defined by the assignment

 $\mathcal{N} \mapsto \{\varphi : \mathcal{N} \times \mathcal{M} \to \mathcal{N} \times \mathcal{M} \mid \varphi \text{ is invertible, and } \mathrm{pr}_{\mathcal{N}} \circ \varphi = \mathrm{pr}_{\mathcal{N}} \},\$ 

where  $pr_{\mathcal{N}} : \mathcal{N} \times \mathcal{M} \to \mathcal{N}$  denotes the projection onto the first component. The two approaches to the automorphism group are equivalent and the constructed automorphism group  $Aut(\mathcal{M})$  represents the just defined functor.

In the classical case, another class of complex manifolds where the automorphism group carries the structure of a Lie group is given by the bounded domains in  $\mathbb{C}^m$  (see [8]). An analogue statement is false in the case of supermanifolds. In Sect. 9, we give an example showing that in the case of a complex supermanifold  $\mathcal{M}$  whose underlying manifold is a bounded domain in  $\mathbb{C}^m$  there does in general not exist a Lie supergroup acting on  $\mathcal{M}$  and satisfying the universal property of the preceding theorem.

In Sect. 10, the automorphism group Aut( $\mathcal{M}$ ) or its underlying Lie group Aut<sub>0</sub>( $\mathcal{M}$ ) are determined for some supermanifolds  $\mathcal{M}$  with underlying manifold  $M = \mathbb{P}_1 \mathbb{C}$ .

#### 2 Preliminaries and notation

Throughout, we work with the "Berezin-Leĭtes-Kostant-approach" to supermanifolds (cf. [1,15,16]). If a supermanifold is denoted by a calligraphic letter  $\mathcal{M}$ , then we denote the underlying manifold by the corresponding uppercase standard letter  $\mathcal{M}$ , and the structure sheaf by  $\mathcal{O}_{\mathcal{M}}$ . We call a supermanifold  $\mathcal{M}$  compact if its underlying manifold  $\mathcal{M}$  is compact. By a complex supermanifold we mean a supermanifold  $\mathcal{M}$  with structure sheaf  $\mathcal{O}_{\mathcal{M}}$  which is locally, on small enough open subsets  $U \subset \mathcal{M}$ , isomorphic to  $\mathcal{O}_U \otimes \bigwedge \mathbb{C}^n$ , where  $\mathcal{O}_U$  denotes the sheaf of holomorphic functions on U. For a morphism  $\varphi : \mathcal{M} \to \mathcal{N}$  between supermanifolds  $\mathcal{M}$  and  $\mathcal{N}$ , the underlying map  $\mathcal{M} \to \mathcal{N}$  is denoted by  $\tilde{\varphi}$  and its pullback by  $\varphi^* : \mathcal{O}_{\mathcal{N}} \to \tilde{\varphi}_* \mathcal{O}_{\mathcal{M}}$ . An automorphism of a complex supermanifold  $\mathcal{M}$  is a biholomorphic morphism  $\mathcal{M} \to \mathcal{M}$ , i.e. an invertible morphism in the category of complex supermanifolds.

Let *E* be a vector bundle on a complex manifold *M* and *E* its sheaf of sections. Then we can associate a supermanifold  $\mathcal{M} = (M, \mathcal{O}_{\mathcal{M}})$  by setting  $\mathcal{O}_{\mathcal{M}} = \bigwedge \mathcal{E}$ , which has a natural  $\mathbb{Z}$ -grading (and hence a  $\mathbb{Z}/2\mathbb{Z}$ -grading). Split supermanifolds are supermanifolds  $\mathcal{M}$  such that there is a vector bundle on *M* with sheaf of sections  $\mathcal{E}$  such that  $\mathcal{M} \cong (M, \bigwedge \mathcal{E})$ . If *E* is e.g. the trivial bundle of rank *n* on  $M = \mathbb{C}^m$ , then we get the supermanifold  $\mathbb{C}^{m|n} = (\mathbb{C}^m, \bigwedge \mathcal{E}) = (\mathbb{C}^m, \mathcal{O}_{\mathbb{C}^m} \otimes \bigwedge \mathbb{C}^n)$ .

For a complex supermanifold  $\mathcal{M}$ , let  $\mathcal{T}_{\mathcal{M}}$  denote the tangent sheaf of  $\mathcal{M}$ . The Lie superalgebra of holomorphic vector fields on  $\mathcal{M}$  is  $\operatorname{Vec}(\mathcal{M}) = \mathcal{T}_{\mathcal{M}}(\mathcal{M})$ , it consists of the subspace  $\operatorname{Vec}_{\bar{0}}(\mathcal{M})$  of even and the subspace  $\operatorname{Vec}_{\bar{1}}(\mathcal{M})$  of odd super vector fields on  $\mathcal{M}$ .

Let  $\mathcal{M}$  be a complex supermanifold of dimension (m|n), and let  $\mathcal{I}_{\mathcal{M}}$  be the subsheaf of ideals generated by the odd elements in the structure sheaf  $\mathcal{O}_{\mathcal{M}}$  of a supermanifold  $\mathcal{M}$ . As described in [19], we have the filtration

$$\mathcal{O}_{\mathcal{M}} = (\mathcal{I}_{\mathcal{M}})^0 \supset (\mathcal{I}_{\mathcal{M}})^1 \supset (\mathcal{I}_{\mathcal{M}})^2 \supset \cdots \supset (\mathcal{I}_{\mathcal{M}})^{n+1} = 0$$

of the structure sheaf  $\mathcal{O}_{\mathcal{M}}$  by the powers of  $\mathcal{I}_{\mathcal{M}}$ . Define the quotient sheaves  $\operatorname{gr}_k(\mathcal{O}_{\mathcal{M}}) = (\mathcal{I}_{\mathcal{M}})^k / (\mathcal{I}_{\mathcal{M}})^{k+1}$ . This gives rise to the  $\mathbb{Z}$ -graded sheaf  $\operatorname{gr} \mathcal{O}_{\mathcal{M}} = \bigoplus_k \operatorname{gr}_k(\mathcal{O}_{\mathcal{M}})$ . Furthermore,  $\operatorname{gr} \mathcal{M} = (\mathcal{M}, \operatorname{gr} \mathcal{O}_{\mathcal{M}})$  is a split complex supermanifold of the same dimension as  $\mathcal{M}$ .

Note that  $\mathcal{E} := \operatorname{gr}_1(\mathcal{O}_{\mathcal{M}})$  defines a vector bundle E on M. An automorphism  $\varphi$  of  $\mathcal{M}$  yields a pullback  $\varphi^*$  on  $\mathcal{O}_{\mathcal{M}}$ . Following [10], its reduction to the  $\mathcal{O}_M$ -module E yields a morphism of vector bundles  $\varphi_0 \in \operatorname{Aut}(E)$  over the reduction  $\tilde{\varphi} \in \operatorname{Aut}(M)$ . By [17] the automorphism group of a principal fibre bundle over a compact complex manifold carries the structure of a complex Lie group. Since every automorphism of a vector bundle canonically induces an automorphism of the associated principal fibre bundle and vice versa, the automorphism group of the associated principal fibre bundle and  $\operatorname{Aut}(E)$  may be identified. Moreover, this identification also respects the topology of compact convergence on both groups. Hence, the group  $\operatorname{Aut}(E)$  also carries the structure of a complex Lie group. On local coordinate domains U, V with  $\tilde{\varphi}(U) \subset V$  we can identify  $\mathcal{O}_{\mathcal{M}}|_V \cong \Gamma_{AE}|_V$  and  $\mathcal{O}_{\mathcal{M}}|_U \cong \Gamma_{AE}|_U$ and following [21] decompose  $\varphi^* = \varphi_0^* \exp(Y)$  with  $\mathbb{Z}$ -degree preserving automorphism  $\varphi_0^*: \Gamma_{AE}|_V \to \Gamma_{AE}|_U$  induced by  $\varphi_0$  and where Y is an even super derivation on  $\Gamma_{AE}|_V$ increasing the  $\mathbb{Z}$ -degree by 2 or more. Note that the exponential series  $\exp(Y)$  is finite since Y is nilpotent.

More generally, there is a relation between nilpotent even super vector fields on a supermanifold and morphisms of this supermanifold satisfying a certain nilpotency condition. This is a direct consequence of a technical result on the relation of algebra homomorphisms and derivations (cf. [23], Proposition 2.1.3 and Lemma 2.1.4). If  $\varphi : \mathcal{M} \to \mathcal{M}$  is a morphism of supermanifolds with underlying map  $\tilde{\varphi} = \mathrm{id}_M$  and such that  $\varphi^* - \mathrm{id}_M^* : \mathcal{O}_M \to \mathcal{O}_M$  is nilpotent, i.e. there is  $N \in \mathbb{N}$  with  $(\varphi^* - \mathrm{id}_M^*)^N = 0$ , then

$$X = \log(\varphi^*) = \sum_{n=1}^{N} \frac{(-1)^{n+1}}{n} (\varphi^* - \mathrm{id}_{\mathcal{M}}^*)^n$$

is a nilpotent even super vector field on  $\mathcal{M}$  and we have

$$\varphi^* = \exp(X) = \sum_{n \ge 0} \frac{1}{n!} X^n.$$

Furthermore, for any nilpotent even super vector fifeld X on  $\mathcal{M}$ , the (finite) sum exp(X) defines a map  $\mathcal{O}_{\mathcal{M}} \to \mathcal{O}_{\mathcal{M}}$  which is the pullback of an invertible morphism  $\mathcal{M} \to \mathcal{M}$  with the identity as underlying map, and the pullback of the inverse is exp(-X).

#### **3** The topology on the group of automorphisms

Let  $\mathcal{M}$  be a compact complex supermanifold. An automorphism of  $\mathcal{M}$  is a biholomorphic morphism  $\varphi : \mathcal{M} \to \mathcal{M}$ . Denote by  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  the set of automorphisms of  $\mathcal{M}$ .

In this section, a topology on  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is introduced, which generalizes the compactopen topology and topology of compact convergence of the classical case. Then we show that  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is a locally compact topological group with respect to this topology.

Let  $K \subseteq M$  be a compact subset such that there are local odd coordinates  $\theta_1, \ldots, \theta_n$  for  $\mathcal{M}$  on an open neighbourhood of K. Moreover, let  $U \subseteq M$  be open and  $f \in \mathcal{O}_{\mathcal{M}}(U)$ , and let  $U_{\nu}$  be open subsets of  $\mathbb{C}$  for  $\nu \in (\mathbb{Z}_2)^n$ . Let  $\varphi : \mathcal{M} \to \mathcal{M}$  be an automorphism with  $\tilde{\varphi}(K) \subseteq U$ . Then there are holomorphic functions  $\varphi_{f,\nu}$  on a neighbourhood of K such that

$$\varphi^*(f) = \sum_{\nu \in (\mathbb{Z}_2)^n} \varphi_{f,\nu} \theta^{\nu}.$$

Let

$$\Delta(K, U, f, \theta_i, U_\nu) = \{ \varphi \in \operatorname{Aut}_{\bar{0}}(\mathcal{M}) | \tilde{\varphi}(K) \subseteq U, \varphi_{f,\nu}(K) \subseteq U_\nu \}$$

and endow Aut<sub>0</sub>( $\mathcal{M}$ ) with the topology generated by sets of this form, i.e. the sets of the form  $\Delta(K, U, f, \theta_j, U_\nu)$  form a subbase of the topology.

For any open subset  $U \subseteq M$  such that there exist coordinates for  $\mathcal{M}$  on U, fix a set of coordinates functions  $f_1^U, \ldots, f_{m+n}^U \in \mathcal{O}_{\mathcal{M}}(U)$ . Using Taylor expansion one can show that the sets of the form  $\Delta(K, U, f_l^U, \theta_j, U_v)$  then also form a subbase of the topology.

*Remark 1* In particular, the subsets of the form

$$\Delta(K, U) = \{ \varphi \in \operatorname{Aut}_{\bar{0}}(\mathcal{M}) | \, \tilde{\varphi}(K) \subseteq U \}$$

are open for  $K \subseteq M$  compact and  $U \subseteq M$  open. Hence the map  $\operatorname{Aut}_{\bar{0}}(\mathcal{M}) \to \operatorname{Aut}(M)$ , associating with an automorphism  $\varphi$  of  $\mathcal{M}$  the underlying automorphism  $\tilde{\varphi}$  of M, is continuous.

*Remark 2* The group  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  endowed with the above topology is a second-countable Hausdorff space since *M* is second-countable.

Let  $U \subseteq M$  be open. Then we can define a topology on  $\mathcal{O}_{\mathcal{M}}(U)$  as follows: If  $K \subseteq U$ is compact such that there exist odd coordinates  $\theta_1, \ldots, \theta_n$  on a neighbourhood of K, write  $f \in \mathcal{O}_{\mathcal{M}}(U)$  on K as  $f = \sum_{\nu} f_{\nu} \theta^{\nu}$ . Let  $U_{\nu} \subseteq \mathbb{C}$  be open subsets. Then define a topology on  $\mathcal{O}_{\mathcal{M}}(U)$  by requiring that the sets of the form  $\{f \in \mathcal{O}_{\mathcal{M}}(U) | f_{\nu}(K) \subseteq U_{\nu}\}$  are a subbase of the topology. A sequence of functions  $f_k$  converges to f if and only if in all local coordinate domains with odd coordinates  $\theta_1, \ldots, \theta_n$  and  $f_k = \sum_{\nu} f_{k,\nu}\theta^{\nu}$ ,  $f = \sum_{\nu} f_{\nu}\theta^{\nu}$ , the coefficient functions  $f_{k,\nu}$  converge uniformly to  $f_{\nu}$  on compact subsets. Note that for any open subsets  $U_1, U_2 \subseteq M$  with  $U_1 \subset U_2$  the restriction map  $\mathcal{O}_{\mathcal{M}}(U_2) \to \mathcal{O}_{\mathcal{M}}(U_1)$ ,  $f \mapsto f|_{U_1}$ , is continuous.

Using Taylor expansion (in local coordinates) of automorphisms of  $\mathcal{M}$  we can deduce the following lemma:

**Lemma 3** A sequence of automorphisms  $\varphi_k : \mathcal{M} \to \mathcal{M}$  converges to an automorphism  $\varphi : \mathcal{M} \to \mathcal{M}$  with respect to the topology of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  if and only if the following condition is satisfied: For all  $U, V \subseteq M$  open subsets of M such that V contains the closure of  $\tilde{\varphi}(U)$ , there is an  $N \in \mathbb{N}$  such that  $\tilde{\varphi}_k(U) \subseteq V$  for all  $k \geq N$ . Furthermore, for any  $f \in \mathcal{O}_{\mathcal{M}}(V)$  the sequence  $(\varphi_k)^*(f)$  converges to  $\varphi^*(f)$  on U in the topology of  $\mathcal{O}_{\mathcal{M}}(U)$ .

**Lemma 4** If  $U, V \subseteq M$  are open subsets,  $K \subseteq M$  is compact with  $V \subseteq K$ , then the map

$$\Delta(K, U) \times \mathcal{O}_{\mathcal{M}}(U) \to \mathcal{O}_{\mathcal{M}}(V), \ (\varphi, f) \mapsto \varphi^*(f)$$

is continuous.

*Proof* Let  $\varphi_k \in \Delta(K, U)$  be a sequence of automorphisms of  $\mathcal{M}$  converging to  $\varphi \in \Delta(K, U)$ , and  $f_l \in \mathcal{O}_{\mathcal{M}}(U)$  a sequence converging to  $f \in \mathcal{O}_{\mathcal{M}}(U)$ . Choosing appropriate local coordinates and using Taylor expansion of the pullbacks  $(\varphi_k)^*(f_l)$ , it can be shown that  $(\varphi_k)^*(f_l)$  converges to  $\varphi^*(f)$  as  $k, l \to \infty$ . This uses that the derivatives of a sequence of uniformly converging holomorphic functions also uniformly converge.

**Lemma 5** The topological space  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is locally compact.

The following remark about invertible morphisms is useful for the proof of this lemma.

*Remark 6* (See e.g. Proposition 2.15.1 in [15] or Corollary 2.3.3 in [16]) Let  $\mathcal{M}$  be a complex supermanifold and  $\varphi : \mathcal{M} \to \mathcal{M}$  any morphism. Let  $\xi_1, \ldots, \xi_n$  and  $\theta_1, \ldots, \theta_n$  be local odd coordinates for  $\mathcal{M}$ , and superfunctions  $\varphi_{j,k}, \varphi_{j,v}$  such that  $\varphi^*(\xi_j) = \sum_{k=1}^n \varphi_{j,k}\theta_k + \sum_{||v||\geq 3} \varphi_{j,v}\theta^v$ , where  $||v|| = ||(v_1, \ldots, v_n)|| = v_1 + \cdots + v_n \geq 3$ . Then  $\varphi$  is locally biholomorphic if and only if the underlying map  $\tilde{\varphi}$  is locally biholomorphic and det  $((\varphi_{j,k}(y))_{1\leq j,k\leq n}) \neq 0$ . The morphism  $\varphi$  is hence invertible if it is everywhere locally biholomorphic.

*Proof (of Lemma 5)* Let  $\psi \in \operatorname{Aut}_{\bar{0}}(\mathcal{M})$ . For each fixed  $x \in M$  there are open neighbourhoods  $V_x$  and  $U_x$  of x and  $\tilde{\psi}(x)$  respectively such that  $\tilde{\psi}(K_x) \subseteq U_x$  for  $K_x := \overline{V}_x$ . We may additionally assume that there are local odd coordinates  $\xi_1, \ldots, \xi_n$  for  $\mathcal{M}$  on  $U_x$ , and  $\theta_1, \ldots, \theta_n$  local odd coordinates on an open neighbourhood of  $K_x$ . For any automorphism  $\varphi : \mathcal{M} \to \mathcal{M}$  with  $\tilde{\varphi}(K_x) \subseteq U_x$ , let  $\varphi_{j,k}, \varphi_{j,\nu}$  (for  $||\nu|| = ||(\nu_1, \ldots, \nu_n)|| = \nu_1 + \cdots + \nu_n \geq$ 3) be local holomorphic functions such that

$$\varphi^*(\xi_j) = \sum_{k=1}^n \varphi_{j,k} \theta_k + \sum_{||\nu|| \ge 3} \varphi_{j,\nu} \theta^{\nu}.$$

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Choose bounded open subsets  $U_{j,k}$ ,  $U_{j,\nu} \subset \mathbb{C}$ , such that  $\psi_{j,k}(x) \in U_{j,k}$  and  $\psi_{j,\nu}(x) \in U_{j,\nu}$ . Since  $\psi$  is an automorphism, we have

$$\det\left((\psi_{j,k}(\mathbf{y}))_{1\leq j,k\leq n}\right)\neq 0$$

for all  $y \in K_x$  by Remark 6. For later considerations shrink  $U_{j,k}$  such that  $\det(C) \neq 0$  for all  $C = (c_{j,k})_{1 \leq j,k \leq n}$  with  $c_{j,k} \in U_{j,k}$ . After shrinking  $V_x$  we may assume  $\psi_{j,k}(K_x) \subseteq U_{j,k}$  and  $\psi_{j,\nu}(K_x) \subseteq U_{j,\nu}$ . Hence  $\psi$  is contained in the set  $\Theta(x) = \{\varphi \in \operatorname{Aut}_{\bar{0}}(\mathcal{M}) | \tilde{\varphi}(K_x) \subseteq \overline{U}_{x}, \varphi_{j,k}(K_x) \subseteq \overline{U}_{j,k}, \varphi_{j,\nu}(K_x) \subseteq \overline{U}_{j,\nu}\}$ , which contains an open neighbourhood of  $\psi$ . Since M is compact, M is covered by finitely many of the sets  $V_x$ , say  $V_{x_1}, \ldots, V_{x_l}$ . Then  $\psi$  is contained in  $\Theta = \Theta(x_1) \cap \cdots \cap \Theta(x_l)$ . We will now prove that  $\Theta$  is sequentially compact: Let  $\varphi_k$  be any sequence of automorphisms contained in  $\Theta$ . Then, using Montel's theorem and passing to a subsequence, the sequence  $\varphi_k$  converges to a morphism  $\varphi : \mathcal{M} \to \mathcal{M}$ . It remains to show that  $\varphi$  is an automorphism of  $\mathcal{M}$ .

The underlying map  $\tilde{\varphi} : M \to M$  is surjective since if  $p \notin \tilde{\varphi}(M)$ , then  $\varphi \in \Delta(M, M \setminus \{p\})$ and therefore  $\varphi_k \in \Delta(M, M \setminus \{p\})$  for *k* large enough which contradicts the assumption that  $\varphi_k$  is an automorphism. This also implies that there is an  $x \in M$  such that the differential  $D\tilde{\varphi}(x)$  is invertible. Using Hurwitz's theorem (see e.g. [18], p. 80) it follows  $\det(D\tilde{\varphi}(x)) \neq 0$ for all  $x \in M$ . Thus  $\tilde{\varphi}$  is locally biholomorphic. Moreover,  $\varphi$  is locally invertible due to the special form of the sets  $\Theta(x_i)$ .

In order check that  $\tilde{\varphi}$  is injective, let  $p_1, p_2 \in M$ ,  $p_1 \neq p_2$ , such that  $q = \tilde{\varphi}(p_1) = \tilde{\varphi}(p_2)$ . Let  $\Omega_j, j = 1, 2$ , be open neighbourhoods of  $p_j$  with  $\Omega_1 \cap \Omega_2 = \emptyset$ . By [18], p. 79, Proposition 5, there exists  $k_0$  with the property that  $q \in \tilde{\varphi}_k(\Omega_1)$  and  $q \in \tilde{\varphi}_k(\Omega_2)$  for all  $k \geq k_0$ . The bijectivity of the  $\varphi_k$ 's now yields a contradiction to  $\Omega_1 \cap \Omega_2 = \emptyset$ .

**Proposition 7** The set  $Aut_{\bar{0}}(\mathcal{M})$  is a topological group with respect to composition and inversion of automorphisms.

*Proof* Let  $\varphi_k$  and  $\psi_l$  be two sequences of automorphisms of  $\mathcal{M}$  converging to  $\varphi$  and  $\psi$  respectively. By the classical theory,  $\tilde{\varphi_k} \circ \tilde{\psi_l}$  converges to  $\tilde{\varphi} \circ \tilde{\psi}$ , and  $\tilde{\varphi_k}^{-1}$  to  $\tilde{\varphi}^{-1}$ .

Let  $U, V, W \subseteq M$  be open subsets with  $\tilde{\varphi}(V) \subseteq W, \tilde{\varphi}_k(V) \subseteq W, \tilde{\psi}(U) \subseteq V, \tilde{\psi}_l(U) \subseteq V$ , for k and l sufficiently large and let  $f \in \mathcal{O}_{\mathcal{M}}(W)$ . Then the sequence  $(\varphi_k)^*(f) \in \mathcal{O}_{\mathcal{M}}(V)$  converges to  $\varphi^*(f)$  on V, and by Lemma 4  $(\varphi_k \circ \psi_l)^*(f) = (\psi_l)^*((\varphi_k)^*(f))$  converges to  $\psi^*(\varphi^*(f)) = (\varphi \circ \psi)^*(f)$  on U as  $k, l \to \infty$ , which shows that the multiplication is continuous.

Consider now the inversion map  $\operatorname{Aut}_{\bar{0}}(\mathcal{M}) \to \operatorname{Aut}_{\bar{0}}(\mathcal{M}), \varphi \mapsto \varphi^{-1}$ . Let  $\varphi_k$  be a sequence in  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  converging to  $\varphi \in \operatorname{Aut}_{\bar{0}}(\mathcal{M})$ . Note that since the automorphism group  $\operatorname{Aut}(M)$  of the underlying manifold M is a topological group, the inversion map  $\operatorname{Aut}(M) \to \operatorname{Aut}(M)$  is continuous. For any choice of local coordinate charts on  $U, V \subseteq M$  such that the closure of  $\tilde{\varphi}^{-1}(U)$  is contained in V we can conclude: Since  $\tilde{\varphi}_k^{-1}$  converges to  $\tilde{\varphi}^{-1}$ , we have  $\tilde{\varphi}_k^{-1}(U) \subseteq V$  for k sufficiently large. Identify  $\mathcal{O}_{\mathcal{M}}(U) \cong \Gamma_{AE}(U)$ , resp.  $\mathcal{O}_{\mathcal{M}}(V) \cong \Gamma_{AE}(V)$  and decompose  $\varphi^* = \varphi_0^* \exp(Y), \varphi_k^* = \varphi_{k,0}^* \exp(Y_k)$  as in Section 2. Note that  $\varphi_0^*$  is induced by an automorphism  $\varphi_0$  of the vector bundle E. We can verify by an observation in local coordinates that the map  $\operatorname{Aut}_{\bar{0}}(\mathcal{M}) \to \operatorname{Aut}(E), \varphi \mapsto \varphi_0$ , is continuous. Hence, the sequence  $\varphi_{k,0}$  converges to  $\varphi_0^{-1}$  converges to  $(\varphi_0^{-1})^*$ . Due to the finiteness of the logarithm and exponential series on nilpotent elements,  $Y_k$  converges to Y. Hence,  $(\varphi_k^{-1})^* = \exp(-Y_k)(\varphi_{k,0}^*)^{-1}$  converges to  $\exp(-Y)(\varphi_0^*)^{-1} = (\varphi^*)^{-1}$ .

*Remark* 8 Let  $\mathcal{M}$  be a split supermanifold and let  $E \to M$  be a vector bundle with associated sheaf of sections  $\mathcal{E}$  such that the structure sheaf  $\mathcal{O}_{\mathcal{M}}$  is isomorphic to  $\bigwedge \mathcal{E}$ . By [17] the group of

automorphisms Aut(*E*) of the vector bundle *E* is a complex Lie group. Each automorphism  $\varphi$  of the supermanifold  $\mathcal{M}$  induces an automorphism  $\varphi_0$  of the vector bundle *E* over the underlying map  $\tilde{\varphi}$  of  $\varphi$ , and the map  $\pi$  : Aut<sub>0</sub>( $\mathcal{M}$ )  $\rightarrow$  Aut(*E*),  $\varphi \mapsto \varphi_0$ , is continuous. An automorphism of the bundle *E* lifts to an automorphism of the supermanifold  $\mathcal{M}$  if we fix a splitting  $\mathcal{O}_{\mathcal{M}} \cong \bigwedge \mathcal{E}$ . If  $\chi : E \to E$  is an automorphism with pullback  $\chi^*$  we define an automorphism of  $\mathcal{M}$  by the pullback  $f_1 \wedge \ldots \wedge f_k \mapsto \chi^*(f_1) \wedge \ldots \wedge \chi^*(f_k)$  for  $f_1 \wedge \ldots \wedge f_k \in \bigwedge^k \mathcal{E}$ . This assignment defines a section of  $\pi$ . In particular,  $\pi$  is surjective and we have an exact sequence

$$0 \rightarrow \ker \pi \rightarrow \operatorname{Aut}_{\bar{0}}(\mathcal{M}) \rightarrow \operatorname{Aut}(E) \rightarrow 0,$$

which splits. Consequently, the topological group  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is a semidirect product

$$\operatorname{Aut}_{\bar{0}}(\mathcal{M}) \cong \ker \pi \rtimes \operatorname{Aut}(E).$$

The kernel of  $\pi$  consists of those automorphisms  $\varphi$  of  $\mathcal{M}$  whose underlying map  $\tilde{\varphi}$  is the identity on M and whose pullback  $\varphi^*$  satisfies

$$(\varphi^* - \mathrm{id}^*)(\mathcal{E}) \subseteq \bigoplus_{k \ge 2} \left(\bigwedge^k \mathcal{E}\right).$$

In this case  $(\varphi^* - id^*)$  is nilpotent and there is an even super vector field X on  $\mathcal{M}$  with  $\exp(X) = \varphi^*$  as mentioned in Sect. 2. The super vector field X is nilpotent and fulfills

$$X\left(\bigwedge^{k}\mathcal{E}\right)\subseteq\bigoplus_{l\geq k+2}\left(\bigwedge^{l}\mathcal{E}\right)$$

for all k. More generally, the map

$$\left\{ X \in \operatorname{Vec}_{\bar{0}}(\mathcal{M}) \middle| X\left(\bigwedge^{k} \mathcal{E}\right) \subseteq \bigoplus_{l \ge k+2} \left(\bigwedge^{l} \mathcal{E}\right) \text{ for all } k \right\} \longrightarrow \ker \pi,$$
$$X \mapsto \exp(X),$$

which assigns to a super vector field X the automorphism of  $\mathcal{M}$  with pullback exp(X), is bijective. In Sect. 6, we will prove that the Lie superalgebra  $\operatorname{Vec}(\mathcal{M})$  of super vector fields on  $\mathcal{M}$  and thus subspaces of  $\operatorname{Vec}(\mathcal{M})$  are finite-dimensional. Therefore, the topological group  $\operatorname{Aut}_{\bar{0}}(\mathcal{M}) \cong \ker \pi \rtimes \operatorname{Aut}(E)$  carries the structure of a complex Lie group.

In the general case of a not necessarily split supermanifold  $\mathcal{M}$ , the proof that  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  can be endowed with the structure of a complex Lie group is more difficult. In order to prove the corresponding result also for non-split supermanifolds, the structure of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is further studied in the next two sections.

# 4 Non-existence of small subgroups of $Aut_{\bar{0}}(\mathcal{M})$

In this section, we prove that  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  does not contain small subgroups, i.e. that there exists an open neighbourhood of the identity in  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  such that each subgroup contained in this neighbourhood consists only of the identity. As a consequence, the topological group  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  carries the structure of a real Lie group by a result of Yamabe (cf. [25]). Before proving the non-existence of small subgroups, a few technical preparations are needed: Consider  $\mathbb{C}^{m|n}$  and let  $z_1, \ldots, z_m, \xi_1, \ldots, \xi_n$  denote coordinates on  $\mathbb{C}^{m|n}$ . Let  $U \subseteq \mathbb{C}^m$  be an open subset. For  $f = \sum_{\nu} f_{\nu} \xi^{\nu} \in \mathcal{O}_{\mathbb{C}^{m|n}}(U)$  define

$$||f||_U = \left\| \sum_{\nu} f_{\nu} \xi^{\nu} \right\|_U := \sum_{\nu} ||f_{\nu}||_U,$$

where  $||f_{\nu}||_U$  denotes the supremum norm of the holomorphic function  $f_{\nu}$  on U. For any morphism  $\varphi : \mathcal{U} = (U, \mathcal{O}_{\mathbb{C}^{m|n}}|_U) \to \mathbb{C}^{m|n}$  define

$$||\varphi||_U := \sum_{i=1}^m ||\varphi^*(z_i)||_U + \sum_{j=1}^n ||\varphi^*(\xi_j)||_U.$$

**Lemma 9** Let  $\mathcal{U} = (U, \mathcal{O}_{\mathbb{C}^{m|n}}|_U)$  be a superdomain in  $\mathbb{C}^{m|n}$ . For any relatively compact open subset U' of U there exists  $\varepsilon > 0$  such that any morphism  $\psi : \mathcal{U} \to \mathbb{C}^{m|n}$  with the property  $||\psi - id||_U < \varepsilon$  is biholomorphic as a morphism from  $\mathcal{U}' = (U', \mathcal{O}_{\mathbb{C}^{m|n}}|_{U'})$  onto its image.

*Proof* Let r > 0 such that the closure of the polydisc

$$\Delta_r^n(z) = \{ (w_1, \dots, w_m) | |w_j - z_j| < r \}$$

is contained in U for any  $z = (z_1, ..., z_m) \in U'$ . Let  $v \in \mathbb{C}^m$  be any non-zero vector. Then we have  $z + \zeta v \in U$  for any  $z \in U'$  and  $\zeta$  in the closure of  $\Delta_{\frac{r}{||v||}}(0) = \{t \in \mathbb{C} | |t| < \frac{r}{||v||}\}$ . If for given  $\varepsilon > 0$  it is  $||\psi - \mathrm{id}||_U < \varepsilon$  then we have in particular  $||\tilde{\psi} - \mathrm{id}||_U < \varepsilon$  for the supremum norm of the underlying maps  $\tilde{\psi}$ , id :  $U \to \mathbb{C}^m$ . Then, for the differential  $D\tilde{\psi}$  of  $\tilde{\psi}$  and any non-zero vector  $v \in \mathbb{C}^m$  and any  $z \in U'$  we have

$$\begin{split} \left| \left| D\tilde{\psi}(z)(v) - v \right| \right| &= \left| \left| \frac{d}{dt} \left( \tilde{\psi}(z + tv) - (z + tv) \right) \right| \right| \\ &= \frac{1}{2\pi} \left| \left| \int_{\partial \Delta_{\frac{r}{\|V\|}}(0)} \frac{\tilde{\psi}(z + \zeta v) - (z + \zeta v)}{\zeta^2} d\zeta \right| \right| \\ &\leq \frac{1}{2\pi} \int_{\partial \Delta_{\frac{r}{\|V\|}}(0)} \left| \left| \frac{\tilde{\psi}(z + \zeta v) - (z + \zeta v)}{\zeta^2} \right| \right| d\zeta \\ &< \frac{\varepsilon ||v||}{r}. \end{split}$$

This implies  $||D\tilde{\psi}(z) - \mathrm{id}|| < \frac{\varepsilon}{r}$  with respect to the operator norm, for any  $z \in U'$ . Thus  $\tilde{\psi}$  is locally biholomorphic on U' if  $\varepsilon$  is small enough. Moreover,  $\varepsilon$  might now be chosen such that  $\tilde{\psi}$  is injective (see e.g. [13], Chapter 2, Lemma 1.3).

Let  $\psi_{j,k}, \psi_{j,\nu}$  be holomorphic functions on U such that  $\psi^*(\xi_j) = \sum_{k=1}^n \psi_{j,k} \xi_k + \sum_{||\nu|| \ge 3} \psi_{j,\nu} \xi^{\nu}$ . By Remark 6 it is now enough to show

$$\det((\psi_{j,k})_{1 \le j,k \le n}(z)) \neq 0$$

for all  $z \in U'$  and  $\varepsilon$  small enough in order to prove that  $\psi$  is a biholomorphism form  $\mathcal{U}'$  onto its image. This follows from the fact that we assumed, via  $||\psi - id||_U < \varepsilon$ , that  $||\psi_{j,k}||_U < \varepsilon$ if  $j \neq k$  and  $||\psi_{j,j} - 1||_U < \varepsilon$ . This lemma now allows us to prove that  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  contains no small subgroups; for a similar result in the classical case see [5], Theorem 1.

**Proposition 10** The topological group  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  has no small subgroups, i.e. there is a neighbourhood of the identity which contains no non-trivial subgroup.

Proof Let  $U \subset V \subset W$  be open subsets of M such that U is relatively compact in Vand V is relatively compact in W. Suppose that  $\mathcal{W} = (W, \mathcal{O}_{\mathcal{M}}|_W)$  is isomorphic to a superdomain in  $\mathbb{C}^{m|n}$  and let  $z_1, \ldots, z_m, \xi_1, \ldots, \xi_n$  be local coordinates on W. By definition  $\Delta(\overline{V}, W) = \{\varphi \in \operatorname{Aut}_{\overline{0}}(\mathcal{M}) | \widetilde{\varphi}(\overline{V}) \subseteq W\}$  and  $\Delta(\overline{U}, V)$  are open neighbourhoods of the identity in  $\operatorname{Aut}_{\overline{0}}(\mathcal{M})$ . Choose  $\varepsilon > 0$  as in the preceding lemma such that any morphism  $\chi : \mathcal{V} \to \mathbb{C}^{m|n}$  with  $||\chi - \operatorname{id}||_V < \varepsilon$  is biholomorphic as a morphism from  $\mathcal{U}$  onto its image. Let  $\Omega \subseteq \Delta(\overline{V}, W) \cap \Delta(\overline{U}, V)$  be the subset whose elements  $\varphi$  satisfy  $||\varphi - \operatorname{id}||_V < \varepsilon$ . The set  $\Omega$  is open and contains the identity. Since  $\operatorname{Aut}_{\overline{0}}(\mathcal{M})$  is locally compact by Lemma 5, it is enough to show that each compact subgroup  $Q \subseteq \Omega$  is trivial. Otherwise for non-compact Q, let  $\Omega'$  be an open neighbourhood of the identity with compact closure  $\overline{\Omega}'$  which is contained in  $\Omega$ , and suppose  $Q \subseteq \Omega'$ . Then  $\overline{Q} \subseteq \overline{\Omega}' \subset \Omega$  is a compact subgroup, and Q is trivial if  $\overline{Q}$  is trivial.

Define a morphism  $\psi : \mathcal{V} \to \mathbb{C}^{m|n}$  by setting

$$\psi^*(z_i) = \int_Q q^*(z_i) \, dq$$
 and  $\psi^*(\xi_j) = \int_Q q^*(\xi_j) \, dq$ 

where the integral is taken with respect to the normalized Haar measure on Q. This yields a holomorphic morphism  $\psi : \mathcal{V} \to \mathbb{C}^{m|n}$  since each  $q \in Q$  defines a holomorphic morphism  $\mathcal{V} \to \mathcal{W} \subseteq \mathbb{C}^{m|n}$ . Its underlying map is  $\tilde{\psi}(z) = \int_{Q} \tilde{q}(z) dq$ . The morphism  $\psi$  satisfies

$$||\psi^*(z_i) - z_i||_V = \left\| \int_{\mathcal{Q}} (q^*(z_i) - z_i) \, dq \right\|_V \le \int_{\mathcal{Q}} ||q^*(z_i) - z_i||_V \, dq$$

and similarly

$$||\psi^*(\xi_j) - \xi_j||_V \le \int_Q ||q^*(\xi_j) - \xi_j||_V dq.$$

Consequently, we have

$$\begin{split} ||\psi - \mathrm{id}||_{V} &= \sum_{i=1}^{m} ||\psi^{*}(z_{i}) - z_{i}||_{V} + \sum_{j=1}^{n} ||\psi^{*}(\xi_{j}) - \xi_{j}||_{V} \\ &\leq \int_{Q} \left( \sum_{i=1}^{m} ||q^{*}(z_{i}) - z_{i}||_{V} + \sum_{j=1}^{n} ||q^{*}(\xi_{j}) - \xi_{j}||_{V} \right) dq \\ &= \int_{Q} ||q - \mathrm{id}||_{V} dq < \varepsilon. \end{split}$$

Thus by the preceding lemma,  $\psi|_U$  is a biholomorphic morphism onto its image. Furthermore, on U we have  $\psi \circ q' = \psi$  for any  $q' \in Q$  since

$$\begin{aligned} (\psi \circ q')^*(z_i) &= (q')^*(\psi^*(z_i)) = (q')^* \left( \int_Q q^*(z_i) \, dq \right) = \int_Q (q')^*(q^*(z_i)) \, dq \\ &= \int_Q (q \circ q')^*(z_i) \, dq = \int_Q q^*(z_i) \, dq = \psi^*(z_i) \end{aligned}$$

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due to the invariance of the Haar measure, and also

$$(\psi \circ q')^*(\xi_j) = \psi^*(\xi_j).$$

The equality  $\psi \circ q' = \psi$  on U implies  $q'|_U = id_U$  because of the invertibility of  $\psi$ . By the identity principle it follows that  $q' = id_M$  if M is connected, and hence  $Q = \{id_M\}$ .

In general, M has only finitely many connected components since M is compact. Therefore, a repetition of the preceding argument yields the existence of a neighbourhood of the identity of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  without any non-trivial subgroups.

By Theorem 3 in [25], the preceding proposition implies the following:

**Corollary 11** The topological group  $Aut_{\bar{0}}(\mathcal{M})$  can be endowed with the structure of a real *Lie group.* 

### **5** One-parameter subgroups of $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$

In order to obtain results on the regularity of the action of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  on the compact complex supermanifold  $\mathcal{M}$  and to characterize the Lie algebra of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$ , we study continuous oneparameter subgroups of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$ . Each continuous one-parameter subgroup  $\mathbb{R} \to \operatorname{Aut}_{\bar{0}}(\mathcal{M})$ is an analytic map between the Lie groups  $\mathbb{R}$  and  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$ .

We prove that the action of each continuous one-parameter subgroup of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  on  $\mathcal{M}$  is analytic and induces an even holomorphic super vector field on  $\mathcal{M}$ . Consequently, the Lie algebra of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  may be identified with the Lie algebra  $\operatorname{Vec}_{\bar{0}}(\mathcal{M})$  of even holomorphic super vector fields on  $\mathcal{M}$ , and  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  carries the structure of a complex Lie group whose action on the supermanifold  $\mathcal{M}$  is holomorphic.

**Definition 1** A continuous one-parameter subgroup  $\varphi$  of automorphisms of  $\mathcal{M}$  is a family of automorphisms  $\varphi_t : \mathcal{M} \to \mathcal{M}, t \in \mathbb{R}$ , such that the map  $\varphi : \mathbb{R} \to \operatorname{Aut}_{\bar{0}}(\mathcal{M}), t \mapsto \varphi_t$ , is a continuous group homomorphism.

*Remark* 12 Let  $\varphi_t : \mathcal{M} \to \mathcal{M}, t \in \mathbb{R}$ , be a family of automorphisms satisfying  $\varphi_{s+t} = \varphi_s \circ \varphi_t$ for all  $s, t \in \mathbb{R}$ , and such that  $\tilde{\varphi} : \mathbb{R} \times \mathcal{M} \to \mathcal{M}, \tilde{\varphi}(t, p) = \tilde{\varphi}_t(p)$  is continuous. Then  $\varphi_t$  is a continuous one-parameter subgroup if and only if the following condition is satisfied: Let  $U, V \subset \mathcal{M}$  be open subsets, and  $[a, b] \subset \mathbb{R}$  such that  $\tilde{\varphi}([a, b] \times U) \subseteq V$ . Assume moreover that there are local coordinates  $z_1, \ldots, z_m, \xi_1, \ldots, \xi_n$  for  $\mathcal{M}$  on U. Then for any  $f \in \mathcal{O}_{\mathcal{M}}(V)$ there are continuous functions  $f_{\nu} : [a, b] \times U \to \mathbb{C}$  with  $(f_{\nu})_t = f_{\nu}(t, \cdot) \in \mathcal{O}_{\mathcal{M}}(U)$  for fixed  $t \in [a, b]$  such that

$$(\varphi_t)^*(f) = \sum_{\nu} f_{\nu}(t, z) \xi^{\nu}.$$

We say that the action of the one-parameter subgroup  $\varphi$  on  $\mathcal{M}$  is analytic if each  $f_{\nu}(t, z)$  is analytic in both components.

This equivalent characterization of continuous one-parameter subgroups of automorphisms also allows us to define this notion for non-compact complex supermanifolds.

**Proposition 13** Let  $\varphi$  be a continuous one-parameter subgroup of automorphisms on  $\mathcal{M}$ . Then the action of  $\varphi$  on  $\mathcal{M}$  is analytic.

*Remark 14* The statement of Proposition 13 also holds true for complex supermanifolds  $\mathcal{M}$  with non-compact underlying manifold M as compactness of M is not needed for the proof.

For the proof of the proposition the following technical lemma is needed:

**Lemma 15** Let  $U \subseteq V \subseteq \mathbb{C}^m$  be open subsets,  $p \in U$ ,  $\Omega \subseteq \mathbb{R}$  an open connected neighbourhood of 0, and let  $\alpha : \Omega \times U \to V$  be a continuous map satisfying

$$\alpha(t, z) = \alpha(t + s, z) - f(t, s, z)$$

for (t, s, z) in a neighbourhood of (0, 0, p) and for some continuous function f which is analytic in (t, z). If  $\alpha$  is holomorphic in the second component, then it is analytic on a neighbourhood of (0, p).

*Proof* For small t, h > 0, z near p, we have

$$\begin{aligned} h \cdot \alpha(t, z) &= \int_{0}^{h} \alpha(t + s, z) ds - \int_{0}^{h} f(t, s, z) ds \\ &= \int_{t}^{h+t} \alpha(s, z) ds - \int_{0}^{h} \alpha(s, z) ds - \int_{0}^{h} (f(t, s, z) - \alpha(s, z)) ds \\ &= \int_{h}^{h+t} \alpha(s, z) ds - \int_{0}^{t} \alpha(s, z) ds - \int_{0}^{h} (f(t, s, z) - \alpha(s, z)) ds \\ &= \int_{0}^{t} (\alpha(s + h, z) - \alpha(s, z)) ds - \int_{0}^{h} (f(t, s, z) - \alpha(s, z)) ds \\ &= \int_{0}^{t} f(s, h, z) ds - \int_{0}^{h} (f(t, s, z) - \alpha(s, z)) ds. \end{aligned}$$

The assumption that f is a continuous function which is analytic in the first and third component therefore implies that  $\alpha$  is analytic.

*Proof (of Proposition 13)* Due to the action property  $\varphi_{s+t} = \varphi_s \circ \varphi_t$  it is enough to show the statement for the restriction of  $\varphi$  to  $(-\varepsilon, \varepsilon) \times \mathcal{M}$  for some  $\varepsilon > 0$ . Let  $U, V \subseteq M$  be open subsets such that U is relatively compact in V, and such that there are local coordinates  $z_1, \ldots, z_m, \xi_1, \ldots, \xi_n$  on V for  $\mathcal{M}$ . Choose  $\varepsilon > 0$  such that  $\tilde{\varphi}_t(U) \subseteq V$  for any  $t \in (-\varepsilon, \varepsilon)$ . Let  $\alpha_{i,v}, \beta_{j,v}$  be continuous functions on  $(-\varepsilon, \varepsilon) \times U$  with

$$(\varphi_t)^*(z_i) = \sum_{|\nu|=0} \alpha_{i,\nu}(t,z) \xi^{\nu}$$

and

$$(\varphi_t)^*(\xi_j) = \sum_{|\nu|=1} \beta_{j,\nu}(t,z)\xi^{\nu},$$

where  $|\nu| = |(\nu_1, ..., \nu_n)| = (\nu_1 + ... + \nu_n) \mod 2 \in \mathbb{Z}_2$ . We have to show that  $\alpha$  and  $\beta$  are analytic in (t, z). The induced map  $\psi' : (-\varepsilon, \varepsilon) \times U \times \mathbb{C}^n \to V \times \mathbb{C}^n$  on the underlying vector bundle is given by

$$\begin{pmatrix} z_1 \\ \vdots \\ z_m \\ v_1 \\ \vdots \\ v_n \end{pmatrix} \mapsto \begin{pmatrix} \alpha_{1,0}(t,z) \\ \vdots \\ \alpha_{m,0}(t,z) \\ \sum_{k=1}^n \beta_{1,k}(t,z) v_k \\ \vdots \\ \sum_{k=1}^n \beta_{n,k}(t,z) v_k \end{pmatrix}$$

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where  $\beta_{j,k} = \beta_{j,e_k}$  if  $e_k = (0, ..., 0, 1, 0, ..., 0)$  denotes the *k*-th unit vector. The map  $\psi'$  is a local continuous one-parameter subgroup on  $U \times \mathbb{C}^n$  because  $\varphi$  is a continuous one-parameter subgroup. By a result of Bochner and Montgomery the map  $\psi'$  is analytic in (t, z, v) (see [4], Theorem 4). Hence, the map  $\psi : (-\varepsilon, \varepsilon) \times \mathcal{U} \to \mathcal{V}$  given by  $(\psi_t)^*(z_i) = \alpha_i(t, z)$ ,  $(\psi_t)^*(\xi_j) = \sum_{k=1}^n \beta_{j,k}(t, z)\xi_k$  is analytic. Let X be the local vector field on  $\mathcal{U}$  induced by  $\psi$ , i.e.

$$X(f) = \left. \frac{\partial}{\partial t} \right|_0 (\psi_t)^*(f).$$

We may assume that X is non-degenerate, i.e. the evaluation of X in p, X(p), does not vanish for all  $p \in U$ . Otherwise, consider, instead of  $\varphi$ , the diagonal action on  $\mathbb{C} \times \mathcal{M}$ acting by addition of t in the first component and  $\varphi_t$  in the second, and note that this action is analytic precisely if  $\varphi$  is analytic. For the differential  $d\psi$  of  $\psi$  in (0, p) we have

$$d\psi\left(\left.\frac{\partial}{\partial t}\right|_{(0,p)}\right) = \left.\frac{\partial}{\partial t}\right|_{(0,p)} \circ \psi^* = X(p) \neq 0.$$

Therefore, the restricted map  $\psi|_{(-\varepsilon,\varepsilon)\times\{p\}}$  is an immersion and its image  $\psi((-\varepsilon,\varepsilon)\times\{p\})$ is a subsupermanifold of  $\mathcal{V}$ . Let  $\mathcal{S}$  be a subsupermanifold of  $\mathcal{U}$  transversal to  $\psi((-\varepsilon,\varepsilon)\times\{p\})$ in p. The map  $\psi|_{(-\varepsilon,\varepsilon)\times\mathcal{S}}$  is a submersion in (0, p) since  $d\psi(T_{(0,p)}(-\varepsilon,\varepsilon)\times\{p\})) = T_p\psi((-\varepsilon,\varepsilon)\times\{p\})$  and  $d\psi(T_{(0,p)}\{0\}\times\mathcal{S}) = T_p\mathcal{S}$  because  $\psi|_{\{0\}\times\mathcal{U}} = \text{id.}$  Hence  $\chi := \psi|_{(-\varepsilon,\varepsilon)\times\mathcal{S}}$  is locally invertible around (0, p), and thus invertible as a map onto its image after possibly shrinking U and  $\varepsilon$ , and

$$\chi_*\left(\frac{\partial}{\partial t}\right) = (\chi^{-1})^* \circ \frac{\partial}{\partial t} \circ \chi^* = (\chi^{-1})^* \circ \chi^* \circ X = X.$$

Therefore, after defining new coordinates  $w_1, \ldots, w_m, \theta_1, \ldots, \theta_n$  for  $\mathcal{M}$  on U via  $\chi$ , we have  $X = \frac{\partial}{\partial w_1}$  and  $(\varphi_t)^*$  is of the form

$$\begin{aligned} (\varphi_t)^*(w_1) &= w_1 + t + \sum_{|\nu|=0,\nu\neq 0} \alpha_{1,\nu}(t,w)\theta^{\nu}, \\ (\varphi_t)^*(w_i) &= w_i + \sum_{|\nu|=0,\nu\neq 0} \alpha_{i,\nu}(t,w)\theta^{\nu} \quad \text{for } i \neq 1, \\ (\varphi_t)^*(\theta_j) &= \theta_j + \sum_{|\nu|=1, ||\nu||\neq 1} \beta_{j,\nu}(t,w)\theta^{\nu}, \end{aligned}$$

for appropriate  $\alpha_{i,\nu}$ ,  $\beta_{j,\nu}$ , where  $||\nu|| = ||(\nu_1, \dots, \nu_n)|| = \nu_1 + \dots + \nu_n$ . For small *s* and *t* we have

$$\varphi_{t}^{*}\left(\varphi_{s}^{*}(w_{i})\right) = \varphi_{t}^{*}\left(w_{i} + \delta_{1,i}s + \sum_{|\nu|=0,||\nu||\neq 0} \alpha_{i,\nu}(s,w)\theta^{\nu}\right)$$
$$= w_{i} + \delta_{i,1}(t+s) + \sum_{|\nu|=0,||\nu||\neq 0} \alpha_{i,\nu}(t,w)\theta^{\nu} + \sum_{|\nu|=0,||\nu||\neq 0} \varphi_{t}^{*}(\alpha_{i,\nu}(s,w)\theta^{\nu}).$$
(1)

Let  $f_{i,\nu}(t, s, w)$  be such that

$$\sum_{|\nu|=0, ||\nu|| \neq 0} \varphi_t^*(\alpha_{i,\nu}(s, w)\theta^{\nu}) = \sum_{|\nu|=0, ||\nu|| \neq 0} f_{i,\nu}(t, s, w)\theta^{\nu}.$$
 (2)

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For fixed  $v_0$  the coefficient  $f_{i,v_0}(t, s, w)$  of  $\theta^{v_0}$  depends only on  $\alpha_{i,v_0}(s, w+te_1), \beta_{j,\mu}(t, w)$ for  $\mu$  with  $||\mu|| \le ||v_0|| - 1$ , and  $\alpha_{j,\nu}(t, w)$  and its partial derivatives in the second component for  $\nu$  with  $||\nu|| \le ||v_0|| - 2$ . This can be shown by a calculation using the special form of  $\varphi_t^*(w_j)$  and  $\varphi_t^*(\theta_j)$  and general properties of the pullback of a morphism of supermanifolds. Assume now that the analyticity near (0, p) of  $\alpha_{i,\nu}, \beta_{j,\mu}$  is shown for  $||\nu||, ||\mu|| < 2k$  and all i, j. Let  $v_0$  be such that  $||v_0|| = 2k$ . Then  $f_{i,v_0}(t, s, w)$  is a continuous function which is analytic in (t, w) near (0, p) for fixed s. Since  $\varphi_t^*(\varphi_s^*(w_i)) = \varphi_{t+s}^*(w_i)$ , using (1) and (2) we get

$$\alpha_{i,\nu_0}(t,w) + f_{i,\nu_0}(t,s,w) = \alpha_{i,\nu_0}(t+s,w),$$

and thus  $\alpha_{i,\nu_0}(t, w)$  is analytic near (0, p) by Lemma 15. Similarly, it can be shown that  $\beta_{j,\mu_0}$  is analytic for  $||\mu_0|| = 2k + 1$  if  $\alpha_{i,\nu}, \beta_{j,\mu}$  for  $||\nu||, ||\mu|| < 2k + 1$ .

**Corollary 16** The Lie algebra of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is isomorphic to the Lie algebra  $\operatorname{Vec}_{\bar{0}}(\mathcal{M})$  of even super vector fields on  $\mathcal{M}$ , and  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is a complex Lie group.

*Proof* If  $\gamma : \mathbb{R} \to \operatorname{Aut}_{\bar{0}}(\mathcal{M}), t \mapsto \gamma_t$  is a continuous one-parameter subgroup, then by Proposition 13 the action of  $\varphi$  on  $\mathcal{M}$  is analytic. Therefore,  $\gamma$  induces an even holomorphic super vector field  $X(\gamma)$  on  $\mathcal{M}$  by setting

$$X(\gamma) = \left. \frac{\partial}{\partial t} \right|_0 (\gamma_t)^*,$$

and  $\gamma$  is the flow map of  $X(\gamma)$ . On the other hand, since M is compact, the underlying vector field of each  $X \in \operatorname{Vec}_{\bar{0}}(\mathcal{M})$  is globally integrable and the proof of Theorem 5.4 in [12] then shows that X is also globally integrable. Its flow defines a one-parameter subgroup  $\gamma^X$  of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$ , which is continuous. This yields an isomorphism of Lie algebras

$$\operatorname{Lie}(\operatorname{Aut}_{\bar{0}}(\mathcal{M})) \to \operatorname{Vec}_{\bar{0}}(\mathcal{M}).$$

Consequently, we have  $\text{Lie}(\text{Aut}_{\bar{0}}(\mathcal{M})) \cong \text{Vec}_{\bar{0}}(\mathcal{M})$  and since  $\text{Vec}_{\bar{0}}(\mathcal{M})$  is a complex Lie algebra,  $\text{Aut}_{\bar{0}}(\mathcal{M})$  carries the structure of a complex Lie group.

The Lie group  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  naturally acts on  $\mathcal{M}$ ; this action  $\psi : \operatorname{Aut}_{\bar{0}}(\mathcal{M}) \times \mathcal{M} \to \mathcal{M}$ is given by  $\operatorname{ev}_g \circ \psi^* = g^*$  where  $\operatorname{ev}_g$  denotes the evaluation in  $g \in \operatorname{Aut}_{\bar{0}}(\mathcal{M})$  in the first component.

**Corollary 17** The natural action of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  on  $\mathcal{M}$  defines a holomorphic morphism of supermanifolds  $\psi : \operatorname{Aut}_{\bar{0}}(\mathcal{M}) \times \mathcal{M} \to \mathcal{M}$ .

*Proof* Since the action of each continuous one-parameter subgroup of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  on  $\mathcal{M}$  is holomorphic by the preceding considerations, and each  $g \in \operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is a biholomorphic morphism  $g : \mathcal{M} \to \mathcal{M}$ , the action  $\psi$  is a holomorphic.

If a Lie supergroup  $\mathcal{G}$  (with Lie superalgebra  $\mathfrak{g}$  of right-invariant super vector fields) acts on a supermanifold  $\mathcal{M}$  via  $\psi : \mathcal{G} \times \mathcal{M} \to \mathcal{M}$ , this action  $\psi$  induces an infinitesimal action  $d\psi : \mathfrak{g} \to \operatorname{Vec}(\mathcal{M})$  defined by  $d\psi(X) = (X(e) \otimes \operatorname{id}_{\mathcal{M}}^*) \circ \psi^*$  for any  $X \in \mathfrak{g}$ , where  $X \otimes \operatorname{id}_{\mathcal{M}}^*$ denotes the canonical extension of the vector field X on  $\mathcal{G}$  to a vector field on  $\mathcal{G} \times \mathcal{M}$ , and  $(X(e) \otimes \operatorname{id}_{\mathcal{M}}^*)$  is its evaluation in the neutral element e of  $\mathcal{G}$ .

**Corollary 18** Identifying the Lie algebra of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  with  $\operatorname{Vec}_{\bar{0}}(\mathcal{M})$  as in Corollary 16, the induced infinitesimal action of the action  $\psi$ :  $\operatorname{Aut}_{\bar{0}}(\mathcal{M}) \times \mathcal{M} \to \mathcal{M}$  in Corollary 17 is the inclusion  $\operatorname{Vec}_{\bar{0}}(\mathcal{M}) \hookrightarrow \operatorname{Vec}(\mathcal{M})$ .

#### 6 The Lie superalgebra of vector fields

In this section, we prove that the Lie superalgebra  $Vec(\mathcal{M})$  of holomorphic super vector fields on a compact complex supermanifold  $\mathcal{M}$  is finite-dimensional.

First, we prove that  $Vec(\mathcal{M})$  is finite-dimensional if  $\mathcal{M}$  is a split supermanifold using that its tangent sheaf  $\mathcal{T}_{\mathcal{M}}$  is a coherent sheaf of  $\mathcal{O}_M$ -modules, where  $\mathcal{O}_M$  denotes again the sheaf of holomorphic functions on the underlying manifold M. Then the statement in the general case is deduced using a filtration of the tangent sheaf.

Remark that since  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  is a complex Lie group with Lie algebra isomorphic to the Lie algebra  $\operatorname{Vec}_{\bar{0}}(\mathcal{M})$  of even holomorphic super vector fields on  $\mathcal{M}$  (see Corollary 16), we already know that the even part of  $\operatorname{Vec}(\mathcal{M}) = \operatorname{Vec}_{\bar{0}}(\mathcal{M}) \oplus \operatorname{Vec}_{\bar{1}}(\mathcal{M})$  is finite-dimensional.

**Lemma 19** Let  $\mathcal{M}$  be a split complex supermanifold. Then its tangent sheaf  $\mathcal{T}_{\mathcal{M}}$  is a coherent sheaf of  $\mathcal{O}_M$ -modules.

*Proof* Since  $\mathcal{M}$  is split, its structure sheaf  $\mathcal{O}_{\mathcal{M}}$  is isomorphic to  $\bigwedge \mathcal{E}$  as an  $\mathcal{O}_M$ -module, where  $\mathcal{E}$  is the sheaf of sections of a holomorphic vector bundle on the underlying manifold M. Thus, the structure sheaf  $\mathcal{O}_{\mathcal{M}}$ , and hence also the tangent sheaf  $\mathcal{T}_{\mathcal{M}}$ , carry the structure of a sheaf of  $\mathcal{O}_M$ -modules. Let  $U \subset M$  be an open subset such that there exist even coordinates  $z_1, \ldots, z_m$  and odd coordinates  $\xi_1, \ldots, \xi_n$ . Any derivation  $D \in \mathcal{T}_{\mathcal{M}}(U)$  on U can uniquely be written as

$$D = \sum_{\nu \in (\mathbb{Z}_2)^n} \left( \sum_{i=1}^m f_{i,\nu}(z) \xi^{\nu} \frac{\partial}{\partial z_i} + \sum_{j=1}^n g_{j,\nu}(z) \xi^{\nu} \frac{\partial}{\partial \xi_j} \right)$$

where  $f_{i,\nu}$ ,  $g_{j,\nu}$  are holomorphic functions on U. Therefore, the restricted sheaf  $\mathcal{T}_{\mathcal{M}}|_U$  is isomorphic to  $(\mathcal{O}_M|_U)^{2^n(m+n)}$  and  $\mathcal{T}_{\mathcal{M}}$  is coherent over  $\mathcal{O}_M$ .

**Proposition 20** The Lie superalgebra  $Vec(\mathcal{M})$  of holomorphic super vector fields on a compact complex supermanifold  $\mathcal{M}$  is finite-dimensional.

*Proof* First, assume that  $\mathcal{M}$  is split. Then the tangent sheaf  $\mathcal{T}_{\mathcal{M}}$  is a coherent sheaf of  $\mathcal{O}_M$ -modules. Thus, the space of global sections of  $\mathcal{T}_{\mathcal{M}}$ ,  $\operatorname{Vec}(\mathcal{M}) = \mathcal{T}_{\mathcal{M}}(M)$ , is finite-dimensional since M is compact (cf. [9]).

Now, let  $\mathcal{M}$  be an arbitrary compact complex supermanifold. We associate the split complex supermanifold gr  $\mathcal{M} = (\mathcal{M}, \operatorname{gr} \mathcal{O}_{\mathcal{M}})$  as described in Section 2. Let  $\mathcal{I}_{\mathcal{M}}$  denote as before the subsheaf of ideal in  $\mathcal{O}_{\mathcal{M}}$  generated by the odd elements. Define the filtration of sheaves of Lie superalgebras

$$\mathcal{T}_{\mathcal{M}} := (\mathcal{T}_{\mathcal{M}})_{(-1)} \supset (\mathcal{T}_{\mathcal{M}})_{(0)} \supset (\mathcal{T}_{\mathcal{M}})_{(1)} \supset \cdots \supset (\mathcal{T}_{\mathcal{M}})_{(n+1)} = 0$$

of the tangent sheaf  $\mathcal{T}_{\mathcal{M}}$  by setting

$$(\mathcal{T}_{\mathcal{M}})_{(k)} = \{ D \in \mathcal{T}_{\mathcal{M}} | D(\mathcal{O}_{\mathcal{M}}) \subset (\mathcal{I}_{\mathcal{M}})^k, \ D(\mathcal{I}_{\mathcal{M}}) \subset (\mathcal{I}_{\mathcal{M}})^{k+1} \}$$

for  $k \ge 0$ . Moreover, define  $\operatorname{gr}_k(\mathcal{T}_M) = (\mathcal{T}_M)_{(k)}/(\mathcal{T}_M)_{(k+1)}$  and set

$$\operatorname{gr}(\mathcal{T}_{\mathcal{M}}) = \bigoplus_{k \ge -1} \operatorname{gr}_k(\mathcal{T}_{\mathcal{M}}).$$

By [19], Proposition 1, the sheaf  $gr(T_M)$  is isomorphic to the tangent sheaf of the associated split supermanifold gr  $\mathcal{M}$ . By the preceding considerations, the space of holomorphic super vector fields on gr  $\mathcal{M}$ ,

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$$\operatorname{Vec}(\operatorname{gr} \mathcal{M}) = \operatorname{gr}(\mathcal{T}_{\mathcal{M}})(M) = \bigoplus_{k \ge -1} \operatorname{gr}_{k}(\mathcal{T}_{\mathcal{M}})(M),$$

is of finite dimension. The projection onto the quotient yields

$$\dim(\mathcal{T}_{\mathcal{M}})_{(k)}(M) - \dim(\mathcal{T}_{\mathcal{M}})_{(k+1)}(M) \le \dim(\operatorname{gr}_{k}(\mathcal{T}_{\mathcal{M}})(M))$$

and  $\dim(\mathcal{T}_{\mathcal{M}})_{(n)}(M) = \dim(\operatorname{gr}_n(\mathcal{T}_{\mathcal{M}})(M))$  and hence by induction

$$\dim(\mathcal{T}_{\mathcal{M}})_{(k)}(M) \leq \sum_{j \geq k} \dim(\operatorname{gr}_{j}(\mathcal{T}_{\mathcal{M}})(M)),$$

which gives

$$\dim(\mathcal{T}_{\mathcal{M}}(M)) = \dim\left((\mathcal{T}_{\mathcal{M}})_{(-1)}(M)\right) \leq \dim\left(\operatorname{gr}(\mathcal{T}_{\mathcal{M}})(M)\right).$$

In particular, dim( $\mathcal{T}_{\mathcal{M}}(M)$ ) is finite.

.

*Remark 21* The proof of the preceding proposition also shows the following inequality:

$$\dim(\operatorname{Vec}(\mathcal{M})) \leq \dim(\operatorname{Vec}(\operatorname{gr} \mathcal{M}))$$

#### 7 The automorphism group

In this section, the automorphism group of a compact complex supermanifold is defined. This is done via the formalism of Harish-Chandra pairs for complex Lie supergroups (cf. [24]). The underlying classical Lie group is  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  and the Lie superalgebra is  $\operatorname{Vec}(\mathcal{M})$ , the Lie superalgebra of super vector fields on  $\mathcal{M}$ . Moreover, we prove that the automorphism group satisfies a universal property.

Consider the representation  $\alpha$  of Aut<sub>0</sub>( $\mathcal{M}$ ) on Vec( $\mathcal{M}$ ) given by

$$\alpha(g)(X) = g_*(X) = (g^{-1})^* \circ X \circ g^* \quad \text{for} \quad g \in \text{Aut}_{\bar{0}}(\mathcal{M}), \ X \in \text{Vec}(\mathcal{M}).$$

This representation  $\alpha$  preserves the parity on Vec( $\mathcal{M}$ ), and its restriction to Vec<sub>0</sub>( $\mathcal{M}$ ) coincides with the adjoint action of Aut<sub>0</sub>( $\mathcal{M}$ ) on its Lie algebra Lie(Aut<sub>0</sub>( $\mathcal{M}$ ))  $\cong$  Vec<sub>0</sub>( $\mathcal{M}$ ). Moreover, the differential ( $d\alpha$ )<sub>id</sub> at the identity id  $\in$  Aut<sub>0</sub>( $\mathcal{M}$ ) is the adjoint representation of Vec<sub>0</sub>( $\mathcal{M}$ ) on Vec( $\mathcal{M}$ ):

Let X and Y be super vector fields on  $\mathcal{M}$ . Assume that X is even and let  $\varphi^X$  denote the corresponding one-parameter subgroup. Then we have

$$(d\alpha)_{\rm id}(X)(Y) = \left. \frac{\partial}{\partial t} \right|_0 (\varphi_t^X)_*(Y) = [X, Y];$$

see e.g. [2], Corollary 3.8. Therefore, the pair  $(Aut_{\bar{0}}(\mathcal{M}), Vec(\mathcal{M}))$  together with the representation  $\alpha$  is a complex Harish-Chandra pair, and using the equivalence between the category of complex Harish-Chandra pairs and complex Lie supergroups (cf. [24], § 2), we can define the automorphism group of a compact complex supermanifold  $\mathcal{M}$  as follows:

**Definition 2** Define the automorphism group  $\operatorname{Aut}(\mathcal{M})$  of a compact complex supermanifold to be the unique complex Lie supergroup associated with the Harish-Chandra pair  $(\operatorname{Aut}_{\bar{0}}(\mathcal{M}), \operatorname{Vec}(\mathcal{M}))$  with adjoint representation  $\alpha$ .

Since the action  $\psi$ : Aut<sub>0</sub>( $\mathcal{M}$ ) ×  $\mathcal{M} \to \mathcal{M}$  induces the inclusion Vec<sub>0</sub>( $\mathcal{M}$ )  $\hookrightarrow$  Vec( $\mathcal{M}$ ) as infinitesimal action (see Corollary 18), there exists a Lie supergroup action  $\psi$ : Aut( $\mathcal{M}$ ) ×  $\mathcal{M} \to \mathcal{M}$  with the identity Vec( $\mathcal{M}$ )  $\to$  Vec( $\mathcal{M}$ ) as induced infinitesimal action and  $\psi|_{Aut_0}(\mathcal{M}) \times \mathcal{M} = \psi$  (cf. Theorem 5.35 in [2]).

The automorphism group together with  $\Psi$  satisfies a universal property:

**Theorem 22** Let  $\mathcal{G}$  be a complex Lie supergroup with a holomorphic action  $\Psi_{\mathcal{G}} : \mathcal{G} \times \mathcal{M} \rightarrow \mathcal{M}$ . Then there is a unique morphism  $\sigma : \mathcal{G} \rightarrow \operatorname{Aut}(\mathcal{M})$  of Lie supergroups such that the diagram



is commutative.

*Proof* Let *G* be the underlying Lie group of  $\mathcal{G}$ . For each  $g \in G$ , we have a morphism  $\Psi_{\mathcal{G}}(g)$ :  $\mathcal{M} \to \mathcal{M}$  by setting  $(\Psi_{\mathcal{G}}(g))^* = \operatorname{ev}_g \circ (\Psi_{\mathcal{G}})^*$ . This morphism  $\Psi_{\mathcal{G}}(g)$  is an automorphism of  $\mathcal{M}$  with inverse  $\Psi_{\mathcal{G}}(g^{-1})$  and gives rise to a group homomorphism  $\tilde{\sigma} : G \to \operatorname{Aut}_{\bar{0}}(\mathcal{M}),$  $g \mapsto \Psi_{\mathcal{G}}(g)$ .

Let  $\mathfrak{g}$  denote the Lie superalgebra (of right-invariant super vector fields) of  $\mathcal{G}$ , and  $d\Psi_{\mathcal{G}}$ :  $\mathfrak{g} \to \operatorname{Vec}(\mathcal{M})$  the infinitesimal action induced by  $\Psi_{\mathcal{G}}$ . The restriction of  $d\Psi_{\mathcal{G}}$  to the even part  $\mathfrak{g}_{\bar{0}} = \operatorname{Lie}(G)$  of  $\mathfrak{g}$  coincides with the differential  $(d\tilde{\sigma})_e$  of  $\tilde{\sigma}$  at the identity  $e \in G$ .

Moreover, if  $\alpha_{\mathcal{G}}$  denotes the adjoint action of *G* on  $\mathfrak{g}$ , and  $\alpha$  denotes, as before, the adjoint action of Aut<sub> $\overline{0}$ </sub>( $\mathcal{M}$ ) on Vec( $\mathcal{M}$ ), we have

$$d\Psi_{\mathcal{G}}(\alpha_{\mathcal{G}}(g)(X)) = (\Psi_{\mathcal{G}}(g^{-1}))^* \circ d\Psi_{\mathcal{G}}(X) \circ (\Psi_{\mathcal{G}}(g))^*$$
$$= (\tilde{\sigma}(g^{-1}))^* \circ d\Psi_{\mathcal{G}}(X) \circ (\tilde{\sigma}(g))^*$$
$$= \alpha(\tilde{\sigma}(g))(d\Psi_{\mathcal{G}}(X))$$

for any  $g \in G$ ,  $X \in \mathfrak{g}$ . Using the correspondence between Lie supergroups and Harish-Chandra pairs, it follows that there is a unique morphism  $\sigma : \mathcal{G} \to \operatorname{Aut}(\mathcal{M})$  of Lie supergroups with underlying map  $\tilde{\sigma}$  and derivative  $d\Psi_{\mathcal{G}} : \mathfrak{g} \to \operatorname{Vec}(\mathcal{M})$  (see e.g. [24], § 2), and  $\sigma$  satisfies  $\Psi \circ (\sigma \times \operatorname{id}_{\mathcal{M}}) = \Psi_{\mathcal{G}}$ .

The uniqueness of  $\sigma$  follows from the fact that each morphism  $\tau : \mathcal{G} \to \operatorname{Aut}(\mathcal{M})$  of Lie supergroups fulfilling the same properties as  $\sigma$  necessarily induces the map  $d\Psi_{\mathcal{G}}$ :  $\mathfrak{g} \to \operatorname{Vec}(\mathcal{M})$  on the level of Lie superalgebras and its underlying map  $\tilde{\tau}$  has to satisfy  $\tilde{\tau}(g) = \Psi_{\mathcal{G}}(g) = \tilde{\sigma}(g)$ .

*Remark 23* Since the morphism  $\sigma$  in Theorem 22 is unique, the automorphism group of a compact complex supermanifold  $\mathcal{M}$  is the unique Lie supergroup satisfying the universal property formulated in Theorem 22.

*Remark* 24 We say that a real Lie supergroup  $\mathcal{G}$  acts on  $\mathcal{M}$  by holomorphic transformations if the underlying Lie group G acts on the complex manifold M by holomorphic transformations and if there is a homomorphism of Lie superalgebras  $\mathfrak{g} \to \operatorname{Vec}(\mathcal{M})$  which is compatible with the action of G on M. Using the theory of Harish-Chandra pairs, we also have the Lie supergroup  $\mathcal{G}^{\mathbb{C}}$ , the universal complexification of  $\mathcal{G}$ ; see [14]. The underlying Lie group of  $\mathcal{G}^{\mathbb{C}}$  is the universal complexification  $\mathcal{G}^{\mathbb{C}}$  of the Lie group G. Let  $\mathfrak{g} = \mathfrak{g}_{\bar{0}} \oplus \mathfrak{g}_{\bar{1}}$  denote the Lie superalgebra of  $\mathcal{G}$ ,  $\mathfrak{g}_{\bar{0}}$  the Lie algebra of G. Then the Lie algebra  $\mathfrak{g}_{\bar{0}}^{\mathbb{C}}$  of  $G^{\mathbb{C}}$  is a quotient of  $\mathfrak{g}_{\bar{0}} \otimes \mathbb{C}$ , and the Lie superalgebra of  $\mathcal{G}^{\mathbb{C}}$  can be realized as  $\mathfrak{g}_{\bar{0}}^{\mathbb{C}} \oplus (\mathfrak{g}_{\bar{1}} \otimes \mathbb{C})$ . The action of G on  $\mathcal{M}$  extends to a holomorphic  $G^{\mathbb{C}}$ -action on  $\mathcal{M}$ , and the homomorphism  $\mathfrak{g} \to \operatorname{Vec}(\mathcal{M})$  extends to a homomorphism  $\mathfrak{g}_{\bar{0}}^{\mathbb{C}} \oplus (\mathfrak{g}_{\bar{1}} \otimes \mathbb{C}) \to \operatorname{Vec}(\mathcal{M})$  of complex Lie superalgebras, which is compatible with the  $G^{\mathbb{C}}$ -action on  $\mathcal{M}$ . Thus, we have a holomorphic  $\mathcal{G}^{\mathbb{C}}$ -action on  $\mathcal{M}$  extending the  $\mathcal{G}$ -action. Moreover, there is a morphism  $\sigma : \mathcal{G}^{\mathbb{C}} \to \operatorname{Aut}(\mathcal{M})$  of Lie supergroups as in Theorem 22.

*Example 25* Let  $\mathcal{M} = \mathbb{C}^{0|1}$ . Denoting the odd coordinate on  $\mathbb{C}^{0|1}$  by  $\xi$ , each super vector field on  $\mathbb{C}^{0|1}$  is of the form  $X = a\xi \frac{\partial}{\partial\xi} + b \frac{\partial}{\partial\xi}$  for  $a, b \in \mathbb{C}$ . The flow  $\varphi : \mathbb{C} \times \mathcal{M} \to \mathcal{M}$  of  $a\xi \frac{\partial}{\partial\xi}$  is given by  $(\varphi_t)^*(\xi) = e^{at}\xi$ , and the flow  $\psi : \mathbb{C}^{0|1} \times \mathcal{M} \to \mathcal{M}$  of  $b \frac{\partial}{\partial\xi}$  by  $\psi^*(\xi) = b\tau + \xi$ . Let  $X_0 = \xi \frac{\partial}{\partial\xi}$  and  $X_1 = \frac{\partial}{\partial\xi}$ . Then  $\operatorname{Vec}(\mathbb{C}^{0|1}) = \mathbb{C}X_0 \oplus \mathbb{C}X_1 = \mathbb{C}^{1|1}$ , where the Lie algebra structure on  $\mathbb{C}^{1|1}$  is given by  $[X_0, X_1] = -X_1$  and  $[X_1, X_1] = 0$ . Note that this Lie superalgebra is isomorphic to the Lie superalgebra of right-invariant vector fields on the Lie supergroup  $(\mathbb{C}^{1|1}, \mu_{0,1})$ , where the multiplication  $\mu = \mu_{0,1}$  is given by  $\mu^*(t) = t_1 + t_2$  and  $\mu^*(\tau) = \tau_1 + e^{t_1}\tau_2$ ; for the Lie supergroup structures on  $\mathbb{C}^{1|1}$  see e.g. [12], Lemma 3.1. In particular, the Lie superalgebra  $\operatorname{Vec}(\mathbb{C}^{0|1})$  is not abelian.

Since each automorphism  $\varphi$  of  $\mathbb{C}^{0|1}$  is given by  $\varphi^*(\xi) = c \cdot \xi$  for some  $c \in \mathbb{C}, c \neq 0$ , we have  $\operatorname{Aut}_{\bar{0}}(\mathbb{C}^{0|1}) \cong \mathbb{C}^*$ .

#### 8 The functor of points of the automorphism group

In [22], the diffeomorphism supergroup of a real compact supermanifold is proven to carry the structure of a Fréchet Lie supergroup. This diffeomorphism supergroup is defined using the "functor of points" approach to supermanifolds, i.e. a supermanifold is a representable contravariant functor from the category of supermanifolds to the category of sets. Starting with a supermanifold  $\mathcal{M}$  we define the corresponding functor  $\operatorname{Hom}(-, \mathcal{M})$  by the assignment  $\mathcal{N} \mapsto \operatorname{Hom}(\mathcal{N}, \mathcal{M})$ , where  $\operatorname{Hom}(\mathcal{N}, \mathcal{M})$  denotes the set of morphisms of supermanifolds  $\mathcal{N} \to \mathcal{M}$ , and for morphisms  $\alpha : \mathcal{N}_1 \to \mathcal{N}_2$  between supermanifolds  $\mathcal{N}_1$  and  $\mathcal{N}_2$  we define  $\operatorname{Hom}(-, \mathcal{M})(\alpha) : \operatorname{Hom}(\mathcal{N}_2, \mathcal{M}) \to \operatorname{Hom}(\mathcal{N}_1, \mathcal{M})$  by  $\varphi \mapsto \varphi \circ \alpha$ .

In analogy to the definition in [22] for the diffeomorphism supergroup, a functor  $Aut(\mathcal{M})$  associated with a complex supermanifold  $\mathcal{M}$  can be defined. In the case of a compact complex supermanifold  $\mathcal{M}$ , the automorphism Lie supergroup as defined in Section 7 represents the functor  $\overline{Aut}(\mathcal{M})$ , i.e. the functors  $\overline{Aut}(\mathcal{M})$  and  $Hom(-, Aut(\mathcal{M}))$  are isomorphic. This is proven in [3], Section 5.4. Here we give an outline of the main steps in the proof.

**Definition 3** Let  $\mathcal{M}$  be a complex supermanifold. We define the functor  $\overline{\operatorname{Aut}}(\mathcal{M})$  from the category of supermanifolds to the category of groups as follows: On objects, we define  $\overline{\operatorname{Aut}}(\mathcal{M})$  by the assignment

 $\mathcal{N} \mapsto \{\varphi : \mathcal{N} \times \mathcal{M} \to \mathcal{N} \times \mathcal{M} \,|\, \varphi \text{ is invertible, and } \mathrm{pr}_{\mathcal{N}} \circ \varphi = \mathrm{pr}_{\mathcal{N}} \},\$ 

where  $\operatorname{pr}_{\mathcal{N}} : \mathcal{N} \times \mathcal{M} \to \mathcal{N}$  is the projection. For morphisms  $\alpha : \mathcal{N}_1 \to \mathcal{N}_2$ , we set  $\overline{\operatorname{Aut}}(\mathcal{M})(\alpha) : \overline{\operatorname{Aut}}(\mathcal{M})(\mathcal{N}_2) \to \overline{\operatorname{Aut}}(\mathcal{M})(\mathcal{N}_1)$ ,

$$\varphi \mapsto (\mathrm{id}_{\mathcal{N}_1} \times (\mathrm{pr}_{\mathcal{M}} \circ \varphi \circ (\alpha \times \mathrm{id}_{\mathcal{M}}))) \circ (\mathrm{diag} \times \mathrm{id}_{\mathcal{M}}),$$

denoting by diag :  $\mathcal{N}_1 \to \mathcal{N}_1 \times \mathcal{N}_1$  the diagonal map and by  $\operatorname{pr}_{\mathcal{M}}$  the projection onto  $\mathcal{M}$ . Thus  $\overline{\operatorname{Aut}}(\mathcal{M})(\alpha)(\varphi)$  is the unique automorphism  $\psi : \mathcal{N}_1 \times \mathcal{M} \to \mathcal{N}_1 \times \mathcal{M}$  with  $\operatorname{pr}_{\mathcal{N}_1} \circ \psi = \operatorname{pr}_{\mathcal{N}_1}$  and  $\operatorname{pr}_{\mathcal{M}} \circ \psi = \operatorname{pr}_{\mathcal{M}} \circ \varphi \circ (\alpha \times \operatorname{id}_{\mathcal{M}})$ .

The group structure on  $\overline{\operatorname{Aut}}(\mathcal{M})(\mathcal{N})$  is defined by the composition and inversion of automorphisms  $\mathcal{N} \times \mathcal{M} \to \mathcal{N} \times \mathcal{M}$ , and the neutral element is the identity map  $\mathcal{N} \times \mathcal{M} \to \mathcal{N} \times \mathcal{M}$ .

Let  $\chi : \mathcal{N} \to \operatorname{Aut}(\mathcal{M})$  be an arbitrary morphism of complex supermanifolds and let  $\Psi : \operatorname{Aut}(\mathcal{M}) \times \mathcal{M} \to \mathcal{M}$  denote the natural action of  $\operatorname{Aut}(\mathcal{M})$  on  $\mathcal{M}$ . Then the composition

$$\varphi_{\chi} = (\mathrm{id}_{\mathcal{N}} \times (\Psi \circ (\chi \times \mathrm{id}_{\mathcal{M}}))) \circ (\mathrm{diag} \times \mathrm{id}_{\mathcal{M}})$$

is an invertible map  $\mathcal{N} \times \mathcal{M} \to \mathcal{N} \times \mathcal{M}$  with  $\operatorname{pr}_{\mathcal{N}} = \operatorname{pr}_{\mathcal{N}} \circ \varphi_{\chi}$ . This defines a natural transformation:

**Lemma 26** The assignments  $\operatorname{Hom}(\mathcal{N}, \operatorname{Aut}(\mathcal{M})) \to \operatorname{Aut}(\mathcal{M})(\mathcal{N}), \chi \mapsto \varphi_{\chi}$ , define a natural transformation  $\operatorname{Hom}(-, \operatorname{Aut}(\mathcal{M})) \to \operatorname{Aut}(\mathcal{M})$ .

This statement of the lemma can be verified by direct calculations; see also Lemma 5.4.2 in [3].

The natural transformation between Hom $(-, \operatorname{Aut}(\mathcal{M}))$  and Aut $(\mathcal{M})$  is actually an isomorphism of functors. The injectivity of the assignment  $\chi \mapsto \varphi_{\chi}$  follows from the fact that the Aut $(\mathcal{M})$ -action on  $\mathcal{M}$  is effective. As a generalization of the classical definition of effectiveness, we call an action  $\Psi$  of a Lie supergroup  $\mathcal{G}$  on a supermanifold  $\mathcal{M}$  effective if for arbitrary morphisms  $\chi_1, \chi_2 : \mathcal{N} \to \mathcal{G}$  of supermanifolds the equality

$$\Psi \circ (\chi_1 \times \mathrm{id}_{\mathcal{M}}) = \Psi \circ (\chi_2 \times \mathrm{id}_{\mathcal{M}})$$

implies  $\chi_1 = \chi_2$ ; cf. Section 2.5 in [3].

In the proof of the surjectivity a "normal form" of the pullback of automorphisms  $\varphi$ :  $\mathbb{C}^{0|k} \times \mathcal{M} \to \mathbb{C}^{0|k} \times \mathcal{M}$  with  $\operatorname{pr}_{\mathbb{C}^{0|k}} \circ \varphi = \operatorname{pr}_{\mathbb{C}^{0|k}}$  is used. Let  $\mathcal{M}$  be a complex supermanifold and  $\varphi$ :  $\mathbb{C}^{0|k} \times \mathcal{M} \to \mathbb{C}^{0|k} \times \mathcal{M}$  be an invertible morphism with  $\operatorname{pr}_{\mathbb{C}^{0|k}} \circ \varphi = \operatorname{pr}_{\mathbb{C}^{0|k}}$ . Let  $\iota$ :  $\mathcal{M} \hookrightarrow \{0\} \times \mathcal{M} \subset \mathbb{C}^{0|k} \times \mathcal{M}$  denote the canonical inclusion. The composition  $\bar{\varphi} = \operatorname{pr}_{\mathcal{M}} \circ \varphi \circ \iota$  is an automorphism of  $\mathcal{M}$ . Then  $\varphi$  is uniquely determined by  $\bar{\varphi}$  and a set of super vector fields on  $\mathcal{M}$ :

**Lemma 27** Let  $\varphi : \mathbb{C}^{0|k} \times \mathcal{M} \to \mathbb{C}^{0|k} \times \mathcal{M}$  be an invertible morphism with  $\operatorname{pr}_{\mathbb{C}^{0|k}} \circ \varphi = \operatorname{pr}_{\mathbb{C}^{0|k}}$ . Let  $\tau_1, \ldots, \tau_k$  denote coordinates on  $\mathbb{C}^{0|k} \subset \mathbb{C}^{0|k} \times \mathcal{M}$ . Then there are super vector fields  $X_{\nu}$  on  $\mathcal{M}$ , of parity  $|\nu|$  for  $\nu \in (\mathbb{Z}_2)^k$ ,  $\nu \neq 0$ , such that

$$\varphi^* = (\mathrm{id}_{\mathbb{C}^{0|k}} \times \bar{\varphi})^* \exp\left(\sum_{\nu \neq 0} \tau^{\nu} X_{\nu}\right),\,$$

By  $\tau^{\nu}X_{\nu}$  we mean the super vector field on  $\mathbb{C}^{0|k} \times \mathcal{M}$  which is induced by the extension of the super vector field  $X_{\nu}$  on  $\mathcal{M}$  to a super vector field on the product  $\mathbb{C}^{0|k} \times \mathcal{M}$  followed by the multiplication with  $\tau^{\nu} = \tau_1^{\nu_1} \dots \tau_k^{\nu_k}$ . In other words for  $U \subseteq \mathcal{M}$  open we have  $\tau^{\nu}X_{\nu}(f) = 0$  for  $f \in \mathcal{O}_{\mathbb{C}^{0|k}}(\{0\}) \subset \mathcal{O}_{\mathbb{C}^{0|k} \times \mathcal{M}}(\{0\} \times U)$  and  $(\tau^{\nu}X_{\nu})(g) = \tau^{\nu}X_{\nu}(g)$  for  $g \in \mathcal{O}_{\mathcal{M}}(U) \subset \mathcal{O}_{\mathbb{C}^{0|k} \times \mathcal{M}}(\{0\} \times U)$  considering  $X_{\nu}(g)$  as a function on the product.

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Moreover,

$$\exp\left(\sum_{\nu\neq 0}\tau^{\nu}X_{\nu}\right) = \sum_{n\geq 0}\frac{1}{n!}\left(\sum_{\nu\neq 0}\tau^{\nu}X_{\nu}\right)^{n}$$

is a finite sum since  $\left(\sum_{\nu\neq 0} \tau^{\nu} X_{\nu}\right)^{k+1} = 0.$ 

A version of this lemma is also proven in [22], Theorem 5.1. A different proof using the relation between nilpotent even super vector fields on a supermanifold and morphisms of this supermanifold satisfying a certain nilpotency condition as formulated in Sect. 2 is also possible; for details see also [3], Lemma 5.4.3.

Using the normal form of the lemma, we can prove that the assignment  $\chi \mapsto \varphi_{\chi}$  defines a surjective map by directly constructing a morphism  $\chi$  with  $\varphi_{\chi} = \varphi$  for any  $\varphi : \mathcal{N} \times \mathcal{M} \to \mathcal{N} \times \mathcal{M}$  with  $\operatorname{pr}_{\mathcal{N}} \circ \varphi = \operatorname{pr}_{\mathcal{N}}$ . It is here enough to prove this statement locally (in  $\mathcal{N}$ ) and thus to consider the case where  $\mathcal{N} = N \times \mathbb{C}^{0|k}$  for a classical complex manifold N. In the following we indicate how such a morphism  $\chi$  can be defined; for the proof that  $\chi$  fulfills the desired property  $\varphi_{\chi} = \varphi$  see Proposition 5.4.4 in [3].

Let  $\varphi : N \times \mathbb{C}^{0|k} \times \mathcal{M} \to N \times \mathbb{C}^{\hat{0}|k} \times \mathcal{M}$  be an invertible morphism with  $\operatorname{pr}_{N \times \mathbb{C}^{0|k}} \circ \varphi = \operatorname{pr}_{N \times \mathbb{C}^{0|k}}$ . Each  $z \in N$  induces an invertible morphism  $\varphi_z : \mathbb{C}^{0|k} \times \mathcal{M} \to \mathbb{C}^{0|k} \times \mathcal{M}$  with  $\operatorname{pr}_{\mathbb{C}^{0|k}} \circ \varphi_z = \operatorname{pr}_{\mathbb{C}^{0|k}}$ , and the family  $\varphi_z, z \in N$ , uniquely determines  $\varphi$ .

Let  $X_{\nu,z}$  be super vector fields on  $\mathcal{M}$  of parity  $|\nu|, \nu \in (\mathbb{Z}_2)^k, \nu \neq 0$ , and  $\bar{\varphi}_z : \mathcal{M} \to \mathcal{M}$ automorphisms such that  $\varphi_z^* = (\mathrm{id}_{\mathbb{C}^{0|k}} \times \bar{\varphi}_z)^* \exp\left(\sum_{\nu \neq 0} \tau^{\nu} X_{\nu,z}\right)$  as in Lemma 27. Since  $\varphi$  is holomorphic, the coefficients of the super vector fields  $X_{\nu,z}$  and the pullbacks  $\bar{\varphi}_z^*$  in local coordiantes depend holomorphically on  $z \in N$ . Each  $\bar{\varphi}_z$  is the automorphism of  $\mathcal{M}$  induced by the evaluation in  $(z, 0) \in N \times \mathbb{C}^{0|k}$  and an element of  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  by definition. Let  $\operatorname{ev}_{\bar{\varphi}_z}$  denote the evaluation in  $\bar{\varphi}_z$ , i.e.  $\operatorname{ev}_{\bar{\varphi}_z}$  is the pullback of the canonical inclusion  $\{\bar{\varphi}_z\} \hookrightarrow \operatorname{Aut}(\mathcal{M})$ , and let  $\operatorname{pr}_{\operatorname{Aut}(\mathcal{M})} : N \times \mathbb{C}^{0|k} \times \operatorname{Aut}(\mathcal{M}) \to \operatorname{Aut}(\mathcal{M})$  be the projection. We define  $\chi : N \times \mathbb{C}^{0|k} \to \operatorname{Aut}(\mathcal{M})$  as the morphism whose underlying map is  $\{z\} \hookrightarrow \{\bar{\varphi}_z\} \subset \operatorname{Aut}_{\bar{0}}(\mathcal{M})$  and whose pullback evaluated in  $z \in N$  is

$$\chi_{z}^{*} = (\mathrm{id}_{\mathbb{C}^{0|k}}^{*} \otimes \mathrm{ev}_{\bar{\varphi}_{z}}) \circ \exp\left(\sum_{\nu \neq 0} \tau^{\nu} (X_{\nu,z})_{R}\right) \circ \mathrm{pr}_{\mathrm{Aut}(\mathcal{M})}^{*},$$

where  $(X_{\nu,z})_R$  denotes the right-invariant super vector field on Aut( $\mathcal{M}$ ) corresponding to the super vector field  $X_{\nu,z}$  on  $\mathcal{M}$  which is an element of the Lie superalgebra Vec( $\mathcal{M}$ ) of Aut( $\mathcal{M}$ ).

The next proposition is then a consequence of Lemma 26 and the surjectivity of the assignment  $\chi \mapsto \varphi_{\chi}$ .

**Proposition 28** (See [3], Corollary 5.4.5) The functors  $Aut(\mathcal{M})$  and  $Hom(-, Aut(\mathcal{M}))$  are isomorphic. This isomorphism is realized by the natural transformation introduced in Lemma 26.

#### 9 The case of a superdomain with bounded underlying domain

In the classical case, the automorphism group of a bounded domain  $U \subset \mathbb{C}^m$  is a (real) Lie group (see Theorem 13 in "Sur les groupes de transformations analytiques" in [8]). If

 $\mathcal{U} \subset \mathbb{C}^{m|n}$  is a superdomain whose underlying set U is a bounded domain in  $\mathbb{C}^m$ , it is in general not possible to endow its set of automorphisms with the structure of a Lie group such that the action on  $\mathcal{U}$  is smooth, as will be illustrated in an example. In particular, there is no Lie supergroup satisfying the universal property as the automorphism group of a compact complex supermanifold  $\mathcal{M}$  does as formulated in Theorem 22.

*Example 29* Consider a superdomain  $\mathcal{U}$  of dimension (1|2) whose underlying set is a bounded domain  $U \subset \mathbb{C}$ . Let  $z, \theta_1, \theta_2$  denote coordinates for  $\mathcal{M}$ . For any holomorphic function f on U, define the even super vector field  $X_f = f(z)\theta_1\theta_2\frac{\partial}{\partial z}$ . The reduced vector field  $\tilde{X}_f = 0$  is completely integrable and thus the flow of  $X_f$  can be defined on  $\mathbb{C} \times \mathcal{U}$  (cf. [12] Lemma 5.2). The flow is given by  $(\varphi_t)^*(z) = z + t \cdot f(z)\theta_1\theta_2$  and  $(\varphi_t)^*(\theta_j) = \theta_j$ . For all holomorphic functions f and g we have  $[X_f, X_g] = 0$ , and thus their flows locally commute (cf. [2], Corollary 3.8). Therefore,  $\{X_f | f \in \mathcal{O}(U)\} \cong \mathcal{O}(U)$  is an uncountably infinite-dimensional abelian Lie algebra. If the set of automorphisms of  $\mathcal{U}$  carried the structure of a Lie group such that its action on  $\mathcal{U}$  was smooth, its Lie algebra would necessarily contain  $\{X_f | f \in \mathcal{O}(U)\} \cong \mathcal{O}(U)$  as a Lie subalgebra, which is not possible.

#### **10 Examples**

In this section, we determine the automorphism group  $\operatorname{Aut}(\mathcal{M})$  for some complex supermanifolds  $\mathcal{M}$  with underlying manifold  $M = \mathbb{P}_1 \mathbb{C}$ .

Let  $L_1$  denote the hyperplane bundle on  $M = \mathbb{P}_1\mathbb{C}$  with sheaf of sections  $\mathcal{O}(1)$ , and  $L_k = (L_1)^{\otimes k}$  the line bundle of degree  $k, k \in \mathbb{Z}$ , on  $\mathbb{P}_1\mathbb{C}$ , and sheaf of sections  $\mathcal{O}(k)$ . Each holomorphic vector bundle on  $\mathbb{P}_1\mathbb{C}$  is isomorphic to a direct sum of line bundles  $L_{k_1} \oplus \ldots \oplus L_{k_n}$  (see [11]). Therefore, if  $\mathcal{M}$  is a split supermanifold with  $M = \mathbb{P}_1\mathbb{C}$  and dim  $\mathcal{M} = (1|n)$ , there exist  $k_1, \ldots, k_n \in \mathbb{Z}$  such that the structure sheaf  $\mathcal{O}_{\mathcal{M}}$  of  $\mathcal{M}$  is isomorphic to

$$\bigwedge (\mathcal{O}(k_1) \oplus \ldots \oplus \mathcal{O}(k_n)).$$

Let  $U_j = \{[z_0 : z_1] \in \mathbb{P}_1 \mathbb{C} | z_j \neq 0\}, j = 1, 2, \text{ and } \mathcal{U}_j = (U_j, \mathcal{O}_{\mathcal{M}}|_{U_j})$ . Moreover, define  $U_0^* = U_0 \setminus \{[1:0]\}$  and  $U_1^* = U_1 \setminus \{[0:1]\}$ , and let  $\mathcal{U}_j^* = (U_j^*, \mathcal{O}_{\mathcal{M}}|_{U_j^*})$ . We can now choose local coordinates  $z, \theta_1, \ldots, \theta_n$  for  $\mathcal{M}$  on  $U_0$ , and local coordinates  $w, \eta_1, \ldots, \eta_n$  on  $U_1$  so that the transition map  $\chi : \mathcal{U}_0^* \to \mathcal{U}_1^*$ , which determines the supermanifold structure of  $\mathcal{M}$ , is given by

$$\chi^*(w) = \frac{1}{z}$$
 and  $\chi^*(\eta_j) = z^{k_j} \theta_j$ .

*Example 30* Let  $\mathcal{M} = (\mathbb{P}_1\mathbb{C}, \mathcal{O}_{\mathcal{M}})$  be a complex supermanifold of dimension (1|1). Since the odd dimension is 1, the supermanifold  $\mathcal{M}$  has to be split. Let  $-k \in \mathbb{Z}$  be the degree of the associated line bundle. Choose local coordinates  $z, \theta$  for  $\mathcal{M}$  on  $U_0$  and  $w, \eta$  on  $U_1$  as above so that the transition map  $\chi : \mathcal{U}_0^* \to \mathcal{U}_1^*$  is given by  $\chi^*(w) = \frac{1}{z}$  and  $\chi^*(\eta) = \frac{1}{z^k} \theta$ .

We first want to determine the Lie superalgebra  $Vec(\mathcal{M})$  of super vector fields on  $\mathcal{M}$ . A calculation in local coordinates verifying the compatibility condition with the transition map  $\chi$  yields that the restriction to  $U_0$  of any super vector field on  $\mathcal{M}$  is of the form

$$\left((\alpha_0 + \alpha_1 z + \alpha_2 z^2)\frac{\partial}{\partial z} + (\beta + k\alpha_2 z)\theta\frac{\partial}{\partial \theta}\right) + \left(p(z)\frac{\partial}{\partial \theta} + q(z)\theta\frac{\partial}{\partial z}\right),$$

where  $\alpha_0, \alpha_1, \alpha_2, \beta \in \mathbb{C}$ , p is a polynomial of degree at most k, and q is a polynomial of degree at most 2 - k. If k < 0 (respectively 2 - k < 0), the polynomial p (respectively q)

is 0. The Lie algebra  $\operatorname{Vec}_{\bar{0}}(\mathcal{M})$  of even super vector fields is isomorphic to  $\mathfrak{sl}_2(\mathbb{C}) \oplus \mathbb{C}$ , where an isomorphism  $\mathfrak{sl}_2(\mathbb{C}) \oplus \mathbb{C} \to \operatorname{Vec}_{\bar{0}}(\mathcal{M})$  is given by

$$\left( \begin{pmatrix} a & b \\ c & -a \end{pmatrix}, d \right) \mapsto (-b - 2az + cz^2) \frac{\partial}{\partial z} + ((d - ka) + kcz)\theta \frac{\partial}{\partial \theta}.$$

Note that since the odd dimension of  $\mathcal{M}$  is 1 each automorphism  $\varphi : \mathcal{M} \to \mathcal{M}$  gives rise to an automorphism of the line bundle  $L_{-k}$  and vice versa. Hence, the automorphism group  $\operatorname{Aut}(L_{-k})$  of the line bundle  $L_{-k}$  and  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  coincide.

A calculation yields that the group  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$  of automorphisms  $\mathcal{M} \to \mathcal{M}$  can be identified with  $\operatorname{PSL}_2(\mathbb{C}) \times \mathbb{C}^*$  if *k* is even and with  $\operatorname{SL}_2(\mathbb{C}) \times \mathbb{C}^*$  if *k* is odd. Consider the element  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , *s*, where  $s \in \mathbb{C}^*$  and  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is either an element of  $\operatorname{SL}_2(\mathbb{C})$  or the representative of the corresponding class in  $\operatorname{PSL}_2(\mathbb{C})$ . The action of the corresponding element  $\varphi \in \operatorname{Aut}_{\bar{0}}(\mathcal{M})$ on  $\mathcal{M}$  is then given by

$$\varphi^*(z) = \frac{c+dz}{a+bz}$$
 and  $\varphi^*(\theta) = \left(\frac{1}{(a+bz)^k} + s\right)\theta$ 

as a morphism over appropriate subsets of  $U_0$  and by

$$\varphi^*(w) = \frac{aw+b}{cw+d}$$
 and  $\varphi^*(\eta) = \left(\frac{1}{(cw+d)^k} + s\right)\eta$ 

over appropriate subsets of  $U_1$ .

The Lie supergroup structure on Aut( $\mathcal{M}$ ) is now uniquely determined by Aut<sub>0</sub>( $\mathcal{M}$ ), Vec( $\mathcal{M}$ ), and the adjoint action of Aut<sub>0</sub>( $\mathcal{M}$ ) on Vec( $\mathcal{M}$ ). Since Aut<sub>0</sub>( $\mathcal{M}$ ) is a connected Lie group, it is enough to calculate the adjoint action of Vec<sub>0</sub>( $\mathcal{M}$ )  $\cong$   $\mathfrak{sl}_2\mathbb{C} \oplus \mathbb{C}$  on Vec<sub>1</sub>( $\mathcal{M}$ ).

Let  $P_l$  denote the space of polynomials of degree at most l, and set  $P_l = \{0\}$  for l < 0. The space of odd super vector fields  $\operatorname{Vec}_{\overline{1}}(\mathcal{M})$  is isomorphic to  $P_k \oplus P_{2-k}$  via  $\left(p(z)\frac{\partial}{\partial \theta} + q(z)\theta\frac{\partial}{\partial z}\right) \mapsto (p(z), q(z)).$ 

The element  $H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \in \mathfrak{sl}_2(\mathbb{C}) \subset \mathfrak{sl}_2(\mathbb{C}) \oplus \mathbb{C} \cong \operatorname{Vec}_{\bar{0}}(\mathcal{M})$  corresponds to  $-2z\frac{\partial}{\partial z} - k\theta \frac{\partial}{\partial \theta}$ . The adjoint action of this super vector field on the first factor  $P_k$  of  $\operatorname{Vec}_{\bar{1}}(\mathcal{M})$  is given by by  $-2z\frac{\partial}{\partial z} + k \cdot \operatorname{Id}$ , and on the second factor  $P_{2-k}$  by  $-2z\frac{\partial}{\partial z} + (2-k) \cdot \operatorname{Id}$ . Calculating the weights of the  $\mathfrak{sl}_2(\mathbb{C})$ -representation on  $P_k$  and  $P_{2-k}$ , we get that  $P_k$  is the unique irreducible (k + 1)-dimensional representation and  $P_{2-k}$  the unique irreducible (3-k)-dimensional representation. Moreover, a calculation yields that  $d \in \mathbb{C}$  corresponding to  $d \cdot \theta \frac{\partial}{\partial \theta} \in \operatorname{Vec}_{\bar{0}}(\mathcal{M})$  acts on  $P_k$  by multiplication with -d and on  $P_{2-k}$  by multiplication with d.

If k < 0 or k > 2, we have

$$\left[\operatorname{Vec}_{\overline{1}}(\mathcal{M}), \operatorname{Vec}_{\overline{1}}(\mathcal{M})\right] = 0.$$

In the case k = 0, we have  $P_k \cong \mathbb{C}$ . Since  $\left[\frac{\partial}{\partial \theta}, q(z)\theta \frac{\partial}{\partial z}\right] = q(z)\frac{\partial}{\partial z}$  for any  $q \in P_2$ , we get

$$\left[\operatorname{Vec}_{\bar{1}}(\mathcal{M}), \operatorname{Vec}_{\bar{1}}(\mathcal{M})\right] = \left\{a(z)\frac{\partial}{\partial z} \mid a \in P_2\right\} \cong \mathfrak{sl}_2(\mathbb{C}),$$

and the map  $P_0 \times P_2 \to \operatorname{Vec}_{\bar{0}}(\mathcal{M}), (X, Y) \mapsto [X, Y]$ , corresponds to  $\mathbb{C} \times P_2 \to \operatorname{Vec}_{\bar{0}}(\mathcal{M}), (p, q(z)) \mapsto p \cdot q(z) \frac{\partial}{\partial z}$ .

Similarly, if k = 2, we have  $P_{2-k} \cong \mathbb{C}$ , and

$$\left[\operatorname{Vec}_{\bar{1}}(\mathcal{M}), \operatorname{Vec}_{\bar{1}}(\mathcal{M})\right] = \left\{ \left(\alpha_0 + \alpha_1 z + \alpha_2 z^2\right) \frac{\partial}{\partial z} + \left(\alpha_1 + 2\alpha_2 z\right) \theta \frac{\partial}{\partial \theta} \middle| \alpha_j \in \mathbb{C} \right\}$$
$$\cong \mathfrak{sl}_2(\mathbb{C})$$

since  $[p(z)\frac{\partial}{\partial\theta}, \theta\frac{\partial}{\partial z}] = p(z)\frac{\partial}{\partial z} + p'(z)\theta\frac{\partial}{\partial\theta}$ , and the map  $P_2 \times P_0 \to \operatorname{Vec}_{\bar{0}}(\mathcal{M}), (X, Y) \mapsto$ [X, Y], corresponds to  $P_2 \times \mathbb{C} \to \operatorname{Vec}_{\bar{0}}(\mathcal{M}), (p(z), q) \mapsto q \cdot p(z) \frac{\partial}{\partial z} + q \cdot p'(z) \theta \frac{\partial}{\partial \theta}.$ 

If k = 1, then  $P_k \oplus P_{2-k} \cong \mathbb{C}^2 \oplus \mathbb{C}^2$ . We have

$$\begin{bmatrix} \frac{\partial}{\partial \theta}, \theta \frac{\partial}{\partial z} \end{bmatrix} = \frac{\partial}{\partial z}, \begin{bmatrix} z \frac{\partial}{\partial \theta}, \theta \frac{\partial}{\partial z} \end{bmatrix} = z \frac{\partial}{\partial z} + \theta \frac{\partial}{\partial \theta}, \\ \begin{bmatrix} \frac{\partial}{\partial \theta}, z\theta \frac{\partial}{\partial z} \end{bmatrix} = z \frac{\partial}{\partial z}, \begin{bmatrix} z \frac{\partial}{\partial \theta}, z\theta \frac{\partial}{\partial z} \end{bmatrix} = z^2 \frac{\partial}{\partial z} + z\theta \frac{\partial}{\partial \theta}$$

and consequently  $[\operatorname{Vec}_{\overline{1}}(\mathcal{M}), \operatorname{Vec}_{\overline{1}}(\mathcal{M})] = \operatorname{Vec}_{\overline{0}}(\mathcal{M}).$ 

Remark that Aut( $\mathcal{M}$ ) carries the structure of a split Lie supergroup if and only if k < 0or k > 2 (cf. Proposition 4 in [24]).

*Example 31* Let  $\mathcal{M} = (\mathbb{P}_1 \mathbb{C}, \mathcal{O}_{\mathcal{M}})$  be a split complex supermanifold of dimension dim  $\mathcal{M} =$ (1) associated with  $\mathcal{O}(-k_1) \oplus \mathcal{O}(-k_2), k_1, k_2 \in \mathbb{Z}$ . We will determine the group  $\operatorname{Aut}_{\bar{0}}(\mathcal{M})$ of automorphisms  $\mathcal{M} \to \mathcal{M}$ .

We choose coordinates  $z, \theta_1, \theta_2$  for  $\mathcal{U}_0$  and  $w, \eta_1, \eta_2$  for  $\mathcal{U}_1$  as described above such that the transition map  $\chi$  is given by  $\chi^*(w) = z^{-1}$  and  $\chi^*(\eta_i) = z^{-k_j} \theta_i$ .

The action of  $PSL_2(\mathbb{C})$  on  $\mathbb{P}_1\mathbb{C}$  by Möbius transformations lifts to an action of  $SL_2(\mathbb{C})$  on  $\mathcal{M}$  by letting  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{C})$  act by the automorphism  $\varphi_A : \mathcal{M} \to \mathcal{M}$  with pullback

$$\varphi_A^*(z) = \frac{c+dz}{a+bz}$$
 and  $\varphi_A^*(\theta_j) = (a+bz)^{-k_j}\theta_j$ 

as a morphism over appropriate subsets of  $U_0$ , and

$$\varphi_A^*(w) = \frac{aw+b}{cw+d}$$
 and  $\varphi_A^*(\eta_j) = (cw+d)^{-k_j}\eta_j$ 

over appropriate subsets of  $U_1$ . Using the transition map  $\chi$  one might also calculate the

representation of  $\varphi$  in coordinates as a morphism over subsets  $U_0 \to U_1$  and  $U_1 \to U_0$ . If  $k_1$  and  $k_2$  are both even, we have  $\varphi_A = \operatorname{Id}_{\mathcal{M}}$  for  $A = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$  and thus we get an action of  $PSL_2(\mathbb{C})$  on  $\mathcal{M}$ .

Consider the homomorphism of Lie groups  $\Psi$ : Aut<sub>0</sub>( $\mathcal{M}$ )  $\rightarrow$  Aut( $\mathbb{P}_1\mathbb{C}$ ) assigning to each automorphism  $\varphi : \mathcal{M} \to \mathcal{M}$  the underlying biholomorphic map  $\tilde{\varphi} : \mathbb{P}_1 \mathbb{C} \to \mathbb{P}_1 \mathbb{C}$ . This homomorphism  $\Psi$  is surjective since  $\operatorname{Aut}(\mathbb{P}_1\mathbb{C}) \cong \operatorname{PSL}_2(\mathbb{C})$  and since the  $\operatorname{PSL}_2(\mathbb{C})$ -action on  $\mathbb{P}_1\mathbb{C}$  lifts to an action (of  $SL_2(\mathbb{C})$ ) on the supermanifold  $\mathcal{M}$ . The kernel ker  $\Psi$  of the homomorphism  $\Psi$  consists of those automorphisms  $\varphi : \mathcal{M} \to \mathcal{M}$  whose underlying map  $\tilde{\varphi}$ is the identity  $\mathbb{P}_1\mathbb{C} \to \mathbb{P}_1\mathbb{C}$ . This kernel ker  $\Psi$  is a normal subgroup,  $SL_2(\mathbb{C})$  acts on ker  $\Psi$ , and we have

$$\operatorname{Aut}_{\bar{0}}(\mathcal{M}) \cong \ker \Psi \rtimes \operatorname{SL}_2(\mathbb{C})$$

if  $k_1$  and  $k_2$  are not both even, and  $\operatorname{Aut}_{\bar{0}}(\mathcal{M}) \cong \ker \Psi \rtimes \operatorname{PSL}_2(\mathbb{C})$  if  $k_1$  and  $k_2$  are even. Thus, it remains to determine ker  $\Psi$ .

Let  $\varphi : \mathcal{M} \to \mathcal{M}$  be an automorphism with  $\tilde{\varphi} = \text{Id.}$  Let f and  $b_{jk}$ , j, k = 1, 2, be holomorphic functions on  $U_0 \cong \mathbb{C}$  such that the pullback of  $\varphi$  over  $U_0$  is given by

$$\varphi^*(z) = z + f(z)\theta_1\theta_2$$
 and  $\varphi^*(\theta) = B(z)\theta$ ,

where  $B(z) = \begin{pmatrix} b_{11}(z) & b_{12}(z) \\ b_{21}(z) & b_{22}(z) \end{pmatrix}$  and  $\varphi^*(\theta) = B(z)\theta$  is an abbreviation for

$$\varphi^*(\theta_i) = b_{i1}(z)\theta_1 + b_{i2}(z)\theta_2$$
 for  $j = 1, 2$ 

Similarly, let g and  $c_{jk}$  be holomorphic functions on  $U_1 \cong \mathbb{C}$  such that the pullback of  $\varphi$  over  $U_1$  is given by

$$\varphi^*(w) = w + g(w)\eta_1\eta_2$$
 and  $\varphi^*(\eta) = C(z)\eta$ ,

where  $C(z) = \begin{pmatrix} c_{11}(z) & c_{12}(z) \\ c_{21}(z) & c_{22}(z) \end{pmatrix}$ . The compatibility condition with the transition map  $\chi$  gives now the relation

$$f(z) = -z^{2-(k_1+k_2)}g\left(\frac{1}{z}\right) \text{ for } z \in \mathbb{C}^*.$$

Therefore, f and g are both polynomials of degree at most  $2 - (k_1 + k_2)$ , and they are 0 in the case  $k_1 + k_2 > 2$ . For the matrices B and C we get the relation

$$B(z) = \begin{pmatrix} z^{k_1} & 0\\ 0 & z^{k_2} \end{pmatrix} C \begin{pmatrix} \frac{1}{z} \end{pmatrix} \begin{pmatrix} z^{-k_1} & 0\\ 0 & z^{-k_2} \end{pmatrix} \text{ for } z \in \mathbb{C}^*.$$

If  $k_1 = k_2$ , this implies  $B(z) = C(\frac{1}{z})$  for all  $z \in \mathbb{C}^*$ . Thus, B(z) = B and C(w) = C are constant matrices, and  $B = C \in GL_2(\mathbb{C})$  since  $\varphi$  was assumed to be invertible. Consequently, we have

$$\ker \Psi \cong P_{2-(k_1+k_2)} \rtimes \operatorname{GL}_2(\mathbb{C})$$

in the case  $k_1 = k_2$ , where  $P_{2-(k_1+k_2)}$  denotes the space of polynomials of degree at most  $2 - (k_1 + k_2)$  if  $k_1 + k_2 < 2$  and  $P_{2-(k_1+k_2)} = \{0\}$  otherwise. The group structure on the semidirect product is given by  $(f_1(z), B_1) \cdot (f_2(z), B_2) = (\det B_1 f_1(z) + f_2(z), B_1 B_2)$ .

Let now  $k_1 \neq k_2$ . After possibly changing coordinates we may assume  $k_1 > k_2$ . Then we have

$$B(z) = \begin{pmatrix} z^{k_1} & 0 \\ 0 & z^{k_2} \end{pmatrix} C \begin{pmatrix} \frac{1}{z} \end{pmatrix} \begin{pmatrix} z^{-k_1} & 0 \\ 0 & z^{-k_2} \end{pmatrix} = \begin{pmatrix} c_{11} \begin{pmatrix} \frac{1}{z} \end{pmatrix} & z^{k_1 - k_2} c_{12} \begin{pmatrix} \frac{1}{z} \end{pmatrix} \\ z^{k_2 - k_1} c_{21} \begin{pmatrix} \frac{1}{z} \end{pmatrix} & c_{22} \begin{pmatrix} \frac{1}{z} \end{pmatrix} \end{pmatrix}$$

for all  $z \in \mathbb{C}^*$ . This implies that  $b_{11} = c_{11}$  and  $b_{22} = c_{22}$  are constants. Since we assume  $k_1 > k_2$ , we also get  $b_{21} = c_{21} = 0$  and  $b_{12}$  and  $c_{12}$  are polynomials of degree at most  $k_1 - k_2$ . Therefore,

$$\ker \Psi \cong P_{2-(k_1+k_2)} \rtimes \left\{ \begin{pmatrix} \lambda & p(z) \\ 0 & \mu \end{pmatrix} \middle| \lambda, \mu \in \mathbb{C}^*, \ p \in P_{k_1-k_2} \right\},$$

and the group structure is again given by

$$(f_1(z), B_1) \cdot (f_2(z), B_2) = (\det B_1 f_1(z) + f_2(z), B_1 B_2)$$

for  $f_1, f_2 \in P_{2-(k_1+k_2)}, B_1, B_2 \in \left\{ \begin{pmatrix} \lambda & p(z) \\ 0 & \mu \end{pmatrix} \middle| \lambda, \mu \in \mathbb{C}^*, p \in P_{k_1-k_2} \right\}.$ The semidirect product ker  $\Psi \rtimes SL_2(\mathbb{C})$  (or ker  $\Psi \rtimes PSL_2(\mathbb{C})$ ) is a direct product if and

The semidirect product ker  $\Psi \rtimes SL_2(\mathbb{C})$  (or ker  $\Psi \rtimes PSL_2(\mathbb{C})$ ) is a direct product if and only if  $k_1 = k_2$  and  $k_1 + k_2 \ge 2$ .

*Example 32* Let  $\mathcal{M} = (\mathbb{P}_1 \mathbb{C}, \mathcal{O}_{\mathcal{M}})$  be the complex supermanifold of dimension dim  $\mathcal{M} = (1|2)$  given by the transition map  $\chi : \mathcal{U}_0^* \to \mathcal{U}_1^*$  with pullback

$$\chi^*(w) = \frac{1}{z} + \frac{1}{z^3} \theta_1 \theta_2$$
 and  $\chi^*(\eta_j) = \frac{1}{z^2} \theta_j$ .

The supermanifold  $\mathcal{M}$  is not split and the associated split supermanifold corresponds to  $\mathcal{O}(-2) \oplus \mathcal{O}(-2)$ ; see e.g. [7].

As in the previous example, the action of  $PSL_2(\mathbb{C})$  on  $\mathbb{P}_1\mathbb{C}$  by Möbius transformations lifts to an action of  $PSL_2(\mathbb{C})$  on  $\mathcal{M}$ . Let A denote the class of  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{C})$  in  $PSL_2(\mathbb{C})$ . Then A acts by the morphism  $\varphi_A : \mathcal{M} \to \mathcal{M}$  whose pullback as a morphism over appropriate subsets of  $U_0$  is given by

$$\varphi_A^*(z) = \frac{c+dz}{a+bz} - \frac{b}{(a+bz)^3} \theta_1 \theta_2 \text{ and } \varphi_A^*(\theta_j) = \frac{1}{(a+bz)^2} \theta_j.$$

Let  $\Psi$ : Aut<sub> $\bar{0}$ </sub>( $\mathcal{M}$ )  $\rightarrow$  Aut( $\mathbb{P}_1\mathbb{C}$ )  $\cong$  PSL<sub>2</sub>( $\mathbb{C}$ ) denote again the Lie group homomorphism which assigns to an automorphism of  $\mathcal{M}$  the underlying automorphism of  $\mathbb{P}_1\mathbb{C}$ . The assignment  $A \mapsto \varphi_A \in \text{Aut}_{\bar{0}}(\mathcal{M})$  defines a section PSL<sub>2</sub>( $\mathbb{C}$ )  $\rightarrow$  Aut<sub> $\bar{0}$ </sub>( $\mathcal{M}$ ) of  $\Psi$ , and we have

$$\operatorname{Aut}_{\bar{0}}(\mathcal{M}) \cong \ker \Psi \rtimes \operatorname{PSL}_2(\mathbb{C}).$$

The section  $\text{PSL}_2(\mathbb{C}) \to \text{Aut}_{\bar{0}}(\mathcal{M})$  induces on the level of Lie algebras the morphism  $\sigma : \mathfrak{sl}_2(\mathbb{C}) \hookrightarrow \text{Vec}_{\bar{0}}(\mathcal{M})$ , which maps an element  $\begin{pmatrix} a & b \\ c & -a \end{pmatrix} \in \mathfrak{sl}_2(\mathbb{C})$  to the super vector field on  $\mathcal{M}$  whose restriction to  $\mathcal{U}_0$  is

$$(c-2az-bz^2-b\theta_1\theta_2)\frac{\partial}{\partial z}-2(a+bz)\left(\theta_1\frac{\partial}{\partial \theta_1}+\theta_2\frac{\partial}{\partial \theta_2}\right).$$

We now calculate the kernel ker  $\Psi$ . Let  $\varphi \in \ker \Psi$ . Its underlying map  $\tilde{\varphi}$  is the identity and we thus have

$$\varphi^*(z) = z + a_0(z)\theta_1\theta_2$$
 and  $\varphi^*(\theta) = A_0(z)\theta_1$ 

on  $U_0$  and

$$\varphi^*(w) = w + a_1(w)\eta_1\eta_2$$
 and  $\varphi^*(\eta) = A_1(w)\eta_1$ 

on  $U_1$  for holomorphic functions  $a_0$  and  $a_1$  and invertible matrices  $A_0$  and  $A_1$  whose entries are holomorphic functions. The notation  $\varphi^*(\theta) = A_0(z)\theta$  (and similarly  $\varphi^*(\eta) = A_1(w)\eta$ ) is again an abbreviation for  $\varphi^*(\theta_j) = (A_0(z))_{j1}\theta_1 + (A_0(z))_{j2}\theta_2$ , where  $A_0(z) = ((A_0(z))_{jk})_{1 \le i,k \le 2}$ . A calculation with the transition map  $\chi$  then yields the relations

$$A_1(w) = A_0\left(\frac{1}{w}\right)$$
 and  $a_1(w) = \frac{1}{w}\left(\left(\det A_0\left(\frac{1}{w}\right) - 1\right) - \frac{1}{w}a_0\left(\frac{1}{w}\right)\right)$ 

for any  $w \in \mathbb{C}^*$ . Since  $a_0, a_1, A_0$ , and  $A_1$  are holomorphic on  $\mathbb{C}$ , we get that  $A_0 = A_1$  are constant matrices, det  $A_0 = 1$ , and  $a_0 = a_1 = 0$ . Therefore, ker  $\Psi \cong SL_2(\mathbb{C})$ , and its Lie algebra is

$$\left\{ \left(a_{11}\theta_1 + a_{12}\theta_2\right) \frac{\partial}{\partial\theta_1} + \left(a_{21}\theta_1 + a_{22}\theta_2\right) \frac{\partial}{\partial\theta_2} \middle| \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \in \mathfrak{sl}_2(\mathbb{C}) \right\}.$$

Since Lie(ker  $\Psi$ ) and  $\sigma$  (Lie(PSL<sub>2</sub>( $\mathbb{C}$ )) commute, the semidirect product ker  $\Psi \rtimes PSL_2(\mathbb{C})$  is direct and we have

$$\operatorname{Aut}_{\bar{0}}(\mathcal{M}) \cong \operatorname{SL}_2(\mathbb{C}) \times \operatorname{PSL}_2(\mathbb{C}).$$

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Remark in particular that this group is different from the automorphism group of the corresponding split supermanifold  $\mathcal{N}$ , which is associated with  $\mathcal{O}(-2) \oplus \mathcal{O}(-2)$ , with  $\operatorname{Aut}_{\bar{0}}(\mathcal{N}) \cong \operatorname{GL}_2(\mathbb{C}) \times \operatorname{PSL}_2(\mathbb{C})$ .

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