



# Riemannian submersions from compact four manifolds

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**Abstract** We show that under certain conditions, a nontrivial Riemannian submersion from positively curved four manifolds does *not* exist. This gives a partial answer to a conjecture due to Fred Wilhelm. We also prove a rigidity theorem for Riemannian submersions with totally geodesic fibers from compact four-dimensional Einstein manifolds.

## 1 Introduction

A smooth map  $\pi: (M, g) \rightarrow (N, h)$  is a Riemannian submersion if  $\pi_*$  is surjective and satisfies the following property:

$$g_p(v, w) = h_{\pi(p)}(\pi_*v, \pi_*w)$$

for any  $v, w$  that are tangent vectors in  $TM_p$  and perpendicular to the kernel of  $\pi_*$ .

A fundamental problem in Riemannian geometry is to study the interaction between curvature and topology. A lot of important work has been done in this direction. In this paper we study a similar problem for Riemannian submersions:

**Problem** *Explore the structure of  $\pi$  under additional curvature assumptions of  $(M, g)$ .*

When  $(M, g)$  has constant sectional curvature, we have the following classification results ([8, 23, 24]).

**Theorem 1.1** *Let  $\pi: (M^m, g) \rightarrow (N, h)$  be a nontrivial Riemannian submersion (i.e.  $0 < \dim N < \dim M$ ) with connected fibers, where  $(M^m, g)$  is compact and has constant sectional curvature  $c$ .*

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1. If  $c < 0$ , then there is no such Riemannian submersion.
2. If  $c = 0$ , then locally  $\pi$  is the projection of a metric product onto one of its factors.
3. If  $c > 0$  and  $M^m$  is simply connected, then  $\pi$  is metrically congruent to the Hopf fibration, i.e, there exist isometries  $f_1: M^m \rightarrow S^m$  and  $f_2: N \rightarrow \mathbb{P}(\mathbb{K})$  such that  $pf_1 = f_2\pi$ , where  $p$  is the standard projection from  $S^m$  to projective spaces  $\mathbb{P}(\mathbb{K})$ .

However, very little is known about the structure of  $\pi$  if  $(M, g)$  is not of constant curvature. In this paper we consider two different curvature conditions:

1.  $(M, g)$  has positive sectional curvature.
2.  $(M, g)$  is an Einstein manifold.

When  $(M, g)$  has positive sectional curvature, we have the following important conjecture due to Fred Wilhelm.

**Conjecture 1** *Let  $\pi: (M, g) \rightarrow (N, h)$  be a nontrivial Riemannian submersion, where  $(M, g)$  is a compact Riemannian manifold with positive sectional curvature. Then  $\dim(F) < \dim(N)$ , where  $F$  is the fiber of  $\pi$ .*

By Frankel’s theorem [7], it is not hard to see that Conjecture 1 is true if at least two fibers of  $\pi$  are totally geodesic. In fact, since any two fibers do not intersect with each other, Frankel’s theorem implies that  $2 \dim(F) < \dim(M)$ . Hence  $\dim(F) < \dim(N)$ . If all fibers of  $\pi$  are totally geodesic, we have the following stronger result which is due to Florit and Ziller [6]. See also Propositions 2.4, 2.5 in [27].

**Proposition 1.2** *Let  $\pi: (M, g) \rightarrow (N, h)$  be a nontrivial Riemannian submersion such that all fibers of  $\pi$  are totally geodesic, where  $(M, g)$  is a compact Riemannian manifold with positive sectional curvature. Then  $\dim(F) < \rho(\dim(N)) + 1$ , where  $F$  is any fiber of  $\pi$  and  $\rho(n)$  is the maximal number of linearly independent vector fields on  $S^{n-1}$ .*

Notice that we always have  $\rho(\dim(N)) + 1 \leq \dim(N) - 1 + 1 = \dim(N)$  and equality holds if and only  $\dim(N) = 2, 4$  or  $8$ .

*Remark 1* It would be very interesting to know whether one can replace  $\dim(F) < \dim(N)$  by  $\dim(F) < \rho(\dim(N)) + 1$  in Conjecture 1. It would be the Riemannian analogue of Toponogov’s Conjecture (page 1727 in [19]) and would imply that  $\dim(N)$  must be even (In fact, if  $\dim(N)$  is odd, then  $\rho(\dim(N)) = 0$ . Hence  $\dim(F) < \rho(\dim(N)) + 1$  implies  $\dim(F) = 0$  and hence  $\pi$  is trivial, contradiction). In particular, there would be no Riemannian submersion with one-dimensional fibers from even-dimensional manifolds with positive sectional curvature.

When  $\dim(M) = 4$ , Conjecture 1 is equivalent to the following conjecture.

**Conjecture 2** *There is no nontrivial Riemannian submersion from any compact four manifold  $(M^4, g)$  with positive sectional curvature.*

In fact, suppose there exists such a Riemannian submersion  $\pi: (M^4, g) \rightarrow (N, h)$ . Then Conjecture 1 would imply  $\dim(N) = 3$ . Hence the Euler number of  $M^4$  is zero. On the other hand, since  $(M^4, g)$  has positive sectional curvature,  $H^1(M^4, \mathbb{R}) = 0$  by Bochner’s vanishing theorem ([17], page 208). By Poincaré duality, the Euler number of  $M^4$  is positive. Contradiction.

Let  $\pi: (M, g) \rightarrow (N, h)$  be a Riemannian submersion. We say that a function  $f$  defined on  $M$  is basic if  $f$  is constant along each fiber. A vector field  $X$  on  $M$  is basic if it is horizontal

and is  $\pi$ -related to a vector field on  $N$ . In other words,  $X$  is the horizontal lift of some vector field on  $N$ . Let  $H$  be the mean curvature vector field of the fibers and  $A$  be the O’Neill tensor of  $\pi$ . We denote by  $\|A\|$  the norm of  $A$ , i.e.,  $\|A\|^2 = \sum_{i,j} \|A_{X_i} X_j\|^2$ , where  $\{X_i\}$  is a local orthonormal basis of the horizontal distribution of  $\pi$ . The next theorem gives a partial answer to Conjecture 2.

**Theorem 1.3** *There is no nontrivial Riemannian submersion from any compact four manifold with positive sectional curvature such that either  $\|A\|$  or  $H$  is basic.*

We emphasize that in Conjecture 1 the assumption that  $(M, g)$  has positive sectional curvature can *not* be replaced by  $(M, g)$  has positive sectional curvature *almost* everywhere, namely,  $(M, g)$  has nonnegative sectional curvature everywhere and has positive sectional curvature on an open and dense subset of  $M$ . Such counterexamples were firstly constructed by M. Kerin in [15]. In fact, he constructed Riemannian metrics on  $M^{13} = (S^7 \times S^7)/S^1$  and  $N^{11} = (S^7 \times S^7)/S^3$  with positive sectional curvature *almost* everywhere. Equipped with these metrics, there exist Riemannian submersions  $M^{13} \rightarrow \mathbb{C}P^3$  and  $N^{11} \rightarrow S^4$  such that in each case the fibre is  $S^7$ . Here we provide a new counterexample. Let  $g$  be the metric on  $S^2 \times S^3$  constructed by Wilking [25] which has positive sectional curvature *almost* everywhere. Then by a theorem of Tapp [20],  $g$  can be extended to a nonnegatively curved metric  $\tilde{g}$  on  $S^2 \times \mathbb{R}^4$  such that  $(S^2 \times S^3, g)$  becomes the distance sphere of radius 1 about the soul. By Proposition 5.1 below, we get a Riemannian submersion  $\pi: (S^2 \times S^3, g) \rightarrow (S^2, h)$ , where  $h$  is the induced metric from  $\tilde{g}$  on the soul  $S^2$ . This example together with Kerin’s examples show that in Conjecture 1 the assumption that  $(M, g)$  has positive sectional curvature can *not* be replaced by  $(M, g)$  has positive sectional curvature *almost* everywhere.

Riemannian submersions are also important in the study of compact Einstein manifolds, for example, see [3]. Our next theorem gives a complete classification of Riemannian submersions with totally geodesic fibers from compact four-dimensional Einstein manifolds.

**Theorem 1.4** *Suppose  $\pi: (M^4, g) \rightarrow (N, h)$  is a Riemannian submersion, where  $(M^4, g)$  is a compact four-dimensional Einstein manifold. If all fibers of  $\pi$  are totally geodesic and have dimension 2, then locally  $\pi$  is the projection of a metric product  $B^2(c) \times B^2(c)$  onto one of the factors, where  $B^2(c)$  is a two-dimensional compact manifold with constant curvature  $c$ .*

If the dimension of the fibers of  $\pi$  is 1 or 3 (all fibers are not necessarily totally geodesic), then the Euler number of  $M^4$  is zero. By a theorem of Berger [2, 14],  $(M^4, g)$  must be flat. Hence by a theorem of Walschap [23], locally  $\pi$  is the projection of a metric product onto one of the factors.

## 2 Preliminaries

In this section we recall some definitions and facts on Riemannian submersions which will be used in this paper. We refer to [16] for more details.

Let  $\pi: (M, g) \rightarrow (N, h)$  be a Riemannian submersion. Then  $\pi$  induces an orthogonal splitting  $TM = \mathcal{H} \oplus \mathcal{V}$ , where  $\mathcal{V}$  is tangent to the fibers and  $\mathcal{H}$  is the orthogonal complement of  $\mathcal{V}$ . We write  $Z = Z^h + Z^v$  for the corresponding decomposition of  $Z \in TM$ . The O’Neill tensor  $A$  is given by

$$A_X Y = (\nabla_X Y)^v = \frac{1}{2}([X, Y])^v,$$

where  $X, Y \in \mathcal{H}$  and are  $\pi$ -related to some vector field on  $N$ , respectively.

Fix  $X \in \mathcal{H}$ , define  $A_X^*$  by

$$\begin{aligned} A_X^* : \mathcal{V} &\rightarrow \mathcal{H} \\ V &\mapsto -(\nabla_V X)^h. \end{aligned}$$

Then  $A_X^*$  is the dual of  $A_X$ .

Define the mean curvature vector field  $H$  of  $\pi$  by

$$H = \sum_i (\nabla_{V_i} V_i)^h,$$

where  $\{V_i\}_{i=1}^k$  is any orthonormal basis of  $\mathcal{V}$  and  $k = \dim \mathcal{V}$ .

Define the mean curvature form  $\omega$  of  $\pi$  by

$$\omega(Z) = g(H, Z),$$

where  $Z \in TM$ . It is clear that  $i_V \omega = \omega(V) = 0$  for any  $V \in \mathcal{V}$ .

We say that a function  $f$  defined on  $M$  is basic if  $f$  is constant along each fiber. A vector field  $X$  on  $M$  is basic if it is horizontal and is  $\pi$ -related to a vector field on  $N$ . In other words,  $X$  is the horizontal lift of some vector field on  $N$ . A differential form  $\alpha$  on  $M$  is called to be basic if and only  $i_V \alpha = 0$  and  $\mathcal{L}_V \alpha = 0$  for any  $V \in \mathcal{V}$ , where  $\mathcal{L}_V \alpha$  is the Lie derivative of  $\alpha$ .

The set of basic forms of  $M$ , denoted by  $\Omega_b(M)$ , constitutes a subcomplex

$$d : \Omega_b^r(M) \rightarrow \Omega_b^{r+1}(M)$$

of the De Rham complex  $\Omega(M)$ . The basic cohomology of  $M$ , denoted by  $H_b^*(M)$ , is defined to be the cohomology of  $(\Omega_b(M), d)$ .

**Proposition 2.1** *The inclusion map  $i : \Omega_b(M) \rightarrow \Omega(M)$  induces an injective map*

$$H_b^1(M) \rightarrow H_{DR}^1(M).$$

*Proof* See pages 33–34, Proposition 4.1 in [22]. □

### 3 Proof of Theorem 1.3

Let  $(M^m, g)$  be an  $m$ -dimensional compact manifold with positive sectional curvature,  $m \geq 4$  and  $(N^2, h)$  be a 2-dimensional compact Riemannian manifold. Now we are going to prove the following theorem which implies Theorem 1.3.

**Theorem 3.1** *There is no Riemannian submersion  $\pi : (M^m, g) \rightarrow (N^2, h)$  such that*

1. *the Euler numbers of the fibers are nonzero and*
2. *either  $\|A\|$  or  $H$  is basic.*

*Remark 2* If Conjecture 1 is true, then there would be no Riemannian submersion  $\pi : (M^m, g) \rightarrow (N^2, h)$ , where  $(M^m, g)$  has positive sectional curvature and  $m \geq 4$ .

Before we prove Theorem 3.1, we firstly show how to derive Theorem 1.3. The proof is by contradiction. Suppose there exists a nontrivial Riemannian submersion  $\pi : (M^4, g) \rightarrow (N, h)$  such that either  $\|A\|$  or  $H$  is basic, where  $(M^4, g)$  is a compact four manifold with

positive sectional curvature. Since  $(M^4, g)$  has positive sectional curvature,  $H^1(M^4, \mathbb{R}) = 0$  by Bochner’s vanishing theorem ([17], page 208). By Poincaré duality,  $\chi(M^4) = 2 + b_2(M^4)$  is positive. By a theorem of Hermann [13],  $\pi$  is a locally trivial fibration. Then  $\chi(M^4) = \chi(N)\chi(F)$ , where  $F$  is any fiber of  $\pi$ . It follows that  $\dim(N) = 2$  and  $\chi(F)$  is nonzero (hence all fibers have nonzero Euler numbers), which is a contradiction by Theorem 3.1.

The proof of Theorem 3.1 is again by contradiction. Suppose  $\pi: (M^m, g) \rightarrow (N^2, h)$  is a Riemannian submersion satisfying the conditions in Theorem 3.1. By passing to its oriented double cover, we can assume that  $N^2$  is oriented. The idea of the proof of Theorem 3.1 is to construct a nowhere vanishing vector field (or line field) on some fiber of  $\pi$ , which will imply the Euler numbers of the fibers are zero. Contradiction.

Since  $(M, g)$  has positive sectional curvature, by Theorem 1.3 in [23],  $\|A\|$  can not be identical to zero on  $M$ . Hence there exists  $p \in M$  such that  $\|A\|(p) \neq 0$ .

If  $\|A\|$  is basic, then  $\|A\| \neq 0$  at any point on  $F_p$ , where  $F_p$  is the fiber at  $p$ . Let  $X, Y$  be any orthonormal oriented basic vector fields in some open neighborhood of  $F_p$ . Then  $\|A_X Y\|^2 = \frac{1}{2} \|A\|^2 \neq 0$  at any point on  $F_p$ . Define a map  $s$  by

$$s: F_p \rightarrow T F_p$$

$$x \mapsto \frac{A_X Y}{\|A_X Y\|}(x).$$

Let  $Z, W$  be another orthonormal oriented basic vector fields. Then  $Z = aX + bY$  and  $W = cX + dY, ad - bc > 0$ . Then

$$A_Z W = (ad - bc)A_X Y.$$

Hence  $s$  does not depend on the choice of  $X, Y$ . Then  $s$  is a nowhere vanishing vector field on  $F_p$ . Thus the Euler number of  $F_p$  is zero. Contradiction.

If  $H$  is basic, the construction of such nowhere vanishing vector field (or line field) is more complicated. Under the assumption that  $H$  is basic, we firstly construct a metric  $\hat{g}$  on  $M^m$  such that  $\pi: (M^m, \hat{g}) \rightarrow (N^2, h)$  is still a Riemannian submersion and all fibers are minimal submanifolds with respect to  $\hat{g}$ . Of course, in general  $\hat{g}$  can not have positive sectional curvature everywhere. However, the crucial point is that there exists some fiber  $F_0$  such that  $\hat{g}$  has positive sectional curvature at all points on  $F_0$ . Pick any fiber  $F_1$  which is close enough to  $F_0$ . Then using the Syngé’s trick, we construct a continuous codimension one distribution on  $F_1$ . Thus the Euler number of  $F_1$  is zero. Contradiction.

Now we are going to explain the proof of Theorem 3.1 in details. We firstly need the following lemmas:

**Lemma 3.2** *Suppose  $\omega$  is the mean curvature form of a Riemannian submersion from compact Riemannian manifolds. If  $\omega$  is a basic form, then it is a closed form.*

*Proof* See page 82 in [22] for a proof. □

**Lemma 3.3** *Suppose  $\pi: (M^m, g) \rightarrow (N, h)$  is a Riemannian submersion such that  $H$  is basic, where  $(M^m, g)$  is a compact Riemannian manifold with positive sectional curvature. Then there exists a metric  $\hat{g}$  on  $M^m$  such that  $\pi: (M^m, \hat{g}) \rightarrow (N, h)$  is still a Riemannian submersion and all fibers are minimal submanifolds with respect to  $\hat{g}$ . Furthermore, there exists some fiber  $F_0$  such that  $\hat{g}$  has positive sectional curvature at all points on  $F_0$ .*

*Proof* The idea is to use partial conformal change of metrics along the fibers, see also page 82 in [22]. Let  $\omega$  be the mean curvature form of  $\pi$ . Since  $H$  is basic,  $\omega$  is a basic form. Then  $\omega$  is closed by Lemma 3.2. So  $[\omega]$  defines a cohomological class in  $H_b^1(M^m)$ . Because

$(M^m, g)$  has positive sectional curvature,  $H_{DR}^1(M^m) = 0$  by Bochner’s vanishing theorem ([17], page 208). By Proposition 2.1, we see that  $H_b^1(M^m) = 0$ . Then there exists a basic function  $f$  globally defined on  $M^m$  such that  $\omega = df$ . Define  $\hat{f} = f - \max_{p \in M^m} f(p)$ . Then  $\max_{p \in M^m} \hat{f}(p) = 0$  and  $\omega = d\hat{f}$ . Let  $\lambda = e^{\hat{f}}$  and define

$$\hat{g} = (\lambda^{\frac{2}{k}} g_v) \oplus g_h,$$

where  $k = \dim(M^m) - \dim(N)$ ,  $g_v/g_h$  are the vertical/horizontal components of  $g$ , respectively.

Since the horizontal components of  $g$  remains unchanged,  $\pi: (M^m, \hat{g}) \rightarrow (N, h)$  is still a Riemannian submersion. Now we compute the mean curvature form  $\hat{\omega}$  associated to  $\hat{g}$ . Let  $\{V_i\}_{i=1}^k$  be vertical vector fields satisfying  $g(V_i, V_j) = \delta_i^j$ . With respect to  $\hat{g}$ , the mean curvature vector field are given by  $\hat{H} = (\sum_{i=1}^k \hat{\nabla}_{\hat{V}_i} \hat{V}_i)^h$ , where  $\hat{V}_i = \lambda^{-\frac{1}{k}} V_i$  and  $\hat{\nabla}$  is the Levi-Civita connection associated to  $\hat{g}$ . For any basic vector field  $X$ , we have

$$\hat{\omega}(X) = \hat{g}(\hat{H}, X) = \hat{g}\left(\sum_{i=1}^k \hat{\nabla}_{\hat{V}_i} \hat{V}_i, X\right).$$

By the *Koszul’s formula*, we get

$$\begin{aligned} 2\hat{\omega}(X) &= \hat{V}_i \hat{g}(\hat{V}_i, X) + \hat{V}_i \hat{g}(X, \hat{V}_i) - X \hat{g}(\hat{V}_i, \hat{V}_i) \\ &\quad + \hat{g}([\hat{V}_i, \hat{V}_i], X) - \hat{g}([\hat{V}_i, X], \hat{V}_i) - \hat{g}([\hat{V}_i, X], \hat{V}_i) \\ &= -X \hat{g}(\hat{V}_i, \hat{V}_i) - 2\hat{g}([\hat{V}_i, X], \hat{V}_i) \\ &= -Xg(V_i, V_i) - 2\lambda^{\frac{2}{k}} g\left([\lambda^{-\frac{1}{k}} V_i, X], \lambda^{-\frac{1}{k}} V_i\right) \\ &= -Xg(V_i, V_i) - 2g([V_i, X], V_i) + 2\lambda^{\frac{1}{k}} X(\lambda^{-\frac{1}{k}})g(V_i, V_i) \\ &= -Xg(V_i, V_i) - 2g([V_i, X], V_i) - 2d\log\lambda(X). \end{aligned}$$

On the other hand, by the *Koszul’s formula* again, we get

$$2\omega(X) = 2g(H, X) = -Xg(V_i, V_i) - 2g([V_i, X], V_i).$$

So we get

$$2\hat{\omega}(X) = 2\omega(X) - 2d\log\lambda(X).$$

Hence

$$\hat{\omega} = \omega - d\log\lambda = \omega - d\hat{f} = 0.$$

It follows that all fibers of  $\pi$  are minimal submanifolds with respect to  $\hat{g}$ .

Let  $e^{2\phi}(p) = \lambda^{\frac{2}{k}}(p)$ ,  $p \in M^m$ . Then

$$\hat{g} = e^{2\phi} g_v \oplus g_h.$$

Note for any  $p \in M^m$ ,  $0 < e^{2\phi}(p) \leq 1$ . Moreover, we have  $\max_{p \in M^m} e^{2\phi}(p) = 1$ . Let  $p_0 \in M^m$  such that  $e^{2\phi}(p_0) = 1$  and  $F_0$  be the fiber of  $\pi$  passing through  $p_0$ . Since  $f$  is a basic function on  $M^m$ ,  $e^{2\phi}$  is also basic. Then  $e^{2\phi} \equiv 1$  on  $F_0$ , which will play a crucial role for our purpose. Of course, in general  $\hat{g}$  can *not* have positive sectional curvature everywhere. However, we will see that  $\hat{g}$  still has positive sectional curvature at all points on  $F_0$ . (The reader should compare it to the following fact: Let  $\hat{h} = e^{2f} h$  be a conformal change of  $h$ , where  $h$  is a Riemannian metric on  $M$  with positive sectional curvature. Then  $\hat{h}$  still has

positive sectional curvature at those points where  $f$  attains its maximum value.) This can be seen by the results of Chapter 2 in [10], in particular 2.1.23–2.1.25 in page 52. We provide some details here. Let  $\nabla, R/\hat{\nabla}, \hat{R}$  be the Levi-Civita connection and curvature tensor with respect to  $g/\hat{g}$ . Given a nonzero vertical vector  $V$  and horizontal vector  $X$ , by 2.1.19 in page 51 or 2.1.24 in page 52 in [10], we have

$$e^{-2\phi} \hat{g}(\hat{R}(X, V, V), X) = g(R(X, V, V), X) - (1 - e^{2\phi})g(A_X^* V, A_X^* V) + 2g(\nabla\phi, X)g(X, B(V, V)) - (Hess\phi(X, X) + g(\nabla\phi, X)^2)g(V, V),$$

where  $B$  is the second fundamental form of the fibers and  $Hess\phi$  is the Hessian of  $\phi$  with respect to  $g$ . Since  $e^{2\phi}$  attains its maximum value 1 at all points on  $F_0$ , we see  $\nabla\phi \equiv 0$  and  $Hess\phi(X, X) \leq 0$  on  $F_0$ . Then we get

$$e^{-2\phi} \hat{g}(\hat{R}(X, V, V), X) \geq g(R(X, V, V), X) > 0.$$

Adapting the above argument to other tangent planes, one can check that  $\hat{g}$  has positive sectional curvature at all points on  $F_0$ . □

Now we can give a proof of Theorem 3.1 under the assumption that  $H$  is basic. We prove it by contradiction. Let  $\pi: (M^m, g) \rightarrow (N^2, h)$  be a Riemannian submersion such that  $H$  is basic and the fibers have nonzero Euler numbers, where  $(M^m, g)$  has positive sectional curvature and  $m \geq 4$ . By Lemma 3.3, there exists a metric  $\hat{g}$  on  $M^m$  such that  $\pi: (M^m, \hat{g}) \rightarrow (N^2, h)$  is still a Riemannian submersion and all fibers of  $\pi$  are minimal submanifolds with respect to  $\hat{g}$ . Furthermore, there exists some fiber  $F_0$  such that  $\hat{g}$  has positive sectional curvature at all points in  $F_0$ . Let  $r$  be a fixed positive number such that the normal exponential map of  $F_0$  is a diffeomorphism when restricted to the tubular neighborhood of  $F_0$  with radius  $r$ . By continuity of sectional curvature, there exists  $\epsilon, 0 < \epsilon < r$  such that  $\hat{g}$  has positive sectional curvature at the  $\epsilon$  neighborhood of  $F_0$ . Choose another fiber  $F_1$  such that  $0 < \hat{d}(F_0, F_1) < \epsilon$ , where  $\hat{d}(F_0, F_1)$  is the distance between  $F_0$  and  $F_1$  with respect to  $\hat{g}$ . Since  $\pi: (M^m, \hat{g}) \rightarrow (N^2, h)$  is a Riemannian submersion,  $F_0$  and  $F_1$  are equidistant. On the other hand, since  $0 < \hat{d}(F_0, F_1) < \epsilon$ , then for any point  $q \in F_1$ , there is a *unique* point  $p \in F_0$  such that  $\hat{d}(p, q) = \hat{d}(F_0, F_1)$ . Let  $L = \hat{d}(p, q)$  and  $\gamma: [0, L] \rightarrow M^m, \gamma(0) = p, \gamma(L) = q$  be the *unique* minimal geodesic with unit speed realizing the distance between  $p$  and  $q$ . Let  $V \subseteq T_q(M^m)$  be the subspace of vectors  $v = X(L)$  where  $X$  is a parallel field along  $\gamma$  such that  $X(0) \in T_p(F_0)$ . Then

$$\begin{aligned} \dim(V \cap T_q(F_1)) &= \dim(V) + \dim(T_q(F_1)) - \dim(V + T_q(F_1)) \\ &\geq (m - 2) + (m - 2) - (m - 1) = m - 3. \end{aligned}$$

We claim that  $\dim(V \cap T_q(F_1)) = m - 3$ . If not, then  $\dim(V \cap T_q(F_1)) = m - 2$ . Let  $X_i, i = 1, \dots, m - 2$ , be orthonormal parallel fields along  $\gamma$  such that  $X_i(0) \in T_p(F_0), X_i(L) \in T_q(F_1)$ . For each  $i$ , choose a variation  $f_i(s, t)$  of  $\gamma$  such that  $f_i(s, 0) \in F_0, f_i(s, L) \in F_1$  for small  $s$  and  $\frac{\partial f_i(0, t)}{\partial s} = X_i(t)$ . By construction,  $\dot{X}_i(t) = \hat{\nabla}_{\dot{\gamma}} X_i(t) = 0$  for all  $t$ , where  $\hat{\nabla}$  is the Levi-Civita connection with respect to  $\hat{g}$ . By the second variation formula, for  $i = 1, \dots, m - 2$ , we have

$$\begin{aligned} \frac{1}{2} \frac{d^2 E_i(s)}{ds^2} \Big|_{s=0} &= \int_0^L (\hat{g}(\dot{X}_i, \dot{X}_i) - \hat{R}(X_i, \dot{\gamma}, \dot{\gamma}, X_i)) dt \\ &\quad + \hat{g}(\hat{B}_1(X_i, X_i), \dot{\gamma})(L) - \hat{g}(\hat{B}_0(X_i, X_i), \dot{\gamma})(0) \\ &= - \int_0^L \hat{R}(X_i, \dot{\gamma}, \dot{\gamma}, X_i) dt + \hat{g}(\hat{B}_1(X_i, X_i), \dot{\gamma})(L) - \hat{g}(\hat{B}_0(X_i, X_i), \dot{\gamma})(0), \end{aligned}$$

where  $E_i(s) = \int_0^L \hat{g}(\frac{\partial f_i(s,t)}{\partial t}, \frac{\partial f_i(s,t)}{\partial t})dt$ ,  $\hat{R}$  is the curvature tensor of  $\hat{g}$  and  $\hat{B}_j$  is the second fundamental form of  $F_j$  with respect to  $\hat{g}$ ,  $j = 0, 1$ .

Since  $F_0$  and  $F_1$  are minimal submanifolds in  $(M^m, \hat{g})$ , we have

$$\sum_{i=1}^{m-2} \hat{B}_j(X_i, X_i) = 0, j = 0, 1.$$

Then

$$\frac{1}{2} \sum_{i=1}^{m-2} \frac{d^2 E_i(s)}{ds^2} \Big|_{s=0} = - \sum_{i=1}^{m-2} \int_0^L \hat{R}(X_i, \dot{\gamma}, \dot{\gamma}, X_i) dt.$$

Since  $\hat{g}$  has positive sectional curvature at the  $\epsilon$  neighborhood of  $F_0$  and  $0 < \hat{d}(F_0, F_1) < \epsilon$ , we see that  $\hat{R}(X_i, \dot{\gamma}, \dot{\gamma}, X_i) < 0$ . Hence

$$\frac{1}{2} \sum_{i=1}^{m-2} \frac{d^2 E_i(s)}{ds^2} \Big|_{s=0} < 0.$$

Then there exists some  $i_0$  such that  $\frac{d^2 E_{i_0}(s)}{ds^2} \Big|_{s=0} < 0$ , which contradicts that  $\gamma$  is a minimal geodesic realizing the distance between  $F_0$  and  $F_1$ . So  $dim(V \cap T_q(F_1)) = m - 3$ . Since  $dim(T_q(F_1)) = m - 2$ , then  $V \cap T_q(F_1)$  is a codimension one subspace of  $T_q(F_1)$ . Since  $q$  is arbitrary on  $F_1$ , by doing the same construction as above for any  $q$ , then we get a continuous codimension one distribution on  $F_1$ . Thus the Euler number of  $F_1$  is zero. Contradiction.

### 4 Proof of Theorem 1.4

In this section we prove Theorem 1.4. Suppose  $\pi: (M^4, g) \rightarrow (N^2, h)$  is a Riemannian submersion with totally geodesic fibers, where  $(M^4, g)$  is a compact four-dimensional Einstein manifold. We are going to show that the  $A$  tensor of  $\pi$  vanishes and then locally  $\pi$  is the projection of a metric product onto one of the factors. We firstly need the following lemmas:

**Lemma 4.1** *Let  $\pi$  be a Riemannian submersion with totally geodesic fibers from compact Riemannian manifolds, then all fibers are isometric to each other.*

*Proof* See [13]. □

**Lemma 4.2** *Suppose  $\pi: (M^4, g) \rightarrow (N^2, h)$  is a Riemannian submersion with totally geodesic fibers, where  $(M^4, g)$  is a compact four-dimensional Einstein manifold. Let  $c_1, c_2$  be the sectional curvature of  $(F^2, g|_{F^2})$  and  $(N^2, h)$ , respectively, where  $g|_{F^2}$  is the restriction of  $g$  to the fibers  $F^2$ . Let  $Ric(g) = \lambda g$  for some  $\lambda$ . Then*

- (i)  $2c_1 + \|A\|^2 = 2\lambda$ ;
- (ii)  $2c_2 \circ \pi - 2\|A\|^2 = 2\lambda$ ;
- (iii)  $\|A\|^2 = \frac{2}{3}(c_2 \circ \pi - c_1)$ ,

where  $\|A\|^2 = \|A_X^* U\|^2 + \|A_X^* V\|^2 + \|A_Y^* U\|^2 + \|A_Y^* V\|^2$ . Here  $X, Y/U, V$  is an orthonormal basis of  $\mathcal{H}/\mathcal{V}$ , respectively.

*Proof* See page 250, Corollary 9.62 in [3]. For completeness, we give a proof here.



Let  $U, V/X, Y$  are orthonormal basis of  $\mathcal{V}/\mathcal{H}$ , respectively. Then by O’Neill’s formula ([16]), we have

$$\begin{aligned} \lambda &= Ric(U, U) = c_1 + \|A_X^*U\|^2 + \|A_Y^*U\|^2; \\ \lambda &= Ric(V, V) = c_1 + \|A_X^*V\|^2 + \|A_Y^*V\|^2; \\ \lambda &= Ric(X, X) = c_2 \circ \pi - 3\|A_X Y\|^2 + \|A_X^*U\|^2 + \|A_X^*V\|^2; \\ \lambda &= Ric(Y, Y) = c_2 \circ \pi - 3\|A_X Y\|^2 + \|A_Y^*U\|^2 + \|A_Y^*V\|^2. \end{aligned}$$

On the other hand, by direct calculation, we see that  $2\|A_X Y\|^2 = \|A\|^2$ . Hence

$$\begin{aligned} 2c_1 + \|A\|^2 &= 2\lambda; \\ 2c_2 \circ \pi - 2\|A\|^2 &= 2\lambda; \\ \|A\|^2 &= \frac{2}{3}(c_2 \circ \pi - c_1). \end{aligned}$$

□

By Lemmas 4.1 and 4.2, we see that  $c_1, \|A\|$  are constants on  $M^4$  and  $c_2$  is a constant on  $N^2$ .

Fix  $p \in M^4$ . Locally we can always choose basic vector fields  $X, Y$  such that  $X, Y$  is an orthonormal basis of the horizontal distribution. At point  $p$ , since the image of  $A_X^*$  is perpendicular to  $X$  and  $dim\mathcal{V} = dim\mathcal{H} = 2$ ,  $A_X^*$  must have nontrivial kernel. Then there exists some  $v \in \mathcal{V}$  such that  $\|v\| = 1$  and  $A_X^*(v) = 0$ . Extend  $v$  to be a local unit vertical vector field  $V$  and choose  $U$  such that  $U, V$  is a local orthonormal basis of  $\mathcal{V}$ .

**Lemma 4.3**

$$\begin{aligned} A_X^*V(p) &= 0; \\ A_Y^*V(p) &= 0. \end{aligned}$$

*Proof* We already see  $A_X^*V(p) = A_{X,p}^*(v) = 0$ . Since  $A^*$  is the dual of  $A$ , at point  $p$ , we have

$$\begin{aligned} A_Y^*V &= g(A_Y^*V, X)X = g(V, A_Y X)X \\ &= -g(V, A_X Y)X = -g(A_X^*V, Y)X = 0. \end{aligned}$$

□

Since all fibers of  $\pi$  are totally geodesic, by O’Neill’s formula ([16]), we see that  $K(X, U) = \|A_X^*U\|^2$ . Because  $(M^4, g)$  is Einstein, at point  $p$ , we have

$$\begin{aligned} \lambda &= Ric(U, U) = c_1 + \|A_X^*U\|^2 + \|A_Y^*U\|^2; \\ \lambda &= Ric(V, V) = c_1 + \|A_X^*V\|^2 + \|A_Y^*V\|^2; \end{aligned}$$

Combined with Lemma 4.3, we see that  $\lambda = c_1$  and  $\|A_X^*U\|^2(p) = 0, \|A_Y^*U\|^2(p) = 0$ . Then  $\|A\|^2(p) = 0$ . Hence  $\|A\|^2 \equiv 0$  on  $M^4$  and  $c_1 = c_2$ . Let  $c = c_1 = c_2$ . Then locally  $\pi$  is the projection of a metric product  $B^2(c) \times B^2(c)$  onto one of the factors, where  $B^2(c)$  is a two-dimensional compact manifold with constant curvature  $c$ .

## 5 Conjecture 1 and the Weak Hopf Conjecture

In this section we point out several interesting corollaries of Conjecture 1.

Suppose  $(E, g)$  is a complete, open Riemannian manifold with nonnegative sectional curvature. By a well known theorem of Cheeger and Gromoll [4],  $E$  contains a compact totally geodesic submanifold  $\Sigma$ , called the soul, such that  $E$  is diffeomorphic to the normal bundle of  $\Sigma$ . Let  $\Sigma_r$  be the distance sphere to  $\Sigma$  of radius  $r$ . Then for small  $r > 0$ , the induced metric on  $\Sigma_r$  has nonnegative sectional curvature by a theorem of Guijarro and Walschap [11]. In [9], Gromoll and Tapp proposed the following conjecture:

**Weak Hopf Conjecture** *Let  $k \geq 3$ . Then for any complete metric with nonnegative sectional curvature on  $S^n \times \mathbb{R}^k$ , the induced metric on the boundary of a small metric tube about the soul can not have positive sectional curvature.*

The case  $n = 2, k = 3$  is of particular interest since the metric tube of the soul is diffeomorphic to  $S^2 \times S^2$ .

Recall that a map between metric spaces  $f: X \rightarrow Y$  is a submetry if for all  $x \in X$  and  $r \in [0, r(x)]$  we have that  $f(B(x, r)) = B(f(x), r)$ , where  $B(p, r)$  denotes the open metric ball centered at  $p$  of radius  $x$  and  $r(x)$  is some positive continuous function. If both  $X$  and  $Y$  are Riemannian manifolds, then  $f$  is a Riemannian submersion of class  $C^{1,1}$  by a theorem of Berestovskii and Guijarro [1].

**Proposition 5.1** *Suppose  $\Sigma$  is a soul of  $(E, g)$ , where  $(E, g)$  is a complete, open Riemannian manifold with nonnegative sectional curvature. If the induced metric on  $\Sigma_r$  has positive sectional curvature at some point for some  $r > 0$ , then there is a Riemannian submersion from  $\Sigma_r$  to  $\Sigma$  with fibers  $S^{l-1}$ , where  $l = \dim(E) - \dim(\Sigma)$ .*

*Proof* In fact, by a theorem of Guijarro and Walschap in [12], if  $\Sigma_r$  has positive sectional curvature at some point, the normal holonomy group of  $\Sigma$  acts transitively on  $\Sigma_r$ . By Corollary 5 in [26], we get a submetry  $\pi: (E, g) \rightarrow \Sigma \times [0, +\infty)$  with fibers  $S^{l-1}$ , where  $\Sigma \times [0, +\infty)$  is endowed with the product metric. Then  $\pi: (\pi^{-1}(\Sigma \times (0, +\infty)), g) \rightarrow \Sigma \times (0, +\infty)$  is also a submetry. By a theorem of Berestovskii and Guijarro in [1],  $\pi$  is a  $C^{1,1}$  Riemannian submersion. Then  $\Sigma_r = \pi^{-1}(\Sigma \times \{r\})$  and  $\pi: \Sigma_r \rightarrow \Sigma$  is also a  $C^{1,1}$  Riemannian submersion with fibers  $S^{l-1}$ , where  $\Sigma_r$  is endowed with the induced metric from  $(E, g)$ .  $\square$

**Proposition 5.2** *When  $k > n$ , Conjecture 1 implies Weak Hopf Conjecture.*

*Proof* Suppose for some complete metric  $g$  on  $S^n \times \mathbb{R}^k$  with nonnegative sectional curvature, the induced metric on  $\Sigma_r$  has positive sectional curvature for some  $r > 0$ , where  $\Sigma$  is a soul. Since  $S^n \times \mathbb{R}^k$  is diffeomorphic to the normal bundle of  $\Sigma$ , we see that  $\Sigma$  is a homotopy sphere and  $\dim(\Sigma) = n$ . By Proposition 5.1, we get a Riemannian submersion from  $\Sigma_r$  to  $\Sigma$  with fibers  $S^{k-1}$ , where  $\Sigma_r$  is endowed with the induced metric from  $g$  and hence has positive sectional curvature. Since  $k > n$ , we see  $k - 1 \geq n$ , which is impossible if Conjecture 1 is true for  $C^{1,1}$  Riemannian submersions.  $\square$

*Remark 3* If the question in Remark 1 after Proposition 1.2 has a positive answer, then by Proposition 5.1 again, any small metric tube about the soul can *not* have positive sectional curvature when the soul is odd-dimensional. This would give a solution to a question asked by Tapp in [21].

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