



# *Weak Regularity of the Inverse Under Minimal Assumptions*

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## **Abstract**

Let  $\Omega \subset \mathbb{R}^3$  be a domain and let  $f \in BV_{\text{loc}}(\Omega, \mathbb{R}^3)$  be a homeomorphism such that its distributional adjugate is a finite Radon measure. We show that its inverse has bounded variation  $f^{-1} \in BV_{\text{loc}}$ . The condition that the distributional adjugate is finite measure is not only sufficient but also necessary for the weak regularity of the inverse.

## **1. Introduction**

Suppose that  $\Omega \subset \mathbb{R}^n$  is an open set and let  $f: \Omega \rightarrow f(\Omega) \subset \mathbb{R}^n$  be a homeomorphism. In this paper we address the issue of the weak regularity of  $f^{-1}$  under regularity assumptions on  $f$ .

The classical inverse function theorem states that the inverse of a  $C^1$ -smooth homeomorphism  $f$  is again a  $C^1$ -smooth homeomorphism, under the assumption that the Jacobian  $J_f$  is strictly positive. In this paper we address the question whether the inverse of a Sobolev or  $BV$ -homeomorphism is a  $BV$  function or even a Sobolev function. This problem is of particular importance as Sobolev and  $BV$  spaces are commonly used as initial spaces for existence problems in PDE's and the calculus of variations. For instance, elasticity is a typical field where both invertibility problems and Sobolev (or  $BV$ ) regularity issues are relevant (see for example [2, 4, 29]).

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The problem of the weak regularity of the inverse has attracted a big attention in the past decade. It started with the result of [20,23] where it was shown that for homeomorphisms in dimension  $n = 2$  we have

$$(f \in W_{loc}^{1,1}(\Omega, \mathbb{R}^2) \text{ is a mapping of finite distortion} \Rightarrow f^{-1} \in W_{loc}^{1,1}(f(\Omega), \mathbb{R}^2))$$

$$\text{and } (f \in BV_{loc}(\Omega, \mathbb{R}^2) \Rightarrow f^{-1} \in BV_{loc}(f(\Omega), \mathbb{R}^2)).$$

This result has been generalized to  $\mathbb{R}^n$  in [6] where it was shown that

$$(f \in W_{loc}^{1,n-1}(\Omega, \mathbb{R}^n) \text{ is a mapping of finite distortion} \Rightarrow f^{-1} \in W_{loc}^{1,1}(f(\Omega), \mathbb{R}^n))$$

$$\text{and } (f \in W_{loc}^{1,n-1}(\Omega, \mathbb{R}^n) \Rightarrow f^{-1} \in BV_{loc}(f(\Omega), \mathbb{R}^n)).$$

It is natural to study the sharpness of the assumption  $f \in W^{1,n-1}$ . It was shown in [22] that the assumption  $f \in W^{1,n-1-\varepsilon}$  (or any weaker Orlicz–Sobolev assumption) is not sufficient in general. Furthermore, by results of [9] we know that for  $f \in W^{1,n-1}$  we have not only  $f^{-1} \in BV$  but also the total variation of the inverse satisfies

$$|Df^{-1}|(f(\Omega)) = \int_{\Omega} |\text{adj } Df(x)| \, dx, \tag{1.1}$$

where  $\text{adj } Df$  denotes the adjugate matrix, that is the matrix of  $(n - 1) \times (n - 1)$  subdeterminants. However, for  $n \geq 3$  it is possible to construct a  $W^{1,1}$  homeomorphism with  $\text{adj } Df \in L^1$  such that  $f^{-1} \notin BV$  (see [22]) so the pointwise adjugate does not carry enough information about the regularity of the inverse. The main trouble in the example from [22] is that the pointwise adjugate does not capture some singular behavior on the set of measure zero.

On the other hand, the results of [6] are not perfect as they cannot be applied to even very simple mappings. Let  $c(x)$  denote the usual Cantor ternary function, then  $h(x) = x + c(x)$  is  $BV$  homeomorphism and its inverse  $g = h^{-1}$  is even Lipschitz. It is easy to check that

$$f(x, y, z) = [h(x), y, z]$$

is a  $BV$  homeomorphism and its inverse  $f^{-1}(x, y, z) = [g(x), y, z]$  is Lipschitz, but the results of [6] cannot be applied as  $f$  is not Sobolev. In this paper we obtain a new result in dimension  $n = 3$  about the regularity of the inverse which generalizes the result of [6] and can be applied to the above mapping.

It is well-known that in models of Nonlinear Elasticity and in Geometric Function Theory the usual pointwise Jacobian does not carry enough information about the mapping and it is necessary to work with the distributional Jacobian; see for example [2,5,21,24,25,27]. This distributional Jacobian captures the behavior on zero measure sets and can be used to model for example cavitations of the mapping; see for example [16,17,28,29]. In the same spirit we introduce the notion of the distributional adjugate  $\mathcal{ADJ} Df$  (see Definition 1.4 below) and we show that the right assumption for the regularity of the inverse is that  $\mathcal{ADJ} Df \in \mathcal{M}(\Omega, \mathbb{R}^{3 \times 3})$ , where  $\mathcal{M}(\Omega)$  denotes finite Radon measures on  $\Omega$ .

Further we need to add the technical assumption that Lebesgue area (see (2.2) below) of image of a.e. hyperplane parallel to coordinate axes is finite. Let us recall that the Hausdorff measure is always bigger (see Sect. 2.4):

$$A(f, \Omega \cap \{x \in \mathbb{R}^3 : x_j = t\}) \leq \mathcal{H}^2(f(\Omega \cap \{x \in \mathbb{R}^3 : x_j = t\})), \quad j = 1, 2, 3,$$

so it is enough to assume the finiteness of Hausdorff measure of the image. Our main result is the following:

**Theorem 1.1.** *Let  $\Omega \subset \mathbb{R}^3$  be a domain and  $f \in BV_{\text{loc}}(\Omega, \mathbb{R}^3)$  be a homeomorphism such that  $\mathcal{ADJ} Df \in \mathcal{M}(\Omega, \mathbb{R}^{3 \times 3})$  and assume further that for a.e.  $t$  we have*

$$A(f, \Omega \cap \{x \in \mathbb{R}^3 : x_j = t\}) < \infty \quad \text{for } j = 1, 2, 3. \quad (1.2)$$

Then  $f^{-1} \in BV_{\text{loc}}(f(\Omega), \mathbb{R}^3)$ .

If we moreover know that the image of the measure  $f(\mathcal{ADJ} Df)$  is absolutely continuous with respect to Lebesgue measure, then  $f^{-1} \in W_{\text{loc}}^{1,1}(f(\Omega), \mathbb{R}^3)$ .

It would be very interesting to see if the assumption (1.2) can be removed. A similar extra assumption was assumed in [8, Theorem 14].

To show that our result generalizes the aforementioned result of [6] we notice first that for homeomorphisms in  $W^{1,n-1}$  the distributional adjugate  $\mathcal{ADJ} Df$  is equal to the pointwise adjugate  $\text{adj} Df$  (see [21, Proposition 2.10]). The main part of the proof in [6] was to show that  $f$  maps  $\mathcal{H}^{n-1}$  null sets on almost every hyperplane to  $\mathcal{H}^{n-1}$  null sets. This implies (1.2) for  $W^{1,n-1}$ -homeomorphisms. This property of null sets on hyperplanes may fail in our setting so our proof is more subtle and we have to use delicate tools of Geometric Measure Theory.

Moreover, our assumptions are not only sufficient but also necessary for the weak regularity of the inverse.

**Theorem 1.2.** *Let  $\Omega \subset \mathbb{R}^3$  be a domain and  $f \in BV(\Omega, \mathbb{R}^3)$  be a homeomorphism such that  $f^{-1} \in BV(f(\Omega), \mathbb{R}^3)$ . Then  $\mathcal{ADJ} Df \in \mathcal{M}(\Omega, \mathbb{R}^{3 \times 3})$  and for a.e.  $t$  we have*

$$A(f, \Omega \cap \{x \in \mathbb{R}^3 : x_j = t\}) < \infty \quad \text{for } j = 1, 2, 3.$$

In an upcoming article [19] these results are further refined. There the total variation of distributional adjugate is shown to *equal* the total variation of the derivative of the inverse mapping. Moreover, some simple ways of verifying the key assumption  $\mathcal{ADJ} Df \in \mathcal{M}(\Omega, \mathbb{R}^{3 \times 3})$  are presented there.

Now we give the formal definition of the distributional adjugate. Without loss of generality we can assume that  $\Omega = (0, 1)^3$  as all statements are local.

**Definition 1.3.** Let  $f = (f_1, f_2, f_3): (0, 1)^3 \rightarrow \mathbb{R}^3$  be a homeomorphism in  $BV$ . For  $t \in (0, 1)$  we define

$$f_1^t(x) = f(t, x_2, x_3), \quad f_2^t(x) = f(x_1, t, x_3) \quad \text{and} \quad f_3^t(x) = f(x_1, x_2, t).$$

We can split these mappings into 9 mappings from  $(0, 1)^2 \rightarrow \mathbb{R}^2$  using its coordinate functions. Given  $k, j \in \{1, 2, 3\}$  choose  $a, b \in \{1, 2, 3\} \setminus \{j\}$  with  $a < b$  and define

$$f_{k,j}^t(x) = [(f_k^t)_a(x), (f_k^t)_b(x)];$$

see Fig. 1. For example,

$$f_{1,1}^t(x_2, x_3) = [(f_1^t)_2(x), (f_1^t)_3(x)] = [f_2(t, x_2, x_3), f_3(t, x_2, x_3)].$$

Now we recall the definition of distributional Jacobian and, using it, define the distributional adjugate.

**Definition 1.4.** Let  $f$  be as in Definition 1.3. For mappings  $f_{k,j}^t$  we consider the usual distributional Jacobian (see for example [21, Sect. 2.2]), that is the distribution

$$\mathcal{J}_{f_{k,j}^t}(\varphi) = - \int_{\Omega} (f_{k,j}^t)_1(x) J(\varphi, (f_{k,j}^t)_2)(x) \, dx \quad \text{for all } \varphi \in C_0^\infty(\Omega).$$

This distribution is well-defined for homeomorphism in  $W^{1,1}$ . It is also well-defined for homeomorphism in  $BV$  for  $n = 3$ , we just consider the integral with respect to corresponding measure  $d(\partial_l f_{k,j}^t)_2(x)$  instead of  $(\partial_l f_{k,j}^t)_2(x) \, dx$  and for example we define

$$\begin{aligned} \mathcal{J}_{f_{1,1}^t}(\varphi) &= - \int_{\Omega} f_2(t, x_2, x_3) \frac{\partial \varphi(x_2, x_3)}{\partial x_2} d\left(\frac{\partial f_3(t, x_2, x_3)}{\partial x_3}\right) \\ &\quad + \int_{\Omega} f_3(t, x_2, x_3) \frac{\partial \varphi(x_2, x_3)}{\partial x_3} d\left(\frac{\partial f_2(t, x_2, x_3)}{\partial x_2}\right). \end{aligned}$$

Assume that these  $3 \times 3$  distributions  $\mathcal{J}_{f_{i,j}^t}$  are measures for a.e.  $t \in (0, 1)$  and for measurable  $A \subset (0, 1)^3$  we set

$$(\mathcal{ADJ} Df)_{k,j}(A) = \int_0^1 \mathcal{J}_{f_{k,j}^t}(A \cap \{x_k = t\}) \, dt.$$

We say that  $\mathcal{ADJ} Df \in \mathcal{M}(\Omega, \mathbb{R}^{3 \times 3})$  if the distributions  $\mathcal{J}_{f_{k,j}^t}$  are measures for a.e.  $t \in (0, 1)$  and  $(\mathcal{ADJ} Df)_{k,j} \in \mathcal{M}(\Omega)$  for every  $i, j \in \{1, 2, 3\}$ .

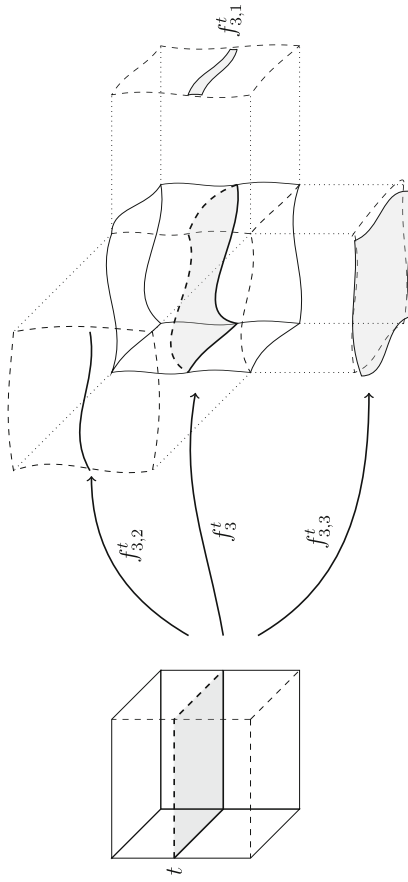
A priori it seems that the definition of the distributional adjugate is dependent on the choice of coordinates. This turns out not to be the case and we discuss this further in Sect. 6.1.

## 2. Preliminaries

Total variation of the measure  $\mu$  is the measure  $|\mu|$  such that

$$|\mu|(A) := \sup \left\{ \int_{\mathbb{R}^n} \varphi \, d\mu : \varphi \in C_0(A), \|\varphi\|_\infty \leq 1 \right\} \text{ for all open sets } A \subset \mathbb{R}^n.$$

For a domain  $\Omega \subset \mathbb{R}^n$  we denote by  $C_0^\infty(\Omega)$  those smooth functions  $\varphi$  whose support is compactly contained in  $\Omega$ , that is  $\text{supp } \varphi \subset\subset \Omega$ .



**Fig. 1.** The definition of the nine 2-dimensional restrictions,  $f_{k,j}^t$  of a mapping  $f : (0, 1)^3 \rightarrow \mathbb{R}^3$  in Definition 1.3

### 2.1. Mollification

We will need to approximate continuous  $BV$  mappings with smooth maps. To this end we recall here the basic definitions of convolution and mollifiers for the reader’s convenience; for a more detailed treatise on the basics and connections to  $BV$  mappings we refer to [1, Sects. 2.1 and 3.1].

A family of mappings  $(\rho_\varepsilon) \in C^\infty(\mathbb{R}^n, \mathbb{R})$  is called a *family of mollifiers* if for all  $\varepsilon > 0$  we have  $\rho_\varepsilon(x) = \varepsilon^{-n} \rho(x/\varepsilon)$ , where  $\rho \in C^\infty(\mathbb{R}^n, \mathbb{R})$  is a non-negative mapping satisfying  $\text{supp } \rho \subset B(0, 1)$ ,  $\rho(-x) = \rho(x)$  and  $\int_{\mathbb{R}^n} \rho = 1$ . We will sometimes use a *sequence* of mollifiers  $(\rho_j)$ , in which case we tacitly assume that there is a family of mollifiers  $(\tilde{\rho}_\varepsilon)$  from which we extract the sequence  $(\rho_j)$  by setting  $\rho_j := \tilde{\rho}_{\frac{1}{j}}$ .

For  $\Omega \subset \mathbb{R}^n$  and any two functions  $f: \Omega \rightarrow \mathbb{R}^m$ ,  $g: \Omega \rightarrow \mathbb{R}$  we set their *convolution* to be

$$(f * g): \mathbb{R}^n \rightarrow \mathbb{R}^m, \quad (f * g)(x) = \int_{\Omega} f(y)g(x - y) \, dy$$

whenever the integral exists. Likewise for a  $m$ -valued Radon measure  $\mu$  defined on  $\Omega$  and a function  $g: \Omega \rightarrow \mathbb{R}$  we define their convolution as

$$(\mu * f): \mathbb{R}^n \rightarrow \mathbb{R}^m, \quad (\mu * f)(x) = \int_{\Omega} f(x - y) \, d\mu(y)$$

whenever the integral exists.

For a function  $f: \Omega \rightarrow \mathbb{R}^m$  or a Radon measure  $\mu$  defined on  $\Omega$  we define their (*family of*) *mollifications* to be the families  $(f_\varepsilon) := (f * \rho_\varepsilon)$  and  $(\mu_\varepsilon) := (\mu * \rho_\varepsilon)$ , respectively, where  $(\rho_\varepsilon)$  is a family of mollifiers. Similarly we define the sequence of mollifications as  $(f_j) := (f * \rho_j)$  and  $(\mu_j) := (\mu * \rho_j)$ . For our purposes the exact family of mollifiers does not matter, so we tacitly assume that some such family has been given whenever we use mollifications.

### 2.2. Topological degree

For  $\Omega \subset \mathbb{R}^n$  and a given smooth map  $f: \Omega \rightarrow \mathbb{R}^n$  we define the *topological degree* as

$$\text{deg}(f, \Omega, y_0) = \sum_{x \in \Omega \cap f^{-1}\{y_0\}} \text{sgn}(J_f(x))$$

if  $J_f(x) \neq 0$  for each  $x \in f^{-1}\{y_0\}$ . This definition can be extended to arbitrary continuous mappings and each point  $y_0 \notin f(\partial\Omega)$ , see for example [13, Sect. 1.2] or [21, Chapter 3.2]. For our purposes the following property of the topological degree is crucial; see [13, Definition 1.18]:

**Lemma 2.1.** *Let  $\Omega \subset \mathbb{R}^n$  be a domain,  $f: \Omega \rightarrow \mathbb{R}^n$  a continuous function and  $U$  a domain with  $\bar{U} \subset \Omega$ . Then for any point  $y_0 \in \mathbb{R}^n \setminus f(\partial U)$  and any continuous mapping  $g: \Omega \rightarrow \mathbb{R}^n$  with*

$$\|f - g\|_\infty \leq \text{dist}(y_0, f(\partial U)),$$

*we have  $\text{deg}(f, \Omega, y_0) = \text{deg}(g, \Omega, y_0)$ .*

We will also need some classical results concerning the dependence of the degree on the domain. The following result is from [13, Theorem 2.7]:

**Lemma 2.2.** *Let  $\Omega \subset \mathbb{R}^n$  be a domain,  $f : \Omega \rightarrow \mathbb{R}^n$  a continuous function and  $U$  a domain with  $\overline{U} \subset \Omega$ .*

(1) (Domain decomposition property) *For any domain  $D \subset U$  with a decomposition  $D = \cup_i D_i$  into open disjoint sets, and a point  $p \notin f(\partial D)$ , we have*

$$\deg(f, D, p) = \sum_i \deg(f, D_i, p).$$

(2) (Excision property) *For a compact set  $K \subset \overline{U}$  and a point  $p \notin f(K \cup \partial U)$  we have  $\deg(f, U, p) = \deg(f, U \setminus K, p)$ .*

The topological degree agrees with the Brouwer degree for continuous mappings, which in turn equals the *winding number* in the plane. The winding number is an integer expressing how many times the path  $\beta_f := f(\partial D)$  circles the point  $p$ ; indeed, the winding number equals the topological index of the mapping  $\frac{\beta_f - p}{|\beta_f - p|} : \mathbb{S}^1 \rightarrow \mathbb{S}^1$ . We refer to [13, Sect. 2.5] for discussion of the winding number in the setting of holomorphic planar mappings.

### 2.3. Hausdorff measure

For  $A \subset \mathbb{R}^n$  we use the classical definition of the Hausdorff measure (see for example [11])

$$\mathcal{H}^k(A) = \lim_{\delta \rightarrow 0^+} \mathcal{H}_\delta^k(A),$$

where

$$\mathcal{H}_\delta^k(A) = \inf \left\{ \sum_i \text{diam}^k A_i : A \subset \bigcup_i A_i, \text{diam} A_i \leq \delta \right\}.$$

The important ingredient of our proof is the Gustin boxing inequality [14] which states that for each compact set  $K \subset \mathbb{R}^n$  we have

$$\mathcal{H}_\infty^{n-1}(K) \leq C_n \mathcal{H}^{n-1}(\partial K). \quad (2.1)$$

### 2.4. On various areas

Besides homeomorphisms in three dimensions we work with a continuous mappings  $g : [0, 1]^2 \rightarrow \mathbb{R}^3$ . A central object is the Hausdorff measure of the image  $\mathcal{H}^2(g([0, 1]^2))$ , but we need to use other finer notions of area. The results of this subsection can be found in the book of Cesari [3] and they also follow by some results of Federer, see for example [12, (13) on p. 93] and references given there.

First we define the Lebesgue area (see [3, 3.1]). Let  $L$  be an affine mapping, then for any triangle  $\Delta$  the area of  $L(\Delta)$  is defined in the natural way. For a piecewise linear mapping  $h : [0, 1]^2 \rightarrow \mathbb{R}^3$  we define the Lebesgue area  $A(h, [0, 1]^2)$  to be

the sum of the areas of the triangles of some triangulation where  $h$  is linear in each of these triangles. We define

$$A(g, [0, 1]^2) := \inf \left\{ \lim_{k \rightarrow \infty} A(g_k, [0, 1]^2) : (g_k) \in \mathcal{PH}(g) \right\}, \tag{2.2}$$

where  $\mathcal{PH}(g)$  is the collection of all sequences of polyhedral surfaces converging uniformly to  $g$ .

Next we define coordinate mappings  $g_j : [0, 1]^2 \rightarrow \mathbb{R}^2$  as

$$g_1(x) = [g_2(x), g_3(x)], \quad g_2(x) = [g_1(x), g_3(x)] \text{ and } g_3(x) = [g_1(x), g_2(x)].$$

Finally we define (see [3, 9.1])

$$V(g_j, [0, 1]^2) := \sup_S \left\{ \sum_{\pi \in S} \int_{\mathbb{R}^2} |\deg(g_j, \pi, y)| \, dy \right\}, \tag{2.3}$$

where  $S$  is any finite system of nonoverlapping simple open polygonal regions in  $[0, 1]^2$  and  $\deg(g_j, y, A)$  denotes the topological degree of mapping.

We need the following characterization of the Lebesgue area (see [3, 18.10 and 12.8.(ii)]) which holds for any continuous  $g$

$$V(g_j, [0, 1]^2) \leq A(g, [0, 1]^2) \leq V(g_1, [0, 1]^2) + V(g_2, [0, 1]^2) + V(g_3, [0, 1]^2). \tag{2.4}$$

Let us note that these results are highly nontrivial. For example it is possible to construct continuous  $g$  such that  $A(g, [0, 1]^2)$  is much smaller than  $\mathcal{H}^2(g([0, 1]^2))$  (which may be even infinite) but the result (2.4) is still true. Further for the validity we need only continuity of  $g$  and we do not need to assume that  $A(g, [0, 1]^2) < \infty$ . However, this is only known to hold for two dimensional surfaces in  $\mathbb{R}^3$  and for higher dimensions the assumption about the finiteness of the Lebesgue area might be needed.

### 2.5. BV functions and the coarea formula

Let  $\Omega \subset \mathbb{R}^n$  be an open domain. A function  $h \in L^1(\Omega)$  is of bounded variation,  $h \in BV(\Omega)$ , if the distributional partial derivatives of  $h$  are measures with finite total variation in  $\Omega$ , that is there are Radon (signed) measures  $\mu_1, \dots, \mu_n$  defined in  $\Omega$  so that for  $i = 1, \dots, n$ ,  $|\mu_i|(\Omega) < \infty$  and

$$\int_{\Omega} h D_i \varphi \, dx = - \int_{\Omega} \varphi \, d\mu_i$$

for all  $\varphi \in C_0^\infty(\Omega)$ . We say that  $f \in L^1(\Omega, \mathbb{R}^n)$  belongs to  $BV(\Omega, \mathbb{R}^n)$  if the coordinate functions of  $f$  belong to  $BV(\Omega)$ .

Let  $\Omega \subset \mathbb{R}^n$  be open set and  $E \subset \Omega$  be measurable. The perimeter of  $E$  in  $\Omega$  is defined as a total variation of  $\chi_E$  in  $\Omega$ , that is

$$P(E, \Omega) := \sup \left\{ \int_E \operatorname{div} \varphi \, dx : \varphi \in C_0^1(\Omega), \|\varphi\|_\infty \leq 1 \right\}.$$

We will need the following coarea formula to characterize  $BV$  functions (see [1, Theorem 3.40]):



**Theorem 2.3.** *Let  $\Omega \subset \mathbb{R}^n$  be open and  $u \in L^1(\Omega)$ . Then we have*

$$|Du|(\Omega) = \int_{-\infty}^{\infty} P(\{x \in \Omega : u(x) > t\}, \Omega) dt. \quad (2.5)$$

*In particular,  $u \in BV(\Omega)$  if and only if the integral on the righthand side is finite.*

It is well-known (see for example [1, Proposition 3.62]) that for the coordinate functions of a homeomorphism  $f: \Omega \rightarrow \mathbb{R}^n$  we have

$$P(\{x \in \Omega : f_i(x) > t\}, \Omega) \leq \mathcal{H}^{n-1}(\{x \in \Omega : f_i(x) = t\}). \quad (2.6)$$

Moreover, we have the following version of coarea formula for continuous  $BV$  functions by Federer [11, Theorem 4.5.9 (13) and (14) for  $k \equiv 1$ ].

**Theorem 2.4.** *Let  $\Omega \subset \mathbb{R}^n$  be open and  $u \in BV(\Omega)$  be continuous. Then we have*

$$|Du|(\Omega) = \int_{-\infty}^{\infty} \mathcal{H}^{n-1}(\{x \in \Omega : u(x) = t\}) dt.$$

## 2.6. BVL condition

Let  $\Omega \subset \mathbb{R}^n$  be open and  $f \in L^1(\Omega)$ . It is well-known that  $f \in BV(\Omega)$  if and only if it satisfies the BVL condition, that is it has bounded variation on  $\mathcal{L}^{n-1}$  a.e. line parallel to the coordinate axes, and the variation along these lines is integrable (see for example [1, Remark 3.104]). As a corollary we obtain that a  $BV$  function of  $n$ -variables is a  $BV$  function of  $(n-1)$ -variables on  $\mathcal{L}^1$  a.e. hyperplane parallel to coordinate axis.

For example for  $n = 2$  and  $f \in BV((0, 1)^2)$  we have that the function

$$f_x(y) := f(x, y)$$

has bounded (one-dimensional) variation for a.e.  $x \in (0, 1)$ . Moreover,

$$\int_0^1 |Df_x((0, 1))| dx = |D_2 f|((0, 1)^2), \quad (2.7)$$

where  $|Df_x|$  denotes the (one-dimensional) total variation of  $f_x$  and  $|D_2 f|$  denotes the total variation of the measure  $\frac{\partial f}{\partial y}$ . A similar identity holds for  $f_y(x) := f(x, y)$  and  $D_1 f$ .

## 2.7. Convergence of $BV$ functions

In dimension two, the boundary of a ball  $B(x, r)$  is a curve and we will tacitly assume that it is always parametrized with the path

$$\beta: [0, 2\pi] \rightarrow \mathbb{R}^2, \quad \beta(t) = (x_1 + r \cos t, x_2 + r \sin t).$$

Thus when we speak of the *length*  $\ell(\partial B(x, r))$  of the boundary of a ball or its image  $f(\partial B(x, r))$  under a mapping  $f$ , we mean the length of the curve  $\beta$  or  $f \circ \beta$ , respectively. Note that the length of a path  $\gamma: [0, 1] \rightarrow \mathbb{R}^2$  equals

$$\ell(\gamma) := \left\{ \sum_{j=1}^k d(\gamma(t_{j-1}), \gamma(t_j)) : 0 = t_0 \leq \dots \leq t_k = 1 \right\},$$

from which we immediately see that if  $f_j \rightarrow f$  uniformly, then

$$\lim_{j \rightarrow \infty} \ell(f_j \circ \beta) \geq \ell(f \circ \beta).$$

Similarly, we also assume line segments in the plane to be equipped with a path parametrization and to have similar length convergence properties.

By the results in the previous Sect. 2.6 we know that the restriction of a  $BV$  mapping  $f \in BV(\mathbb{R}^2, \mathbb{R}^2)$  to  $\mathcal{L}^1$  a.e. line segment in the plane is again a  $BV$  mapping; that is for all  $a > b$  and a.e.  $x \in \mathbb{R}$ , the restriction  $f_x := f|_{\{x\} \times (a,b)}$  is a  $BV$  mapping and

$$\mathcal{H}^1(f(I_x)) \leq \ell(f(I_x)) = |Df_x|(I_x) < \infty,$$

where  $|Df_x|$  denotes the one-dimensional total variation of  $f_x$ .

A similar result holds also for  $\mathcal{H}^1$  a.e. radius of a sphere: given a point  $x$ , the restriction of  $f$  to  $\partial B(x, r)$  is  $BV$  for  $\mathcal{H}^1$  a.e. radius  $r > 0$ . This in particular implies that for such radii,

$$\mathcal{H}^1(f(\partial B(x, r))) \leq \ell(f(\partial B(x, r))) = |D(f_r)|(\partial B(x, r)) < \infty,$$

where  $f_r := f|_{\partial B(x,r)}$  and  $|D(f_r)|$  denotes the one-dimensional total variation of  $f_r$ . Furthermore, similarly as in (2.7), we have

$$\int_0^r \mathcal{H}^1(f(\partial B(x, s))) \, ds \leq \int_0^r |D(f_s)|(\partial B(x, s)) \, ds \leq |Df|(B(x, r)). \tag{2.8}$$

Recall the weak\* convergence of  $BV$  mappings.

**Definition 2.5.** We say that a sequence  $(f_j)$  of  $BV$  mappings *weakly\* converges* to  $f$  in  $BV$ , if  $f_j \rightarrow f$  in  $L^1$  and  $Df_j$  weakly\* converge to  $Df$ , that is

$$\lim_{j \rightarrow \infty} \int_{\Omega} \varphi \, dDf_j = \int_{\Omega} \varphi \, dDf$$

for all  $\varphi \in C_0(\Omega)$ .

The next result from [1, p. 125, Proposition 3.13.] gives a characterization for weak\* convergence in  $BV$ . Note especially that since the mollifications of continuous  $BV$  functions converge uniformly, they especially converge locally in  $L^1$ , so in this case the boundedness of the sequence in  $BV$ -norm gives weak\* convergence for the derivatives.

**Proposition 2.6.** *Let  $f_j$  be a sequence of  $BV$  mappings  $\Omega \rightarrow \mathbb{R}^2$ . Then  $f_j$  weakly\* converges to a  $BV$  mapping  $f: \Omega \rightarrow \mathbb{R}^2$  if and only if  $f_j \rightarrow f$  in  $L^1$  and  $\sup |Df_j|(\Omega) < \infty$ .*

### 3. Properties of $BV$ Mappings

In the proof of Theorem 1.1 we use some ideas of [9, proof of Theorem 1.7]. In particular we use the following observation based on the coarea formula (Theorem 2.3):

**Theorem 3.1.** *Let  $\Omega \subset \mathbb{R}^n$  be a domain and  $f \in BV_{\text{loc}}(\Omega, \mathbb{R}^n)$  be a homeomorphism. Then the following measure on  $\Omega$  is finite*

$$\mu(A) = \sum_{i=1}^n \int_{-\infty}^{\infty} \mathcal{H}^{n-1}(f(\{x \in A : x_i = t\})) dt$$

if and only if  $f^{-1} \in BV_{\text{loc}}(f(\Omega), \mathbb{R}^3)$ . In addition,  $f(\mu)$  is absolutely continuous with respect to the Lebesgue measure if and only if  $f^{-1} \in W^{1,1}(f(\Omega), \mathbb{R}^3)$ .

*Proof.* Assume that  $\mu$  is a finite measure. By Theorem 2.3 and the perimeter inequality (2.6) we have

$$\begin{aligned} |Df^{-1}|(f(\Omega)) &\approx \sum_{i=1}^n |(Df^{-1})_i|(f(\Omega)) \\ &= C \sum_{i=1}^n \int_{-\infty}^{\infty} P(\{y \in f(\Omega) : (f^{-1})_i(y) > t\}, f(\Omega)) dt \\ &\leq C \sum_{i=1}^n \int_{-\infty}^{\infty} \mathcal{H}^{n-1}(\{y \in f(\Omega) : (f^{-1})_i(y) = t\}) dt \\ &= C \sum_{i=1}^n \int_{-\infty}^{\infty} \mathcal{H}^{n-1}(f(\{x \in \Omega : x_i = t\})) dt < \infty, \end{aligned} \tag{3.1}$$

and thus  $f^{-1} \in BV_{\text{loc}}$ .

If  $f^{-1} \in BV_{\text{loc}}$ , then by Theorem 2.4 we know that the only inequality in the above computation (3.1) is actually equality and we get  $\mu \in \mathcal{M}(\Omega)$ .

Let us consider now the final claim. We have to show that  $|Df^{-1}|(E) < \varepsilon$  if  $|E| < \delta$ . Given  $\varepsilon$  we choose  $\delta > 0$  from the absolute continuity of measure  $f(\mu)$ . By approximation we may assume that  $E$  is open and  $|E| < \delta$ . The definition of  $\mu$ , assumed absolute continuity of  $f(\mu)$  and (3.1) (with  $E$  instead of  $\Omega$ ) imply

$$|Df^{-1}|(E) \leq \mu(f^{-1}(E)) < \varepsilon.$$

If we know that  $f^{-1} \in W^{1,1}$  then we have only equalities in (3.1) and we easily obtain that  $f(\mu)$  is absolutely continuous with respect to the Lebesgue measure.  $\square$

We next show that for a mollification of a continuous  $BV$  mapping, the convergence is inherited to a.e. circle in a weak sense.

**Proposition 3.2.** *Let  $f : \Omega \subset \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be a continuous BV mapping and  $(\rho_k)$  a sequence of mollifiers. Denote  $f^k := f * \rho_k$ ,  $f_{c,s}^k := f^k|_{\partial B(c,s)}$  and  $f_{c,s} := f|_{\partial B(c,s)}$ . Then for any point  $z \in \mathbb{R}^2$  we have*

$$\lim_{k \rightarrow \infty} |Df_{z,r}^k|(\partial B(z, r)) = |Df_{z,r}|(\partial B(z, r)) < \infty$$

and

$$D(f_{z,r}^k) \xrightarrow{w*} D(f_{z,r}),$$

for  $\mathcal{H}^1$  a.e. radius  $r > 0$  such that  $\overline{B(z, r)} \subset \Omega$ .

*Proof.* Since the claim is local it suffices, after a smooth change of local coordinates, to show that for a continuous BV mapping  $f : (0, 1)^2 \rightarrow \mathbb{R}^2$  we have

$$\lim_{k \rightarrow \infty} |Df_x^k|(I_x) = |Df_x|(I_x) < \infty, \tag{3.2}$$

and

$$D(f_x^k) \xrightarrow{w*} D(f_x), \tag{3.3}$$

on  $\mathcal{H}^1$  almost every line segment  $I_x := \{x\} \times (0, 1)$ , where  $f_x^k := f^k|_{I_x}$  and  $f_x := f|_{I_x}$ .

We start by proving (3.2). By the results in Sect. 2.7, for  $\mathcal{H}^1$  a.e.  $x \in (0, 1)$  we have

$$|Df_x^k|(I_x) = \ell(f^k(I_x)) < \infty \quad \text{and} \quad |Df_x|(I_x) = \ell(f(I_x)) < \infty,$$

so since  $f_x^k \rightarrow f_x$  uniformly, we see by the notions of Sect. 2.7 that

$$\lim_{k \rightarrow \infty} |Df_x^k|(I_x) = \lim_{k \rightarrow \infty} \ell(f^k(I_x)) \geq \ell(f(I_x)) = |Df_x|(I_x).$$

Thus to prove (3.2) it suffices to show that for a.e.  $x \in (0, 1)$ ,

$$\lim_{k \rightarrow \infty} |Df_x^k|(I_x) \leq |Df_x|(I_x).$$

Suppose this is not true, whence there exists  $\delta > 0$  such that the set

$$J := \left\{ x \in (0, 1) : \lim_{k \rightarrow \infty} |Df_x^k|(I_x) > (1 + \delta)|Df_x|(I_x) \right\}$$

has positive 1-measure. Fix a Lebesgue point  $x_0 \in (0, 1)$  of  $J$ . By the Lebesgue density theorem we may assume  $x_0$  to be such that

$$\lim_{r \rightarrow 0} \frac{1}{2r} \int_{x_0-r}^{x_0+r} \left| |Df_x|(I_x) - |Df_{x_0}|(I_{x_0}) \right| = 0.$$

Choose  $\eta > 0$  such that

$$(1 + \delta) \frac{1 - \eta}{2} ((1 - \eta)2 - \eta) > 1. \tag{3.4}$$

Fix  $r > 0$  for which

$$\begin{aligned}
 (i) \quad & |Df|(\partial((x_0 - r, x_0 + r) \times (0, 1))) = 0, \\
 (ii) \quad & |J \cap (x_0 - r, x_0 + r)| \geq (1 - \eta)2r, \\
 (iii) \quad & \int_{x_0-r}^{x_0+r} ||Df_x|(I_x) - |Df_{x_0}|(I_{x_0})| \, dx < \eta |Df_{x_0}|(I_{x_0})r, \text{ and} \quad (3.5) \\
 (iv) \quad & |Df_{x_0}|(I_{x_0}) > \frac{1 - \eta}{2r} \int_{x_0-r}^{x_0+r} |Df_x|(I_x) \, dx.
 \end{aligned}$$

As remarked in Sect. 2.6,  $D_2 f$  is a finite Radon measure. Thus applying [1, Proposition 2.2.(b), p. 42] for the mollification of its total variation  $|D_2 f|$  and using the fact that the measure is Borel regular, we see that

$$\lim_{k \rightarrow \infty} |D_2 f^k|(U) \leq \lim_{k \rightarrow \infty} |D_2 f|(U + B(0, k^{-1})) = |D_2 f|(\bar{U}).$$

for any Borel set  $U$ . By setting  $U := (x_0 - r, x_0 + r) \times (0, 1)$  we have by (i) that  $|Df|(\partial U) = 0$ , and so also  $|D_2 f|(\partial U) \leq |Df|(\partial U) = 0$ . Thus

$$\lim_{k \rightarrow \infty} |D_2 f^k|(U) \leq |D_2 f|(\bar{U}) = |D_2 f|(U). \quad (3.6)$$

On the other hand by using Fatou's lemma, the definition of  $J$ , (3.5) (iii), (ii), (iv) and (3.4),

$$\begin{aligned}
 \lim_{k \rightarrow \infty} |D_2 f^k|(U) &\geq \lim_{k \rightarrow \infty} \int_{(x_0-r, x_0+r) \cap J} |Df_x^k|(I_x) \, dx \\
 &\geq (1 + \delta) \int_{(x_0-r, x_0+r) \cap J} |Df_x|(I_x) \, dx \\
 &\geq (1 + \delta) \left[ \int_{(x_0-r, x_0+r) \cap J} |Df_{x_0}|(I_{x_0}) \, dx - \eta |Df_{x_0}|(I_{x_0})r \right] \\
 &\geq (1 + \delta) |Df_{x_0}|(I_{x_0}) ((1 - \eta)2r - \eta r) \\
 &\geq (1 + \delta) \frac{1 - \eta}{2r} \int_{x_0-r}^{x_0+r} |Df_x|(I_x) \, dx ((1 - \eta)2r - \eta r) \\
 &> |D_2 f^k|(U).
 \end{aligned}$$

This contradicts (3.6) and so (3.2) holds.

To prove (3.3) we note that for  $\mathcal{H}^1$  a.e. line segment  $I_x$  the  $BV$  mappings  $f_x^k : I_x \rightarrow \mathbb{R}^2$  converge uniformly to the continuous  $BV$  mapping  $f_x : I_x \rightarrow \mathbb{R}^2$ . Furthermore they form a bounded sequence with respect to the  $BV$  norm, and thus by Proposition 2.6 they converge weak\* in  $BV$ . This implies (3.3) and the proof is complete.  $\square$

### 4. Degree Theorem for Continuous BV Planar Mappings

The aim of this section is to prove the following analogy of the change of variables formula for the distributional Jacobian in two dimensions. A similar statement was shown before in [5] for mappings that satisfy  $J_f > 0$  a.e. and that are one-to-one and in [10] for open and discrete mappings. Here we generalize this result to mappings where the Jacobian can change the sign but we restrict our attention to planar mappings only.

**Theorem 4.1.** *Let  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be a continuous BV mapping such that the distributional Jacobian  $\mathcal{J}f$  is a signed Radon measure. Then for every  $x \in \mathbb{R}^2$  we have*

$$\int_{\mathbb{R}^2} \text{deg}(f, B(x, r), y) \, dy = \mathcal{J}f(B(x, r)) \tag{4.1}$$

for a.e.  $r > 0$ .

Before the proof Theorem 4.1 we prove the following important corollary, which is one of the main tools in the proof of Theorem 1.1:

**Proposition 4.2.** *Let  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be a continuous BV mapping such that the distributional Jacobian  $\mathcal{J}f$  is a signed Radon measure and such that  $V(f, \mathbb{R}^2) < \infty$ . Then for every  $x \in \mathbb{R}^2$  we have*

$$\int_{\mathbb{R}^2} |\text{deg}(f, B(x, r), y)| \, dy \leq |\mathcal{J}f|(B(x, r)) \tag{4.2}$$

for a.e.  $r > 0$ .

*Proof.* Let us note that the previous theorem holds not only for balls but also for a.e. cube  $Q(x, r)$ . From the previous theorem we know that the set

$$\{[x, r] : Q(x, r) \text{ is good for (4.1), } \mathcal{L}^2(f(\partial Q(x, r))) = 0 \text{ and } |\mathcal{J}f|(\partial Q(x, r)) = 0\}.$$

has full  $\mathcal{L}^{n+1}$  measure. It follows that for a.e.  $r > 0$  we have that  $Q(x, r)$  is good for a.e.  $x \in \Omega$  with  $r < \text{dist}(x, \partial\Omega)$ . Hence we can fix  $r_0 > 0$  such that all  $r_k = r_0 2^k$ ,  $k \in \mathbb{Z}$ , are good for every  $x \in \Omega \setminus N_0$  with  $|N_0| = 0$ . Hence we can fix  $x_0 \in \mathbb{R}^n$  and a dyadic grid

$$G_0 := \{x_0 + 2^k Q(y_i, r_0), y_i \in \mathbb{Z}^n\}, \tag{4.3}$$

such that for all cubes from the grid inside  $\Omega$  we have

$$\int_{\mathbb{R}^2} \text{deg}(f, Q, y) \, dy = \mathcal{J}f(Q) \tag{4.4}$$

and  $\mathcal{L}^2(f(\partial Q)) = |\mathcal{J}f|(\partial Q) = 0$  for every  $Q \in G_0$ ,  $Q \subset \Omega$ . It is enough to choose any

$$x_0 \notin \bigcup_{k=1}^{\infty} \left( \bigcup_{y_i \in \mathbb{Z}^n} 2^k \{-y_i\} + N_0 \right).$$

Let us fix a cube  $Q \subset \Omega$ . Instead of proving (4.2) for a ball we prove it for  $Q$  which is equivalent. Analogously to (2.3) we define (see [3, 9.10])

$$U(f, Q) := \sup_S \left\{ \sum_{\pi \in S} \left| \int_{\mathbb{R}^2} \deg(f, \pi, y) \, dy \right| \right\},$$

where  $S$  is any finite system of nonoverlapping simple open polygonal regions in  $Q$ . By [3, 12.9 Theorem (iii)] we know that

$$U(f, Q) = V(f, Q).$$

Note that for the validity of this identity we need the additional assumption  $V(f, Q) < \infty$  as it is the assumption of [3, 12.9 Theorem (iii)] (it is stated in [3] as  $f$  is plane  $BV$  but in the notation of the book it means exactly  $V(f, Q) < \infty$ ). By [3, 12.9 Theorem (i) and 12.6] we know that there is a sequence of figures  $F_n$  in  $Q$  that consists of disjoint cubes from our dyadic grid  $Q_{i,n}$ ,  $F_n = \bigcup_i Q_{i,n}$ , so that

$$U(f, Q) = \lim_{n \rightarrow \infty} \sum_i \left| \int_{\mathbb{R}^2} \deg(f, Q_{i,n}, y) \, dy \right|.$$

Now we can easily estimate with the help of (4.4) and definition of total variation

$$\begin{aligned} \int_{\mathbb{R}^2} |\deg(f, Q, y)| \, dy &\leq V(f, Q) = U(f, Q) \\ &= \lim_{n \rightarrow \infty} \sum_i \left| \int_{\mathbb{R}^2} \deg(f, Q_{i,n}, y) \, dy \right| \\ &\leq \lim_{n \rightarrow \infty} \sum_i |\mathcal{J}(Q_{i,n})| \\ &\leq |\mathcal{J}f|(Q). \end{aligned}$$

□

The proof of Theorem 4.1 requires several auxiliary results. We begin with the following degree convergence lemma; compare to Lemma 2.1:

**Lemma 4.3.** *Let  $f: \Omega \subset \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be a continuous  $BV$  mapping and let  $(f^k)$  be mollifications of  $f$ . Then for any point  $x \in \mathbb{R}^2$  and a.e. radius  $r > 0$  we have*

$$\lim_{k \rightarrow \infty} \int_{\mathbb{R}^2} \deg(f^k, B(x, r), y) \, dy = \int_{\mathbb{R}^2} \deg(f, B(x, r), y) \, dy. \quad (4.5)$$

*Proof.* Let  $x_0 \in \mathbb{R}^2$ . By the BVL properties remarked in Sect. 2.6 we know that for almost every radius  $r > 0$  the length of  $f(\partial B(x_0, r))$  is finite, that is

$|Df_{z,r}|(\partial B(z, r)) < \infty$  and that the claim of Proposition 3.2 holds. Fix such a  $r_0$ , and set  $B_0 := B(x_0, r_0)$ .

We first define

$$F^k(y) = \deg(f^k, B_0, y) \quad \text{and} \quad F(y) = \deg(f, B_0, y),$$

whence

$$\int_{\mathbb{R}^2} |\deg(f^k, B_0, y)| dy = \|F^k\|_1 \quad \text{and} \quad \int_{\mathbb{R}^2} |\deg(f, B_0, y)| dy = \|F\|_1,$$

and we have to show that  $F^k \rightarrow F$  in  $L^1$ . To show this we use compactness of  $BV$ . First we show that  $F^k$  is bounded sequence in  $BV$ -norm.

It is easy to see that the variation measure of  $F^k$  is supported only on the curve  $f^k(\partial B_0)$ . Furthermore, since  $f^k(\partial B_0)$  is rectifiable,  $\mathcal{H}^1$ -a.e. point is on the boundary of at most two components of  $\mathbb{R}^2 \setminus f^k(\partial B_0)$ . In such a situation, if the value of  $F^k$  differs by  $N$  on these two components, the image  $f^k(\partial B_0)$  must cover this joint boundary at least  $N$  times. Thus the total variation of  $F^k$  is in fact bounded by

$$\ell(f^k(\partial B_0)) = \left| Df_{x_0, r_0}^k \right|(\partial B_0).$$

Since the radius  $r_0$  was chosen such that Proposition 3.2 holds, we have

$$\left| Df_{x_0, r_0}^k \right|(\partial B_0) \rightarrow \left| Df_{x_0, r_0} \right|(\partial B_0),$$

and so  $|DF^k|$  is uniformly bounded. Furthermore the boundedness of the sequence  $(F^k)$  in  $L^1$  follows from the Sobolev inequality [1, Theorem 3.47]. Thus, the compactness theorem in [1, Theorem 3.23] implies that there exists a subsequence  $(F^{k(j)})$  which converges in  $L^1$  to a function  $G$ .

We will show that  $G = F$ , which implies that the original sequence  $F^k$  converges to  $F$  in  $L^1$ , as every converging subsequence must converge to  $F$ . Assume that  $F \neq G$  on a set  $A$  with positive Lebesgue measure. Since  $f(\partial B_0)$  has finite 1-Hausdorff measure we find with the Lebesgue density theorem  $z \in \mathbb{R}^2 \setminus f(\partial B_0)$ , which is a density point of  $A$  with  $G(z) \neq F(z)$ . For some very small ball  $B_z$  centered at  $z$  we have

$$\left| \int_{B_z} G - F \right| > 0$$

and  $B_z$  is compactly contained in some component of  $\mathbb{R}^2 \setminus f(\partial B_0)$ . Now recall that  $f^k$  converge uniformly to  $f$ . When  $\|f^k - f\| < \text{dist}(B_z, f(\partial B_0))$  we have by basic properties of the degree (see [13, Theorem 2.3.])

$$F^k(y) = \deg(f^k, B_0, y) = \deg(f, B_0, y) = F(y)$$

for every point  $y \in B_z$ . This is a contradiction with  $L^1$  convergence and the definition of  $B_z$ . Thus the original claim follows.  $\square$

The proof of the previous lemma goes through also with absolute values of the degrees. We record this observation as the following corollary even though we will not be using it in this paper:



**Corollary 4.4.** *Let  $f: \Omega \subset \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be a continuous BV mapping and  $(f^k)$  a mollification of  $f$ . Then for any point  $x \in \mathbb{R}^2$  and a.e. radius  $r > 0$  we have*

$$\lim_{k \rightarrow \infty} \int_{\mathbb{R}^2} |\deg(f^k, B(x, r), y)| \, dy = \int_{\mathbb{R}^2} |\deg(f, B(x, r), y)| \, dy. \quad (4.6)$$

Proposition 4.5 is essentially a BV-version of [18, Proposition 2.10]. For smooth mappings the identity (4.9) follows in a more general form with smooth test functions  $g \in C^\infty(\Omega, \mathbb{R}^2)$  by combining the Gauss-Green theorem and the area formula in a ball  $B$ :

$$\begin{aligned} \int_{\partial B} \langle (g(f(x)) \cdot \operatorname{cof} Df(x), \nu) \, d\mathcal{H}^1(x) &= \int_B \operatorname{div} g(f(y)) J_f(y) \, dy \\ &= \int_{\mathbb{R}^2} \operatorname{div} g(y) \deg(f, B, y) \, dy, \end{aligned} \quad (4.7)$$

where  $\nu$  denotes the unit exterior normal to  $B$  and  $\operatorname{cof} Df(x)$  denotes the cofactor matrix, that is the matrix of  $(n-1) \times (n-1)$  subdeterminants with correct signs. For more details for the general setting we refer to Müller, Spector and Tang; in [29, Proposition 2.1] they prove the claim for continuous  $f \in W^{1,p}$ ,  $p > n-1$  and  $g \in C^1$ . We need the identity only in the case of  $g(x_1, x_2) = [x_1, 0]$ . In this case the integrand on left hand side of (4.7) reduces to

$$f_1 \langle Df_2, \nu_t \rangle, \quad (4.8)$$

where  $\nu_t$  is the unit tangent vector of  $\partial B$ . Thus in the BV setting it is natural to replace the left hand side of (4.7) with

$$\int_{\partial B} f_1 d(Df|_{\partial B}),$$

since by Sect. 2.6,  $f$  is one dimensional BV-function on almost every sphere centered at any given point.

**Proposition 4.5.** *Let  $\Omega \subset \mathbb{R}^2$  be a domain and let  $f: \Omega \rightarrow \mathbb{R}^2$  be a continuous BV mapping. Then for every  $c \in \mathbb{R}^2$  and a.e.  $r > 0$  such that  $B := B(c, r) \subset \Omega$  we have*

$$\int_{\partial B} f_1 d(Df|_{\partial B}) = \int_{\mathbb{R}^2} \deg(f, B, y) \, dy. \quad (4.9)$$

*Proof.* We prove the claim by approximating  $f$  with a sequence of mollifiers  $(f^k)$ , showing that  $[f_1^k, 0] \cdot \operatorname{cof} Df^k$  converges weakly\* to  $f_1 d(Df|_{\partial B})$  and combining this with Lemma 4.3.

Let us fix  $r > 0$  such that  $B(c, r) \subset \subset \Omega$  and the conclusion of Lemma 4.3 and Proposition 3.2 hold for this radius. Now for every  $k$  with  $B(c, r + \frac{1}{k}) \subset \subset \Omega$  we have  $f^k \in C^\infty(B, \mathbb{R}^2)$ . Since  $f$  is continuous,  $f^k \rightarrow f$  uniformly. Clearly

$$\int_{\partial B} (f_1^k Df_2^k|_{\partial B} - f_1 Df|_{\partial B}) = \int_{\partial B} (f_1 Df_2^k|_{\partial B} - f_1 Df|_{\partial B}) - \int_{\partial B} (f_1^k - f_1) Df_2^k|_{\partial B}.$$

We next note that by Proposition 3.2,  $Df^k|_{\partial B} \rightarrow Df|_{\partial B}$  with respect to the weak\* convergence and  $\|f_1^k - f_1\|_\infty \rightarrow 0$  by the uniform convergence of  $(f^k)$ . Thus both terms of the right hand side converge to zero as  $k \rightarrow \infty$ . It follows that

$$\begin{aligned} \lim_{k \rightarrow \infty} \int_{\partial B} \langle [f_1^k(x), 0] \cdot \operatorname{cof} Df^k(x), \nu \rangle d\mathcal{H}^1(x) \\ = \lim_{k \rightarrow \infty} \int_{\partial B} f_1^k(x) Df^k|_{\partial B}(x) d\mathcal{H}^1(x) = \int_{\partial B} f_1(x) d(Df|_{\partial B}(x)). \end{aligned} \tag{4.10}$$

On the other hand, since the mappings  $f^k$  are smooth we have by for example [29, Proposition 2.1] that

$$\int_{\partial B} \langle [f_1^k(x), 0] \cdot \operatorname{cof} Df^k(x), \nu \rangle d\mathcal{H}^1(x) = \int_{\mathbb{R}^2} \operatorname{deg}(f^k, B, y) dy.$$

Combining this with (4.10) and Lemma 4.3 gives the claim.  $\square$

We are now ready to prove the main result of this section, Theorem 4.1. In its proof we use some ideas from [5, 27].

*Proof of Theorem 4.1.* We recall the definition of distributional Jacobian for any  $\varphi \in C_0^\infty(\Omega)$

$$\mathcal{J}_f(\varphi) = - \int_{\Omega} f_1(x) J(\varphi(x), f_2(x)) dx = \int_{\Omega} \langle [f_1(x), 0] \cdot \operatorname{cof} Df(x), D\varphi(x) \rangle dx. \tag{4.11}$$

Let us pick a ball  $B := B(y, r) \subset \Omega$  such that  $|Df|(\partial B) = 0$ . Furthermore, by the Lebesgue theorem we may assume that

$$\lim_{\delta \rightarrow 0} \frac{1}{\delta} \int_{r-\delta}^r \left| |Df_{y,s}|(\partial B(y, s)) - |Df_{y,r}|(\partial B(y, r)) \right| ds = 0, \tag{4.12}$$

where  $f_{y,s} := f|_{\partial B(y,s)}$  and  $|Df_{y,s}|$  is the corresponding (one-dimensional) total variation. Let us fix  $\psi \in C^\infty(\mathbb{R}, [0, 1])$  such that  $\psi(s) \equiv 1$  for  $s < 0$  and  $\psi(s) \equiv 0$  for  $s > 1$ . For  $0 < \delta < r$  we set

$$\Phi_\delta(s) = \psi\left(\frac{s - (r - \delta)}{\delta}\right), \text{ that is } \Phi_\delta(s) = \begin{cases} 1 & \text{for } s \leq r - \delta. \\ 0 & \text{for } s \geq r. \end{cases} \text{ and } |\Phi'_\delta| \leq \frac{C}{\delta}.$$

As the distributional Jacobian is a Radon measure and  $|Df|(\partial B) = 0$  we obtain

$$\mathcal{J}_f(B(y, r)) = \lim_{\delta \rightarrow 0^+} \int_{\Omega} \Phi_\delta(|x - y|) d\mathcal{J}_f(x). \tag{4.13}$$

By (4.11) for  $\varphi = \Phi_\delta(|x - y|)$  and Proposition 4.5 we have

$$\begin{aligned} \int_{\Omega} \Phi_\delta(|x - y|) d\mathcal{J}_f(x) &= \int_{\Omega} \langle [f_1(x), 0] \cdot \operatorname{cof} Df(x), D\Phi_\delta(|x - y|) \rangle dx \\ &= \int_{r-\delta}^r \int_{\partial B(y,s)} f_1(x) \Phi'_\delta(s) d(Df|_{\partial B}(x)) \\ &= \int_{r-\delta}^r \Phi'_\delta(s) \int_{\mathbb{R}^2} \operatorname{deg}(f, B(y, s), z) dz ds. \end{aligned} \tag{4.14}$$

We next show that the integral on the right hand side of (4.14) converges as  $\delta \rightarrow 0$ . For all  $y \in \Omega$  and  $\delta > 0$  small enough we set

$$f_s(x) = f\left(\frac{s}{r}(x - y) + y\right).$$

Note that with this notation,

$$\int_{r-\delta}^r \Phi'_\delta(s) \int_{\mathbb{R}^2} \deg(f, B(y, s), z) \, dz \, ds = \int_{r-\delta}^r \Phi'_\delta(s) \int_{\mathbb{R}^2} \deg(f_s, B(y, r), z) \, dz \, ds, \quad (4.15)$$

and the right hand side is a type of average integral as  $\int_{r-\delta}^r \Phi'_\delta = 1$ .

We have a fixed mapping  $f|_{\overline{B(y,r)}}$  with  $|Df_{y,r}|(\partial B(y, r)) < \infty$ . We claim that given  $\varepsilon > 0$  we can find  $\eta > 0$  such that for every continuous mapping  $g|_{\overline{B(y,r)}}$  we have

$$\begin{aligned} \|f - g\|_{L^\infty(\partial B)} < \eta \text{ and } |Df_{y,r}|(\partial B(y, r)) - |Dg_{y,r}|(\partial B(y, r))| < \eta &\Rightarrow \\ \Rightarrow \left| \int_{\mathbb{R}^2} \deg(f, B(y, r), z) \, dz - \int_{\mathbb{R}^2} \deg(g, B(y, r), z) \, dz \right| < \varepsilon. &\quad (4.16) \end{aligned}$$

Indeed, if this were not true, we would have uniformly converging sequence such that conclusion of (4.16) would not hold. Analogously to the proof of Lemma 4.3 we would then get a contradiction.

Moreover, similarly to the proof of Lemma 4.3, the Sobolev inequality gives, for these a.e. radii,

$$\left| \int_{\mathbb{R}^2} \deg(f_s, B(y, r), z) \, dz \right| \leq C |Df_{y,s}|(\partial B(y, s)). \quad (4.17)$$

Given  $\varepsilon > 0$  we choose  $\eta > 0$  as in (4.16) and then we choose  $\delta > 0$  so that for every  $s \in [r - \delta, r]$  we have

$$\|f - f_s\|_{L^\infty(\partial B)} < \eta \text{ and } \frac{1}{\delta} \int_{r-\delta}^r \left| |Df_{y,s}|(\partial B(y, s)) - |Df_{y,r}|(\partial B(y, r)) \right| \, ds < \eta^2, \quad (4.18)$$

where we have used (4.12). By Chebyshev's inequality with (4.18) we obtain

$$|W| < \eta\delta \text{ for } W := \left\{ s \in [r - \delta, r] : \left| |Df_{y,s}|(\partial B(y, s)) - |Df_{y,r}|(\partial B(y, r)) \right| > \eta \right\}.$$

By (4.14), (4.15),  $\int_{r-\delta}^r \Phi'_\delta = 1$ ,  $|\Phi'_\delta| \leq \frac{C}{\delta}$ , (4.16) and (4.17) we obtain

$$\begin{aligned} & \left| \int_{\Omega} \Phi_\delta(|x - y|) \, d\mathcal{J}_f(x) - \int_{\mathbb{R}^2} \deg(f, B(y, r), z) \, dz \right| \\ &= \left| \int_{r-\delta}^r \Phi'_\delta(s) \left( \int_{\mathbb{R}^2} \deg(f_s, B(y, r), z) \, dz - \int_{\mathbb{R}^2} \deg(f, B(y, r), z) \, dz \right) \, ds \right| \\ &\leq \frac{C}{\delta} \left[ \int_{[r-\delta, r] \setminus W} \varepsilon + \int_W (|Df_{y,s}|(\partial B(y, s)) + |Df_{y,r}|(\partial B(y, r))) \, ds \right] \\ &\leq C\varepsilon + \frac{C}{\delta} \int_W \left| |Df_{y,s}|(\partial B(y, s)) - |Df_{y,r}|(\partial B(y, r)) \right| \, ds + \frac{2C}{\delta} \int_W |Df_{y,r}|(\partial B(y, r)) \, ds \\ &\leq C\varepsilon + C\eta^2 + 2C\eta |Df_{y,r}|(\partial B(y, r)). \end{aligned}$$

Together with (4.13) this implies that

$$\mathcal{J}_f(B(y, r)) = \lim_{\delta \rightarrow 0^+} \int_{\Omega} \Phi_\delta(|x - y|) \, d\mathcal{J}_f(x) = \int_{\mathbb{R}^2} \deg(f, B(y, r), z) \, dz.$$

□

**5. Proof of Main Theorem 1.1**

Given a point  $x \in \mathbb{R}^n$  and a  $s > 0$  we denote by  $Q(x, s)$  the cube with center  $x$  and sidelength  $s$  and whose sides are parallel to coordinate planes. Given a  $t > 0$  we also denote  $tQ(x, s) := Q(x, ts)$ .

*Proof of Theorem 1.1.* Without loss of generality we may assume that  $(-1, 2)^3 \subset \Omega$  and we prove only that  $f^{-1} \in BV(f((0, 1)^3))$  as the statement is local. We denote  $Q := Q((\frac{1}{2}, \frac{1}{2}), 1) = (0, 1)^2$ . Slightly abusing the notation we write  $2Q := Q((\frac{1}{2}, \frac{1}{2}), 2)$ . We claim that

$$\int_0^1 \mathcal{H}^2(f(Q \times \{t\})) dt < \infty$$

and the statement of the theorem then follows from Theorem 3.1. Let  $\varepsilon > 0$ . We start with an estimate for  $\mathcal{H}_\varepsilon^2(f(Q \times \{t\}))$  for some fixed  $t \in (0, 1)$ .

First let us fix  $t \in (0, 1)$  such that (see (1.2))

$$A(f, Q \times \{t\}) < \infty$$

and

$$\lim_{\delta \rightarrow 0} \frac{1}{2\delta} \int_{t-\delta}^{t+\delta} \sum_{j=1}^3 |\mathcal{J}_{f_{3,j}^s}|(2Q \times \{s\}) - |\mathcal{J}_{f_{3,j}^t}|(2Q \times \{t\})| ds = 0, \tag{5.1}$$

and we note that this holds for a.e.  $t \in (0, 1)$  by the Lebesgue density theorem. Let us define the measure on  $(0, 1)$  by

$$\begin{aligned} \mu((a, b)) &= \sum_{j=1}^3 \int_{-1}^2 |\mathcal{J}_{f_{2,j}^s}|((-1, 2) \times \{s\} \times (a, b)) ds \\ &+ \sum_{j=1}^3 \int_{-1}^2 |\mathcal{J}_{f_{1,j}^s}|(\{s\} \times (-1, 2) \times (a, b)) ds. \end{aligned} \tag{5.2}$$

Let us denote by  $h$  the absolutely continuous part of  $\mu$  with respect to  $\mathcal{L}^1$ . Then it is easy to see that

$$\int_0^1 h \leq \mu((0, 1)) \leq |ADJ Df|((-1, 2)^2 \times (0, 1)). \tag{5.3}$$

Moreover, we can fix  $t$  so that

$$\lim_{\delta \rightarrow 0} \frac{\mu((t - \delta, t + \delta))}{2\delta} = h(t),$$

which holds for a.e.  $t$  by the Lebesgue density theorem and by the fact that the corresponding limit is zero a.e. for the singular part of  $\mu$ .

Since  $f$  is uniformly continuous there exists for our fixed  $t$  a subdivision of  $Q \times \{t\} = \bigcup_i Q_i$  into squares  $Q_i = Q(c^i, r_i)$  such that  $\text{diam}(f(2Q_i \times \{t\})) < \frac{\varepsilon}{2}$ .

Furthermore we fix  $r > 0$  so that for every  $0 < \delta < r$  we have with the help of (5.1)

$$\begin{aligned}
 (i) \quad & \frac{\mu((t - \delta, t + \delta))}{2\delta} \leq 2h(t), \\
 (ii) \quad & \sum_{j=1}^3 |\mathcal{J}_{f_{3,j}^{t+\delta}}|(2Q \times \{t + \delta\}) \leq 2 \sum_{j=1}^3 |\mathcal{J}_{f_{3,j}^{t}}|(2Q \times \{t\}), \\
 (iii) \quad & \sum_{j=1}^3 |\mathcal{J}_{f_{3,j}^{t-\delta}}|(2Q \times \{t - \delta\}) \leq 2 \sum_{j=1}^3 |\mathcal{J}_{f_{3,j}^{t}}|(2Q \times \{t\}) \text{ and} \\
 (iv) \quad & \text{diam}(f(2Q_i \times [t - \delta, t + \delta])) < \varepsilon \text{ for each } i.
 \end{aligned} \tag{5.4}$$

For  $\eta > 0$  we put our  $Q_i \times \{t\}$  into the box

$$U_{i,\eta} := (1 + \eta)Q_i \times [t - \delta, t + \delta].$$

In the following we divide  $\partial U_{i,\eta}$  into three parts parallel to coordinate axes:

$$\partial_3 U_{i,\eta} := (1 + \eta)Q_i \times \{t - \delta, t + \delta\}, \quad \partial_2 U_{i,\eta} \text{ and } \partial_1 U_{i,\eta},$$

where  $\partial_2 U_{i,\eta}$  denotes two rectangles perpendicular to  $x_2$  axis and  $\partial_1 U_{i,\eta}$  denotes two rectangles perpendicular to  $x_1$  axis. For each  $Q_i$  we choose a real number  $0 \leq \eta_i \leq 1$  so that

$$\sum_{k=1}^2 \sum_{j=1}^3 |\mathcal{J}_{f_{k,j}}|(\partial_k U_{i,\eta_i}) \leq \int_0^1 \sum_{k=1}^2 \sum_{j=1}^3 |\mathcal{J}_{f_{k,j}}|(\partial_k U_{i,\eta'}) \, d\eta', \tag{5.5}$$

which is possible as the smallest value is less or equal to the average and here and in the following we denote for simplicity  $|\mathcal{J}_{f_{1,j}}|(\partial_1 U_{i,\eta})$  the sum of two:

$$|\mathcal{J}_{f_{1,j}^{c_1^\pm(1+\eta)r_i}}|(\{c_1^i \pm (1 + \eta)r_i\} \times [c_2^i - (1 + \eta)r_i, c_2^i + (1 + \eta)r_i] \times [t - \delta, t + \delta]),$$

as  $Q_i = Q(c^i, r_i)$ .

It is obvious that  $f(Q_i \times \{t\}) \subset f(U_{i,\eta_i})$ . By the definition of the Lebesgue area (2.2) and its estimate (2.4) we obtain that we can approximate  $f$  on  $\partial U_{i,\eta_i}$  by piecewise linear  $f^i : \partial U_{i,\eta_i} \rightarrow \mathbb{R}^3$  such that

$$\mathcal{H}^2(f^i(\partial U_{i,\eta_i})) \leq 2A(f, \partial U_{i,\eta_i}) = 2 \sum_{k=1}^3 A(f_k, \partial_k U_{i,\eta_i}) \leq 2 \sum_{k=1}^3 \sum_{j=1}^3 V(f_{k,j}, \partial_k U_{i,\eta_i}), \tag{5.6}$$

and so that  $f^i$  is so close to  $f$  that (see (5.4) (iv))

$$\text{diam}(f^i(\partial U_{i,\eta_i})) < \varepsilon \tag{5.7}$$

and  $f(Q_i \times \{t\})$  lies inside  $f^i(U_{i,\eta_i})$ , that is

$$f(Q_i \times \{t\}) \subset G_i := \bigcup \text{bounded components of } \mathbb{R}^3 \setminus f^i(\partial U_{i,\eta_i}).$$

By (5.7) we have

$$\mathcal{H}_\varepsilon^2(G_i) = \mathcal{H}_\infty^2(G_i).$$

Now we obtain for each  $t$  with the help of the Gustin boxing lemma (2.1) and (5.6) that

$$\begin{aligned} \mathcal{H}_\varepsilon^2(f(Q \times \{t\})) &\leq \sum_i \mathcal{H}_\varepsilon^2(f(Q_i \times \{t\})) \leq \sum_i \mathcal{H}_\varepsilon^2(G_i) \\ &= \sum_i \mathcal{H}_\infty^2(G_i) \leq C \sum_i \mathcal{H}^2(\partial G_i) \leq C \sum_i \mathcal{H}^2(f^i(\partial U_{i,\eta_i})) \\ &\leq C \sum_i \sum_{k=1}^3 \sum_{j=1}^3 V(f_{k,j}, \partial_k U_{i,\eta_i}). \end{aligned} \tag{5.8}$$

Recall that by definition (2.3),

$$V(h, U) = \sup_S \left\{ \sum_{\pi \in S} \int_{\mathbb{R}^2} |\deg(h, \pi, y)| \, dy \right\},$$

so by Proposition 4.2 we obtain

$$\begin{aligned} \sum_{k=1}^2 \sum_{j=1}^3 V(f_{k,j}, \partial_k U_{i,\eta_i}) &\leq C \sum_{k=1}^2 \sum_{j=1}^3 |\mathcal{J}_{f_{k,j}}|(\partial_k U_{i,\eta_i}) \text{ and} \\ \sum_{j=1}^3 V(f_{3,j}, \partial_3 U_{i,\eta_i}) &\leq C \sum_{j=1}^3 |\mathcal{J}_{f_{3,j}}|(\partial_3 U_{i,\eta_i}). \end{aligned} \tag{5.9}$$

Notice that even though Theorem 4.2 is stated only for disks, it also holds for rectangles and moreover, we may use it for polygons. This can be seen by covering the polygon by rectangles and arguing as in the end of the proof of Proposition 4.2.

We treat the terms in (5.9) separately. We sum the last inequality, use the fact that  $(1 + \eta_i)Q_i$  have bounded overlap (as  $1 \leq 1 + \eta_i \leq 2$ ) and with the help of (5.4) (ii) and (iii) we obtain

$$\begin{aligned} \sum_i \sum_{j=1}^3 V(f_{3,j}, \partial_3 U_{i,\eta_i}) &\leq C \sum_i \sum_{j=1}^3 |\mathcal{J}_{f_{3,j}}|(\partial_3 U_{i,\eta_i}) \\ &\leq C \sum_{j=1}^3 \left( |\mathcal{J}_{f_{3,j}}|(2Q \times \{t - \delta\}) + |\mathcal{J}_{f_{3,j}}|(2Q \times \{t + \delta\}) \right) \\ &\leq C \sum_{j=1}^3 |\mathcal{J}_{f_{3,j}}|(2Q \times \{t\}). \end{aligned} \tag{5.10}$$

For the remaining part of the right hand side of (5.8) we recall that  $Q_i = Q(c^i, r_i)$  and by (5.9), (5.5), linear change of variables and  $\delta < r$  we have

$$\begin{aligned} \sum_{k=1}^2 \sum_{j=1}^3 V(f_{k,j}, \partial_k U_{i,\eta_i}) &\leq C \sum_{k=1}^2 \sum_{j=1}^3 |\mathcal{J}_{f_{k,j}}|(\partial_k U_{i,\eta_i}) \\ &\leq C \int_0^1 \sum_{k=1}^2 \sum_{j=1}^3 |\mathcal{J}_{f_{k,j}}|(\partial_k U_{i,\eta'}) \, d\eta' \\ &\leq \frac{C}{\delta} \int_{c_1^i - 2r_i}^{c_1^i + 2r_i} \sum_{j=1}^3 |\mathcal{J}_{f_{1,j}^a}|(\{a\} \times [c_2^i - 2r_i, c_2^i + 2r_i] \times [t - \delta, t + \delta]) \, da \\ &\quad + \frac{C}{\delta} \int_{c_2^i - 2r_i}^{c_2^i + 2r_i} \sum_{j=1}^3 |\mathcal{J}_{f_{2,j}^a}|([c_1^i - 2r_i, c_1^i + 2r_i] \times \{a\} \times [t - \delta, t + \delta]) \, da. \end{aligned}$$

Summing over  $i$ , using bounded overlap of  $2Q_i$ , (5.2) and (5.4) (i) we obtain

$$\sum_i \sum_{k=1}^2 \sum_{j=1}^3 V(f_{k,j}, \partial_k U_{i,\eta_i}) \leq C \frac{\mu((t - \delta, t + \delta))}{\delta} \leq Ch(t). \quad (5.11)$$

Combining (5.8), (5.10) and (5.11), we have, with the help of (5.3),

$$\begin{aligned} \int_0^1 \mathcal{H}_\varepsilon^2(f(Q \times \{t\})) \, dt &\leq C \int_0^1 \sum_{j=1}^3 |\mathcal{J}_{f_{3,j}}|(2Q \times \{t\}) \, dt + \int_0^1 h(t) \, dt \\ &\leq C |\mathcal{ADJ} Df|((-1, 2)^3). \end{aligned}$$

By passing  $\varepsilon \rightarrow 0$  we obtain our conclusion with the help of Theorem 3.1.  $\square$

## 6. Reverse Implication

The main aim of this Section is to show Theorem 1.2. For its proof we again use some ideas from MÜLLER [27] and De LELLIS [7]. As a corollary we show that the notion of  $\mathcal{ADJ} Df \in \mathcal{M}$  does not depend on the chosen system of coordinates and that this notion is weakly closed.

For the proof of Theorem 1.2 we require the following result which shows that the topological degree is smaller than the number of preimages.

**Lemma 6.1.** *Let  $F: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be a homeomorphism,  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  the restriction of  $F$  to the  $xy$ -hyperplane,  $p: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  the projection  $(x_1, x_2, x_3) \mapsto (x_1, x_2)$  and  $g = p \circ f$ . Then for any  $B(x, r) \subset (0, 1)^2$  and  $y \in \mathbb{R}^2 \setminus g(\partial B(z, r))$ ,*

$$|\deg(g, B(z, r), y)| \leq N(g, B(z, r), y).$$

*Proof.* We may assume that  $N(g, B(z, r), y)$  is finite and  $\deg(g, B(z, r), y) > 0$ . By [13, Theorem 2.9] we can write the degree as a sum of local indices

$$\deg(g, B(z, r), y) = \sum_{x \in B(z, r) \cap g^{-1}\{y\}} i(g, x, y);$$

recall that local index is defined by

$$i(g, x, y) := \text{deg}(g, V, y),$$

where  $V$  is any neighborhood of  $x$  such that  $g^{-1}\{y\} \cup \bar{V} = \{x\}$ .

To prove the claim it thus suffices to prove that  $|i(g, x, y)| \leq 1$  for every  $x \in g^{-1}\{y\}$ . Towards contradiction suppose that this is not the case. Fix some  $x_0 \in g^{-1}\{y\}$  such that  $i(g, x, y) \geq 2$ ; the case when the index is negative is dealt identically. Let  $B(x_0, s)$  be a ball such that

$$i(g, x_0, y) = \text{deg}(g, B(x_0, s), y).$$

Without loss of generality we may assume that  $x_0 = y = 0, s = 1$ . Denote  $Z = \{0\} \times \{0\} \times \mathbb{R}$ . Since the topological degree equals the winding number, the path  $\beta := g(\partial B(0, 1))$  winds around the point 0 at least twice in  $\mathbb{R}^2 \setminus \{0\}$ , so especially the path  $\alpha := f(\partial B(0, 1))$  winds twice around  $Z$  in  $\mathbb{R}^3 \setminus Z$ .

Now we note that  $\partial B(0, 1) \times \{0\} \subset \mathbb{S}^2$ , where  $\mathbb{S}^2$  denotes the two-dimensional sphere in  $\mathbb{R}^3$ . Since  $F: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  is a homeomorphism and  $f$  the restriction of  $F, F(\mathbb{S}^2)$  is a topological sphere in  $\mathbb{R}^3$ . Furthermore,  $Z$  intersects  $fB(0, 1)$  only at a single point, and we fix  $\hat{Z}$  to be the compact subinterval of  $Z$  which contains the intersection point and intersects  $F(\mathbb{S}^2)$  only at the endpoints of the interval, which we may assume to be  $(0, 0, \pm 1)$ . The unique pre-images of these points cannot be on the circle  $\partial B(0, 1)$ , so we may assume then to be  $(0, 0, \pm 1)$  as well. Thus

$$\alpha = f(\partial B(0, 1)) = F(\partial B(0, 1) \times \{0\}) \subset F(\mathbb{S}^2) \setminus \hat{Z}.$$

This gives rise to a contradiction, since  $F$  is a homeomorphism and so the degree of  $F|_{\mathbb{S}^2 \setminus Z}$  is  $\pm 1$ . More specifically, the path  $\alpha: \mathbb{S}^1 \rightarrow F(\mathbb{S}^2) \setminus Z$  winds around the  $Z$ -axis at least twice, that is the homotopy class  $[\alpha]$  of  $\alpha$  in the group  $\pi_1(\mathbb{R}^2 \setminus Z, \alpha(0)) \simeq \mathbb{Z}$  is non-zero and does not span the group  $\mathbb{Z}$ . Furthermore the intersection  $Z \cap F(B^3(0, 1))$  consists of countably many paths starting and ending at the boundary  $f\mathbb{S}^2$  and so since  $Z$  intersects  $fB(0, 1)$  only at a single point all but one of these loops can be pulled to the boundary  $f\mathbb{S}^2$  without intersecting  $\alpha$ . Thus the homotopy class  $[\alpha]$  of  $\alpha$  in the group  $\pi_1(F(\mathbb{S}^2) \setminus \hat{Z}, \alpha(0)) \simeq \mathbb{Z}$  is also non-zero and does not span the group  $\mathbb{Z}$ . But this is a contradiction since  $\alpha = F(\partial B(0, 1) \times \{0\})$ , where the homotopy class  $[\partial B(0, 1) \times \{0\}]$  spans  $\pi_1(F(\mathbb{S}^2) \setminus Z, (1, 0, 0)) \simeq \mathbb{Z}$  at the domain side and a homeomorphism  $F$  induces an isomorphism between homotopy groups by for example [15, p. 34].  $\square$

*Proof of Theorem 1.2.* The distributional adjugate is a well-defined distribution as  $f \in BV$  is continuous. Without loss of generality we assume that  $f$  is defined on  $(0, 1)^3$  and we show that  $\mathcal{ADJ} Df \in \mathcal{M}((0, 1)^3)$ . We only show that  $\mathcal{J}_{f_{1,1}^t}$  is a measure for a.e.  $t \in (0, 1)$  and that

$$\int_0^1 \mathcal{J}_{f_{1,1}^t}((0, 1)^2) dt < \infty \tag{6.1}$$

as the proof for other eight components of  $\mathcal{ADJ} Df$  is similar.



By Theorem 3.1 we know that

$$\int_0^1 \mathcal{H}^2(f_1^t((0, 1)^2)) dt < \infty,$$

and hence

$$A(f, [0, 1]^2 \times \{t\}) < \infty \text{ for a.e. } t \in (0, 1).$$

Let us fix  $t \in (0, 1)$  such that  $\mathcal{H}^2(f_1^t((0, 1)^2)) < \infty$ . Put  $g := f_{1,1}^t$  and denote by  $g_1$  and  $g_2$  its coordinate functions. Let us fix  $\varphi \in C_0^1((0, 1)^2)$ . We recall the definition of distributional Jacobian

$$\begin{aligned} \mathcal{J}_g(\varphi) &= - \int_{(0,1)^2} g_1(x) J(\varphi(x), g_2(x)) dx \\ &= - \int_{(0,1)^2} \left\langle [g_1(x), 0] \cdot \text{cof } Dg(x), D\varphi(x) \right\rangle dx, \end{aligned}$$

where the integration is with respect to the relevant components of the variation measure of  $g$  as earlier.

Let  $\psi \in C_C^\infty[0, 1)$  be such that  $\psi \geq 0$ ,  $\psi' \leq 0$  and

$$\int_{B(0,1)} \psi(|x|) dx = 1.$$

For each  $\varepsilon > 0$  we denote by  $\eta_\varepsilon$  the usual convolution kernel, that is

$$\eta_\varepsilon(x) = \psi_\varepsilon(|x|) = \varepsilon^{-2} \psi\left(\frac{|x|}{\varepsilon}\right).$$

It is clear that  $\eta_\varepsilon * D\varphi = D\eta_\varepsilon * \varphi$  converges uniformly to  $D\varphi$  as  $\varepsilon \rightarrow 0+$  and hence

$$\mathcal{J}_g(\varphi) = \lim_{\varepsilon \rightarrow 0+} - \int_{(0,1)^2} \left\langle [g_1(x), 0] \cdot \text{cof } Dg(x), \left( \int_{B(x,\varepsilon)} \varphi D\eta_\varepsilon(x-z) dz \right) \right\rangle dx.$$

It is easy to see that  $D\eta_\varepsilon(x) = \psi'_\varepsilon(|x|)v$ , where  $v = \frac{x}{|x|}$  is the normal vector. By the Fubini theorem and change to polar coordinates we get

$$\mathcal{J}_g(\varphi) = - \lim_{\varepsilon \rightarrow 0+} \int_{(0,1)^2} \varphi(z) \left( \int_0^\varepsilon \psi'_\varepsilon(r) \int_{\partial B(z,r)} g_1(x) d(Dg|_{\partial B(z,r)}(x)) dr \right) dz.$$

By the degree formula Proposition 4.5 we obtain

$$\mathcal{J}_g(\varphi) = - \lim_{\varepsilon \rightarrow 0+} \int_{(0,1)^2} \varphi(z) \left( \int_0^\varepsilon \psi'_\varepsilon(r) \int_{\mathbb{R}^2} \text{deg}(g, B(z,r), y) dy dr \right) dz.$$

Let us fix  $0 < \varepsilon < \frac{1}{2} \text{dist}(\text{supp } \varphi, \partial(0, 1)^2)$ . Then we have with the help of Lemma 6.1

$$\begin{aligned} |\mathcal{J}_g(\varphi)| &\leq 2 \int_{\text{supp}(\varphi)} |\varphi(z)| \left( \int_0^\varepsilon |\psi'_\varepsilon(r)| \int_{\mathbb{R}^2} |\text{deg}(g, B(z, r), y)| \, dy \, dr \right) dz \\ &\leq 2 \|\varphi\|_\infty \int_{(0,1)^2} \left( \int_0^\varepsilon \frac{C}{\varepsilon^3} \int_{\mathbb{R}^2} N(g, B(z, r), y) \, dy \, dr \right) dz \\ &\leq C \|\varphi\|_\infty \int_{\mathbb{R}^2} \frac{1}{\varepsilon^2} \int_{(0,1)^2} N(g, B(z, \varepsilon), y) \, dz \, dy. \end{aligned} \quad (6.2)$$

Notice that for fixed  $y \in \mathbb{R}^2$  we have

$$N(g, B(z, t), y) = \sum_{z_i \in g^{-1}\{y\}} \chi_{B(z_i, t)}(z).$$

With this we obtain from (6.2) that

$$|\mathcal{J}_g(\varphi)| \leq C \|\varphi\|_\infty \int_{\mathbb{R}^2} N(g, (0, 1)^2, y) \, dy. \quad (6.3)$$

By [26, Theorem 7.7] we see that

$$\int_{\mathbb{R}^2} N(g, (0, 1)^2, y) \, dy \leq \mathcal{H}^2(f_1^t((0, 1)^2)).$$

Combining this with (6.3), it follows that for every  $\varphi \in C_0^1((0, 1)^2)$  we have

$$|\mathcal{J}_g(\varphi)| \leq C \|\varphi\|_\infty \mathcal{H}^2(f_1^t((0, 1)^2)), \quad (6.4)$$

with  $C$  independent of  $\varphi$ . By the Hahn-Banach Theorem there is an extension to every  $\varphi \in C_0((0, 1)^2)$  which satisfies the same bound. By the Riesz Representation Theorem there is a measure  $\mu_t$  such that

$$\mathcal{J}_g(\varphi) = \int_{(0,1)^2} \varphi(x) \, d\mu_t(x) \text{ for every } \varphi \in C_0^1((0, 1)^2).$$

By (6.4) and (6.1) we have

$$\int_0^1 \mu_t((0, 1)^2) \, dt \leq C \int_0^1 \mathcal{H}^2(f_1^t((0, 1)^2)) \, dt < \infty,$$

and thus  $\mathcal{ADJ} Df \in \mathcal{M}((0, 1)^3)$ .  $\square$

### 6.1. Dependence on the system of coordinates

In principle the Definition 1.4 of  $\mathcal{ADJ} Df \in \mathcal{M}$  depends on our coordinate system. Below we show that this notion is independent on the system of coordinates.

**Corollary 6.2.** *Let  $\Omega \subset \mathbb{R}^3$  be a domain and  $f \in BV(\Omega, \mathbb{R}^3)$  be a homeomorphism satisfying (1.2) such that  $\mathcal{ADJ} Df \in \mathcal{M}(\Omega, \mathbb{R}^{3 \times 3})$ . Then  $\mathcal{ADJ} Df \in \mathcal{M}(\Omega, \mathbb{R}^{3 \times 3})$  also for a different coordinate system.*

*Proof.* By Theorem 1.1 we know that  $f^{-1} \in BV$ . Hence  $f \in BV_{\text{loc}}$  and  $f^{-1} \in BV_{\text{loc}}$  and both of these do not depend on the choice of coordinate system. Thus by Theorem 1.2 we have  $\mathcal{ADJ} Df \in \mathcal{M}(\Omega, \mathbb{R}^{3 \times 3})$  for any coordinate system.  $\square$

It is of course not true that the value of

$$|\mathcal{ADJ} Df|(\Omega)$$

is independent of coordinate system. In fact it might be more natural to define  $|\mathcal{ADJ} Df|$  as an average over all directions (and not only 3 coordinate directions). Then, one could ask for the validity of (compare with (1.1))

$$|Df^{-1}|(f(\Omega)) = |\mathcal{ADJ} Df|(\Omega).$$

### 6.2. The notion is stable under weak convergence

For possible applications in the Calculus of Variations we need to know that the notion of distributional adjugate is stable under weak convergence.

**Theorem 6.3.** *Let  $\Omega \subset \mathbb{R}^3$  be a bounded domain. Let  $f_j, f$  be a BV homeomorphisms of  $(0, 1)^3$  onto  $\Omega$  and assume that  $f_j \rightarrow f$  uniformly and weak\* in  $BV((0, 1)^3, \Omega)$ . Further suppose each  $f_j$  satisfies (1.2) and let  $\mathcal{ADJ} Df_j \in \mathcal{M}((0, 1)^3)$  with*

$$\sup_j |\mathcal{ADJ} Df_j|((0, 1)^3) < \infty. \quad (6.5)$$

Then  $\mathcal{ADJ} Df \in \mathcal{M}$ .

*Proof.* By (6.5) and Theorem 1.1 we obtain that the sequence  $(f_j^{-1})$  is a bounded in  $BV(\Omega, \mathbb{R}^3)$  and hence it has a weakly\* converging subsequence. Thus we can assume (passing to a subsequence) that  $f_j^{-1} \rightarrow h$  weakly\* in  $BV$  and also strongly in  $L^1$  (see [1, Corollary 3.49]). We define the pointwise representative of  $h$  as

$$h(y) := \limsup_{r \rightarrow 0} \frac{1}{|B(y, r)|} \int_{B(y, r)} h.$$

Now we need to show that  $h = f^{-1}$ . Fix  $x_0 \in (0, 1)^3$  and  $0 < r < \text{dist}(x_0, \partial(0, 1)^3)$ . We find  $\delta > 0$  so that  $B(f(x_0), \delta)$  is compactly contained in  $f(B(x_0, r))$ . Since  $f_j \rightarrow f$  uniformly we obtain that for  $j$  large enough we have

$$B(f(x_0), \delta) \subset f_j(B(x_0, r)).$$

It follows that

$$f_j^{-1}(B(f(x_0), \delta)) \subset B(x_0, r) \text{ and hence } |h(f(x_0)) - x_0| \leq r,$$

where we use that  $f_j^{-1} \rightarrow h$  strongly in  $L^1$  and that we have a proper representative of  $h$ . As the above inequality holds for every  $r > 0$  we obtain  $h(f(x_0)) = x_0$ .

From  $f \in BV$  and  $f^{-1} = h \in BV$  we obtain  $\mathcal{ADJ} Df \in \mathcal{M}((0, 1)^3)$  by Theorem 1.2.  $\square$

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