

# Ambient particulate matter and preterm birth or birth weight: a review of the literature

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**Abstract** To review epidemiologic evidence on maternal exposure to particulate matter and adverse pregnancy outcomes, we performed a MEDLINE search of the literature up to June 2009. We considered all original studies published in English including information on total suspended particles (TSP), respirable (PM<sub>10</sub>) or fine (PM<sub>2.5</sub>) particles and the risk of preterm birth, low birth weight (LBW) or very low birth weight (VLBW) and small for gestational age (SGA). We identified a total of 30 papers, including 13 with information on preterm birth, 17 on LBW or VLBW, and 4 on SGA. Eight studies on preterm birth, 11 studies on LBW/VLBW and two studies on SGA reported some increased risk (by about 10–20%) in relation to exposure to PM; no meaningful associations was found in the remaining studies. However, even in studies reporting some excess risk, this was inconsistent across exposure levels and pregnancy periods. Epidemiologic studies on maternal exposure to PM during pregnancy thus do not provide convincing evidence of an association with the risk of preterm

birth and LBW/VLBW and SGA. The excess risks, if any, are small, and it is unclear whether they are causal, due to misclassification of the exposure or some sources of bias/residual confounding.

**Keywords** Air pollution · Birth weight · Particulate matter · Preterm birth · Review

## Introduction

Over the last decade, several epidemiologic studies have investigated the relation between air pollution and pregnancy outcomes. The fetus is in fact considered to be highly susceptible to a variety of toxicants, and prenatal exposure to environmental pollutants has been suggested to result in adverse reproductive outcomes (Perera et al. 1999; Triche and Hossain 2007).

With reference to preterm birth and low birth weight (LBW), a few studies published before 2001—and summarized in a few literature reviews—reported an increased risk in relation to exposure to some air pollutants, including particulate matter (PM), sulfur dioxide (SO<sub>2</sub>), carbon monoxide, nitrogen dioxide and ozone (Glinianaia et al. 2004; Maisonet et al. 2004; Šrám et al. 2005). Inconsistent results were, however, reported for different pollutants and periods of exposure. Moreover, the number of investigations was too limited to draw any definitive conclusion.

To better assess the relation between maternal exposure to air pollution and preterm birth and birth weight, we updated previous reviews on the issue, including epidemiologic studies published throughout middle 2009. In particular, we focused on studies including information on particulate, which is the air pollutant more widely investigated in the epidemiologic literature.

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## Methods

In the present review, we included all original investigations providing information on particulate exposure, measured either as total suspended particles (TSP) (in early publications), PM in aerodynamic diameter  $<10\ \mu\text{m}$  ( $\text{PM}_{10}$ ), or fine particles in aerodynamic diameter  $<2.5\ \mu\text{m}$  ( $\text{PM}_{2.5}$ ) and the risk of preterm birth, LBW, very low birth weight (VLBW) or small for gestational age (SGA). To identify the studies, we performed a MEDLINE search of the literature from 1966 to June 2009 using the keywords: “particulate matter” or “air pollution”, and “premature birth” or “preterm birth”, “birth weight”, “low birth weight” or “small for gestational age”. Papers were also searched among those quoted as references in the retrieved studies. We considered all original articles published in English. Among these, a total of 30 papers were identified, including 13 studies with information on preterm birth, 17 studies on LBW or VLBW and 4 studies on SGA. No studies were excluded a priori for weakness of design or data quality. Although we did not assign quality scores to studies, we have underlined some of the limitations and strengths of the studies included.

The main characteristics and results of each study are described in Tables 1–3, including the first author and year of publication, country, design and population of the study, the relative risk (RR) (approximated by the odds ratio, OR, in case–control studies) and 95% confidence intervals (CI) for exposure to TSP,  $\text{PM}_{10}$ , or  $\text{PM}_{2.5}$  in various trimesters of pregnancy, the corresponding level of exposure, confounding factors allowed for in the analyses, and major limitations and strengths. Whenever possible, estimates from single pollutant models and adjustment for various covariates were shown.

Given the large heterogeneity in the published findings—including differences in the study design, methodology of exposure assessment and analyses and particularly in the measures and time windows considered in each study—no overall summary estimates of risk was provided.

## Results

### Preterm birth

Table 1 gives the main results from 13 studies on PM and preterm birth. In almost all studies, preterm birth was defined as a birth at  $<37$  weeks of gestation; in one study, preterm birth was defined as a birth at  $<36$  weeks of gestation (Sagiv et al. 2005), while in another one births at  $<30$  weeks of gestation were also considered (Brauer et al. 2008). With reference to the pollutants investigated, two studies considered TSP (Bobak 2000; Xu et al. 1995), nine

studies considered  $\text{PM}_{10}$  (Brauer et al. 2008; Hansen et al. 2006; Jiang et al. 2007; Kim et al. 2007; Lee et al. 2008; Leem et al. 2006; Ritz et al. 2000; Sagiv et al. 2005; Wilhelm and Ritz 2005), and four studies included information on  $\text{PM}_{2.5}$  (Brauer et al. 2008; Huynh et al. 2006; Ritz et al. 2007; Wilhelm and Ritz 2005). Among the main limitations of the studies, there is the selective reporting of time of exposure, since only 2 studies out of 13 provided data for all trimesters of exposure, leaving open the possibility of selective reporting of positive findings. Individual information on covariates was also limited since only a minority (3/13) studies were able to allow for maternal smoking. Moreover, only a limited number of studies were able to adjust for previous LBW or preterm infants.

### TSP

Among the investigations which considered TSP, a study conducted on a cohort of 25,370 women from four residential areas in Beijing, China, who gave first live births in 1988, and using a time-series approach, reported a RR of preterm birth of 1.10 for each  $100\ \mu\text{g}/\text{m}^3$  of TSP and estimated a decrease in the gestation age of 0.042 week for each  $100\ \mu\text{g}/\text{m}^3$  increase in TSP with a 7-day lag (Xu et al. 1995).

In a Czech study on a cohort of all singleton live births registered in 1990–1991 ( $N = 78,148$ ), premature birth was associated with TSP exposure during the first trimester of pregnancy (RR = 1.18, for a  $50\ \mu\text{g}/\text{m}^3$  increase), but less so (and not significantly) for exposures in the second and third trimester (RR = 1.11 and 1.12, respectively) (Bobak 2000).

### $\text{PM}_{10}$

With reference to  $\text{PM}_{10}$ , in a cohort of 97,518 births from Southern California registered between 1989 and 1993, a 16% increase in the risk of preterm birth was reported for a  $50\ \mu\text{g}/\text{m}^3$  increase in the average level of  $\text{PM}_{10}$  during the first month of pregnancy and a 20% increase during the 6 weeks before birth (Ritz et al. 2000).  $\text{PM}_{10}$  effects showed no regional pattern, while they were slightly reduced when several covariates (including a measure of tobacco smoking) were taken into consideration.

In a time-series analysis conducted in Pennsylvania on 187,977 singleton births recorded between 1997 and 2001, a non significant increased risk of preterm delivery was observed for exposure to average  $\text{PM}_{10}$  in the 6 weeks before birth (RR = 1.07, for a  $50\ \mu\text{g}/\text{m}^3$  increase) (Sagiv et al. 2005). In the week before birth, a significant association was observed only with 2-day and 5-day lag.

In an extended analysis of the Southern California birth cohort from 1994 to 2000 (Ritz et al. 2000), which examined the risk in relation to varying residential distances

**Table 1** Main findings from epidemiologic studies of particulate matter (PM) and preterm birth

First author and publication year	Country, study design and population	Mean exposure (SD) ( $\mu\text{g}/\text{m}^3$ )	Trimester of exposure			Level of exposure	Adjustment
			First	Second	Third		
<i>TSP</i>							
(Xu et al. 1995)	Beijing, China Time-series analysis 25,370 births	375	1.10 (1.01–1.20) <sup>a</sup>	–	–	100 $\mu\text{g}/\text{m}^3$ increase	Temperature, humidity, day of the week, season, residential area, maternal age, sex
(Bobak 2000)	Czech Republic Cross-sectional study 78,148 births	71.5 <sup>b</sup>	1.11 (0.97–1.26)	1.12 (0.97–1.28)	–	50 $\mu\text{g}/\text{m}^3$ increase	Sex, parity, maternal age, education, marital status, nationality, month of birth
<i>PM<sub>10</sub></i>							
(Ritz et al. 2000)	Southern California, USA Cross-sectional study 97,518 births	49.3 (16.9) (first month) 47.5 (15.0) (last 6 weeks)	–	1.16 (1.06–1.26) <sup>c</sup>	1.20 (1.09–1.33) <sup>d</sup>	50 $\mu\text{g}/\text{m}^3$ increase	Maternal age, race, education, parity, interval since previous live birth, prenatal care, sex, previous low birth weight or preterm birth, smoking
(Sagiv et al. 2005)	Pennsylvania, USA Time-series analysis 187,997 births	25.3 (14.6)	–	–	1.07 (0.98–1.18) <sup>d</sup>	50 $\mu\text{g}/\text{m}^3$ increase	Gestations at risk, long-term trends in preterm births, co-pollutants (plus temperature, dew point temperature, and day of the week in lags analysis)
(Wilhelm and Ritz 2005)	Southern California, USA Cross-sectional study	42.2 (first month) 41.5 (third month) 39.1 (last 6 weeks)	–	1.12 (0.91–1.38)	1.12 (0.92–1.37) <sup>d</sup>	Distance $\leq 1$ mile and highest exposure	Sex, maternal age, race/ethnicity, education, interval since previous live birth, previous low birth weight or preterm infant, level of prenatal care, birth season, parity, gestational age
(Leem et al. 2006)	Incheon, Korea Cross-sectional study 52,113 births	–	1.27 (1.04–1.56)	–	1.09 (0.91–1.30)	Highest quartile vs. lowest	Maternal age, parity, sex, season, education
(Hansen et al. 2006)	Brisbane, Australia Cross-sectional study 28,200 births	19.6 (9.4)	1.15 (1.06–1.25)	–	1.04 (0.92–1.16)	Interquartile increase	Sex, maternal age, parity, indigenous status, antenatal visits, marital status, previous abortions, type of delivery, socioeconomic status
(Kim et al. 2007)	Seoul, Korea Cross-sectional study 1,514 births	88.7–889.7	0.93 (0.85–1.01)	1.00 (0.93–1.07)	1.05 (0.99–1.11)	10 $\mu\text{g}/\text{m}^3$ increase	Sex, infant order, maternal age, education, season of birth, alcohol, body mass index, maternal weight before delivery

Table 1 continued

First author and publication year	Country, study design and population	Mean exposure (SD) ( $\mu\text{g}/\text{m}^3$ )	Trimester of exposure			Level of exposure	Adjustment
			First	Second	Third		
(Jiang et al. 2007)	Shanghai, China Time-series analysis	101.3 (3.0)	No RR estimates				
(Lee et al. 2008)	London, UK Time-series analysis 42,568 births		1.00				
(Brauer et al. 2008)	Vancouver, Canada Cross-sectional study 70,249	12.7	1.13 (0.95–1.35) <sup>a</sup>			1 $\mu\text{g}/\text{m}^3$ increase	Sex, First Nations status, parity, maternal age, smoking, period of birth, income, education
<i>PM</i> <sub>2.5</sub> (Wilhelm and Ritz 2005)	Southern California, USA Cross-sectional study	21.9 (first month) 21.0 (third month) 21.0 (last 6 weeks)	0.83 (0.60–1.14)			–	Sex, maternal age, race/ethnicity, education, interval since previous live birth, previous low birth weight or preterm infant, prenatal care, birth season, parity, gestational age
(Huynh et al. 2006)	California, USA Case-control study 10,673 cases/32,019 controls	17.5 (5.2) (cases) 18.0 (5.2) (controls)	1.13 (1.13–1.13)			–	Maternal age, race/ethnicity, education, marital status, parity
(Ritz et al. 2007)	Southern California, USA Cross-sectional study 58,316; 2,543 births in a nested case-control sample	20.01	1.10 (1.01–1.20) 1.27 (0.99–1.64)			–	Season, parity, maternal age, race, education
(Brauer et al. 2008)	Vancouver, Canada Cross-sectional study 70,249	5.3	1.06 (1.01–1.11) <sup>a</sup>			1 $\mu\text{g}/\text{m}^3$ increase	Sex, First Nations status, parity, maternal age, smoking, period of birth, income, education

*PM*<sub>2.5</sub> particulate matter <2.5  $\mu\text{m}$ , *PM*<sub>10</sub> particulate matter <10  $\mu\text{m}$ , SD standard deviation, TSP total suspended particles

<sup>a</sup> Overall pregnancy

<sup>b</sup> Median exposure

<sup>c</sup> First month

<sup>d</sup> Last 6 weeks

<sup>e</sup> Last 2 weeks

from monitoring stations, no significant associations between  $PM_{10}$  exposure and preterm birth were observed for any of the distances considered both for exposures during the first trimester and in the last 6 weeks. The results were comparable using a ZIP-code-level analysis (Wilhelm and Ritz 2005). None of the RR estimates were significant.

A study from Korea on 52,113 singleton births registered in 2001–2002 reported a RR of preterm birth of 1.27 for the highest quartile of exposure to  $PM_{10}$  during the first trimester, but no significant association during the third trimester (RR = 1.09) (Leem et al. 2006).

In 28,200 singleton live births from Brisbane, Australia, during the period 2000–2003, a 15% increased risk of preterm birth was found for exposure to  $PM_{10}$  during the first trimester (particularly during the first month, RR = 1.19), but no significant association was observed for exposure in the last trimester (RR = 1.04) (Hansen et al. 2006).

In a cohort of 1,514 births from Seoul, Korea, between 2001 and 2004, premature birth was non-significantly associated with exposure to  $PM_{10}$  during the third trimester (RR = 1.05, for a  $10 \mu\text{g}/\text{m}^3$  increase) (Kim et al. 2007). During the first two trimesters no associations were observed.

A study from Shanghai, China, on 3,346 preterm births occurred in 2004, using a time-series approach, estimated that an increase of  $PM_{10}$  of  $10 \mu\text{g}/\text{m}^3$  8 weeks before pregnancy corresponded to a 4.4% increase in preterm birth (95% CI 1.6–7.3) (Jiang et al. 2007). No meaningful increase was, however, observed for exposures 6, 4 or 1 week before birth.

An ecologic study on 482,568 births that occurred between 1988 and 2000 in London, using time-series regression techniques, reported that the risk of preterm birth did not increase with exposure to  $PM_{10}$  (Lee et al. 2008). No risk estimates were provided.

A study on 70,249 births registered between 1999 and 2002 in Vancouver, Canada, which estimated residential exposure to air pollutants using various methods (including nearest, inverse-distance weighting, and temporally adjusted land use regression) reported no consistent association for preterm birth <37 weeks with any of the exposure metrics used, and a non significant RR for preterm birth <30 weeks (RR = 1.13, for an increase in  $PM_{10}$  of  $1 \mu\text{g}/\text{m}^3$  using an inverse-distance weighting approach) (Brauer et al. 2008).

### $PM_{2.5}$

With reference to  $PM_{2.5}$ , in the birth cohort from Southern California, a non significant increase in the risk of preterm birth was observed for exposure during the last 6 weeks of pregnancy in women residing within a 1-mile distance of a monitoring station (RR = 1.25, for exposure  $\geq 24.1 \mu\text{g}/\text{m}^3$ ),

but not for women residing at larger distances (Wilhelm and Ritz 2005). In the first trimester, however, inverse associations for exposure to  $PM_{2.5}$  were observed for various distances considered.

A case–control study on 10,673 preterm births and 32,019 matched controls born in California in 1999–2000 found an OR of 1.15 for an increase of  $10 \mu\text{g}/\text{m}^3$  during the whole pregnancy (Huynh et al. 2006). Similar results were found for exposures during the first trimester (RR = 1.13) and the last 2 weeks (RR = 1.06).

The effect of exposure to  $PM_{2.5}$  on preterm birth was also assessed within a cohort of 58,316 births registered in Los Angeles in 2003 and in a nested case–control sub-sample of 2,543 women with detailed risk factor information (Ritz et al. 2007). The RRs of preterm birth for exposure to  $PM_{2.5} > 21.4 \mu\text{g}/\text{m}^3$  in the first trimester were 1.10 in the overall cohort and 1.27 in the sub-sample. In the nested sample, the RR estimates were not materially modified by adjustments for additional factors, such as active and passive smoking, marital status and alcohol consumption (RR = 1.29).

In the cohort of 70,249 births from Vancouver, Canada, the RR of preterm birth <37 weeks was 1.06 for an increase in  $PM_{2.5}$  of  $1 \mu\text{g}/\text{m}^3$  using an inverse-distance weighting approach (Brauer et al. 2008). When preterm birth at <30 week was considered, the RR was 1.13 using an inverse-distance weighting approach, and of 1.07 using a temporally adjusted land use regression. The latter estimates were based on a small number of cases and were not significant.

### Low birth weight

Table 2 gives the main findings from 17 epidemiologic studies on PM and LBW or VLBW. In most studies, LBW (birth weight <2,500 g) was the outcome studied; 2 studies (Rogers and Dunlop 2006; Rogers et al. 2000) considered VLBW infants (birth weight <1,500 g), and another one considered infants with a birth weight between 2,500 and 3,000 g (Slama et al. 2007). Eleven studies considered also the reduction in birth weight corresponding to a unit increase in the air pollutant studied using linear regression models (Bell et al. 2007; Bobak 2000; Chen et al. 2002; Gouveia et al. 2004; Ha et al. 2001; Kim et al. 2007; Maisonet et al. 2001; Salam et al. 2005; Wang et al. 1997; Yang et al. 2003). As for studies on preterm birth, several of the investigations had major limitations. One study was purely ecologic and could allow for socioeconomic indicators only. Only 8 out of 17 gave risk estimates for all the trimesters of exposure, again leaving open the issue of selective reporting of positive findings. Allowance for smoking was made only in a minority of studies (7/17).

**Table 2** Main findings from epidemiologic studies of particulate matter (PM) and low birth weight (LBW) or very low birth weight (VLBW)

First author and publication year	Country, study design and population	Mean exposure (SD) ( $\mu\text{g}/\text{m}^3$ )	Trimester of exposure			Level of exposure	Adjustment
			First	Second	Third		
<i>TSP</i>							
(Wang et al. 1997)	Beijing, China Cross-sectional study 74,671 births LBW	–	–	–	1.10 (1.05–1.14)	100 $\mu\text{g}/\text{m}^3$ increase	Gestational age, residential area, maternal age, year of birth, sex
(Bobak and Leon 1999)	Czech Republic Ecologic study 45 residential districts LBW	68.5	1.04 (0.96–1.07) <sup>a</sup>	–	–	50 $\mu\text{g}/\text{m}^3$ increase	Socioeconomic factors
(Bobak 2000)	Czech Republic Cross-sectional study 108,173 LBW	71.5 <sup>b</sup>	1.15 (1.07–1.24)	1.12 (1.04–1.21)	1.11 (1.00–1.22)	50 $\mu\text{g}/\text{m}^3$ increase	Sex, parity, maternal age, education, marital status, nationality, month of birth, gestational age
(Rogers et al. 2000)	Georgia, USA Case-control study 143 VLBW cases; 202 controls	9.94 <sup>b</sup>	2.88 (1.16–7.13) <sup>a</sup>	–	–	Exposure >56.8 vs. <9.9 $\mu\text{g}/\text{m}^3$	County, point source, residence, prenatal care, race, toxemia, smoking, alcohol, stress, maternal weight, weight gain, education, parity, mother working, sex, use of illicit drugs.
(Ha et al. 2001)	Seoul, Korea Cross-sectional study 276,763 births LBW	82.3 <sup>b</sup>	1.04 (1.00–1.08)	–	0.95 (0.90–0.99)	Interquartile increase	Gestational age, maternal age, education, birth order, sex
<i>PM<sub>10</sub></i>							
(Maisonet et al. 2001)	Six northeastern cities, USA Cross-sectional study 89,557 births LBW	–	0.93 (0.85–1.00)	0.93 (0.85–1.02)	0.96 (0.88–1.06)	10 $\mu\text{g}/\text{m}^3$ increase	Alcohol, smoking, education, maternal age, race/ethnicity, marital status, weight gain, previous terminations, sex, season of birth, firstborn, prenatal care, gestational age, other pollutants

Table 2 continued

First author and publication year	Country, study design and population	Mean exposure (SD) ( $\mu\text{g}/\text{m}^3$ )	Trimester of exposure			Level of exposure	Adjustment
			First	Second	Third		
(Chen et al. 2002)	Washoe County, Nevada, USA Cross-sectional study 36,305 births LBW	31.6 (5.3)	1.11 (0.71–1.17) <sup>a</sup>		Exposure >44.7 vs. <19.7 $\mu\text{g}/\text{m}^3$	Gestational age, sex, maternal residence, education, medical risk factors, tobacco, drug use, alcohol, prenatal visits, maternal age, race/ethnicity, weight gain	
(Yang et al. 2003)	Kaohsiung, Taiwan Cross-sectional study 54,624 births LBW	–	No RR estimates				
(Lee et al. 2003)	Seoul, Korea Cross-sectional study 388,105 births LBW	71.1 (30.1)	1.03 (1.00–1.07)	1.04 (1.00–1.08)	1.00 (0.95–1.04)	Date, gestational age, sex, infant order, maternal age, education	
(Gouveia et al. 2004)	São Paulo, Brazil Cross-sectional study 179,460 births LBW	60.3 (25.2)	1.14 (0.88–1.49)	1.25 (1.03–1.53)	0.97 (0.78–1.21)	Gestational age, maternal age, education, antenatal care, birth order, type of delivery	
(Wilhelm and Ritz 2005)	Southern California, USA Cross-sectional study LBW	42.2 (first month) 41.5 (third month) 39.1 (last 6 weeks)	–	–	1.48 (1.00–2.19)	Sex, maternal age, race/ethnicity, education, interval since previous live birth, preterm low birth weight or preterm infant, prenatal care, birth season, parity, gestational age	
(Salam et al. 2005)	California, USA Cross-sectional study 6,259 births LBW	45.8 (12.9)	1.0 (0.7–1.5)	1.2 (0.8–1.7)	1.3 (0.9–1.9)	Gestational age, maternal age, months since last live birth, parity, smoking, socioeconomic status, gestational diabetes, sex, race/ethnicity, school grades, study community, measure of period of birth	
(Dugandzic et al. 2006)	Nova Scotia, Canada Cross-sectional study 74,284 births LBW	17	1.09 (1.00–1.19)	1.02 (0.93–1.12)	0.99 (0.89–1.09)	Sex, gestational age, maternal age, parity, tobacco, weight gain, prior neonatal deaths, stillbirth, or low birth weight, family income	
(Rogers and Dunlop 2006)	Georgia, USA Case-control study 128 VLBW cases/197 controls	3.23 (controls)	1.94 (0.98–3.83) <sup>a</sup>		Fourth vs. first quartile	Maternal age, race, education, active and passive smoking, birth season, pre-pregnancy weight, weight gain, maternal toxemia, anemia, asthma	

Table 2 continued

First author and publication year	Country, study design and population	Mean exposure (SD) ( $\mu\text{g}/\text{m}^3$ )	Trimester of exposure			Level of exposure	Adjustment
			First	Second	Third		
(Bell et al. 2007)	Massachusetts and Connecticut, USA Cross-sectional study 358,504 births LBW	–	1.03 (0.99–1.06) <sup>a</sup>			Interquartile increase	Marital status, smoking, alcohol, education, maternal age, race, temperature, sex, type of delivery, prenatal care, birth order, gestational length
(Kim et al. 2007)	Seoul, Korea Cross-sectional study 1,514 births LBW	–	1.07 (0.96–1.19)	1.07 (0.94–1.22)	1.05 (0.96–1.16)	10 $\mu\text{g}/\text{m}^3$ increase	Sex, infant order, maternal age, education, season of birth, alcohol, body mass index, maternal weight before delivery
(Brauer et al. 2008)	Vancouver, Canada Cross-sectional study 70,249 LBW	12.7	1.01 (0.95–1.08) <sup>a</sup>			LBW 1 $\mu\text{g}/\text{m}^3$ increase	Sex, First Nations status, parity, maternal age, smoking, period of birth, income, education
<i>PM<sub>2.5</sub></i> (Slama et al. 2007)	Munich, Germany Cross-sectional study 1,016 births LBW	14.4	1.10 (0.99–1.20) <sup>c</sup>	1.01 (0.92–1.12) <sup>c</sup>	1.14 (1.02–1.24) <sup>c</sup>	1 $\mu\text{g}/\text{m}^3$ increase	Gestational duration, sex, smoking, parity, education, maternal height and pre-pregnancy weight
(Bell et al. 2007)	Massachusetts and Connecticut, USA Cross-sectional study 358,504 births LBW	–	1.05 (1.02–1.09) <sup>a</sup>			Interquartile increase	Marital status, smoking, alcohol, education, maternal age, maternal race, temperature, sex, type of delivery, prenatal care, birth order, gestational length
(Brauer et al. 2008)	Vancouver, Canada Cross-sectional study 70,249 LBW	5.3	0.98 (0.92–1.05) <sup>a</sup>			Interquartile range	Sex, First Nations status, parity, maternal age, smoking, period of birth, income, education

*PM<sub>2.5</sub>* particulate matter <2.5  $\mu\text{m}$ , *PM<sub>10</sub>* particulate matter <10  $\mu\text{m}$ , *SD* standard deviation, *TSP* total suspended particles

<sup>a</sup> Overall pregnancy

<sup>b</sup> Median exposure

<sup>c</sup> Prevalence ratio



With reference to the pollutant investigated, five studies considered exposure to TSP (Bobak 2000; Bobak and Leon 1999; Ha et al. 2001; Rogers et al. 2000; Wang et al. 1997), 12 exposure to  $PM_{10}$  (Bell et al. 2007; Brauer et al. 2008; Chen et al. 2002; Dugandzic et al. 2006; Gouveia et al. 2004; Kim et al. 2007; Lee et al. 2003; Maisonet et al. 2001; Rogers et al. 2000; Salam et al. 2005; Wilhelm and Ritz 2005; Yang et al. 2003), and three exposure to  $PM_{2.5}$  (Bell et al. 2007; Brauer et al. 2008; Slama et al. 2007).

### TSP

Among the investigations which considered TSP, a study on 74,671 women from four residential areas in Beijing, China, who gave first live births between 1988 and 1991, reported an increased risk of LBW for exposure to TSP during the third trimester (RR = 1.10, for a  $100 \mu\text{g}/\text{m}^3$  increase), with a significant dose-risk relation (Wang et al. 1997). The estimated reduction in birth weight was, however, only 6.9 g (SE = 1.4 g) for a  $100 \mu\text{g}/\text{m}^3$  increase in TSP. Although the authors did not give the results for the second and third trimesters, they stated that small inverse associations with LBW were observed in these periods, too.

In an ecologic study conducted in 45 administrative districts in the Czech Republic in 1986–1988, the RR of LBW was 1.04 (not significant) for a  $50 \mu\text{g}/\text{m}^3$  increment in TSP (Bobak and Leon 1999).

In another Czech study including all singleton live births registered in 1990–1991 ( $N = 108,173$ ), a significant association between exposure to TSP and LBW was observed in each trimester of exposure (RR = 1.15, 1.12 and 1.11 for a  $50 \mu\text{g}/\text{m}^3$  increase, respectively) (Bobak 2000). The estimates were slightly attenuated after allowance for gestational age. The mean reduction in weight was 10.8 g (95% CI 3.1–18.4) for each  $50 \mu\text{g}/\text{m}^3$  increment in TSP in the first trimester, while results were not provided for the other trimesters.

A population-based case-control study conducted between 1986 and 1988 in the USA, on 143 VLBW babies and 202 controls, which measured air pollutants using an environmental transport modeling, reported an OR of 0.99 for exposures to TSP and  $\text{SO}_2$  combined between  $9.94$  and  $25.18 \mu\text{g}/\text{m}^3$  as compared to  $9.94 \mu\text{g}/\text{m}^3$ , of 1.27 for exposures between  $25.18$  and  $56.75 \mu\text{g}/\text{m}^3$ , and of 2.88 for exposures  $>56.75 \mu\text{g}/\text{m}^3$  ( $>95$ th percentile) (Rogers et al. 2000).

A study on a group of 276,763 singletons born between 1996 and 1997 in Seoul, Korea found an increased risk of LBW for exposure to TSP in the first trimester (RR = 1.04, for an interquartile increase), but not in the third one (RR = 0.95) (Ha et al. 2001). The mean reduction in weight was 6.1 g (95% CI 3.9–8.3) for an interquartile increase in TSP during the first trimester, while it was not given for trimesters two and three.

### $PM_{10}$

With reference to  $PM_{10}$ , a study on 89,557 live births between 1994 and 1996 from six northeastern cities of the USA found no association between exposure to  $PM_{10}$  and term LBW in any of the trimesters of pregnancy (OR = 0.93, 0.93, and 0.96 in the 3 trimesters, respectively, after adjusting for tobacco smoking and various other covariates) (Maisonet et al. 2001). Moreover, linear regression analyses showed that the magnitude of the birth weight reductions was small.

In a study on 36,305 singletons from Washoe County, Nevada, born between 1991 and 1999,  $PM_{10}$  was not significantly related to the risk of LBW after adjustment for tobacco smoking and various other covariates (RR = 1.11, for the highest exposure level) (Chen et al. 2002). A  $10 \mu\text{g}/\text{m}^3$  increase in  $PM_{10}$  was, however, associated with a reduction in 10 g in birth weight (SE = 5.2 g).

A reduction in birth weight of 0.52 g (95% CI 0.19–0.85) for a  $1 \mu\text{g}/\text{m}^3$  increase of  $PM_{10}$  during the first trimester of pregnancy was reported among 54,624 singletons born between 1995 and 1997 in Taiwan (Yang et al. 2003). No significant reductions in weight were, however, reported in the second and third trimester (0.16 g and 0.33 g, respectively).

In a study from Seoul, Korea—extending a previous one (Ha et al. 2001) through 1998—and including 388,105 singletons, the RR of LBW for exposure to  $PM_{10}$  was 1.03 for the first trimester, 1.04 for the second and 1.00 for the third one (RR = 1.06 for the overall period) (Lee et al. 2003). The mean reduction in birth weight was 19.6 g for an interquartile increase in  $PM_{10}$  during the second trimester, while no results were given for other trimesters.

A study on 179,460 live births from São Paulo, Brazil, during 1997 observed a significant increase in LBW risk in relation to  $PM_{10}$  exposure during the second trimester (RR = 1.25 for the fourth quartile), but not in the first and third one (RR = 1.14 and 0.97, respectively) (Gouveia et al. 2004). A significant reduction in birth weight (13.7 g, 95% CI 0.4–27.0, for each  $10 \mu\text{g}/\text{m}^3$  increase) was observed in the first trimester, while a smaller reduction was observed in the second trimester (4.4 g), and an increase in weight was reported in the third trimester (14.6 g).

In the study from southern California that analyzed the risk of pregnancy outcome in relation to varying distances from air monitoring stations, an increased risk of LBW was found in relation to  $PM_{10}$  exposure during the third trimester of pregnancy in women residing within a 1-mile distance from a monitoring station (RR = 1.48, for exposure  $\geq 44.4 \mu\text{g}/\text{m}^3$  as compared to  $< 33.4 \mu\text{g}/\text{m}^3$ ) (Wilhelm and Ritz 2005). No significant excess risks were found when the distances between home and monitoring stations were greater nor when a ZIP-code-analysis was performed.

In a group of 6,259 children born in California between 1975 and 1987, no significant excess risk of LBW was reported for an interquartile increase in exposure to  $PM_{10}$  (RR = 1.0, 1.2, and 1.3 for the first, second and third trimester, respectively). A significant reduction in birth weight of 21.7 g (95% CI 1.1–42.2) for an interquartile increase in  $PM_{10}$  during the third trimester was observed (Salam et al. 2005), while weight reductions for exposures during the first and second trimesters, as well as in the entire pregnancy, were smaller and not significant (3.0, 15.7, and 19.9 g, respectively). Moreover, the reduction for exposure in the third trimester was attenuated (10.8 g) and no longer significant after adjustment for  $O_3$ .

Among 74,284 singleton births between 1988 and 2000 in Nova Scotia, Canada, an increased risk of LBW for exposure to  $PM_{10}$  was observed in the first trimester (RR = 1.09, for an interquartile increase), but not in the second or third trimester (Dugandzic et al. 2006). Moreover, the association in the first trimester was attenuated when birth year was controlled for in the analysis (RR = 1.03).

A further analysis of an US population-based case–control study (Rogers et al. 2000) based on 128 VLBW preterm infants and 197 term infants weighing >2,500 g, reported a non significant association with exposure to  $PM_{10}$  (RR = 1.94, for the fourth quartile of exposure versus the first one) (Rogers and Dunlop 2006). A comparison between 59 VLBW preterm infants appropriate for gestational age with the 197 term infants reported a significant association with exposure to  $PM_{10}$  (OR = 3.68), thus suggesting that the association between PM and LBW was at least in part attributable to an effect of duration of gestation.

In a US study, among 358,504 births from 1999 to 2002, in Massachusetts and Connecticut and the RR of LBW for an interquartile increase in the exposure to  $PM_{10}$  was 1.03, and the corresponding birth weight reduction was 8.2 g (95% CI 5.3–11.1) (Bell et al. 2007). The most relevant change in birth weight was observed during the third trimester.

In the cohort of 1,514 births from Korea, LBW was affected by  $PM_{10}$  exposure during the entire pregnancy (RR = 1.07, 1.07 and 1.05, respectively for a  $10 \mu\text{g}/\text{m}^3$  increase in the three trimesters) (Kim et al. 2007). However, none of these findings were significant. Birth weight was reduced by 0.3 g (95% CI –7.3 to 14.5) in the second trimester, 2.1 (95% CI –7.5 to 3.4) in the third one, but it increased by 7.8 g (95% CI 1.2–14.5) in the first trimester.

In the cohort of 70,249 births from Canada, a non significant RR of 1.01 was reported for LBW for an increase of  $1 \mu\text{g}/\text{m}^3$  in  $PM_{10}$  (Brauer et al. 2008).

### $PM_{2.5}$

With reference to  $PM_{2.5}$ , a study from Munich, Germany, on 1,016 births, using a GIS-based land use regression

model, estimated that the prevalence of birth weight <3,000 g increased by 13% for each  $1 \mu\text{g}/\text{m}^3$  increase in the exposure to  $PM_{2.5}$  during the whole pregnancy (Slama et al. 2007). Further, LBW prevalence increased by 45% for an increment of  $0.5 \times 10^{-5}/\text{m}$  in  $PM_{2.5}$  absorbance (a marker of traffic-related air pollution that depends on the blackness of  $PM_{2.5}$ ). With reference to trimester-specific analyses, a significant association was found with  $PM_{2.5}$  in the third trimester only (prevalence ratio, PR = 1.14), and with  $PM_{2.5}$  absorbance in the second one only (PR = 1.27).

In a US study, the RR of LBW for an interquartile increase in the exposure to  $PM_{2.5}$  during the whole pregnancy was 1.05, with corresponding birth weight reduction in 14.7 g (95% CI 12.3–17.1) (Bell et al. 2007). The most important trimesters for exposure to  $PM_{2.5}$  were the second and the third.

In the cohort of 70,249 births from Vancouver, Canada, a non significant RR of 0.98 was reported for LBW and for an increment of  $1 \mu\text{g}/\text{m}^3$  in  $PM_{2.5}$  (Brauer et al. 2008). Similar results were obtained using a temporally adjusted land use regression.

### Small for gestational age

Four studies considered SGA births as the outcome of interest (Table 3), defined as a weight more than 2 standard deviations below the mean birth weight according to gestational age in one study (Mannes et al. 2005), as a birth weight below the 10th percentile for age and sex in the cohort in two other studies (Brauer et al. 2008; Hansen et al. 2007), and as birth weight <2,872 g for girls and <2,986 for boys in another one (Parker et al. 2005). Of these studies, three considered also the reduction in birth weight corresponding to a unit increase in the air pollutant studied using linear regression models (Hansen et al. 2007; Mannes et al. 2005; Parker et al. 2005). Three studies included information on  $PM_{10}$ , (Brauer et al. 2008; Hansen et al. 2007; Mannes et al. 2005) and 3 on  $PM_{2.5}$  (Brauer et al. 2008; Mannes et al. 2005; Parker et al. 2005).

Among the limitations of those studies, 2/4 gave risk estimates for all the trimesters of exposure and 2/4 allowed for smoking in the analyses.

### $PM_{10}$

With reference to  $PM_{10}$ , a group of 138,056 singletons from Sydney, Australia, between 1998 and 2000 reported an inverse association between exposure to  $PM_{10}$  and SGA in the second trimester, with a RR of 1.01 for a  $1 \mu\text{g}/\text{m}^3$  increment, and a corresponding birth weight reduction of 2.1 g (95% CI 0.74–3.4) (Mannes et al. 2005). No meaningful

**Table 3** Main findings from epidemiologic studies of particulate matter (PM) and small for gestational age (SGA)

First author and publication year	Country, study design and population	Mean exposure (SD) ( $\mu\text{g}/\text{m}^3$ )	Trimester of exposure			Level of exposure	Adjustment
			First	Second	Third		
<i>PM<sub>10</sub></i>							
(Mannes et al. 2005)	Sydney, Australia Cross-sectional study 138,056 births SGA <sup>a</sup>	16.8 (7.1)	1.00 (0.99–1.01)	1.01 (1.00–1.04)	1.00 (0.98–1.02)	1 $\mu\text{g}/\text{m}^3$ increase	Maternal age, smoking, indigenous status, socioeconomic status, gestational age at first antenatal visit, season of birth, parity
(Hansen et al. 2007)	Brisbane, Australia Cross-sectional study 26,617 births SGA	19.6 (9.4)	No RR estimates				
(Brauer et al. 2008)	Vancouver, Canada Cross-sectional study 70,249 SGA	12.7	1.02 (0.99–1.05) <sup>b</sup>			1 $\mu\text{g}/\text{m}^3$ increase	Sex, First Nations status, parity, maternal age, smoking, period of birth, income, education
<i>PM<sub>2.5</sub></i>							
(Mannes et al. 2005)	Sydney, Australia Cross-sectional study 138,056 births SGA	9.4 (5.1)	0.99 (0.97–1.02)	1.03 (1.01–1.05)	0.99 (0.97–1.01)	1 $\mu\text{g}/\text{m}^3$ increase	Maternal age, smoking, indigenous status, socioeconomic status, gestational age at first antenatal visit, season of birth, parity
(Parker et al. 2005)	California, USA Cross-sectional study 18,247 births SGA	15.4 (5.1)	1.26 (1.04–1.51)	1.24 (1.04–1.49)	1.21 (1.02–1.43)	Exposure >18.4 vs. <11.9 $\mu\text{g}/\text{m}^3$	Maternal race, education, marital status, maternal age, primiparity, season of delivery, exposure to carbon monoxide
(Brauer et al. 2008)	Vancouver, Canada Cross-sectional study 70,249 SGA	5.3	1.02 (1.00–1.03) <sup>b</sup>			1 $\mu\text{g}/\text{m}^3$ increase	Sex, First Nations status, parity, maternal age, smoking, period of birth, income, education

*PM<sub>2.5</sub>* particulate matter <2.5  $\mu\text{m}$ , *PM<sub>10</sub>* particulate matter <10  $\mu\text{m}$ , *SD* standard deviation

<sup>a</sup> Prevalence ratio

<sup>b</sup> Overall pregnancy

associations or weight reductions were found for exposures in the first or the third trimester. Similar findings were reported in a sub-sample of women residing within 5 km from a monitoring station.

In a study from Brisbane, Australia, on 26,617 singletons born between 2000 and 2003, trimester and monthly specific exposures to *PM<sub>10</sub>* were not significantly associated with a reduction in birth weight or an increased risk of SGA (Hansen et al. 2007).

In a cohort of 70,249 births from Canada, a non significant RR of 1.02 for SGA for an increment of 1  $\mu\text{g}/\text{m}^3$  in *PM<sub>10</sub>* was reported (Brauer et al. 2008).

### *PM<sub>2.5</sub>*

With reference to *PM<sub>2.5</sub>*, a study from Sydney, Australia, reported a RR for SGA of 1.03 for a 1  $\mu\text{g}/\text{m}^3$  increment in *PM<sub>2.5</sub>* exposure in the second trimester, with a corresponding birth weight reduction of 4.1 g (95% CI 1.4–6.8) (Mannes et al. 2005). No significant association or weight reduction was reported for exposures in the first and third trimester. Similar findings were reported in women residing within 5 km from a monitoring station.

In a group of 18,247 singleton births from California between 1999 and 2000, an increased risk of SGA was

reported in relation to the exposure to  $PM_{2.5}$  in all the trimesters, the RRs for the highest level of exposure ( $>18.4 \mu\text{g}/\text{m}^3$ ) being 1.26, 1.24 and 1.21, respectively (Parker et al. 2005). The corresponding reductions in birth weight were 35.8 g (95% CI 13.3–58.4), 46.6 g (95% CI 24.6–68.6) and 31.6 g (95% CI 11.1–52.0), respectively.

In a cohort of 70,249 births from Vancouver, Canada, a non significant RR of 0.98 was reported for LBW and of 1.02 for SGA for an increment of  $1 \mu\text{g}/\text{m}^3$  in  $PM_{2.5}$  (Brauer et al. 2008). Similar results were obtained using a temporally adjusted land use regression.

## Discussion

Eight of the 13 studies that considered PM in relation to preterm birth and 11 out of 17 studies that analyzed the relation with LBW reported modest increases in risk (by about 10–20%) in at least one pregnancy period. Although SGA is a better and more sensitive outcome than LBW—which can be due either to a preterm birth or a retarded fetal growth—only limited and inconsistent data are available on SGA.

Most of the studies analyzed  $PM_{10}$ , with only a few older studies measuring particulate as TSP and a few others including information on  $PM_{2.5}$ . Although TSP,  $PM_{10}$  and  $PM_{2.5}$  are measures of different exposures (in particular  $PM_{10}$  and  $PM_{2.5}$ , the former measuring more the resuspension and the latter the exhaust), they are generally positively correlated, and the studies that analyzed both  $PM_{10}$  and  $PM_{2.5}$  did not report meaningfully different estimates for the two pollutants.

Substantial inconsistencies in the trimester-specific results were observed both within and across studies. For preterm birth, two studies reported increased risks in the overall pregnancy period (Brauer et al. 2008; Xu et al. 1995), two studies reported increased risks in the first and third trimester of pregnancy only (Huynh et al. 2006; Ritz et al. 2000), and four other studies reported significant associations only when PM exposure occurred during the first trimester of pregnancy (Bobak 2000; Hansen et al. 2006; Leem et al. 2006; Ritz et al. 2007). Similarly, various studies reported an association between PM and the risk of LBW limited to exposures in the first (Dugandzic et al. 2006; Ha et al. 2001; Lee et al. 2003) or the second trimester (Gouveia et al. 2004; Lee et al. 2003), and a few others found significant associations only for exposures during the third trimester (Slama et al. 2007; Wang et al. 1997; Wilhelm and Ritz 2005). For SGA, one study reported increased risks in all pregnancy trimesters (Parker et al. 2005), and another one in the second trimester only (Parker et al. 2005). Thus, the available epidemiologic evidence does not allow to establish the critical time window, if any,

of PM exposure, which is also unclear from a biological point of view. Moreover, most of the studies showed the results for specific trimesters only; thus it is possible that findings for other pregnancy periods were not significant (Boffetta et al. 2008). Given the high number of comparisons performed in each study, some of the trimester-specific associations could be due to chance alone.

Besides the inconsistencies in trimester-specific risk estimates, limitations in the methodologies used to investigate the associations between air pollution and adverse pregnancy outcomes make the interpretations of the published findings uncertain (Ritz and Wilhelm 2008; Slama et al. 2008; Woodruff et al. 2009). One of the main problems is the relatively crude exposure assessment used. In almost all studies conducted so far, exposure to ambient PM has in fact been estimated on the basis of routinely collected data from stationary air pollution monitors in the area closest to the mother's residence. No additional information was available on the characteristics of the home, as well as on the pattern and duration of the exposure of mothers, a large proportion of who may have moved for part of the day or of the overall pregnancy. Thus, a misclassification of the individual exposure to PM is possible. Only two studies used land use regression models (Brauer et al. 2008; Slama et al. 2007) and another one used an environmental transport modeling (Rogers et al. 2000) to take into account mobility (Nethery et al. 2008).

Most studies controlled for various confounding factors, including maternal and infant characteristics derived from birth certificate records, as well as a few seasonal factors. Only a limited number of studies were able, however, to adjust for other covariates, including in particular tobacco smoking, which is an important determinant of adverse pregnancy outcomes. Tobacco smoking during pregnancy has indeed been associated to a twofold increased risk of LBW, and to a mean reduction in infant weight of about 150–250 g, and environmental tobacco smoking has been associated to a 20% increase of LBW, and to a reduction in weight of about 25–40 g (Andres and Day 2000; Lindbohm et al. 2002; Windham et al. 1999). Tobacco smoking may be indirectly associated to air pollution, since smokers are more likely to have a lower socioeconomic status, and consequently to live in poorer residential areas, characterized by higher levels of air pollution. Among the studies that controlled for maternal tobacco smoking in their analyses (Bell et al. 2007; Brauer et al. 2008; Chen et al. 2002; Dugandzic et al. 2006; Maisonet et al. 2001; Mannes et al. 2005; Ritz et al. 2000; Rogers and Dunlop 2006; Salam et al. 2005; Slama et al. 2007) six did not find an association with PM exposure (Brauer et al. 2008; Chen et al. 2002; Dugandzic et al. 2006; Maisonet et al. 2001; Rogers and Dunlop 2006; Salam et al. 2005). One study reported that the associations were attenuated when a number of

covariates (including a measure of tobacco smoking during pregnancy) was taken into consideration (Ritz et al. 2000), although in a detailed interview survey within a subset of the overall cohort, smoking and other potential confounders did not show a large impact on the relation between PM and preterm birth (Ritz et al. 2007). Moreover, a study of the potential confounding effect of smoking reported that maternal tobacco smoking did not confound the association between PM and infant mortality (Darrow et al. 2006).

Furthermore, no adjustment has been made for maternal nutrition before and during pregnancy, which has been recently suggested to be a potentially important confounding factor of the association between PM exposure and adverse reproductive outcomes (Kannan et al. 2006).

Confounding from other pollutants is also possible, since the exposure to various air pollutants is likely to occur concomitantly. Although some studies gave the results from multi-pollutants models, the high correlation between various air pollutants makes it difficult to interpret those models and disentangle the effect of each single pollutant.

Several biologic mechanisms by which PM could cause premature births or LBW have been suggested, but none of them has been clearly established. Air pollution may affect maternal respiratory and general health, and in turn cause oxidative stress, inflammatory responses, as well as disturbances of the blood flow (Kannan et al. 2006; Kelly 2003; Peters et al. 1997; Sorensen et al. 2003; Srām 1999). Moreover, toxic components of PM (which is a complex mixture of a number of components) or other unmeasured compounds which are correlated with PM (such as polycyclic aromatic hydrocarbons, PAHs) absorbed in the maternal bloodstream can affect placental function and directly interfere with the development of the fetus, causing fetal distress, and reducing fetal growth and development (Choi et al. 2006, 2008). Molecular epidemiologic studies have shown increased DNA adducts in maternal blood and placentas in areas with higher pollution, which in turn have been related to increased risk of LBW and preterm birth (Perera et al. 1999).

In conclusion, the results from epidemiologic studies available to date on maternal exposure to PM during pregnancy do not provide convincing evidence of an association with the risk of preterm birth, LBW or SGA. Any association, if any, would be relatively small. The critical time window for the exposure remains also unclear. Moreover, it is unclear whether some of the associations observed in various studies are due to misclassification of the exposure, to some sources of bias or residual confounding not accounted for in the analyses, to selective reporting of positive findings, or the play of chance (Boffetta et al. 2008; Morfeld 2009). Further and better studies are needed to clarify whether there is a real effect of PM on these adverse pregnancy outcomes (Ritz and Wilhelm 2008; Slama et al.

2008; Woodruff et al. 2009). The studies should include: better assessment of exposure using, for example geographic information system techniques, such as land use regression or air dispersion models, which take mobility into account (Nethery et al. 2008); better information on confounders and analyze potential residual confounding; and measurement of biomarkers of exposure or personal exposure monitoring in order to validate exposure estimates. Other studies focused on better outcomes, such as ultrasound measurements during birth (Hansen et al. 2008), may also help understand the effect of air pollution on adverse pregnancy outcomes.

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