



# Quasi-symmetric 2-(41, 9, 9) designs and doubly even self-dual codes of length 40

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## Abstract

The existence of a quasi-symmetric 2-(41, 9, 9) design with intersection numbers  $x = 1, y = 3$  is a long-standing open question. Using linear codes and properties of subdesigns, we prove that a cyclic quasi-symmetric 2-(41, 9, 9) design does not exist, and if  $p < 41$  is a prime number being the order of an automorphism of a quasi-symmetric 2-(41, 9, 9) design, then  $p \leq 5$ . The derived design with respect to a point of a quasi-symmetric 2-(41, 9, 9) design with block intersection numbers 1 and 3 is a quasi-symmetric 1-(40, 8, 9) design with block intersection numbers 0 and 2. The incidence matrix of the latter generates a binary doubly even code of length 40. Using the database of binary doubly even self-dual codes of length 40 classified by Betsumiya et al. (Electron J Combin 19(P18):12, 2012), we prove that there is no quasi-symmetric 2-(41, 9, 9) design with an automorphism  $\phi$  of order 5 with exactly one fixed point such that the binary code of the derived design is contained in a doubly-even self-dual [40, 20] code invariant under  $\phi$ .

**Keywords** Quasi-symmetric design · Subdesign · Cyclic code · Self-dual code · Automorphism group

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## 1 Preliminaries

We assume some basic familiarity with combinatorial designs and algebraic coding theory (cf. e.g. [1, 7, 12]).

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Given integers  $v \geq k \geq 2$ ,  $\lambda > 0$ , a  $2$ - $(v, k, \lambda)$  design is a pair  $\mathcal{D} = (X, \mathcal{B})$  of a set  $X = \{x_i\}_{i=1}^v$  of  $v$  points, and a collection  $\mathcal{B} = \{B_j\}_{j=1}^b$  of  $k$ -subsets  $B_j \subseteq X$ , called blocks such that every two points appear together in exactly  $\lambda$  blocks.

The points by blocks incidence matrix  $A = (a_{i,j})$  of a design  $\mathcal{D}$  with  $v$  points and  $b$  blocks is a  $v \times b$   $(0, 1)$ -matrix with  $a_{i,j} = 1$  if the  $i$ th point belongs to the  $j$ th block, and  $a_{i,j} = 0$  otherwise. The transposed matrix  $A^T$  is called the blocks by points incidence matrix of  $\mathcal{D}$ . The dual design  $\mathcal{D}^*$  of  $\mathcal{D}$  is the design with incidence matrix  $A^T$ .

The derived design  $\mathcal{D}^x$  of a  $2$ - $(v, k, \lambda)$  design  $\mathcal{D} = (X, \mathcal{B})$  with respect to a point  $x \in X$  is a  $1$ - $(v - 1, k - 1, \lambda)$  design with point set  $X \setminus \{x\}$ , and blocks  $B \setminus \{x\}$ ,  $B \in \mathcal{B}, x \in B$ . If a given  $1$ - $(v - 1, k - 1, \lambda)$  design  $\mathcal{D}'$  is a derived design of a  $2$ - $(v, k, \lambda)$  design, we call  $\mathcal{D}'$  extendable. The residual design  $\mathcal{D}_x$  with respect to  $x \in X$  is a  $1$ - $(v - 1, k, r - \lambda)$  design with point set  $X \setminus \{x\}$ , and blocks  $B \in \mathcal{B}, x \notin B$ , where  $r = \lambda(v - 1)/(k - 1)$  is the number of blocks that contain  $x$ .

If  $\mathcal{D}$  is a  $2$ - $(v, k, \lambda)$  design with  $v > k > 0$ , the number of blocks  $b = v(v - 1)\lambda/(k(k - 1))$  satisfies the Fisher inequality

$$b \geq v, \tag{1}$$

and the equality  $b = v$  holds if and only if every two blocks share exactly  $\lambda$  points. A  $2$ - $(v, k, \lambda)$  design  $\mathcal{D}$  with  $b = v$  is called symmetric.

A  $2$ - $(v, k, \lambda)$  design  $\mathcal{D}$  with  $b > v$  is quasi-symmetric with intersection numbers  $x, y$  ( $0 \leq x < y$ ) if every two blocks share either  $x$  or  $y$  points. Quasi-symmetric designs were introduced by Shrikhande and Bhagwandas [11].

A strongly regular graph with parameters  $\bar{n}, \bar{k}, \bar{\lambda}, \bar{\mu}$  is an undirected graph with  $\bar{n}$  vertices, having no multiple edges or loops, such that: every vertex has exactly  $\bar{k}$  neighbors, every two adjacent vertices have exactly  $\bar{\lambda}$  common neighbors, and every two non-adjacent vertices have exactly  $\bar{\mu}$  common neighbors. Strongly regular graphs were introduced by Bose [3]. It was proved by Shrikhande and Bhagwandas [11] that if  $\mathcal{D}$  is a quasi-symmetric  $2$ - $(v, k, \lambda)$  design with intersection numbers  $x, y$ , ( $0 \leq x < y$ ), then the graph  $\Gamma$  having as vertices the blocks of  $\mathcal{D}$ , where two blocks are adjacent in  $\Gamma$  if they share exactly  $x$  points, is strongly regular.

A  $2$ - $(v, k, \lambda)$  design is called strongly resolvable with intersection numbers  $x, y$  ( $0 \leq x < y$ ) if its set of blocks can be partitioned into disjoint subsets in such a way that every two blocks which belong to the same subset intersect each other in exactly  $x$  points, while every two blocks that belong to different subsets intersect each other in  $y$  points. An example of a strongly resolvable design with  $x = 0, y = q^{n-2}$  is the design  $AG_{n-1}(n, q)$  with parameters  $2$ - $(q^n, q^{n-1}, (q^{n-1} - 1)/(q - 1))$  having as points and blocks the points and hyperplanes in the  $n$ -dimensional finite affine geometry  $AG(n, q)$  over a finite field of order  $q$ . The block graph of a strongly resolvable design is a union of disjoint complete graphs.

Some instant examples of quasi-symmetric designs are the following:

1. the union of several identical copies of a symmetric  $2$ - $(v, k, \lambda)$  design ( $x = \lambda, y = k$ );
2. any non-symmetric  $2$ - $(v, k, 1)$  design ( $x = 0, y = 1$ );
3. any strongly resolvable design;
4. any  $2$ - $((k + 1)k/2, k, 2)$  design ( $x = 1, y = 2$ ).

A quasi-symmetric 2-( $v, k, \lambda$ ) design with  $k \leq v/2$  is called *exceptional* if it does not belong to any of the above four categories [9]. A table of admissible parameters for exceptional quasi-symmetric designs with number of points  $v \leq 70$  is given in [10]. There are 73 feasible parameter sets for exceptional quasi-symmetric designs with  $v \leq 70$  points [10, Table 48.25]. Currently, the existence (or nonexistence) question has been resolved for 40 out of the 73 feasible parameter sets, while the existence of a quasi-symmetric design in each of the remaining 33 cases is an open question. In 26 of the 40 resolved cases, linear codes, and self-dual codes in particular, have played a crucial role in establishing the existence, nonexistence or the classification up to isomorphism of the quasi-symmetric designs with the given parameters.

The existence of a quasi-symmetric 2-(41, 9, 9), ( $x = 1, y = 3$ ) is an open question. This is one of the 33 remaining open cases for plausible exceptional quasi-symmetric designs with  $v \leq 70$  points. In this paper, we prove that a cyclic quasi-symmetric 2-(41, 9, 9) design does not exist, and if  $p < 41$  is a prime number being the order of an automorphism of a quasi-symmetric 2-(41, 9, 9) design, then  $p \leq 5$ . We also prove the nonexistence of a quasi-symmetric 2-(41, 9, 9) design with an automorphism  $\phi$  of order 5 with exactly one fixed point such that the binary code of the derived design is contained in a doubly-even self-dual [40, 20] code invariant under  $\phi$ . This may be considered as a first step to prove the nonexistence of a quasi-symmetric 2-(41, 9, 9) design with block intersection numbers 1 and 3, and an analogue of the previous work [4, 5] for quasi-symmetric 2-(37, 9, 8) designs with block intersection numbers 1 and 3.

The organization of this paper is as follows. In Sect. 2, we investigate automorphisms of 2-designs in general. It is shown that (not necessarily quasi-symmetric) 2-(41, 9, 9) design can admit an automorphism of prime order  $p$  only if  $p = 41$  or  $p \leq 7$ . In Sect. 3, we show that  $p = 41$  and  $p = 7$  cannot occur as the order of an automorphism of a quasi-symmetric 2-(41, 9, 9) design. In Sect. 4, we show that  $p = 5$  cannot occur as the order of an automorphism of a quasi-symmetric 2-(41, 9, 9) design, under mild conditions (see Theorem 4.5 for the exact assumption).

## 2 Automorphisms of 2-(41, 9, 9) designs

In this section we investigate the spectrum of prime numbers that could be the order of an automorphism of a 2-(41, 9, 9) design.

**Definition 2.1** A 2-( $v_0, k, \lambda$ ) design  $\mathcal{D}_0 = (X_0, \mathcal{B}_0)$  is a *subdesign* of a 2-( $v, k, \lambda$ ) design  $\mathcal{D} = (X, \mathcal{B})$  if  $X_0 \subseteq X$  and  $\mathcal{B}_0 \subseteq \mathcal{B}$ .

The following statement is given without a proof in [8, II.1.4, page 25].

**Lemma 2.2** *If a  $2-(v, k, \lambda)$  design  $\mathcal{D}$  with  $k \geq 2$  contains a  $2-(v_0, k, \lambda)$  subdesign  $\mathcal{D}_0$  then either  $v_0 = v$  or*

$$v_0 \leq \frac{v-1}{k-1}. \tag{2}$$

**Proof** Every point of  $\mathcal{D}_0$  is contained in  $r - r_0$  blocks of  $\mathcal{D}$  that are not blocks of  $\mathcal{D}_0$ . If  $x, y$  are two distinct points of  $\mathcal{D}_0$  then the set  $S_x$  of  $r - r_0$  blocks of  $\mathcal{D}$  that are not blocks of  $\mathcal{D}_0$  and contain  $x$ , and the set  $S_y$  of  $r - r_0$  blocks of  $\mathcal{D}$  that are not blocks of  $\mathcal{D}_0$  and contain  $y$ , are disjoint:  $S_x \cap S_y = \emptyset$ . Thus, we have

$$v_0(r - r_0) \leq b - b_0. \tag{3}$$

After the substitutions  $r = \lambda(v - 1)/(k - 1)$ ,  $r_0 = \lambda(v_0 - 1)/(k - 1)$ ,  $b = \lambda v(v - 1)/(k(k - 1))$ ,  $b_0 = \lambda v_0(v_0 - 1)/(k(k - 1))$ , the inequality (3) simplifies to

$$(k - 1)v_0^2 + (1 - vk)v_0 + v^2 - v \geq 0.$$

The roots of the quadratic polynomial  $f(v_0) = (k - 1)v_0^2 + (1 - vk)v_0 + v^2 - v$  are  $v_0 = v$  and  $v_0 = (v - 1)/(k - 1)$ , and the statement of the lemma follows.  $\square$

A trivial lower bound on the number of points of a  $2-(v_0, k, \lambda)$  subdesign is  $v_0 \geq k$ , which, combined with (2) gives

$$k \leq v_0 \leq \frac{v-1}{k-1}. \tag{4}$$

The inequalities (4) imply the following.

**Corollary 2.3** *A necessary condition for a  $2-(v, k, \lambda)$  design to have a subdesign with  $v_0 < v$  points is that  $k(k - 1) + 1 \leq v$ .*

**Lemma 2.4** *Let  $\mathcal{D} = (X, \mathcal{B})$  be a  $2-(v, k, \lambda)$  design with an automorphism  $\phi$  of prime order  $p$ , such that  $p$  does not divide  $v$  and  $p > \lambda$ .*

(i) *If a block  $B$  contains two distinct points  $x, y$  which are fixed by  $\phi$ , then  $B$  is fixed by  $\phi$ .*

(ii) *Let  $X_0 = \{x \in X \mid x^\phi = x\}$ . Assume that  $v_0 = |X_0| \geq 2$  and  $p > k$ . Then  $X_0$  is the point set of a  $2-(v_0, k, \lambda)$  subdesign of  $\mathcal{D}$  with  $v_0 < v$ .*

**Proof**

- (i) If we assume that  $B$  is not fixed by  $\phi$ , then  $x$  and  $y$  must appear together in every of the  $p$  distinct blocks from the orbit of  $B$  under the cyclic group  $\langle \phi \rangle$ , which is impossible because  $p > \lambda$ .

- (ii) Since  $p > k$ , every block that is fixed by  $\phi$  must consist entirely of fixed points. Now by part (i), if a block  $B$  contains two points from  $X_0$  then  $B \subseteq X_0$ , hence the set of all blocks of  $\mathcal{D}$  that are fixed by  $\phi$  form a  $2-(v_0, k, \lambda)$  subdesign.  $\square$

**Theorem 2.5**

- (i) If  $\mathcal{D}$  is a 2-(41, 9, 9) design that admits an automorphism of prime order  $p$  then either  $p = 41$  or  $p \leq 7$ .
- (ii) There exists a 2-(41, 9, 9) design with automorphism of order 41.

**Proof** (i) Assume that  $\mathcal{D}$  is a 2-(41, 9, 9) design with an automorphism  $\phi$  of a prime order  $p < 41$ . Since the number of blocks of  $\mathcal{D}$  is  $205 = 5 \cdot 41$ , if  $p$  is in the range  $7 < p < 41$  then  $\phi$  must fix at least one block and at least two points. By Lemma 2.4, part (ii) the set  $X_0$  of all points that are fixed by  $\phi$  is the point set of a  $2-(v_0, 9, 9)$  subdesign with  $v_0 < 41$ . On the other hand, since  $9 \cdot 8 + 1 = 73 > 41$ , a 2-(41, 9, 9) design  $\mathcal{D}$  cannot have any subdesign with  $v_0 < 41$  by Corollary 2.3, a contradiction.

(ii) Let  $G = AGL(1, 41)$  be the group of order  $41 \cdot 40 = 1640$ , being the semidirect product of the additive and the multiplicative groups of the finite field of order 41,  $Z_{41} = \{0, 1, 2, \dots, 40\}$ . The group  $G$  acts as a 2-transitive permutation group on  $Z_{41}$  as the set of transformations

$$\{g = (a, b) : g(x) = ax + b \pmod{41}, x \in Z_{41}, a, b \in Z_{41}, a \neq 0\}.$$

Since  $G$  is 2-transitive, the orbit  $B^G$  of any  $k$ -subset  $B \subset Z_{41}$  with  $k \geq 2$  is a 2-(41,  $k$ ,  $\lambda$ ) design with  $b = |G|/|G_B|$  blocks, where  $G_B$  is the setwise stabilizer of  $B$  in  $G$ , and  $\lambda = bk(k - 1)/(v(v - 1))$ . If we choose  $B$  to be a 9-subset which is fixed by the subgroup  $H = \langle (3, 0) \rangle$  of order 8, for example,  $B = \{0, 1, 3, 9, 27, 40, 38, 32, 14\}$ , then  $|G_B| = |H| = 8$  and the orbit of  $B$  under  $G$  is a cyclic 2-(41, 9, 9) design.  $\square$

**3 Automorphisms of quasi-symmetric 2-(41, 9, 9) designs**

In this section we investigate the spectrum of prime numbers that can be the order of an automorphism of a putative quasi-symmetric 2-(41, 9, 9) design with intersection numbers  $x = 1, y = 3$ .

**Theorem 3.1** A quasi-symmetric 2-(41, 9, 9) design with an automorphism of order 41 does not exist.

**Proof** Let  $A$  be the  $205 \times 41$  blocks by points incidence matrix of a quasi-symmetric 2-(41, 9, 9) design  $\mathcal{D} = (X, \mathcal{B})$ , and let  $A^+$  be the  $205 \times 42$  matrix obtained by adding to  $A$  one all-one column. The matrix  $A^+$  has constant row sum 10, and the inner product of every two rows of  $A^+$  is an even number (2 or 4). Thus, the rows of  $A^+$

span a binary self-orthogonal code of length 42, hence the rank of  $A$  over the binary field,  $\text{rank}_2 A$ , satisfies the inequality

$$\text{rank}_2 A \leq 21.$$

On the other hand, since  $A$  has  $205 > 2^7$  rows, we have

$$\text{rank}_2 A > 7.$$

Assume now that  $\mathcal{D}$  is invariant under the cyclic group of order 41 acting regularly on the point set  $X$ , hence the binary linear code  $L$  spanned by the rows of  $A$  is a cyclic code (for the fundamentals of cyclic codes, see, e.g. [7, Chapter 4]). There are exactly three cyclotomic cosets of 2 modulo 41, namely  $\{0\}$ , the set  $Q$  of the 20 quadratic residues modulo 41, and the set  $N$  of the 20 quadratic non-residues modulo 41. Since

$$7 < \text{rank}_2 A \leq 21,$$

it follows that  $L$  is equivalent to the quadratic residue code  $QR_{41}$  (see [7, Sec. 6.6]) of length 41 and dimension 21, having a generator polynomial

$$g(x) = x^{20} + x^{18} + x^{17} + x^{16} + x^{15} + x^{14} + x^{11} + x^{10} + x^9 + x^6 + x^5 + x^4 + x^3 + x^2 + 1.$$

The minimum weight of  $QR_{41}$  is 9, and the set of all 410 codewords of weight 9 spans the code, hence the full automorphism group of the code coincides with the automorphism group  $G$  of the 1-(41, 9, 90) design  $D$  having as blocks the supports of the codewords of weight 9. It turns out that  $D$  is also a 2-(41, 9, 18) design. The collection of blocks of the 2-(41, 9, 9) design  $\mathcal{D}$  gives rise to a bipartition of 410 codewords of weight 9 into two equal parts, where in each part, the supports intersect pairwise in either one or three positions. We define a graph  $\Gamma$  having as vertices the 410 codewords of  $QR_{41}$  of minimum weight, where two codewords are adjacent in  $\Gamma$  if their supports share either one or three positions. A quick check by computer shows that the complement of  $\Gamma$  has a 3-cycle, hence is not bipartite. Therefore, a cyclic quasi-symmetric 2-(41, 9, 9) design with intersection numbers  $x = 1$ ,  $y = 3$  does not exist.

**Note 1** The automorphism group  $G$  of  $QR_{41}$  is of order 820, and acts as a transitive permutation group of rank 3 on the set of 41 code coordinates. The group  $G$  can be viewed also as the automorphism group of the Paley graph  $P(41)$  with vertex set  $X = \{0, 1, \dots, 40\}$ , with vertices corresponding to the code coordinates, where two vertices  $i, j$  are adjacent in  $P(41)$  if  $i - j$  is a quadratic residue modulo 41. The graph  $P(41)$  is a strongly regular graph with parameters  $\bar{n} = 41$ ,  $\bar{k} = 20$ ,  $\bar{\lambda} = 9$ ,  $\bar{\mu} = 10$ . The group  $G$  partitions the collection of all unordered 2-subsets of vertices in two orbits: one orbit consists of the edges of  $P(41)$ , and the second orbit consists of all on-edges. The stabilizer of a minimum weight codeword in  $G$  is of order 2, hence all 410 codewords of weight 9 are in one orbit under the action of  $G$ . Thus, all blocks of the 1-(41, 9, 90) design  $D$  having as blocks the supports of the codewords of weight 9 in the code  $QR_{41}$  are in one orbit under the action of  $G$ . It is easy to show that  $D$

is actually a 2-(41, 9, 18) design. Indeed, any block of  $D$  can be considered as subgraph of the Paley graph  $P(41)$ . For example,  $B = \{1, 3, 9, 15, 17, 18, 21, 38, 41\}$  is a block corresponding to a codeword of  $QR_{41}$  with nonzero positions 1, 3, 9, ..., 41. Considered as a subgraph of  $P(41)$ ,  $B$  contains exactly 18 edges, that is, there are 18 pairs  $i, j \in B, i < j$  such that  $j - i$  is a quadratic residue modulo 41. Now applying Theorem 3.5.1 from [12, p. 166], it follows that  $D$  is a 2-(41, 9,  $\lambda$ ) design with

$$\lambda = \frac{410 \cdot 9 \cdot 8}{41 \cdot 40} = 18.$$

**Theorem 3.2** *A quasi-symmetric 2-(41, 9, 9) design with intersection numbers  $x = 1, y = 3$  and an automorphism of order 7 does not exist.*

**Proof** Assume the contrary, and let  $\phi$  be an automorphism of order 7 of a quasi-symmetric 2-(41, 9, 9) design with intersection numbers  $x = 1, y = 3$ . Since the number of points is  $41 \equiv 6 \pmod{7}$ ,  $\phi$  fixes at least 6 points. Pick two points  $p, p'$  fixed by  $\phi$ . Since there are 9 blocks containing both  $p$  and  $p'$ ,  $\phi$  fixes at least two blocks  $B, B'$  containing the points  $p, p'$ . Since  $x = 1$  and  $y = 3$ , there is another point in  $B \cap B'$  which must be fixed by  $\phi$ . Then the remaining six points of  $B$  are also fixed by  $\phi$ .

Now let  $B''$  be an arbitrary block sharing three points  $q, q', q''$  with  $B$ . If  $\phi$  does not fix  $B''$ , then the orbit of  $B''$  under  $\phi$  consists of 7 blocks all of which contain  $q, q', q''$ . These blocks are disjoint outside  $q, q', q''$ , so we need  $7 \cdot (9 - 3) = 42$  points outside  $B$ . Since this is impossible, we conclude that  $\phi$  fixes  $B''$ , and hence also all the points of  $B''$ .

We have shown that, every block sharing three points with a block fixed by  $\phi$  pointwise is also fixed by  $\phi$  pointwise. Since the block graph is a connected strongly regular graph, this implies that  $\phi$  fixes every block pointwise. Thus,  $\phi$  fixes every point, which contradicts the fact that  $\phi$  has order 7.

Theorems 2.5, 3.1 and 3.2 imply the following. □

**Theorem 3.3** *If  $p$  is a prime number being the order of an automorphism of a quasi-symmetric 2-(41, 9, 9) design, then  $p \leq 5$ .*

### 4 Quasi-symmetric 2-(41, 9, 9) designs and doubly-even self-dual codes of length 40

Suppose that  $\mathcal{D} = (X, \mathcal{B})$  is a quasi-symmetric 2-(41, 9, 9) design with intersection numbers  $x = 1, y = 3$ . If  $z \in X$ , the derived 1-(40, 8, 9) design  $\mathcal{D}^z$  is a quasi-symmetric design with block intersection numbers  $x' = 0, y' = 2$ , and the  $40 \times 45$  points by blocks incidence matrix  $M$  of  $\mathcal{D}^z$  has the following properties:

1.  $M$  has constant row sum 9.
2.  $M$  has constant column sum 8.
3. The inner product of any two columns of  $M$  is either 0 or 2.

Properties 2 and 3 imply that the binary linear code spanned by the columns of  $M$  is a self-orthogonal code  $L$  of length 40 with all weights divisible by 4, hence  $L$  is contained in some binary doubly-even self-dual code  $C$  of length 40. Thus, the column set of  $M$  is a set of 45 codewords of  $C$  of weight 8, such that properties 1 and 3 hold. Motivated by Theorem 3.3 and to reduce the search, we will assume that the column set of  $M$  is a union of orbits of codewords of weight 8 under an automorphism group of  $C$  of order 5.

All binary doubly-even self-dual codes of length 40 were classified up to equivalence by Betsumiya et al. [2]. Among the 16,470 doubly even  $[40, 20, 8]$  codes, there are 45 codes with an automorphism of order 5 [2]: 44 codes have a full automorphism group of order not divisible by 25 that contains one conjugacy class of fixed-point-free automorphisms of order 5, and there is a unique code with a full automorphism group of order divisible by 25. The automorphism group of the latter code contains fixed-point-free automorphisms of order 5, as well as automorphisms of order 5 with 20 fixed points. With respect to this automorphism  $\phi$  of order 5 with 20 fixed points, the codewords of weight 8 are classified into three types:

1. codewords whose support is contained in the set of 20 fixed points (hence these codewords are fixed by  $\phi$ );
2. codewords whose support is disjoint from the set of 20 fixed points;
3. codewords whose support consists of 4 fixed points and 4 points that are not fixed by  $\phi$ .

If there is a  $1-(40, 8, 9)$  design with intersection numbers  $x' = 0$  and  $y' = 2$  invariant under  $\phi$ , then its set of blocks contains no blocks of type 3, hence every block is of type 1 or 2. Since the points covered by 1 and 2 are disjoint, this would result in a  $1-(20, 8, 9)$  design. However, such a design does not exist because  $20 \cdot 9/8$  is not an integer.

Any doubly-even self-dual  $[40, 20, 8]$  code  $C$  contains exactly 285 codewords of weight 8 (see, e.g. [6, Subsec. 2.3]), and if the code is invariant under an automorphism  $\phi$  of order 5 without fixed points, the set of 285 codewords of weight 8 is partitioned into 57 orbits of length 5 under the action of  $\langle \phi \rangle$ . Any quasi-symmetric  $1-(40, 8, 9)$  design which is invariant under  $\langle \phi \rangle$  and whose blocks are supports of codewords of  $C$ , has a  $40 \times 45$  incidence matrix with column set comprising of nine orbits of codewords of weight 8 under the action of  $\langle \phi \rangle$ .

**Example 4.1** The following nine 8-sets



8 11 12 15 21 26 36 38  
 1 3 15 19 21 24 30 34  
 7 12 13 20 24 26 30 31  
 6 14 19 20 21 25 31 38  
 1 5 7 10 21 25 26 28  
 2 8 14 17 24 30 35 38  
 1 4 6 10 34 35 36 38  
 7 17 19 20 28 34 35 40  
 4 5 14 17 26 31 36 40

are the base blocks (that is, block orbit representatives) of a quasi-symmetric 1-(40, 8, 9) design  $\mathcal{D}'$  with point set  $X' = \{1, 2, \dots, 40\}$  and an automorphism  $\phi$  of order 5,

$$\phi = (1, 2, \dots, 5)(5, \dots, 10) \cdots (36, \dots, 40),$$

obtained from a doubly-even [40, 20, 8] self-dual code invariant under  $\phi$ .

The  $8 \times 9$  orbit matrix  $M = (m_{i,j})$  of  $\mathcal{D}'$  under the action of  $\langle \phi \rangle$ , where  $m_{i,j}$  is the number of blocks from the  $j$ th block orbit that contain a single point from the  $i$ th point orbit, is given in (5).

$$\begin{matrix} 020021202 \\ 101121210 \\ 312101001 \\ 011201031 \\ 121221000 \\ 112021011 \\ 011101221 \\ 200101212 \end{matrix} \tag{5}$$

In order to extend the quasi-symmetric 1-(40, 8, 9) design  $\mathcal{D}'$  from Example 4.1 to a quasi-symmetric 2-(41, 9, 9) design with intersection numbers 1 and 3, we need to find a matching residual 1-(40, 9, 36) design such that each of its 160 blocks meets every block of  $\mathcal{D}'$  in either 1 or 3 points. Surprisingly, an exhaustive computer search shows that there is no 9-subset of  $X$  that meets every block of  $\mathcal{D}'$  in either 1 or 3 points. This phenomenon can be explained by the following theorem.

**Theorem 4.2** *Suppose that  $\mathcal{D} = (X, \mathcal{B})$  is a quasi-symmetric 2-( $v, k, \lambda$ ) design with odd intersection numbers  $x, y$ . Let  $\mathcal{D}^z$  be a derived 1-( $v - 1, k - 1, \lambda$ ) design of  $\mathcal{D}$  with respect to a point  $z \in X$ . Let  $M$  be the points by blocks incidence matrix of  $\mathcal{D}^z$ , and let  $\bar{M}$  be the matrix obtained by adding one all-one row to  $M$ :*

$$\bar{M} = \begin{pmatrix} M \\ 1 \ \dots \ 1 \end{pmatrix}.$$

*Let  $\bar{C}$  be the binary linear code spanned by the columns of  $\bar{M}$ . If  $c \in \bar{C}$  is a code-word with nonzero last position, then*

$$wt(c) \geq 1 + \frac{b - r}{r - \lambda},$$

where  $b = |\mathcal{B}|$  and  $r = bk/v$ .

**Proof** Let  $\mathcal{D}_z$  be the residual  $1-(v - 1, k, r - \lambda)$  design of  $\mathcal{D}$  with respect to  $z$ , and let  $N$  be the  $(v - 1) \times (b - r)$  points by blocks incidence matrix of  $\mathcal{D}_z$ . Let  $\bar{N}$  be the matrix obtained by adding one all-one row to  $N$ :

$$\bar{N} = \begin{pmatrix} N \\ 1 \dots 1 \end{pmatrix}.$$

Since the scalar product of every column of  $M$  with every column of  $N$  is either  $x$  or  $y$  and both  $x$  and  $y$  are odd, the scalar product of every column of  $\bar{M}$  with every column of  $\bar{N}$  is an even number ( $x + 1$  or  $y + 1$ ). This implies that every column of  $\bar{N}$  is orthogonal to  $\bar{C}$  over the binary field. In particular,  $c^T \bar{N} \equiv 0 \pmod{2}$ , and hence  $wt(c) \neq 1$ .

Let  $c'$  be the vector indexed by  $X$  obtained from  $c$  by deleting the last coordinate. Then  $c'^T N$  is the all-one vector modulo 2. In particular, every block of  $\mathcal{D}_z$  meets the support of  $c'$ . Since every point of  $\mathcal{D}_z$  is contained in exactly  $r - \lambda$  blocks of  $\mathcal{D}_z$ , the number of blocks of  $\mathcal{D}_z$  is at most  $wt(c')(r - \lambda)$ . This implies  $b - r \leq wt(c')(r - \lambda)$ , proving the desired inequality. □

Theorem 4.2 implies the following.

**Theorem 4.3** *A necessary condition for an  $1-(v - 1, k - 1, \lambda)$  design  $\mathcal{D}'$  with even block intersection numbers  $x', y'$  to be extendable to a quasi-symmetric  $2-(v, k, \lambda)$  design with odd intersection numbers  $x = x' + 1, y = y' + 1$  is that the binary linear code spanned by the rows of its points by blocks incidence matrix contains the all-one vector.*

**Proof** Assuming that  $\mathcal{D}' = \mathcal{D}^c$  for some quasi-symmetric  $2-(v, k, \lambda)$  design  $\mathcal{D}$ , we use the same notation as Theorem 4.2. Let  $C$  be the binary code of length  $r$  spanned by the rows of  $M$ . The condition that  $C$  contains the all-one vector  $\bar{1} = (1, \dots, 1)$  is equivalent to the condition that all codewords in its dual code  $C^\perp$  have even weights. Thus,  $\bar{1} \notin C$  if and only if there is a set  $S$  of an odd number of columns of  $M$  whose sum over the binary field is the zero column. If  $S$  is such a set, then the modulo 2 sum of the corresponding columns of  $\bar{M}$  is a vector of weight 1 with nonzero last position. This violates the inequality in Theorem 4.2. □

**Note 2** The modulo 2 sum of the first three columns of the orbit matrix (5) is the zero column. Hence, the dual code  $C^\perp$  of binary code  $C$  of length 45 spanned by the incidence matrix of the  $1-(40, 8, 9)$  design  $\mathcal{D}'$  from Example 4.1 contains a codeword of odd weight 15. It follows that  $C$  does not contain the all-one vector, thus, by Theorem 4.3,  $\mathcal{D}'$  is not extendable to a quasi-symmetric  $2-(41, 9, 9)$  design.

**Example 4.4** The following matrix

$$\begin{pmatrix} & & 1 \\ I_{20} & J - B & \vdots \\ & 1 \dots 1 & 0 \end{pmatrix},$$

where  $I_{20}$  is the identity matrix of order 20,  $B$  is the square circulant  $(0, 1)$ -matrix of order 19 with nine nonzero entries in its first row indexed by the quadratic residues modulo 19, and  $J$  is the  $19 \times 19$  all-one matrix, is the generator matrix of a doubly even self-dual  $[40, 20, 8]$  code  $C$ , known as the double circulant code with these parameters. The full automorphism group of  $C$  is of order  $6840 = 2^3 \cdot 3^2 \cdot 5 \cdot 19$ , and contains an automorphism  $\phi$  of order 5 without fixed points that partitions the 285 codewords of weight 8 in 57 orbits. A short computer search shows that there are exactly 1787 distinct  $1$ -(40, 8, 9) designs with block intersection numbers 0 and 2, whose  $40 \times 45$  incidence matrices comprise of 9 orbits of codewords of weight 8 under the action of  $\phi$ . None of the 1787 binary codes of length 45 spanned by these incidence matrices contains the all-one vector, hence, according to Theorem 4.3, all  $1$ -(40, 8, 9) designs that arise from  $C$  and admit  $\phi$  as an automorphism, are not extendable to a quasi-symmetric  $2$ -(41, 9, 9) design.

**Theorem 4.5** *There is no quasi-symmetric  $2$ -(41, 9, 9) design with an automorphism  $\phi$  of order 5 with exactly one fixed point such that the incidence matrix of a derived design with respect to the point fixed by  $\phi$  is obtainable as a collection of codewords in a doubly-even self-dual  $[40, 20]$  code invariant under  $\phi$ .*

The proof of Theorem 4.5 is computational. Table 1 gives a summary of the computational results. From the database of doubly even self-dual  $[40, 20]$  codes, we first extract those with automorphism  $\phi$  of order 5 without fixed points. There are 45 (resp. 32) doubly even self-dual  $[40, 20, 8]$  (resp.  $[40, 20, 4]$ ) codes. For each such  $[40, 20]$  code  $C$ , we decompose the set of codewords of weight 8 into orbits under  $\langle \phi \rangle$ , and enumerate all possible union of nine orbits which can form the set of blocks of a quasi-symmetric  $1$ -(40, 8, 9) design with intersection numbers  $x' = 0$ ,  $y' = 2$ . The designs are then tested to see if the necessary condition given in Theorem 4.3 is satisfied. In this way, we obtain two designs from  $[40, 20, 8]$  codes, and 130 designs from  $[40, 20, 4]$  codes. It turns out that none of the latter 130 designs is extendable by Theorem 4.2. This is because the code  $\tilde{C}$  contains a codeword of weight  $5 < 1 + (b - r)/(r - \lambda) = 49/9$ .

**Table 1** Designs from doubly even self-dual  $[40, 20]$  codes

	[40, 20, 4]	[40, 20, 8]
No. of codes	16,470	77,873
No. of codes with $\phi$	45	32
No. of designs (Theorem 4.3)	2	130
No. of designs (Theorem 4.2)	2	0

For each of the remaining two 1-(40, 8, 9) designs coming from [40, 20, 8] codes, we construct the points by blocks incidence matrix  $M$ . Using the notation of the proof of Theorem 4.2, we see that the extendability implies the existence of a  $40 \times 160$  matrix  $N$  which is the points by blocks incidence matrix of the corresponding residual 1-(40, 9, 36) design. The matrix  $N$  has row sum 36, so for each  $i \in \{1, \dots, 40\}$ , there are at least 36 codewords of weight 10 whose support contains  $\{i, 41\}$  in  $\bar{C}^\perp$ . Let  $\Gamma_i = (X_i, E_i)$  denote the graph, where the vertex set  $X_i$  is the set of codewords of weight 10 whose support contains  $\{i, 41\}$  in  $\bar{C}^\perp$ . The edge set  $E_i$  consists of pairs of codewords whose support intersect at 1 or 3 positions. Since  $N$  is the points by blocks incidence matrix of the residual design, the maximum clique size  $\omega(\Gamma_i)$  of the graph  $\Gamma_i$  must be at least 36. We have verified by computer that for each of the two designs,

$$\min \{ \omega(\Gamma_i) : 1 \leq i \leq 40 \} < 36.$$

This shows that none of the 1-(40, 8, 9) designs we found is extendable.

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