**ORIGINAL ARTICLE**



# **Material removal characterization during axial ultrasonic vibration grinding SiCp/Al composites with a single diamond grain**

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#### **Abstract**

Silicon carbide particle-reinforced aluminum matrix composites ( $\text{SiC}_p/\text{Al}$ ) have found extensive use in electronic packaging, aircraft manufacturing, and various other industries due to their exceptional strength, low density, and high wear resistance. Nevertheless, owing to the multiphase microstructure resulting from SiC particles embedded in an Al matrix, the presence of non-ideal regions within the material poses a signifcant challenge in achieving high surface integrity. Ultrasonic vibration-assisted grinding (UVAG) has been proven to be an efective machining technique for improving the machinability of reinforced particles. The axial ultrasonic vibration grinding with a single diamond grain was conducted to realize the material removal mechanism of  $SiC<sub>p</sub>/Al$  composites. Furthermore, a 3D model of diamond grains with a cohesive force unit was constructed to simulate the material removal evolution of SiC particles under UVAG. Results demonstrate that the utilization of ultrasonic vibration-assisted grinding facilitates the plastic-like removal of hard particles and promotes the transformation of brittle SiC material removal into a plasticized form. UVAG could efectively mitigate the occurrence of pit formation caused by SiC particles, which would otherwise result from extensive crushing and detachment during the conventional grinding process, thus, enhancing the integrity of the machined surface.

**Keywords** Ultrasonic vibration-assisted grinding · Grinding force · Material removal mechanism · SiC<sub>p</sub>/Al composites · Machined surface damage

## **1 Introduction**

Silicon carbide particle-reinforced aluminum matrix composites ( $SiC_p/Al$ ) consist of ductile Al metals and brittle  $SiC$ ceramics, which exhibit intricate spatial structure and reinforced matrix interfaces. Their superior mechanical qualities, including high specifc strength and specifc modulus, low coefficient of expansion, fatigue resistance, wear resistance, and good reliability, among other excellent qualities [\[1](#page-12-0)], indicate good application prospects in electronic packaging, precision optical instruments, aerospace, and other fields  $[2, 3]$  $[2, 3]$  $[2, 3]$  $[2, 3]$ . The grinding process is usually the final and key step to achieve precision machining of difficult-to-machine

 $\boxtimes$  Biao Zhao zhaobiao@nuaa.edu.cn materials and key components. However, the high hardness and brittleness of SiC particles and the high adhesion of the Al substrate bring great difficulties to mechanical processing [[4\]](#page-12-3). During grinding, the grinding wheel and abrasive particles are subjected to alternating impact loads from reinforced particles and adhesion from viscous metals, resulting in rapid wear and blockage of the grinding wheel and complex processing damage [[5\]](#page-12-4), which seriously afects the processing quality and efficiency and is one of the challenges limiting the application of  $\text{SiC}_p/\text{Al}$  composites in high-end equipment. In conventional processing, composite materials undergo a complex removal process due to the extrusion and shearing efects of abrasive particles. Common forms of processing damage include the extraction of SiC particles, resulting in the formation of holes, the fragmentation and formation of cracks in SiC particles, as well as tearing and coating of the Al alloy substrate  $[6-10]$  $[6-10]$ .

Ultrasonic vibration-assisted machining (UVAM) [\[11,](#page-13-1) [12](#page-13-2)] is a process that utilizes piezoelectric efects to impart high-frequency, regular vibrations to tools during ordinary machining operations. For grinding, it can be categorized

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into UVAG on the end face and lateral surface, depending on the motion of the grinding wheel. Most previous studies have concentrated on UVAG on the end face, whereas this study primarily focuses on the latter. UVAM has a signifcant impact on the formation of machining damage and the quality of the machined surface. Zhou et al. [[5\]](#page-12-4) employed a rotary ultrasonic surface grinding process to treat  $SiC_n/$ Al composites with a medium particle size ratio. With the novel method, wheel obstruction and grinding surface burns were less frequent than with traditional grinding, and there was a reduction of over 10% in both the average grinding force and the surface roughness value. Ying et al. [[13\]](#page-13-3) investigated the influence of grinding parameters on UVAG of  $\text{SiC}_{n}/\text{Al}$ . Researchers hypothesized that different vibration characteristics would produce varying surface textures on the machined surface, signifcantly afecting its surface roughness. Ultrasonic amplitude was the most crucial factor infuencing the surface roughness of the machined surface. Under an appropriate ultrasonic amplitude  $(2 \mu m)$ , the surface roughness achieved a minimum value of  $0.151 \mu m$ .

The abrasive grain grinding test  $[14]$  $[14]$  $[14]$  is an important means to study the material removal mechanism in the grinding process of  $\text{SiC}_p/Al$  composites. Du et al. [\[15](#page-13-5)] conducted a single abrasive grain grinding test on  $SiC_p/Al$  composites and found that during the material removal process, SiC particles exhibited removal methods such as crushing, fracture, microcracking, shearing, and pull-out, while the Al matrix underwent signifcant plastic deformation. Additionally, the removal of SiC particles by shearing can reduce particle crushing and internal cracks. Feng et al. [[16\]](#page-13-6) discovered that SiC underwent partial plastic removal during the UVAG process of a  $62\%$  SiC<sub>p</sub>/Al composite. However, there remains some controversy regarding whether ultrasonic vibration can induce brittle-plastic transition in brittle materials during the mechanical removal process of particle-reinforced Al matrix composites. Zhang et al. [[17](#page-13-7)] established a critical cutting thickness model for the brittle–ductile transition of hard brittle materials under UVAG and studied the effect of the cutting thickness of a single abrasive grain on grinding specifc energy. Lu et al. [[18](#page-13-8)] constructed a mathematical model based on cutting energy and believed that there was a brittle-plastic transition in the cutting mode during the cutting process of  $SiC_p/Al$  material. Zheng et al. [[19](#page-13-9)] performed scratch tests on a  $45\%$  SiC<sub>p</sub>/Al composite using both traditional cutting methods and UVAM methods and found no obvious brittle-plastic transition. Nevertheless, the benefcial efects of ultrasonic-assisted machining have been confrmed by numerous studies [\[20](#page-13-10)[–22](#page-13-11)]. Zha et al. [\[23](#page-13-12)] compared the cutting force, friction coefficient, and scratch morphology of  $SiC_p/Al$  composites under ultrasonic vibration-assisted cutting and conventional cutting conditions. The results indicated that the cutting force and friction coefficient under UVAM were lower, thereby reducing the adhesion of Al alloys.

Most of the above research focused on machining, and the causes of machining damage in  $SiC<sub>n</sub>/Al$  composites were analyzed through planar simulations. However, research on the material removal mechanism of 3D axial UVAG is still relatively scarce. The material removal mechanism under ultrasonic conditions and the interaction process between diferent phases require further investigation and elucidation. Section [2](#page-1-0) presents the UVAG system, its simulation model, and experimental preparations in detail. Subsequently, Sec-tion [3](#page-5-0) delves into the removal processes of  $SiC<sub>n</sub>/Al$  composites under varying grinding speeds, with particular emphasis on changes in grinding force and scratch morphology. The evolution of material removal processes of SiC particles under UVAG was simulated using the simulation model, and the resulting changes in grinding force and scratch morphology were analyzed and discussed. Finally, the conclusions were synthesized based on the aforementioned fndings. This study provides a theoretical foundation for the efective removal and high surface integrity control of  $\text{SiC}_{p}/\text{Al}$ composites, thereby supporting the engineering application of UVAG.

### <span id="page-1-0"></span>**2 Experiment setup**

#### **2.1 Workpiece material**

The particle-reinforced metal matrix composite used in this paper was based on Al alloy, and SiC particles were added into it by stirring casting. The  $\text{SiC}_{p}/\text{Al}$  interface produced by this preparation method had good bonding properties. The statistical results of microstructure and particle size under scanning electron microscope (SEM) are shown in Fig. [1.](#page-2-0) The volume fraction of the SiC phase was 60 vol.%. The particle sizes were calculated by ImageJ software, and the main SiC particle size was 21–29 µm (proportion of particle area).

#### **2.2 Experimental setup and setup**

Figure [2](#page-2-1) shows a grinding test using diamond abrasive grains on the fve-axis ultrasonic machining center DMG Ultrasonic 20 linear. The acoustic emission test device (Diesel AE6000) was used to accurately monitor the tool setting process; two diamond abrasive grains with a size of 40/50# and Cu-Sn-Ti alloy were fxed on the cylindrical surface of the stepped shaft (symmetrically distributed) by high-temperature brazing, ensuring that the entire process was carried out in a high-temperature vacuum furnace. The workpiece, measuring 24 mm $\times$ 12 mm $\times$ 10 mm, was carefully ground and polished prior to the experiment to reduce the infuence of the initial workpiece surface morphology



<span id="page-2-0"></span>**Fig. 1** Microstructure morphology of SiC<sub>p</sub>/Al and statistical diagram of SiC particles: **a** SEM images of SiC<sub>p</sub>/Al and **b** SiC particle size distribution counted by proportion of the particle area



<span id="page-2-1"></span>**Fig. 2** UVAG and CG test platform: **a** acoustic emission test device, **b** cutting force test device, **c** DMG Ultrasonic 20 linear, **d** brazed grinding head, and **e** scratch morphology of sample after grinding

on subsequent scratch examination. The grinding force in the UVAG process was obtained using the Kistler 9129AA three-component dynamometer, which transmitted the data to a PC through a 5070A charge amplifer and 5167A data acquisition card, where it was analyzed by DynoWare. The COXEM EM-30 PLUS scanning electron microscope (SEM) and 3D video microscope were used to observe the surface morphology of the workpiece after grinding. Figure [3](#page-3-0) is the schematic diagram of UVAG and CG processes, showing the micromachining tracks produced by these processes. The UVAG test was conducted without coolant, and the specifc parameters are shown in Table [1](#page-3-1).

#### **2.3 Simulation settings**

#### **2.3.1 Simulation model**

To further reveal the formation and evolution process of material removal and machined surface damage, the contact state with Al- and SiC-reinforced particles during diamond abrasive grain grinding was analyzed, and a 3D model of  $SiC<sub>n</sub>/Al$  grinding by a single diamond with cohesive force unit was established. ABAQUS software was used to simulate the grinding process, in which the cutting workpiece material was  $SiC_p/Al$  composite (the size was  $200 \times 50 \times 50$ 

<span id="page-3-0"></span>



<span id="page-3-1"></span>**Table 1** Test parameters of UVAG (ultrasonic power 30%) and CG (ultrasonic power 0%)



µm). To further analyze the infuence of abrasive particles on SiC particle distribution at diferent relative positions, the SiC distribution was set to have a linear law (Fig. [4](#page-3-2)). The matrix and particle were defned, respectively, and the cohesive element between the two phases was set as the contact interface.

In order to simulate the engraving process more concisely, the diamond abrasive particles were simplifed into a cone structure with a particle size of 56 µm and a cone angle of 60° and set as a rigid body. In the simulation analysis, the tip part can fully simulate the machining state of the abrasive micro-cutting edge in grinding. The SiC particles were simplified as spheres  $(26 \mu m)$ . According to the different positions of abrasive particles acting on SiC particles, the scratch removal processes of  $SiC_p/Al$  composites were analyzed, as shown in Fig. [4.](#page-3-2)

#### **2.3.2 Material constitutive and damage model**

In the fnite element method (FEM) analysis, the correctness of the material constitutive model has an important impact on the accuracy of the simulation results. Choosing the appropriate material constitutive model is the key to ensuring the success of FEM simulation. Therefore, it is necessary to assign diferent material properties to the Al alloy matrix, SiC particles, and cohesive unit interface layer.

**(1) Al alloy matrix.** Al alloy is a metal with good ductility, which is prone to thermal deformation in the process of high strain and high strain rate cutting, so it is regarded as a thermoplastic material [\[24](#page-13-13)], and its basic physical parameters are shown in Table [2.](#page-4-0)

In this study, the Johnson–Cook constitutive model is used to conduct the characterization simulation research. The flow stress in the Johnson–Cook (J–C) model of Al alloy can be expressed by Eq. ([1\)](#page-4-1), and the parameters of the J–C constitutive model are shown in Table [3.](#page-4-2)

<span id="page-3-2"></span>**Fig. 4** 3D model of singlediamond grinding  $\text{SiC}_n/\text{Al}$  composite: **a** 3D view of the model assembly containing 0-thickness cohesive element (green mesh structure), **b** relative distribution of abrasive particles and SiC particles in 2D view, and **c** boundary fxation conditions of SiC<sub>p</sub>/Al model



<span id="page-4-0"></span>**Table 2** Basic physical properties of  $SiC_p/Al$  composite and diamond abrasive  $[25-27]$  $[25-27]$ 

Material type	Elasticity (MPa) 75,000 420,000		Poisson's ratio	Density $(kg/m3)$	Conduc- tivity (W/m·K)
Al			0.33 0.17	2850	175
<b>SiC</b>				3186	81
Diamond	1,220,000		0.07	3515	22
$\boldsymbol{A}$	$\boldsymbol{B}$	$\mathcal{C}$	$\boldsymbol{n}$	$\boldsymbol{m}$	$\rm T_{room}$ $T_{melt}$
224	426	0.002	0.2	0.859	20 900

<span id="page-4-3"></span>**Table 4** JC damage model parameters of Al alloys [\[25\]](#page-13-14)

<span id="page-4-2"></span>**Table 3** J-C constitutive model parameters of Al [[28\]](#page-13-18)

> *D*<sup>1</sup> *D*<sup>2</sup> *D*<sup>3</sup> *D*<sup>4</sup> *D*<sup>5</sup> 0.13 0.13 −1.5 0.011 0

$$
\sigma = [A + B\epsilon^2][1 + C\ln\frac{\dot{\epsilon}}{\dot{\epsilon}_0}][1 - (\frac{T - T_{room}}{T_{melt} - T_{room}})]
$$
 (1)

where  $\sigma$  is the equivalent flow stress, A, B, C, n, and m are the yield strength, hardening modulus, strain rate sensitivity coefficient, work hardening index, and thermal softening coefficient, respectively.  $\dot{\epsilon}$  and  $\dot{\epsilon}_0$  represent the reference strain rate and the equivalent plastic strain. *T*,  $T_{\text{room}}$ , and  $T_{\text{melt}}$ are workpiece temperature, material melting point, and room temperature, respectively.

In this paper, the material failure mode of the Al matrix is defned by the Johnson–Cook failure criterion, and its equation is:

$$
\omega = \sum \frac{\Delta \bar{\epsilon}}{\bar{\epsilon}_f} \tag{2}
$$

$$
\overline{\epsilon}_f = [D_1 + D_2 \exp(D_3 \frac{P}{\sigma})](1 + D_4 \ln \frac{\dot{\epsilon}}{\dot{\epsilon}_0})(1 + D_5 \frac{T - T_{room}}{T_{melt} - T_{room}})
$$
\n(3)

where  $\Delta \bar{\epsilon}$  is the increment of equivalent plastic strain,  $\bar{\epsilon}$ <sub>*f*</sub> is the failure equivalent strain of the material, and *ω* is the failure parameter, with an initial value of 0. When the failure parameter reaches 1, the workpiece material fails.  $D_1 - D_5$ represent the damaged parameter [\[25](#page-13-14)], as shown in Table [4.](#page-4-3)

**(2) SiC particles.** JH-2 material constitutive model has been widely used in the study of material failure of hard and brittle materials. It mainly consists of three parts: strength model equation, separation criterion equation, and state equation. SiC has the properties of hard and brittle materials, so the relevant property parameters of the material were determined by the JH-2 material model property parameters [\[29](#page-13-15)]. The specifc parameter properties are shown in Table [5.](#page-4-4)

<span id="page-4-1"></span>**(3) Cohesive force unit.** The transfer of force between the Al matrix and SiC particles in  $\text{SiC}_{p}/\text{Al}$  composites not only has a signifcant impact on material properties but also plays an important role in the cutting process. To better simulate the interaction between the Al matrix and SiC particles, a cohesive force model was established [[30\]](#page-13-16), and the constitutive relationship of the cohesive force model is shown in Fig. [5.](#page-5-1)

According to the law of traction separation, the stifness of the cohesive force element decreases with increasing displacement until the stifness reaches 0, and the cohesive force element completely fails. The frst stage is the initial damage, and the second stage is the damage evolution process. The bonding element model can be represented as:

$$
t_{ra} = \begin{Bmatrix} t_n \\ t_s \\ t_t \end{Bmatrix} = \begin{Bmatrix} K_{nn} & K_{ns} & K_{nt} \\ K_{ns} & K_{ss} & K_{st} \\ K_{nt} & K_{st} & K_{tt} \end{Bmatrix} \begin{Bmatrix} \delta_n \\ \delta_s \\ \delta t \end{Bmatrix} = K\delta \tag{4}
$$

where  $t_{\text{ra}}$  represents the traction force, *n*, *s*, and *t* represent the normal direction and shear direction, respectively.  $K_{nn}$ ,  $K_{\text{ns}}$ , and  $K_{\text{nt}}$  respectively represent normal stiffness and tangential stiffness.  $\delta_n$ ,  $\delta_s$ , and  $\delta_t$  represent the initial damage displacement.

The maximum stress damage criterion is used to describe the initiation of damage in cohesive elements, and its expression is:

<span id="page-4-4"></span>



<span id="page-5-1"></span>**Fig. 5** Traction separation model of cohesive units

$$
\max\left\{\frac{\langle\sigma_n\rangle}{N_{\text{max}}}, \frac{\sigma_s}{S_{\text{max}}}, \frac{\sigma_t}{T_{\text{max}}}\right\} = 1\tag{5}
$$

where  $\sigma_n$  is the normal component of stress,  $\sigma_s$  and  $\sigma_t$  are the tangential component of stresses,  $N_{\text{max}}$  is the maximum nominal stress in the normal direction, and  $S_{\text{max}}$  and  $T_{\text{max}}$  are the maximum nominal stresses in the tangential direction.

Other conditions for simulation analysis: grinding speed  $v_s = 5.23$  m/s; depth of cut  $a_p = 30$  µm, the vibration amplitude  $A = 2 \mu m$ , and the vibration frequency  $f = 28.3 \text{ kHz}$ . Due to the high hardness of the tool made of diamond material, it has been simplifed as a rigid body to improve computational efficiency. In this study, the contact form between the tool and the workpiece in the simulation was face-to-face contact, and normal friction was defned as frictionless hard contact, while tangential friction was defned as penalty friction, with a friction coefficient set to 0.3.

## <span id="page-5-0"></span>**3 Results and discussion**

## **3.1 Infuence of spindle speed on material removal mechanism**

#### **3.1.1 Grinding force**

The comparison of grinding forces between UVAG and CG under diferent grinding speeds is shown in Fig. [6a](#page-6-0)–c, where the grinding forces were taken as the maximum values measured. Figure [6a](#page-6-0) shows the infuence of spindle speed on radial grinding force  $F_x$ , which indicated that for CG, the grinding force exhibited a trend of frst decreasing and then increasing, with the minimum radial force occurring at a grinding speed of 7.85 m/s, at which point  $F_x$  was 23.51 N. For UVAG, the grinding force exhibited a gradual decrease trend, but the overall change was not signifcant, with the minimum radial force occurring at a grinding speed of 13.08 m/s, at which point  $F_x$  was 3.04 N. Figure [6b](#page-6-0) shows the infuence of grinding speed on tangential grinding force  $F_{v}$ , which indicated that for CG, the grinding force exhibited a trend of frst decreasing and then increasing, with the minimum radial force occurring at a grinding speed of 7.85 m/s, at which point  $F_v$  was 13.62 N. For UVAG, the grinding force exhibited a gradual decrease trend, with the minimum radial force occurring at a grinding speed of 13.08 m/s, at which point  $F_v$  was 2.18 N. Figure [6](#page-6-0)c shows the influence of grinding speed on axial grinding force  $F<sub>z</sub>$ , which indicated that for CG, the grinding force also exhibited a trend of frst decreasing and then increasing, with the minimum radial force occurring at a grinding speed of 7.85 m/s, at which point  $F_v$  was 10.22 N. For UVAG, the grinding force exhibited a trend of frst decreasing and then slowly increasing, with the minimum radial force occurring at a grinding speed of 7.85 m/s, at which point  $F_z$  was 2.82 N. It can be seen that the grinding speed had a signifcant impact on CG grinding forces, with a grinding speed of 7.85 m/s being the optimal speed. The infuence of grinding speed on UVAG grinding forces was relatively small. In comparison, ultrasonic vibration greatly reduced the grinding force in all three directions at all speeds. Under the same conditions, grinding force  $F<sub>x</sub>$ decreased by 81.9%, grinding force  $F<sub>v</sub>$  decreased by 74.0%, and grinding force  $F<sub>z</sub>$  decreased by 77.2%.

Figure [6](#page-6-0)d–i shows the original signal curves (0.04 s in length) of two grinding methods at a speed of 7.85 m/s. The time for the abrasive particles to rotate half a circle is 0.004 s. The diference in the magnitude of the grinding force peaks was large, and the peak interval time was also diferent. It can be inferred that the peak force was the result of the interaction between the abrasive particles and SiC hard particles. Comparing Fig. [6](#page-6-0)d and i, it can be seen that, in the same time period, there were only 7 peaks in the grinding force signal for CG, and the maximum peak exceeded 20 N; while the number of peaks in the grinding force signal for UVAG exceeded 12, and the maximum peak grinding force did not exceed 5 N. Figure [6e](#page-6-0) and h, as well as Fig. [6](#page-6-0)f and i, also exhibited similar phenomena. That is to say, within the same grinding time (the same tangential length), the UVAG process results in a grinding force signal curve characterized by "high frequency and low value ", which may be related to the interaction between the abrasive particles and SiC hard particles.

In the simulation process of removing workpiece material with diamond abrasive particles, a single grinding force was formed due to the cutting and friction efects of the abrasive particles and the workpiece. The variation curve of the single grinding force (in the direction of the cutting path) is shown in Fig. [7a](#page-7-0) and b. It can be clearly seen that the single grinding force signal curve obtained from the simulation can be divided into two typical stages, namely, the removal stage of the matrix material and the removal stage of the



<span id="page-6-0"></span>**Fig.** 6 Grinding forces of UVAG and CG under different grinding speeds **a**  $F_x$ , **b**  $F_y$ , and **c**  $F_z$ ; **d**–**f** the intercepted CG force signal and **g**–**i** the intercepted UVAG force signal

reinforcement particles. The yellow rectangular box area in Fig. [7](#page-7-0) is the removal stage of the reinforcement particles (particles 1 to 4), while the rest is the removal stage of the matrix material, during which the force signal remains basically stable. A cross-section is made within the XY plane, and this cross-section coincides with the central positions of the four SiC particles (Fig. [7](#page-7-0)c and d).

The red curve in Fig. [7](#page-7-0)a is the CG simulation force curve. During the removal of the base material, due to the continuous chip formation during the plastic removal process of the Al alloy, the variation amplitude of the single grinding force is relatively small, fuctuating around 2 N. However, during the removal of the reinforcement particles, the variation amplitude of the single grinding force signifcantly increased. When the abrasive particles were about to contact the reinforcement particles (point P1, Fig. [7](#page-7-0)c (P1)), the grinding force suddenly increased from 8.2 to 10.8 N. This is due to the high hardness of the reinforcement particles, and the shear slip of the chips is hindered by the SiC particles in the cutting path, resulting in an increase in grinding force and a peak value when the tool directly cuts the hard particles. At this time, micro-cracks are generated in the hard particles under the action of diamond abrasive particles. When the stress level of the material under high-speed extrusion reached the yield limit of the material, SiC particles began to fail brittly (point P2, Fig. [7](#page-7-0)c (P2)), and the grinding force suddenly dropped from 10.8 to 7.8 N. The reinforcement particles underwent brittle fracture, with signifcant crack propagation, and signifcant gaps appeared between the abrasive particles and reinforcement particles, causing a sudden drop in deformation resistance of the workpiece. As material removal progressed, when the abrasive particles again contacted the reinforcement particles (point P3, Fig. [7c](#page-7-0) (P3)), the grinding force rose again. When the reinforcement particles failed again (point P4), a brittle fracture occurred, and the grinding force dropped sharply again. Similar changes also occurred at positions P5 and P6 of particle 3 (Fig. [7](#page-7-0)c (P5 and P6)) and positions P7 and P8 of particle 4 (Fig. [7c](#page-7-0) (P7 and P8)). In addition, as the area of action between abrasive particles and particles increased, the holding ability of the Al matrix decreased, resulting in particle debonding and interfacial failure (Fig. [7](#page-7-0)c (P7 and P8)). It



<span id="page-7-0"></span>**Fig. 7** Simulation grinding force curve and Mises stress distribution. **a** The variation curve of grinding force with cutting length under CG condition, **b** the variation curve of grinding force with cutting length

under UVAG condition, **c** stress nephogram of marked points p1–p8 in (**a**), and **d** curve of the cutting force variation and the stress nephogram of marked points G1–G6 in (**b**)

can be seen that the distribution of SiC particles signifcantly impacts the instantaneous cutting force, exhibiting a distinct trend: an increase in the contact area between abrasive particles and reinforcement particles correlates directly with a higher peak grinding force.

Figure [7](#page-7-0)b depicts the force signal curve for UVAG, which reveals that during the metal matrix removal phase, the grinding force of UVAG is notably lower. Specifcally, the primary grinding force values range from 1.5 to 5 N, signifcantly lower than the range of 7–10 N observed in CG (Fig. [7a](#page-7-0)). The reason is that ultrasonic vibration modifed the initial continuous metal extrusion deformation cutting process during the matrix material removal process. This resulted in an intermittent, high-frequency extrusion deformation cutting process that allowed for the efficient separation of workpieces and abrasive particles, the timely cutting and discharging of plastic Al metal debris, the reduction of the cutting load, and the achievement of a decrease in grinding force. There was a noticeably larger change in the force of a single abrasive particle during the particle removal stage than during the matrix removal stage because of the high contact stress created by the high hardness of the reinforced particles between the diamond abrasive particle and the SiC-reinforced particles. Compared with stress cloud maps, when diamond abrasive particles came into contact with SiC particles, the high-stress area caused by UVAG was signifcantly smaller than that of CG (Fig. [7d](#page-7-0) (G1) compared to Fig. [7c](#page-7-0) (P5)), and the maximum stress also decreased significantly (G1 was  $7.066 \times 10^3$  MPa, P5 was  $1.273 \times 10^4$  MPa), avoiding large brittle fracture of particles under high contact stress. Therefore, thanks to highfrequency vibration perpendicular to the feed direction, the

removal volume of abrasive particles per cutting stroke was signifcantly reduced, enabling SiC particles to achieve highfrequency single micro-crushing (Fig. [7d](#page-7-0) (G1–G6)), while avoiding large-scale crack propagation and large-scale brittle fracture. At the same time, the debonding phenomenon on the bottom surface of SiC particles almost disappeared, with only slight debonding found on the top of particles directly in contact with abrasive particles (Fig. [7](#page-7-0)d (G2), (G3), (G5), and (G6)). This shows the "plastic-like removal" behavior of hard particles, which reduces the removal volume of SiC particles per vibration stroke, avoiding large-scale brittle fracture of traditional brittle particles, achieving a signifcant reduction in peak grinding force relative to that of CG, while also exhibiting a higher frequency of peak grinding force occurrence. This is similar to the "high-frequency and lowvalue" characteristic of the original grinding force acquisition signal shown in Fig. [6](#page-6-0)d–f.

#### **3.1.2 Scratch morphologies**

Figure [8](#page-8-0) shows the 3D contour morphology of the surface at the initial stage of grinding. There were diferent degrees of particle bulges on the scratch bottom surface at diferent grinding speeds, which indicated that the hard SiC particles in the scratch track could not be completely removed under CG conditions. And relatively, at 7.85 m/s (Fig. [8b](#page-8-0)), the number of bulges was less and the height of bulges was lower, followed by 5.23 m/s (Fig. [8a](#page-8-0)), 13.08 m/s (Fig. [8](#page-8-0)d), and fnally 10.47 m/s (Fig. [8c](#page-8-0)), which showed a positive relationship with the tangential grinding force  $F_v$ , proving that the larger the grinding force  $F<sub>y</sub>$ , the greater the resistance encountered in the process of abrasive cutting movement, and the more difficult it was to effectively remove hard particles. The surface roughness at the bottom of the scratch also proved this point.

Figure [9](#page-8-1) shows the trend of surface roughness of UVAG and CG under diferent grinding speeds. The length and width of 800  $\mu$ m × 100  $\mu$ m were intercepted from the bottom of the scratch in Fig. [8a](#page-8-0)–h. The surface roughnesses *S*<sup>a</sup> were measured by Sansofar 3D proflometer. For CG, the surface roughness  $S_a$  exhibited a trend that was first declining and then increasing, and the minimum value was 1.45 µm at 7.85 m/s, reaching a minimum value of 1.45 µm at 7500 r/min and a maximum value of 3.67  $\mu$ m at 10,000 r/ min, which was consistent with the trend of the tangential cutting force  $F_v$ . From Fig. [8e](#page-8-0)–h, the bottoms of UVAG were smooth, the hard particles in the cutting path were completely removed, and there were no protruding particles in the path. The impact of grinding speed on the UVAG morphology was negligible, the change of surface roughness *S*<sup>a</sup>



<span id="page-8-1"></span>**Fig. 9** Variation of surface roughness at the bottom of the scratch with grinding speed (red for CG; blue for UVAG)



<span id="page-8-0"></span>**Fig. 8** Surface morphologies at the initial stage of grinding: CG (**a**–**d**) and UVAG (**e**–**h**)

was also small, and the trend was similar to that of tangential force  $F_{\rm y}$ .

The morphology of the middle section of the scratch (Fig. [10](#page-9-0)) represents the main morphology after processing. Figure [10](#page-9-0)a shows the SEM morphology of CG, and the dark area on the bottom was the performance of the continuous "ironing" results of metal Al [\[31](#page-13-19)]. Under high-speed rotation, the particles were repeatedly extruded and rubbed in this area. Due to the plastic fow of the matrix material and the extrusion efect of the cutting edge, there was a coating phenomenon of the matrix material on the machined surface, which led to the smooth bottom surface, which masked the existence of some defects. However, this masking phenomenon did not appear continuously on the bottom surface. When the hard particles were crushed and removed by the tool, the ironing surface was torn and removed together. Figure [10](#page-9-0)b is the 3D morphology of Fig. [10](#page-9-0)a, in which the morphology of part of the scratch bottom surface, was put on Fig. [10c](#page-9-0). It can be seen that there were a large number of irregular bulges on the bottom surface of CG morphology, which was considered to be the result of the adhesion and accumulation of the mixture (hard particles and metal binders) [[32\]](#page-13-20). The primary reason behind this observation was the lack of timely chip discharge during the CG processing.

Figure [10d](#page-9-0) shows the SEM morphology of the scratch in the middle section of UVAG. The macro morphology of the scratch bottom surface was relatively smooth. From the micro perspective, it can be seen that there was particle shear and a large number of debris adhesions, and the plowing size and quantity were signifcantly reduced. This processing morphology was similar to the plastic removal morphology, but it was not the traditional plastic removal. Defects such as particle fracture and micro holes still afected the processing surface integrity of  $SiC_p/Al$  composites. Figure [10e](#page-9-0) is the 3D morphology of Fig. [10d](#page-9-0), in which the morphology of part of the scratch bottom surface is put on Fig. [10f](#page-9-0). It can be seen that the bottom surface of UVAG was smooth and almost free of bulges. Therefore, ultrasonic vibration signifcantly improved the removal ability of particle-reinforced composites, reduced the adhesion of Al matrix materials, and improved the machined surface quality.

Figure [11a](#page-10-0) shows the morphology of the bottom of CG, showing large particles adhering to the surface and plowing with large width and depth (width over 3  $\mu$ m) This was the result of the secondary cutting of the material driven by abrasive particles after the SiC particles fell off or broke into large pieces. Irregular brittle fracture of SiC particles under stress resulted in crater morphology (Fig. [11](#page-10-0)b). When the interface stress exceeded the bonding strength of the interface phase, debonding and failure occurred at the interface (as depicted in Fig. [11](#page-10-0)c), ultimately leading to the complete detachment of SiC particles from the Al matrix and the



<span id="page-9-0"></span>**Fig. 10** Morphology of the scratch middle section. **a** SEM image of the scratch bottom surface of CG, **b** 3D contour of the scratch bottom of CG, **c** 3D morphology of the dotted selection of (**b**), **d** SEM image of the scratch bottom surface of UVAG, **e** 3D contour of the scratch bottom of UVAG, and **f** 3D morphology of the dotted selection of (**e**)



<span id="page-10-0"></span>**Fig. 11** Main machining defects of two grinding processes (**a**–**d** for CG and **e**–**h** for UVAG)

formation of pits on the machined surface. Figure [11d](#page-10-0) shows the broken bulk SiC particles separated from the machined surface and re-pressed into the matrix material under the action of tool extrusion. Since only a portion of the SiC chips were pressed into the matrix material, bulges were formed on the machined surface.

The UVAG bottom morphology (Fig. [11](#page-10-0)e) was greatly different from the CG grinding bottom morphology (Fig. [11a](#page-10-0)), mainly characterized by a large number of fne particles and fne scratches on the surface rather than coarse plowing. Figure  $11f$  shows the morphology of particles cut off, showing a more complex cut surface phase than Fig. [11](#page-10-0)b, showing a multi-stage micro-fracture morphology. This was related to the reduction of single-pass cutting material volume by ultrasound-assisted machining [[33\]](#page-13-21) (single abrasive grain cutting thickness). Small cutting thickness can promote the tran-sition of material removal from brittleness to plasticity [[16,](#page-13-6) [34\]](#page-13-22). When SiC particles were gathered together, one particle was cut off, the strength of the surrounding metal matrix was reduced [\[25](#page-13-14)], and the resulting microcracks expanded to other particles under stress (Fig. [11](#page-10-0)g). Figure [11h](#page-10-0) shows the morphology of micro SiC fragments (diferent from the largearea fractured SiC in Fig. [11](#page-10-0)d) adhering to the machined surface with a metal chip mixture. Due to the friction and extrusion caused by the tool, the mixture ultimately adhered frmly to the machined surface.

Figure [12](#page-10-1) is a cross-sectional view of FEM scribed morphology. Due to the existence of reinforcing particles, unique machined morphologies were obtained. For CG (Fig. [12](#page-10-1)a), the distribution of SiC particles had an important infuence on the morphology formed after processing. When the cutting path passed through the upper part of the particle (particles 1 and 2), since the metal matrix still had sufficient holding force on the SiC particle after cutting, the force acting on the SiC particle exceeded the fracture criterion, the particle fracture morphology was formed, similar to Fig. [11](#page-10-0)b. When the cutting



<span id="page-10-1"></span>**Fig. 12** Section of FEM grinding morphology: **a** CG and **b** UVAG

path passed through the lower part of the particle, the interface failure occurred at particles 3 and 4, the interface stresses were greater than the binding force of the interface phase, and the particle and the matrix were deboned to form holes, forming holes (similar to Fig. [11](#page-10-0)c). The subsequent shedding particle 3 may be discharged to form chips or may be pressed into the matrix surface by the continuous extrusion and friction of abrasive particles to form the morphology of Fig. [11d](#page-10-0).

Figure [12b](#page-10-1) shows the section of UVAG simulation morphology. The residual stress of the workpiece after being scribed was smaller than that of CG. Particles 3 and 4 were machined to form a large number of tiny fragments rather than large particles in the cutting area, which was consistent with the morphological characteristics in Fig. [11](#page-10-0)e. This was because the ultrasonic processing of small single abrasive particle cutting thickness and instantaneous local impact effect [[35](#page-13-23), [36\]](#page-13-24), which intensified the fracture and crushing of particles. Meanwhile, when abrasive particles acted on particle 3, the grinding force feature of "high frequency and low value" of ultrasonic-assisted machining efectively delayed the failure of the viscous interface and improved the machining surface integrity. In addition, from the shape of chips, ultrasonic machining can realize fast chip breaking of ductile metal when acting on Al base materials, and reduce the machining load.

The experiment and simulation results were summarized, and the material removal mechanisms under different abrasive particle movement modes can be

obtained. The different classifications can be made according to the relative positions of abrasive particle and SiC particle contact, as shown in Fig. [13](#page-11-0). For CG, when abrasive particles directly acted on SiC particles at a fast speed, as a brittle material under high contact stress, SiC particles will have a large area of brittle cracks, and then particle fracture will occur to form large pieces of SiC particles. When abrasive particles acted on the upper part of SiC, the Al matrix still had a strong holding force on SiC particles due to the large contact interface area of SiC-Al, so SiC particles were retained in the workpiece in the form of massive fracture (Fig. [13b](#page-11-0)). When abrasive particles acted below SiC, the SiC-Al contact interface area was greatly reduced, and the Al matrix had limited holding force on SiC particles, leading to large fracture of SiC particles, and SiC-Al contact interface began to fail, and SiC particles were pulled out and formed pits (Fig. [13b](#page-11-0)). Under the continuous extrusion friction of the subsequent abrasive particles, SiC particles were pressed into matrix combined with the softened Al, gradually accumulated, and formed bulge (Fig.  $13c$ ).

For UVAG, diferent from CG mode, abrasive grain received high-frequency vibration perpendicular to the feeding direction and acted on SiC particles in the inclined direction, reducing the action area of stress on SiC particles under single action, forming microcracks, completing the removal of SiC materials by multiple actions and forming



<span id="page-11-0"></span>**Fig. 13** Schematic diagram of material removal mechanism under diferent abrasive grain movement modes (**a**–**c** for CG and **d**–**f** for UVAG)

large amounts of tiny fragments. Therefore, the sections of SiC particles were relatively complete, showing the characteristics of plastic removal (Fig. [13](#page-11-0)d and e). The main features of UVAG were the reduction of the single contact area and the signifcant reduction of the contact stress, which reduced the impact on the SiC-Al contact interface and avoided the rapid interface failure (Fig. [13e](#page-11-0)). A small amount of small SiC fragments were combined with softened Al under the continuous extrusion friction efect of subsequent abrasive particles and adhered to the machined surface (Fig. [13](#page-11-0)f), avoiding excessive negative impact on the machining quality.

# **4 Conclusion**

In this paper, the ultrasonic vibration-assisted machining and removal mechanism of  $\text{SiC}_p/A1$  composite was studied by carrying out grinding experiments and establishing FEM models. The material removal process and the contact state of abrasive particles with Al matrix and SiC-reinforced particles were analyzed systematically. This study provides a theoretical basis for the high-quality and efficient removal of Al matrix composites and facilitates their wider engineering applications. The main conclusions are as follows:

- (1) Ultrasonic vibration signifcantly reduced the grinding force. As a kind of intermittent and high-frequency extrusion deformation cutting, the ultrasonic vibration can efectively separate the abrasive grain from the workpiece, timely cut off and discharge the plastic Al metal abrasive chips, and reduce the cutting load. On the other hand, UVAG enabled SiC particles to achieve high-frequency micro fragmentation, reducing the grinding force.
- (2) The main factors affecting the surface quality of  $SiC_n/$ Al were the large-scale fragmentation and detachment of SiC particles, forming pits, and the adhesion and accumulation of the mixture of fragmented SiC particles and Al metal chips. UVAG promoted the "pseudoplastic" removal of SiC particles, and the processing had a smaller contact stress and action area, effectively reducing damage to the bonding interface.
- (3) The SiC particles had a secondary cutting efect on the material in both processes. In CG, the broken large SiC particles push and cut the Al matrix, forming a small number of plows with large width and depth. For UVAG, the thickness of a small single abrasive grain made SiC particles form a large number of tiny fragments, and the machining surface was mainly characterized by fne scratches, which improved the integrity of the machining surface.

**Author contribution** Jianhao Peng: experimentation, data curation, and writing the original draft. Yu Yao: experimentation and methodology. Zhipeng Xu: resources. Xuebin Yao: experimentation and methodology. Biao Zhao: data collection and manuscript revision. Wenfeng Ding: supervision, conceptualization, and methodology.

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**Data availability** All data generated or analyzed during this study are included in the present article.

#### **Declarations**

**Ethics approval and consent to participate** The article follows the guidelines of the Committee on Publication Ethics (COPE) and involves no studies on human or animal subjects.

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**Competing interests** The authors declare no competing interests.

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