**CRITICAL REVIEW**



# **A comprehensive review on recent laser beam welding process: geometrical, metallurgical, and mechanical characteristic modeling**

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### **Abstract**

Demands for improved productivity, efficiency, and quality pose challenges to the welding industry, significantly the laser beam welding process. As the materials become increasingly sophisticated in their chemical composition to provide everbetter functionally specifc properties, a more complete and precise understanding of how such materials can join for optimal effectiveness and efficiency will become essential. The objective of the present study is to review the current literature and discuss future trends. This thorough review study provides a comprehensive systematization and corresponding advances of constituent technologies on laser beam welding process modeling (LBWPM), including types of modeling including characteristics of weld joint (geometrical, metallurgical, and mechanical), monitoring (pre-process, in-process, and post-process), length scale (macroscale, mesoscale, and microscale), and approach of modeling (empirical-based and theoretical-based). The relevant case studies will be evaluated, discussed, and compared. In the end, the general trends, and strong indications of LBWPM, seen in the future, will be discussed. The current study also provides a good foundation for future research and creates awareness of the developmental direction of laser beam welding process modeling in manufacturing industries.

**Keywords** Laser beam welding process modeling (LBWPM) · Characteristic modeling · Geometrical · Metallurgical · Mechanical

# **1 Introduction**

Permanent joining techniques such as welding are one of the critical and essential manufacturing methods that can be applied to improve product design and decrease production costs. However, it still meets many issues. Laser beam welding or LBW process, as a relatively newly developed method, with advantages of high accuracy, fast weld speed, and localized high concentrated heat input, has attracted tremendous interest in recent decades. The practical applications of this process in numerous industries (such as aerospace, automotive, shipbuilding) into various materials (including steels, titanium alloys, and aluminum alloys, and

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diferent techniques (for instance, autogenous welding, laserarc hybrid welding, and fller wire welding)) of LBW have been studied significantly [[1\]](#page-42-0).

A review on the recent progress in laser beam welding of magnesium alloys was provided by Cao et al. [[2](#page-42-1)]. The microstructure and metallurgical defects encountered in laser beam welding process of magnesium alloys such as porosity, cracking, oxide inclusion, and loss of alloying elements were reviewed [\[2](#page-42-1)]. It also highlights the challenges associated with the weldability of magnesium alloys, indicating the need for further scientifc investigation to address these issues. Thus, it can be inferred that the study conducted by Cao et al. did not include mechanical, metallurgical, and geometrical characteristic modeling of LBW. The current study, which is the subject of discussion, is focused on these aspects of LBW and aims to fll the gap left by the previous study.

In a comprehensive review conducted by Blackburn in 2012, LBW of titanium alloys, particularly for aerospace applications, was examined. Various aspects of LBW were explored, covering the operating principles and components of laser sources, including insights from quantum mechanics and molecular vibration formulas [\[3](#page-42-2)]. Key characteristics of laser light, such as its monochromatic nature and coherency, were thoroughly investigated, with specifc attention given to the Gaussian laser beam function. The study also delved into the fundamental phenomena of laser light interaction with metals, encompassing absorption, conduction, melting, vaporization, and plasma formation, all analyzed through the lens of local thermodynamic equilibrium. The investigation extended to laser welding fundamentals, equipment costs, safety considerations, and advantages of keyhole laser welding, as well as conduction-limited laser welding. Furthermore, the study explored potential process parameters for keyhole laser welding, divided into laser source/ focused beam, workpiece, and fller material aspects. While this research encompassed the laser weldability of titanium alloys, touching on topics like embrittlement, cracking, hydrogen porosity, and processing porosity, with a focus on prevention methods, it is essential to note that the study did not include characteristic modeling of laser beam welding processing. Such modeling would have covered mechanical, metallurgical, and geometrical aspects, representing a crucial area that warrants further exploration in future research.

The prospects of laser beam welding technology in the automotive industry for the use of the lightweight materials was reviewed based on materials consideration such as aluminum alloys, magnesium alloys, and titanium alloys by Hong and Shin [\[4](#page-42-3)]. In this comprehensive study, a comparative analysis between laser welding and resistance spot welding of galvanized steel was conducted [[4](#page-42-3)]. The comparison involved modifying the weld confguration, adjusting the element composition, employing a pulse laser, and eliminating the zinc coating. Furthermore, the practicality of implementing of these innovative techniques in an industrial setting was thoroughly explored. Extending this investigation beyond galvanized steel, the application of laser welding in magnesium alloys, aluminum alloys, titanium alloys, and even dissimilar materials was examined. In addition to discussing the feasibility of these techniques, the microstructural changes and defects that arise during the laser welding process for these various materials were discussed. Although the mechanical characteristics of the welds, such as hardness, shear strength, and tensile strength were considered in this study, but laser beam modelling in mechanical characteristics and other characteristic modeling such as geometrical and metallurgical were not considered.

Subashini et al. [\[5](#page-42-4)] provided the investigation on the role of fller addition in the laser-MIG hybrid welding (LMHW) process compared to autogenous laser welding (ALW) for 10-mm-thick maraging steel plates. In this study, the welding setup, in comparison with ALW, the effect of microstructure, mechanical characteristics, fracture toughness, and advantages of LHW were considered and discussed [\[5\]](#page-42-4). In other words, this study emphasized the benefts of using fller wire in the laser-MIG hybrid welding process for 10-mm-thick maraging steel plates and the characteristic modeling of LBW, which is one of the critical aspects in terms of controlling the process was not considered in the presented study. Thus, a comprehensive study, which provides valuable insights for metallurgical, mechanical, and geometrical characteristic modeling of laser beam welding process, is needed.

The status of laser beam welding/brazing of aluminum alloy to steel were investigated by [[6](#page-42-5)]. This study covers an analysis of the three main aspects including the (1) process of laser beam welding/brazing, (2) microstructure of intermetallic reaction layer, and (3) mechanical characteristics [[6\]](#page-42-5). In the frst part of study, the diferent aspects of the laser welding/brazing process when joining aluminum alloy to steel, including details about the selection of the laser source, temperature control during the process, and the choice of fller metal for efective bonding between the dissimilar metals (Fe/Al), were presented. In the second section of study, the microstructure of the intermetallic reaction layer formed during the laser welding/brazing process between aluminum alloy and steel was investigated, and the microstructure for optimizing the welding/brazing parameters was examined. Although, this study analyzed the mechanical characteristics of the Fe/Al joint after laser welding/brazing. This includes factors such as joint strength, toughness, and resistance to diferent types of loads or stresses, but the mechanical characteristics, metallurgical characteristics, and geometrical characteristics models of LBW process were not considered and discussed. Thus, a valuable contribution on review of characteristics models of LBW process including mechanical, metallurgical, and geometrical models is required.

Sadeghian and Iqbal [\[7\]](#page-42-6) presented an insightful review of challenges and recent advancements in dissimilar laser beam welding of steel-cooper, steel-aluminum, aluminum-copper, and steel-nickel for electric vehicle battery (EV) systems. The focus of study is on the joining process of battery cells considering interconnect joints, which are critical for functionality and efficiency of the battery system including  $(1)$ importance of joints in EV battery systems, (2) advantages of LBW in EV battery manufacturing, (3) challenges with dissimilar materials, (4) undesirable weld microstructures, (5) various material combinations, (6) analysis of joint characteristics, and (7) infuence of process parameters and interlayers/coating [\[7\]](#page-42-6). Thus, it can be concluded that mechanical, metallurgical, and geometrical characteristic modeling of LBW, which is the focus of the current study, was not considered in the study of Sadeghian and Iqbal [[7\]](#page-42-6).

The LBW process is mainly infuenced by several factors, including welding processing parameters, laser beam quality, interactions between the irradiation and the material, and environmental fuctuations. The results are distributed over a wide area, and thus, it is still difficult to comprehensively explored and predict the characteristics of the LBW process and their impact on the performances of joint characteristics. Under extreme LBW thermal cycle, the metallurgical characteristics of the weld joint and surrounding internal stress have signifcantly changed, which is diferent from the base metal and investigated by another study [\[8](#page-42-7)]. The noticeable challenges with the LBW process are controlling characteristics of welded parts, including geometrical, metallurgical, and mechanical characteristics, as well as weld defects.

The geometry of the weld or fusion zone is considered as the weld geometrical characteristics. It is reported that weld geometrical characteristics affect other characteristics of weld. For instance, it is proven that increasing the geometrical characteristics such as the radius of weld toes and decreasing the values of fank angle led to the improvement of the butt welded's fatigue life and fatigue strength [[9\]](#page-42-8). The fracture peak load of the weld is increased by the increase in fusion zone dimensions  $[10]$ . Another study shows that notch weld geometry signifcantly infuences the fatigue failure of weld [\[11](#page-42-10)]. Other geometrical characteristics of weld, e.g., width, afect directly on the fracture behavior of weld [\[12\]](#page-43-0). Thus, since weld performance and other characteristics are infuenced by geometrical weld characteristics, this characteristic is considered in masses of previous studies in the LBW modeling area.

Weld metal characteristics are mainly controlled by metallurgical characteristics. These characteristics of the weld are considerably changed depending on its chemical composition. Metallurgical weld characteristics refer to characteristics including grain size, alloying elements, and microstructures resulting from the welding process in the weld joints. It is a fact that grain size has a considerable impact on mechanical characteristics [\[13\]](#page-43-1). Small grain size and the existence of martensite in the weld fusion zone resulted in higher hardness in the weld fusion zone. Formation of martensite, which has poor corrosion resistance, leads to weak corrosion resistance in the weld metal. In contrast, the increase of austenite microstructure with good resistance provides strong corrosion resistance in the weld fusion zone [[14\]](#page-43-2). Studies revealed that the toughness of weld metals is improved by increasing the acicular ferrite [[15\]](#page-43-3). The existence of grain boundary ferrite lowers the toughness of weld metal [\[16](#page-43-4)]. Therefore, the effect of alloying elements, microstructure, and grain size on weld performance is discussed, which reveals the signifcant impact of metallurgical characteristics of weld metal on its performance. Thus, a demand for a comprehensive study on presented metallurgical characteristics models is required to detect its behavior during welding and performance of weld.

Weld characteristics, which defne the mechanical behavior of welds, are considered as weld mechanical characteristics, which affect weld performance directly. It is reported that the joint performance strength decreases moderately when a lower and smaller region of residual stress is induced [[17\]](#page-43-5). The residual stress (as a weld mechanical characteristic), which is produced by rapid temperature variation during the welding process, is often crucial for the life cycle behavior of structures, especially for key connection regions in offshore and marine applications  $[18]$  $[18]$  $[18]$ . Studies revealed that an increase in weld hardness led to a decrease in yield strength, impact toughness, and tensile strength [\[19](#page-43-7)]. Thus, it is shown that mechanical characteristics play prominent role in laser beam–welded part's performance in diferent ways dramatically.

The appropriate modeling of the LBW process is a critical factor in accomplishing desired characteristics of the weld joints. The comprehension model for the LBW process is obligatory for proper employment and optimization of these welding techniques. The modeling of the LBW process is intrinsically problematic, as it must comprise the rapid cooling rates and high-temperature gradient because of the high energy density of laser beams. Furthermore, such a process involves various physical mechanisms that are strongly coupled, for instance, mechanisms of phase transition, lasermaterial interaction and absorption, and energy transfer in all four phases, including solid, liquid, gas, and plasma phases [\[20\]](#page-43-8). Thus, proper and applicable models have an impact on the investigation of the LBW process and are required for understanding the process better.

Although demands for high-performance welds have been increasing in various application areas, and masses of studies have been focused on LBW process modeling (LBWPM), currently, limited literature have focused on detailing based on geometrical, metallurgical, and mechanical characteristics. Moreover, while a few attempts reviewing of the LBW process can be found in the literature [\[21](#page-43-9)[–25\]](#page-43-10), a comprehensive study of the whole presented diferent types of modeling of the LBW process is not yet reported. One interesting feature worth mentioning is that, in almost all relevant cases reported in the literature, there has been a review of dissimilar joining of aluminum alloys to steels [[21\]](#page-43-9), laser welding of NiTi [[23\]](#page-43-11), laser welding process and strength enhancement of carbon fber–reinforced thermoplastic composites and dissimilar metal joint  $[24]$  $[24]$ , as well as the suppression of the solidifcation cracks in the laser welding process by controlling the grain structure and chemical compositions [[25](#page-43-10)]. At the same time, none of them focused on LBWPM types or laser beam–welded characteristics as the aim of the study. It can be concluded that previous research dealt with general features of weld, not modeling or specifc characteristics of laser beam–welded parts.

In summary, the perception of LBWPM leads to an increase in the performance of laser beam–welded joints. There needed to be more research on the review of LBWPM. In the current study, a comprehensive review of types of LBWPM, including characteristics of weld, including geometrical, metallurgical, and mechanical, will be presented in Section [2.](#page-3-0) It will be discussed that LBWPM can be classifed on preprocess, in-process, and post-process based on the monitoring. Diferent methods of LBWPM based on governing equations and physical material properties modeling will be presented. Geometrical characteristic modeling, including weld width, penetration, and deformation, is studied in Section [3](#page-15-0) by comparing different case studies. Section [4](#page-23-0) represents metallurgical characteristic modeling of the LBW process, for instance, solidifcation mode, phase transformations, and morphology. Mechanical characteristic modeling includes strength, hardness, and residual stress modeling in Section [5](#page-30-0). Finally, the gap of studies and future trends of LBWPM and conclusions are presented in the last section.

# <span id="page-3-0"></span>**2 Types of LBW process modeling**

Since laser beam welding or LBW process is one the sensitive processes and various factors in it will lead to the creation of various joint characteristics, the modeling of this process can be categorized in diferent aspects, including types of characteristics, monitoring, length scale, and methods. In this section, each of these classifcations will be introduced and discussed in detail.

### **2.1 Characteristics of weld joint approach**

Since laser beam welding is thermal-based welding, diferent characteristics, including geometrical, metallurgical, and mechanical weld, can be considered in the process modeling. This characteristic-based classifcation of LBWPM is introduced in Fig. [1](#page-3-1). The geometry of weld, including weld width  $[26]$  $[26]$ , weld depth  $[27]$  $[27]$ , and distortion  $[28, 29]$  $[28, 29]$  $[28, 29]$  $[28, 29]$  $[28, 29]$ , are considered as a geometrical characteristic of weld in LBW process modeling. Laser beam–welded parts can have diferent metallurgical characteristics, such as morphology [[30](#page-43-17)] or microstructure [[31](#page-43-18)], which can be considered as an output of the model. Mechanical characteristics of weld, including residual stress [[32](#page-43-19)], strength [[33\]](#page-43-20), and hardness [[34](#page-43-21)], are some of the output of modeling, which determines the performance of welded parts. All the abovementioned types of modeling will be present in the following subsections.

### <span id="page-3-2"></span>**2.1.1 Geometrical characteristics**

Geometrical characteristics of the weld are one of the most intuitive refections of the welding process, and most of fundamental LEWPM research usually refers to this topic inevitably. For instance, the welding production efficiency is usually optimized if full penetration and desirable weld width can be achieved in a single pass [\[35](#page-43-22)]. Thus, it is enormously essential to achieve specifc geometrical features in a welding process.

Weld geometry varies throughout the whole thickness, and relying solely on representations of penetration and surface width to assess the modeling seems oversimplifed. Diferent geometrical features of a weld bead can be investigated. However, the three most noticeable features are the weld width (*W*), weld penetration (*P*), and distortion (*D*), which are shown schematically in parts A and B of Fig. [2,](#page-4-0) respectively.

Considering the fusion (FZ) and heat-affected zones (HAZ), the weld width is defned as the width of the fusion zone, whereas the weld penetration is the height of the fusion zone. As an example, the measured weld width and penetration for specimens are shown in part A of Fig. [2](#page-4-0) [[36](#page-43-23)]. Some recent studies which modeled the width and penetration of laser beam–welded joints will be presented and discussed with split details in Section [3.1](#page-15-1).

Distortion is defned as the permanent deformation of the weld joint after the welding process. In the LBW process, distortion is one of the most critical geometrical

<span id="page-3-1"></span>



<span id="page-4-0"></span>**Fig. 2** Laser beam welding (LBW) geometrical characteristics. **A** The schematic and the microscopic view of weld width (*W*) and weld penetration or penetration (*P*). **B** Schematic of weld distortion (*D*) [\[36\]](#page-43-23)

characteristics that is considered in diferent manufacturing applications. For instance, in plate heat exchangers, assembly problems arise if distortion occurs in the welded plates. In addition, the presence of distortion affects the fluid flow pattern in the exchanger and can even lead to the reduction of thermal efficiency. Therefore, as much as possible, the conditions should be such that the distortion created in the welded plates is minimized. Studies classifed distortion of weld joints into two general categories: (1) in-plane (transverse contraction, longitudinal contraction, and rotational distortion) and (2) out-of-plane (angular distortion, longitudinal bending, buckling distortion), which are shown schematically in Fig. [3](#page-4-1) [[37\]](#page-43-24). In Section [3.2](#page-19-0) some noticeable studies which modeled the deformation of the LBW process are considered and compared with each other's in diferent terms.

#### **2.1.2 Metallurgical characteristics**

Weld metallurgical characteristics, on which the operating performances of welding joints stand, have always been the fundamental evaluation criteria of joint characteristics. The metallurgical characteristic of the fusion zone (FZ) affects other characteristics of laser beam–welded objects, such as mechanical characteristics. A coarse columnar structure of FZ is harmful to the mechanical characteristics of weld [[38](#page-43-25)]. On the other hand, the formation of fne equiaxed grains in FZ has two signifcant advantages: (1) Fine equiaxed grains in the FZ reduce the susceptibility to solidifcation cracking during the LBW process, and (2) fne equiaxed grains improve the mechanical characteristics [\[39](#page-43-26)]. In addition, it was found that the microstructure, related to the mechanical performance of the welds, is mainly infuenced by the metal compositions, cooling rate, and temperature gradient [[40\]](#page-43-27).

Metallurgical characteristics of the laser beam welding (LBW) process can be classifed into two categories, including (1) weld metal solidifcation or WMS (microstructure within grains (MWG) or solidification mode (SM) and grain structure size (GSS) or morphology) and (2) post-solidifcation or PS (considering phase transformations (PT)), which will be defned in the following subsections.

**Solidifcation mode and phase transformations** During the solidifcation of materials, four types of morphology are observed, such as (1) planar, (2) cellular, (3) columnar dendritic, and (4) equiaxed dendritic as shown in parts A, B,



<span id="page-4-1"></span>**Fig. 3** Types of distortions. **A** Transverse shrinkage. **B** Angular distortion. **C** Rotational distortion. **D** Longitudinal shrinkage. **E** Buckling distortion. **F** Longitudinal bending distortion [\[37\]](#page-43-24)

C, and D of Fig. [4](#page-5-0). According to a study [\[39\]](#page-43-26), in order to provide a stable solidifcation mode in stable conditions, the following relationship must be approved:

$$
\frac{G}{R} \ge \frac{\Delta T}{D_{\rm L}}\tag{1}
$$

where  $\Delta T$  is the temperature difference along the boundary layer and is equal to  $\Delta T = T_L - T_S$  Also,  $D_L$  is the liquid diffusivity coefficient. The speed of movement of the middle layer solid liquid layer is called the "solidifcation growth rate" or *R.* "Temperature gradient" or *G* in liquid metal is the diference in the temperature profle. With the increase of the solidifcation growth rate and temperature gradient, the solidifcation modes will be transferred from planar to cellular, cellular to columnar, and fnally from columnar to equiaxed dendrite, as shown in part E of Fig. [4](#page-5-0).

In the laser beam welding process, which is a laser material processing, both the cooling rate and the growth rate are very high and temperature gradient is very small. Therefore, columnar or equiaxed dendritic modes are created in the solidifcation process of LBW [[43\]](#page-43-28). For stainless steel, one the most famous metals in the LBW process, solidifcation modes, and microstructure is based on  $Cr_{eq}/Ni_{eq}$  in Table [1,](#page-5-1)



<span id="page-5-0"></span>**Fig. 4** Basic solidifcation modes; **A** Planar of carbon tetrabromide. **B** Cellular of carbon tetrabromide. **C** Columnar dendritic of carbon tetrabromide. **D** Equiaxed dendritic of cyclohexanol [\[41\]](#page-43-29). **E** The

efect of solidifcation growth rate or *R* and temperature gradient or *G* on solidifcation modes [[39](#page-43-26)]. **F** Solidifcation modes of austenitic stainless steel weld joints [\[42\]](#page-43-30)

<span id="page-5-1"></span>**Table 1** Solidification modes based on equivalent  $Cr_{eq}/Ni_{eq}$  and related microstructures [\[44\]](#page-43-31)

Solidification mode Equivalent ratio		Reaction	Microstructure
A, fully austenite $Cr_{eq}/Ni_{eq} < 1.25$		$L \rightarrow L + A \rightarrow A$	Fully austenitic
		AF, austenite ferritic $1.25 < C_{\text{reg}}/Ni_{\text{eq}} < 1.48$ L $\rightarrow$ L $+$ A $\rightarrow$ L $+$ A $+$ (A $+$ F) <sub>Eut</sub> $\rightarrow$ A $+$ F <sub>Eut</sub> Ferrite at cell and dendrite boundaries	
		FA, ferritic austenite $1.48 < C r_{eq}/N i_{eq} < 1.95$ $L \rightarrow L+F \rightarrow L+F+(F+A)_{Per/Fut} \rightarrow F+A$	Skeletal and/or lathy ferrite resulting from ferrite to austenite transformation
F, ferritic	$1.95 < C r_{eq} / N i_{eq}$	$L \rightarrow L + F \rightarrow F \rightarrow F + A$	Acicular ferrite or ferrite matrix with grain boundary austenite and Widmanstatten side plates

<span id="page-6-0"></span>

Table 2 Constitution elements, partition coefficients, and low	S. No	Constituent	Temperature	Partition coefficient		Low melting phases	
melting phases of 304L-316L welded $[44]$			$(^{\circ}C)$	$\delta$	$\gamma$	Structure	Melting point $(^{\circ}C)$
		Sulphur	1365	0.091	0.035	Eutectic Fe-FeS	988
						Eutectic Ni-NiS	630
	2	Phosphorous	1250	0.23	0.125	Eutectic Fe-Fe3P	1048
						Eutectic Ni-Ni3P	875
	3	Silicon	1300	0.77	0.52	Eutectic Fe-Fe2Si	1212
						Eutectic NiSi-Ni3Si2	964
						NiSi <sub>V</sub>	996

<span id="page-6-1"></span>**Table 3** The relationship of  $\text{Cr}_{eq}/\text{Ni}_{eq}$ 



Table [2,](#page-6-0) and part F of Fig. [4](#page-5-0). The  $Cr_{eq}/Ni_{eq}$  is also defined based on diferent studies in Table [3.](#page-6-1)

**Morphology** Grain size or morphology is another metallurgical characteristic of the fusion zone in the laser beam welding process. It is reported that by increasing  $G \times R$  (which is defned as "cooling rate or *CR*"), the grain size decreased. Studies indicated that a higher cooling rate provides fner cellular or dendritic structure [[39](#page-43-26)]. The eutectic spacing or  $\lambda_{\rm E}$ , primary dendritic arm spacing (PDAS) or  $\lambda_1$ , and secondary dendritic arm spacing (SDAS) or  $\lambda_2$  are three main parameters, which are considered in morphology or grain size in LBW process modeling as shown in parts A, B, C, and D of Fig. [5](#page-6-2) [\[48](#page-43-32)].

#### **2.1.3 Mechanical characteristics**

An efective weld is as strong as that of the weakest of the two diferent metals being connected, that is, it has enough strength to prevent the junction from failing during the weld [\[49](#page-43-33)]. Hence, the exploration of the mechanical characteristics of welded joints is one of the most essential and valuable aims that allow to determine their performance and functional properties. For technical reasons, welded joints



<span id="page-6-2"></span>**Fig. 5** Microstructure; (A) Cross-section of eutectic structure. (B) Schematic of grain structure. (C) Eutectic spacing or  $\lambda_E$ . (D) Primary dendritic arm spacing (PDAS) or  $\lambda_1$  and secondary dendritic arm

spacing (SDAS) or  $\lambda_2$ . (E) The CET based on growth rate (R) and gradient temperatures (*G*) [[48](#page-43-32)]

require proper mechanical characteristics, including tensile strength, hardness, and residual stress. Such weld joints are applied in the construction of sensitive and expensive applications, for instance, power steam stations, chemical tankers, apparatus, and chemical plants [[50\]](#page-43-37).

The tensile strength is employed to estimate the tensile characteristics of the weldments [[51\]](#page-43-38). The tensile strength is lower when the weld metal joint has poor loading capacity and weld quality. If the weld metal is high, toughness can possess better tensile strength [[52\]](#page-44-0). Regarding importance of this area, some studies have been focused on the modeling weld joint strength, including the ultimate tensile strength (UTS), yield stress, and the strain corresponding to the UTS, which will be presented in Section [5.1](#page-30-1).

Unfavorable weld joint hardness is an undesirable quality [[53\]](#page-44-1). Several investigations demonstrated the changes weld joint hardness in the weld metal resulted in laser beam welding process. The LBW process caused a drastic increase in the weld metal hardness (388 HV) and coarse prior austenite grain bainite region (390 HV) compared to the hardness of base metal (BM) (below 200 HV). Both of the applied PWHT variants reduced the hardness of these areas of welded joints to the BM level [\[51\]](#page-43-38). It is reported that hardness is around 60% higher at FZ than at BM, being maximum at supercritical HAZ due to its highly refned microstructure, and HAZ softening was not observed (Mansur, de Figueiredo [\[54](#page-44-2)]. The presented models of hardness profle in LBWPM are provided in Section [5.2.](#page-31-0)

Residual stress that results from welded joints is another noticeable factor that needs to be considered in the mechanical characteristics of laser beam–welded structures. It is well known that tensile residual stresses in welded structures can be as high as the yield strength of the material, and they have a detrimental effect on the weld performance. The combination of tensile welding residual stresses and operating stresses to which engineering structures and components are subjected can promote failure by fatigue. Conversely, compressive residual stresses could have a favorable efect on fatigue life. However, spectrum loading may relax part of the residual stress feld, which will afect the fnal fatigue life [[55\]](#page-44-3). Regarding the importance of this area, some scholars have conducted in-depth research on the modeling of residual stress resulting from the LBW process, which will be discussed in Section [5.3.](#page-34-0)

#### **2.2 Monitoring approach**

Monitoring plays a critical role in LBW process modeling, and its impact is multifaceted, signifcantly infuencing the modeling process. The monitoring contributes and impacts LBW process modeling with diferent ways including (1) model validation, (2) parameter calibration, and (3) model development and improvement. Monitoring the laser beam welding (LBW) process plays a critical role in providing real-time insights into crucial welding parameters, including power, speed, temperature, and material characteristics. These real-time results serve as invaluable tools for validating and enhancing the precision of LBW process models. By comparing the predictions of these models with the actual monitoring results, researchers can make refnements to improve the model's accuracy.

Part A of Fig. [6](#page-8-0) showcases how the monitoring process, in combination with metallographic testing, can be employed to validate the numerical model's accuracy in predicting the weld depth in the laser beam welding process [\[56](#page-44-4)]. In addition to this, a multifaceted approach is utilized, incorporating both unisensor and multisensor monitoring, as well as convolutional neural network (CNN) models for predicting weld depth. Optical coherence tomography sensors, such as photodiode signals, are considered for validation, as illustrated in part B of Fig. [6](#page-8-0) [[26](#page-43-13)]. During the monitoring phase, high-speed cameras (Mini UX30, FASTCAM, Japan) and photodiodes are employed as input sensors in study [[26](#page-43-13)]. The high-speed camera operates at an impressive frame rate of 10,000 frames per second. To mitigate the interference caused by laser-induced plasma and plumes during image acquisition, a diode laser beam is directed onto the specimen surface. In front of the camera lens, a band-pass flter and a neutral density flter are installed. A comprehensive view of the monitoring and experimental setup for this study is presented in part C of Fig. [6,](#page-8-0) outlining the intricate details of the equipment and processes involved.

Monitoring plays a pivotal role in fne-tuning or parameter calibration process models for laser beam welding (LBW). Through the careful analysis of monitored data in conjunction with the model's input parameters, researchers gain the ability to make precise adjustments to model coefficients or constants, ensuring that the model accurately refects the specifc conditions of the LBW process under investigation. In a previous study conducted by Stache et al. [\[57](#page-44-5)], laser beam position calibration within the LBW process was addressed. This calibration process was designed to alleviate the shortcomings associated with traditional methods and was accomplished through the utilization of a systemincorporated camera. Two distinct techniques were introduced for automatic calibration, both of which overcame previous limitations. The frst technique involved calibration at the laser wavelength, wherein the system autonomously generated laser spots, assessed their positions and potential offsets, and ultimately employed an affine model for compensation. The second technique revolved around a specifcally coded test pattern designed for camera wavelength calibration. This approach enhanced accuracy. For a comprehensive view of the scanner system with the integrated camera used for position estimation and the experimental results showcasing the precision achieved through



<span id="page-8-0"></span>**Fig. 6** The model validation with monitoring method for laser beam welding method. **A** Numerical model for weld depth [[56](#page-44-4)]. **B** Machine learning model for weld depth. **C** Schematic diagram of the monitoring experimental setup [[26](#page-43-13)]

calibration, please refer to parts A and B of Fig. [7,](#page-9-0) which provide a visual representation of the system's schematic and the associated results.

The results derived from the monitoring stage play a crucial role not only in the development of initial models but also in enhancing existing ones. This approach is invaluable in unraveling the intricate interactions within the welding process, ultimately contributing to the creation of more precise and anticipatory models. An illustrative study introduced an innovative weld pool edge detection technique that relies on off-axial green illumination lasers in combination with a coaxial image capture system comprising a CMOS camera and optical flters. To maximize the efectiveness of this approach, a comprehensive edge detection algorithm



<span id="page-9-0"></span>**Fig. 7** Parameter calibration with monitoring. **A** The schematic of monitoring system. **B** The experimental results showcasing the precision achieved through calibration [[57](#page-44-5)]

was developed, based on a localized maximum gradient of greyness search method and linear interpolation. This algorithm was meticulously designed to enhance the accuracy of the extracted weld pool geometry and width. These measurements were subsequently validated by comparing them with actual welding width measurements and predictions generated by a numerical multiphase model [\[58](#page-44-6)]. For a more detailed investigating of the monitoring and experimental setup, as well as the results showcasing the weld width obtained from the model and the online monitoring, please refer to parts A and B of Fig. [8,](#page-9-1) which provide a visual representation of the setup and the outcomes.

In this section, the classifcation of LBWPM is considered based on the monitoring approach. The purpose of monitoring the LBW process is to check the presence of defects and ensure the health of the weld joint. Based on the monitoring process, LBWPM can be classifed into three stages, including (1) pre-process, (2) in-process, and (3) post-process [\[59\]](#page-44-7). These categories are shown in Fig. [6](#page-8-0). The summary of LBWPM based on monitoring aims, signals, and technology in diferent stages is provided in Table [4.](#page-10-0)

Expanding upon the insights provided by Cai et al. [\[59](#page-44-7)], it becomes evident that LBW modeling can be categorized according to the specifc monitoring types applied. This



<span id="page-9-1"></span>**Fig. 8** The laser beam welding model development and improvement with monitoring. **A** The schematic of monitoring and experimental setup. **B** Weld width result of model and on-line monitoring [\[58\]](#page-44-6)

<span id="page-10-0"></span>



categorization allows to classify the LBW modeling process into three distinct categories: (1) pre-process, (2) in-process, and (3) post-process models as shown in part A of Fig. [9.](#page-10-1)

- Pre-process models: These models encompass aspects related to the preparatory stages of LBW process. Notably, they involve gap measurement and seam tracking. Monitoring during these phases primarily falls under the pre-process category. For instance, modeling gap measurement and seam tracking is vital to ensuring precise alignment before initiating the welding process.
- In-process models: In this category, the focus shifts to the dynamic aspects of the LBW process. Elements such as weld pool width, penetration depth, keyhole geometry, and surface cracking are classifed as in-process models. Real-time monitoring is essential for these parameters to ensure optimal welding conditions. As an example, weld pool width can be efectively modeled by employing an optical camera monitoring system during the ongoing welding process. Surface cracking can be detected and modeled using techniques like acoustical emission, providing critical feedback to prevent defects.



<span id="page-10-1"></span>**Fig. 9** Monitoring approach. **A** The schematic of the LBW process and types of monitoring. **B** The classifcation of sensors and techniques [\[59\]](#page-44-7)

• Post-process models: Post-process models are concerned with characteristics and assessments that occur after the LBW process is completed. Key among these is the evaluation of mechanical characteristics of welds, including yield strength and fracture force. Such attributes are typically determined through destructive tests performed once the welding process has concluded. Similarly, metallurgical characteristics, such as microstructure and grain size, are examined through methods like SEM, EDX, and XRD. Defects, like internal cracking, lack of fusion (LOF), and porosity, are also monitored in the post-process phase. Radiography tests and metallurgic examinations play a signifcant role in modeling these characteristics.

By considering the monitoring types involved, the LBW process can be efectively classifed into three distinct stages: pre-process, in-process, and post-process. Each stage represents a critical facet of the overall welding process, contributing to its successful execution and quality assurance.

The aim of pre-process models is mainly to provide some weld features, which are provided before applying the laser beam to the workpiece. It is reported that weld geometrical characteristics such as the seam tracking problem and scanning the joint gap between workpieces to ensure that the laser beam spot focuses on the gap center to obtain reliable joints can be obtained by pre-process models using optical signals with machine vision and laser triangular technologies as mentioned in Table [4](#page-10-0) [\[60](#page-44-8)].

The in-process models focused on some characteristics of the weld zone, which were created during the LBW process. Some weld geometrical characteristics (such as weld width, penetration, and keyhole geometry) and defects (including surface cracking) are detected during the LBW process by using various technologies (shown in part B of Fig. [9](#page-10-1)) with diferent signals including acoustical, optical, electrical, or thermal. By analyzing these signals and characteristics, the quality of the weld seam can be predicted and adjusted [\[61](#page-44-9)].

The post-process models refer to the models, which provide some characteristics after fnishing the LBW process,

including geometrical (weld width, penetration, and distortion), metallurgical (microstructure, grain size), mechanical (yield strength, fracture force), and defects (all types of internal cracking, LOF, porosity) [[62\]](#page-44-10). These characteristics are modeled by employing various technologies (such as machine vision, destructive and nondestructive inspection, metallurgic tests, and laser triangulation) with the help of acoustical and optical signals, as shown in Table [4.](#page-10-0)

It should be noted that to create a model of the LBW process, the data must be monitored and collected by one of the introduced technologies. Next, the data will be analyzed by diferent methods and models. Thus, LBW process modeling can be classifed based on the monitoring methods in three pre-process, in-process, and post-process kinds, as shown in Fig. [7.](#page-9-0) The presented models can also be analyzed in two categories: (1) static and (2) dynamic (ofine or online). The static models are independent of time, while dynamic models depend on time. The characteristics output of static models is not infuenced by time.

The dynamic models, which define the weld characteristics based on time, are divided into ofine and online kinds. In online models, online signals consider in the model directly from the initial step until the last steps of the LBW process. In other words, all momentary environmental changes afect output characteristics. In ofine LBWPM, the signal of the initial step or just one or two specifc steps will be detected and considered in the model, and the characteristics will be provided based on selected steps, not all (Fig. [10](#page-11-0)). Thus, fuctuations or momentary changes are not considered in the models.

### <span id="page-11-1"></span>**2.3 Length scale**

Although laser beam welding has been applied in diferent applications and masses of papers investigated the LBW modeling, the physics of this process is still the subject of many current research projects. This is because of the complexity of the LBW process, which includes a variety of diferent coupled physical phenomena that appear in a small zone of the melt pools. Process disturbances may even cause variations in the weld zone and non-equilibrium physical

<span id="page-11-0"></span>

and chemical metallurgical process, which exhibits multiple modes of phenomena making this challenge worse. An investigation categorized LBW physical phenomena into fve mechanisms, including (1) absorption, (2) heat conduction, (3) vapor dynamic, (4) melt dynamics, and (5) phase transitions, as shown in Fig. [11](#page-12-0) [[63\]](#page-44-11).

As shown in Fig. [11](#page-12-0), in the absorption mechanism of LBW, Frensel absorption, multiple reflections, vapor and plasma absorption, and temperature-dependent optical properties are involved. The heat conduction mechanism of the LBW process includes convective and conductive heat flux and melting and evaporation enthalpy. Pressure waves and the Bernoulli effect are two phenomena, which can be considered in the vapor dynamic mechanism of the LBW process. Phase transitions, which are one the most complex mechanisms of the LBW process, include melting and solidification, evaporation and condensation, vapor pressure on the interface, and mass flux between phases.

The melt dynamics mechanism of the LBW process includes melt expulsion, spilling formation, Marangoni convection, and temperature-dependent material properties (which will be discussed in Section [2.4.2\)](#page-15-2). The fuid dynamics of the melt pool during the LBW process, including vertical and horizontal views, are shown in parts A and B of Fig. [12](#page-12-1) [[64](#page-44-12)]. The velocity feld shows waves of liquid melt running down the front of the keyhole. A periodical change in the keyhole diameter will be produced because of these waves, which leads to keyhole oscillations. Around the keyhole, the liquid melt is accelerated, while the melt flow hits the backflow from the back of the melt pool at about two-thirds the length (Turbulences



<span id="page-12-0"></span>**Fig. 11** The fve coupled physical phenomena mechanisms in the laser beam welding process [[63](#page-44-11)]



<span id="page-12-1"></span>**Fig. 12** Fluid dynamics of the melt pool during laser beam welding. **A** Vertical view. **B** Horizontal view [[64](#page-44-12)]

appear in the lower rear part). The fow patten of the melt pool in the upper part is more laminar [[63](#page-44-11)].

To decrease the complexity of the LBW process, some of the phenomena are considered decoupled or neglected in some models [[63\]](#page-44-11). Most of the time, the presented models are only capable of analyzing one of the geometrical, mechanical, and metallurgical characteristics or physical characteristics of the LBW process with one or some mechanisms. The conduction, radiation, and convection mechanisms are considered to model geometrical characteristics (such as weld width and depth) of laser welding of magnesium alloys, as shown in part A of Fig. [13](#page-13-0) [\[65](#page-44-13)]. Integrated process–structure–property–performance modeling, including metallurgical characteristics of weld with consideration of Marangoni fow, is presented in another study, as shown in part B of Fig. [13](#page-13-0).

Comparing parts A and B of Fig. [13,](#page-13-0) it can be concluded that based on length scale, the LBW process modeling can be categorized in (1) macroscale, (2) mesoscale, and (3) microscale (as shown in part C of Fig. [13\)](#page-13-0). The macrothermomechanics, process modeling, and performance modeling with the scale of ~  $10^0$  to ~  $10^{-2}$  m is considered as macroscale. Mesoscale models include the scale of ~  $10^{-2}$ to ~  $10^{-4}$  m and thermo-fluid dynamics and meso-mechanics mechanisms. Microscale is models with the scale of  $\sim 10^{-4}$ to ~  $10^{-6}$  m, such as a microstructure model. Thus, it can be concluded that each mechanism and each characteristic modeling is categorized in diferent length scale models.

#### **2.4 Method approach**

Based on the method of extracting the model and the solution of it or its approach, laser beam welding process modeling is divided into (1) empirical-based (EB) and (2) theoretical-based (TB), as shown in Fig. [14](#page-14-0). Theoretical-based LBW models are built based on the theory that governs the laser beam welding process. The studies in this feld can be classifed into two categories: (1) exact and (2) numerical.



<span id="page-13-0"></span>**Fig. 13** Laser beam welding models. **A** The convection, conduction, and radiation mechanism consideration [[65](#page-44-13)]. **B** Integrated process–structure–property–performance modeling and simulation approach and associated length scales [[66](#page-44-14)]. **C** LBWPM based on the scales considered [\[67\]](#page-44-15)



<span id="page-14-0"></span>**Fig. 14** Classifcation of LBWPM based on method approach

In exact models, the process outputs are modeled from the existing balance between the input, output, and waste energy of the laser beam welding process and the exact solution of the resulting equation. Numerical models such as fnite element methods, some numerical software, and other methods have also been presented in some studies to solve the theoretical equation governing the process. Some governing equations, which can be considered in the LBW theoreticalbased models, are presented in Section [2.4.1.](#page-14-1)

The other category comprises empirical-based laser beam welding process models. These models are divided into two general groups, including (1) machine learning or modern and (2) regression or traditional. If the laser beam welding process is modeled based on machine learning methods such as fuzzy, neural network, and genetic algorithm, the provided model is placed in the machine learning groups. One of the simplest methods for conducting LBW process models is the regression model. In this method, a model called regression model is extracted from ftting the curve between the input and output of the LBW process.

#### <span id="page-14-1"></span>**2.4.1 Some of the applicable mathematical equations**

To conduct theoretical-based models for the LBW process, some governing equations are presented in this section. The conservation equations of continuity, momentum, energy, and mass fraction are satisfed in the laser beam welding process, as provided in Table [5](#page-14-2). Besides these equations, all the mechanisms of heat transfer in fuids, including conduction, convection, and radiation, are evident in the laser beam welding process as discussed in Section [2.3.](#page-11-1) The forces acting on the workpiece are surface tension, buoyancy force, Marangoni convection, and gravity [[68](#page-44-16)].

The nonlinear heat transfer governing equation, which can be considered in conducting the LBW process modeling, is as follows [[70\]](#page-44-17).

$$
\rho c \frac{\partial T}{\partial t}(x, y, z, t) = -\nabla . q(x, y, z, t) + Q(x, y, z, t)
$$
(6)

where  $\rho$  is the density of the materials, *c* is the specific heat capacity (J/(g  $\textdegree$ C)), *T* is the current temperature ( $\textdegree$ C), *q* is the

<span id="page-14-2"></span>

heat flux vector ( $W/mm^2$ ),  $Q$  is the internal heat generation rate  $(W/mm^3)$ , *x*, *y*, and *z* are the coordinates in the reference system (mm), *t* is the time (s), and  $\nabla$  is the spatial gradient operator. The nonlinear isotropic Fourier heat fux constitutive equation is employed [[70](#page-44-17)]:

$$
q = -k\nabla T \tag{7}
$$

where  $k$  is the temperature-dependent thermal conductivity  $(J/(mm s °C)).$ 

#### <span id="page-15-2"></span>**2.4.2 Physical material property modeling**

Besides mechanisms presented in Section [2.3,](#page-11-1) the LBW process is a solidifcation process with a combination of thermodynamic physical phenomena and kinetic reactions, which lead to the creation of diferent metallurgical, mechanical, and geometrical characteristics in the weld zone [\[43,](#page-43-28) [71](#page-44-19)]. The schematic diagram of this deduction is shown in part A of Fig. [15](#page-16-0). Considering this, equilibrium phases, such as ferrite and austenite, of metallurgical characteristics are extracted with thermodynamic process properties, such as the melt pool temperature and phase diagram (part C of Fig. [15\)](#page-16-0). The behavior of changes between phases and morphology (or metallurgical characteristics) in the thermal LBW process is obtained with considering of kinetic reaction parameters, such as cooling rate and heating rate using time–temperature transfer (TTT) diagrams and solidifcation diagrams as shown in part B of Fig. [15](#page-16-0).

Other metallurgical and crystallographic characteristics, such as atomic structure and materials arrangement, will be available by scanning electron microscope test (SEM) [[71\]](#page-44-19). By having crystallographic details or metallurgical characteristics, mechanical characteristics and geometrical characteristics of the weld will be obtained. These characteristics also indicate the performance of the LBW process. Therefore, practically, by having the temperature details of the process, the weld joint performance will be investigated.

Considering Fig. [15](#page-16-0), the laser beam welding process modeling is a thermo-mechanical-metallurgical process, which is based on temperature; thus, it is expected to assume physical material properties of the process as the temperaturedependent in modeling. The physical material properties can be categorized into (1) thermal and (2) mechanical properties. Thermal conductivity, density, and specifc heat are thermal material processes, and mechanical material properties include Young's modulus, yield strength, Poisson's ratio, and thermal expansion coefficient. The thermal properties and mechanical properties of low carbon steel (Q235), as an example are shown in parts A and B of Fig. [16](#page-17-0). Some studies [\[72–](#page-44-20)[74\]](#page-44-21) proposed JMatPro software for material properties considered in LBWPM methods.

## <span id="page-15-0"></span>**3 Geometrical characteristic modeling's case studies**

As discussed in Section [2.1.1](#page-3-2), geometrical characteristic modeling is one of the critical characteristics of the LBW process. In this section, some recent case studies on modeling of these characteristics, including weld width, penetration, and distortion, will be presented in separate subsections as follows.

#### <span id="page-15-1"></span>**3.1 Weld width and penetration**

Weld width and weld penetrations are two critical weld geometrical characteristics, which was the aim of masses of studies of LBW modeling. According to the shape of weld penetration, LBW is categorized into fve penetration modes (or P modes) including (1) conduction; (2) transition; (3) keyhole: partial; (4) keyhole: full (wide root); and (5) keyhole: full (thin root). The weld shape and schematic of this category is provided in Table [6.](#page-18-0)

The conduction mode of the LBW process has some advantages, including no cracks, porosity, and undercut with no spatter [\[90\]](#page-44-22). On the other hand, the keyhole laser beam welding mode has more applications than the conduction mode [[91](#page-44-23), [92](#page-44-24)]. These modes are separated based on applied power density on the weld area. The power density is defned as laser power divided by laser beam area. In the keyhole mode, the power density is high enough to vaporize material and produce a hole in the melt pool, while, in the conduction mode, the power density is insufficient to provide vaporization  $[93]$  $[93]$ . The boundary between keyhole and conduction modes is unclear and is defned as a transition mode. The transition mode is infuenced by the material thermal properties, including melting temperatures, thermal conductivities, density, specifc heat capacity, latent heat of melting, and latent heat of vaporization [[76](#page-44-25)]. All three conduction, transition, and keyhole modes were based on power density for diferent materials, including stainless steel 304L, aluminum 2024- T3, and mild steel S355 in parts A, B, and C of Fig. [17,](#page-19-1) respectively.

The schematics, experimental and numerical, of three modes of keyhole laser beam welding, including partial penetration; full penetration: wide root; and full penetration: thin root, are shown in parts A to F of Fig. [18.](#page-19-2) In part A of Fig. [18](#page-19-2), the conductive melting is provided by redirecting downward flow upwards for partial penetration. When the melt is redirected upwards sufficiently, preventing any melt from fowing backward to form humps, and the upward fow and melt solidifcation behind the keyhole are not high enough to form a root concavity, a fat root



<span id="page-16-0"></span>**Fig. 15 A** The relationship between thermodynamic properties, kinetic reactions, metallurgical, mechanical, and geometrical characteristics and the performance of laser beam welding process. **B** Time–temperature transformation (TTT). **C** Phase diagram [[71](#page-44-19)]

will be formed, as shown in part B of Fig. [18](#page-19-2). A wider melt width allows more melt to fow after the keyhole exit, allowing inappropriate amounts of melt to flow to the end of the melt pool so that humps may form, as shown in part C of Fig. [18](#page-19-2).

Diferent types of modeling were studied for fve laser beam welding penetration modes and weld width during the last decades. The summary of presented studies based on the material of base metal, thickness, types of modeling (monitoring, approach, and methods), weld width, weld penetration, and error of verifcation of model is provided in Table [7](#page-20-0). In this table, Post, Off, Num, ML, Reg, *W*, and *P* refer to post-process, offline, numerical, machine learning, weld width, and weld penetration of the LBW process, respectively.

Melt pool behavior and transmission mechanism during the LBW of dissimilar metals was studied by a 3D transient numerical model (FLUENT) by considering of fluid flow,



<span id="page-17-0"></span>**Fig. 16** Physical properties versus temperature of **A** thermal properties and **B** mechanical properties for low carbon steel (Q235) [[70](#page-44-17)]

heat transfer, keyhole evolution, and mass transport [[94](#page-45-1)]. The effects of recoil pressure and surface tension on the keyhole wall, convection, difusion, and keyhole formation on the mass transfer were considered in this study. The processing parameters included laser power (1800–2000 W), welding speed (0.055–0.075 mm/s), beam focus, and heat input (26.67–32.73 J/mm). The model was validated by another study with a heat input of 36.3 J/mm, as shown in part A of Fig. [19](#page-22-0) [\[95\]](#page-45-2). Furthermore, the simulation detail is also provided in part B of Fig. [19](#page-22-0).

Maximum geometrical characteristics, including weld width and weld penetration, were reported 1.383 mm and 1.4 mm, respectively. The maximum liquid velocity and temperature of the molten pool were provided at 30.1 m/s and 3800 K, respectively, with laser power of 2000 W. Based on this study, it is concluded that convective heat transfer was dominant, and convection and difusion were the main mechanisms of metal mass transport. It is also reported that a decrease of heat input per unit area leads to decrease in fluid flow, element diffusion, and thickness of the intermetallic transition layer. Another numerical model based on ANSYS FLUENT software combined with a high-speed camera was studied on geometrical characteristics of weld and its relationship with inconsistent thermodynamic behaviors of keyhole [[96\]](#page-45-3). Further details are provided in Table [7.](#page-20-0)

Computational fuid dynamic (CFD) using ANSYS software, which is a numerical modeling considering hybrid conical-cylindrical heat source (shown in part A of Fig. [20\)](#page-23-1) concerning the heat transfer, molten fuid fow weld pool dynamics, and cooling rate phenomena, was studied [[97](#page-45-4)]. The material was stainless steel 316LN with a thickness of 5.5 mm, and the processing parameter was laser power  $(1-3.5 \text{ kW})$ , which leads to a variation of Marangoni number (1813–22,623) and Péclet number (26.38–135.08). By considering heat loss including convection and radiation heat transfer, the maximum error between experimentally measured and the predicted model was 11% (part C of Fig. [20](#page-23-1)). According to the recoil pressure contour and velocity feld (part B of Fig. [20](#page-23-1)) and cross-sectional view of the laser key-hole (part C of Fig. [20\)](#page-23-1), it is concluded that once the material surpasses the evaporation temperature, metallic vapor starts expelling from the cavity formed due to intense laser power density. The direction of the vapor flow is towards the outfow boundary. As the keyhole forms, the recoil pressure in contact with the vapor plume drives down along the keyhole wall forming a circulation loop. There is variation in the velocity values around the keyhole, as the value is dependent on the processing parameters. The maximum pressure for the maximum weld temperature was reported to be around 133 kPa, which was in agreement with previous studies [[98](#page-45-5), [99](#page-45-6)].

Considering Table [7,](#page-20-0) a summary of models based on weld width, penetration, and types of modeling and error, it can be deduced that both post-process (Post) and in-process, including online (On) and offline (Off), are studied for various materials such as diferent types of steels, Al alloy, Cu alloy, and polymer with a thickness of 0.2–20 mm. Diferent approaches, such as exact and numerical (Num) methods like FEM-based software (FLUENT, ANSYS, CFD, COMSOL), fnite diference method–based techniques, and machine learning (ML) approaches such as Fuzzy, CNN, ANN, GABP, PCA/ GA, as well as empirical-based methods (Empirical) like Regression, SVR, and ANOVA, are conducted to predict weld width and weld penetration with an error range of 0.001–66%, which indicates a high broadband range.

<span id="page-18-0"></span>**Table 6** Classifcation of the laser beam welding process based on penetration modes (P modes)





<span id="page-19-1"></span>**Fig. 17** Penetration depth vs power density of LBW process including conduction, transition, and keyhole modes for **A** stainless steel 304L, **B** aluminum 2024-T3, and **C** mild steel S355 [[76](#page-44-25)]



<span id="page-19-2"></span>**Fig. 18** Diferent laser beam welding penetration modes. **A** Schematic of partial penetration. **B** Schematic of full penetration: wide root. **C** Schematic of full penetration: thin root. **D** Experimental and

numerical of partial penetration. **E** Experimental and numerical of full penetration: wide root. **F** Experimental and numerical of full penetration: thin root [\[77\]](#page-44-26)

Comparing online models (which have an error of less than  $6\%$ ) with offline models, it is deducted that online models have an accuracy higher than offline ones. This is because most of the instantaneous changes of the process are considered in them, and the results' output of the models will be compared to experimental results.

### <span id="page-19-0"></span>**3.2 Distortion**

During the laser beam welding process, thermal contraction of weld metal, and solidifcation shrinkage, the workpiece tends to deform [\[39](#page-43-26)]. Welding distortion or deformation is one of the geometrical characteristics, which is considered

<span id="page-20-0"></span>



a critical issue in the manufacturing process. The distortion restricts and directly afects the assembly and quality of products, especially for thin-plate structures. Thus, the prediction of distortion of laser beam welding is particularly essential for both the design and manufacturing stages. Hence, some studies have been focused on the modeling of distortion, which has been produced during the laser beam welding process, some of these are provided in Table [8](#page-24-0).

According to Table [8](#page-24-0), the summary of presented distortion models is categorized based on monitoring, approach, and methods with the error of method. The deformation of low carbon steel (Q235) thin-plate (with a thickness of 2.3 mm) was modeled numerically using the thermos-elastic–plastic threedimensional fnite element method [\[70\]](#page-44-17). Two types of theories, including large deformation (case A) and small deformation (case B) were considered, as shown in parts A and B of Fig. [21,](#page-25-0) respectively. The model was verifed by experiment specimen with processing parameters including laser power of 2400 W, welding speed of 1.8 m/min, shielding gas flow rate 10 L/mm, and focus length of 200 mm, as shown in part C of Fig. [21.](#page-25-0) Diferent results were concluded. Firstly, it is concluded that longitudinal bending is too small, while transverse bending (angular distortion) is noticeable. Secondly, the prediction of case A with large deformation theory matches the measurements in magnitude better than case B (with small deformation theory). Thus, for accurate prediction, the large deformation theory is recommended to simulate the thermo-mechanical behavior of the thin-plate laser beam welding process.

To provide fast prediction of deformation in laserwelded thin sheets, a local solid (with a length of 80 mm) and a global model were studied based on inherent strain theory [\[136\]](#page-46-0). The inherent strain theory is defned, as the summation of plastic strain, thermal strain, and creep strain, and strain induced by phase transformation [[137](#page-46-1)]. The schematic of inherent deformation, including in-plane shrinkage and longitudinal bending, is shown in part A of Fig. [22](#page-25-1). FEM-based model of thermo-elastic–plastic analysis (procedure is provided in part B of Fig. [22](#page-25-1)) was validated by experimental results of out-of-plane welding deformation on stainless steel 301 with a thickness of 1.33 mm, laser power of 1500 and 1600 W, and welding speeds of 1.2 and 2 m/min. The deformations of specimens were measured by altimeter, as shown in part C of Fig. [22](#page-25-1). The maximum defection of 15 mm (which is over ten times of plate thickness) is provided via a speed of 2 m/min. Three geometrical imperfections were applied as an initial geometric shape of the plate, including−10, 0, and  $+10$ , and the range of produced curvature was calculated as shown in part D of Fig. [22.](#page-25-1) Thus, it is concluded that if the plate has a positive curvature (or convex shape) and negative curvature (or concave shape), it leads to convex and concave fnal deformation shapes during the LBW process, respectively.

**NR** not reported, SVR support vector regression

not reported, *SVR* support vector regression



100  $-(x, y)$  $=(r, v)$  $(G)$  $(F)$  $\left( \mathrm{I}\right)$ **Fig. 19** Numerical LBWPM by FLUENT. (A) Welding experiment specimen with fusion lines (real red line: simulation, green dotted line: experiment) [\[95\]](#page-45-2). (B) Cross-section of the simulation [\[94\]](#page-45-1). (C)

<span id="page-22-0"></span>Top view of the temperature and velocity [[94](#page-45-1)]. (D) Iso-surface of the keyhole [\[94\]](#page-45-1). (E) Schematic of the ray tracking heat source model

[[96](#page-45-3)]. (F) Raw image taken by high-speed camera [[96](#page-45-3)]. (G) Binarized image of the keyhole and molten pool regions [[96](#page-45-3)]. (I) Edge extraction and quantifcation [[96](#page-45-3)]. (J) Density map made by arranging keyhole (upper) and molten pool (the lower one) [\[96\]](#page-45-3)

ariation of  $\Delta_{m}$  with time

 $(\mathbf{J})$ 

**SESSEE** 



<span id="page-23-1"></span>**Fig. 20** Numerical LBWPM by ANSYS. **A** Schematic of hybrid conical-cylindrical heat source. **B** Recoil pressure contour and velocity feld. **C** Cross-sectional view of laser keyhole. **D** Experimental and predicted weld bead cross-section comparison for laser power 3.5 kW [[97](#page-45-4)]

Considering Table [8](#page-24-0), diferent results can be concluded. Firstly, most distortion modeling is offline in terms of monitoring and numerical approach with commercial FEMbased software such as ANSYS and SYSWELD with all the types of distortions. Secondly, online analytical models did not consider previous distortion models, while just one post-process based on regression (ANOVA) reported no errors. Thirdly, the maximum error of distortion models is 5–38.7%, which is less than 40%, for base metal thickness of 0.6–10 mm and distortions of 0.24–15 mm. This indicates that further studies should be considered to reduce the maximum error of models to predict distortion with higher accuracy.

# <span id="page-23-0"></span>**4 Metallurgical characteristic modeling's case studies**

The following subsections, the presented LBW modeling of each of the metallurgical characteristics, including solidifcation mode, phase transformation, and morphology, will be introduced and discussed.

### **4.1 Solidifcation mode and phase transformations**

During the solidifcation of a weld, there is a zone including both solid and liquid phases, a mushy zone in which a tensile strain is included due to its shrinkage and thermal

<span id="page-24-0"></span>



<span id="page-25-0"></span>**Fig. 21** Modeling of deformation of LBW process. **A** Deformation of modeled of case A. **B** Deformation of modeled of case B. **C** Experimental and modeling of line 3 of cases A and B [[70](#page-44-17)]



convex and concave final deformation shapes during the LBW process, respectively.

<span id="page-25-1"></span>**Fig. 22** Modeling of deformation of LBW process. **A** Schematic of inherent deformation including in-plane shrinkage (a) and longitudinal bending (b). **B** The deformation calculation procedure. **C** Experi-

mental set up for measurement. **D**  Plane central deformation versis longitudinal curvature [\[136](#page-46-0)]

contraction and resistance of the cooler base metal [[146](#page-46-16)]. This strain can cause solidifcation cracking [\[147\]](#page-46-17). The schematic of a mushy zone, axial grains, partially melted metal, and columnar grains is shown in parts A and B of Fig. [23,](#page-26-0) with a general and detailed view, respectively. Cracking in this zone of the weld is prevented when the fow of the interdendritic liquid can compensate for the local deformation. In other words, when the space between the deformed dendrites is flled with enough liquid, the solidifcation crack is healed [[148](#page-46-18), [149\]](#page-46-19). Thus, it can be concluded that solidification mode and phase transformations, which afect the mushy zone, play a critical role in solidifcation cracking, which is a noticeable criterion for weld performance.

As discussed in "Solidifcation mode and phase transformations," in pure metal solidifcation, the solid/ liquid (or S/L) interface is usually planar unless the metal is subjected to sudden supercooling. While the solidifcation of alloys, the S/L interface can be broken into cellular or dendritic structures. The formation of each of the structures depends on the solidifcation conditions and the material. As shown in Fig. [24,](#page-27-0) there are four basic solidifcation modes, including planar, cellular, columnar dendritic, and equiaxed dendritic modes [\[147](#page-46-17)].

Although studies have been focused on metallurgical characteristics of the LBW process modeling, because of the limitations of the monitoring process, the observation of the solidifcation process during the process in real-time is challenging. Thus, most recent studies proposed numerical methods of modeling, some of which are presented in Table [9](#page-27-1). It is reported that primary dendritic arm spacing (PDAS) and secondary dendritic arm spacing (SDAS) are related to *G* and *R* based on Kurz and Fisher as follows [\[152](#page-46-20)]:

$$
\lambda = A \times G_{\mathcal{T}}^{-n} \times R^{-m} \tag{8}
$$

where  $A$ ,  $n$ , and  $m$  are LBW process coefficients, and some of the studies have focused on it. A combination of numericaland empirical-based methods were considered to model the microstructure of the mixing of steel and aluminum [[143](#page-46-13)]. The models were validated by stainless steel 304 and 6082- T6 aluminum with a thickness of 1.5 mm, laser power of 3750 W, and welding speed of 4.2, 4.8, and 5.4 m/min. The steel and aluminum weld parts were considered, as zones A and B, respectively, as shown in parts A and B of Fig. [25.](#page-28-0) The calculated versus measured average aluminum concentrations within welds with penetrations depths 240, 320, 500, and 800 μm are presented in part C of Fig. [25](#page-28-0). The correlation of the aluminum concentration in the whole weld  $(A<sub>W</sub>)$ to the average concentrations in zones A  $(Al_A)$  and B  $(Al_B)$ is provided in parts D and E of Fig. [25](#page-28-0), respectively. Using obtained empirical relations, the average aluminum concentration in zones A and B can be calculated as  $Al = 0.82 \times Al<sub>w</sub>$ and  $Al_B = (0.05 \times (A_{\rm st}/A_{\rm al}) + 1.15) \times Al_{\rm W}$ . Thus, it is concluded that there is a signifcant diference in the Al concentration in the upper and lower zones of the St-Al welds. Because the thermal expansion coefficient and elastic–plastic properties of the weld metal are functions of the *Al* concentration, both zones should be considered in the computational model.

The summary of presented studies based on weld microstructure and the types of modeling and errors are provided in Table [9](#page-27-1). It can be concluded that most of the models are based on offline monitoring processes and numerical approaches with errors of 5.5–22.22%, besides the post-process-based regression model. The materials which have been considered for weld microstructures are various types of steel and Al alloy with thickness of 1.5–10 mm. All the numerical microstructure models are based on fnite element methods and software such as SYSWELD, CFD, and ANSYS. Although the maximum



<span id="page-26-0"></span>**Fig. 23** The schematic drawing of the infuence of melt fow on microstructure formation. (**A**) General view including mushy zone, axial grains, partially melted metal, and columnar grains. (**B**) Detailed including mushy zone, columnar dendrites, and solidifed weld [\[150](#page-46-21)]



<span id="page-27-0"></span>**Fig. 24** Schematic of basic solidifcation mode across the fusion zone including planar, cellular, columnar dendritic, and equiaxed dendritic modes [\[151\]](#page-46-26)

error of presented models is less than 30%, most of the models did not present a closed-packed formula (except [[143](#page-46-13), [153](#page-46-22)]), which can be applied directly to the process to predict weld microstructure and phases. Thus, the lack of closed-pack online formula is revealed for the LBW process.

### **4.2 Morphology**

In the LBW process, the interaction between the laser beam and the molten pool directly afects the weld morphology [[150](#page-46-21)]. Different studies with different models have been considered to model and simulate the dendrite arm spacing (DAS) of the laser beam welding process, some of which are presented in Table [12](#page-36-0) with their types based monitoring and approach, error, and details of LBW process.

The solidifcation of the melt pool of the laser beam process is a nonlinear process, and it is believed that the transient condition was closer to the steady-state than the natural the solidification process  $[155]$  $[155]$ . In this study, the phase field (PF) and cellular automata (CA) methods were considered to predict the dendrite growth during solidifcation process of the LBW. Comparing the model with experimental results, the maximum error of dendrite arm spacing of Al-Cu was reported to be 25% among three diferent cases, as shown in part A of Fig. [26](#page-29-0).

The simulated microstructure of case A is shown in part B of Fig. [26.](#page-29-0) The density of initial seeds is high, and the diffusion feld interaction of neighboring dendrites is strong. Thus, the competitive growth occurs, and some of the grains will survive competition and block others. The PF model was considered in other studies [\[156–](#page-46-24)[158\]](#page-46-25).

<span id="page-27-1"></span>The microstructure images close to the top surface of the melt pool for the laser welding process with a laser power of 2500 W and welding velocity of 2.5 m/min, along with the





<span id="page-28-0"></span>**Fig. 25 A** EDS analysis of St-Al weld with a penetration depth of 0.5 mm. **B** Zones A and B for the simulations. **C** Calculated versus EDS measured Al concentration in the St-Al weld correlation of the

average aluminum concentration in the whole St-Al weld to average aluminum concentrations in **D** zone A and **E** zone B [[143\]](#page-46-13)

PF model of it, presented alongside other studies, are shown in parts a and b of Fig. [27](#page-29-1), respectively [[156](#page-46-24)]. Regarding Fig. [27,](#page-29-1) it can be concluded that the phase model can predict the evolution of dendrite growth along the fusion boundary in the laser beam welding process successfully.

Another PF model was established to simulate the columnar-to-equiaxed transition (CET) (shown in Fig. [28\)](#page-30-2) in the entire melt pool of Al-4%wt-Cu alloy 2A12 during the LBW process [[158\]](#page-46-25). The crystalline orientations and heterogeneous nucleation are considered in the proposed model and verifed with experimental results in conditions of thickness of 4 mm, laser power of 3000 W, welding speed of 3 mm/s, and defocusing of  $+10$  mm. EBSD was considered to observe the microstructure of the FZ. Simulation results revealed that crystals initialized as planar ones from the molten pool edge and were then transformed into columnar dendrites during the solidifcation process.

It was found that dendrites grew toward the center of the fusion zone irrespective of their crystalline orientations. Equiaxed grains grew ahead of columnar dendrites. They gradually formed a belt ahead of columnar dendrites and stopped them from growing. The highest number of equiaxed grains was found at the top edge of the cross-section of the molten pool due to the fastest pulling velocity. The steps of solidifcation microstructural evolution in the molten pool without CET and with CET are shown in parts a–d and e–m of Fig. [27](#page-29-1), respectively. A comparison of FZ microstructure between simulation and experimental results, along with the microstructure of the fusion zone, the microstructure of the equiaxed the grain zone, the microstructure at the center of the equiaxed grain zone, the grain size distribution of the equiaxed grain zone, and the grain size distribution at the center of the equiaxed grain zone are provided in parts a to g of Fig. [28](#page-30-2) [[158](#page-46-25)].



<span id="page-29-0"></span>**Fig. 26** Microstructures of experimental and simulation results in the laser weld. **A** Experimental and simulated dendrite arm spacing for diferent cases [[155](#page-46-23)]. **B** Simulated microstructure for laser welding

process [\[155\]](#page-46-23). **C** Scan image of primary dendrites in the experiment [[156\]](#page-46-24). **D** Dendrite morphology acquired by the phase-feld model [[156\]](#page-46-24)

As provided in Table [10](#page-31-1), it can be conducted that all the presented models are based on ofine and numerical methods, including phase feld, cellular automata, and fnite element methods. The range of predicted grain size is 6.25 to 1000 μm with an error of 1.1–97.8%. Various materials, including steel, Cu alloy, and Al alloy, with the thickness



<span id="page-29-1"></span>**Fig. 27** Solidifcation microstructural evolution in the molten pool without CET (**a**–**d**) and with CET (**e**–**m**) [[158\]](#page-46-25)

varying from 1 to 5 mm, have been considered to conduct the model. The maximum cooling rate, which is a critical parameter for modeling, ranges from 410 to 6900 K/s.

## <span id="page-30-0"></span>**5 Mechanical characteristic modeling's case studies**

Since mechanical characteristics such as strength, residual stress, and hardness directly afect the performance of weld joints, thus prediction and modeling of them during the LBW process is a crucial issue for welded structure design and life assessment. Therefore, the recent studies, which presented mechanical characteristic modeling of the LBW process, including strength, hardness, and residual stress, will be presented in the following section.

#### <span id="page-30-1"></span>**5.1 Strength**

Strength is the fundamental mechanical characteristic of laser-welded joints, which directly reflects the welding quality. Some studies have conducted in-depth research on yield strength and ultimate tensile strength of LBWPM, some of which are provided in Table [11.](#page-32-0) According to Table [11](#page-32-0), the presented strength models are post-process and offline in terms of stage and regression, machine learning, and numerical in terms of the methods. Response surface method (RSM) [[160,](#page-46-28) [161](#page-46-29)], support vector regression (SVR) [[115\]](#page-45-22), kriging [[162](#page-46-30)], and XGBoost [[33](#page-43-20)] are some of methods, which have been provided based on regression analysis to model the strength of laser-welded joints. Neural networks (NN), genetic algorithm  $(GA)$  [[163\]](#page-46-31), and artificial neural network (ANN) [[115,](#page-45-22) [164\]](#page-46-32) methods have been considered as machine learning categories to conduct models for the prediction of strength in the laser beam welding process (Fig. [29\)](#page-33-0). Different finite element numerical-based software, including ABAQUS [[159](#page-46-33)], ANSYS [\[143](#page-46-13)], and SYSWELD [[54](#page-44-2), [153](#page-46-22)] have been suggested to model yield strength and ultimate tensile strength of laser-welded joints. Although different studies have proposed numerical modeling for yield stress of the LBW process, it is reported that yield strength is related to grains size [[165\]](#page-46-34) with Hall–Petch relations according to follows:

$$
\sigma_y = \sigma_0 + k\lambda^{-0.5} \tag{9}
$$

where  $\sigma_v$  is the yield strength, and  $\sigma_0$  and *k* are LBW process coefficients. It is also investigated that yield stress can be approximated to a linear relationship with Vickers hardness (HV) as follows [\[32](#page-43-19), [166](#page-46-35)[–168\]](#page-47-0).



<span id="page-30-2"></span>**Fig. 28** CET modeling. Comparison of FZ microstructure between simulation (a) and experimental (b) results. (c) Microstructure of the fusion zone. (d) Microstructure of the equiaxed grain zone. (e) Micro-

structure at the center of the equiaxed grain zone. (f) Grain size distribution of the equiaxed grain zone. (g) Grain size distribution at the center of the equiaxed grain zone [[158\]](#page-46-25)

Row		Year Material	$CR_{\text{Max}}$ (K/s)	Thick-	Types of modeling based on			Formula	Max		Ref
				ness (mm)	Monitoring Approach Method				$GS(\mu m)$ Error		
		2011 Al-Cu	3300	<b>NR</b>	Off	Num	PF-CA	NR.	6.25	25	[155]
2		2017 Al Cu 2024	NR.	2	Off	Num	PF	NR	10.8	97.8	$[156]$
3		2017 Ti-6Al-4 V	410		Off	Num		ABAQUS $d = 54.82 + 1.86 \times E_1$ <sup>**</sup>	1000	1.1	$\lceil 159 \rceil$
$\overline{4}$		2018 Al Cu 2024	NR	2	Off	Num	РF	NR.	8.3	52.01	$[157]$
.5		2021 Al4%Cu alloy 2A12 NR		4	Off	Num	PF		10	NR.	[158]
6		2022 SS 316 NL	6900	5.5	Off	Num	<b>ANSYS</b>	$SDAS = 25 \, CR^{-0.28}$	NR.	NR	[97]

<span id="page-31-1"></span>**Table 10** Summary of presented studies based on weld morphology and types of modeling and error

\*\**El*=laser power/laser scanning speed

$$
\sigma_Y = c.HV + d \tag{10}
$$

where  $c$  and  $d$  are LBW process coefficients.

Comparing the presented strength modeling of the LBW process in Table [11](#page-32-0), it is concluded that the online-based model did not consider in previous studies, and most of the studies are ofine and post-process. This leads to the error of 0.9 to 60%, which is a high broadband range, and afects the reliability and accuracy of LBWPM. Another deduction is that the presented models are restricted to particular materials and thicknesses since diferent materials, including steel, titanium alloy, Al alloy, and polymer with thicknesses of 1–10 mm, are considered in the models. In addition, comparing post-process, regression-based approach, a diferent formula is presented for an experimental condition such as thickness and types of material (see studies [[32,](#page-43-19) [34,](#page-43-21) [127](#page-45-34), [143](#page-46-13), [159,](#page-46-33) [160\]](#page-46-28)). This indicates that the post-process, regression-based approach is restricted to processing parameters. Thus, if the process parameters change, the previous parameters are not valid for the model, and it is necessary to conduct additional novel based on the new processing parameters.

#### <span id="page-31-0"></span>**5.2 Hardness**

The hardness is defned as the material's toughness and can be determined by other mechanical characteristics such as tensile strength. Brittleness can be explained as the breaking of the material even at small forces exerted at a particular angle or plane. The experimental analysis proved that brittleness increased with the increase of hardness with a high correlation coefficient  $[169, 170]$  $[169, 170]$  $[169, 170]$  $[169, 170]$ , especially in the LBW process with the formation of intermetallic compound or IMCs [\[171\]](#page-47-3). Thus, the modeling of hardness characteristics of the LBW process is related to weld joint performance directly (brittleness, which leads to fracture and tensile strength).

Considering the critical role of hardness on the performance of weld joints, the summary of hardness models based on monitoring, approach, and methods is provided in Table [11.](#page-32-0) The presented hardness models are post-process and offline regarding stage and then regression and numerical in terms of methods. ANOVA as a regression-based model and numerical methods, including SYSWELD software (Mansur, de Figueiredo [\[54](#page-44-2)], ANSYS software [\[143](#page-46-13)], CFD-FEM [[154\]](#page-46-27), and FEM [\[32](#page-43-19)], have been studied to conduct models of the hardness of laser-welded joints.

A comparison of actual microstructure and hardness values with temperature profle and estimation of martensite fraction provided by numerical simulation is presented by Mansur et al., as shown in part A of Fig. [30](#page-35-0) (Mansur, de Figueiredo [[54](#page-44-2)]. After obtaining excellent agreement of FEM-based model with actual macrographic images and microhardness profle, the model indicates that the hardness is around 60% higher at the fusion zone than at base metal. The maximum hardness was detected at supercritical HAZ due to its highly refned microstructure in Dual Phase 600 material with a thickness of 1.6 mm.

The hardness comparison of the experimental and simulation for Vickers hardness for the laser-welded AH36 steel with thickness 6 mm with offline CFD-FEM-based model is shown in part B of Fig. [30](#page-35-0) [[154](#page-46-27)]. The fourth-order polynomial function of martensite fraction  $(F_m)$  was conducted for hardness calculation as shown in Table [12,](#page-36-0) where  $\alpha$  is a fitting coefficient, which indicates a similar tendency of model and experimental results. The reduction of the hardness model in the fusion zone region is because of the dendrite structure from the melting of the workpiece. It should be noted that the hardness at HAZ was not considered in the study because its hardening mechanism was not modeled.

The hardness of the St-Al weld metal as a nonlinear function of aluminum concentration is modeled with a numerical approach and shown in part C of Fig.  $30$  [\[143\]](#page-46-13), which exhibits the efect of Al concentration on weld hardness. Mixing of steel and aluminum within the weld pool during the keyhole LBW process results in a complex microstructure, which has been considered in the process of overlapping laser-welded austenitic stainless steel 304–6082-T6



<span id="page-32-0"></span>Table 11 Summary of presented studies based on weld strength and types of modeling and error **Table 11** Summary of presented studies based on weld strength and types of modeling and error

<sup>2</sup> Springer

‡‡

*A* is welding speed,

*B* **is** laser power,

 $s\$ c and *d* are fitting parameters and  $\sigma_{\rm v}$  is the yield stress

 $^{88}c$  and  $d$  are fitting parameters and  $\sigma_{\rm Y}$  is the yield stress

*C* is wire feed rate, *AC* is the parameter interaction, **and**

*B*2 and

*C*2 are the second order efect



<span id="page-33-0"></span>**Fig. 29** The yield strength modeling of LBW process. **A** The yield strength versus Al concentration. **B** The yield strength versus hardness of St-Al weld metals [\[143\]](#page-46-13). **C** Comparison of experimental and

predicted tensile strength [\[162](#page-46-30)]. **D** Comparison of experimental and predicted tensile strength via ANN [[164](#page-46-32)]. **E** The curves of tensile strength for samples [[142](#page-46-12)]

aluminum alloy with a thickness of 1.5 mm. This model revealed that the St-Al weld metal exhibits a gradual increase in hardness with a rise in Al concentration from 0 to 9%.

The hardness of the LBW process was analyzed for aluminum alloy 2024-T4 and Al 2024-O with a thickness of 2 mm with ofine thermal elastic–plastic fnite element model, as shown in part D of Fig. [30](#page-35-0) [\[32\]](#page-43-19). Although different studies have proposed numerical modeling for the hardness of the LBW process, some of which are provided in Fig. [30](#page-35-0) and Table [12](#page-36-0), it is reported that Vickers hardness (HV) can be approximated to a linear relationship with residual stress as follows [\[32,](#page-43-19) [172–](#page-47-4)[176](#page-47-5)]:

$$
HV = k.\tilde{\sigma} + b \tag{11}
$$

Considering Table [12](#page-36-0), except for study [[122](#page-45-29)], most of the presented hardness models for the LBW process are ofine and numerical based with the error of 5 to 38.70%. Although the numerical models are conducted for diferent materials, including types of steel, Al alloy, and Ti alloy with various thicknesses of 1.5 to 6 mm, further studies for polymer material with online and exact methods are needed. Hence, a closed-packed formula was provided in studies based on residual stress [[32](#page-43-19)], Al compositions [[143](#page-46-13)], and martensite fraction [\[154\]](#page-46-27), which indicates the efect of material composition and residual stress on weld joint hardness. Besides, the interaction of these parameters and the efect of the rest of the material composition, phase transformation, solidifcation modes, and other mechanical, metallurgical, and even geometrical characteristics on weld hardness remained and can be considered in future models.

#### <span id="page-34-0"></span>**5.3 Residual stress**

In general, residual stresses are divided into microscopic and macroscopic [[177\]](#page-47-6). The origin of these stresses can be classifed into three main sets mechanical, thermal, and metallurgical [\[178](#page-47-7)]. While mechanical and thermal residual stresses are macroscopic residual stresses, metallurgical residual stresses are often microscopic kind. The creation and distribution of microscopic residual stresses within the body are infuenced by the processing of raw materials [\[179](#page-47-8)]; on the other hand, macroscopic residual stresses are caused by the mechanical behavior of various parts [[180\]](#page-47-9). The most important factors, which bring about the weld residual stresses, are temperature deforming, degrees of temperature inhibition, mechanical constraints, and local and non-uniform heating factors [\[181](#page-47-10)].

In the early 1970s, Ueda and Hibbit et al. [\[182,](#page-47-11) [183\]](#page-47-12) proposed the thermoplastic–plastic fnite element analysis (FEA) for simulating the welding temperature feld, residual stress, and deformation. Nowadays, many studies consider

fnite element analyses to simulate the welding process to study the welding residual stress distribution and deformation of welded joints [[184\]](#page-47-13). Although diferent studies have proposed numerical modeling for residual stress of the LBW process, some of which are provided in Fig. [31](#page-37-0) and Table [13,](#page-37-1) it is reported that relations for residual stress are as follows [[74,](#page-44-21) [185\]](#page-47-14):

<span id="page-34-1"></span>
$$
\sigma = -\frac{E}{2(1+v)}\cot\theta_0 \frac{\pi}{180} \frac{\partial(2\theta)}{\partial \sin^2 \psi}
$$
(12)

where  $\sigma$  is the calculated residual stress. *E*, *v*, and  $\theta_0$  are the elastic modulus, the Poisson ratio, and the difraction angle in the unstressed state, respectively, which are determined by the physical properties of the material.  $\partial(2\theta)/\partial sin^2\psi$  reflects the variation of lattice strain in diferent *ψ* angular directions. Although for low-carbon steel, because solid-state phase transformation has an insignifcant infuence on the residual stress welding [\[186](#page-47-15)], in the study by Sun et al. [\[70](#page-44-17)], the phase changes were not taken into account in the model. It is also mentioned that the creep behavior was neglected because the period with high temperature during the entire thermal cycle was very short. Hence, the total strain increments decomposed into three components, including elastic strain ( $\varepsilon^e$ ), plastic strain ( $\varepsilon^p$ ), and thermal strain ( $\varepsilon^{th}$ ) as follows:

<span id="page-34-2"></span>
$$
\left\{ d\varepsilon^{total} \right\} = \left\{ d\varepsilon^{e} \right\} + \left\{ d\varepsilon^{p} \right\} + \left\{ d\varepsilon^{th} \right\} \tag{13}
$$

$$
\varepsilon_x = \frac{\partial u}{\partial x} + \frac{1}{2} \left\{ \left( \frac{\partial u}{\partial x} \right)^2 + \left( \frac{\partial v}{\partial x} \right)^2 + \left( \frac{\partial w}{\partial x} \right)^2 \right\} \tag{14}
$$

$$
\varepsilon_{y} = \frac{\partial v}{\partial y} + \frac{1}{2} \left\{ \left( \frac{\partial u}{\partial y} \right)^{2} \left( \frac{\partial v}{\partial y} \right)^{2} \left( \frac{\partial w}{\partial y} \right)^{2} \right\}
$$
(15)

$$
\varepsilon_{z} = \frac{\partial w}{\partial z} + \frac{1}{2} \left\{ \left( \frac{\partial u}{\partial z} \right)^{2} \left( \frac{\partial v}{\partial z} \right)^{2} \left( \frac{\partial w}{\partial z} \right)^{2} \right\}
$$
(16)

$$
\gamma_{xy} = \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} + \left\{ \left( \frac{\partial u}{\partial x} \right) \left( \frac{\partial u}{\partial y} \right) + \left( \frac{\partial v}{\partial x} \right) \left( \frac{\partial v}{\partial y} \right) + \left( \frac{\partial w}{\partial x} \right) \left( \frac{\partial w}{\partial y} \right) \right\}
$$
\n
$$
\gamma_{yz} = \frac{\partial v}{\partial z} + \frac{\partial w}{\partial y} + \left\{ \left( \frac{\partial u}{\partial y} \right) \left( \frac{\partial u}{\partial z} \right) + \left( \frac{\partial v}{\partial y} \right) \left( \frac{\partial v}{\partial z} \right) + \left( \frac{\partial w}{\partial y} \right) \left( \frac{\partial w}{\partial z} \right) \right\}
$$
\n
$$
\gamma_{yz} = \frac{\partial u}{\partial z} + \frac{\partial w}{\partial x} + \left\{ \left( \frac{\partial u}{\partial z} \right) \left( \frac{\partial u}{\partial x} \right) + \left( \frac{\partial v}{\partial z} \right) \left( \frac{\partial v}{\partial x} \right) + \left( \frac{\partial w}{\partial z} \right) \left( \frac{\partial w}{\partial x} \right) \right\}
$$
\n(18)

where  $\varepsilon_x$ ,  $\varepsilon_y$ , and  $\varepsilon_z$  are Green–Lagrange strain in the *x*, *y*, and *z* directions. The shear strains on the *x*–*y*, *y*–*z*, and *z*–*x* planes are considered as  $\gamma_{xy}$ ,  $\gamma_{yz}$ , and  $\gamma_{zx}$ , respectively. *u*, *v*, <span id="page-35-0"></span>**Fig. 30** Hardness modeling of LBW process. **A** Comparison of actual microstructure and hardness values with temperature profle and estimation of martensite fraction provided by numerical analysis (Mansur, de Figueiredo [[54](#page-44-2)]. **B** Comparison of the experimental and simulation for Vickers hardness [[154\]](#page-46-27). **C** Hardness of the St-Al weld metal as a function of the aluminum concentration [[143\]](#page-46-13). **D** Hardness map of the entire weld joint for (top) Al 2024-T4 and (bottom) Al 2024-O [[32](#page-43-19)]





and *w* express the displacement in *x*, *y*, and *z* directions, respectively. In Eqs.  $(12)$  $(12)$ – $(19)$  $(19)$ , the first-order terms indicate linear behavior, and the second-order terms provide nonlinear response such as buckling [[70](#page-44-17)]. The results revealed no diference between transverse residual distribution on the top and bottom surface, and the maximum absolute value is approximately 50 MPa.

The thermal-plastic analysis was considered to model the transverse and longitudinal residual stress of 316L stainless steel multilayer in another study [[74](#page-44-21)]. The experimental (based on X-ray difraction) measured and model results of transverse and longitudinal residual stress along the test, transverse residual stress contour extracted from the model, and residual stress along the thickness directions are illustrated in parts A, B, and C of Fig. [11,](#page-12-0) respectively. The residual stress results sharply changed at the interlayer position, which expresses that the grain size and orientation in the re-melting zone changed directly. The considered models of studies [[74](#page-44-21), [139](#page-46-9), [187](#page-47-16)] were the same and based on the transient thermal–elastic–plastic fnite element theory and inherent strain analysis (ISA) with ANSYS software with diferent heat sources.

Thermo-elastoplastic models with isotropic and kinematic hardening were applied to model the residual stress of the LBW process [[126\]](#page-45-33). Although these models perform in the same way for the frst traction, once the material is plastically deformed, the isotropic hardening model will expand the elasticity domain, which leads to an increase the yield strength. In contrast, the kinematic hardening model will displace the center of the elastic domain, which is always performed to represent the Bauschinger efect and is consequently recommended for cyclic loading. The comparison between the experimental and numerical models of transversal and longitudinal with both hardening modes for laser scanning speeds of 600, 1600, and 2600 mm/min is shown in Fig. [31](#page-37-0). Comparing parts A to F of Fig. [31,](#page-37-0) a signifcant efect of hardening patterns is noted in the HAZ, where the material has been plasticized and experienced cyclic loading. Hence, by increasing laser scanning speed, the maximum residual stresses increase, and a rise of 100 MPa is provided between the longitudinal residual stresses for speeds of 600 mm/min and 2600 mm/min (Fig. [32\)](#page-38-0).

<span id="page-36-0"></span>Comparing the presented residual weld stress, provided in Table [13,](#page-37-1) it can be deduced that except for study [[135\]](#page-46-7) (which is post-process regression based), most of the modeling is based on offline numerical based on the FEM approach with an error range of 2.6 to 23.4%. Although the presented models are not restricted to the materials, diferent types of steel and Al alloy with thicknesses of 1.33 to 20 mm have been considered. It should be noted that frstly, a closed-packed formula was not presented in the literature; instead, a secondary gap of the offline dynamic model, which could predict the residual stress, is detected.



<span id="page-37-0"></span>**Fig. 31** Residual stress modeling of LBW process. **A** Comparison analysis between simulation and experimental. **B** Transverse residual stress contour. **C** Residual stress along the thickness direction [\[74\]](#page-44-21)

<span id="page-37-1"></span>**Table 13** Summary of presented studies based on weld residual stress and types of modeling and error

Row	Year	Material	Thickness	Types of modeling based on		Formula	Max		Ref	
				Monitoring Approach		Method		Stress (MPa)	Error $(\%)$	
1	2014	Q235	2.3	Off	Num	<b>FEM</b>	<b>NA</b>	300	NR	[70]
2	2016	O235 Steel	6	Off	Num	<b>FEM</b>	<b>NA</b>	200	2.6	$[72]$
3	2016	SS 316L	5	Off	Num	<b>FEM</b>	NA	452.3	5.95	$[139]$
4	2017	SS 316L	5	Off	Num	ANSYS	NA	396	11.0	$[187]$
5	2017	316L	3.8	Off	Num	<b>ANSYS</b>	NA	720	23.4	[140]
6	2017	<b>SS301L</b>	1.33	Off	Num	<b>ABAQUS</b>	NA	550	NR	$[141]$
7	2018	Steel EH36	4	Off	Num	<b>ANSYS</b>	NA	650	15.6	$[117]$
8	2019	SS316L	5	Off	Num	<b>ANSYS</b>	NA	400	5.84	$[142]$
9	2019	SS316L	10	Off	Num	<b>ANSYS</b>	NA	190	NR.	[74]
10	2021	HT780 steel	20	Off	Num	<b>FEM</b>	<b>NA</b>	500	NR	[77]
11	2021	<b>SS 316LN</b>	5.6	Off	Num	<b>SYSWELD</b>	<b>NA</b>	400	NR	[144]
12	2021	<b>DP 600</b>	1.6	Off	Num	<b>SYSWELD</b>	<b>NA</b>	600	NR	$[54]$
13	2021	AH36 steel	6	Off	Num	CFD-FEM	NA	600	75	$\lceil 154 \rceil$
14	2022	<b>SS301L</b>	0.6	Off	Num	FE		690.08	9.4	$[124]$
15	2022	Al 2024T4-Al2024O	2	Off	Num	<b>FEM</b>	Von Mises	300	5	$[32]$
16	2022	SS316L	10	Off	Num	<b>SYSWELD</b>	NA	452	5	$\lceil 126 \rceil$
17	2023	SS316L	5	Off	Num	<b>ANSYS</b>	NA	214	10	$[188]$
18	2023	Steel 710	6	Off/Post	Num/Reg	<b>FEM/RFA</b>	RS	936.47	3.1	$[135]$

 $^{111}$ 635.74 + 121.00A + 62.96B - 27.73C - 24.69D + 9.11AB - 340.31AC - 12.36AD + 115.19BC - 68.54BD + 83.16CD + 4.97A<sup>2</sup> + 28.11B<sup>2</sup> + 33. 13*C*<sup>2</sup>**−**37.42*D*<sup>2</sup>

# **6 Gap of studies and future trends**

To access new development, such as Industry 4.0. revolution, the laser beam welding (LBW) process is a perfect candidate [[189\]](#page-47-17). Since many progresses are being made in the technology coming from LBW manufacturers, most sectors have been focused on it. One of the most appealing applications is automotive, particularly e-mobility, which is expected to expand at a compound annual growth factor (CAGR) of 49.38% from 2020 to 2025 [\[190\]](#page-47-18). Electric vehicle (EV) welding applications are the main drivers in copper and aluminum laser welding growth in diferent parts, as shown in Fig. [33.](#page-39-0)

In the previous sections, the modeling of geometrical, metallurgical, and mechanical characteristics of the LBW process, which is one of the main challenges of the LBW process, was presented, and some of the case studies were discussed. Studies tend to agree broadly on impacts for LBWCM to predict the weld characteristics accurately. The graphical presentation of LBWCM based on a number of publications and the year of them, divided into (1) 1990–2000, (2) 2001–2010, and (3) 2011–2023, is illustrated in Fig. [34](#page-39-1).

As can be seen in Fig. [34](#page-39-1), most LBW models were presented in 2011–2023 with 86.11% of total publications. This indicates that the most growth of LBWCM is for the last decades; thus, it is nowadays trend. Considering the CAGR of 49.38% of EVs from 2020 to 2025, the rise of 86.11% of LBWCM from 2011 to 2023 is perceptible by comparing Fig. [33](#page-39-0) with Fig. [34](#page-39-1). Although metallurgical and mechanical (except strength) were not considered in 1990–2010, a noticeable number of studies have been conducted on this area in 2011–2023, which also expresses the need of it. Further details of the share of metallurgical, mechanical, and



<span id="page-38-0"></span>**Fig. 32** The comparisons of transversal and longitudinal residual stress. **A** Transversal with a laser scanning speed of 600 mm/min. **B** Longitudinal with a laser scanning speed of 600 mm/min. **C** Transversal with a laser scanning speed of 1600 mm/min. **D** Longitudinal with a laser scanning speed of 1600. **E** Transversal with a laser scan-

ning speed of 1600 mm/min. **F** Longitudinal with a laser scanning speed of 2600 mm/min with experimental measurement (red square), isotropic hardening model (green line), and kinematic hardening (orange line) [[126](#page-45-33)]



<span id="page-39-0"></span>**Fig. 33** Presence of the LBW process in various parts of manufacturing of EV [[189\]](#page-47-17)



<span id="page-39-1"></span>**Fig. 34** Graphical presentation of published LBW process modeling versus years, including geometrical characteristics (weld width-penetration and deformation), metallurgical characteristics (solidifcation

mode and morphology), and mechanical characteristics (strength, hardness, and residual stress)



<span id="page-40-0"></span>**Fig. 35** Graphical presentation of share of metallurgical, mechanical, and geometrical characteristic modeling in total publications for LBW process

geometrical characteristics of LBW modeling in total publications are provided in Fig. [35](#page-40-0).

Figure [35](#page-40-0) reveals diferent deductions about LBWCM. Firstly, the geometrical characteristics have the most shares with the share of 55.55%, while metallurgical models are the least (10.18%), and mechanical model contributes 34.25% share of models. Since the geometry, which is the appearance of the weld joint, can be measured with non-destructive methods with no high technology tools, most of the studies have focused on modeling this. Hence, there is no complex process to model weld width and penetration, since they are the depth and width of the melt pool, which solidifes after the cooling process. On the other hand, to model and predict metallurgical and mechanical weld characteristics, besides requirements of destructive high-tech tools, it is essential to have a deep perception of the physical phenomena of melt pool, such as fve mechanisms absorption, heat conduction, vapor dynamic, melt dynamics, and phase transitions and complicated coupled nonlinear equations such as continuity, momentum, energy, and mass fraction, which have been discussed in Sects. 2.3 and 2.4.

Secondly, although weld width and penetration have 76.67% of the share of geometrical characteristics models, as can be seen in Fig. [35](#page-40-0), the deformation models has been increased noticeably in the last decades (orange bar of Fig. [34](#page-39-1)). Thirdly, in mechanical characteristic modeling, there is a considerable gap of study in hardness models



<span id="page-41-0"></span>**Fig. 36** Graphical presentation of geometrical, metallurgical, and mechanical characteristic modeling of LBW process based on **A** monitoring the process and **B** applying the approaches

since this characteristic has the most negligible share of mechanical models (13.51%). Metallurgical modeling, with the enormous concept of gap study, is divided into solidifcation modes and morphology models nearly equal. This indicates that there is still a long way to provide indepth solidifcation and morphology models, which predict the metallurgical characteristics with high accuracy.

Besides characteristics, the LBWCM can be divided into monitoring and methods as discussed with details in Sects. 2.2 and 2.4, respectively. The LBW process presented models based on types of monitoring, including pre-process, in-process (offline and online), and postprocess, which are illustrated in part A of Fig. [36](#page-41-0). While there is no pre-process characteristics model, online inprocess models have the most minor share of publications, 2.67%. Due to the high sensitivity of the LBW process, the repeatability of the process is questioned with the smallest change of the process parameters and the creation of instability. The instabilities during the LBW process caused by changes in environmental conditions can lead to changes in the melt pool conditions and weld characteristics. Although there are various reasons for the instability of the process, the most essential factor is applying the high energy density laser energy in a small area of the melt pool. Combining this with the presence of all four phases of material, including solid, liquid, gas, and plasma, in a small melt pool area, leads to extensive and tremendous requirements for online models for the LBW process. This makes the gap of studies for a model, which could predict the metallurgical characteristics of the laser beam welding process, crucial and inevitable.

The presented published geometrical, metallurgical, and mechanical characteristics models based on types of approach, including empirical-based (such as machine learning (ML), regression (Reg)) and theoretical-based (exact, and numerical (Num)), are shown in part B of Fig. [36.](#page-41-0) Except for strength models, the rest of the characteristic models are mainly conducted based on numerical methods, with a  $71.30\%$  share of models. The exact approach, which can provide a deep perception of the process, is considered just for modeling weld width and penetration. This express is a noticeable gap of study in the applied exact theoreticalbased approach to conducting the metallurgical and mechanical characteristics and deformation of the weld joints.

In conclusion, it can be deduced that although various models have been presented for diferent weld joint characteristics, including geometrical, mechanical, and metallurgical, there remains a gap in the study. Firstly, a comprehensive model, which could predict all the characteristics at the same time, is lacking; secondly, an online model (that considers the variable process instabilities) is required; and lastly, an exact-based model which provides the vision of physics of the process is needed.

### **7 Conclusions**

Owing to numerous industrial applications, unique advantages, and complexity of its process, the laser beam welding process requires to be studied continuously and precisely to provide a model, which can be predicted. Perception of laser beam welding process modeling (LBWPM) leads to an increase in the performance of weld joints. In the current study, a comprehensive review of types of LBWPM, including characteristics (geometrical (weld width, penetration, and deformation), metallurgical (solidifcation mode, phase transformation, and morphology), and mechanical (strength, hardness, and residual stress)), length scale, approach, and monitoring, was presented, and some of the case studies were analyzed. The gap of studies and future trends of LBWPM based on case studies revealed some noticeable deductions as follows:

- Considering studies from 1990, most of LBWPM are presented in laser decades (2011–2023), with 86.11% of entire publications. Although metallurgical and mechanical (except strength) were not considered in 1990–2010, a noticeable number of studies have been conducted on this area in 2011–2023, which also expresses the needs of it.
- It is revealed that the geometrical characteristics have the most shares (55.55%), while metallurgical models are the least (10.18%), and mechanical model contributes 34.25% share of presented models. Although weld width and penetration have 76.67% of the share of geometrical characteristics models, the deformation models have increased noticeably in the last decades. In mechanical characteristic modeling, there is a considerable gap of study in hardness models since this characteristic has the most minor share of mechanical models (13.51%). Metallurgical models, with an enormous concept of gap study, are divided into solidifcation modes and morphology models nearly equal. This indicates that there is still a long way to provide in depth in this area.
- While there is no pre-process characteristics model for the LBW process, online in-process models have the most negligible share of publications, 2.67%. Due to the high sensitivity and instability of the LBW process, the gap of studies for a model, which could predict the metallurgical characteristics of the laser beam welding process, is crucial and inevitable.
- Except for strength models, the rest of the characteristic's models are mainly conducted based on numerical methods, with a 71.30% share of models. The exact approach, which can provide an in-depth perception of the process, is considered just for modeling weld width and penetration. This expresses a noticeable gap of study in the applied exact theoretical-based approach to conducting the metallurgical and mechanical characteristics and deformation of the weld joints.
- In conclusion, it can be deduced that although various models have been presented for diferent weld joint characteristics, including geometrical, mechanical, and metallurgical, the following are needed: frstly, a comprehensive model, which could predict all the characteristics at the same time; secondly, an online model (that considers the variable process instabilities); and lastly, an exactbased model which provides the vision of physics of the process.

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#### **Declarations**

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