**ORIGINAL ARTICLE**



# **Ductile regime grinding of silicon nitride ceramics based on dynamic critical grinding depth**

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#### **Abstract**

Considering both the grinding parameters and dynamic mechanical properties of the silicon nitride ceramics, the dynamic critical grinding depth model of the ductile regime grinding was established. The grinding experiment of the silicon nitride ceramics was carried out on a surface grinder MGK7120 $\times$ 6/F. The grinding force was compared with the critical load for the cracking, then the material removal mode was analyzed. The pixel mesh method was used to calculate the proportion of the brittle regime, and the material removal mode was further validated. The material removal mode of the silicon nitride ceramic grinding was predicted using the maximum undeformed grinding thickness model and dynamic critical grinding depth model. The predicted results were consistent with the results of the experimental analysis, and the correctness of the dynamic critical grinding depth model of the ductile regime grinding was verifed. The mechanism of the grinding strain rate on the material removal mode was analyzed, and it was found that increasing the strain rate was conducive to achieving the ductile material removal, then improving the grinding quality. The research is useful to optimize the grinding parameters to reduce the damage in the ceramic grinding.

**Keywords** Silicon nitride ceramic · Strain rate · Ductile regime · Dynamic critical grinding depth

# **1 Introduction**

Silicon nitride  $(Si_3N_4)$  ceramics have been widely used in machinery, chemicals, electronics, energy, metallurgy, national defense, and aerospace due to their excellent thermal and mechanical properties. At present, grinding is the main processing method for the engineering ceramics. The ceramic grinding can easily cause the surface and subsurface damage because of its inherent hardness and brittleness. Besides, the quality of the machined surface is difficult to guarantee [\[1](#page-7-0)]. To achieve the high accuracy and reduce the grinding damage, the ductile regime grinding is the preferred method [[2\]](#page-7-1).

Many scholars have studied the mechanism of brittleto-ductile transition of the brittle materials' grinding [[3,](#page-7-2) [4](#page-7-3)]. With the single-point diamond cutting of the silicon

 $\boxtimes$  Wei Liu lw1986tiger@163.com and germanium, Blake and Scattergood [[5](#page-7-4)] found that the critical chip depth was the best parameter to evaluate the infuence of the grinding parameters on the ductile regime machining. According to the Grifth fracture criterion and indentation test, a classical critical depth model of the brittle-ductile transition for brittle materials was proposed [\[3\]](#page-7-2). The brittle materials can be removed in the ductile regime only when the grinding depth is less than the critical depth. Venkatachalam et al. [[6\]](#page-7-5) established the critical undeformed chip thickness model and realized the ductile regime grinding of single-crystal silicon. Chen et al. [\[7\]](#page-7-6) studied the critical conditions for the brittle-toductile transition of brittle materials in dynamic grinding. Considering the size efect factor and micro-grinding tool topography, Cheng et al. [[8\]](#page-7-7) presented a mathematical model of the undeformed chip thickness to describe the ductile regime in the micro-grinding. Ma et al. [\[9\]](#page-7-8) developed the critical grinding depth models of the ductileductile brittle and ductile brittle-brittle for the machinable glass ceramics. Pratap et al. [[10\]](#page-7-9) investigated the material removal mechanism of the BK7 glass and determined the critical chip thickness to fabricate the parallel and intersecting micro-slots. All the above researches indicate that

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the ductile regime removal can be achieved in the brittle materials' grinding as in the machining of the metallic materials.

For the grinding of  $Si_3N_4$ , the material removal in the machining zone is mostly a mixed-mode of ductile and brittle  $[11, 12]$  $[11, 12]$  $[11, 12]$  $[11, 12]$ . The quality of the machined surface can be improved by increasing the proportion of the ductile regime removal [\[13\]](#page-7-12). Therefore, it is essential to study the critical grinding depth of the brittle-ductile transition of  $Si_3N_4$ . Based on the classical critical grinding depth model, a dynamic critical grinding depth model was established considering both the grinding parameters and dynamic material mechanical properties, while the grinding parameters include the grinding wheel speed, grinding depth, and workpiece speed. Furthermore, the correctness of the model was verified by the analysis of the  $Si<sub>3</sub>N<sub>4</sub>$  grinding experiments with diferent grinding parameters.

# **2 The establishment of a dynamic critical grinding depth model**

# **2.1 Maximum undeformed grinding thickness model**

The maximum undeformed grinding thickness  $a_{\text{max}}$  of a single abrasive grain in the surface grinding is shown in Fig. [1](#page-1-0) [[14](#page-7-13)]. The diameter of the grinding wheel is  $d_s$ , the grinding wheel speed is  $v_s$ , the grinding depth is  $a_g$ , and the workpiece speed is  $v_w$ . It can be seen from Fig. [1](#page-1-0) that the undeformed grinding thickness is constantly changed from small to large and then to small. A mathematical model of  $a_{\text{gmax}}$  is developed, as shown in Eq. ([1\)](#page-1-1) [[15](#page-7-14)].



<span id="page-1-0"></span>**Fig. 1** Maximum undeformed grinding thickness for single grain grinding

<span id="page-1-1"></span>
$$
a_{\rm gmax} = 2\lambda \left(\frac{v_w}{v_s}\right) \left(\frac{a_g}{d_s}\right)^{\frac{1}{2}}
$$
 (1)

where  $\lambda$  is the inter-grain spacing, which is related to the grinding depth and grinding wheel parameters.

#### **2.2 Dynamic critical grinding depth model**

Based on the Grifth fracture propagation criterion and the efective measure of brittleness in indentation, the classical critical grinding depth  $a_c$  is [\[3](#page-7-2)]:

$$
a_c = \Psi(\frac{K_{IC}}{H})^2(\frac{E}{H})
$$
\n(2)

where *E* is the Young's modulus, *H* is the hardness,  $K_{\text{IC}}$ is the static fracture toughness, and *Ψ* is a dimensionless constant which is equal to 0.15 for ceramic materials [[16\]](#page-7-15).

The classical critical grinding depth model only considers the static mechanical properties of materials. But the grinding process is a high-speed dynamic machining process, so the mechanical properties of materials will be signifcantly changed for the strain rate efect [\[17,](#page-7-16) [18\]](#page-7-17). Therefore, the actual critical grinding depth in the ductile regime is signifcantly diferent from the traditional theory [[5\]](#page-7-4). The mathematical relationship between the critical grinding depth  $a_{\text{od}}$ and the dynamic fracture toughness  $K_{\text{ID}}$  and hardness *H* is as shown in Eq.  $(3)$  $(3)$  [[15\]](#page-7-14).

<span id="page-1-2"></span>
$$
a_{gd} = \frac{2 \sin^4 \theta}{\pi} \cot \theta \left(\frac{K_{ID}}{H}\right)^2 \left(\frac{E}{H}\right)^{\frac{1}{2}}
$$
(3)

where  $\theta$  is the half-angle of the diamond indenter cone apex, and the value is mostly  $60^{\circ}$  [[15](#page-7-14)].

In the grinding process, the change of the grinding parameters will afect the strain rate of the grinding zone and ultimately afect the fracture toughness of the material. The relationship between  $K_{\text{ID}}$  and  $K_{\text{IC}}$  is as follows [[2\]](#page-7-1):

$$
K_{ID} = (a + b \ln \varepsilon) K_{IC}
$$
 (4)

where *a* and *b* are the material constants and  $\epsilon$  is the strain rate, which can be obtained by the Hopkinson experiment [[19\]](#page-7-18). The values of *a* and *b* are −14.95 and 0.86 for Si<sub>3</sub>N<sub>4</sub> ceramic material, respectively. The relationship between *a*gd and  $\epsilon$  can be expressed as Eq. ([5\)](#page-1-3).

<span id="page-1-3"></span>
$$
a_{gd} = \frac{2 \sin^4 \theta}{\pi} \cot \theta \left[ \frac{\left( a + b \ln \epsilon \right) K_{IC}}{H} \right]^2 \left( \frac{E}{H} \right)^{\frac{1}{2}} \tag{5}
$$

From Eq. ([5\)](#page-1-3), it can be seen that  $a_{gd}$  is not a fixed value,  $\frac{1}{\pi}$  from Eq. (*J*), it can be seen that  $u_{gd}$  is not a fixed value, but increases with the increasing of *ε*. *ε* can be expressed as [\[20](#page-7-19)]

<span id="page-2-3"></span>**Table 1** Mechanical properties of silicon nitride ceramics

Density $(g/cm^3)$	Elastic modulus (GPa)	Microhardness (GPa)	Fracture toughness $(MPa·m^{1/2})$	Yield strength (MPa)
3.2	320	17.4	6.8	700

$$
\dot{\varepsilon} = \frac{v_s}{l_s} \tag{6}
$$

where  $l_s$  is the contact length between the abrasive grain and workpiece. It can be expressed as  $l_s = Ka_{\text{gmax}}$ . *K* is a constant related to the shape of the grinding wheel and workpiece material. The value of *K* is 0.6–0.9 [[21](#page-7-20)].

Therefore, by substituting Eq.  $(6)$  $(6)$  into Eq.  $(5)$  $(5)$ , a dynamic critical grinding depth model considering the grinding parameters and material mechanical properties can be obtained. Where  $c = a - b \ln(K)$ .

$$
a_{gd} = \frac{2 \sin^4 \theta}{\pi} \cot \theta
$$
  
 
$$
\cdot [c + b \ln \left(\frac{v_s^2}{2\lambda v_w} \sqrt{\frac{d_s}{a_g}}\right)]^2 \left(\frac{K_{IC}}{H}\right)^2 \left(\frac{E}{H}\right)^{\frac{1}{2}}
$$
 (7)

It can be seen from Eq.  $(7)$  $(7)$  that  $v<sub>s</sub>$  has the most obvious influence on  $a_{\text{gd}}$ , followed by  $v_w$  and  $a_g$ .

#### **3 Grinding experiment**

#### **3.1 Experimental material**

<span id="page-2-0"></span>The workpiece material used in the grinding experiment is the  $Si<sub>3</sub>N<sub>4</sub>$  ceramic material. The workpiece is a square block with the size of 20 mm  $\times$  20 mm  $\times$  10 mm, which is made by the air pressure sintering. Figure [2](#page-2-2) shows the  $Si<sub>3</sub>N<sub>4</sub>$  workpiece and its microstructure. Figure [2](#page-2-2)a shows the workpiece, while (b) and (c) show the microstructure observed by a digital microscope and SEM, respectively. The crystal form of the material is mostly granular, with uniform and dense microstructure and small grain size. The main mechanical properties of  $Si_3N_4$  are shown in Table [1](#page-2-3).

#### **3.2 Experimental equipment**

<span id="page-2-1"></span>The  $Si<sub>3</sub>N<sub>4</sub>$  grinding experiment was performed on a CNC high precision surface grinding machine MGK7120 $\times$ 6/F, using a resin-bonded diamond grinding wheel with a size of 200 mm  $\times$  32 mm  $\times$  15 mm. Figure [3](#page-3-0) shows the grinding experimental system. The grinding force was measured using a piezoelectric dynamometer Kistler 9257B. The surface morphology of the workpiece was observed by a digital microscope VHX-500FE and a scanning electron microscope FEI Quanta 200. The surface roughness of the



<span id="page-2-2"></span>**Fig. 2 a** Silicon nitride workpieces and **b**, **c** microstructure

<span id="page-3-0"></span>

workpiece was measured using the MarSurf M300, a portable surface structure measuring instrument.

Due to the continuous impact of abrasive grains, the grinding force signal fuctuated greatly, and there were various interference signals. Therefore, the original signal collected by the dynamometer required high-frequency fltering, and the average value of the signal during the smoothing stage was calculated as the value of grinding force.

# **3.3 Experiment scheme**

The  $Si<sub>3</sub>N<sub>4</sub>$  grinding experiment adopts the single-stroke plunge-in surface grinding without transverse feed and no spark-out stage. The main grinding parameters that affect the grinding results are selected as follows: the grinding wheel speed  $v_s$ , workpiece speed  $v_w$ , and grinding depth  $a_g$ . As shown in Table [2,](#page-3-1) the RSM Box-Behnken was used to design the experiment with 3 factors and 3 levels. The experiment scheme is shown in Table [3.](#page-4-0) For each group of parameters, three repetitive experiments were conducted to minimize the impact of random errors on the experimental results, and the average value of the valid experimental results is eventually taken as the fnal experiment result used for analysis.

### **3.4 Result**

The surface morphology of the ground  $Si_3N_4$  is as shown in Fig. [4.](#page-4-1) It can be seen that there are numerous visible grinding grooves on the surface ground by the diamond grinding wheel. For the 1th, 5th, and 7th experiments, there are a large number of brittle removal pits on the surface, which have poor surface quality. For the 4th, 6th, and 8th experiments, the surface is smooth, the abrasive scratch is obvious,

<span id="page-3-1"></span>**Table 2** Grinding parameters

Level	$v_s/(m\!\cdot\! s^{-1})$	$v_w/(mm\cdot s^{-1})$	$a_{\rm g}/\mu$ m
	10	20	
$\boldsymbol{0}$	20	30	10
$+1$	30	40	15

and there is no obvious brittle fracture; besides, the plastic upheaval exists.

As shown in Fig. [5](#page-5-0)a, the ductile regime appears yellow, and the brittle regime appears dark. Since the grinding surface morphologies of the ductile and brittle regimes were completely diferent in color, the pixel mesh method was used to calculate the proportion of the brittle regime in the observed surface. First, a larger range of  $500 \mu m \times 500 \mu m$  of the surface morphology image was captured by the digital microscope; then the image was pixel-meshed to automatically calculate the dark color meshes, used as the pixel mesh number of the brittle regimes  $N<sub>b</sub>$ , as shown in Fig. [5b](#page-5-0). The proportion of the brittle regime *η* can be expressed as

$$
\eta = \frac{N_b}{W_c W_p} \times 100\%
$$
\n(8)

where  $W_c$  and  $W_p$  are the numbers of the transverse and longitudinal pixel meshes.

The grinding force  $F_{\varrho}$  is expressed as the combination of the normal force  $F_n$  and tangential force  $F_i$ . The experiment results of the grinding force  $F_g$ , surface roughness  $Ra$ , and proportion of the brittle regime *η*, as well as the material removal mode, are shown in Table [3](#page-4-0).

# **4 Results analysis**

#### **4.1 Grinding force and proportion of brittle regime**

According to the principle of indentation fracture mechanics, when the abrasive grain is pressed into the ceramic surface at a low speed under the load *P*, the workpiece undergoes inelastic fow under compressive stress. With the increase of load *P*, the median crack is initiated just beneath the plastic zone. When unloading, the lateral cracks are caused by the local deformation of the material indentation and pressure feld of the median crack. When the lateral crack propagation condition is satisfed, the lateral cracks extend to form the local peeling blocks [[7\]](#page-7-6). The critical load  $P_c$ , which leads to the crack propagation, is as shown in Eq.  $(9)$  $(9)$  [[7\]](#page-7-6).

<span id="page-4-0"></span>**Table 3** Grinding experiment

scheme and results



$$
P_c = \lambda_0 \cdot K_{IC} \cdot (K_{IC}/H)^3 \tag{9}
$$

where  $\lambda_0$  is the coefficient, and the value is 20,000 for Si<sub>3</sub>N<sub>4</sub> [\[7](#page-7-6)].

The value of  $P_c$  for  $Si_3N_4$  is 8.12 N. The grinding force  $F_g$  is compared with  $P_c$  to determine the material removal mode. When  $F_g$  is lower than  $P_g$ , the lateral crack will not be initiated, and the material removal mode is the ductile regime; otherwise, it is the brittle regime. As shown in Table [3,](#page-4-0) the values of  $F<sub>g</sub>$  in the 2nd, 4th, 6th, 8th, 9th, and

<span id="page-4-2"></span>10th experiments were smaller than  $P_c$ . Therefore, the material removal mode in the above experiments can be considered the ductile removal mode. In other experiments, it is considered the brittle removal mode.

According to the proportion of the brittle regime  $\eta$ , it is called the ductile regime grinding when  $\eta$  is less than 10%; otherwise, it is referred to as the brittle regime grinding [\[5](#page-7-4)]. As shown in Table [3,](#page-4-0) the result is consistent with the results of the grinding force judgment, indicating the correctness of the material removal mode judgment.



<span id="page-4-1"></span>**Fig. 4** Surface morphology

<span id="page-5-0"></span>**Fig. 5** Pixel mesh of surface morphology: **a** surface morphology image and **b** pixelmeshed image



**(a)** Surface morphology image **(b)** Pixel-meshed image



### **4.2 Surface roughness**

The relationship between  $F_{\varrho}$  and  $Ra$  was explored, as shown in Fig. [6.](#page-5-1) It can be seen that the grinding force has great infuence on the surface roughness. *Ra* mainly increases with  $F_g$ . As  $F_g$  increases, the proportion of the brittle regime on the workpiece surface increases, producing a rough surface.

The relationship between *Ra* and *η* was explored, as shown in Fig. [7.](#page-5-2) *Ra* increases with *η*. When *η* is greater than 40%, *R*a is between 1.0 and 1.63; when  $\eta$  is less than 15%, *R*a decreases. Therefore, reducing *η* can efectively reduce *R*a and improve the grinding surface quality of the workpiece. In other words, to obtain lower surface roughness, the grinding parameters need to be properly adjusted to achieve the ductile regime grinding, which is consistent with the results of Li et al. [\[22\]](#page-7-21) and Xiao et al. [\[23\]](#page-7-22).

1.6 Surface roughness Ra/um  $\cdot$  2  $\overline{c}$  $0.8$  $0.6$  $6\phantom{1}6$  $\,$  8  $\,$  $10$ 12 14 2 Grinding force  $F_{\alpha}/N$ 

<span id="page-5-1"></span>**Fig. 6** Relationship between *Fg* and *Ra* **Fig. 7** Relationship between *Ra* and *η*

As shown in Fig. [4,](#page-4-1) the brittle removal generates a large number of fracture pits and cracks on the workpiece surface, which makes the surface roughness larger. However, the fracture pits are signifcantly reduced in the ductile removal surface, so the surface roughness is lower.

## **4.3 Effect of grinding strain rate**

In the experiment, the grain size of the grinding wheel was 150 µm, and the average distance among grains was 175 µm. Then the inter-grain spacing *λ* was chosen to be twice the average distance, which was  $350 \text{ µm}$ . The coefficient *K* was 0.72. The maximum undeformed abrasive thickness, grinding strain rate and critical grinding depth prediction were calculated according to Eqs.  $(1)$  $(1)$  $(1)$ ,  $(6)$  $(6)$ , and  $(7)$  $(7)$ , as shown in Table [4](#page-6-0).



<span id="page-5-2"></span>

<span id="page-6-0"></span>**Table 4** Prediction results

No.	Strain rate $(\times 10^5 \text{ s}^{-1})$	Maximum undeformed abrasive thickness $a_{\text{gmax}}$ (µm)	Critical grinding depth prediction $a_{\text{gd}}$ (µm)	Material removal mode
1	0.79	0.1414	0.0047	Brittle
$\overline{c}$	7.08	0.0471	0.2349	Ductile
3	0.39	0.2828	0.0038	Brittle
4	3.54	0.0942	0.1251	Ductile
5	0.74	0.1500	0.0033	Brittle
6	6.7	0.0500	0.2242	Ductile
7	0.43	0.2598	0.0021	<b>Brittle</b>
8	3.83	0.0870	0.1366	Ductile
9	4.44	0.0500	0.1575	Ductile
10	2.22	0.1000	0.7062	Ductile
11	2.57	0.0866	0.0858	Brittle
12	1.28	0.1732	0.0261	Brittle
13	2.09	0.1061	0.0648	<b>Brittle</b>

The relationship between the grinding strain rate and *η* is shown in Fig. [8.](#page-6-1)  $\eta$  decreases rapidly with the increase of  $\varepsilon$ . But when  $\epsilon$  increases consistently,  $\eta$  reaches a stable value, and the material removal mode is mainly ductile removal. At the same time,  $a_{gd}$  increases with  $\epsilon$ , as shown in Fig. [9.](#page-6-2) This phenometric order to  $a_{gd}$ non is due to the increase of the strain rate in the grinding zone, which increases the deformation speed of the workpiece material, reduces the interaction time between the abrasive grain and workpiece, and weakens the mutual compression. Finally, the toughening mechanism of the material is generated, and the resistance of the crack formation is enhanced.

#### **4.4 Prediction and experimental verification**

According to the relationship between  $a_{\text{gmax}}$  and  $a_{\text{gd}}$ , the material removal mode can be predicted. When  $a_{\text{max}} \le a_{\text{ed}}$ , the grinding



<span id="page-6-1"></span>**Fig. 8** Trend of brittle regime removal ratio with strain rate



<span id="page-6-2"></span>**Fig. 9** Variation of critical grinding depth with strain rate

process is considered the ductile regime grinding; otherwise, it is the brittle regime grinding. The result is shown in Table [4](#page-6-0).

Comparing the removal modes of  $Si<sub>3</sub>N<sub>4</sub>$  in Tables [3](#page-4-0) and [4](#page-6-0), they are consistent. In the 2nd, 4th, 6th, 8th, 9th, and 10th experiments, the material removal mode is the ductile removal, and in the remaining experiments, it is brittle removal. Thus, the correctness of the dynamic critical grinding depth model is verified, and the material removal mode of  $Si<sub>3</sub>N<sub>4</sub>$  under certain grinding parameters can be accurately predicted.

## **5 Conclusions**

This paper has established the dynamic critical grinding depth model of the ductile regime grinding, which is related to the grinding parameters and workpiece material properties. The major conclusions are as follows:

- 1. based on the classical critical grinding depth model, a dynamic critical grinding depth model is established to guide the actual grinding process;
- 2. the material removal mode of  $Si<sub>3</sub>N<sub>4</sub>$  was analyzed by comparing the grinding force  $F_g$  with  $P_g$ . Furthermore, the material removal mode was determined by the proportion of the brittle regime *η*;
- 3. for the  $Si_3N_4$  grinding, the increase of the grinding strain rate can improve the fracture toughness of  $Si<sub>3</sub>N<sub>4</sub>$  and increase  $a_{gd}$ , then the ductile removal is conducive to achieve, and thus the grinding quality of the workpiece can be improved;
- 4. the maximum undeformed grinding thickness and dynamic critical grinding depth model were used to predict the material removal mode, and the results were consistent with the experiment results.

**Author contribution** Wei Liu and Zhaohui Deng developed the idea for the study, Rentong Liu, Dubo Tang, Hao Gu, and Shun Liu did the analyses, and Dubo Tang and Wei Liu wrote the paper.

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**Availability of data and materials** The authors confrm that the data and materials supporting the fndings of this study are available within the article.

## **Declarations**

**Ethics approval** This article has not been published or submitted elsewhere.

**Competing interests** The authors declare no competing interests.

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