



Optimization and prediction of surface quality and cutting forces in the milling of aluminum alloys using ANFIS and interval type 2 neuro fuzzy network coupled with population-based meta-heuristic learning methods

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Abstract

Milling is among the most commonly used, flexible, and complex machining methods; and adequate selection of process parameters, modeling, and optimization of milling is challenging. Due to complex morphology of cutting process, edge and surface defects and elevated cutting forces and temperature are the main observations if non-adequate cutting parameters are selected. Therefore, modeling surface roughness and cutting forces in the milling process are of prime importance. Due to the ability of neuro-fuzzy networks to maintain appropriate modeling with investigation of uncertainties and the capacity of meta-heuristic approaches to set the coefficients of these networks precisely, in the present study, the coupled models of adaptive neuro-fuzzy inference system (ANFIS-type fuzzy neural networks) and interval type 2 fuzzy neural networks with evolutionary learning algorithms including particle swarm optimization (PSO) and genetic algorithm (GA) were used to predict the mean values of directional cutting forces as well as average surface roughness (Ra) in milling aluminum alloys (AA6061, AA2024, AA7075) under various cutting conditions and insert coatings. The main innovation of the present study refers to implementation of IT2FNN- PSO method in the machining operations. No similar research in this regards was found in the literature. According to the results, it was found that the proposed methods led to excellent and precise modeling results with high correlation rates with experimental outputs. The use of IT2FNN-PSO led to better performance as compared to observations made in other two ANFIS-based models aforementioned.

Keywords ANFIS · Interval type 2 fuzzy neural networks · Milling · Surface quality · Cutting force

Nomenclature

Ra	Surface roughness
ANFIS	Adaptive neuro-fuzzy inference system
RMSE	Root mean square error
MSE	Mean square error
RSM	Response surface modeling
BP	Backpropagation
IT2FNN	Interval Type-2 fuzzy neural network
GA	Genetic algorithm

PSO	Particle swarm optimization
MD	Membership function
ADALIN	Adaptive linear neurons
ANN	Artificial neural network
ADALINE	Adaptive linear neurons
R	Correlation coefficient
V_c	Cutting speed
f_z	Feed per tooth
a_p	Depth of cut

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1 Introduction

Milling is one of the most commonly used machining operations in numerous manufacturing sectors which has complex modes of chip formation and interactions

between cutting tools and the work part. Therefore, the cutting parameters must be selected adequately; otherwise, several machining difficulties including chatter vibrations, fluctuated cutting force, and elevated cutting temperature are expected which tend to deteriorate the surface quality and prolong the machining time while higher machining cost is expected.

Surface quality and cutting forces are among the most important milling attributes. The average surface roughness (Ra) and the mean values of directional cutting forces are the major attributes of the surface integrity and cutting forces when dealing with milling operation. It is advised to acquire good information and understanding of factors governing these attributes aforementioned. Benardos and Vosniakos [1] divided modeling techniques applied to predict the surface roughness into three major categories: experimental, analytical/numerical, and artificial intelligence (AI) models. Experimental and analytical models are based on experimental information and conventional approaches such as the statistical regression known as the RSM [1–4]. Artificial intelligence models use various approaches such as artificial neural networks (ANNs) [5–8], genetic algorithms (GA) [5, 9], fuzzy logic [10], and hybrid systems [11–13].

Analytical/numerical-based approaches are mainly dependent to machining kinematics, cutting tool properties, and interaction effects between cutting parameters. Due to complexity of many several cutting and non-cutting factors in the machining process, the aforementioned theory, in spite of its strong theoretical background, is not an accurate model. In addition, several parameters such as wear, cutting tool deflection, or thermal phenomena are not well modeled yet. The experimental approaches, are designed to generate experimentally based data which are not however reliable if different cutting parameters are used. In statistical regression analyses, researchers' insights play a major role and no systemic formulation is available. In general, the results cover a limited practical range that is regional, and due to ignorance of some factors, there is also the possibility of achieving unexpected results. Furthermore, experimental study for broad range of cutting parameters is time-consuming and costly [1, 14]. Knowing that adequate mathematical equations and comprehensive knowledge about direct and indirect effects of cutting parameters on machining responses are not available, therefore, the use of AI-based methods to develop near-reality models has been the subject of interest for industrial and academic sectors. AI-based approaches have great capability of modeling sophisticated phenomena and uncertainties. Artificial neural networks (ANN), fuzzy logic systems, and network-based fuzzy inference

system are among the most popular AI methods which have been widely used for modeling and optimization of machining processes [14–25].

In the field of modeling and predicting Ra in milling process, Karayel [15] has introduced and trained an ANN model [16] to predict and control Ra in turning with multi-layer feedforward, which led to an average error of 0.023. Gupta et al. [17] introduced an ANN-based GA model as a hybrid system. Many studies and modeling in the field of AI-based models, including radial basis function networks (RBFN), fuzzy hybrid networks, and GA have been performed with acceptable accuracy and reliability [18, 19]. Similarly, modeling and analyzing of shear forces in milling operation have been an interesting topic in recent decades. In fact, the machining force measurement and analysis are important steps in the monitoring process in order to take acceptable decisions and proper reactions by means of improving and optimizing the quantity and quality of production. In addition, an appropriate estimate can predict the processes involved in machining operations such as tool wear and other items satisfactorily. Kumar et al. [20] and Noordin et al. [21] have shown that RSM is an acceptable approach for predicting cutting forces through experimental data. The GA is also among the approved methods for modeling and predicting cutting forces [22, 23]. Various research studies were conducted about the use of neural and fuzzy-neural fields with Takagi-Sugeno rule base and also ANFIS networks with various types of learning algorithms such as BP [24–26].

Nowadays, the applications of aluminum alloys, which exhibit strong features including high weight-to-strength ratio, high thermal and electrical conductivity, as well as acceptable machinability, are growing within numerous manufacturing sectors. It is therefore recommended to expand the use of the proposed approach to highly used aluminum alloys.

Barua et al. [27] used an RSM-based approach to find the influence of cutting speed, depth of cut, as well as feed rate on Ra in machining Al 6061-T4. Under specific cutting conditions used, a reliability of 90% was found. Daniel et al. [28] developed a multi-objective prediction model by using ANN for milling aluminum hybrid metal matrix that yielded which led to better performance as compared to regression models. Karkalos et al. [29] stated that the performance of ANN network is more acceptable than RSM regression when used in milling Ti-6Al-4V. Sharkawy et al. [13] used ANFIS and genetically evolved fuzzy inference system (G-FIS) methods to predict Ra in the milling of Al 6061. It was shown that despite the advantages of these methods over experimental methods, still soft computing

methods need to be improved. Despite the appropriate performance of the developed AI methods, there is still a need to improve the modeling performance of this approach. The presence of uncertainties, noise, and complex factors affecting the process of milling of aluminum alloys necessitates the use of more complex and reliable approaches.

According to aforementioned lack of knowledge observed in the open literature, the coupled model of ANFIS networks with PSO and GA meta-heuristic learning algorithms, and the IT2FNN with a PSO learning algorithm were used in this work to predict the mean cutting forces as well as average surface roughness (Ra) recorded in slot milling of AA 6061-T6, AA 7075-T6, as well as AA 2023-T351 when using various levels of cutting parameters. These networks have more ability to adjust fuzzy neural networks' coefficients by their meta-heuristic learning methods. IT2FNN has the most ability of investigation of uncertainties due to its type-2 membership functions. To that end, the artificial networks containing experimental inputs and two machining attributes as output were formed with ANFIS-GA, ANFIS-PSO, and IT2FNN-PSO methods. The main innovation of the present study refers to the implementation of hybrid type-2 neuro-fuzzy networks and PSO evolutionary learning method. No similar study in this regards was found in the literature.

2 Modeling approach

Three types of fuzzy neural networks with meta-heuristic learning algorithms called ANFIS-GA, ANFIS-PSO, and Interval type-2 fuzzy neural network with PSO (IT2FNN-PSO) have been applied on a specific portion of experimental inputs as training data. The rest of the inputs and outputs data were used as testing data. Afterward, the performance of proposed networks as a predictor or estimator was mediated by comparison of networks outputs and experimental data.

In the current study, the AI methods were developed on the basis of the multi-input network. The relationship between inputs and outputs is not necessarily linear, and the reason behind using these networks is their ability to estimate different nonlinear machining factors. The overview of the modeling scheme is presented in Fig. 1. The main proposed equation is as follows:

$$Y_k = f_k(x_1, x_2, \dots, x_4), k = 1, \dots, 4 \tag{1}$$

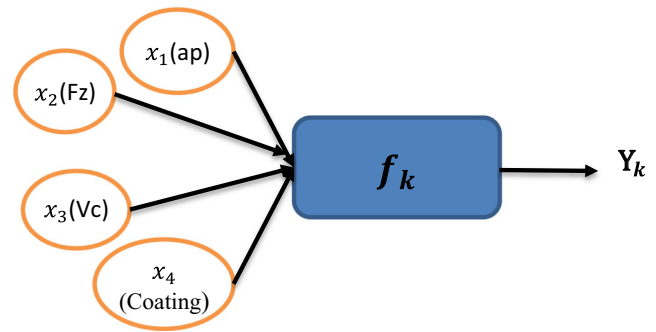


Fig. 1 Block diagram of schematic of proposed artificial Neuro-Fuzzy networks

where x represents the inputs, Y_k represents the k -th number of outputs, and f_k represents the estimated function. N represents the number of inputs as decision variable. In the current study, the inputs x_1 to x_4 are depth of cut (ap), feed per tooth (F_z), cutting speed (V_c), and coating material respectively. Moreover, the Y_1 represents Ra while Y_2 to Y_4 are mean cutting forces in three directions.

2.1 A. Adaptive neuro-fuzzy inference system (ANFIS)

An ANFIS system is developed on the basis of combination of fuzzy system and a neural system that takes advantage of human knowledge and experience through fuzzy systems and rules that are in the form of if...then... with the ability of neural systems to create and train the networks. The design steps of ANNs model and neuro-fuzzy networks are shown in Figs. 2 and 3. More information in this regard can be found in [30].

In the proposed ANFIS structure and in order to simplify the structure, it is assumed that, the presented system has following two rules:

$$\text{Rule 1 : If } (a_p \text{ is } A_{11}) \text{ and } (F_z \text{ is } A_{21}) \text{ and } (V_c \text{ is } A_{31}) \text{ and } (C \text{ is } A_{41}) \tag{2}$$

$$\text{then } Y_1 = p_1 a_p + q_1 F_z + z_1 V_c + h_1 C + r_1$$

$$\text{Rule 2 : If } (a_p \text{ is } A_{12}) \text{ and } (F_z \text{ is } A_{22}) \text{ and } (V_c \text{ is } A_{32}) \text{ and } (C \text{ is } A_{42}) \tag{3}$$

$$\text{then } Y_2 = p_2 a_p + q_2 F_z + z_2 V_c + h_2 C + r_2$$

p_i, q_i, z_i, h_i and r_i are linear parameters of the modeling (consequents) part, which are updated during network training.

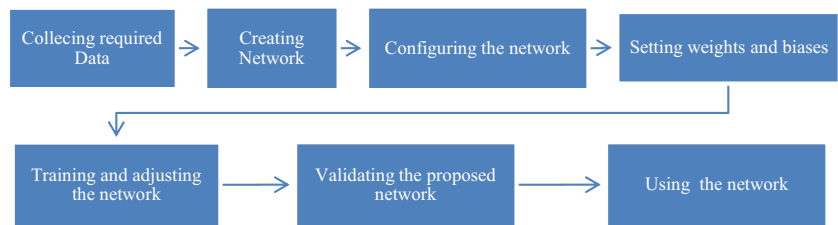
As shown in Fig. 4, an ANFIS structure consists of five layers:

Layer 1: This layer is known as fuzzifier. For each input in this layer, membership functions are considered. In other words, the output of each square is:

$$O_i^1 = \mu_{A_{ki}}(x), i = 1, 2, k \in \{ a_p, F_z, V_c, C \} \tag{4}$$

where $\mu_{A_{ki}}$ represents the MFs of each input, and A_{ki} represents the linguistic variables associated with each of these

Fig. 2 Design steps of ANNs model



nodes. In most ANFIS structures, the following Gaussian MFs are used as $\mu_{A_{1i}}$ and $\mu_{A_{2i}}$:

$$\mu_{A_i} = \exp\left\{-\left[\left(\frac{x-c_i}{a_i}\right)^2\right]^{b_i}\right\} \quad (5)$$

x is input and $[a_i, b_i, c_i]$ are the default parameters for each proposed MF.

Layer 2: Or rule layer that is the output of each node represents the firing power of the node on the inputs. The operator of this layer can be a minus or multiplication (production) one, which in most cases a product operator is considered. For instance, the outputs of the second layer nodes are as follows:

$$O_i^2 = w_i = \mu_{A_{1i}}(a_p) \cdot \mu_{A_{2i}}(F_z) \cdot \mu_{A_{3i}}(V_c) \cdot \mu_{A_{4i}}(C), i = 1, 2 \quad (6)$$

Layer 3: Or the normalized layer. Every node in this layer has a fixed value with the symbol N . The output of each layer is the ratio of input or input firing to the total firing of the rules, and is expressed as:

$$O_i^3 = w_i = \frac{w_i}{\sum_i w_i}, i = 1, 2 \quad (7)$$

Layer 4: Also known as defuzzification layer. Every node in this layer is adaptive. The output value for each rule is determined based on previous layers and inputs as follows:

$$O_i^4 = w_i Y_i = w_i(p_i a_p + q_i F_z + z_i V_c + s + r_i), i = 1, 2 \quad (8)$$

Which w_i is the normalized firings of the third layer and $p_i, q_i, z_i, h_i,$ and r_i are the parameters of consequents part (modeling results).

Layer 5: Or total output layer. This layer consists of the summation of all output values of the previous layer that are displayed as follows:

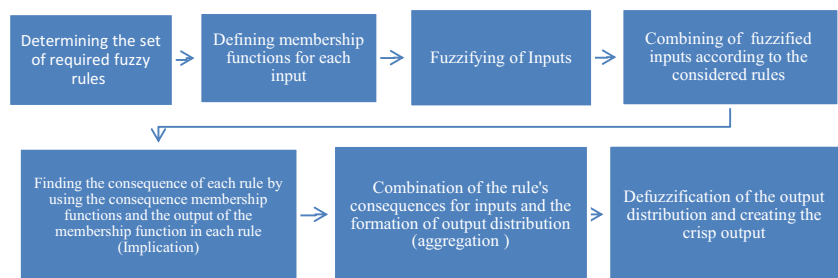
$$O_i^5 = \sum_i w_i Y_i = \frac{\sum_i w_i Y_i}{\sum_i w_i}, i = 1, 2 \quad (9)$$

In this article, the ANFIS networks have been used to construct two proposed networks. The clustering method called subtractive clustering has been used to create the initial structure of these networks without network training. In this method, all data points were considered as a center of a cluster with an arbitrary influence radius. The computational programs and codes developed in Matlab toolbox may determine which points are more appropriate as the center of the cluster.

2.2 B. Interval type-2 fuzzy and fuzzy neural network

Zadeh [31] used the concept of type-2 fuzzy sets to extend the approach of the first type of fuzzy system. In this type of system, the type-2 fuzzy membership is considered to be fuzzy, and it leads to define two MFs for type-2 fuzzy sets, which are called primary and secondary memberships and are subsets of the interval $[0, 1]$. After introducing type-2 fuzzy systems, the next steps to create fuzzy systems and handle the uncertainty of these sets were taken by Karnik and Mendel [32]. Therefore, the KM reduction algorithms were introduced [32, 33]. Nowadays, due to the precision of prediction results, wide applications of KM reduction algorithms have been introduced in various domains and processes [16, 34].

Fig. 3 Steps of neuro-fuzzy networks



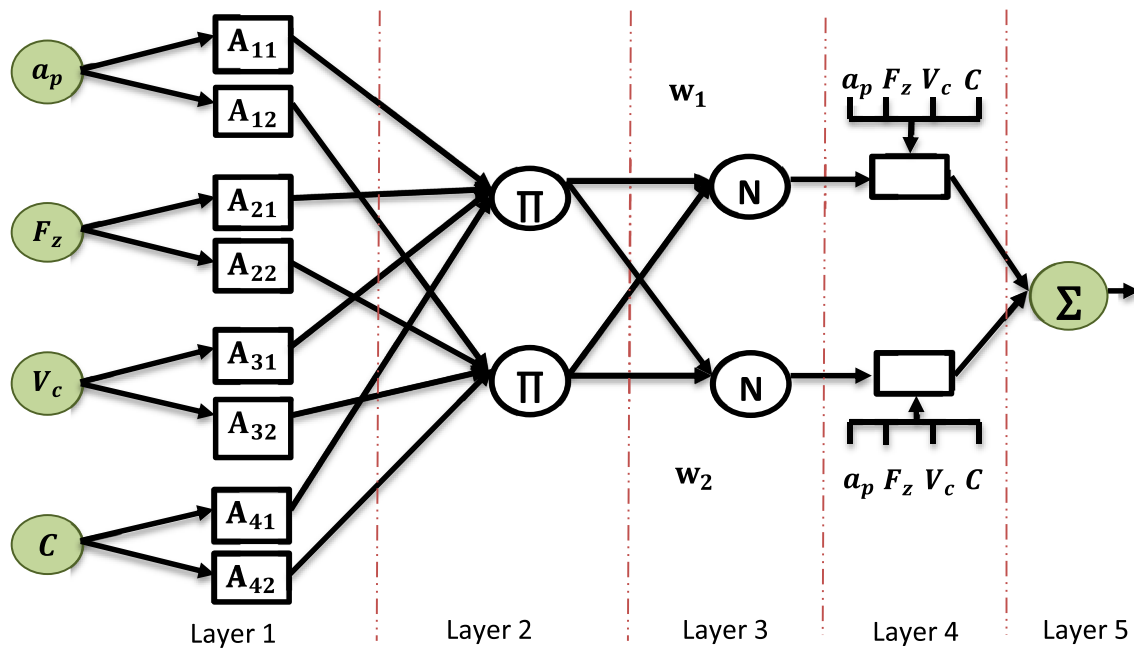


Fig. 4 Proposed ANFIS structure

Interval type-2 fuzzy logic systems All neuro-fuzzy systems have a fuzzifier, a rule base, a fuzzy inference engine, and a defuzzifier, and the only difference between type-2 and type-1 fuzzy steps, has a type reducer before the defuzzifier part in type-2 fuzzy systems to convert the type-2 fuzzy variables into type-1. Considering a Takagi-Sugeno-Kang (TSK) fuzzy logic system with four inputs similar to conducted research including $a_p, F_z, V_c,$ and C leads to the following formulations:

$$a_p \in X_1, F_z \in X_2, V_c \in X_2, C \in X_4 \tag{10}$$

In addition, four outputs consist of Ra and cutting mean forces in three dimensions are as follows:

$$Ra \in Y_1, F_x \in Y_2, F_y \in Y_n, F_z \in Y_1 \tag{11}$$

where X_1, \dots, X_4 and Y_1, \dots, Y_4 represent the sets of MFs for each input or output.

An IT2-TSK FLS, like T1FLS, has the if-then fuzzy rules to express the relationships between inputs and outputs, and it is determined in the procedure presented in Fig. 5. Due to type-2 fuzzy structure, since after fuzzifying of the inputs, each input has an interval in the form of [a, b] on its MFs; each output of rules' firing has a range with a lower and upper limit. Therefore, it is necessary to obtain a range for each rule within rules' firing calculation. Type reduction step is applied according to the type reduction algorithms known in advance such as KM algorithm [32, 33].

Interval Type-2 Fuzzy Neural Network The IT2FNN introduced in this article is an adaptive neuro-fuzzy network with six layers (Fig. 6). It is noteworthy that due to the complexity of the network with four inputs, it is assumed that there are

two inputs required for the milling process. The first and sixth layers represent the inputs and outputs of the system (inputs are $a_p, F_z, V_c,$ and C and outputs are Ra and cutting forces), respectively. The second layer consists of the adaptive nodes of the MFs of the upper and lower values of each member in that related MF. The third layer represents the specified rules, and outputs of this layer determine lower-upper firing degrees of each rule. The fourth layer represents the consequence of each rule, and it is formed by the effects of output firings from the previous step on the adaptive outputs. The fifth layer is related to type-reduction of previous layer's outputs using the Karnik-Mendel algorithm [32, 33]. The sixth layer, which is the last one, produces final crisp outputs.

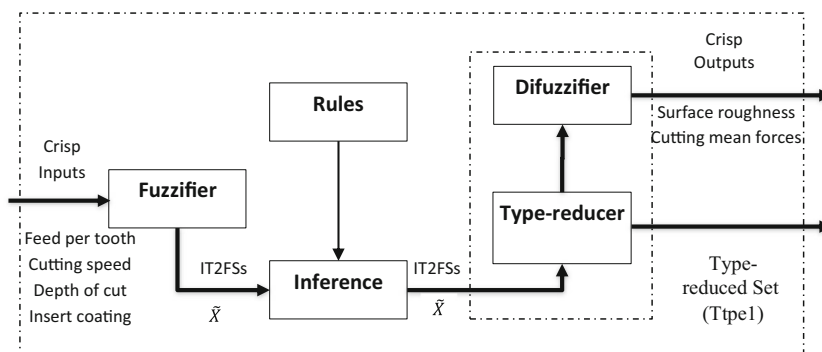
The proposed system is assumed to have four inputs and two outputs (multi inputs-multi outputs). The general definition of proposed rules in the ITFNN system for the aluminum milling process is as follows:

The procedure and functions or network layers are described as follows:

$$\begin{aligned} \text{Rule } i : & \text{ If } (a_p \text{ is } A_{1,k}^i) \text{ and } (F_z \text{ is } A_{2,k}^i) \text{ and } (V_c \text{ is } A_{3,k}^i) \text{ and} \\ & (C \text{ is } A_{4,k}^i) \text{ then } Y_k \text{ is } y_i^k = e_{1,k}^i a_p + e_{2,k}^i F_z + e_{3,k}^i V_c + e_{4,k}^i C + e_{0,k}^i \end{aligned} \tag{12}$$

where $k = 1, \dots, 4$ and Y_k represents the k -th output and i represents the index of i -th rule. $A_{j,k}^i$ ($j = 1, \dots, 4$) are interval type-2 consequent sets related to each input. y_i^k is k -th output of i -th rule in the form of type-1 fuzzy set. $C_{j,k}^i$ are consequent interval type-1 fuzzy sets (more explanation will be expressed in the following).

Fig. 5 The block diagram of type-2 fuzzy logic [35]



Layer1: inputs

$$x = (x_1, \dots, x_4) = \{ a_p, F_z, V_c, C \} \tag{13}$$

Layer 2: the layer of applying MFs on inputs

$$\widetilde{\mu}_{k,i}(x_i) = [\mu_{k,i-}(x_i), \mu_{k,i}(x_i)] \quad i = 1, 2, 3, 4; \tag{14}$$

The Gaussian MF with constant variance and uncertain mean in $[m_-, m_+]$ is considered for inputs (Fig. 7). Each MF’s output contains an upper and a lower amount and is known as $\mu_{k,i-}(x_i)$ and $\mu_{k,i}(x_i)$, respectively. The following lines present the concepts of MF:

$$\begin{aligned} \widetilde{\mu}_{k,i}(x_i) &= [\mu_{k,i-}(x_i), \mu_{k,i}(x_i)] \\ &= \text{igaussmeantype2}(x_i, [\sigma_{k,i}, m_{k,i}^1, m_{k,i}^2]); k \\ &= 1, \dots, M; i = 1, \dots, 4, \end{aligned} \tag{15}$$

k represents k -th proposed rule, and i represents the i -th input. The Gaussian function is defined as follows:

$$\begin{aligned} \mu_{k,i}(x_i) &= \begin{cases} \mu^1(x_i, [\sigma_{k,i}, m_{k,i}^1]), & x_i < m_{k,i}^1 \\ 1, & m_{k,i}^1 < x_i < m_{k,i}^2 \\ \mu^1(x_i, [\sigma_{k,i}, m_{k,i}^1]), & x_i > m_{k,i}^2 \end{cases} \\ \mu_{k,i-}(x_i) &= \begin{cases} \mu^1(x_i, [\sigma_{k,i}, m_{k,i}^1]), & x_i \leq \frac{m_{k,i}^1 + m_{k,i}^2}{2} \\ \mu^1(x_i, [\sigma_{k,i}, m_{k,i}^1]), & x_i > \frac{m_{k,i}^1 + m_{k,i}^2}{2} \end{cases} \end{aligned} \tag{17}$$

where:

$$\begin{aligned} \mu^1(x_i, [\sigma_{k,i}, m_{k,i}^1]) &= e^{-\frac{1}{2}(\frac{x_i - m_{k,i}^1}{\sigma_{k,i}})^2}; \mu^2(x_i, [\sigma_{k,i}, m_{k,i}^2]) \\ &= e^{-\frac{1}{2}(\frac{x_i - m_{k,i}^2}{\sigma_{k,i}})^2}; \end{aligned} \tag{18}$$

Thus, in this layer, the intervals associated with different MFs are determined in each output.

Layer 3: or rules’ firing layer. The product in this layer is assumed as the proposed operator.

$$f_{k-} = {}_i \prod (\mu_{k,i-}); f_k = {}_i \prod (\mu_{k,i}) \tag{19}$$

Layer 4: the adaptive inputs and firing layer of the consequences. The equations of this layer are as follows:

$$y_l^k = \sum_{i=1}^n c_{k,i} x_i + c_{k,0} - \sum_{i=1}^n s_{k,i} |x_i| - s_{k,0} \tag{20}$$

$$y_r^k = \sum_{i=1}^n c_{k,i} x_i + c_{k,0} + \sum_{i=1}^n s_{k,i} |x_i| + s_{k,0} \tag{21}$$

In principle, Eq. 21 is formulated to form interval consequences and output in this layer is determined as follows:

$$y_k = C_{k,1} a_p + C_{k,2} F_z + C_{k,3} V_c + C_{k,4} C \tag{22}$$

where

$$C_{k,i} \in [c_{k,i} - s_{k,i}, c_{k,i} + s_{k,i}] \tag{23}$$

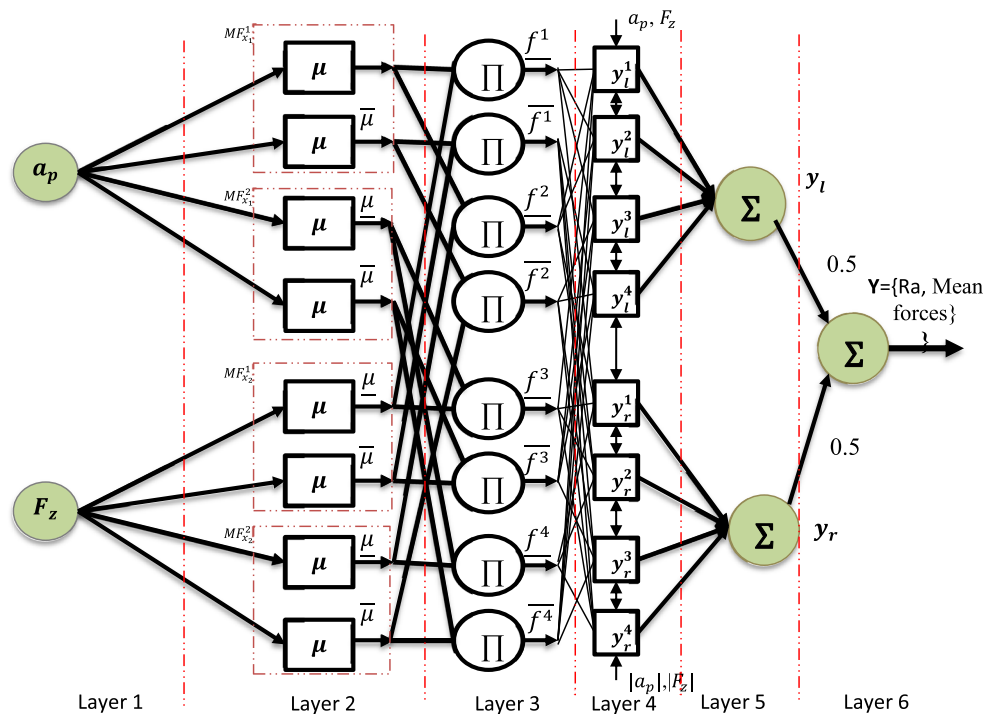
In the Eq. 23 $c_{k,i}$ represents the center of $C_{k,i}$ and $s_{k,i}$ represents the deviation of $C_{k,i}$. The basis of this layer is based on ADALINE, which is considered in NNs [36].

Layer 5: or type reduction layer

$$y_l = \frac{\sum_{k=1}^M f_l^k \cdot y_l^k}{\sum_{k=1}^M f_l^k} = \frac{\sum_{k=1}^L f^k \cdot y_l^k + \sum_{k=L+1}^M f^k \cdot y_l^k}{\sum_{k=1}^L f^k + \sum_{k=L+1}^M f^k} \tag{24}$$

$$y_r = \frac{\sum_{k=1}^M f_r^k \cdot y_r^k}{\sum_{k=1}^M f_r^k} = \frac{\sum_{k=1}^R f^k \cdot y_r^k + \sum_{k=R+1}^M f^k \cdot y_r^k}{\sum_{k=1}^R f^k + \sum_{k=R+1}^M f^k} \tag{25}$$

Fig. 6 Proposed interval type-2 fuzzy neural network with two milling inputs (a_p and F_z), one output and four rules for simplicity



The values of L and R can be obtained from the Karnik-Mendel algorithm.

Layer 6: Defuzzification layer

$$Y = \frac{y_l + y_r}{2} \tag{26}$$

Using the formulations presented in Eqs. (15–26), the developed neuro-fuzzy network can be completed [37]. In the next step, the training of the mentioned networks with the meta-heuristic algorithms will be addressed. The ANFIS network is trained with GA and PSO learning algorithms and the IT2FNN is trained with the PSO algorithm.

2.3 C. Genetic algorithm (GA)

The GA optimization uses biological phenomena such as mutation, inheritance, crossover, and selection to solve the optimization problem in an evolutionary approach. The apparent answers of an optimization problem that include the values of all variables are placed in a set called chromosome. Each chromosome introduces a possible answer to the problem. This process starts with an initial population (the number of random answers) and is created by initializing the chromosomes. Considering the cost function which is the difference between the networks outputs and experimental values in this

problem, the problem-solving procedure starts by initializing each gene in the chromosomes. Therefore, it has been planned to reduce the amount of the cost function with assigning a number in the interval $[0, 1]$ through normalization of the problem to each gene, the process of solving in the repetitive loop, and determination of the amount of population of the solving chromosomes and the number of iterations. It is noteworthy that, the cost function in the proposed methods is the difference between experimental outputs and predicted values by introduced networks for each pair of input-output data.

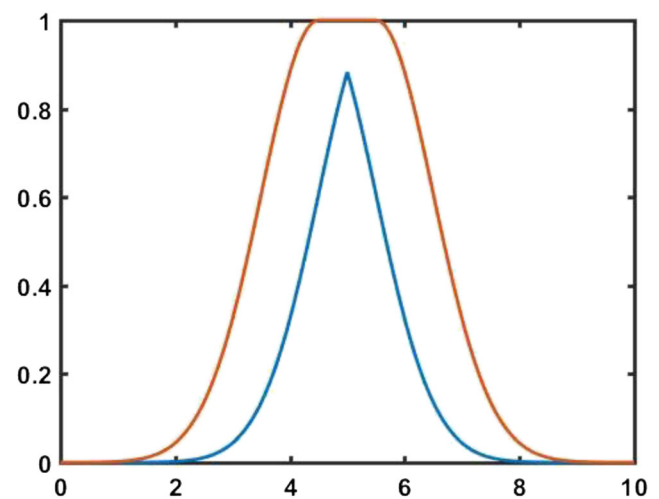
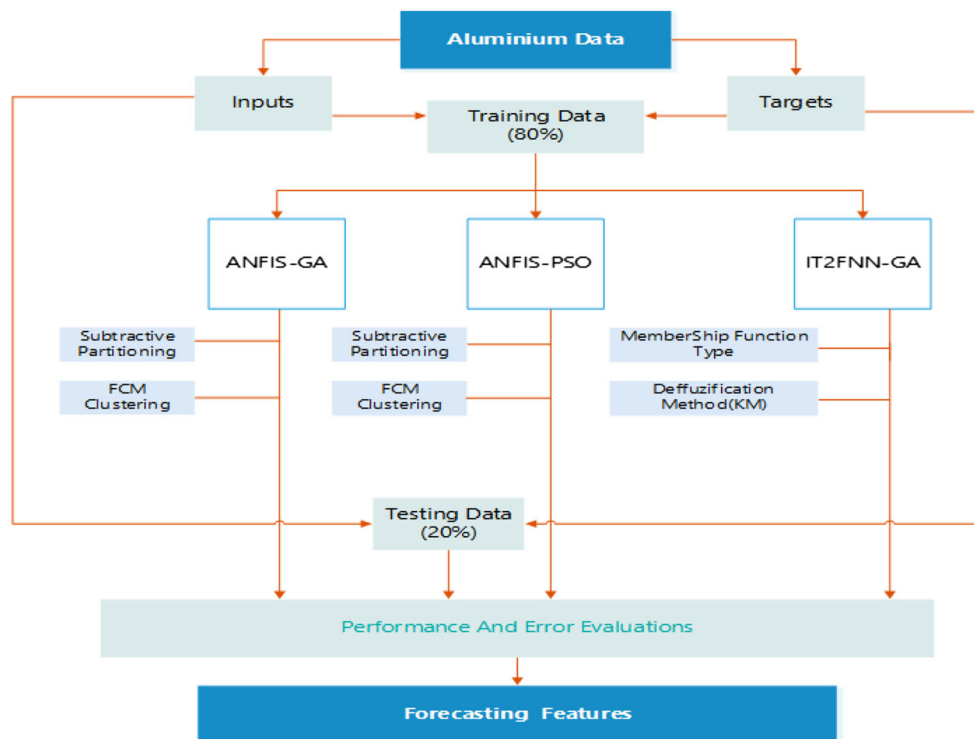


Fig. 7 Interval type-2 Gaussian membership function with an uncertain mean $[m_-, m_+]$ and standard deviation σ , y_{-k} and y_{+k} represent the upper and lower quantity of MF

Fig. 8 The computational prediction procedure of proposed algorithms



2.4 D. Particle swarm optimization algorithm (PSO)

As similar to GA, the PSO algorithm is an evolutionary algorithm. This algorithm consists of several particles that create a swarm and explore the problem search space for an optimal solution. The motion memory of each particle as well as the motion memory of other particles determine the direction and movement speed of that particle in the search space. The position of each particle is corrected on the basis of current position, particle speed, the position of the best response to the current time related to the proposed particle (pbest), and the distance between the particle position and the best global answer’s position (gbest). The cost function in this algorithm is an error function. The problem is solved by repeating the solving loop, searching through the search space, and investigating the proper number of particles and effective parameters on the speed.

2.5 E. Training ANFIS and IT2FNN using optimization algorithms

The prediction accuracy of the networks introduced in the previous sections is an important factor and should be guaranteed by optimization algorithms. The introduced PSO and GA learning algorithms are applied for ANFIS, and the proposed PSO algorithm is used for IT2FNN. For the ANFIS network, as described in Eq. (5), there are three parameters including $[a_i, b_i, c_i]$ for each MF in the antecedence section. Therefore, their number is the same as all inputs’ MFs number. In the consequence section, p_i, q_i and r_i representing the coefficients for inputs, are each rule’s variables. There are the same as the rules’ number of these variables for training. All the introduced variables are categorized in a vector variable called chromosome and given to the GA and PSO algorithms.

Fig. 9 Mckey-Glass time series

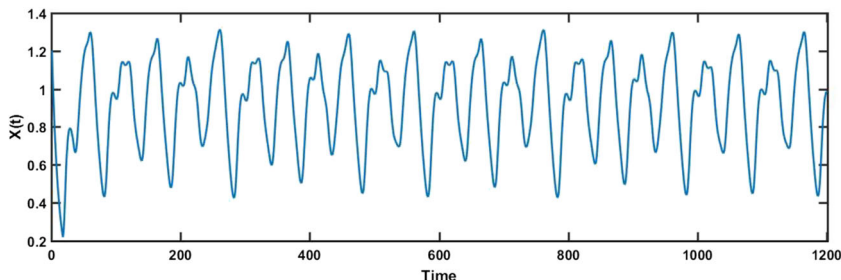


Table 1 Input details of proposed milling experiments

Test. No.	a_p (mm)	F_z (mm/z)	V_c (m/min)	Coating
1	1	0.01	300	TiCN
2	1	0.01	750	TiCN
3	1	0.01	1200	TiCN
4	1	0.055	300	TiCN
5	1	0.055	750	TiCN
6	1	0.055	1200	TiCN
7	1	0.1	300	TiCN
8	1	0.1	750	TiCN
9	1	0.1	1200	TiCN
10	2	0.01	300	TiCN
11	2	0.01	750	TiCN
12	2	0.01	1200	TiCN
13	2	0.055	300	TiCN
14	2	0.055	750	TiCN
15	2	0.055	1200	TiCN
16	2	0.1	300	TiCN
17	2	0.1	750	TiCN
18	2	0.1	1200	TiCN
1	1	0.01	300	TiAlN
2	1	0.01	750	TiAlN
3	1	0.01	1200	TiAlN
4	1	0.055	300	TiAlN
5	1	0.055	750	TiAlN
6	1	0.055	1200	TiAlN
7	1	0.1	300	TiAlN
8	1	0.1	750	TiAlN
9	1	0.1	1200	TiAlN
10	2	0.01	300	TiAlN
11	2	0.01	750	TiAlN
12	2	0.01	1200	TiAlN
13	2	0.055	300	TiAlN
14	2	0.055	750	TiAlN
15	2	0.055	1200	TiAlN
16	2	0.1	300	TiAlN
17	2	0.1	750	TiAlN
18	2	0.1	1200	TiAlN
1	1	0.01	300	TiCN+Al2O3 + Tin
2	1	0.01	750	TiCN+Al2O3 + Tin
3	1	0.01	1200	TiCN+Al2O3 + Tin
4	1	0.055	300	TiCN+Al2O3 + Tin
5	1	0.055	750	TiCN+Al2O3 + Tin
6	1	0.055	1200	TiCN+Al2O3 + Tin
7	1	0.1	300	TiCN+Al2O3 + Tin
8	1	0.1	750	TiCN+Al2O3 + Tin
9	1	0.1	1200	TiCN+Al2O3 + Tin
10	2	0.01	300	TiCN+Al2O3 + Tin
11	2	0.01	750	TiCN+Al2O3 + Tin
12	2	0.01	1200	TiCN+Al2O3 + Tin
13	2	0.055	300	TiCN+Al2O3 + Tin
14	2	0.055	750	TiCN+Al2O3 + Tin
15	2	0.055	1200	TiCN+Al2O3 + Tin
16	2	0.1	300	TiCN+Al2O3 + Tin
17	2	0.1	750	TiCN+Al2O3 + Tin
18	2	0.1	1200	TiCN+Al2O3 + Tin

The parameters of the antecedence and consequence sections are also trained in the IT2FNN. In the antecedence section, variables $m_{k,i}^1$, $m_{k,i}^2$ and $\sigma_{k,i}$ that are the means and variance respectively, are given to the algorithm as learning variables. In the consequence section, $c_{k,i}$, $s_{k,i}$, $c_{k,0}$, and $s_{k,0}$ are

considered variables for learning. After sorting these values as a swarm, they are applied to the PSO algorithm, and optimization is run with an appropriate number of iterations and speed parameters. The process of these learning algorithms is shown in Fig. 8.

Table 2 Performance of the proposed networks to predict Mackey-Glass time series

Network	MSE	RMSE	R
ANFIS-GA	0.0015130	0.0388970	0.98592
ANFIS-PSO	0.0003328	0.0182440	0.99691
IT2FNN-PSO	1.1764e-05	0.0034299	0.99989

2.6 F. Performance criteria

Several evaluation criteria have been used to evaluate the performance of developed models in terms of measuring predicting accuracy. To obtain the difference between targets and outputs, the MSE criterion is a popular one. The lower values derived from this criterion prove the more suitable performance of predicting. This criterion is expressed as follows:

$$MSE = \frac{1}{N} \sum_{i=1}^N (x_i - y_i)^2 \tag{27}$$

Another criterion, which shows the standard deviation of predicted errors from the target values, is the RMSE:

$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (x_i - y_i)^2} \tag{28}$$

The relationship between outputs and goals is shown by *R* which is defined by the following equation:

$$R = \frac{\sum_{i=1}^N (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^N (x_i - \bar{x})^2 \sum_{i=1}^N (y_i - \bar{y})^2}} \tag{29}$$

That x_i , y_i , \bar{x} , \bar{y} , and N represent the observed values, the predicted values, the average of the observed data, the average of the predicted data, and the number of data, respectively.

2.7 G. Mackey-Glass time series

The Mackey-Glass time series is used in order to validate the created networks and compare their performances (Fig. 9). The proposed delay in this research for predicting Mackey-Glass time series are: 6, 12, 18, and 24, where

$$x(t) = f(x(t-6) + x(t-12) + x(t-18) + x(t-24)) \tag{30}$$

2.8 H. Prediction modeling procedure

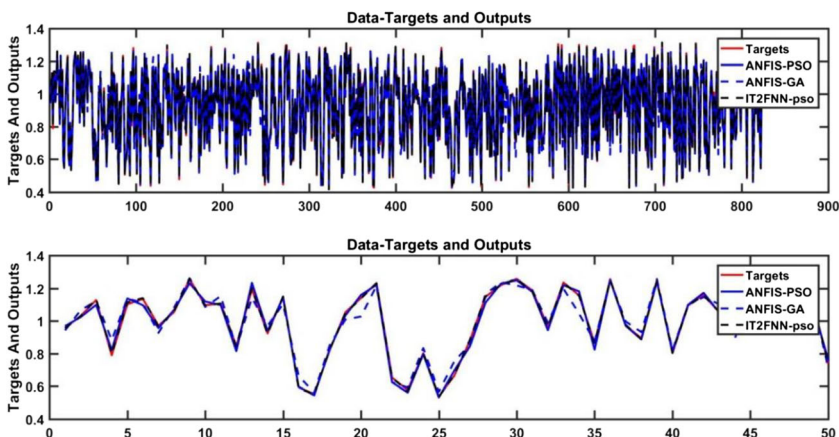
In order to validate the proposed networks, several experiments have been performed on Aluminum alloys including AA 6061, AA2024, and AA 7075.

3 Result and discussion

3.1 Experimental plan

The dry slot milling operations were performed on a 3-axis CNC machine tool (power 50 kW, speed 28000 rpm; torque 50 Nm) using a coated end milling tool (E90-A-D.75-W.75-M) with three teeth and the tool diameter *D* 19.05 mm. The rectangular blocks of aluminum alloys with 3.5 × 3.5 × 1.2 cm in size were used in milling tests. According to experimental parameters listed in Table 1, in total, 162 tests were conducted. In total, 18 milling tests were conducted with respect to each coated insert, with total 54 tests per material. Experimental works were repeated once, and the average values of responses were considered for results analysis.

Fig. 10 Mackey-Glass time series prediction with 824 input set and its first 50 input sets for clarity



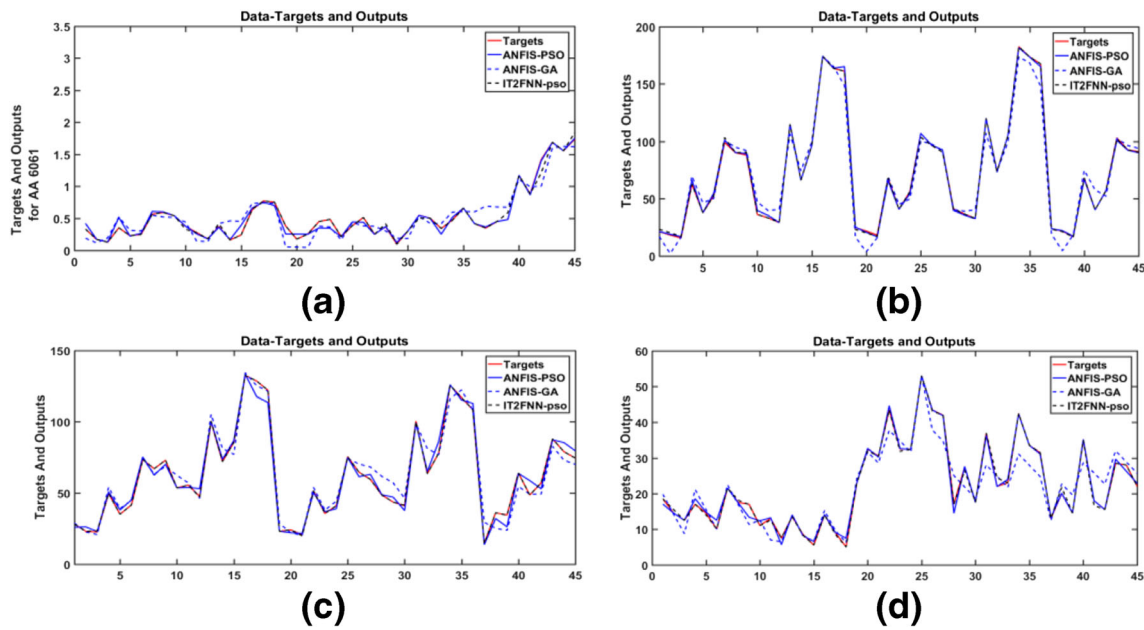


Fig. 11 Comparison between target and output values. (a) Ra. (b) Mean force X. (c) Mean force Y. (d) Mean force Z for AA 6061

The following experimental parameters were used:

- Feed per tooth ($\text{mm} \times z^{-1}$) [0.01, 0.055, 0.1]
- Cutting speed ($\text{m} \times \text{min}^{-1}$) [300, 750, 1200]
- Depth of cut (mm) 1
- Insert coating = [TiCN, TiAlN, TiCn+Al2O3 + Tin] 4
- Tested materials: [AA 2024-T351, AA6061-T6, AA 7075-T6]

The following considerations and assumptions were made in the course of experimental works.

- A 3-axis dynamometer (Kistler-9255B) was used to record the signals. The sampling frequencies 24 kHz and 48 kHz were used.
- As noted earlier, average surface roughness (Ra) was recorded as the surface quality index.

3.2 A. The Mackey-Glass time series prediction

The Mackey-Glass time series was used to validate the proper response of the assumed networks. The differential equation

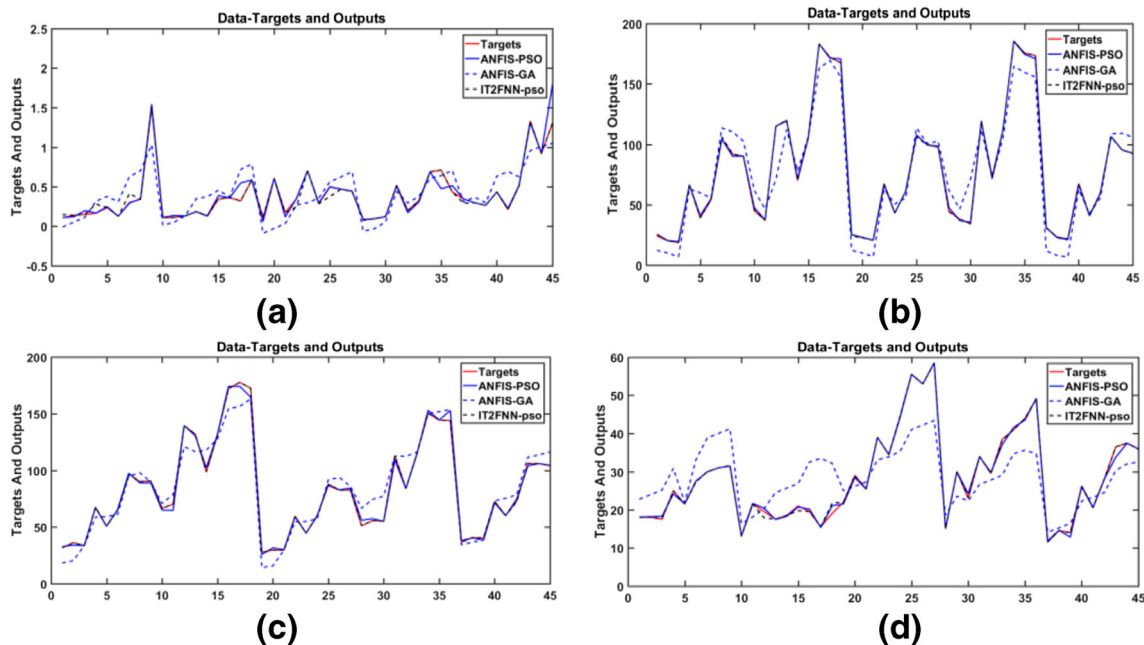


Fig. 12 Comparison between target and output values. a Ra. b Mean force X. c Mean force Y. d Mean force Z for AA 2024

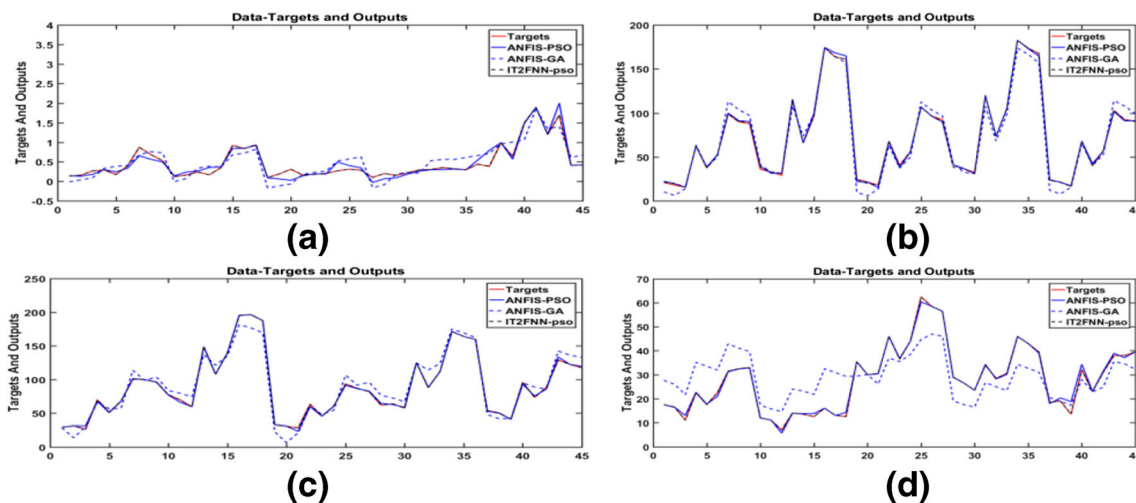


Fig. 13 Comparison between target and output values. a Ra. b Mean force X. c Mean force Y. d Mean force Z for AA7075

of the time series is as follows:

$$\frac{dx}{dt} = \frac{0.2x(t-\tau)}{(1+x(t-\tau))^{10}} - 0.1x(t) \quad (31)$$

It is assumed that $x(0) = 1.2$ and $\tau = 17$. This non-periodic and non-convergent time series is very sensitive to initial conditions. For the times less than zero, it is assumed that $x(t) = 0$. Developed models report high performance for predicting output data according to MSE, RMSE, and R criteria (Table 2).

The conditions and assumptions used in the models are as follows:

- ANFIS-GA: number of clusters = 20; max iteration = 1000; number of population = 40; crossover percentage = 0.7; mutation percentage = 0.8; mutation rate = 0.1
- ANFIS-PSO: number of clusters = 20; max iteration = 1000; number of population = 40;
- IT2FNN-PSO: number of clusters = 20; max iteration = 1000; number of population = 40; membership function = Gaussian membership function with uncertain mean

It is noteworthy that all the networks and learning algorithm parameters have undeniable effects on modeling and prediction procedure. Determining the number of their quantities as well as adjusting their related values are among the most important steps of modeling process. All the initial coefficient of quantities turns into their desired ones during running the learning algorithms. The conditions and assumptions as introduced earlier have been obtained by repeating the proposed algorithm which different conditions and the best structure for predicting train data among the repetitions was selected (Fig. 10).

3.3 B. Performance of proposed neuro-fuzzy models

3.3.1 Training performance of forecasting milling process

The proposed networks have four outputs that represent the predicted values of Ra and the mean values of directional cutting forces. The calculated values that represent the proposed algorithms for train data are

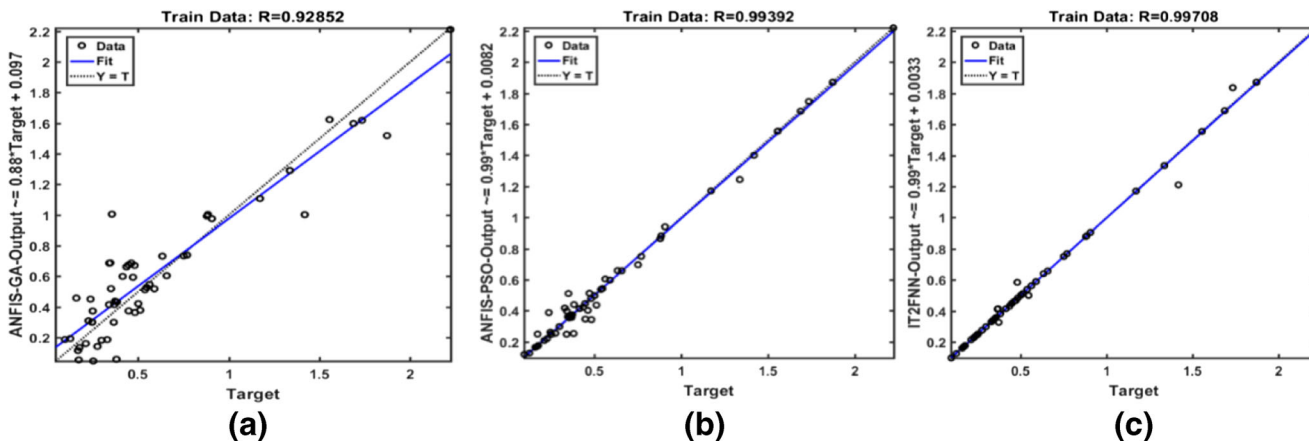


Fig. 14 Linear simple regression model of a ANFIS-GA, b ANFIS-PSO, and c IT2FNN-PSO for AA 6061 for Ra modeling

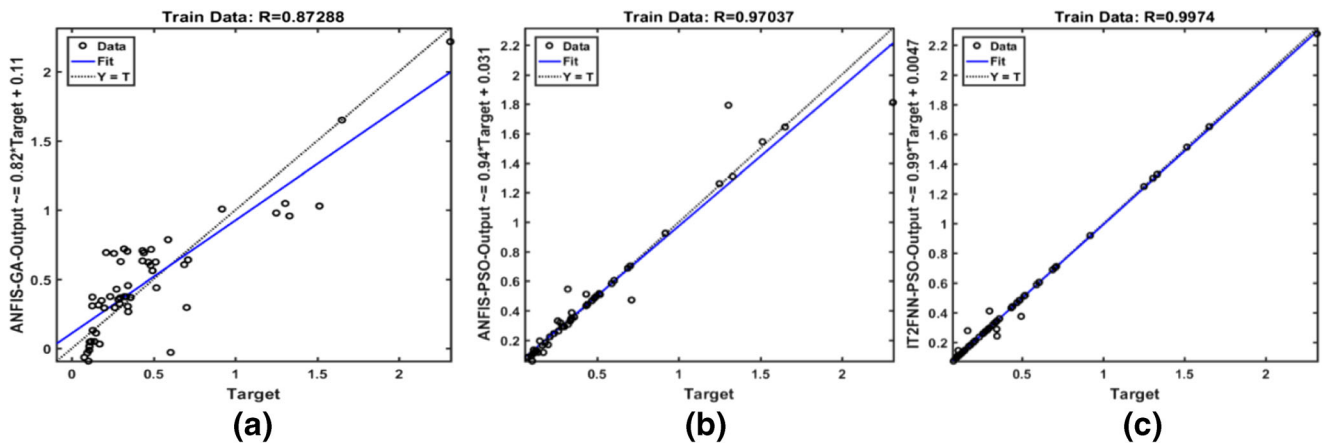


Fig. 15 Linear simple regression model of a ANFIS-GA, b ANFIS_PSO, and c IT2FNN-PSO for AA 2024 for Ra modeling

shown in Figs 11, 12, and 13. The linear simple regression models for predicted models of Ra and mean values of directional cutting forces are shown in Figs. 14, 15, and 16. It should be noted that based on Table 1, for each kind of Aluminum and each coating, 18 experiments have been carried out. Therefore, in total, 54 experiments have been carried out on each type of aluminum, which led to 162 tests in total. For clarity, all tests related to each kind of Al alloys are shown in separate figures. Among experimental data, 45 tests were used for training purposes. The horizontal axis of Figs 11, 12, 13, 14, 15, 16, 17, and 18 represents the number of experimental tests used for training.

Figures 11, 12, and 13 show the comparison between experimental predicted values for each responses studied. Similarly, in Figs. 14, 15, and 16 as a sample of the performance of proposed algorithms, correlation coefficients of three algorithms including ANFIS-GA, ANFIS-PSO, and IT2FNN-PSO are provided for Ra. In the vertical axis, the

relationship between the targets and the outputs of the given model is presented. The fitting line introduces the desired relationship between both variables. The third algorithm, i.e., IT2FNN-PSO, gives the best performance. Figures 11, 12, 13, 14, 15, and 16 were prepared on the basis of train data. It means inputs and experimental data as targets are provided to the algorithms and the appropriate coefficients of algorithms just need to be adjusted efficiently based on inputs-outputs pairs of data. Therefore, the correlation coefficient is the best criterion to assess their relationship. The next step is to evaluate testing inputs and prediction of Ra and cutting forces and compare them to experimental results.

3.4 Testing performance of forecasting milling process-

In order to evaluate the efficiency of proposed neuro-fuzzy systems presented in Figs. 14, 15, and 16, 20% of experimental results were used to test the efficiency and

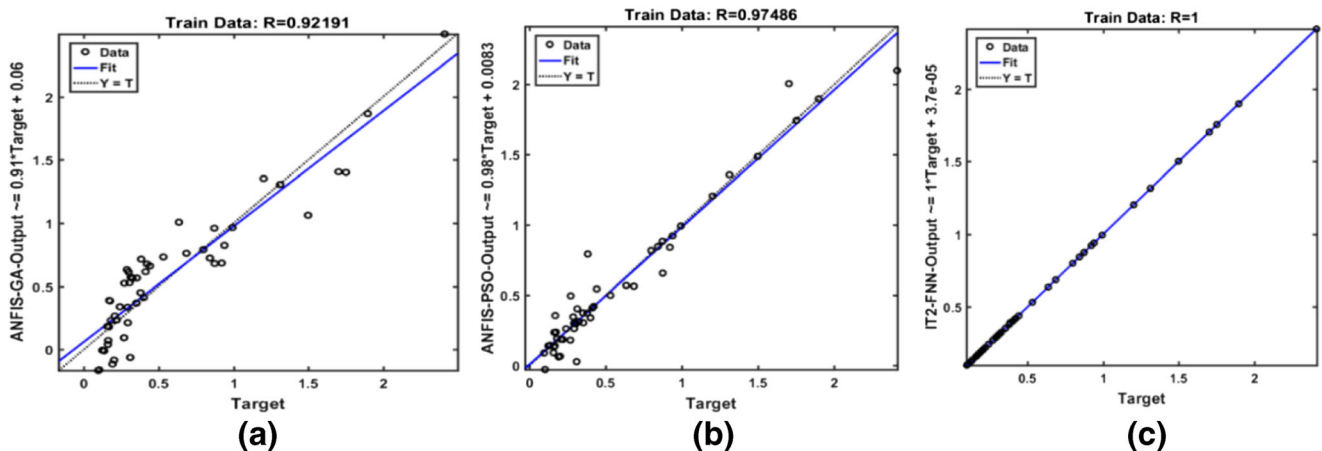


Fig. 16 Linear simple regression model of a ANFIS-GA b ANFIS_PSO and c IT2FNN-PSO for AA 7075 for Ra modeling

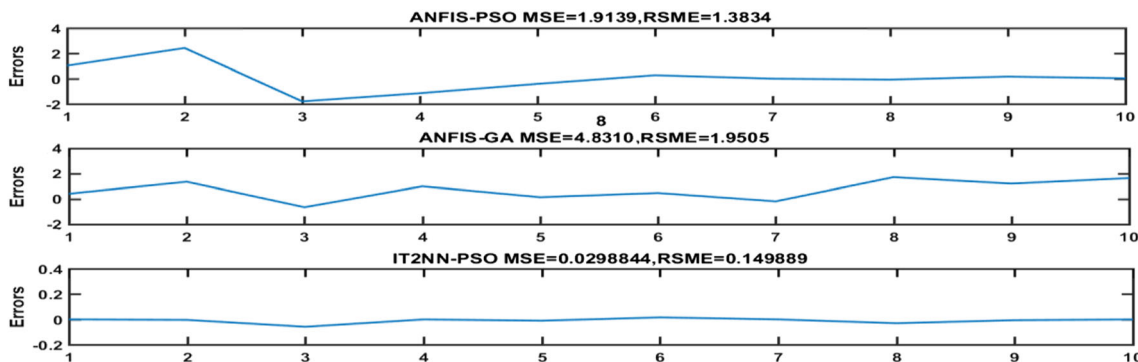


Fig. 17 Testing errors of forecasting mean force Z

accuracy of the developed networks. The performance of these systems in the testing process was evaluated by numerous factors including MSE, RMSE, and *R* criteria. Figures 17 and 18 present the training error and linear regression models between of cutting force in *z*-direction for AA7075. It can be exhibited that a high correlation can be seen between modeling and experimental values. The capability of the developed models to predict milling outputs is shown in Table 3. In Fig 17, the mean error which is the result of the mean difference between the experimental values and the outputs of the proposed algorithms is presented. Figure 18 refers to the correlation rate of experimental data and tested targets. In the testing part, the experimental data of Ra and mean forces are not used to predict the outputs. Finally, the outputs of proposed algorithms are compared to their experimental values. All proposed models are capable of predicting the proposed milling outputs. In general, using GA and PSO optimization algorithms led to an improvement in modeling results due to their capability of efficient optimization and adjusting the desired coefficient of proposed quantities. In this case, the effect of using PSO is more tangible.

Type-2 neuro-fuzzy systems, because of better handling of uncertainties by their type-2 MFs which instead of assigning a fuzzy number for the output of each membership function, use an interval fuzzy set that indicates holding more uncertainties rather than type-1 fuzzy sets, improves their ability of prediction, and it is expected to achieve more accurate responses and lower values of performance criteria. The comparison between the training and test data of milling outputs for all three alloys is presented in Table 3. As mentioned in the introduction part, due to the complexity of the many involved factors in the milling process, taking an approach which considers uncertainties and unknown phenomena can easily improve modeling performance and IT2FNN because of its capability of handling these factors which also provides better performance.

4 Conclusion

Milling operations play an important role in high precision machining and rapid production of numerous manufacturing processes, products, and materials,

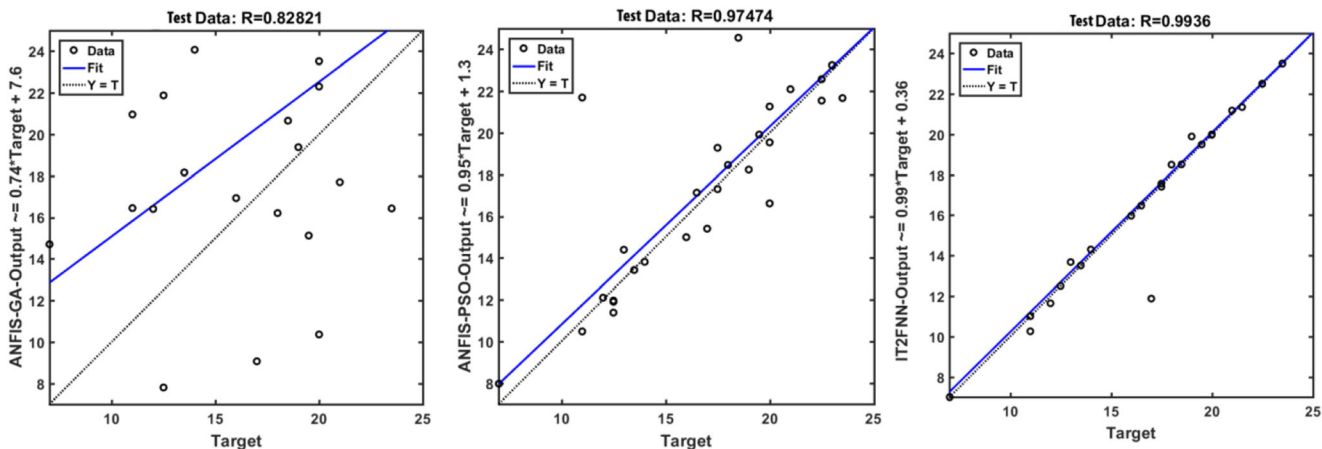


Fig. 18 Linear simple regression model of mean cutting forces in *z* direction for AA 7075

Table 3 Comparison between outputs of proposed neuro-fuzzy

		Algorithm	RMSE		R		SE	
			Train	Test	Train	Test	Train	Test
AA 6061	Ra	ANFIS-GA	0.18084	0.067921	0.99852	0.97631	0.032705	0.004613
		ANFIS-PSO	0.05304	0.062582	0.92392	0.98073	0.002813	0.0039165
		IT2FNN-PSO	0.036807	0.046016	0.99708	0.98375	0.001354	0.0021175
	Mean force X(N)	ANFIS-GA	0.999802	1.302145	0.98747	0.84365	0.886210	2.193254
		ANFIS-PSO	0.931310	1.740456	0.99979	0.81256	0.867330	3.028900
		IT2FNN-PSO	0.276620	0.315632	0.99980	0.92215	0.076520	0.0812036
	Mean force Y(N)	ANFIS-GA	0.922650	1.253215	0.97756	0.86321	0.712035	2.231540
		ANFIS-PSO	0.563021	0.484263	0.99272	0.92665	0.212368	0.156321
		IT2FNN-PSO	0.197050	0.31592	0.99998	0.97536	0.038828	0.099803
Mean force Z(N)	ANFIS-GA	0.982364	2.3825	0.87019	0.74584	0.980012	5.6861	
	ANFIS-PSO	0.977640	1.077200	0.99655	0.90921	0.955770	1.160400	
	IT2FNN-PSO	0.004213	0.001153	0.99780	0.99899	1.775e-05	1.32e-6	
AA 2024	Ra	ANFIS-GA	0.220450	0.312532	0.87288	0.80256	0.048599	0.065236
		ANFIS-PSO	0.107730	0.210056	0.97037	0.90214	0.011606	0.017526
		IT2FNN-PSO	0.032277	0.401456	0.99740	0.99851	0.001042	0.002345
	Mean force X(N)	ANFIS-GA	0.998501	1.236523	0.96339	0.74253	0.910412	3.632541
		ANFIS-PSO	1.338800	0.743440	0.99966	0.92021	1.792500	0.552700
		IT2FNN-PSO	0.053311	0.383390	0.99997	0.94036	0.021096	0.14699
	Mean force Y(N)	ANFIS-GA	1.103245	1.996420	0.96559	0.88125	1.328412	2.532154
		ANFIS-PSO	0.482345	0.624123	0.98520	0.91102	0.312548	0.396548
		IT2FNN-PSO	0.219330	0.068250	0.98210	0.99899	0.048103	0.004658
Mean force Z(N)	ANFIS-GA	0.832452	1.511800	0.78398	0.71253	0.663248	2.285500	
	ANFIS-PSO	0.783130	0.897090	0.99767	0.97563	0.613290	0.804760	
	IT2FNN-PSO	0.697700	2.032900	0.99815	0.93587	0.386790	4.132500	
AA 7075	Ra	ANFIS-GA	0.206750	0.331770	0.206750	0.331770	0.92191	0.81253
		ANFIS-PSO	0.117850	0.446420	0.117850	0.446420	0.97486	0.88745
		IT2FNN-PSO	0.001351	0.25081	0.001351	0.25081	1	0.99452
	Mean force X(N)	ANFIS-GA	0.821452	2.012365	0.821452	2.012365	0.98861	0.81235
		ANFIS-PSO	1.654500	1.869581	1.654500	1.869581	0.94949	0.88714
		IT2FNN-PSO	0.057181	0.240800	0.057181	0.240800	0.99985	0.90365
	Mean force Y(N)	ANFIS-GA	0.252145	0.989821	0.252145	0.989821	0.97596	0.79852
		ANFIS-PSO	0.306750	2.358500	0.306750	2.358500	0.97998	0.71253
		IT2FNN-PSO	0.02503	0.70959	0.98999	0.90256	0.000626	0.95846
Mean force Z(N)	ANFIS-GA	0.758698	1.120225	1.95050	0.82821	0.431526	4.83100	
	ANFIS-PSO	0.084256	0.364152	1.38340	0.97474	0.001254	1.91390	
	IT2FNN-PSO	0.029115	0.005409	0.14989	0.99360	0.000847	0.029884	

including aluminum alloys which exhibit high thermal and electrical conductivity and low ductility. The surface quality of the machined parts as well as mean values of directional cutting forces are among the main machinability attributes which need to be considered and factors governing their variation must be clearly defined.

In the course of this study, main machinability attributes including surface roughness Ra and mean values of directional cutting forces were studied for modeling and consequently optimization purposes. An effective prediction of the machinability attributes aforementioned using proposed strategies may lead to less need to experimental measurement, adequate process parameter selection, as well as lower machining costs.

The main remarkable conclusions of this paper are the following:

1. ANFIS-GA network was established and it was used to predict Ra and mean values of directional cutting forces using GA as the learning algorithm. The best performance was achieved by using 200 neurons in the hidden layer, 20 clusters, 1000 iteration and 40 populations. The experimental values of Ra results could be modeled adequately and with respects to aluminum alloys tested, the correlation coefficients of 0.97, 0.802, and 0.812 were found between the modeling and experimental values of Ra.
2. ANFIS-PSO is the second model that was used in this paper for similar purposes aforementioned. Similar input parameters were used as the one in ANFIS-GA model. Obviously, it can be founded the performance of this model is significant. For instance, the correlation coefficients of predicted models of all three types of aluminum alloys were 0.98, 0.90, and 0.89. The modeling results indicate that the ANFIS-PSO outperforms ANFIS-GA modeling results.
3. The last prediction model used in this work is IT2FNN with PSO learning algorithm. Modeling results exhibited that the best performance belongs to this model where the correlation coefficients between predicted and experimental values of Ra are 0.980, 0.998, and 0.994 respectively for AA 6061, AA2024, and AA7075. Better results were observed as compared to type 2 neuro-fuzzy systems and PSO learning algorithms. MFs of this model provide a more convenient structure and better handling of uncertainties was observed.

4.1 Outlook

This work can be integrated into practical processes in order to conduct online precision and monitoring of machining process by means of cost reduction, accuracy, and quality improvement in the work parts and machine tools. The use of the presented approach may tend to reduce the number of experimental tests and provide precision machining performance, while less operating tests are demanded in terms of off-line measurements of Ra values of the machined parts.

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