

# An analytical cutting force model for plunge milling of Ti6Al4V considering cutter runout

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**Abstract** As one of the most efficient machining methods, plunge milling has gained more attention as a promising cutting process. This strategy is often used for roughing and semi-roughing processes for the more vibration free than other cutting operations. The motivation of this paper is that the cutting forces in plunge milling differ from that in side milling for the complex cutting condition and tool geometry. In this work, a systematic and analytical cutting force prediction model considering cutter runout for plunge milling is proposed. The detailed analysis of cutting geometry is important for modeling. The precise uncut width is calculated with consideration of the cutting step. In addition, the real-time uncut chip thickness of different inserts is calculated with consideration of the effect of cutter runout. The deduced cutting force model based on the predictive model can be used in various cutting conditions in the plunge milling process. Plunge milling tests with various cutting steps are carried out to verify the proposed model with the quantitative analysis of the results. The results indicate that the simulated results show quite good agreements with the measured cutting forces, which proves the correctness and accuracy of the proposed model.

**Keywords** Analytical model · Plungemilling · Cutterrunout · Cutting force

## Nomenclature

$K_t, K_r, K_f$	Cutting coefficients in tangential, feed, and radial directions
$F_x, F_y, F_z$	Cutting force in Cartesian coordinate
$Cl_f$	Axial relief angles of the tool
$V_c$	Chip velocity
$\alpha_r$	Radial rake angle
$R$	Radius of the tool
$S(\varphi_{ji})$	Real-time dynamic uncut chip
$a_s$	Nominal cutting step
$\varphi_{ex}$	Cutting out angle
$\varphi_{stm}$	Changeable cutting angle
$\rho$	Runout angle
$\psi$	Lag angle
$\varepsilon_j$	Axial runout amplitude
$\phi_n$	Normal shear angle
$\eta_{sh}$	Shear flow angle
$\beta_n$	Normal friction angle
$i$	Local helix angle
$\hat{h}$	Non-equidistant shear band of thickness
$T$	Instantaneous cutting temperature
$\lambda$	The unequal coefficient
$C_p$	Heat capacity of material
$\rho_m$	Material density
$T_r/T_m$	Room/melting temperature
$C_1, C_2$	The empirical machining constant
$\beta_n$	Normal friction angle
$\chi$	Taylor-Quinney coefficient
$F_t, F_f, F_r$	Cutting force in tangential, feed and radial directions
$f_{zj}$	Actual feed rate of insert $j$ in normal direction

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$\psi_r$	Cutting edge angle of the tool
$V$	Cutting velocity
$\lambda_s$	Inclination angle
$\varphi_{ji}$	Immersion angle of tooth $j$
$f_z$	Nominal feed rate of plunge milling
$a_e$	Nominal cutting width
$\varphi_{st}$	Cutting in angle
$t(\varphi_{ji})$	Real-time uncut width thickness
$R_j$	Actual cutting radius of insert $j$
$\lambda$	Runout offset amplitude
$N$	Number of cutting teeth
$\alpha_n$	Normal rake angle
$\tau_s$	Shear flow stress
$\eta_c$	The chip flow angle
$\alpha_r$	Radial rake angle
$\dot{\gamma}, \gamma$	The shear strain rate, shear strain in the primary shear zone
$\eta_e$	Equivalent plane angle
$\dot{\gamma}_m$	Maximum shear strain rate
$V_s$	Shear velocity
$A, B, C, m, n$	Yield strength, strength coefficient, strain rate sensitivity coefficient, thermal softening coefficient, and strain hardening exponent of the material, respectively.

## 1 Introduction

As one of the most important output variables in the metal cutting process, cutting force is one of the key factors affecting the machined surface. The prediction of cutting forces is not only crucial for analyzing and understanding the metal cutting process but also important for optimizing cutting parameters. These models can be used to optimize tool geometry and machining conditions in metal cutting operations. The cutting force model for milling and their theory have been rather well developed and simulated with a numerical algorithm.

During the last decades, there has been significant progress in developing predictive models for cutting operations. The mechanistic model uses cutting force coefficients that are predicted from finite element simulation or calibrated by cutting tests to predict the cutting forces [1]. This kind of method is often used to study the cutting processes for new tool and material design while the high cost of cutting tests limited its application. Kline et al. [2] presented a mechanical cutting force prediction model for peripheral milling. The method put forward by Azeem et al. [3] is the cutting force coefficients obtained from half-slot milling, after which the calibrated coefficients can be applied to a wide range of cutting conditions. Wang et al. [4] studied the average cutting force model and noted that the coefficients can be considered to be constant for the selected tool-workpiece conditions. The results illustrate that the cutting coefficients deriving from the proposed

method are independent from the milling parameters selected. Merchant [5] proposed an analytical method based on the orthogonal and oblique cutting theory. Altintas et al. [6] developed an analytical cutting force model for end milling based on the calculation of the chip thickness. Oxley [7] proposed a complex cutting model for low carbon steel based on shear angle, material properties, and other parameters. In the proposed model, the work hardening, strain rate, temperature, and thermal softening of the workpiece are used to evaluate the flow stress of the cutting area. Moufki et al. [8] proposed an analytical thermo-mechanical method, and then they developed the cutting theory and applied it to peripheral milling [9]. Li et al. developed an analytical cutting force model based on the non-equidistant shear zone theory and applied it in end milling operation [10]. The runout effects are investigated with the simulation of cutting force, equivalent stress, and wear characteristics [11, 12]. Fu et al. [13] presented and developed the analytical model considering runout for ball-end milling based on oblique cutting theory.

As one of efficient machining methods, plunge milling operation is more vibration free than other cutting processes, i.e., plane milling, side milling, and end milling. This strategy is often used in roughing and semi-roughing processes [14]. Plunge milling is often used in roughing in molds and dies, also in machining of hard material, such as Inconel 718 for removing excess material rapidly. In plunge milling, the feed direction coincides with the axis direction which highly increases the rigidity of the cutting system. As a result, this machining method has gained more and more attention and research in recent years. Plunge milling operation has received a lot of attention in recent years due to its wide applications in machining of cavities and walls in molds, dies, and aerospace parts. Li et al. [15] developed the cutting force prediction via kinematic integration along the cutting edges, which can facilitate the optimization of tool design and cutting parameters. The intermittent plunge processes are investigated and can be used in vertical walls [16]. The frequency domain model of mechanics and dynamics caused by regenerative chip thickness is proposed by Altintas and Ko [17, 18]. Different cutting conditions (rigid and flexible workpiece) are discussed, and the dynamic chip area is evaluated based on the interaction of the insert main and side cutting edges considering the tool and workpiece geometry [19]. Ren et al. [20] used the plunge strategy for open blisk machining. The new strategy for open blisks reduced the cutting force by 60% and increased the rough machining efficiency more than double. Liang et al. [21] investigated the feed rate scheduling for multi-axis plunge milling of open blisks in order to reduce the machining time. In their study, the material removal rate is scheduled to keep constant and the cutting time reduced by about 23%. Zhuang et al. [22] proposed the plunge cutting force prediction model based on

the precise dynamic uncut chip thickness by considering the cutting step as well as the cutting width. They considered the cutting forces, machine-tool capacity, and stability criteria when improving the cutting parameters in plunge milling. However, the effect of cutter runout existing in the multi-tooth machining process has been ignored in the proposed model. Danis et al. [23] developed the cutting force model in plunge milling of magnesium-rare earth alloys and noted that the tangential force is the preponderant force in plunge milling. In their study, the influences of cutting parameters as well as the edge radius on cutting force are investigated. A wide range of cutting conditions was set to validate the proposed model, and the results showed good agreement between the proposed model and measured results. Rafanelli et al. [24] developed a new method based on the average force model to evaluate the cutting coefficients for plunge milling. The proposed method evaluated the cutting coefficients considering the effect of the radial engagement. Cafieri et al. [25] optimized the plunge milling time via a mixed-integer nonlinear program; the results emphasized the fact that the cutting parameters are very sensitive to the variable cutting forces and machining power constraints.

As one of the most fundamental and important physical parameters, cutting force is the basis for cutting process research. The cutting force prediction models have been widely used for metal cutting by scholars and practitioners. These models are effective when being used in the side cutting processes, such as end milling and flank milling. The contribution of this paper is that an analytical model considering cutter runout for plunge milling based on the classic oblique cutting theory is proposed. In this study, the more accurate engagement geometry reflects the nature of plunge operation by considering the influence of cutter runout and tool angles. The precise real-time cutting width is predicted by the cutting step as well as cutting width. Also, the real-time uncut chip thickness is calculated considering the effect of cutter runout. In addition, the detailed analysis of the cutting geometry for plunge milling with three different conditions is demonstrated in Sect. 2. The classic oblique cutting theory as well as the Johnson-Cook constitutive model is developed for plunge force prediction in Sect. 3. In Sect. 4, the article is followed by a further part of the simulation which verifies the effectiveness and feasibility of the proposed model. Then, the conclusions are given in Sect. 5.

## 2 Analysis of plunge milling process

### 2.1 Geometry of plunge milling cutter

The cutting geometry of a classic plunge milling cutter is shown in Fig. 1a which consists of the cutter body and tool

inserts from the consideration of economic objective. The method of high-efficiency plunge rough milling is applied in the rough or semi-finishing milling stage. The plunge milling cutter can be used in roughing or semi-roughing, enlarging small holes and making big holes. In plunge milling operation, the cutter inserts have an offset distance from the tool axis and the feed direction is aligned with the spindle axis  $z$ , where axes  $x$  and  $y$  are the horizontal coordinates. Moreover, the main cutting edge is the cutting lip for feed along the axial direction in plunge milling (Fig. 1a) while the main cutting edge is the side edge for feed along the horizontal direction in conventional milling. The systematic cutting force model proposed and validated in this paper is based on the analysis of the precise cutting geometry and cutter runout.

In milling operation,  $F_t$  is called tangential cutting force which is going along the direction of the cutting speed,  $F_f$  is the one in the direction of feed called feed force, and  $F_r$  is the normal cutting force or radial cutting force, as shown in Fig. 1. In order to simplify the analysis, the three predicted orthogonal force components ( $F_t, F_f, F_r$ ) can be transformed into three orthogonal force components ( $F_x, F_y, F_z$ ) in Cartesian coordinates. In Fig. 1b, the plunge cutter has an edge angle ( $\psi_r$ ) and the cutting lip has an offset from the plunge center. The prediction model of the cutting force attracted considerable attention for many years which can be used to guide tool design and cutter production. Figure 1d, e illustrates the oblique cutting theory used in the proposed analytical model. The cutting geometry in plunge milling differs from side milling and end milling. In Fig. 2, the cutting entry zone (ACD) should be taken into account since the cutting step is as great as the radial cutting depth [22]. Figure 2 shows that the plunge milling can be used in roughing, enlarging small holes, and slotting large holes, respectively. Figure 2a illustrates the cutting direction of plunge milling along with the axis of the spindle. There are three different cutting conditions in plunge milling; Fig. 2b, c shows the small cutting width and large cutting width in plunge operation, separately. Figure 2d reflects the slot cutting condition in plunge milling which is a particular case of Fig. 2c.

A detailed analysis of cutting geometry of plunge milling which treats the cutting step and cutting width as equally important factors in modeling has been investigated in this study. Figure 2 gives three cutting conditions in plunge milling with different cutting geometries considering zone ACD. For the  $j$ th cutting tooth,  $\varphi_{ji}$  is the immersion angle and  $t(\varphi_{ji})$  is the real-time uncut width thickness of the instantaneous cutting position. The detailed explanations of three cutting conditions in plunge milling are given below.

**Case 1** Small radial cutting width. As shown in Fig. 2b, the nominal cutting width is smaller than or equal to the tool radius ( $a_e \leq R$ ). The real-time uncut width thickness  $t(\varphi_{ji})$  can be given as



$$t(\varphi_{ji}) = \begin{cases} 0 & \varphi_{ji} < \varphi_{st}, \varphi_{ji} > \varphi_{ex} \\ R - \frac{a_e - R}{\sin \varphi_{ji}} & \varphi_{st} \leq \varphi_{ji} \leq \varphi_{sm} \\ R - \sqrt{R^2 - a_s^2 \cdot \sin^2 \varphi_{ji} - a_s \cdot \cos \varphi_{ji}} & \varphi_{sm} \leq \varphi_{ji} \leq \varphi_{ex} \end{cases} \quad (1)$$

where

$$\begin{cases} \varphi_{st} = \pi + \operatorname{asin}\left(\frac{R - a_e}{R}\right) \\ \varphi_{sm} = \pi + \operatorname{atan}\left(\frac{R - a_e}{\sqrt{2Ra_e - a_e^2 - a_s}}\right) \\ \varphi_{ex} = \frac{3\pi}{2} + \operatorname{atan}\left(\frac{a_s}{2R}\right) \end{cases} \quad (2)$$

**Case 2** Large radial cutting width. As shown in Fig. 2c, the nominal cutting width is larger than the tool radius ( $R < a_e < 2R$ ). The real-time uncut width thickness  $t(\varphi_{ji})$  can be given as

$$t(\varphi_{ji}) = \begin{cases} 0 & \varphi_{ji} < \varphi_{st}, \varphi_{ji} > \varphi_{ex} \\ \frac{a_e - R}{\sin \varphi_{ji}} - \sqrt{R^2 - a_s^2 \cdot \sin^2 \varphi_{ji} - a_s \cdot \cos \varphi_{ji}} & \varphi_{st} \leq \varphi_{ji} \leq \varphi_{sm} \\ R - \sqrt{R^2 - a_s^2 \cdot \sin^2 \varphi_{ji} - a_s \cdot \cos \varphi_{ji}} & \varphi_{sm} \leq \varphi_{ji} \leq \varphi_{ex} \end{cases} \quad (3)$$

where

$$\begin{cases} \varphi_{st} = \pi + \operatorname{atan}\left(\frac{R - a_e}{\sqrt{2Ra_e - a_e^2 - a_s}}\right) \\ \varphi_{sm} = \pi + \operatorname{asin}\left(\frac{R - a_e}{R}\right) \\ \varphi_{ex} = \frac{3\pi}{2} + \operatorname{atan}\left(\frac{a_s}{2R}\right) \end{cases} \quad (4)$$

**Case 3** Slot cutting in plunge milling. As shown in Fig. 2d, the nominal cutting width is equal to the tool diameter ( $a_e = 2R$ ). The real-time uncut width thickness  $t(\varphi_{ji})$  can be given as

$$t(\varphi_{ji}) = \begin{cases} 0 & \varphi_{ji} < \varphi_{st}, \varphi_{ji} > \varphi_{ex} \\ R - \sqrt{R^2 - a_s^2 \cdot \sin^2 \varphi_{ji} - a_s \cdot \cos \varphi_{ji}} & \varphi_{st} \leq \varphi_{ji} \leq \varphi_{ex} \end{cases} \quad (5)$$

where

$$\begin{cases} \varphi_{st} = \frac{\pi}{2} - \operatorname{atan}\left(\frac{a_s}{2R}\right) \\ \varphi_{ex} = \frac{3\pi}{2} + \operatorname{atan}\left(\frac{a_s}{2R}\right) \end{cases} \quad (6)$$

For plunge milling, the sum of cutting forces acting on the cutting tooth  $j$  at the angular cutting angle  $\varphi_{ji}$  can be expressed as

$$F_{qj}(\varphi_{ji}) = K_q \cdot S(\varphi_{ji}) \quad q = t, r, f \quad (7)$$

where  $S(\varphi_{ji})$  is the real-time dynamic uncut chip area by cutter tooth  $j$  which can be given as

$$S(\varphi_{ji}) = t(\varphi_{ji}) f_{zj} \quad (8)$$

The predicted tangential, radial, and feed force given above can be transformed into three orthogonal components in Cartesian coordinates of the cutter axes as follows:

$$\begin{bmatrix} F_{xj}(\varphi_{ji}) \\ F_{yj}(\varphi_{ji}) \\ F_{zj}(\varphi_{ji}) \end{bmatrix} = T \begin{bmatrix} F_{tj}(\varphi_{ji}) \\ F_{rj}(\varphi_{ji}) \\ F_{fj}(\varphi_{ji}) \end{bmatrix} \quad (9)$$

with

$$T = \begin{bmatrix} -\cos \varphi_{ji} & -\sin \psi_r \sin \varphi_{ji} & -\cos \psi_r \sin \varphi_{ji} \\ \sin \varphi_{ji} & -\sin \psi_r \cos \varphi_{ji} & -\cos \psi_r \cos \varphi_{ji} \\ 0 & \cos \psi_r & -\sin \psi_r \end{bmatrix} \quad (10)$$

Then, the integral cutting force on the plunge tool at instantaneous cutting angle can be evaluated

$$F_q(\varphi_i) = \sum_{j=1}^N F_{qj}(\varphi_{ji}) \quad q = x, y, z \quad (11)$$

### 2.2 Uncut chip thickness with cutter runout

The cutter runout is a common phenomenon in multi-fluted milling operations which cannot be eradicated [2]. The detailed cutter runout and tooth path trajectories are illustrated in Fig. 3.  $O_1$  and  $O_2$  are the centers of axis of the tool and the axis of rotation, and  $R_j$  and  $R_{j-1}$  are the actual cutting radius in plunge milling with tooth  $j$  and  $j - 1$ , respectively. The distance between  $O_1$  and  $O_2$  is characterized as the runout offset amplitude  $\rho$ . As shown in Fig. 3, the runout location angle  $\lambda$  is defined to be the angle between the direction  $O_1O_2$  and the negative Y-axis direction. For a cutter with runout, the effective dynamic uncut width of insert  $j$  may depend not only on the radius of the cutting insert  $j$  but also on the radii of other cutting inserts. In plunge milling, the change of cutting radius in the horizontal direction caused by cutter runout is smaller enough to be ignored while its influence on feed direction should be taken into account for the small feed rate.  $R_j$  is the actual effective cutting radius of the  $j$ th cutting tooth, and this can be obtained by

$$R_j = R + \rho \cos\left(\lambda - \psi - \frac{2(j-1)\pi}{N}\right) \quad (12)$$



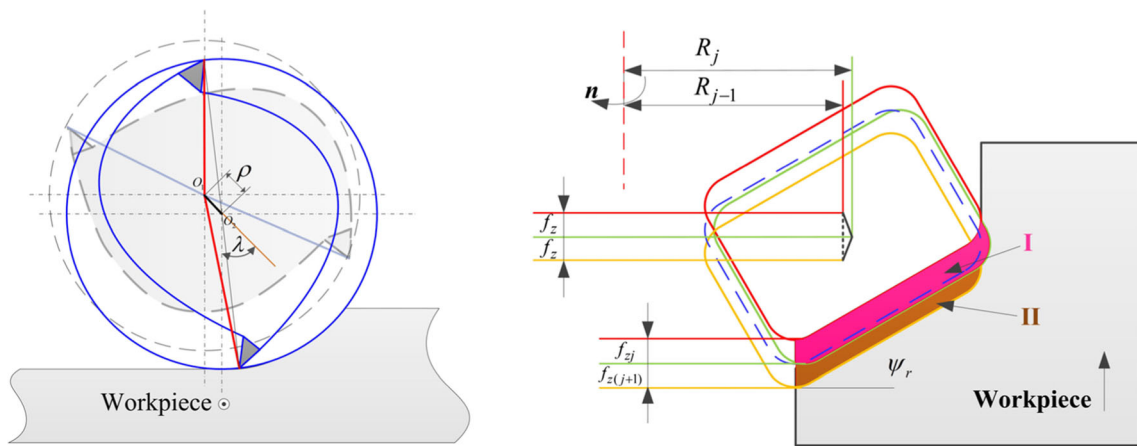


Fig. 3 Cutter runout in plunge milling operation

where  $\psi$  is defined as the lag angle and which can be assumed be zero in this study,  $R$  is the radius of the cutting tool, and  $N$  is the number of the cutting teeth.

In plunge milling, the actual feed in the axis direction differs due to the presence of the entering angle of tool and the cutter runout, as shown in Fig. 3b. Therefore, the instantaneous uncut chip thickness of plunge milling on the  $j$ th cutting tooth can be given as

$$f_{zj} = (f_z + \varepsilon_j) \cdot \cos(\psi_r) \tag{13}$$

where

$$\begin{aligned} \varepsilon_j &= (R_j - R_{j-1}) \cdot \tan\psi_r \\ &= \rho \left( \cos\left(\lambda - \frac{2(j-1)\pi}{N}\right) - \cos\left(\lambda - \frac{2(j-2)\pi}{N}\right) \right) \cdot \tan\psi_r \end{aligned} \tag{14}$$

### 3 Cutting force prediction based on oblique cutting model

The equivalent plane method where the cutting process is regarded as a two-dimensional cutting process is often used to analyze the mechanics of oblique cutting as shown in Fig. 4 [10]. In this study, the infinitesimal element of plunge milling can be represented as the oblique cutting process which is analyzed by the equivalent plane method. The cutting force coefficients  $K_t$ ,  $K_r$ , and  $K_f$  can be calculated by the following equations by considering the classical oblique cutting model developed by Moufki et al. [9].

$$\begin{cases} K_t = \frac{\tau_s}{\cos\lambda_s \sin\phi_n} \frac{\cos\eta_{sh} [\cos\phi_n \cos\lambda_s + \tan\beta_n (\sin\eta_c \sin\lambda_s + \cos\eta_c \sin\phi_n \cos\lambda_s)]}{\cos(\phi_n - \alpha_n) - \tan\beta_n \cos\eta_c \sin(\phi_n - \alpha_n)} \\ K_r = \frac{\tau_s}{\cos\lambda_s \sin\phi_n} \frac{\cos\eta_{sh} (-\sin\alpha_n + \tan\beta_n \cos\eta_c \cos\alpha_n)}{\cos(\phi_n - \alpha_n) - \tan\beta_n \cos\eta_c \sin(\phi_n - \alpha_n)} \\ K_f = \frac{\tau_s}{\cos\lambda_s \sin\phi_n} \frac{\cos\eta_{sh} [\cos\alpha_n \sin\lambda_s + \tan\beta_n (-\sin\eta_c \sin\lambda_s + \cos\eta_c \sin\alpha_n \sin\lambda_s)]}{\cos(\phi_n - \alpha_n) - \tan\beta_n \cos\eta_c \sin(\phi_n - \alpha_n)} \end{cases} \tag{15}$$

The normal rake angle  $\alpha_n$ , normal friction angle  $\beta_n$ , and normal shear angle  $\phi_n$  are determined from the orthogonal cutting approach by using the oblique transformation. According to the matrix transformation and geometric relationship in oblique cutting, essential parameters are summarized in Fig. 4.

The normal rake angle  $\alpha_n$  (in the normal plane  $P_n$ ) is evaluated as [26]

$$\alpha_n = \tan^{-1}(\tan\alpha_r \cos i) \tag{16}$$

where  $\alpha_r$  is the radial rake angle and  $i$  is the local helix angle and equal to 0.

The equivalent plane angle  $\eta_e$  can be given as follows [5]:

$$\eta_e = \tan^{-1} \left( \frac{\tan\eta_c \cos\eta_{sh} + \sin(\phi_n - \alpha_n) \sin\eta_{sh}}{\cos(\phi_n - \alpha_n)} \right) \tag{17}$$

The shear flow angle  $\eta_{sh}$  is given by [9]:

$$\eta_{sh} = \tan^{-1} \left( \frac{\tan\lambda_s \cos(\phi_n - \alpha_n) - \tan\eta_c \sin\phi_n}{\cos\alpha_n} \right) \tag{18}$$

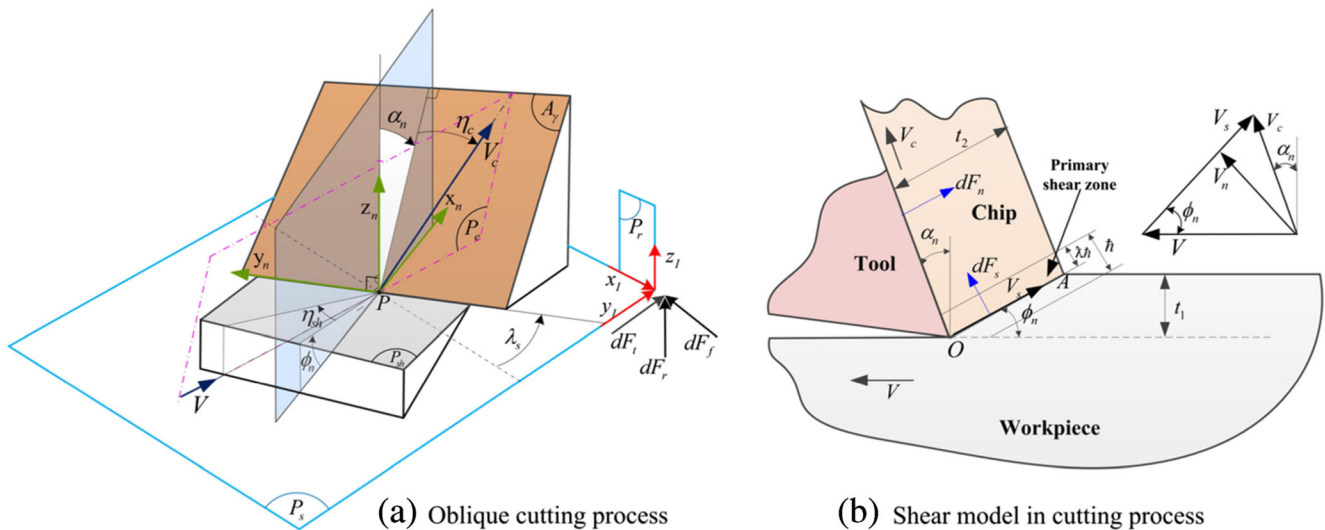


Fig. 4 Oblique cutting process and shear model

The angle between the normal cutting edge line and the direction of the chip flow is defined as the chip flow angle  $\eta_c$ . It can be calculated from the following implicit equation [9].

$$\begin{cases} \tan\lambda_s \tan\beta_n \cos(\phi_n - \alpha_n) \sin(\phi_n - \alpha_n) \cos^2 \eta_c - \tan\lambda_s \cos^2(\phi_n - \alpha_n) \\ + \tan\eta_c \sin\phi_n \cos(\phi_n - \alpha_n) + (\cos\alpha_n - \sin\phi_n \sin(\phi_n - \alpha_n)) \sin\eta_c \tan\beta_n = 0 \end{cases} \quad (19)$$

In Oxley’s cutting model, the shear zone is considered as a parallel and equidistant formation. In this study, non-equidistant shear band of thickness  $h$  consists of two non-equidistant thickness  $(1-\lambda)h$  and  $\lambda h$ , where  $\lambda \in [0, 1]$ . The governing equations for the shear strain rate  $\dot{\gamma}$  and the shear strain  $\gamma$  in the primary shear zone can be given in [27].

$$\dot{\gamma} = \begin{cases} \frac{\dot{\gamma}_m}{[(1-\lambda)h]^q} [z_e + (1-\lambda)h]^q & z_e \in [-(1-\lambda)h, 0] \\ \frac{\dot{\gamma}_m}{(\lambda h)^q} (\lambda h - z_e)^q & z_e \in [0, \lambda h] \end{cases} \quad (20)$$

$$\gamma = \begin{cases} \frac{\cos\eta_c \dot{\gamma}_m}{V \cos\lambda_s \sin\phi_n (q+1) [(1-\lambda)h]^q} [z_e + (1-\lambda)h]^{q+1} & z_e \in [-(1-\lambda)h, 0] \\ -\frac{\cos\eta_c \dot{\gamma}_m (\lambda h - z_e)^{q+1}}{V \cos\lambda_s \sin\phi_n (q+1) (\lambda h)^q} + \frac{\cos\eta_c \cos\alpha_n}{\sin\phi_n \cos\eta_s \cos(\phi_n - \alpha_n)} & z_e \in [0, \lambda h] \end{cases} \quad (21)$$

The instantaneous cutting temperature  $T$  can be evaluated by the heat transfer equation [10].

$$\frac{\partial T}{\partial z_e} = \frac{\cos\eta_e}{\rho_m C_p V \cos\lambda_s \sin\phi_n} \chi \tau \dot{\gamma} \quad (22)$$

The maximum shear strain rate  $\dot{\gamma}_m$ , the unequal coefficient  $\lambda$ , the chip velocity  $V_c$ , and the shear velocity  $V_s$  are detailed in Li et al. [27], which are obtained as

$$\dot{\gamma}_m = \frac{(q+1)V_s}{h} = \frac{(q+1)V \cos\lambda_s \cos\alpha_n}{h \cos\eta_{sh} \cos(\phi_n - \alpha_n)} \quad (23)$$

$$\lambda = 1 - \frac{\cos\eta_{sh} \cos(\phi_n - \alpha_n) (\cos\phi_n \cos\eta_{sh} + \tan\lambda_s \sin\eta_{sh})}{\cos\alpha_n} \quad (24)$$

$$V_c = \frac{V \cos\lambda_s \sin\phi_n}{\cos\eta_c \cos(\phi_n - \alpha_n)} \quad (25)$$

$$V_s = \frac{V \cos\lambda_s \cos\alpha_n}{\cos\eta_s \cos(\phi_n - \alpha_n)} \quad (26)$$

where  $\lambda = 0.025$  mm,  $q = 3$ .

Budak et al. [28] analyzed the normal friction angle  $\beta_n$  and presented an analytical dual-zone model of the tool-chip interface. The formula of the normal friction angle  $\beta_n$  and the normal pressure at tool tip  $P_0$  can be derived as follows:

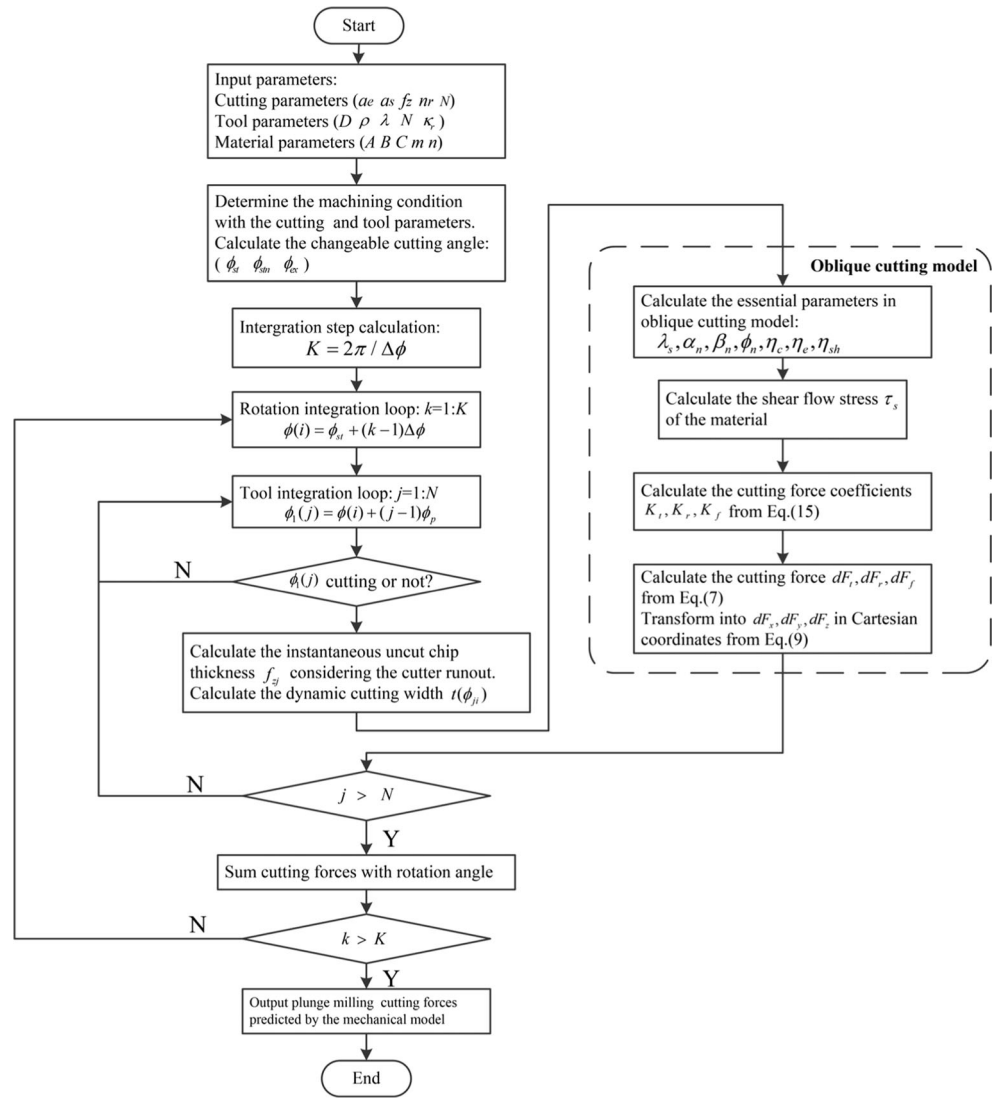
$$\tan\beta_n = \frac{\tau_s}{P_0} \left( 1 + \xi \left( 1 - \left( \frac{\tau_s}{\mu_s P_0} \right)^{1/\xi} \right) \right) = \mu_f \quad (27)$$

$$P_0 = 4 \frac{\xi + 1}{\xi + 2} \frac{\cos^2\beta_n \cos\eta_{sh}}{\sin[2(\phi_n + \beta_n - \alpha_n)]} \tau_s \quad (28)$$

where the exponent for pressure distribution  $\xi$  is taken as 3;  $\tau_s$  is the flow stress of the material,  $\mu_f$  is the mean friction coefficient, and  $\mu_s$  is the sliding friction coefficient on the tool-chip interface that can be expressed as  $\mu_s = 0.326 + 1.1 \times 10^{-3} V_c$  (m/min) [29].

The normal shear angle  $\phi_n$  is essential for estimating the apparent friction coefficient. It is assumed that the orthogonal shear angle is equal to the normal shear angle in oblique cutting, and a modified Merchant equation given below is used in the present paper.

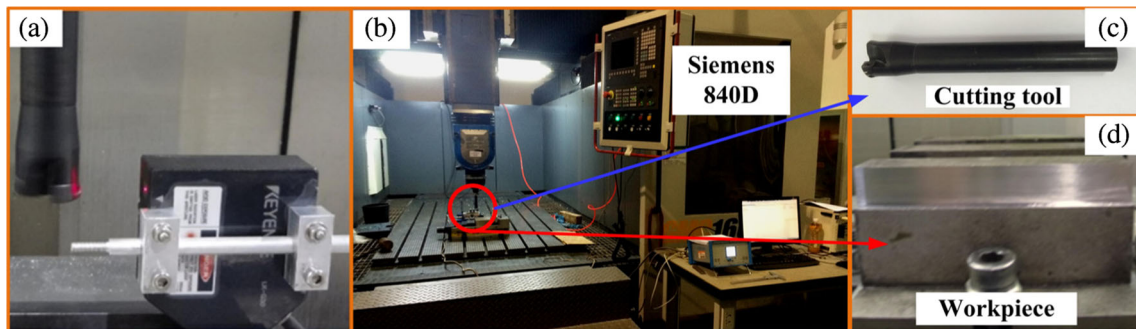
**Fig. 5** The flow chart of plunge milling force prediction



$$\phi_n = C_1 - C_2(\beta_n - \alpha_n) \tag{29}$$

where  $C_1$  and  $C_2$  are the empirical machining constants depending on the tool-workpiece material, which are taken as 0.783 and 0.5 in this work, respectively [5].

The constitutive relations are also used to account for the rate of response of materials and their non-linear behavior. Johnson and Cook developed the Johnson-Cook constitutive model which is adopted to evaluate the flow stress, which considers the effects of strain hardening, strain rate, and temperature. In this study, the workpiece is supposed to be



**Fig. 6** The experimental setup of plunge milling



**Table 1** Characteristics of the Ti6Al4V [13]

$\rho$ (kg/m <sup>3</sup> )	$C_p$ (J/kgK)	$k_p$ (W/mK)	$\chi$
4430	526	7	0.85

viscoplastic-rigid and isotropic, and its flow stress  $\tau_s$  can be given as

$$\tau_s = \frac{1}{\sqrt{3}} \left( A + B \left( \frac{\dot{\gamma}}{\sqrt{3}} \right)^n \right) \left( 1 + C \ln \left( \frac{\dot{\gamma}}{\dot{\gamma}_0} \right) \right) \left( 1 - \left( \frac{T - T_r}{T_m - T_r} \right)^m \right) \quad (30)$$

With the proposed model illustrated above, the corresponding computer programs in Matlab are developed to implement on the analysis of the cutting force model. In this paper, the uncut chip thickness and cutting velocity are modified according to the given equations with consideration of the cutter runout. The detailed algorithm used to predict the cutting force is proposed in this study, and the flow chart of the procedure is shown in Fig. 5.

## 4 Experimental validation and analysis

### 4.1 Experimental conditions

In this section, a series of cutting tests are accomplished to validate the proposed analytical cutting force prediction model in plunge milling operation. As shown in Fig. 6, the experiments of plunge milling are performed in a five-axis milling center with a Siemens 840D numerical control system. As shown in Fig. 6a, before the cutting tests, the laser sensor is used to obtain the runout offset with its orientation angle of the plunge tool. In the cutting tests, a Kistler 9257A dynamometer with an amplifier is used to measure the three-direction cutting forces in the Cartesian coordinate system. Figure 6b shows the experimental setup of the plunge milling operation. Figure 6c, d illustrates the geometry of the cutting tool and workpiece, respectively. The data signals acquired by the sensors are collected by an NI acquisition instrument which is connected to a computer.

As shown in Fig. 5, the cutting parameters, material parameters, and tool parameters in plunge milling are the input variables in the proposed model. The workpiece used in the cutting tests is Ti6Al4V with the size of a rectangle block, as shown in Fig. 6d. This kind of material is often used in aircraft turbine engines. Table 1 lists the properties of this kind of material, and the Johnson-Cook parameters of Ti6Al4V are

**Table 2** Johnson-Cook parameters of Ti6Al4V [13]

$A$ (MPa)	$B$ (MPa)	$C$ (MPa)	$n$	$m$	$(\dot{\gamma}_0/s)$	$T_m$ (°C)	$T_r$ (°C)
862.5	331.2	0.012	0.34	0.8	1	1993	20

given in Table 2. A 32-mm plunge milling tool holder with two inserts are used in plunge milling processes under dry cutting, and the cutting tool used in the cutting tests is shown in Fig. 6c. The cutting edge angle  $\psi_r$  is 10°, and the cutter inserts can be replaced by new ones out of economic consideration. There are three types of cutting in plunge milling operations, i.e., small width, large width, and slot. In this section, a series of plunge experiments are performed to validate the proposed model. The typical cutting conditions from the cutting tests are given in Table 3 with the cutter runout parameters measured by a laser sensor.

### 4.2 Results comparisons

The cutting force simulation with the proposed model for plunge milling operation is carried out. Figure 7 proposes the theoretical prediction cutting forces under the given cutting conditions (Table 3), which are in good agreement with the measurement ones on the waveform, amplitude, and phase. From the comparison results, it can be seen that the cutter runout phenomenon existed in the two-fluted plunge milling operation. The cutter runout parameters can be calculated with the measured data from the laser sensor, and the runout parameters are given in Table 3. The measured cutting forces show varying amplitudes under different cutting inserts, and the cutting forces predicted carried the same rule by considering the cutter runout effect in Sect. 2.2.

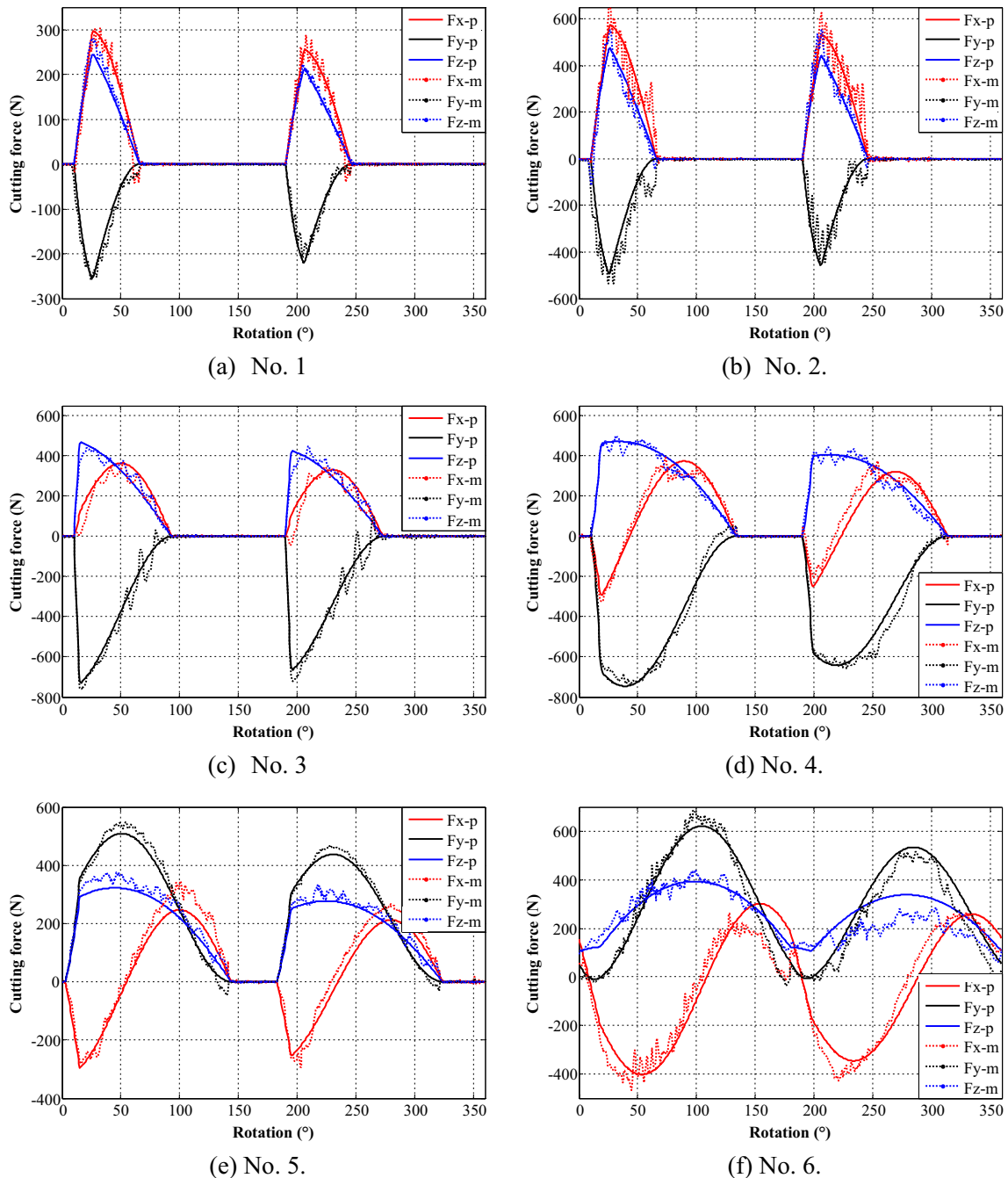
For further comparison of the predictive and experimental results, quantitative and detailed analysis based on the data is given. First, the average cutting forces obtained from the predicted by the proposed analytical model and experiments are compared; the errors of the average value of forces in three directions are calculated and given in Table 4. The values in the list represent that the average absolute forces are obtained from the cut-in rotation section in one revolution of the cutter for reducing the error. In the present work, it can be noted that the average errors of three directions are smaller than 15%, which shows that the proposed model performs well with the measured ones. In order to compare the trends of predictive and experimental results, the correlation between two results are used and the correlation coefficients are given in Table 4 [29]. The average correlation coefficient is 0.9584 which shows that the data are highly correlated. The predicted and measured plunge forces are in good quantitative agreement, which indicates the correctness of the proposed model in Sect. 3 with consideration of the cutter runout.

## 5 Conclusions

In this work, an analytical cutting force prediction model considering the cutter runout phenomenon for plunge milling is proposed. The developed model is based on the fundamental

**Table 3** Cutting parameters under different cutting conditions

No.	Type	D	$\Omega$	$a_e$	$a_s$	$f_z$	$\rho$	$\lambda$
1	Small	32	1000 rpm	5 mm	5 mm	0.05 mm/tooth	12 $\mu\text{m}$	27°
2	Small	32	1000 rpm	5 mm	5 mm	0.10 mm/tooth		
3	Small	32	1000 rpm	12 mm	4 mm	0.08 mm/tooth		
4	Large	32	1000 rpm	20 mm	6 mm	0.05 mm/tooth		
5	Large	32	1000 rpm	24 mm	5 mm	0.05 mm/tooth		
6	Slot	32	1000 rpm	32 mm	5 mm	0.05 mm/tooth		

**Fig. 7** The predicted and measured cutting forces with the cutting parameters shown in Table 3

**Table 4** Average cutting forces of measured and predicted by proposed model and their errors

No.	Predicted			Measured			Error					
	Average			Average			Average(%)			Correlation coefficient		
	Fx	Fy	Fz	Fx	Fy	Fz	Fx	Fy	Fz	Fx	Fy	Fz
1	172.0	110.8	134.4	161.5	104.2	123.7	6.1	6.0	8.0	0.9565	0.9705	0.9872
2	341.4	238.8	250.3	323.1	208.4	247.4	5.4	12.8	2.5	0.9071	0.8963	0.9532
3	205.4	410.4	288.6	201.1	400.2	301.1	2.1	2.5	-4.3	0.9677	0.9857	0.9799
4	191.9	340.2	271.3	218.4	319.9	262.2	12.1	5.9	3.4	0.9457	0.9845	0.9595
5	168.8	287.1	227.1	145.3	275.6	207.3	13.9	4.0	8.8	0.9941	0.9967	0.9900
6	208.1	276.9	236.9	221.9	305.8	265.0	6.6	10.4	11.8	0.9493	0.9786	0.8497

metal cutting principles and precise uncut chip thickness prediction in plunge milling. The cutting step as well as cutting width are used to predict the real-time dynamic cutting width and uncut chip thickness in plunge milling process. The forces predicted by the proposed model closely match the measured ones for the whole cutting conditions in plunge milling operation. The following conclusions can be given from this study:

1. An analytical cutting force prediction model considering the workpiece material constitutive law, cutting parameters, tool geometry, and cutting conditions is proposed and developed for plunge milling operation. In the new prediction model, the Johnson-Cook constitutive law is used to estimate the shear flow stress which is used to calculate the cutting coefficients used for plunge milling operation.
2. Plunge milling differs from other cutting processes for the feed along the axis of the spindle. The cutting step as well as the cutting width are used to predict the real-time dynamic uncut chip in three cutting conditions. The effects of cutter runout parameters on the uncut chip thickness by the tool edge angle are analyzed with the precise cutting geometry.
3. The quantitative and detailed analysis of the experimental and predictive results is given in this investigation. The qualitative analysis shows that the predicted cutting forces match the measured ones well, which proves the effectiveness and feasibility of the proposed model.

In this study, the proposed model and its theory are described in detail and designed for accuracy control of plunge milling. The algorithm developed by the proposed model is proved to be correct and feasible by the comparison of measured and predicted results. The influences of cutting parameters on the cutting forces in plunge milling should be studied and analyzed in future study.

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