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# Modelling of surface roughness and grinding forces using artificial neural networks with assessment of the ability to data generalisation

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Abstract The article presents a new methodology for modelling the influence of parameters and conditions of surface grinding process on the value of roughness and grinding forces. Grinding processes are characterised by numerous factors influencing results of the process with a complex mechanism of the cumulative effects of their interactions. Therefore, authors for the development of the model used an artificial neural network. The input parameters of the model, apart from the processing parameters, were the properties of the workpiece and features of the grinding wheel. In the selection process of the neural model structure, an evaluation criterion was proposed which included the character of the influence of processing parameters on the resultant values of the grinding process for the given pair workpiece–tool. A high ability to data generalisation by the developed neural model was demonstrated.

Keywords Grinding . Modelling . Roughness . Grinding forces . Neural networks

#### Nomenclature

- $a_e$  depth of cut, ( $\mu$ m),
- c workpiece specific heat,  $(J \cdot kg^{-1} \cdot K^{-1})$
- $d_{ns}$  grinding wheel mean grain dimensions, (FEPA) designation),
- $E_m$  workpiece Young's modulus, (GPa),

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- $E_{nn}$  neural network error function,
- $E_U$  neural network error for learning data,
- $E_V$  neural network error for validation data,
- $E_{\text{Test}}$  neural network error for test data,
- $F_{t}^{'}$ tangential component of the specific grinding force, (N/mm),
- $F_{n}^{'}$ normal component of the normal grinding force, (N/mm),
- $f^{(i)}(.)$ neuron activation function in  $i$ -th layer of a neural network,
- $H_m$  workpiece hardness, (HRC),
- $H_n$  grinding wheel hardness,
- $H_{ns}$  grinding wheel abrasive material hardness  $H_{ns}$  (Mohs scale),
- $K$  number of outputs of a neural network,
- $K_m$  neural network penalty function,
- M number of neurons in the hidden layer of a neural network,
- N number of inputs of a neural network,
- $R_m$  workpiece tensile strength, (MPa),
- Sa workpiece surface roughness average,  $(\mu m)$ ,
- T neural network targets,
- $V_{ns}$  grinding wheel percentage pore contribution,  $(\%)$ ,
- $v_c$  grinding wheel peripheral speed, (m/s),
- $v_{ft}$  table tangential speed, (m/min),
- $v_{fa}$  axial table feed speed, (mm/stroke),
- W weights of a neural network,
- X neural network inputs,
- $X_m$  workpiece material properties,
- $X_n$  grinding wheel properties,
- $X_p$  grinding parameters,
- Y neural network outputs,
- $\alpha$  workpiece thermal expansion,  $(10^{-6} \cdot K^{-1})$ ,
- $\lambda$  workpiece thermal conductivity,  $(W \cdot m^{-1} \cdot K^{-1})$ ,

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## 1 Introduction

Grinding processes are one of the basic methods of finishing. This processing aims at obtaining the determined parameters of a processed surface and the shape and dimensions accuracy of processed elements. A significant parameter determining the geometric microstructure of ground surface is its roughness [\[1](#page-11-0)]. Roughness parameters influence i.a. corrosion resistance, the surface area of the contact zone between mating surfaces of machine parts and the fatigue life of the processed elements [[2,](#page-11-0) [3](#page-11-0)]. Grinding forces constitute the variable used for monitoring the grinding process and indirectly for the evaluation of the phenomena occurring during the process [\[4](#page-11-0), [5](#page-11-0)]. Two grinding force components are distinguished in grinding processes: tangential grinding force and normal grinding force. The values of individual components and their interactions enable determining the dominant character of the removal of processed material. Moreover, the values of force components enable determination of the changes on the grinding wheel active surface [\[6](#page-11-0)]. The increasing of the abrasive wear and the loading up of the grinding wheel active surface result in the increase of component grinding forces, increase of thermal interactions within the grinding zone as well as the increase of residual stress in the workpiece surface layer.

An appropriate selection of the grinding process parameters that ensures obtaining the expected roughness parameters of the processed surface has been the subject of numerous studies. An overview of studies on the issue indicates the use of both analytical modelling methods and soft computing methods. In the papers [[7](#page-11-0)–[9](#page-11-0)], the influence of machining parameters on the values of grinding forces, temperature, specific energy and surface quality of the grinding process was analysed. Models have been developed to predict the roughness of the machined surface and the grinding temperature using both analytical methods and neural networks.

Choi et al. [[10](#page-11-0)] presented a generalised model enabling the prediction of roughness and burns of the surfaces occurring in the grinding process of cylindrical surfaces with aluminium oxide grinding wheels. Stępień [\[11\]](#page-11-0) proposed a probabilistic model for the prediction of surface roughness in the grinding process. The model included the randomness of distribution of active grains on the grinding wheel active surface and its influence on the undeformed chip thickness and the processed surface formation was determined. In the study [\[12\]](#page-11-0), a model determining the influence of the grinding process parameters on the values of component grinding forces including the forces resulting from the chip formation and friction in the contact zone with the workpiece was presented. Younis et al. [\[13\]](#page-11-0) proposed a model of component grinding forces including three stages of interaction between the abrasive grain and the workpiece: rubbing, ploughing and cutting. However, the developed empirical models require a series of experimental tests in order to determine their coefficients.

The determination of the influence of parameters and conditions of processing on the values of component grinding forces and the roughness parameters of the processed surface is a difficult task due to the multitude of factors influencing their value and the complex mechanism of cumulative effects of their interactions [\[1,](#page-11-0) [4](#page-11-0)]. As a result of this, the developed analytical models are created with the range of assumptions enabling simplification of the modelling process. Artificial neural networks enable an efficient approach to modelling the influence of numerous factors with unknown cumulative effects of their interactions. Numerous attempts have been presented in the literature to model the influence of processing parameters and conditions on the resultant values of processing [[14\]](#page-11-0), although only a small portion of these studies concerned grinding processes.

The use of neural network models in grinding processes was focused primarily on the issues of processing parameter selection and monitoring of the processes. In the study [\[15\]](#page-11-0), a multilayer neural network was used for the prediction of roughness of the processed surface. The obtained neural network models were characterised by high degree of fit to the experimental data for both low and high work speeds. Ammamou et al. [\[16\]](#page-11-0) demonstrated that the use of a multilayer neural network for the prediction of values of specific component grinding forces provides better results obtained from the regression model, the power model and the genetic algorithms. The use of neural network models for monitoring of grinding processes applies primarily to the modelling of interactions between the processing variables and the values explaining the state of abrasive tool or parameters of the processed surface parameters. The validity of using artificial intelligent methods for the estimation of processed surface roughness [[17,](#page-11-0) [18\]](#page-11-0), tool wear [[19](#page-11-0)–[21](#page-11-0)] and precision of the shape and dimensions of processed elements [[22](#page-11-0)] was demonstrated.

The present study developed a neural model for grinding process of surfaces determining the influence of processing parameters and the parameters characterising the pair workpiece–grinding wheel on the roughness of the processed surface and values of the component grinding forces. The model was developed based on experimental test results conducted for different pairs of workpiece–grinding wheel. For the selection of neural network, a new methodology was proposed based on the criterion including, apart from the value of mean squared error, the direction and influence of processing parameters on the resultant values of the grinding process for the given pair workpiece–grinding wheel. This enabled obtaining the neural model with good ability to data generalisation.

## 2 Grinding process assumptions

The result of the grinding process is the effect of interactions of the grinding wheel active surface and the surface of the workpiece. The character and type of these interactions depends on, i.e. parameters of grinding  $X_n$ , properties of the processed material  $X_m$  and properties of the grinding wheel  $X_n$ :

$$
X = [X_p, X_m, X_n]
$$
 (1)

In terms of kinematics, the course of grinding primarily depends on the parameters of the grinding process  $X_p$  and properties of the grinding wheel  $X_n$ . These parameters determine the conditions of contact between grinding wheel and workpiece. Among others, they influence [[1](#page-11-0), [4\]](#page-11-0) the length of the contact zone between grinding wheel and workpiece, number of active grains, mean distance between active grains, mean and maximum chip cross section. The main parameters of surface grinding  $X_p$  include grinding wheel peripheral speed  $v_c$ , tangential table speed  $v_f$ , axial table feed speed  $v_{fa}$ and depth of cut  $a_e$ .

$$
X_p = [v_c, v_{ft}, v_{fa}, a_e]
$$
\n<sup>(2)</sup>

The size and shape of abrasive grains, properties of the processed material  $X_m$  and the parameters of the cooling process and characters of the processing fluid influence working conditions of cutting edges and the wear processes of abrasive grains and tool [[23](#page-11-0)]. Mechanical and physical properties of the processed material  $X_m$  have an effect on the phenomena occurring in the contact zone between abrasive grain and the processed material. They influence the chip formation process and the size and shape of ridges in the cutting zone of the single grain. Moreover, they influence the depth of thermal impact zone. The basic parameters characterising the mechanical and physical properties of the processed material  $X_m$  were assumed as follows: tensile strength  $R_m$ , Young's modulus  $E_m$ , hardness  $H_m$ , thermal expansion  $\alpha$ , thermal conductivity  $\lambda$ and specific heat c.

$$
X_m = [R_m, E_m, H_m, \alpha, \lambda, c]
$$
\n(3)

Significant parameters influencing both the course and the result of the grinding process are the parameters linked to the type and structure of grinding wheel  $X_n$ . The size of abrasive grains influences the number of active grains per active area unit of grinding wheel. An important parameter determining the grinding wheel structure is the percentage contribution of abrasive grains and the related percentage contribution of pores. This parameter influences the topography of grinding wheel influencing the mean distance between abrasive grains. Moreover, high porosity of the grinding wheel facilitates the cooling process of the processing zone as well as the removal of chips from grinding wheel working zone. Therefore, the main parameters describing the structure and type of grinding wheel  $X_n$  in the developed neural model were assumed as follows: abrasive material hardness  $H_{ns}$  (Mohs scale), mean grain dimensions (FEPA designation)  $d_{ns}$ , grinding wheel hardness  $H_n$  and percentage pore contribution  $V_{ns}$ .

$$
X_n = [H_{ns}, d_{ns}, H_n, V_{ns}] \tag{4}
$$

The selection of the elements of the output signal results from the assumption to use the neural model, i.e. for the evaluation of the grinding process. The evaluation of the grinding process and thus obtained results is possible through the analysis of among others [\[1](#page-11-0), [4,](#page-11-0) [23](#page-11-0)]: roughness of the processed surface and component grinding forces. Surface roughness constitutes one of the main parameters for the evaluation of the ground surface quality. Moreover, it is one of the main criteria for the determination of abrasive tool life. It influences the operating properties of the workpiece, including its tribological and fatigue properties. Along with the decrease of surface roughness, fatigue limit of the processed elements increases and the intensity of abrasive and fatigue wear decreases [[24](#page-12-0)].

Among multiple parameters describing the 3D topography [\[25](#page-12-0)] of the processed surface, parameter  $Sa$  plays an important role, which is the equivalent to the Ra parameter determined for the profile:

$$
Sa = \frac{1}{M_r N_r} \sum_{i=1}^{M_r - 1} \sum_{j=1}^{N_r - 1} |z(x_i, y_i)|
$$
 (5)

where

Sa arithmetic means of surface roughness deviation from the mean surface

 $M_{r}$ , number of measurement points on the length and

 $N_r$ width of the surface, respectively

 $x, y, z$  surface coordinates

Grinding forces constitute the indicator characterising grinding capability of processed materials and they enable the assessment of the wear degree of an abrasive tool. The value of grinding forces is linked to the energy transformed during processing. Moreover, the coefficient of component grinding forces is a significant indicator demonstrating the character of the phenomena occurring in the grinding zone:

$$
\frac{F_t'}{F_n'} = \frac{2}{\pi} \cdot \cos\beta + \mu \tag{6}
$$

where

 $F_{0}^{'}$ tangential component of the specific grinding force  $\overline{F}_n$ normal component of the normal grinding force  $\beta$  abrasive grain rake angle

 $\mu$  friction coefficient between abrasive tool and the processed surface

<span id="page-3-0"></span>Considering the above, the output signal Yof the developed neural model is defined as follows:

$$
Y = \left[Sa, F_n, F_t'\right] \tag{7}
$$

The assumed output parameters of the neural model enable the use of the developed model for the prediction of the roughness of the processed surface and for monitoring and evaluation of the grinding process based on the course of values of component grinding forces.

# 3 Artificial neural networks

The use of neural networks for modelling is based on their ability to generalise relationships occurring in the process despite the presence of noise in learning signals and in cases of the occurrence of redundant or correlated signals [\[26](#page-12-0), [27](#page-12-0)]. Issues explaining the principles of operation of artificial neural networks and their applications for modelling were discussed in numerous overview studies [\[14](#page-11-0), [26](#page-12-0)]. The structure most commonly used in the literature for process modelling is a feedforward multilayer network.

Figure 1 presents the structure of a two-layer feedforward network with  $N$  input signals,  $M$  neurons in the hidden layer and  $K$  neurons in the output layer. A

Fig. 1 Multilayer network structure

single neuron of such network processes input signal following the relationship:

$$
y = f(u) = f\left(\sum_{i=0}^{N} x_i \cdot w_i\right) \tag{8}
$$

where

- $f(.)$  neuron activation function
- $u$  product of the input signals and neuron weights
- $n$  number of neuron inputs
- $x_i$  *i*-th input signal
- $w_i$  *i*-th neuron weight

A multilayer network consists of neurons logically arranged in layers. The hidden layer of a neural network is the intermediary layer for the processing of the input data. The structure of a multilayer network may contain any number of hidden layers; however, for practical uses rarely more than two layers are used. The output layer is responsible for the generation of output signal. The network presented in Fig. 1 is a feedforward one (signals flow in the direction from input to output) with full connections, i.e. each neuron of the preceding layer is connected to each neuron of the following layer.

Mathematical explanation of *i*-th output  $v_i$  of the above network is as follows:



$$
y_i = f^{(2)} \left[ \sum_{j=0}^m w_{\{i,j\}}^{(2)} \cdot f^{(1)} \left( \sum_{k=0}^n w_{\{j,k\}}^{(1)} \cdot x_k \right) \right]
$$
(9)

where



Multilayer neural network learning, understood as a modification of the weights W of network is conducted in the supervised learning mode. In the process, knowledge of both input signals  $X$  in the network and their target  $T$  signals is necessary.

Selection of weight Wof a neural model is conducted in the manner ensuring minimization of the error function  $E$ , understood as:

$$
E = \frac{1}{n} \sum_{i=1}^{n} (\text{net}(X_i, W) - T_i)^2
$$
 (10)

where

- $n$  number of signals in the learning set
- net neural model
- $X_i$  *i*-th input signal
- W neural model weights
- $T_i$  target signal for *i*-th input signal

The neural model learning process assumes the presentation of neural network of subsequent examples of input signal  $X_i$  followed by such modification of the parameters of model W for the response of model  $Y = net(X_i, W)$  to be the most similar to the target signal  $T_i$ .

#### 4 Methodology of neural model validation

The validation of neuron models learned in supervised mode assumes a validation based on dependence (7). This dependence verifies only the degree of consistency of the model's response with the data obtained from the experiment. The process of creating a neural model does not assume the selection of modelling function. Thus, the verification of a neural model based solely on the dependence (7) does not provide an answer to the correctness of the modelled relationship between the points of the experiment. This issue is of particular importance if the developed models are to be used to monitor, optimise or supervise grinding processes. In this case, evaluating the correctness of data interpolation becomes a key issue.

On the basis of experimental data, it is possible to assess the direction and magnitude of the influence of the parameters and conditions of machining  $X_p$  on the output variables of the grinding process Y for a given workpiece–grinding wheel pair. By determining the direction of the neural model response gradient, it is possible to evaluate the correctness of model interpolation.

Figure 2 shows a diagram of the neural model interpolation accuracy. For the specified setting parameter of the process  $x_i \in X_p$ , the values of gradient  $\Delta Y_{x_i}$  for i..P are determined. The value of function  $K_m$  is then determined according to the following relationship:

$$
K_m = \frac{numel(\Delta Y \le 0)}{numel(\Delta Y)}
$$
\n(11)

where

$$
\Delta Y = [\Delta Y_{x_1}, \Delta Y_{x_2}, ..., \Delta Y_{x_i}]
$$
gradient of the modelled  
relationship for the process  
parameters  $x_i \in X_p$  of a  
given pair workpiece-  
grinding wheel

data set

numel(.) number of elements in the



Fig. 2 The neural model interpolation accuracy, a Gradient determination, b Example of the course of modelled relationship for different  $K_m$  values

Table 1 Grinding parameters and conditions



The above relationship assumes non-zero values for cases where the behaviour of the modelled dependence is nonincreasing. By changing the sign of inequality in the numerator, it is possible to analyse the different influence mature of the input parameters of the model.

The following relationship was used to validate the neural model:

$$
E_{nn} = \text{mse}(E_T) + K_m \tag{12}
$$

where

 $\mathrm{mse}(E_T)$  mean square error of the model response for test data

 $K_m$  penalty function (following Eq. [8](#page-3-0))

# 5 Experimental study

The surface grinding process was examined. Peripheral grinding was performed with the process parameters and conditions described in Table 1. Samples used in experimental studies were made of: bearing steel 100Cr6, titanium alloy Ti-6Al-4V, Inconel® alloy 718. Parameters of the

Table 2 Mechanical and physical properties of materials

	Materials			
		$100Cr6$ Ti- $6Al-$ 4 V	Inconel 718	
Tensile strength $R_m$ , MPa	720	880	1100	
Young's modulus $E_m$ , GPa	210	114	200	
Hardness $H_m$ , HRC	65	33	34	
Thermal expansion $\alpha$ , $10^{-6} \cdot K^{-1}$	10	9.7	13	
Thermal conductivity $\lambda$ , W· m <sup>-1</sup> · K <sup>-1</sup>	25	7.1	11.2	
Specific heat c, $J \cdot kg^{-1} \cdot K^{-1}$	460	553	435	

processed materials describing its physical and mechanical properties are listed in Table 2.

The measurement of the grinding force components was done using a piezoelectric dynamometer 9257B and a multichannel amplifier 5070A12100 by Kistler. The measurement data was registered at 10 kHz frequency using a 16-bit measurement card 2855A4 by Kistler.

Material was ground with aluminium oxide grinding wheels with different hardness. All used grinding wheels had the same dimensions, i.e. external diameter  $D = 250$  mm and grinding wheel height  $H = 25$  mm. The properties of grinding wheels and abrasive materials are presented in Table 3.

Parameters of the dressing operation of the grinding wheel active surface and parameters and conditions of cooling process were constant during all tests. The grinding parameters were selected to ensure the appropriate quality of the ground surfaces without the signs of chatter and burns. For the range of processing parameters presented in Table 1, a full factorial experiment was performed.

In order to determine repeatability of the obtained results, the tests were conducted three times. After the grinding process had been accomplished, processed surface roughness was analysed. A Talysurf CCI 6000 profilometer by Taylor Hobson was used for the measurement of surface topography. Twenty times magnification lens were used to enable the measurement of a surface with dimensions  $0.9 \text{ mm} \times 0.9 \text{ mm}$ . To

Table 3 Properties of used grinding wheels and abrasive materials

	Grinding wheel no.		
	А		
Grinding wheel hardness $H_n$	K	M	
Abrasive material hardness $H_{ns}$	9 (Mohs scale)		
Mean grain size $d_z$	$120 \mu m$		
Pore volume $V_n$	$40.5\%$	37.5%	$33.5\%$

record the data, a CCD sensor with resolution  $1024 \times 1024$ points was used enabling the measurement of the processed surface with the horizontal resolution of 0.88 μm. Vertical resolution of the profilometer is up to 10 pm. For each of the samples, measurement was conducted three times in random places. The measurement results of each of the analysed samples were averaged.

## 6 Modelling assumptions

#### 6.1 Structure of learning, verifying and test data

A set of input data of the neural model was developed based on the conducted experiments:

$$
X = [a_e, v_{fa}, v_{ft}, H_n, V_n, R_m, E_m, H_m, \alpha, \lambda, c]
$$
\n(13)

and their target values:

$$
T = \left[Sa, F_n, F_t'\right] \tag{14}
$$

The set of data used for neural network learning was divided into three subsets:

- Learning data subset U—enabling mapping of the modelled relationship—used at the network learning stage;
- Verifying data subset V—a subset separated from the learning data; prevents the phenomenon of excessive matching of network responses to data included in the learning subset—used at the network learning stage;
- Test data subset Test—subset of data determining the quality of modelled relationship, and not used at the network learning stage; ability to map the test data set by the network is the measure of the ability to generalise the modelled relationship.

Data obtained from the experiment were divided into the above subsets in 70:15:15 ratio for each workpiece–grinding wheel pair.

## 6.2 Selection of the neural model structure

For artificial neural networks being used for process modelling, determination of the proper network architecture is a significant issue. The problem of neural network architecture optimisation is complex due to the multitude of factors influencing the result of modelling, which includes:

& A large number of neural network structures, resulting from the direction of the weight connections, number of neurons in the hidden layer as well as the used functions of neuron activation

- Large set of neural network learning algorithms
- Random selection of the initial values of weights in a neural network, thus random starting point of optimisation of the weight modification algorithm

The results of numerous studies demonstrate that a singlelayer feedforward network constitutes the most commonly used network type. In reference to this structure, numerous studies and mathematical proofs have been conducted [[28,](#page-12-0) [29\]](#page-12-0) indicating the fact that a multilayer network is a universal approximator of complex nonlinear functions.

For the modelling of relationships occurring in the grinding process, a multilayer network with sigmoidal functions of activation in the hidden layers and linear functions of activation in the output layer was used. For the search of an optimised architecture of the neural model, the following procedure was implemented:

Stage 1. The creation of neural models with one and two hidden layers. The maximum number of neurons in the first hidden layer was established as  $N = 50$ , and in the second hidden layer  $M = 20$ . Networks with a given architecture were created for  $K = 20$  times for each of them generating the initial weight values at random. As a result,  $N \times K$  of two-layer networks and  $N \times M \times K$  of threelayer networks were obtained.

Stage 2. Estimation of the modelling error value  $E_{nn}$  for the created neural networks. Experimental data used for the creation of the models indicate a monotonous (increasing) character of the modelled relationships for each of the pairs of workpiece–grinding wheel. The use of penalty function  $K_m$  enables determination whether the developed models of relationships between the influences of processing parameters on the results of the process reflect the influence character. Thus, the modelling error was determined using the relationship (9).

Stage 3. Selection of the neural model minimising the error value  $E_{nn}$ .

#### 6.3 Neural network learning method

The supervised learning method with the backpropagation algorithm was used in the created neural models. Weight matrices were modified following the Lavenberg-Marquardt algorithm:

$$
\Delta W(t) = -(H + \eta I)^{-1} \left( \frac{\delta E(W(t-1))}{\delta W} \right) \tag{15}
$$

where

W weight matrix

Table 4 Value of modelling errors for 10 two-layer structures with the lowest modelling error value  $E_{nn}$ 

Structure	Mean square error for			$K_m$	$E_{nn}$
	Learning data $E_{11}$	Verifying data $E_V$	Test data $E_{\text{Test}}$		
$11 - 4 - 3$	0.0409	0.0404	0.0858	0.0176	0.1034
$11 - 4 - 3$	0.0443	0.0427	0.0658	0.0704	0.1362
$11-6-3$	0.0394	0.0351	0.0672	0.0768	0.1440
$11 - 5 - 3$	0.0415	0.0416	0.0722	0.1664	0.2386
$11 - 5 - 3$	0.0439	0.0430	0.0644	0.1944	0.2588
$11 - 8 - 3$	0.0333	0.0351	0.0728	0.1912	0.2640
$11 - 11 - 3$	0.0369	0.0374	0.0813	0.1880	0.2693
$11 - 5 - 3$	0.0410	0.0389	0.0955	0.1888	0.2843
$11 - 14 - 3$	0.0285	0.0368	0.0705	0.2168	0.2873
$11 - 5 - 3$	0.1137	0.0732	0.1502	0.1752	0.3254

H objective function hessian

- $\eta$  Marquardt's coefficient
- I identity matrix
- t learning step

Adaptive value of coefficient  $n$  was used. Initial value of the coefficient was set at 0.001, the increase coefficient to the value 10 and reduction coefficient to value 0.1. In the case, when as a result of weight modification in the subsequent learning step the value of the error  $E$  is reduced, the value of the coefficient  $\eta$  is also reduced. Close to minimum of error function the algorithm behaves like a gradient algorithm, whereas at a large distance from the minimum like the steepest descent algorithm. This results in a considerable increase of the convergence of the neural network learning process.

## 7 Analysis of the results

# 7.1 Analysis of the results of neural network structure selection

Results of modelling using two-layer networks presented in Table 4 indicate that the best results were obtained for the 11- 4-3 network containing four neurons in the hidden layer. Values of the error  $E_{nn}$  were the lowest for this network. Increase of the number of neurons in the hidden layer leads to the decrease of the mean square error value for learning data together with the increase of this value for test data. This indicates the occurrence of neural network overfitting; thus, a loss of the ability to generalise relationships included in the experimental data.

Penalty function  $K_m$  for the developed two-layer neural models takes a non-zero value. It indicates that not all modelled relationships properly reflect character of grinding parameters influence on output values. The observed non-zero value of the penalty function  $K_m$  for the two-layer model may stem from the occurrence of discontinuity between the values of the modelled data for individual pairs of workpiece–grinding wheel. An improvement of the ability to model discontinuous relationships requires the use of an additional hidden layer.

Results of modelling with the use of three-layer networks presented in Table 5 demonstrate the validity of mapping of the monotonous relationships for individual pairs of workpiece–grinding wheel. In the case of each neural model included in Table 5, the penalty function  $K<sub>m</sub>$  takes the value of zero. Among the created and learned 20,000 three-layer neural networks, the lowest value of the modelling error  $E_{nn}$  was obtained for the network with the structure 11-8-9-3 containing 17 neurons distributed in two hidden layers. The sum of the mean square error of the mapping of the experimental data determined based on the test set mse( $E_{Test}$ ) and the penalty function  $K_m$  assumes the lowest value for this network.

Table 5 Value of modelling errors for 10 three-layer structures with the lowest modelling error value  $E_{nn}$ 

Structure	Mean square error for			$K_m$	$E_{nn}$
	Learning data $E_{11}$	Verifying data $E_V$	Test data $E_{\text{Test}}$		
$11 - 8 - 9 - 3$	0.0395	0.0464	0.0792	$\Omega$	0.0792
$11 - 3 - 4 - 3$	0.0456	0.0525	0.0795	$\Omega$	0.0795
$11 - 3 - 10 - 3$	0.0574	0.0560	0.1177	$\Omega$	0.1177
$11 - 2 - 4 - 3$	0.0572	0.0603	0.1199	$\Omega$	0.1199
$11 - 2 - 3 - 3$	0.0882	0.0682	0.1251	$\Omega$	0.1251
$11 - 2 - 3 - 3$	0.1212	0.0836	0.1316	$\Omega$	0.1316
$11 - 2 - 2 - 3$	0.1070	0.0779	0.1624	$\Omega$	0.1624
$11 - 2 - 2 - 3$	0.1606	0.0861	0.2225	$\Omega$	0.2225
$11 - 1 - 2 - 3$	0.2271	0.0963	0.2593	$\Omega$	0.2593
$11 - 1 - 1 - 3$	0.2489	0.0982	0.2708	$\Omega$	0.2708



Fig. 3 An example of an erroneously developed neural model determining the relationship between processing parameters with the values of the specific normal grinding force; workpiece: Inconel 718, tool: grinding wheel A,  $v_{fa} = 1$  mm/stroke

The lowest mean value of modelling error  $mse(E_{Test}) = 0.0309$  among all created networks was obtained for the structure 11-2-19-3. However, in its case the value of the penalty function  $K_m$  assumed the value of 0.72, which indicated low ability of the model for a correct interpolation of the relationships included in the experimental data (Fig. 3).

The model correctness cannot be holistically evaluated using only the error value determined from experimental data  $E_{\text{Test}}$ . The error value  $E_{Test}$  indicates solely the correctness of mapping of the relationships in the experimental points. It does not provide information on the character of the relationships between individual points of the experiment. Introduction of the penalty function  $K<sub>m</sub>$  enables the evaluation of the correctness of interpolations of the developed neural models.

Fig. 4 Analysis of the mapping level of the test data set by the neural model for a all modelled output values  $(Sa, F_n, F_t)$ , **b** surface roughness parameter Sa, c specific normal component  $F_n$ , and d specific tangential component  $F_t$ ' of grinding force

The accuracy of mapping the experimental data by the neural model with structure 11-8-9-3 was determined. The analysis of the linear regression results between the response value Yof the model and the target value  $T$  was conducted. In the assumption of the above analysis for the full mapping of data, the relationship  $Y = T$  should be fulfilled, and value of the linear regression coefficient R should be 1.

Figure 4 presents the high ability to represent the test data by the developed neural model. The lowest value of fitting of the neural model response was observed for the value of processed surface roughness parameter Sa  $(R = 0.95)$ . The lower ability of mapping processed surface roughness parameter  $Sa$  by the neural model is influenced by the fact that its values, apart from the values included as the inputs in the developed model, are influenced by the values related to the changes on the grinding wheel active surface. The main reason for the changes on the grinding wheel active surface is the wear processes (abrasive and fracture wear) and the loading up of the grinding wheel active surface. Influence of these factors on the value of surface roughness parameters is not included in the developed neural model, and the character of these interactions can only be indirectly determined in the model through the relationship between the assumed processing parameters and conditions and changes on the grinding wheel active surface.



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<span id="page-9-0"></span>Table 6 List of values of the determination factor  $R^2$  for the partial models explaining the influence of processing parameters on the output values of the model for individual pairs of workpiece–grinding wheel



Consistence in terms of the values of response of the neural model Y with the target values determined as a result of the experiment generally explains the correctness of the neural model. A detailed analysis requires the evaluation of the partial models explaining the influence of processing parameters on the surface roughness parameter Sa and values of the specific component grinding forces  $F_n$ ' and  $F_t$ ' on the individual pairs of workpiece–grinding wheel. To this end, for individual partial models included in Table 6, the values of the determination factor  $R^2$  were calculated. Factor  $R^2$  indicates which part of the variation of the output values was explained by the developed neural model.

The mean value of the determination factor  $R^2$  for the partial models included in the Table 6 is 0.94. This indicates the fact that a significant part of variations of the output values of the neural model (surface roughness parameter Sa, specific normal component grinding force  $F_n'$  and specific component tangential grinding force  $F_t'$ ) stem from its dependency on the processing parameters assumed in the model.

The lowest values of the determination factor  $R^2$  were obtained for the partial models explaining the influence of the process parameters on the value of surface roughness parameter Sa. The value of this factor for the pair: Inconel 718–grinding wheel A is 0.82, and for the pair: bearing steel 100Cr6–grinding wheel C is 0.76. A graphic demonstration of example results of modelling the influence of the process parameters on the value of surface roughness Sa parameter is presented in Fig. 5.

Precision of modelling the influence of process parameters and conditions on the value of surface roughness parameter Sa is variable. For different pairs of workpiece–grinding wheel,



Fig. 5 Neural model determining the relationship between the processing parameters with values of the surface roughness parameter Sa for the pair a Inconel 718–grinding wheel A and b bearing steel 100Cr6–grinding wheel C

the quality of the partial model determined with the value of factor  $R^2$  assumes values from 0.7557 to 0.9956. The formation of the grinding wheel active surface has a significant influence on the result of the grinding process determined as the roughness of the processed surface. As a result of this process, geometric properties of the grinding wheel active surface are shaped, which depend on both its formation process and on the properties of the grinding wheel. Moreover, as a result of the interaction of the grinding wheel active surface with the workpiece, changes in their condition take place. The degree and rate of these changes depend on the properties of the workpiece and the grinding wheel, and, indirectly, on the process parameters and conditions. The degree of influence of these factors and the mechanism of accumulation of the results of their interactions may differ for different pairs of workpiece–grinding wheel. As a consequence, a high variability of the level of fitting of individual partial models occurs.

The modelling precision is further influenced by the need to map the discontinuities in the modelled relationships. Such discontinuity occurs between the values of the output value of the model for individual pairs of workpiece–grinding wheel. Modelling precision could be enhanced via increasing the number of neurons in the hidden layers of the neural network. However, as it has been demonstrated by the previous analysis, this results in a decrease of the ability to data generalisation by the neural model.

The highest modelling precision was obtained for the partial models determining the influence of process parameters and conditions on the value of the specific component of grinding forces. Example results of modelling are presented in Figs. 6 and 7.

Value of the determination factor  $R^2$  of the partial models explaining the influence of the parameters and conditions of processing on the specific component grinding force remains in the range from 0.91 to 0.99. The set of input values included in the model to a large degree describes (mean in approx. 97%) the variation of values of both component of grinding forces.

The use of three-layer network for modelling the influence of process parameters and conditions on the value of surface



Fig. 6 Neural model determining the relationship between the processing parameters and the values of the specific normal component of grinding force, workpiece: bearing steel 100Cr6, tool: grinding wheel A



5

15

 $10$ 

 $a_{\alpha}$ ,  $\mu$ m

Fig. 7 Neural model determining the relationship between the processing parameters and the values of the specific tangential component of grinding force, workpiece: Inconel alloy 718, tool: grinding wheel A

 $\overline{2}$ ້o

 $v_{w}$ , m/min

roughness parameter Sa and component of grinding forces of three-layer networks enables obtaining of a model with high fitting degree to the experimental data. The mean value of the determination factor  $R^2$  determined for the partial models listed in Table [6](#page-9-0) is 0.94.

Value of the penalty function  $K_m$  for the three-layer network model assumes zero value. The use of the penalty function  $K<sub>m</sub>$  for the evaluation of the modelling correctness results in the developed partial models with getting the form of a continuous function with values increasing with the increase of the processing parameter values. This corresponds to the character of the relationships included in the experimental data.

#### 8 Conclusion

 $\overline{3}$ 

 $\frac{0}{4}$ 

 $F_{i}$ , N/mm  $\mathcal{L}$ 

The article presents a methodology for the creation of neural models for surface grinding process. As a result of the conducted experimental study, data sets were developed enabling the conduct of the neural network learning allowing modelling the influence of processing parameters and conditions on the values of the specific component grinding forces  $F_n$ <sup>'</sup> and  $F_t$ <sup>'</sup> and the parameter Sa of surface roughness. As a result of the conducted tests and analyses on modelling of the abrasive processing using multilayer networks, it can be stated that:

- Evaluation of the correctness of neural network modelling solely on the basis of value of the error determined for the test data set does not allow for the full evaluation of the correctness of mapping of the relationships occurring in the experimental data set.
- The proposed penalty function  $K_m$  determining the correctness of data interpolations enables the selection of a model from the set of neural models with a high ability to data generalisation.
- The neural models created using three-layer networks are characterised by a high degree of mapping of the

<span id="page-11-0"></span>relationships occurring in the experimental data (penalty function  $K_m = 0$ , mean regression coefficient  $R^2 = 0.985$ ).

& A detailed analysis of the developed neural model indicates its higher ability for predicting the specific grinding forces  $F_n$ ',  $F_t$ ' then surface roughness parameter Sa. This is linked with the large number of factors influencing the roughness parameters and the complexity of the accumulation mechanism of their results.

The developed neural models enable the prediction of processed surface roughness parameter Sa and values of the component grinding forces  $F_n'$  and  $F_t'$ . This enables both optimisation of the processing parameters in order to obtain established surface roughness values and the use of the neural model for monitoring of grinding processes.

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#### Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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