

# To characterize and optimize the surface quality attributes in slot milling operation

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**Abstract** Burr formation and surface roughness are crucial surface quality attributes that vary widely according to machining conditions used. Inappropriate selection of cutting parameters may lead to tremendous non-desirable expenses and poor product quality. This becomes more apparent in slot milling operation that has a complex burr formation mechanism, and it is associated with multiple burrs with non-uniform dimensions appearing in the machined part edges. Therefore, as the first objective of this study, experimental characterization of governing cutting parameters on surface quality attributes, including exit burr size as well average surface roughness ( $R_a$ ), is presented. Based on experimental observations, each aforementioned surface quality attribute is affected by different cutting parameters, and in fact, no systematic relationship can be formulated between them and the cutting parameters used. Therefore, advanced strategies are demanded for adequate selection of cutting parameters and reduction in the needs of deburring and surface treatment operations. Except the works reported by the authors, very

limited studies are available on advanced optimization approaches for simultaneous minimization of surface quality attributes in slot milling operations. This can be considered as the second objective of this work. To that end, desirability function,  $D_i(x)$ , was used as the proposed approach to evaluate the possibility of simultaneous minimization of aforementioned surface quality attributes, despite the low control ability of each response. Using this approach, the optimum and near to optimum setting levels of cutting parameters were defined by means of surface quality improvement and the adequacy of the proposed optimum cutting conditions was reconfirmed through verification tests. The presented results in principle can be very useful in practice by local and international automotive industries dealing with similar family of materials.

**Keywords** Slot milling · Aluminum alloy · Burr · Surface quality · Desirability function

## 1 Introduction

Surface finish and burr formation are known as important surface quality attributes. Although fundamental aspects of burr formation in milling operations have been studied comprehensively [1–5], among milling operations, slot milling has a very complex burr formation mechanism which may require further attention [6, 7]. Among various types of slot milling burrs, exit burrs have a very complex formation mechanism and morphology which are directly affected by cutting conditions, lubrication modes, materials, etc. [8]. The three modes of slot milling burrs are presented in Fig. 1. As shown in Fig. 2, the exit up milling side burr ( $B_1$ ) is commonly considered as the largest burr. Although experimental characterization of milling was studied comprehensively [9–16], surprisingly, except limited studies by Niknam et al. [17–23] and

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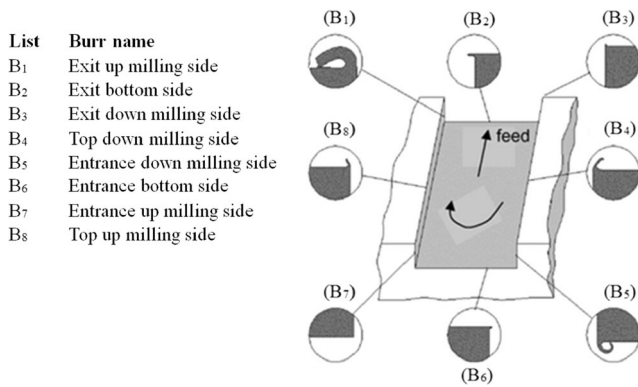
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**Fig. 1** Slot milling burrs [8]

other distinguished researchers in open literature [24–27], limited studies were conducted on comprehensive characterization and optimization of the slot milling burrs of ductile materials such as wrought aluminum alloys which have received limited attention and dominant process parameters. In addition, in order to conduct a precise determination of the dominant process parameters on the burr size, only few studies have used statistical analysis [19, 28–31]. This therefore recalls further investigations on experimental characterization of the cutting factors governing slot milling exit burr formation and size.

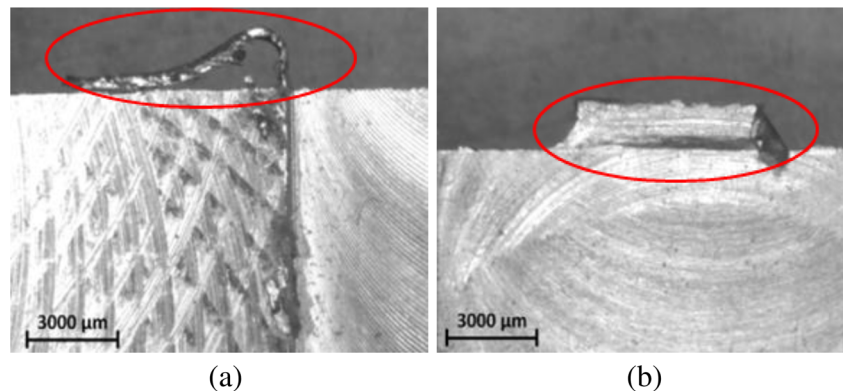
As well as the burr size, optimum or near to optimum surface roughness is the subject of interest in almost all manufactured parts in various industrial sectors. Surface roughness can be defined as the irregular deviation on a scale smaller than the scale of waviness. There are several solutions to describe surface roughness. As noted earlier in ISO468: 1982, the average value of surface roughness, often denoted as  $R_a$ , is the most widely used surface roughness parameter (Eq. 1).

$$R_a = \frac{1}{l} \int_0^l |y(x)| dx \quad (1)$$

or

$$R_a = \frac{1}{n} \sum_{i=1}^n |y_i| \quad (2)$$

**Fig. 2** The main slot milling exit burrs. **a** Exit up milling side (B<sub>1</sub>). **b** Exit bottom side burr (B<sub>2</sub>)



where  $l$  is the sampling length and  $y$  is the ordinate of the profile curve.

Furthermore, the  $R_a$  in milling can be predicted by the following [32]:

$$R_a = 318 \frac{f_z}{\tan(l_a) + \cot(C_a)} \quad (3)$$

where  $l_a$  is the lead (corner) angle and  $C_a$  is the clearance angle.

Although conventionally the cutting conditions were entirely selected by machinists, even for a professional machinist, it is a very challenging task to accomplish the optimum values each time [33]. In fact, the non-adequate selection of cutting parameters affects not only  $R_a$  but also several functional characteristics, including friction, resisting fatigue, tool insert coating, and heat transmission. This reveals that to remain competitive in the industrial market through maintaining the high level of product quality, the use of optimization methods by means of adequate selection cutting process parameters is highly demanded. Knowing that the proposed cutting parameters for one response may not be compatible for other responses, adequate manipulation of the simultaneous multiple response optimization methods is therefore recommended. As per the authors' knowledge, due to the complex mechanism of burr formation as well as severe interaction effects between cutting process parameters, limited works were reported yet on simultaneous improvement of the surface roughness and exit burrs, in particular exit up milling side burr size (thickness and height). Moreover, machining aluminum alloys is associated with certain amount of difficulties, such as built up edge (BUE) [34] and the material's tendency to adhere to the tool surface which might yield to catastrophic burr formation at the work part edges [35]. The presence of the abovementioned concerns may pose major difficulties on proper development of the process simulations and optimization models. This therefore recalls the use of optimization methods. The proper optimization of process parameters needs an organized methodology and an adequate use of

**Table 1** Experimental parameters used

Experimental parameters	Level		
	1	2	3
A: Material	6061-T6	–	2024-T351
B: Tool	<i>Insert Ref</i>	IC 328	IC 908
	<i>Coating</i>	TiCN	TiAlN
	<i>Insert nose radius <math>R_{\epsilon}</math>. (mm)</i>	0.5	0.83
C: Depth of cut, $a_p$ (mm)	1	–	2
D: Feed per tooth, $f_z$ (mm)	0.01	0.055	0.1
E: Cutting speed, $v_c$ (m/min)	300	750	1200
<ul style="list-style-type: none"> <li>• Dry condition</li> <li>• Tool diameter (D) 19.05 mm</li> </ul>			

combined experimental methods and mathematical/statistical models [36]. For that to be accomplished, several optimization techniques including fuzzy logic (FL), genetic algorithm (GA), neural network (NN), Taguchi method, response surface methodology (RSM), and desirability function are among the proposed approaches [37–39]. The wide applications and advantages of the RSM-based models in machining operations are presented in [40–43]. However, it should be underlined that the RSM models are only accurate for limited

input parameters and higher-order models need a large number of experiments [39]. According to review of literature and the acute need for evaluating the possibility of simultaneous minimization of  $R_a$  and exit burr thickness and height in slot milling operation, a desirability function,  $D_i(x)$ , was used as the proposed approach. The combination of statistical tools and experimental studies was then used to firstly characterize the factors governing surface quality parameters during slot milling of AAs 2024-T351 and 6061-T6 work parts.

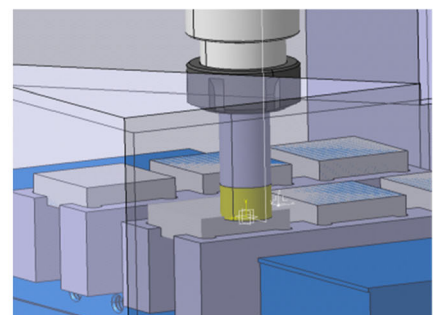
**Fig. 3** Experimental devices. **a** Three-axis CNC machine. **b** Cutting tool used. **c** Work part configuration



(a)



(b)



(c)

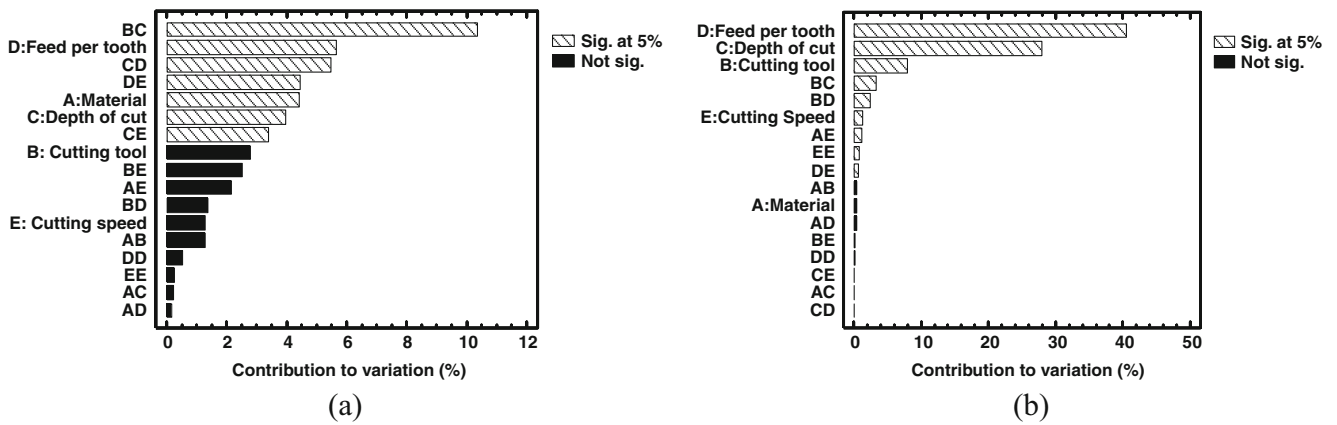


Fig. 4 Pareto chart of a B<sub>1</sub> height and b B<sub>1</sub> thickness

Secondly, the desirability function  $D_i(x)$  was used to define the direct and interaction effects between cutting process parameters that influence the mechanism and morphology of the abovementioned responses.

## 2 Experimental procedure

### 2.1 Experimental plan and method of analysis

The experimental study consisted of five controllable cutting parameters, including cutting speed, feed per tooth, cutting tool, material, and depth of cut (see Table 1). In total, 108 milling tests were necessary to complete the study. A three-axis CNC machine tool (power 50 kW, maximum speed 28,000 rpm, torque 50 Nm) was used in milling tests (Fig. 3a). A slot milling tool with three flutes  $Z = 3$  and tool diameter  $D$  19.05 mm (Table 1) was used (Fig. 3b). Experimental parameters used on both tested materials (Table 1) are denoted as finishing conditions. Therefore,

excellent surface and edge finishing quality is expected. This implies adequate experimental characterization of the surface quality attributes as well as the use of advanced optimization strategies for simultaneous multiple response optimization. The milling tests were repeated once, and the mean values of recorded responses were used for statistical and optimization works. The effect of process parameters (Table 1) on the machining responses is identified using statistical approaches including analysis of variance (ANOVA) and the Pareto chart. A detailed description of the method of analysis is presented in Appendix 1. A proper determination of the controllable cutting factors that generate optimum or near to optimum setting levels of cutting parameters was conducted by the desirability function  $D_i(x)$ .

### 2.2 Experimental observations

Burr size measurement was conducted on a high-resolution optical microscope ( $\times 1000$ ). The same tools and measurement

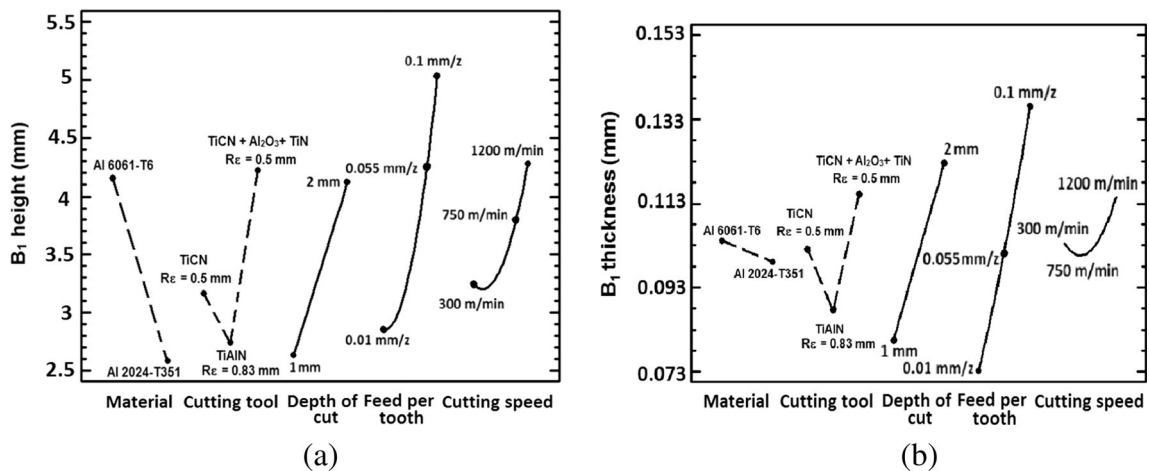
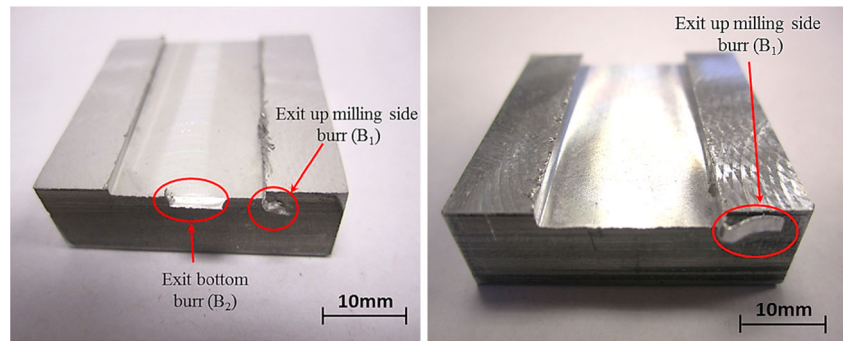


Fig. 5 Direct effect plot of a B<sub>1</sub> height and b B<sub>1</sub> thickness (adapted from [18])

**Fig. 6** Slot milling exit burrs (adapted from [8])



methods as described in [19, 44] were used in this work to measure the burr height and thickness and surface roughness attributes. As noted earlier, the average surface roughness  $R_a$  was used as the measure of the surface quality in this work. As previously remarked, the burr formation and work material adhesion are the most commonly observed in machining AAs [35]. To reduce the effects of abovementioned issues on experimental results, the following assumptions were made:

- The vibrations and deflections in the machine and cutting tools were evaluated through preliminary tests. No chatter vibration was found, and the operated system was assumed stable through the entire duration of milling tests.
- Potential sources of deviations in experimental results were avoided by using a new insert in each test.

### 3 Results and discussion

The results of this work will be presented in certain categories, including experimental characterization of the governing parameters on surface quality attributes (Sect. 3.1) followed by simultaneous multiple response optimization (Sect. 2) and experimental validations (Sect. 3.2.3).

### 3.1 Governing parameters on surface quality attributes

#### 3.1.1 Exit burr size

Figures 4 and 5 present standardized Pareto charts of exit up milling side burr  $B_1$  thickness and height. As can be seen in Fig. 4, both responses are highly affected by feed rate (D). As shown in Fig. 4a,  $B_1$  height is affected by interaction effects between cutting parameters, including CD, BC, DE, and CE, followed by direct effects of feed rate (D), workpiece material (A), and depth of cut (C). It is believed that normal yield strength ( $\sigma_e$ ) and tensile strength ( $\sigma$ ) are the main mechanical properties with substantial influence on burr formation mechanism [29].

According to Fig. 4b,  $B_1$  thickness is highly affected by several direct and interaction effects between cutting parameters. Among them, direct effects of feed rate (D), depth of cut (C), and tool (B) are the most dominant factors. As illustrated in Fig. 5, those tests with higher levels of depth of cut and feed rate and smaller  $R_\epsilon$  led to longer and thicker  $B_1$ . This is not favorable in high-precision machining. Referring to literature, primary and secondary burrs were introduced in [45]. As noted in [5], a secondary burr is attributed to residual material at the machined parts following the deburring process, while secondary burrs that are in general smaller than the depth of cut refer to breakage of the primary burrs [45]. Exit up milling side burr ( $B_1$ ) and exit bottom burr ( $B_2$ ) are considered as

**Fig. 7** a Interaction effect of tool-depth of cut (BC) on  $B_1$  height and b on  $B_1$  thickness (adapted from [18])

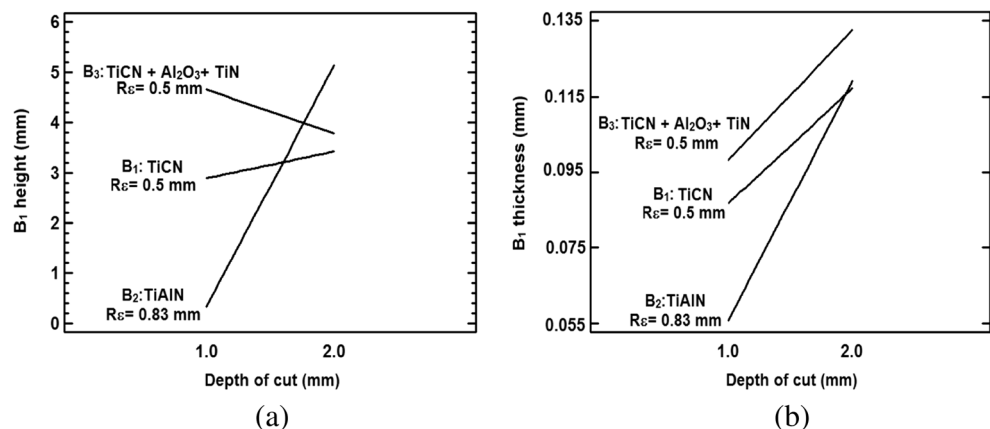
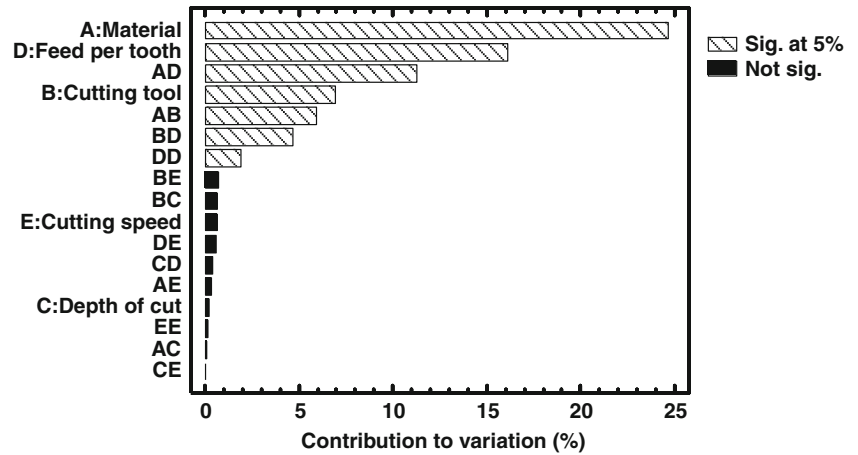




Fig. 8 Pareto chart of  $R_a$ 

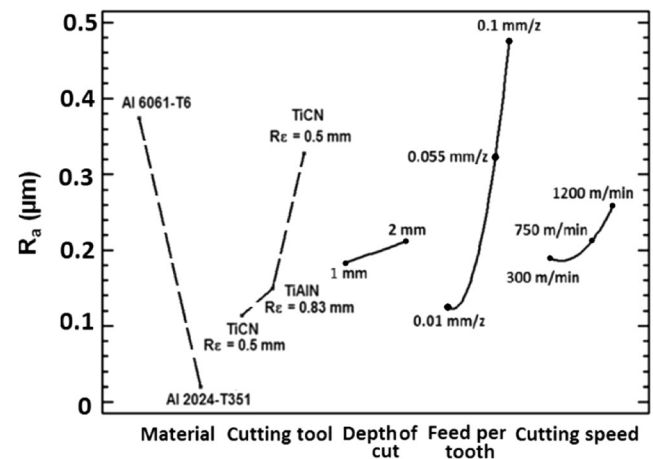
primary and secondary slot milling burrs. As noted in [46],  $B_2$  is formed by a loss of material from  $B_1$ . It is agreed upon that exit side burrs along up/down milling sides appeared due to transition from primary to secondary burr formation [18]. In fact, the deburring process can be simplified when primary burrs appeared as secondary burrs. This may lead to side burr formation, rather than exit bottom ( $B_2$ ) or entrance burr formation (see Fig. 1).

When transition from primary to secondary burr formation is not conducted properly, primary  $B_2$  appears on the exit side when the tool leaves the machined part. Longer  $B_1$  and smaller  $B_2$  occurred when burr smoothly leans towards the transition material and breaks off from the machined surface (Fig. 6). This is not however demanded in high precision machining. In fact, based on the slot milling burr formation mechanism, those cutting parameters that reduce the  $B_2$  size may increase the  $B_1$  height (Fig. 1). Knowing that  $B_1$  is the largest slot milling burr (Fig. 1), it is therefore intended to define setting levels of process parameters which minimize the incidence of exit up milling side burr ( $B_1$ ) or, in other words, primary burrs with big size. According to Figs. 3 and 4, the cutting speed has a negligible effect on the slot milling exit burrs. Under similar cutting conditions, smaller and thinner  $B_1$  was recorded for AA 6061-T6. This could be attributed to higher yield strength of AA 2024-T351 than AA 6061-T6 [21].

It is agreed upon that the presence of interaction effects between cutting process parameters has significant intense effects on burr formation morphology and size. The phenomenon may cause severe difficulties when burr formation modeling and size reduction are demanded. The interaction effects of the cutting parameters on  $B_1$  height and thickness are presented in Fig. 7. According to Fig. 7a, the longest and shortest  $B_1$  resulted when using tool 3 and tool 2 at lower levels of depth of cut (see Table 1), respectively. This may lead to a substantial difference between  $B_1$  height when using different cutting tools. When using cutting tool 2 at higher level of depth of cut (2 mm), the longest  $B_1$  was recorded. As depicted in Fig. 7,

the insignificant variation of  $B_1$  height under various insert nose radiuses  $R_\epsilon$  and cutting tool may be attributed to the strong interaction effects between cutting tool and depth of cut (BC). A strong interaction effect is also visible in Fig. 7b. Generally, it can be stated that BC has significant effects on  $B_1$  size. Furthermore, considering the same  $R_\epsilon$  in cutting tools 1–2 (Table 1), although insignificant, the effects of cutting tool coating on burr morphology and size can be observed. This is in conflict with the conclusion made by Olvera and Barrow [33]. A coated tool constitutes higher wear resistance and lower coefficient of friction than an uncoated one. The increased wear resistance affects the burr dimension. Also, due to the larger cutting edge radius of coated tools, plowing effects and reduced friction and deformation may occur which in fact resulted in catastrophic changes in the burr size. It is to underline that unworn tools were used in all machining tests to avoid deflections in machine tools and deviations on machining results.

Due to lack of space in this article and great importance of  $B_1$ , only the effects of cutting parameters on  $B_1$  thickness and

Fig. 9 Main effect plot of  $R_a$  (adapted from [18])

**Table 2** Statistical results of surface quality attributes

Responses	$R^2$	$R^2_{adj}$	$P$ value	$F$ ratio	Dominant process parameters	Optimum setting levels
B <sub>1</sub> thickness (mm)	0.925	0.899	0	27.53	Feed rate (D), tool (B), depth of cut (C), AB, DD	A <sub>2</sub> B <sub>2</sub> C <sub>1</sub> D <sub>2</sub> E <sub>2</sub>
B <sub>1</sub> height (mm)	0.578	0.396	0.0019	3.17	Depth of cut (C), AB, CD	A <sub>2</sub> B <sub>2</sub> C <sub>1</sub> D <sub>1</sub> E <sub>1</sub>
B <sub>2</sub> thickness (mm)	0.377	0.216	0.0029	2.4	Depth of cut (C), tool (B), CE	A <sub>2</sub> B <sub>3</sub> C <sub>2</sub> D <sub>1</sub> E <sub>2</sub>
B <sub>2</sub> height (mm)	0.511	0.385	0	4.4	Tool (B), depth of cut (C), speed (E)	A <sub>1</sub> B <sub>1</sub> C <sub>2</sub> D <sub>2</sub> E <sub>2</sub>
$R_a$ (μm)	0.769	0.67	0	7.73	Feed rate (D), tool (B), AC, BD, DD	A <sub>2</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub> E <sub>2</sub>

height are comprehensively evaluated in this work and other burrs depicted in Fig. 1 are not studied in detail. As well as B<sub>1</sub>, only optimum setting levels of process parameters and factors governing exit burr B<sub>2</sub> along with corresponding statistical results are presented in Tables 10 and 11.

3.1.2 Average surface roughness ( $R_a$ )

The surface integrity parameters of AAs are largely affected during machining operation. Among the abovementioned surface alterations in the last section, the effects of cutting parameters (Table 1) on recorded values of  $R_a$  will be studied in this work. Generally, innovative strategies to reduce the surface roughness when machining AAs have been always welcomed [47, 48], because post-processing methods including laser shock peening and ball burnishing processes are demanded to improve the machine’s surface [49]. These methods however increase the non-desirable expenses and production time. It has been found that the surface roughness in aluminum work parts is widely affected by various phenomena, including cutting parameters (e.g., cutting speed and depth of cut), built up edge (BUE) formation, tool operating conditions (shape, coating, geometry, wear mode), and temperature [8]. As noted in [50], the main factors leading to generation of these phenomena are thermal and mechanical cycling, microstructural transformations, and mechanical and thermal deformations generated in machining processes. As shown in Fig. 8, material (A), feed per tooth (D), tool material, and coating (B) are the most effecting parameters on  $R_a$ . Based on Fig. 9, higher levels of feed per tooth (D), depth of cut (C), and speed (A) led to increased  $R_a$  and, certainly, more deteriorated surface quality is expected. The level of importance of

the aforementioned individual cutting parameters may vary subject to individual material and machining methods used.

3.1.3 Statistical analysis of results

According to presented results (Figs. 4, 5, 6, 7, 8, and 9), the governing factors on each individual machining parameter studied as well as optimum process parameter setting levels that minimize each individual machining output are different and no systematic relationship can be formulated between exit burr size attributes (height and thickness) and  $R_a$  in both tested materials (Table 2). This may pose severe difficulties on burr formation modeling as well as surface quality improvement and optimization. This therefore recalls the use of optimization methods for proper selection of cutting parameters, which implies simultaneous optimization of machining responses for each individual material. This is the subject of investigation in the upcoming sections. Referring to presented results, it can be inferred that milling tests with AA 6061-T6 led to higher resulting values of  $R_a$  and burr size. In the next section, the results of milling tests on AA 6061-T6 will be discussed and corresponding results as well as optimum setting levels of process parameters for each machining attribute in AA 6061-T6 and AA 2024-T321 will be presented in Tables 3 and 4.

3.1.4 Individual analysis on studied work materials

**Results of aluminum alloy 6061-T6** As noted earlier, the effects of cutting parameters (Table 1) on  $R_a$  and exit up milling side burr (B<sub>1</sub>) thickness and height will be discussed in the course of this study.

**Table 3** Statistical results of responses in AA 6061-T6

Responses	$R^2$	$R^2_{adj}$	$P$ value	$F$ ratio	Dominant process parameters	Optimum setting levels
B <sub>1</sub> thickness (mm)	0.922	0.889	0	27.5	Feed per tooth (C), tool (A), depth of cut (B), AB, DD	A <sub>2</sub> B <sub>1</sub> C <sub>1</sub> D <sub>2</sub>
B <sub>1</sub> height (mm)	0.578	0.396	0.0019	3.17	Depth of cut, AB, CD	A <sub>2</sub> B <sub>2</sub> C <sub>2</sub> D <sub>3</sub>
B <sub>2</sub> thickness (mm)	0.461	0.228	0.043	1.98	Tool (A), AC	A <sub>3</sub> B <sub>2</sub> C <sub>1</sub> D <sub>2</sub>
B <sub>2</sub> height (mm)	0.581	0.40	0.0017	3.21	Tool (A), depth of cut (B), AB	A <sub>1</sub> B <sub>2</sub> C <sub>2</sub> D <sub>1</sub>
$R_a$ (μm)	0.769	0.67	0	7.73	Feed per tooth (C), tool (A), AC, CC	A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>

**Table 4** Statistical results of responses in AA 2024-T351

Responses	$R^2$	$R^2_{adj}$	$P$ value	$F$ ratio	Dominant process parameters	Optimum setting levels
$B_1$ thickness (mm)	0.871	0.81	0	15.4	Feed per tooth (C), tool (A), depth of cut (B), AB, DD	$A_2B_1C_1D_1$
$B_1$ height (mm)	0.584	0.404	0.0016	3.24	BC, BD	$A_1B_1C_2D_2$
$B_2$ thickness (mm)	0.481	0.257	0.02	2.15	AB, BD	$A_3B_2C_1D_1$
$B_2$ height (mm)	0.564	0.375	0.003	2.99	Tool (A), depth of cut (B)	$A_3B_2C_2D_1$
$R_a$ ( $\mu\text{m}$ )	0.891	0.844	0	18.9	Tool (A), feed per tooth (C), AC, AB, depth of cut (B)	$A_2B_2C_1D_2$

- **Exit up milling side burr ( $B_1$ ) thickness**

The relative Pareto chart and main effect plot of  $B_1$  thickness in the multiplicative design model are presented in Figs. 10 and 11. According to Fig. 10, feed per tooth (C), depth of cut (B), tool (A), and interaction effect between cutting speeds (DD) and the tool and depth of cut (AB) are the governing direct and interactive factors on variation of  $B_1$  thickness. As noted in [23] and based on Fig. 11, increased feed per tooth and depth of cut led to larger chip thickness and undeformed chip thickness, and eventually larger burr size. According to Fig. 11, minimum burr thickness was obtained when using the middle level of cutting speed (750 m/min). Considering the negligible effects of cutting speed on variation of the burr size (see Figs. 8 and 9), the effects of various cutting speed on  $B_1$  thickness will therefore not be studied in further details.

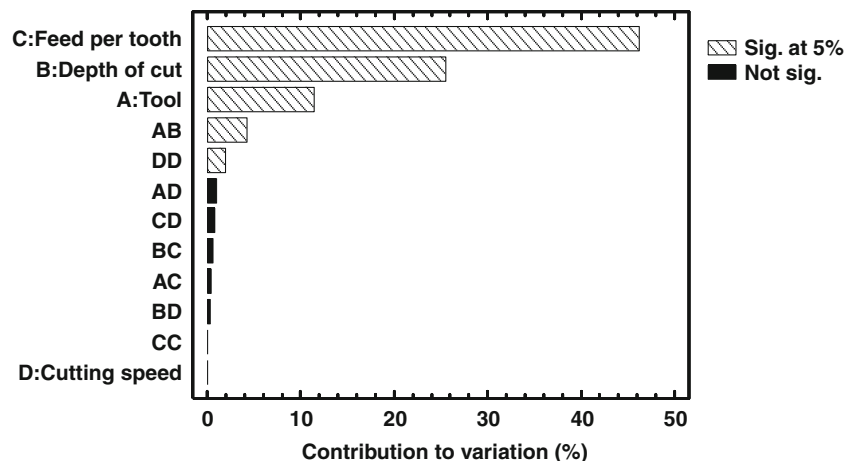
As noted in [5, 6], the deburring time and methods are usually assessed based on the burr thickness. This therefore implies adequate understanding of the factors governing burr thickness. Referring to the insignificant effect of cutting speed on  $B_1$  thickness (Fig. 10), 3D surface plots of  $B_1$  thickness at cutting speed 1200 m/min and cutting tool 3 with the highest  $B_1$  thickness are presented ) Fig. 12 ( to explore the relationship between the  $B_1$  thickness and the cutting parameters. According to Fig. 12, the burr thickness increases at higher values of feed per tooth and depth of cut. Moreover, thin burrs

were also found when lowest levels of feed per tooth and depth of cut were used. This is in agreement with observations made in Figs. 10 and 11.

Interaction effects between tool and depth of cut (AB) are considered as a statistically significant factor on variation of  $B_1$  thickness when using cutting the tools 1–2 (Fig. 13). The difference in resulting values of  $B_1$  thickness when using cutting tools 1 and 3 (Table 1) can be attributed to significant effects of tool coating on  $B_1$  thickness. Referring to Table 3 and Fig. 11, the minimum  $B_1$  thickness can be achieved when the optimum setting level of cutting parameters is  $A_2B_1C_1D_2$ . From Table 3, the high values of correlation of determination ( $R^2 = 0.0925$ ;  $R^2_{adj} = 0.889$ ) denote that  $B_1$  seems to be highly controlled with variation of cutting process parameters when similar setting levels of cutting parameters as listed in Table 1 are used. It can be therefore classified as a statistically significant machining attribute.

- **Exit up milling side burr ( $B_1$ ) height**

According to Fig. 14, the interaction effect between cutting tool and depth of cut (AB) and depth of cut and feed per tooth (BC) as well as direct effects of depth of cut (B) are the main affecting parameters on  $B_1$  height. As shown in Fig. 15, the shortest  $B_1$  height was obtained when using cutting tool 2, higher value of depth of cut (2 mm), middle level of feed per tooth (0.055 mm), and higher level of cutting speed

**Fig. 10** Pareto chart of  $B_1$  thickness



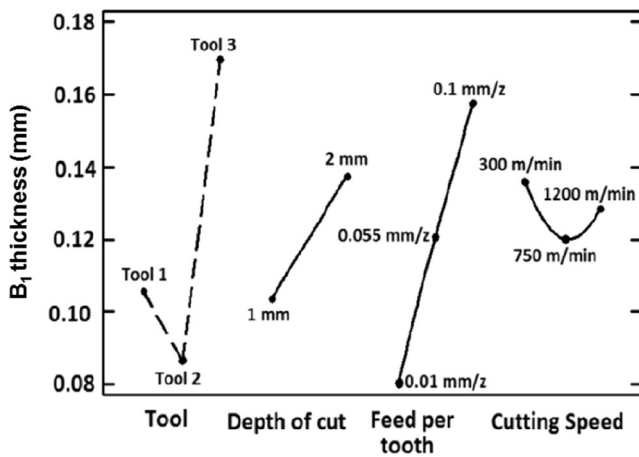


Fig. 11 Main effect plot of B<sub>1</sub> thickness (adapted from [19])

(1200 m/min), quoted as A<sub>2</sub>B<sub>2</sub>C<sub>2</sub>D<sub>3</sub>. Referring to Fig. 16, the longest and shortest burrs were obtained when using cutting tools 3 and 2 at the fixed depth of cut at 1 mm. This reveals the significant influence of interaction effects between tool and depth of cut (AB), which mainly controls the variation of B<sub>1</sub> height.

As similar as B<sub>1</sub> thickness, the lower level of depth of cut when using cutting tool 2 with larger insert nose R<sub>ε</sub> (0.83 mm) led to shorter B<sub>1</sub> height. Based on Fig. 16, a similar value of B<sub>1</sub> height resulted when using tools 1–3 at a depth of cut very close to 2 mm. However, at a higher level of depth of cut (2 mm), shortest and longest burrs were obtained when using tools 1 and 3, respectively. This can be attributed to effects of tool coating on burr formation morphology when depth of cut varies. From Table 3, R<sup>2</sup> and R<sup>2</sup><sub>adj</sub> indicate that the model as fitted explains 57.81 and 39.57% of the variability in B<sub>1</sub> height. It can be inferred that due to strong interaction effects between process parameters, controlling the variation of B<sub>1</sub> height by means of employing advanced modeling approaches for process control is a complex task. Knowing that B<sub>1</sub> height is considered as an insignificant response, the use of

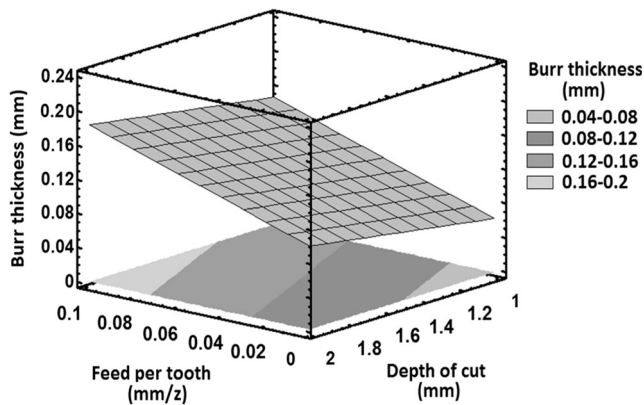


Fig. 12 3D plot of B<sub>1</sub> thickness where using cutting tool 3 and cutting speed 1200 m/min (adapted from [44])

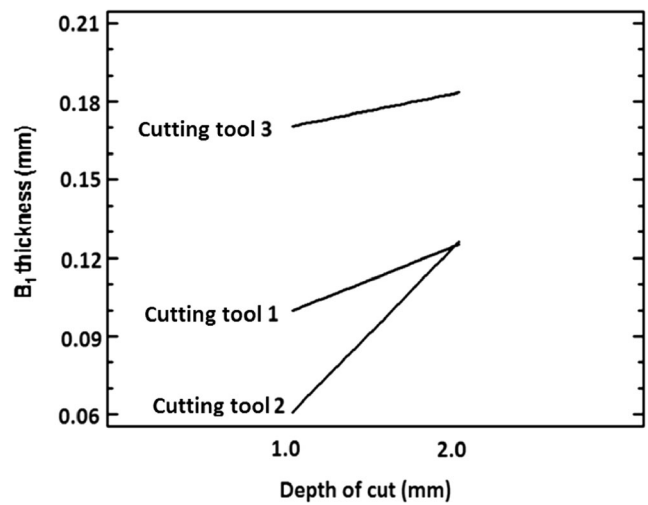


Fig. 13 The interaction effect of AB (cutting tool and depth of cut) on B<sub>1</sub> thickness (adapted from [44])

advanced optimization strategies for adequate selection of setting levels of cutting process parameters is demanded.

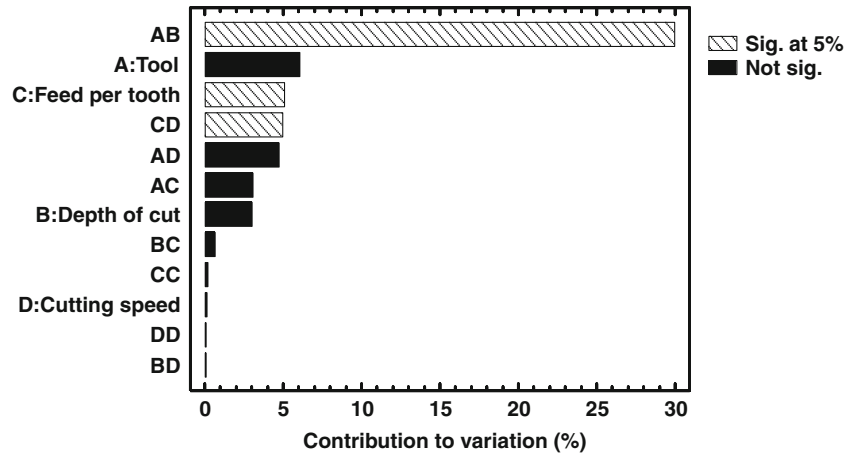
• Average surface roughness (R<sub>a</sub>)

According to Fig. 17, it is evident that feed per tooth (C), tool (A), the interaction effects between tool and feed per tooth (AC), and feed per tooth (CC) are the main governing factors on R<sub>a</sub>. According to Fig. 18, higher levels of feed per tooth and depth of cut led to higher resulting values of R<sub>a</sub>. In addition, lower R<sub>a</sub> was obtained at the middle level of cutting speed (750 m/min).

As similar as B<sub>1</sub> height and thickness, R<sub>a</sub> is not widely affected by cutting speed (see Fig. 17). As shown in Fig. 18, the use of cutting tools 1 and 3 led to maximum and minimum R<sub>a</sub> values, respectively. Referring to Table 1, based on similar R<sub>ε</sub> in both tools, it can be stated that R<sub>a</sub> is mainly controlled by changing the cutting tool coating, not R<sub>ε</sub>.

From Fig. 19, the maximum value of R<sub>a</sub> was obtained at the highest level of feed per tooth and the lowest level of depth of cut. Changing the cutting tools 1 to 2, the lower R<sub>a</sub> was obtained. The maximum R<sub>a</sub> was obtained at the highest feed per tooth and the lowest depth of cut. Based on Fig. 18, the maximum R<sub>a</sub> was obtained at the highest feed per tooth and depth of cut, when cutting tool 3 was in operation. From Fig. 19, it is evident that when feed per tooth varies from 0.01 to 0.1 mm, the highest and lowest R<sub>a</sub> values were obtained when using cutting tools 3 and 1, respectively. As clearly shown in Fig. 19, despite of the cutting tools used at the feed per tooth at 0.01 mm, very similar values of R<sub>a</sub> with a slight difference resulted. However, an enormous difference appeared between R<sub>a</sub> results, when cutting tools 1 and 3 were used at feed per tooth of 0.1 mm. This observation reconfirms the significant effects of feed per tooth (C) on R<sub>a</sub> which is also shown in Figs. 17 and 18.

Fig. 14 Pareto chart of  $B_1$  height



According to Table 3,  $R^2$  and  $R^2_{adj}$  show that the model as fitted explains 76.88 and 67.03% of the variability in  $R_a$ . Due to high interaction effects between tool and feed rate (AC),  $R_a$  is considered as a middle significant response. It is exhibited that manipulation of an in-process and/or out-process control of  $R_a$  seems to be difficult. As similar as the burr size attributes, the use of advanced optimization approaches for adequate selection of setting levels of process parameters is required to achieve an acceptable level of surface quality.

**Results of aluminum alloy 2024-T351** Using the same method of analysis, the governing factors on AA 2024 are found in Table 4. The statistically dominant process parameters as well as optimum setting levels of cutting parameters which led to minimized values of responses are listed in Table 4. Comparing the presented results in Tables 2 and 4 reveals that the optimum setting levels of cutting parameters for each surface quality attribute are different in each material. This may

recall the use of optimization methods to define the optimum or at least near to optimum setting levels of cutting parameters. This will be studied in the next section.

### 3.2 Optimization

#### 3.2.1 Optimization methodology

When several response variables  $y_1, y_2, \dots, y_m$  are presented by fitted equations  $y_1, y_2, \dots, y_m$  (see Eq. A1), according to input process parameters  $x_1, x_2, \dots, x_m$ , the main inquiry refers to the following: in the  $x$  space, the best set of responses can be obtained. The proposed methodology by Derringer and Suich [51], which introduces an overall criterion of desirability of the proposed input setting parameters, is considered as an interesting approach to overcome this problem. Using this method, the optimization of multiple responses becomes simpler. A complete overview of the

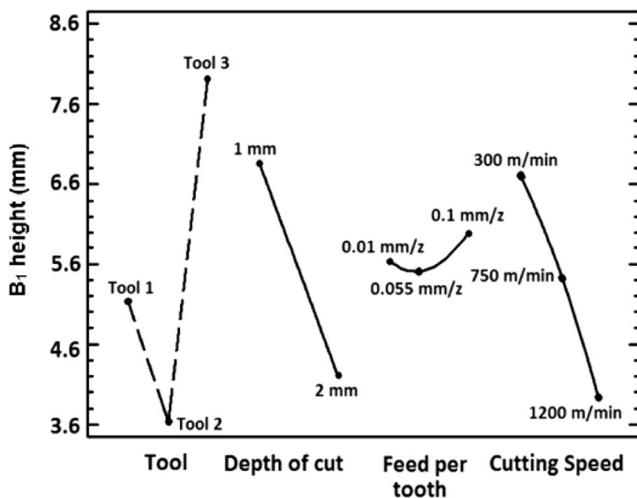


Fig. 15 Main effect plot of  $B_1$  height (adapted from [44])

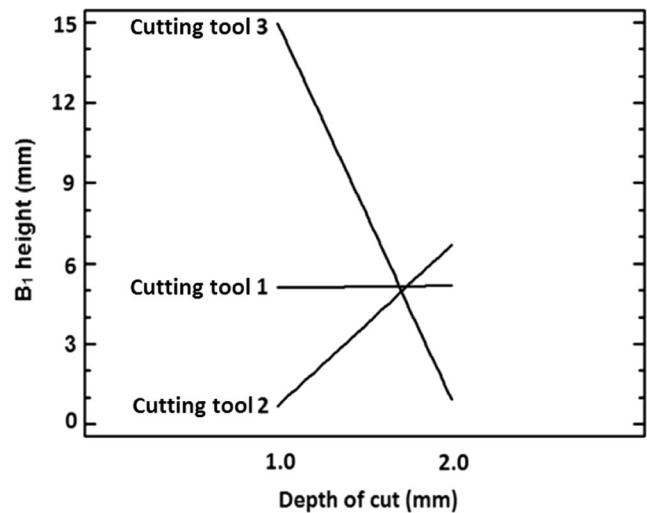
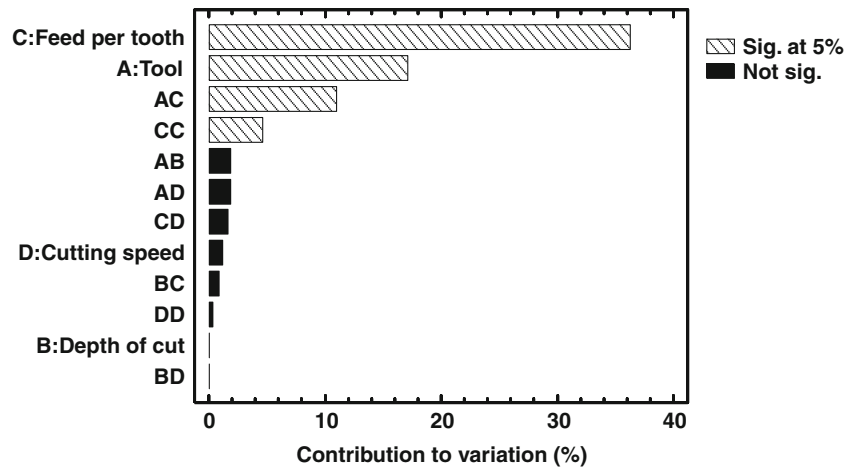


Fig. 16 The interaction effect of AB (cutting tool and depth of cut) on  $B_1$  height (adapted from [44])

Fig. 17 Pareto chart of  $R_a$



optimization methodology and formulations are presented in Appendix 2.

### 3.2.2 Multiple response optimization

In general, when all responses are optimized with similar weightage value  $t$ , the geometric mean of overall desirability ( $D_i$ ) with five optimized responses is expressed as follows:

$$D_i = (d_{(B_{1,H})} \times d_{(B_{2,H})} \times d_{(B_{1,T})} \times d_{(B_{2,T})} \times d_{(R_a)})^{0.2} \quad (4)$$

where

- $B_{1,H}$  is exit up milling side burr ( $B_1$ ) height
- $B_{2,H}$  is exit bottom side burr ( $B_2$ ) height
- $B_{1,T}$  is exit up milling side burr ( $B_1$ ) thickness
- $B_{2,T}$  is exit bottom side burr ( $B_2$ ) thickness
- $R_a$  is average surface roughness

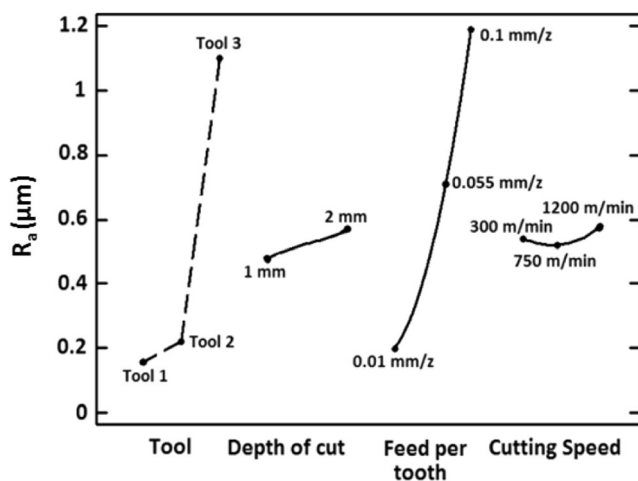


Fig. 18 Main effect plot of  $R_a$  (adapted from [44])

Based on experimental results and by knowing that the main size attributes of exit up milling side burr ( $B_1$ ) (thickness and height) as well as  $R_a$  are the most critical surface quality parameters, two other optimization conditions based on various weightage value  $t$  are also proposed in Table 6, containing the calculated geometric mean of overall desirability ( $D_i$ ). This may allow easier interpretation of optimization results and definition of the setting levels of cutting process parameters. The optimization strategy as aforementioned was applied individually for each tested material using Eqs. A1–A3 and 4. The optimization results in all three tested conditions (Table 6) are presented in Tables 7 and 8. The factor setting levels with the maximum value of  $D_i$  are considered as the optimal level of cutting parameters. The following sections present the optimization results of AA 6061-T6 and AA 2024-T351.

As shown in Table 6, with respect to the operating conditions used,  $(D_i)_{max}$  is calculated for each optimization condition. When all surface quality attributes with equal weightage value  $t$  are considered (Table 6), the optimum setting levels of

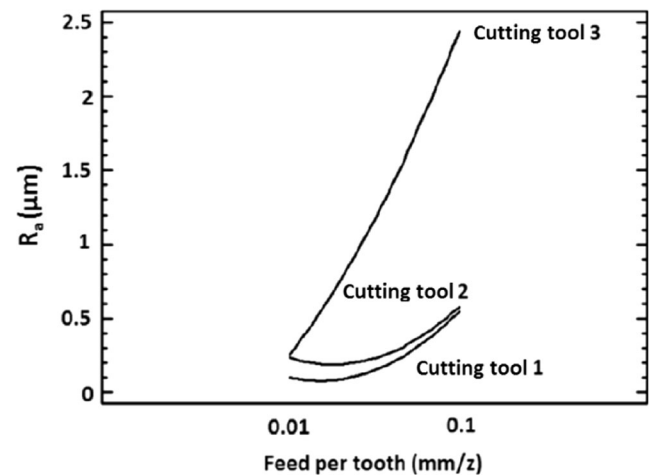


Fig. 19 The interaction effect of AC (cutting tool and feed per tooth) on  $R_a$  (adapted from [44])

**Table 5** Optimization conditions

Conditions	Optimized responses	Weight value <i>t</i>	Geometric mean of overall desirability ( <i>D<sub>i</sub></i> )
1	B <sub>1,H</sub> , B <sub>1,T</sub> , R <sub>a</sub> , B <sub>2,H</sub> , B <sub>2,T</sub>	1, 1, 1, 1, 1	$D_i = (d(B_{1,H}) \times d(B_{2,H}) \times d(B_{1,T}) \times d(B_{2,T}) \times d(R_a))^{0.2}$
2	B <sub>1,H</sub> , B <sub>1,T</sub> , R <sub>a</sub>	1, 1, 1	$D_i = (d(B_{1,H}) \times d(B_{2,H}) \times d(R_a))^{0.33}$
3	B <sub>1,H</sub> , B <sub>1,T</sub> , R <sub>a</sub> , B <sub>2,H</sub> , B <sub>2,T</sub>	2, 2, 2, 1, 1	$D_i = (d(B_{1,H}) \times d(B_{2,H}) \times d(B_{1,T}) \times d(B_{2,T}) \times d(R_a))^{0.14}$

process parameters for AA 6061-T6 and AA 2024-T351 are denoted as A<sub>1</sub>B<sub>1</sub>C<sub>1</sub>D<sub>3</sub> (test no. 1) and A<sub>1</sub>B<sub>1</sub>C<sub>1</sub>D<sub>1</sub> (test no. 3) which are as follows:

Optimum conditions for AA 6061-T6	Optimum conditions for AA 2024-T321
<ul style="list-style-type: none"> <li>• Cutting tool (A): tool 1</li> <li>• Depth of cut <i>a<sub>p</sub></i> (B): 1 mm</li> <li>• Feed per tooth <i>f<sub>z</sub></i> (C): 0.01 mm</li> <li>• Cutting speed <i>v<sub>c</sub></i> (D): 1200 m/min</li> </ul>	<ul style="list-style-type: none"> <li>• Cutting tool (A): tool 1</li> <li>• Depth of cut <i>a<sub>p</sub></i> (B): 1 mm</li> <li>• Feed per tooth <i>f<sub>z</sub></i> (C): 0.01 mm</li> <li>• Cutting speed <i>v<sub>c</sub></i> (D): 300 m/min</li> </ul>

Despite of the tested material used, the dissimilar weightage value *t* of surface quality attributes yields similar setting level A<sub>2</sub>B<sub>1</sub>C<sub>1</sub>D<sub>1</sub> as the optimum cutting condition (Table 5). In fact, based on Tables 5 and 6, optimum conditions are observed when the cutting tool 2 with a bigger *R<sub>e</sub>* (0.81 mm) is used. As noted earlier, B<sub>1</sub> and B<sub>2</sub> burrs are considered as primary and secondary burrs, respectively. Assuming that the face milling burr formation mechanism appears on the exit side of slot milling parts, transition from primary to secondary burr formation is observed on the exit side burrs along up/down milling sides [18]. When burr smoothly leans towards the transition material and breaks off from the machined surface, then longer B<sub>1</sub> and shorter B<sub>2</sub> resulted (Fig. 6). This generally occurred when using cutting tools with smaller *R<sub>e</sub>* on materials with poor machinability. As shown in this work, larger resulting values of B<sub>2</sub> size (height and thickness) and smaller and thinner B<sub>1</sub> were obtained for AA 6061-T6, as compared with that observed in AA 2024-T351 (Fig. 5). In fact, as shown in Table 6, despite of the material used, the use of a cutting tool with bigger *R<sub>e</sub>* leads to thinner and smaller B<sub>1</sub> and a relatively optimum value of *R<sub>a</sub>*.

**Table 6** Optimization results

Optimization conditions	AA 6061-T6		AA 2024-T321	
	( <i>D<sub>i</sub></i> ) <sub>max</sub>	Optimized setting level	( <i>D<sub>i</sub></i> ) <sub>max</sub>	Optimized setting level
1	0.921	A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>3</sub>	0.94	A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>
2	0.986	A <sub>2</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>	0.98	A <sub>2</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>
3	0.941	A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>3</sub>	0.95	A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>

The proposed optimized setting levels of process parameters need to be validated through verification tests.

3.2.3 Experimental validation

The proposed setting levels of cutting parameters for each individual optimization condition and material as listed in Fig. 6 were tested through three repeated verification tests. The average of recorded responses was then calculated, and accordingly, the corresponding *D<sub>i</sub>* for each optimization condition was calculated (Table 7). It is inferred that relatively similar values of *D<sub>i</sub>* were obtained in each verification condition (Table 7) as compared with those observed in experimental studies (Fig. 6).

In the course of optimization, it is always intended to obtain the absolute optimum responses or near to optimum responses that are much smaller than the average values of experimental responses. This would require adequate proposal of the optimum setting levels of process parameters. To evaluate the adequacy of each optimized responses, a new term so-called optimization rate (*k<sub>i</sub>*) was used to measure the closeness of the proposed optimized responses to the mean value experimentally measured. The optimization rate (*k<sub>i</sub>*) is calculated as shown in Eq. 5:

$$k_i = \frac{y_{\text{mean}} - y_{\text{opt}}}{y_{\text{mean}}} \times 100\% \tag{5}$$

where

- k<sub>i</sub>* is the optimization rate
- y<sub>mean</sub>* is the mean value of experimental responses
- y<sub>opt</sub>* is the optimal response

**Table 7** Experimental verification of the optimized setting levels

Optimization conditions	AA 6061-T6		AA 2024-T321	
	<i>D<sub>i</sub></i>	Optimized setting level	<i>D<sub>i</sub></i>	Optimized setting level
1	0.916	A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>3</sub>	0.931	A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>
2	0.944	A <sub>2</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>	0.958	A <sub>2</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>
3	0.932	A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>3</sub>	0.925	A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>

**Table 8** Optimization rate of each individual response under different optimization conditions when milling AA 6061-T6

Responses	$y_{\text{mean}}$	Optimization condition 1 A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>3</sub>		Optimization condition 2 A <sub>2</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>		Optimization condition 3 A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>3</sub>		
		$y_{i,1}$	$k_{i,1}$ (%)	$y_{i,2}$	$k_{i,2}$ (%)	$y_{i,3}$	$k_{i,3}$ (%)	
		1	B <sub>1,H</sub> (mm)	4.80	0.15	3100	0.59	713.6
2	B <sub>1,T</sub> (mm)	0.12	0.03	300	0.03	300	0.03	300
3	B <sub>2,H</sub> (mm)	0.93	0.38	144.7	1.41	−34	0.38	144.7
4	B <sub>2,T</sub> (mm)	0.09	0.09	0	0.05	80	0.09	0
5	R <sub>a</sub> (μm)	0.45	0.15	200	0.1	350	0.15	200
Desirability (D <sub>i</sub> ) <sub>max</sub>		D <sub>i</sub> = 0.921	D <sub>i</sub> = 0.986	D <sub>i</sub> = 0.941				

According to optimization results and optimum setting levels of process parameters (Table 6), the optimization rate of each individual response under each proposed optimization condition is presented in Tables 8 and 9. It is inferred that despite of the material tested, under optimization condition 2, both B<sub>1,H</sub> and B<sub>1,T</sub> as well as R<sub>a</sub> were improved as compared to the mean values of the responses. On the other side, larger B<sub>2,H</sub> values as compared to the recorded mean values were obtained in both tested materials. This reconfirms that a larger resulting value of B<sub>2,H</sub> (mm) resulted when it is intended to achieve smaller and thinner B<sub>1</sub>. Moreover, as the weightage value *t* of each response varies in optimization conditions 1 and 3 (Table 5), similarly, both B<sub>1,H</sub> and B<sub>1,T</sub> as well as R<sub>a</sub> were improved with less resulting influence on B<sub>2,H</sub> and B<sub>2,T</sub>, although both responses are still smaller than the recorded mean values. It can be underlined that according to tested conditions and proposed optimum setting levels of cutting conditions, relatively optimum B<sub>1,H</sub>, B<sub>1,T</sub> and R<sub>a</sub> are obtained for both materials under optimization conditions, while in respect to proposed optimization conditions and weightage value *t* of each response (Table 5), relatively near to optimum and near to average B<sub>2,H</sub> and B<sub>2,T</sub> resulted.

### 4 Conclusion

As noted earlier, several studies have employed experimental studies to identify the cutting factors governing machining responses. This is considered as a serious industrial demand. However, due to complex mechanisms of burr formation and direct and interaction effects between process parameters, numerous experimental tests are needed to assess the factors governing burr formation and size [29]. As well as considerable amount of expenses, the main factors governing burr formation during oblique and orthogonal milling operations are still unclear. The main governing factors seem to be temperature effects, machine tool conditions, stability of cutting process, material properties, etc. Limited information in this regards may lead to inconsistency on experimental results. Moreover, although dry machining of aluminum alloys is a widely used approach, limited information is available for adequate selection of cutting parameters in dry cutting condition. Proper selection of cutting parameters by means of burr size minimization is even more complicated in slot milling operation, particularly when various levels of machining parameters such as cutting tools with various insert nose radiuses and coatings are used. Therefore, to remedy the lack of knowledge noted, the combination of statistical and experimental

**Table 9** Optimization rate of each individual response under different optimization conditions when milling AA 2024-T351

Responses	$y_{\text{mean}}$	Optimization condition 1 A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>		Optimization condition 2 A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>		Optimization condition 3 A <sub>1</sub> B <sub>1</sub> C <sub>1</sub> D <sub>1</sub>		
		$y_{i,1}$	$k_{i,1}$ (%)	$y_{i,2}$	$k_{i,2}$ (%)	$y_{i,3}$	$k_{i,3}$ (%)	
		1	B <sub>1,H</sub> (mm)	3.17	0.68	366	2.15	47.5
2	B <sub>1,T</sub> (mm)	0.11	0.04	175	0.04	175	0.04	175
3	B <sub>2,H</sub> (mm)	1.01	0.91	11	1.33	−24	0.91	11
4	B <sub>2,T</sub> (mm)	0.08	0.04	100	0.03	166.7	0.04	100
5	R <sub>a</sub> (μm)	0.11	0.04	175	0.04	175	0.04	175
Desirability (D <sub>i</sub> ) <sub>max</sub>			D <sub>i</sub> = 0.94		D <sub>i</sub> = 0.98		D <sub>i</sub> = 0.95	



approaches was used in this work for experimental characterization of the factors governing exit burr size attributes (height, thickness) which are very difficult to model explicitly. Therefore, acute information on factors governing burr formation mechanism and size is strongly demanded. As well as edge quality, surface quality needs to be improved. Knowing that single response optimization (e.g., burr size) may lead to non-acceptable or non-optimum surface quality, the use of optimization strategies to assess the possibility of simultaneous multiple response optimization is necessary.

Based on experimental observations, statistical analysis, and the employed optimization strategy, the following conclusions are drawn:

- Regardless of the material used,  $B_1$  thickness is a statistically significant response which can be highly controlled by feed per tooth, tool (geometry and coating), depth of cut, and interaction effects between cutting parameters, including AB and DD. Moreover, the minimum  $B_1$  thickness in both materials resulted when using low levels of depth of cut and feed per tooth as well as a tool with bigger  $R_c$ . It was found that the changes in  $R_c$  and tool coating led to significant effect on  $B_1$  thickness.
- Despite of the tested material,  $B_1$  height is considered as a non-statistically significant response that cannot be accurately controlled by cutting parameters. The only effective cutting process parameter on  $B_1$  height is depth of cut.
- As similar as  $B_1$  thickness,  $R_a$  can highly vary by cutting parameters, including feed per tooth, tool geometry and coating, depth of cut, and interaction effects (AB). The effect of cutting tool coating on  $R_a$  is significant. Lower levels of depth of cut, feed per tooth, middle level of cutting speed, and cutting tool with smaller  $R_c$  led to a minimum value of  $R_a$ .
- It was observed that the optimal parameters to minimize each machining response studied are different. Among studied responses, both  $B_2$  height and thickness are considered as non-significant responses. Except  $B_1$  thickness,  $B_1$  height and  $R_a$  are also considered as statistically non-significant responses to variation of cutting process parameters which cannot be precisely controlled by cutting parameters used.
- As noted earlier, except  $B_1$  thickness, the controllability of other surface quality attributes is difficult. To remedy this lack, desirability function  $D_i(x)$  was implemented to ascertain the adequate setting levels of cutting process parameters. To better investigate the optimum cutting parameters, desirability function  $D_i(x)$  was calculated under three conditions on the basis of defined levels of weightage value  $t$  as shown in Table 6. The optimum conditions in all three conditions were defined. This reveals that despite of the conditions used (Table 6), relatively optimum and near to optimum results were obtained for each individual response. This confirms the possibility of simultaneous optimization of multiple surface quality attributes, despite of their insignificant sensitivity to cutting parameters. According to experimental results, it is inferred that under finishing conditions when milling aluminum alloys from relatively similar family of materials, feed per tooth and tool (geometry and coating) have the most substantial influence on simultaneous minimization of exit burr size attributes (thickness and height) and  $R_a$ , but cutting speed and depth of cut have relatively less contribution on  $D_i(x)$ .
- Regardless of the tested materials and weightage value  $t$ , multiple response optimization of slot milling responses can be achieved at low levels of feed per tooth and depth of cut as well as high levels of cutting speed and cutting tool with relatively bigger  $R_a$ . The proposed optimum setting levels of cutting process parameters for each individual optimization condition and material (Table 6) were confirmed through verification tests.
- As a final remark, it can be underlined that due to limited amount of investigations on simultaneous optimization of the surface quality attributes, the results of this work could be used as an operational window for adequate selection of process parameters.
- Although optimum or near to optimum parameters and cutting conditions could be proposed to optimize the machining responses, this method is not a practical approach on non-statistically significant responses such as burr height. Therefore, to remedy this lack, it is proposed to define the best setting levels of process parameters and evaluate their desirability with respect to existing or proposed optimum responses using predefined scale values. The process parameter adjustment and optimization based on real-time approaches can also be conducted using intelligent approaches such as advanced artificial intelligence methods (e.g., NN, fuzzy logic, ANFIS).

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## Appendix 1

The following terms and techniques are used in this work for statistical analysis:

1. **ANOVA:** The analysis of variance (ANOVA) allows an examination of the main effects of independent variables and their interaction effects to determine their combined effects on the responses at 95% confidence interval (CI).

The following statistical terms are used for results analysis:

- *P value*: The probability (ranging from 0 to 1) that the results observed in a study (or results more extreme) could have occurred by chance
  - If *P value* >0.10, the parameter is insignificant.
  - If  $0.05 \leq P \text{ value} \leq 0.10$ , the parameter is mildly significant.
  - If *P value* <0.05, the parameter is significant.
  - The coefficient of determination ( $R^2$ ) provides a measure of variability in the observed response values and can be explained by the controllable factors and their interactions. If  $R^2$  is greater than 0.75, the predicted model is thought to be *sensitive* to variation of process variables. If not, the model is considered as *insignificant*.
  - $R^2_{\text{adj}}$  is more suitable for comparing models with different numbers of independent variables. Unlike  $R^2$ ,  $R^2_{\text{adj}}$  increases only if the new term improves the model more than would be expected.  $R^2_{\text{adj}}$  can be negative and is always smaller than or equal to  $R^2$ .
2. *Pareto analysis*: A Pareto chart compares the relative importance and statistical significance of the main and interaction effects between process parameters. This chart identifies influential factors in order of decreasing contribution.
  3. *Main effect plot*: The analysis of means (ANOM) is used to determine the optimal cutting conditions by estimating the effect of each parameter on response, which is presented in the main effect plot diagram [52].
  4. *Interaction effects analysis*: Presents the interaction effects between process parameters.

### Appendix 2

When there are many input process parameters, it becomes more complex to find the appropriate input setting levels.

$$\hat{Y}_i = a_0 + \sum_{i=1}^4 a_i X_i + \sum_{i=1}^4 a_{ii} X_i^2 + \sum_{i=1}^4 \sum_{j=1}^4 a_{ij} X_i X_j \tag{A1}$$

Let

- $Y_i$  Response system
- $X_i$  Coded variable
- $X_i X_j$  Interaction between parameter
- $a_i$  Effect of each process parameter
- $a_{ii}$  Effect of each process parameter
- $a_{ij}$  Interaction effect between *i* and *j*

An interesting approach to overcome this difficulty is to use a methodology proposed by Derringer and Suich [51], which introduces an overall criterion of desirability of the proposed input setting parameters. Using this method, the optimization of multiple responses becomes simpler. This method uses an objective function as  $D_i(x)$ , called desirability function, and transforms the estimated response into a scale-free value ( $d_i$ ), called desirability which ranges from 0 to 1 (see Eq. A2).

Suppose  $Y_i$  is within the range of (B, C), where B and C are minimum and maximum measured/expected response values. Therefore, the desired range is as following  $B \leq Y \leq C$ . In this case, the desirability of each response ( $d_i$ ) can be defined as below:

$$d_i = \left( \frac{\hat{Y} - C}{B - C} \right)^t \tag{A2}$$

where *t* is a weightage value.

Assuming that the significance value of all responses is similar, *t* is considered 1. From Eq. A2, it is clear that if any response  $y_i$  is completely undesirable, then  $d_i = 0$  (Eq. A2). Therefore, the objective function is a geometric mean of all transformed responses as shown in Eq. A3:

$$D_i = (d_1 \times d_2 \times d_3 \times \dots \times d_n)^{1/m} = \left( \prod_{i=1}^n d_i \right)^{1/m} \tag{A3}$$

where *m* is the number of responses.

**Table 10** Experimental measured and optimization responses of AA 6061-T6

Test no.	Experimental parameters				Responses					Desirability ( $D_i$ )		
	A Tool	B $a_p$ (mm)	C $f_z$ (mm)	D $v_c$ (m/min)	$B_{1,H}$ (mm)	$B_{1,T}$ (mm)	$B_{2,H}$ (mm)	$B_{2,T}$ (mm)	$R_a$ ( $\mu\text{m}$ )	Condition 1	Condition 2	Condition 3
1	1	1	0.01	300	4.88	0.04	0.25	0.11	0.11	0.836	0.872	0.829
2	1	1	0.01	750	5.72	0.06	0.75	0.11	0.14	0.783	0.827	0.774
3	1	1	0.01	1200	0.15	0.03	0.38	0.09	0.15	0.921	0.984	0.931
4	1	1	0.055	300	5.00	0.10	0.38	0.10	0.16	0.795	0.786	0.765
5	1	1	0.055	750	7.45	0.08	0.64	0.08	0.23	0.766	0.736	0.724
6	1	1	0.055	1200	6.10	0.13	0.29	0.08	0.12	0.766	0.715	0.715
7	1	1	0.1	300	2.31	0.13	0.23	0.08	0.30	0.797	0.759	0.755

**Table 10** (continued)

Test no.	Experimental parameters				Responses					Desirability ( $D_i$ )		
	A Tool	B $a_p$ (mm)	C $f_z$ (mm)	D $v_c$ (m/min)	$B_{1,H}$ (mm)	$B_{1,T}$ (mm)	$B_{2,H}$ (mm)	$B_{2,T}$ (mm)	$R_a$ ( $\mu$ m)	Condition 1	Condition 2	Condition 3
8	1	1	0.1	750	6.61	0.15	0.30	0.10	0.34	0.704	0.64	0.641
9	1	1	0.1	1200	7.66	0.15	0.88	0.07	1.51	0.565	0.442	0.467
10	1	2	0.01	300	6.44	0.09	0.36	0.08	0.11	0.802	0.764	0.76
11	1	2	0.01	750	5.12	0.08	0.40	0.08	0.11	0.834	0.817	0.805
12	1	2	0.01	1200	4.72	0.09	0.36	0.08	0.13	0.832	0.811	0.801
13	1	2	0.055	300	3.98	0.23	0.42	0.13	0.18	0.553	0.492	0.482
14	1	2	0.055	750	0.96	0.12	0.66	0.09	0.13	0.817	0.826	0.797
15	1	2	0.055	1200	6.73	0.19	0.38	0.06	0.34	0.675	0.555	0.585
16	1	2	0.1	300	4.73	0.27	0.52	0.06	0.36	0	0	0
17	1	2	0.1	750	7.60	0.24	0.35	0.04	0.32	0.558	0.387	0.437
18	1	2	0.1	1200	6.31	0.24	0.33	0.06	0.59	0.562	0.403	0.447
19	2	1	0.01	300	0.59	0.03	1.41	0.05	0.10	0.912	0.986	0.941
20	2	1	0.01	750	0.96	0.03	1.31	0.05	0.13	0.918	0.974	0.931
21	2	1	0.01	1200	0.14	0.05	1.24	0.06	0.17	0.898	0.951	0.906
22	2	1	0.055	300	0.90	0.08	1.71	0.22	0.34	0	0.871	0
23	2	1	0.055	750	0.21	0.05	1.64	0.13	0.70	0.725	0.864	0.746
24	2	1	0.055	1200	1.25	0.07	4.46	0.20	0.28	0	0.883	0
25	2	1	0.1	300	0.63	0.07	1.33	0.05	0.49	0.847	0.861	0.832
26	2	1	0.1	750	0.31	0.09	1.32	0.10	0.47	0.776	0.846	0.776
27	2	1	0.1	1200	1.16	0.09	2.28	0.18	0.44	0.583	0.842	0.632
28	2	2	0.01	300	6.04	0.06	0.62	0.05	0.08	0.855	0.82	0.821
29	2	2	0.01	750	5.81	0.08	0.80	0.04	0.09	0.85	0.802	0.809
30	2	2	0.01	1200	0.29	0.07	0.85	0.09	0.12	0.865	0.934	0.876
31	2	2	0.055	300	5.81	0.08	0.60	0.12	0.52	0.721	0.741	0.695
32	2	2	0.055	750	0.28	0.11	1.06	0.08	0.20	0.826	0.849	0.813
33	2	2	0.055	1200	7.90	0.07	0.52	0.11	0.32	0.726	0.723	0.691
34	2	2	0.1	300	6.11	0.17	0.59	0.10	0.69	0.641	0.57	0.571
35	2	2	0.1	750	11.71	0.15	0.73	0.11	0.71	0.553	0.464	0.47
36	2	2	0.1	1200	16.21	0.15	0.63	0.10	0.43	0	0	0
37	3	1	0.01	300	6.69	0.04	0.60	0.06	0.34	0.827	0.794	0.79
38	3	1	0.01	750	6.80	0.05	4.06	0.10	0.30	0.493	0.785	0.543
39	3	1	0.01	1200	5.16	0.08	2.26	0.11	0.26	0.69	0.798	0.695
40	3	1	0.055	300	5.56	0.09	0.79	0.07	0.43	0.787	0.746	0.742
41	3	1	0.055	750	8.07	0.10	1.37	0.07	0.21	0.725	0.693	0.678
42	3	1	0.055	1200	7.06	0.09	0.36	0.04	0.51	0.798	0.703	0.731
43	3	1	0.1	300	11.91	0.10	0.38	0.06	1.33	0.574	0.427	0.465
44	3	1	0.1	750	5.77	0.11	1.76	0.10	0.92	0.634	0.636	0.594
45	3	1	0.1	1200	5.19	0.11	3.14	0.12	1.31	0.503	0.576	0.482
46	3	2	0.01	300	6.51	0.09	0.42	0.05	0.29	0.81	0.735	0.753
47	3	2	0.01	750	5.44	0.16	0.97	0.04	0.33	0.737	0.649	0.667
48	3	2	0.01	1200	0.34	0.15	0.40	0.05	0.27	0.824	0.756	0.772
49	3	2	0.055	300	4.59	0.15	0.37	0.06	0.48	0.754	0.658	0.682
50	3	2	0.055	750	5.52	0.17	0.91	0.06	0.26	0.703	0.623	0.633
51	3	2	0.055	1200	5.71	0.18	0.34	0.05	0.48	0.708	0.585	0.619
52	3	2	0.1	300	5.24	0.23	0.32	0.08	1.25	0.516	0.37	0.405
53	3	2	0.1	750	4.54	0.23	0.33	0.07	1.65	0.476	0.315	0.357
54	3	2	0.1	1200	0.45	0.21	0.42	0.09	2.21	0	0	0
Minimum					0.14	0.03	0.23	0.04	0.08	0.00	0.00	0.00
Maximum					16.21	0.27	4.46	0.22	2.21	0.92	0.99	0.94
Mean					4.80	0.12	0.93	0.09	0.45	0.66	0.68	0.63

### Appendix 3

**Table 11** Experimental measured values of response characteristics in AA 2024-T351

Test no.	Experimental parameters				Responses					Desirability ( $D_i$ )		
	A Tool	B	C $f_z$ (mm)	D $v_c$ (m/min)	$B_{1,H}$ (mm)	$B_{1,T}$ (mm)	$B_{2,H}$ (mm)	$B_{2,T}$ (mm)	$R_a$ ( $\mu\text{m}$ )	Condition 1	Condition 2	Condition 3
1	1	1	0.01	300	0.68	0.04	0.91	0.04	0.04	0.94	0.95	0.95
2	1	1	0.01	750	2.37	0.07	1.21	0.04	0.07	0.83	0.81	0.80
3	1	1	0.01	1200	0.25	0.08	1.42	0.08	0.08	0.78	0.80	0.76
4	1	1	0.055	300	0.12	0.08	0.15	0.05	0.08	0.88	0.84	0.85
5	1	1	0.055	750	0.08	0.08	0.37	0.08	0.08	0.84	0.84	0.82
6	1	1	0.055	1200	3.52	0.10	2.18	0.25	0.10	0.00	0.67	0.00
7	1	1	0.1	300	0.16	0.12	0.19	0.08	0.12	0.71	0.63	0.64
8	1	1	0.1	750	8.22	0.11	0.19	0.05	0.11	0.65	0.51	0.55
9	1	1	0.1	1200	2.31	0.11	2.04	0.07	0.11	0.64	0.62	0.59
10	1	2	0.01	300	0.15	0.13	0.34	0.08	0.13	0.67	0.56	0.58
11	1	2	0.01	750	0.14	0.10	0.23	0.05	0.10	0.79	0.70	0.72
12	1	2	0.01	1200	6.10	0.13	0.18	0.04	0.13	0.63	0.47	0.52
13	1	2	0.055	300	0.10	0.10	0.23	0.07	0.10	0.78	0.73	0.73
14	1	2	0.055	750	0.27	0.09	0.38	0.05	0.09	0.83	0.78	0.79
15	1	2	0.055	1200	5.94	0.13	0.21	0.04	0.13	0.64	0.49	0.54
16	1	2	0.1	300	0.07	0.12	0.24	0.07	0.12	0.70	0.60	0.62
17	1	2	0.1	750	1.10	0.15	0.34	0.07	0.15	0.56	0.42	0.45
18	1	2	0.1	1200	12.82	0.15	0.34	0.08	0.15	0.39	0.24	0.28
19	2	1	0.01	300	2.15	0.04	1.33	0.03	0.04	0.91	0.98	0.91
20	2	1	0.01	750	1.14	0.06	1.63	0.10	0.06	0.79	0.90	0.81
21	2	1	0.01	1200	0.82	0.07	1.21	0.11	0.07	0.78	0.85	0.78
22	2	1	0.055	300	0.57	0.06	1.20	0.07	0.06	0.85	0.89	0.85
23	2	1	0.055	750	0.76	0.04	1.31	0.17	0.04	0.75	0.96	0.80
24	2	1	0.055	1200	1.17	0.07	1.38	0.08	0.07	0.79	0.83	0.78
25	2	1	0.1	300	0.61	0.07	1.24	0.10	0.07	0.80	0.87	0.80
26	2	1	0.1	750	0.27	0.08	4.46	0.19	0.08	0.00	0.80	0.00
27	2	1	0.1	1200	0.79	0.09	1.25	0.18	0.09	0.65	0.79	0.66
28	2	2	0.01	300	0.14	0.06	0.57	0.05	0.06	0.90	0.90	0.89
29	2	2	0.01	750	0.23	0.12	3.92	0.12	0.12	0.44	0.62	0.46
30	2	2	0.01	1200	0.17	0.11	2.97	0.06	0.11	0.63	0.70	0.61
31	2	2	0.055	300	0.15	0.09	0.69	0.06	0.09	0.80	0.76	0.76
32	2	2	0.055	750	7.79	0.11	0.99	0.04	0.11	0.64	0.53	0.55
33	2	2	0.055	1200	8.43	0.15	1.19	0.03	0.15	0.49	0.34	0.38
34	2	2	0.1	300	13.06	0.19	0.91	0.05	0.19	0.17	0.06	0.09
35	2	2	0.1	750	0.16	0.14	1.01	0.03	0.14	0.65	0.53	0.55
36	2	2	0.1	1200	13.85	0.17	0.60	0.03	0.17	0.29	0.14	0.18
37	3	1	0.01	300	9.46	0.05	0.34	0.07	0.05	0.75	0.67	0.68
38	3	1	0.01	750	3.78	0.06	1.90	0.08	0.06	0.75	0.82	0.75
39	3	1	0.01	1200	3.90	0.06	1.55	0.09	0.06	0.77	0.83	0.76
40	3	1	0.055	300	5.50	0.10	0.26	0.07	0.10	0.72	0.63	0.65
41	3	1	0.055	750	5.03	0.09	1.59	0.08	0.09	0.68	0.66	0.64
42	3	1	0.055	1200	0.13	0.13	2.55	0.13	0.13	0.55	0.59	0.52
43	3	1	0.1	300	0.04	0.12	0.59	0.08	0.12	0.69	0.60	0.61

**Table 11** (continued)

Test no.	Experimental parameters				Responses					Desirability ( $D_i$ )		
	A Tool	B	C $f_z$ (mm)	D $v_c$ (m/min)	$B_{1,H}$ (mm)	$B_{1,T}$ (mm)	$B_{2,H}$ (mm)	$B_{2,T}$ (mm)	$R_a$ ( $\mu\text{m}$ )	Condition 1	Condition 2	Condition 3
44	3	1	0.1	750	3.02	0.14	2.03	0.08	0.14	0.53	0.47	0.46
45	3	1	0.1	1200	2.32	0.14	1.62	0.09	0.14	0.54	0.46	0.46
46	3	2	0.01	300	0.07	0.06	0.24	0.07	0.06	0.89	0.89	0.88
47	3	2	0.01	750	5.35	0.09	0.48	0.06	0.09	0.74	0.65	0.67
48	3	2	0.01	1200	0.32	0.09	0.50	0.06	0.09	0.82	0.77	0.78
49	3	2	0.055	300	0.18	0.12	0.27	0.09	0.12	0.70	0.62	0.63
50	3	2	0.055	750	0.11	0.13	0.50	0.07	0.13	0.67	0.57	0.59
51	3	2	0.055	1200	7.88	0.15	0.22	0.05	0.15	0.52	0.35	0.40
52	3	2	0.1	300	6.29	0.17	0.29	0.07	0.17	0.45	0.29	0.33
53	3	2	0.1	750	6.52	0.20	0.20	0.06	0.20	0.00	0.00	0.00
54	3	2	0.1	1200	14.72	0.19	0.27	0.08	0.19	0.00	0.00	0.00
					0.04	0.04	0.15	0.03	0.04	0.00	0.00	0.00
Minimum					14.72	0.20	4.46	0.25	0.20	0.94	0.98	0.95
Maximum					3.17	0.11	1.01	0.08	0.11	0.64	0.63	0.59
Mean												

## Appendix 4

**Table 12** The average value of recorded responses in verification tests

Responses	AA 6061-T6			AA 2024-T351			
		Optimization condition 1 $A_1B_1C_1D_3$	Optimization condition 2 $A_2B_1C_1D_1$	Optimization condition 3 $A_1B_1C_1D_3$	Optimization condition 1 $A_1B_1C_1D_1$	Optimization condition 2 $A_2B_1C_1D_1$	Optimization condition 3 $A_1B_1C_1D_1$
1	$B_{1,H}$ (mm)	0.2	0.21	0.2	0.19	0.21	0.19
2	$B_{1,T}$ (mm)	0.04	0.035	0.04	0.04	0.05	0.04
3	$B_{2,H}$ (mm)	0.39	0.42	0.39	0.41	0.42	0.41
4	$B_{2,T}$ (mm)	0.08	0.067	0.08	0.05	0.06	0.05
5	$R_a$ ( $\mu\text{m}$ )	0.39	0.42	0.39	0.12	0.1	0.12
$D_i$		0.931	0.968	0.942	0.963	0.972	0.961

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