ORIGINAL ARTICLE

# Analysis of surface roughness and cutting force when turning AISI 1045 steel with grooved tools through Scott–Knott method

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Abstract The chip breaker presents an important role in chip control on turning operation, as well as a significant influence on cutting force, surface integrity, wear, and tool life. In this experimental study, the grooved chip breaker, feed rate, and cutting velocity influence on cutting force and surface roughness of turning process of AISI 1045 steel were investigated through a complete factorial design and the Scott–Knott method. The multiple comparison method of Scott–Knott was used to identify which combination of the factor levels was specifically different when a source of variation was statistically significant in ANOVA. This multiple comparison method was essential to choose an optimal combination between cutting conditions and chip breaker type assuring the lowest cutting force and surface roughness levels without ambiguity. The methodology proposed was effective at achieving process improvement.

Keywords Chip breaker  $\cdot$  Cutting force  $\cdot$  Surface roughness  $\cdot$ Factorial design . Scott–Knott method

# 1 Introduction

According to Maity and Das [\[1](#page-10-0)], long chips curl around the tool and can pose serious hazards to the workpiece surface, the operator, and the machine–tool operations. To overcome

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this difficulty, a number of researchers have investigated the effective control of chip flow and breaking. Chip curl can be controlled by using an obstacle across the chip-flow direction, commonly known as chip breaker or chip former.

The chip breaker is defined as a modification of the rake face to control or break the chip, consisting of either an integral groove or an integral or attached obstruction [[2\]](#page-10-0).

It was investigated that the restricted contact length influence the cutting process concluding that this narrow land decreases the cutting force and temperature, and therefore increases the tool life [\[3](#page-10-0)]. The geometrical parameters of grooved chip breaker on chip breaking performance were investigated [\[4](#page-10-0)]. The chip flow mechanism on chip breaker insert was studied reporting its influence on chip curling and breaking process [[5\]](#page-10-0).

Analytical models of chip flow, chip curling, and chip breaking with chip breaker inserts application were developed under the concept of equivalent parameters [\[6](#page-10-0), [7\]](#page-10-0). These models were studied and the chip breaker insert behavior on machining force, surface roughness, and chipbreaking process was analyzed [[8\]](#page-10-0). Semi-empirical models including cutting conditions, tool geometry, and work-piece materials properties based on chip flow and chip-curling mechanisms had been developed [[9\]](#page-10-0).

A force decomposition model counting the influential parameters on tool wear including cutting conditions, tool geometry, and grooved-chip breaker geometry was proposed [\[10\]](#page-10-0). It was presented as a newly developed equivalent tool-face (ET) model for predicting the most dominant tool failure modes in turning with complex grooved chip breaker inserts [\[11](#page-10-0)]. The ET model was extended to correlate chip curling when machining with progressive tool-wear mechanisms in grooved chip breaker tools [[12](#page-10-0)]. The performance of commercial grooved chip breakers was evaluated using a neural network [[13](#page-10-0)].



Fig. 1 Geometrical parameters of the grooved chip breaker

# 1.1 Grooved chip breaker

When the rear part of the tool rake face is removed so that the contact length is smaller than the natural contact length, the tool is called a restricted contact tool (insert). The use of such tools in machining has been reported as early as the 1920s. The benefits of such tools in machining are minimum compression and deformation of the chip, reduced cutting force and tool wear, etc. [[14\]](#page-10-0).

In the conventional grooved chip breakers, the chip flows into the groove owing to the effect of tool-restricted contact, and then is curled by the groove back-wall [[7\]](#page-10-0). The

Fig. 2 Experimental set-up

knowledge of the geometrical parameters of the grooved chip breaker is essential, not only on the chip-breaking process, but it also plays an important role on the machining process efficiency.

The main geometrical parameters of a grooved chip breaker consist of the rake land length *l*, the land angle  $\gamma_l$ , the rake angle  $\gamma_0$ , the groove width W, the groove depth H, and the groove backwall height  $h$ , as shown in Fig. 1.

The rake land, when used in a correct way, i.e., when the restricted contact length *l* is smaller than the tool-chip natural contact length  $l_{nc}$ , presents an important key on the cutting process. This narrow land reduces cutting force and temperature and henceforth, increases the useful tool life, but on the other hand, it increases the chip curl radius, straightens the chip, and therefore results to the chip curling on the opposite direction [\[4](#page-10-0)].

The studies which deal with chip breaker had focused both on analytical approaches and on experimental approaches to predict the machining force components, the chip flow, chip curling and chip-breaking variables. In this experimental study, the grooved chip breaker and cutting conditions influence on cutting force  $F_c$  and on average surface roughness  $R_a$  on turning process of AISI 1045 steel were investigated through a complete factorial design and the Scott–Knott method.

# 2 Experimental work

#### 2.1 Equipment and tools

Oblique cutting tests were made on a CNC turning center ROMI GL 240M. The cutting force  $F_c$  was measured with a tool dynamometer Kistler 5070A and the signal process software *Dynoware* supplied by *Kistler*. The surface roughness parameter  $R_a$  was measured by a *Surftest SJ-400 Mitutoyo*.

A tool holder with ISO code PCLNL 2020K12 from Sandvik Coromant was used in the experimental work. The side cutting edge angle  $\chi_R$ , rake angle  $\gamma_0$ , and inclination angle  $\lambda_s$ were 95, −6, and −6 °, respectively. Figure 2 shows the tool holder and dynamometer set on the turning center turret.







Three coated carbide grooved inserts with ISO code CNMG 120408 and another coated carbide flat faced insert with ISO code CNMA 120408 were used in the experimental work. These inserts, with different groove parameters, were used to compare the performance of each one when applying different cutting conditions. The flat faced insert was used to contrast with the three grooved inserts. Figure 3 shows the schematics of the tool inserts used and identifies their respective code.

The PF grooved insert has a straight cutting edge up to 2 mm from the nose and the rest is wave. It has a very narrow land, groove only on the straight cutting edge region, curved backwall, and is appropriate for finishing. The PM-grooved insert has straight cutting edge with narrow land, groove and curved backwall. The QM-grooved insert has straight cutting edge with narrow land and wave backwall. These two inserts are appropriate to medium cutting conditions. Lastly, the flat-faced insert KR was chosen to comparison purpose.

The work material used in tests was AISI 1045 steel with a hardness average value equal to 181 BHN.

### 2.2 Control factors evaluated and response factors in study

No coolant was used. A constant depth of cut  $a_p$  equal to 2 mm was used in the tests. The chosen control factors in this study were the grooved chip breaker type CB, the feed rate

Table 1 Control factors and levels

Control factors	Unit	Levels			
Chip breaker $(CB)$ Feed rate $(f)$ Cutting velocity $(v_c)$	mm/rev m/min	РF 0.16 310	PМ 0.24 380	OМ 0.32	KR

per revolution of the tool f and the cutting velocity  $v_c$ . Table 1 summarizes these factors followed by their respective levels.

The factor levels chosen are between finishing and medium machining. Precisely, for the insert PF type, the depth of cut chosen is out of its specification. The intention was to assure a side flow out of the rounded region defined by the nose radius which was of 0.8 mm for all inserts. This way, the chip develops a combination between up and side curl. The PF insert was tested under the same combinations of the cutting conditions applied to the other two grooved inserts for comparison purpose.

The response variables accessed by the factorial design were the cutting force  $F_c$  and the average surface roughness  $R_a$ . The cutting force  $F_c$  was measured during each experiment and the surface roughness parameter  $R_a$  was measured in each machined surface in three different positions moved 120 ° apart from each other. Sample chips were collected from each test and a chip chart was obtained.

## 2.3 Experimental design

To access the control factors' influence on response variables, a factorial design was used. Factorial designs allow the estimative of the effect of a factor in different levels of the other factors, besides it, the effect of the interaction between two or more factors can be analyzed.

Through the combination of all levels of the control factors in the study, 24 tests were obtained, which were replicated three times, generating 72 tests in total  $(4^1 \times 3^1 \times 2^1 \times 3)$ . All tests were conducted in random order.

By analysis of variance (ANOVA), all the hypotheses of non differences in treatment means were tested through the F test with a significance level  $\alpha$  equal to 0.05. The normality test of Anderson–Darling and the Bartlett's test for equality of variances were performed (also with  $\alpha$ =0.05) to assure

<span id="page-3-0"></span>Table 2 Experiments and responses matrix



that the experimental error terms were normally distributed and the data variance were homogeneous. To obtain more details about the statistical analysis, see [\[15](#page-10-0)].

# 2.4 The Scott–Knott method

When the ANOVA indicates that the average levels of a source of variation differ, it is necessary to identify which factor levels or combination of the factors levels are specifically different. The multiple comparison method of Scott–Knott was used with this purpose. There are various procedures of multiple comparisons in the literature. However, users encounter difficulties in interpretation, such as ambiguity of results. An efficient alternative is the Scott–Knott method, which is a method of grouping means that categorizes results without ambiguity.

The Scott–Knott method procedure begins by partitioning the groups to maximize the sum of squares between groups.

<span id="page-4-0"></span>Fig. 4 Residual plots for  $F_c$ 



# **Table 3** ANOVA for cutting force  $F_c^a$



<sup>a</sup> Significance level  $\alpha$ =0.05





CB	$f$ (mm/rev)								
	0.16		0.24			0.32			
QM	845.62	a1	1,176.11	b <sub>1</sub>	1,522.75	c1			
<b>PM</b>	880.76	a2	1,205.72	b2	1,527.82	c <sub>1</sub>			
PF	896.99	a2	1,292.57	b3	1,691.96	c2			
KR	978.19	a3	1,358.12	b4	1,696.72	c2			

<span id="page-5-0"></span>**Table 4** Scott–Knott test for  $F_c$  averages. Splitting of CB on interaction between  $CB$  and  $f^a$ 

 $a_{\alpha=0.05}$ 

With the means in order, the number of possible partitions (g-1 partitions) is reduced.

The sum of squares  $B_0$ , is defined according to the expression:

$$
B_0 = \frac{T_1^2}{K_1} + \frac{T_2^2}{K_2} - \frac{(T_1 + T_2)^2}{K_1 + K_2} \tag{1}
$$

Where  $T_1$  and  $T_2$  are the totals of the two groups with  $K_1$ and  $K_2$  treatments, respectively. The maximum  $B_0$  value obtained is used to compute the statistic  $\lambda$  according to the expression:

$$
\lambda = \frac{\pi}{2(\pi - 2)} \times \frac{B_0}{\hat{\sigma}_0} \tag{2}
$$

 $2(\pi-2)$   $\hat{\sigma}_0^2$ <br>Where  $\hat{\sigma}_0^2$  is the estimator of maximum likelihood<br>tained by: obtained by:  $\frac{1}{2}$ 

obtained by:  
\n
$$
\hat{\sigma}_0^2 = \frac{1}{g+v} \left[ \sum_{i=1}^{g} \left( \overline{Y}_i - \overline{Y} \right) + vs_y^2 \right]
$$
\n(3)

Where  $\overline{Y}_i$ : mean of treatment *i* (*i*=1, 2,.... g);  $\overline{Y}$ : overall mean of treatments to be separated;  $g$  is the number of means

Fig. 6 Interaction plot for  $F_c$ 

to be separated;  $\nu$  is the number of residual degrees of freedom;  $s_y^2$ : *QMR*/*r* being *r* the number of observations that created the means to be grouped.

The statistics  $\lambda$  is tested by the chi square statistic  $(\chi^2)$ , where the condition  $\lambda \geq \chi^2(\alpha; g/(\pi-2))$  indicates that the two groups are statistically different and should be tested sense. groups are statistically different and should be tested separately for new possible divisions. On the contrary, the means are considered homogeneous and, further partitioning is therefore unnecessary. For more details about the Scott– Knott method, see [\[16](#page-10-0)]. All the statistical analysis was conducted using the Minitab 14 and Sisvar 5.3 softwares.

## 3 Results and discussion

## 3.1 Cutting force analysis

The output data obtained from the experiments' measurements are shown in Table [2](#page-3-0). The Anderson–Darling test for normality of the residuals of cutting force  $F_c$  resulted in a p value equal to 0.985 which is larger than the significance level ( $\alpha$ =0.05), therefore, there is not enough evidence to reject the null hypothesis assuring that the residuals follow a normal distribution.

In Fig. [4,](#page-4-0) the normal probability plot confirms that there is no deviation of the normality, the residuals plotted versus fit show that the variance is constant along the data increasing. The Bartlett's test for equality of  $F_c$  variances resulted in a p value equal to 0.570 which is larger than the significance level, indicating that the null hypothesis of equality of variances could not be rejected. Finally, the histogram confirms a good distribution of the residuals, and the residuals plotted versus order confirm that are not serious deviation of the independence of the residuals.

The ANOVA for  $F_c$  is shown in Table [3](#page-4-0) with an adjusted coefficient of determination of 99.78 % assuring the excellent adjustment of the model data. From the  $p$  value analysis the sources of variation  $CB, f, v_c$ , and the interactions between  $CB$ 



actio

<span id="page-6-0"></span>

and  $f$  and between  $CB$  and  $v_c$  were statistically significant  $(p \le 0.05)$ . The feed f was the main factor of influential on the cutting force  $F_c$ , followed by chip breaker CB. Figure [5](#page-4-0) shows the main effect plot for  $F_c$ .

To avoid that the comparisons between the means of one factor could be obscured by the interactions, the comparisons were made in the interactions which were significant on the ANOVA, i.e., fixing one factor of the interaction at a specific level and applying the Scott–Knott test on other factor average levels.

Through the Scott–Knott test, the factor CB was split in the interaction between  $CB$  and  $f$ . Table [4](#page-5-0) summarizes the Scott– Knott test results for this interaction, where different characters mean different average response for  $F_c$ . The interaction plot, which is a graph of the average responses at each combination between the interaction of factors is shown in Fig. [6](#page-5-0).

At CB, QM, and f level 0.16 mm/rev, the smallest average level of  $F_c$  was obtained (characters a1 at Table [4\)](#page-5-0), followed by PM and PF chip breaker CB levels which presented statistically equal average levels of  $F_c$  (a2), and lastly, the KR chip-breaker level presented the highest average levels of  $F_c$  (a3). With f at level 0.24 mm/rev, the QM, PM, PF, and KR chip breaker CB levels, in increasing order, presented different average levels of  $F_c$  (b1, b2, b3, and b4 at Table [4,](#page-5-0)

respectively). Finally, at  $f$  level 0.32 mm/rev, the  $CB$  levels QM and PM presented equal average level of  $F_c$  (c1) and the CB levels PF and KR presented equal average level of  $F_c$ between themselves and higher level than the first ones (c2).

Subsequently, the factor  $f$  was also split in the same interaction through the Scott–Knott test. All feed levels presented statistically significant difference on  $F_c$  average levels, independently of the CB level, confirming that when the feed f increases, the response  $F_c$  also increases. The test results are shown at Table 5.

The CB level KR presented the highest  $F_c$  levels in most tests, except for the factor  $f$  at level 0.32 mm/rev where the  $CB$ levels PF and KR presented no significant difference (c2 on Table [4\)](#page-5-0). The chip breaker presence, specifically the reduction of land length *l* decreased the cutting force  $F_c$ , but only when it is utilized with the indicated cutting conditions which need to be in accord with its geometrical characteristics and parameters. The CB level PF, with narrow land, when applied with medium and roughing cutting conditions due the high streaming degree generated by the high ratio between feed to land length (f/l) generated undesirable chip-flow patterns occasioning high cutting force  $F_c$  levels.

In this study, the smallest cutting force levels would determine the optimal cutting conditions. Then, considering







 $a_{\alpha=0.05}$ 

the Scott–Knott test results, the CB at level QM combined with f at level 0.16 mm/rev generated the best results for the response variable cutting force  $F_c$ . In spite of the cutting velocity which presented influence statistically significant on ANOVA, in this specific combination between CB and f, the  $v_c$  levels presented no significant difference on  $F_c$ through the Scott–Knott test.

## 3.2 Average surface roughness analysis  $R_a$

The Anderson–Darling test for normality of the residuals of  $R_a$  resulted in a p value equal to 0.191, which is larger than the significance level ( $\alpha$ =0.05), meaning that the residuals follow a normal distribution.

The normal probability plot in Fig. [7](#page-6-0) confirms that there is no deviation of the normality. The residuals plotted versus fit show that the variance is constant along the data increasing. The Bartlett's test for equality of variances of  $R_a$  resulted in a  $p$  value equal to 0.123, which is larger than the significance level, indicating that the data presents equality of variances, which is confirmed by the versus fits. Finally, the histogram shows a good distribution of the residuals around zero, and

the residuals plotted versus order confirm the independence of the residuals.

Table 6 presents the ANOVA for response factor  $R_a$  whit an adjusted coefficient of determination of 83.48 % assuring the good adjustment of the model data. The Main effect plot for  $R_a$  is shown on Fig. 8.

At tests with CB at level KR,  $v_c$  equal to 310 m/min and f equal to 0.16 mm/rev, the average surface roughness  $R_a$  was extraordinarily large because uncontrolled ribbon chips scratch the machined surface. Therefore, the interaction between the factors CB, f, and  $v_c$  was significant (ANOVA on Table 6,  $F_0=4.96>F_{tab}=2.29$ ), then each variable in this interaction was split through the Scott–Knott test.

The Scott–Knott test results for splitting the CB levels in the interaction between the three control factors in study are shown at Table [7](#page-8-0) followed by the interaction plot at Fig. [9](#page-8-0).

At  $v_c$  equal to 310 m/min and f equal to 0.16 mm/rev, the three inserts with chip breaker presented average levels of the factor  $R_a$  statistically equal between themselves, while the flat-faced KR insert presented highest average response. At same  $v_c$  level and f equal to 0.24 mm/rev, the CB levels PM and QM presented average levels of  $R_a$  statistically equal



Fig. 8 Main effect plot for  $R_a$ 

<span id="page-8-0"></span>**Table 7** Scott–Knott test for  $R_a$ averages. Splitting of CB on interaction between CB, f, and  $v_c^{\text{a}}$ 



 $a_{\alpha=0.05}$ 

between themselves and smaller than PF and KR which also presented average levels of  $R_a$  statistically equal between themselves. With  $v_c$  equal to 310 m/min and f equal to 0.32 m/rev, the CB level PM had the better behavior, i.e., the smallest average levels of  $R_a$  while QM and KR presented average levels higher than the first, equal between themselves, and smaller than the CB level PF, which presented the worst result.

At  $v_c$  equal to 380 m/min and f equal to 0.16 mm/rev, all  $CB$  levels presented  $R_a$  average levels statistically equal among themselves. The same happened at  $v_c$  equal to 380 m/min and  $f$  equal to 0.24 mm/rev. However, at the same  $v_c$  level and f equal to 0.32 mm/rev, the CB level PF presented  $R_a$  average levels higher than KR, QM, and PM which presented  $R_a$  average levels statistically equal.

The factor f was also split in the interaction between  $CB, f$ , and  $v_c$  through the Scott–Knott test, and the results are shown at Table [8.](#page-9-0) The CB levels PM and QM presented statistically significant difference only in relation to  $f$  level equal to 0.32 mm/rev, presenting higher  $R_a$  average levels than that obtained with f levels equal to 0.16 and 0.24 mm/rev which presented  $R_a$  equal average levels between themselves, independently, from the  $v_c$  levels. In the PF chip breaker CB case,

the three feed f levels presented  $R_a$  statistically different and increasing average levels between themselves. Finally, the CB level KR at  $v_c$  310 m/min, presented inverse behavior, in a way that the smallest f level presented the highest  $R_a$  level due to the generated chip forms. However, at  $v_c$  equal to 380 m/min the CB level KR presented similar behavior to PF in relation to splitting f levels.

Finally, the control factor cutting velocity  $v_c$  was also split in the interaction between CB, f and  $v_c$ . The Scott–Knott test results are shown at Table [9.](#page-9-0) The KR insert at feed f equal to 0.16mm/rev presented statistically significant difference on the average  $R_a$  levels in relation to cutting velocity  $v_c$  levels, where the lowest level ( $v_c$ =310 m/min) was responsible for the highest  $R_a$  average level, due to the undesirable generated chip forms. For all other combinations of factors  $CB$  and  $f$ levels, the  $v_c$  levels did not present statistically significant difference at  $R_a$  average levels obtained.

The optimal cutting conditions consist of the smallest average levels of the response factor  $R_a$ , which was separated by the Scott–Knott test. Therefore, the chip breaker CB level PM at feed  $f$  equal to 0.16mm/rot generated the best results for  $R_a$ . In spite of  $v_c$  having presented influence statistically significant on ANOVA, in this specific combination between





<span id="page-9-0"></span>**Table 8** Scott–Knott test for  $R_a$ averages. Splitting of  $f$  on interaction between  $\overrightarrow{CB}$ ,  $\overrightarrow{f}$ , and  $v_c^a$ 



$$
^{\rm a}\alpha\texttt{=}0.05
$$

**Table 9** Scott–Knott test for  $R_a$ averages. Splitting of  $v_c$  on interaction between CB, f, and  $v_c^{\text{a}}$ 



 $a_{\alpha=0.05}$ 

 $CB$  and  $f$ , the  $v_c$  levels presented no significant difference on  $R_a$  through the Scott–Knott test.

## 4 Chip forms and breaking

Figure 10 shows the chip chart with chip samples collected from tests. At tests with PF and PM inserts, the chips collected were in form of short comma, while with QM insert tests, long comma chips were obtained. The obtained chips

 $OC$   $\triangle$ 

with these inserts presented a combination of side and up curling, breaking by the contact with tool flank.

At tests with flat-faced KR insert, due to the chip breaker absence, it was observed that the feed influence on chip forms. At  $f$  equal to 0.16 mm/rev, it was generated ribbon chip with  $v_c$  equal to 310 m/min and tangled chips with  $v_c$ equal to 380 m/min. At f equal to 0.24 mm/rev, helical and long comma chip forms were obtained. Finally, at  $f$  equal to 0.32 mm/rev, it was obtained long and short comma chip forms.

Fig. 10 Chip chart of the tests



## <span id="page-10-0"></span>5 Conclusions

In this study, the cutting conditions and chip-breaker profile influence were evaluated through a factorial design. By performing 72 tests, the factorial design was an effective method to evaluate the influence of each main factor and the interaction between them on cutting force  $F_c$  and average surface roughness  $R_a$ . The multiple comparison method of Scott–Knott was used to perform procedures of multiple comparisons without ambiguity showing specific differences on responses between factor levels' combinations. This multiple comparison method was essential to determine the best cutting conditions and chip breaker for the response factors in study. The methodology proposed was effective at achieving process improvement.

The optimal factor combination to obtain the smallest  $F<sub>c</sub>$  average level was the chip breaker QM at feed equal to 0.16 mm/rev. In  $R_a$  case, the smallest average levels were obtained by the chip breaker PM at feed equal to 0.16 mm/rev.

On cutting force  $F_c$  analysis, the statistically significant interactions ( $CBxf$  and  $CBxy<sub>c</sub>$ ) justify the necessity of correcting chip breaker type application on cutting conditions which generate an ideal chip flow pattern and, consequently, decreasing the cutting force.

Despite the interaction between the three factors under analysis had been influential on  $R_a$ , the  $v_c$  influence can be justified mainly by uncontrolled ribbon chip obtained on tests with CB type KR,  $v_c$  equal to 310 m/min and f equal to 0.16 mm/rev which scratched the machined surface, generating extraordinarily large average surface roughness  $R_a$ levels.

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